

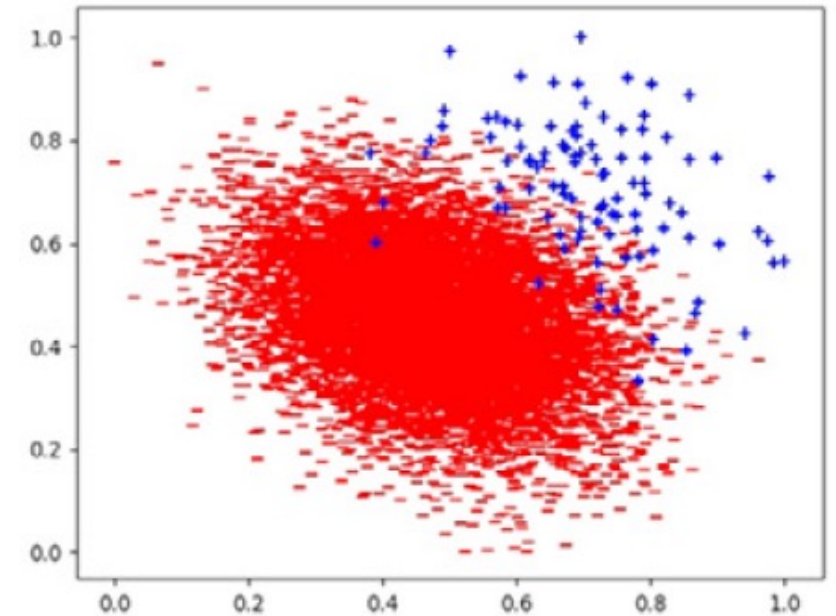
Binary imbalanced data classification based on diversity oversampling by generative models

Junhai Zhai, Jiaxing Qi, Chu Shen

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Presenter: Abdullah Mamun

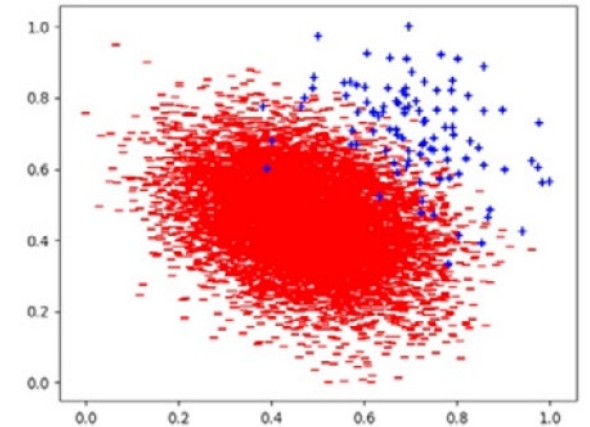
Date: January 9, 2024



Summary

- Addresses the data imbalance problem in binary classification
- Overview of different data balancing tools: SMOTE, RSMOTE, AdaSYN, etc.
- Proposes two new **binary data imbalance classification (BIDC)** algorithms.
 1. **BIDC1** (uses extreme learning machine autoencoder)
 2. **BIDC2** (uses GAN)

I will present BIDC2 first as I understood that one better.



GAN

A GAN [20] is an implicit probabilistic generation model that consists of two neural networks (Fig. 3), a generator G , and a discriminator D . The inputs z of the generator are samples obtained from a prior distribution P_{noise} , which is usually a Gaussian distribution.

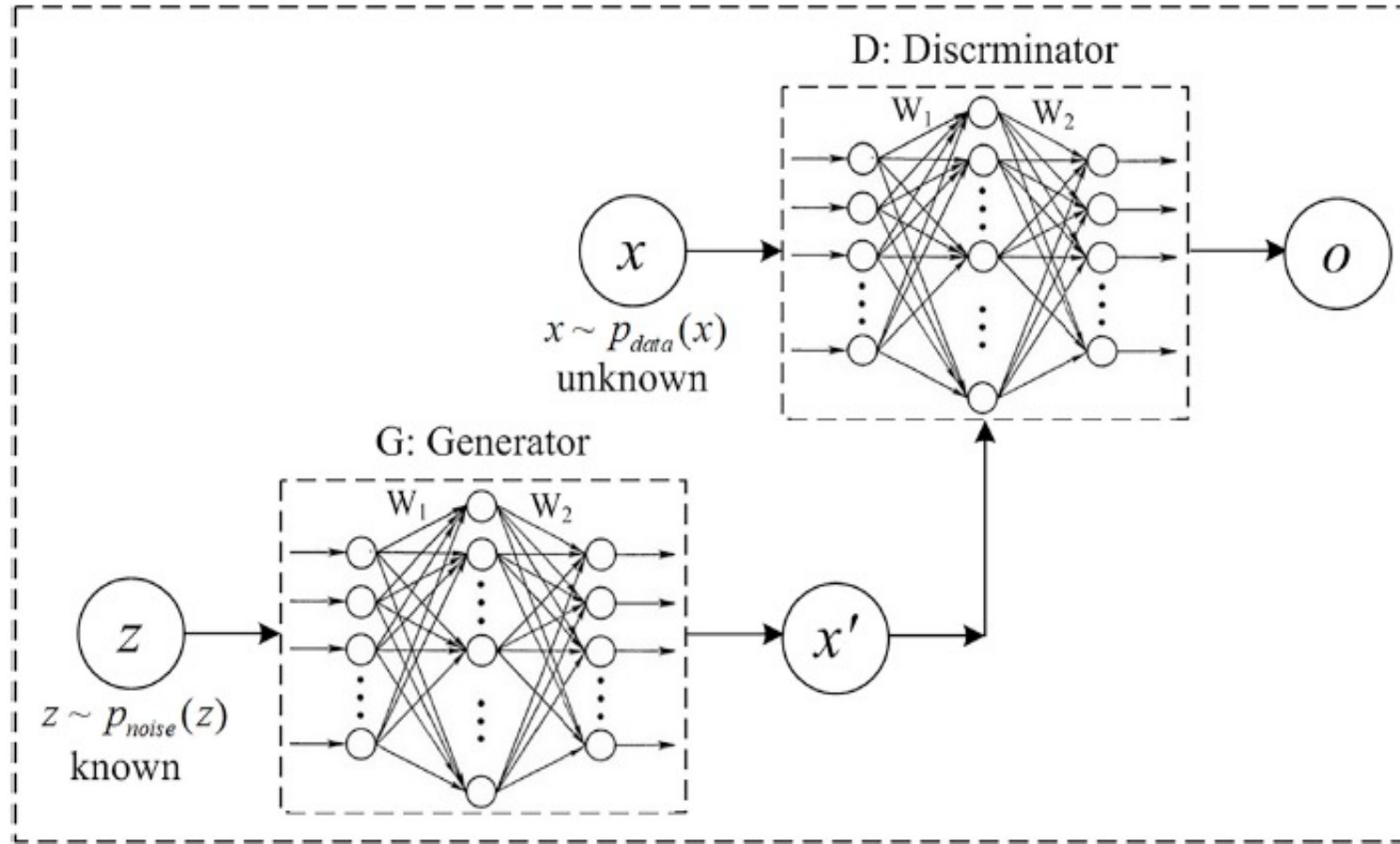


Fig. 3. The architecture of generative adversarial network.

GAN training

- In every step:
- Train the discriminator k times
- Train the generator once

Algorithm 3: Minibatch stochastic gradient descent training of generative adversarial nets

Input: The training set $S_{tr} = \{\mathbf{x}_i, 1 \leq i \leq n\}$, the known noise prior distribution P_{noise} , the number of steps to apply to the discriminator k , and the iterative number t .

Output: The model parameters $(\theta^{(D)}, \theta^{(G)})$.

```
1 for ( $i = 1; i \leq t; i = i + 1$ ) do
2   for ( $j = 1; j \leq k; j = j + 1$ ) do
3     Sample minibatch of  $m$  noise samples  $\{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_m\}$  from
      noise prior  $P_{noise}$ ;
4     Sample minibatch of  $m$  samples  $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m\}$  from the
      training set  $S_{tr}$ ;
5     Update the discriminator by ascending its stochastic gradient:
      
$$\nabla_{\theta^{(D)}} \frac{1}{m} \sum_{i=1}^m [\log D(\mathbf{x}_i) + \log(1 - D(G(\mathbf{z}_i)))]$$

6   end
7   Sample minibatch of  $m$  noise samples  $\{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_m\}$  from noise
      prior  $P_{noise}$ ;
8   Update the generator by descending its stochastic gradient:
      
$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^m \log(1 - D(G(\mathbf{z}_i)))$$

9 end
10 Return  $(\theta^{(D)}, \theta^{(G)})$ .
```

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- In every step:
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Negative of loss. So, we want to maximize it. Hence the gradient ascend.

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```



Probability of a real sample detected real.

Generated sample

Probability of a generated sample detected real.

GAN training

- In every step:
- Train the discriminator k times
- Train the generator once

Negative of loss. So, we want to maximize it. Hence the gradient ascend.

Example 1: Perfect discriminator

$D(\text{real}) = 1$

$D(\text{fake}) = 0$

So, negative loss = $\log 1 + \log (1-0) = 0 + 0 = 0$

Another example: (Classify all as real)

$D(\text{real}) = 1$

$D(\text{fake}) = 1$

So, negative loss = $\log 1 + \log (1-1) = 0 + (-\text{inf}) = -\text{inf}$ (i.e. loss = INF)

Algorithm 3: Minibatch stochastic gradient descent training of generative adversarial nets

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9 end
10 Return  $(\theta^{(D)}, \theta^{(G)})$ .
```

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Negative of loss. So, we want to maximize it. Hence the gradient ascend.

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8   Update the generator by descending its stochastic gradient:
       
$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^m \log(1 - D(G(\mathbf{z}_i)))$$

9 end
10 Return  $(\theta^{(D)}, \theta^{(G)})$ .discriminator. Generated sample detected real is good for the generator.
  
```

Probability of a real sample detected real.

Generated sample

Probability of a generated sample detected real.

This will be the negative of reward for the generator, because it wants to fool the

Reward = 0 if the fake is detected as fake with 100% confidence. And INF if fake is detected as real with 100% confidence.

BIDC2 algorithm

Algorithm 4: The BIDC2 algorithm

Input: Imbalanced training set $S_{tr} = S_{tr}^+ + S_{tr}^-$, imbalanced testing set $S_{te} = S_{te}^+ + S_{te}^-$, the iterative number t .

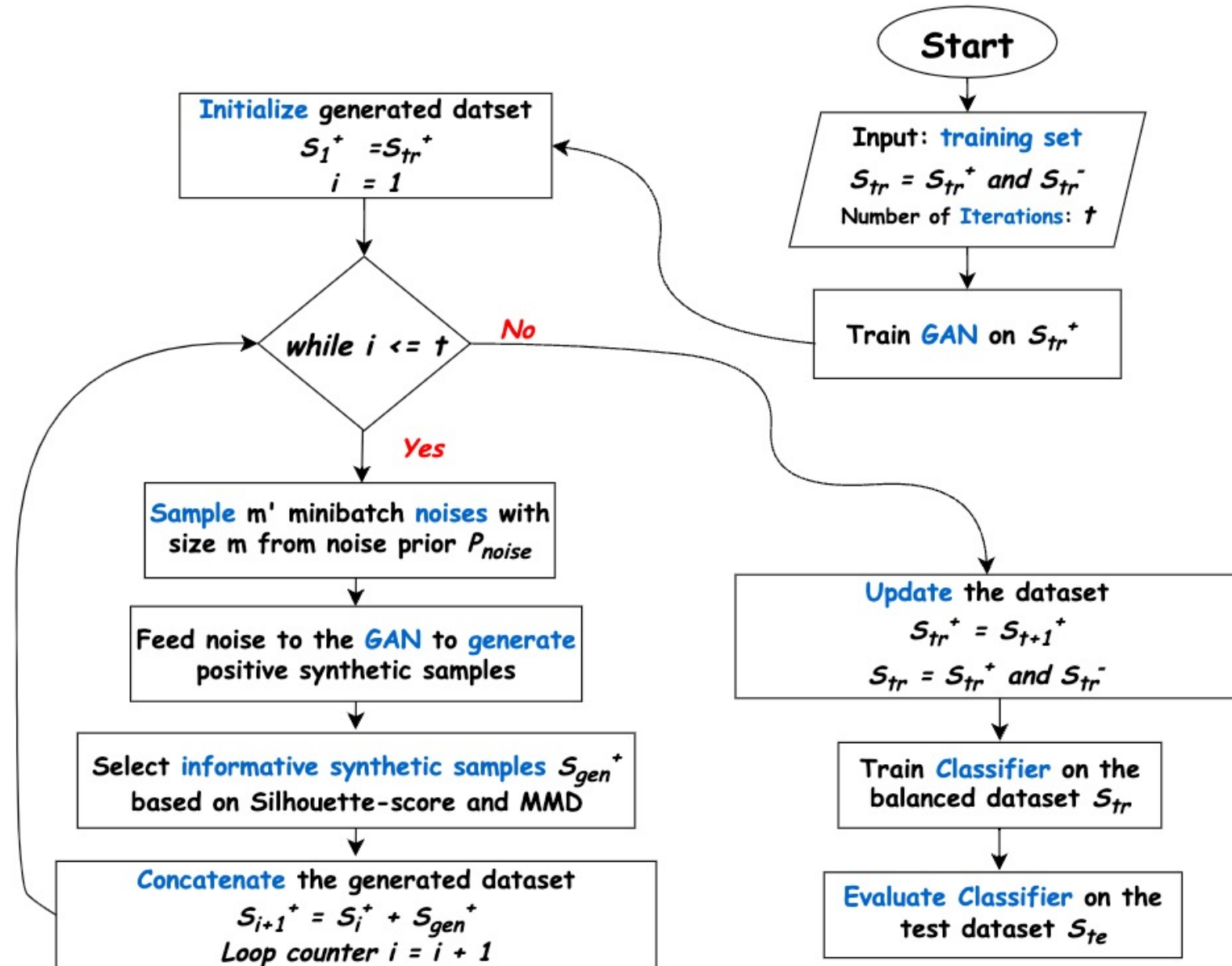
Output: The classification results of $\mathbf{x} \in S_{te}$.

```
1 // Stage 1: training the GAN on  $S_{tr}^+$ ;  
2 Call Algorithm 3 to train GAN model on  $S_{tr}^+$ ;  
3 // Stage 2: generating synthetic positive samples with  
  the trained GAN model;  
4  $S_1^+ = S_{tr}^+$ ;  
5 for ( $i = 1; i \leq t; i = i + 1$ ) do  
6   Sample  $m'$  minibatch noises with size  $m$  from noise prior  $P_{noise}$ ;  
7   Input the  $m'$  minibatch noises into the generator of the trained  
   GAN, and generate synthetic positive samples;  
8   Select informative positive samples from the synthetic ones by  
   Silhouette-score and MMD-score, the set of selected positive  
   samples is denoted by  $S_{gen}^+$ ;  
9    $S_{i+1}^+ = S_i^+ + S_{gen}^+$ ;  
10 end  
11 // Stage 3: training a classifier model on balanced data  
   set and classifying testing samples;  
12  $S_{tr}^+ = S_{t+1}^+$ ;  
13  $S_{tr} = S_{tr}^+ + S_{tr}^-$ ;  
14 Train a classifier on  $S_{tr}$ , and use the trained classifier to classify  
    $\mathbf{x} \in S_{te}$ ;
```

BIDC2 algorithm

Three stages:

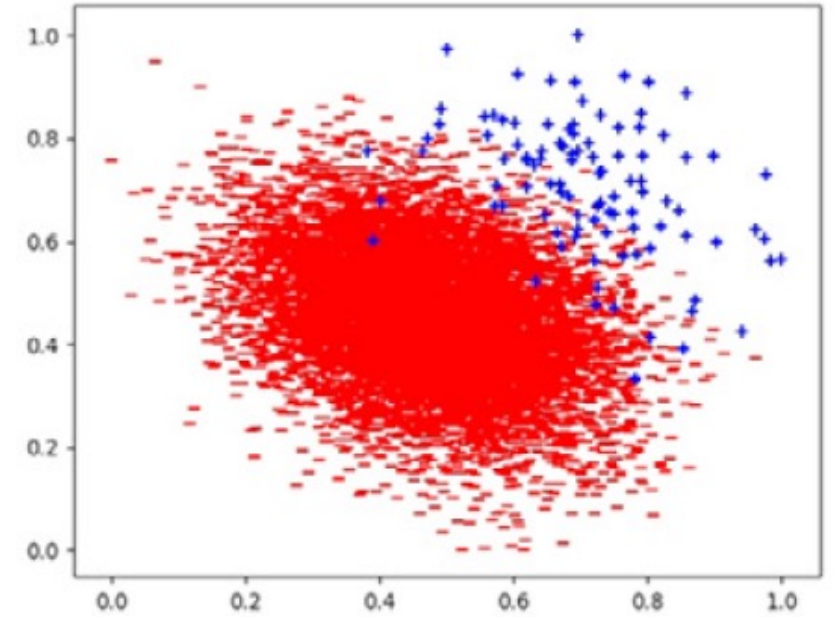
1. **Training the GAN** on the **positive training examples** S_{tr}^+
2. **Generating synthetic positive samples** with the trained GAN model
3. **Train and evaluate the classifier**



Silhouette score

- a = Dissimilarity of a sample within its cluster (we want it to be small)
- b = Dissimilarity of a sample with every other clusters (we want it to be large)

Silhouette score of a cluster is the average of the Silhouette scores of all the samples of that cluster.



The Silhouette-score [8] is an evaluation index of clustering algorithms. Given a sample \mathbf{x} which belongs to cluster A, the Silhouette-score of \mathbf{x} is defined as Eq. (9).

$$s(\mathbf{x}) = \frac{b(\mathbf{x}) - a(\mathbf{x})}{\max\{a(\mathbf{x}), b(\mathbf{x})\}}$$

So, a higher silhouette score is better. (9)

where $a(\mathbf{x})$ is the average dissimilarity of sample \mathbf{x} to all other samples of A, $b(\mathbf{x}) = \min_{C \neq A} d(\mathbf{x}, C)$, while $d(\mathbf{x}, C)$ is the average dissimilarity of sample \mathbf{x} to all samples of cluster C. With respect to a cluster (or a set) A, the Silhouette-score of A is $s(A) = \frac{1}{|A|} \sum_{\mathbf{x} \in A} s(\mathbf{x})$. From Eq. (9), it is easy to find that the value of $s(\mathbf{x})$ is between $[-1, 1]$, and the closer the value of $s(\mathbf{x})$

MMD (maximum mean discrepancy)

The MMD is a statistics for measuring the mean squared difference of two sets of samples. Given two sets of samples $\mathbf{X} = \{\mathbf{x}_i\}$, $1 \leq i \leq n$ and $\mathbf{Y} = \{\mathbf{y}_i\}$, $1 \leq i \leq m$, the MMD of \mathbf{X} and \mathbf{Y} is defined as Eq. (10).

$$\begin{aligned} \text{MMD} &= \left\| \frac{1}{n} \sum_{i=1}^n \phi(\mathbf{x}_i) - \frac{1}{m} \sum_{j=1}^m \phi(\mathbf{y}_j) \right\|^2 \\ &= \frac{1}{n^2} \sum_{i=1}^n \sum_{i'=1}^n \phi(\mathbf{x}_i)^T \phi(\mathbf{x}_{i'}) - \frac{2}{nm} \sum_{i=1}^n \sum_{j=1}^m \phi(\mathbf{x}_i)^T \phi(\mathbf{y}_j) + \frac{1}{m^2} \sum_{j=1}^m \sum_{j'=1}^m \phi(\mathbf{y}_j)^T \phi(\mathbf{y}_{j'}) \end{aligned} \quad (10)$$

In Eq. (10), $\phi(\cdot)$ is a kernel mapping, using kernel trick, Eq. (10) can be written as Eq. (11).

$$\text{MMD} = \frac{1}{n^2} \sum_{i=1}^n \sum_{i'=1}^n k(\mathbf{x}_i, \mathbf{x}_{i'}) - \frac{2}{nm} \sum_{i=1}^n \sum_{j=1}^m k(\mathbf{x}_i, \mathbf{y}_j) + \frac{1}{m^2} \sum_{j=1}^m \sum_{j'=1}^m k(\mathbf{y}_j, \mathbf{y}_{j'}) \quad (11)$$

Extreme Learning Machine Autoencoder (ELMAE)

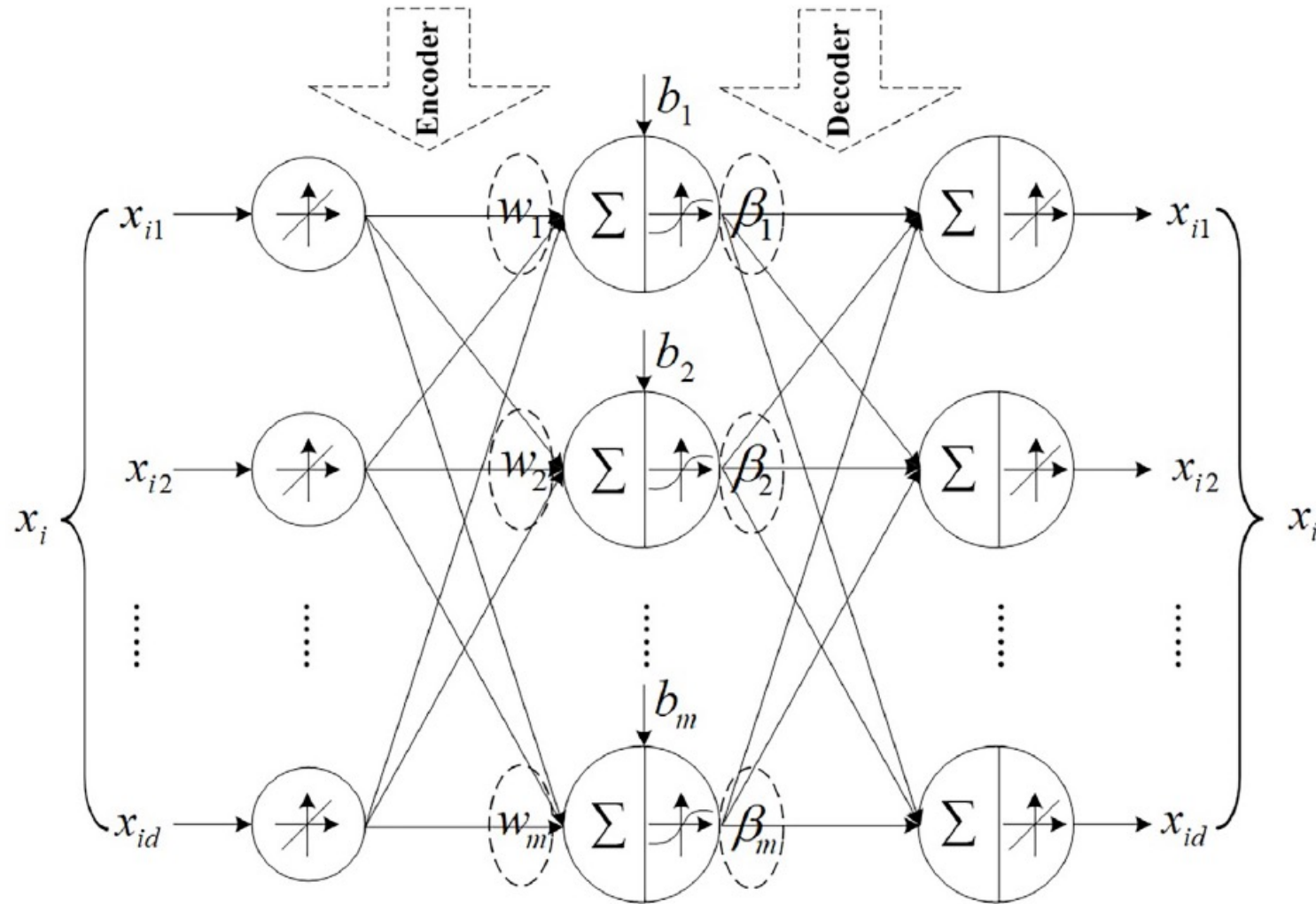


Fig. 2. The extreme learning machine autoencoder.

Extreme Learning Machine (ELM)

Given a training set $S = \{(\mathbf{x}_i, \mathbf{y}_i) | \mathbf{x}_i \in R^d, \mathbf{y}_i \in R^k, i = 1, 2, \dots, n\}$, ELM only needs to solve the following linear Eq. (1). In other words, it only needs to calculate the Moore–Penrose generalized inverse of hidden output matrix \mathbf{H} .

$$\mathbf{H}\boldsymbol{\beta} = \mathbf{Y} \quad (1)$$

where

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$$\mathbf{H} = \begin{bmatrix} g(\mathbf{w}_1 \cdot \mathbf{x}_1 + b_1) & \cdots & g(\mathbf{w}_m \cdot \mathbf{x}_1 + b_m) \\ \vdots & \cdots & \vdots \\ g(\mathbf{w}_1 \cdot \mathbf{x}_n + b_1) & \cdots & g(\mathbf{w}_m \cdot \mathbf{x}_n + b_m) \end{bmatrix} \quad (2)$$

$$\boldsymbol{\beta} = (\boldsymbol{\beta}_1^T, \dots, \boldsymbol{\beta}_m^T)^T \quad (3)$$

and

$$\mathbf{Y} = (\mathbf{y}_1^T, \dots, \mathbf{y}_n^T)^T \quad (4)$$

Extreme Learning Machine (ELM)

Algorithm 1: The ELM Algorithm

Input: Training data set

$S = \{(\mathbf{x}_i, \mathbf{y}_i) | \mathbf{x}_i \in R^d, \mathbf{y}_i \in R^k, i = 1, 2, \dots, n\}$, an activation function $g(\cdot)$, and the number of hidden nodes m

Output: weights matrix β .

- 1 **for** $(j = 1; j \leq m; j = j + 1)$ **do**
 - 2 Randomly assign input weights \mathbf{w}_j and biases b_j ;
 - 3 **end**
 - 4 Calculate the hidden layer output matrix \mathbf{H} ;
 - 5 Calculate output weights matrix $\hat{\beta} = \mathbf{H}^\dagger \mathbf{Y}$.
-



We can introduce a regularization item into (5), the corresponding optimization problem becomes (7).

$$\min_{\beta} \left\{ \frac{1}{2} \|\beta\|_2^2 + \frac{C}{2} \sum_{i=1}^n \|\xi_i\|_2^2 \right\} \quad (7)$$

s.t. $\beta^T \mathbf{h}_i = \mathbf{y}_i - \xi_i, 1 \leq i \leq n.$

where ξ_i is the error vector corresponding to \mathbf{x}_i and C is a positive parameter.

The solution of optimization problem (7) is given by

$$\hat{\beta} = \left(\frac{1}{C} \mathbf{I} + \mathbf{H} \mathbf{H}^T \right)^{-1} \mathbf{H} \mathbf{Y}^T \quad (8)$$

where \mathbf{I} is the identity matrix

BIDC1 algorithm

Algorithm 2: The BIDC1 algorithm

Input: Imbalanced training set $S_{tr} = S_{tr}^+ + S_{tr}^-$, where the S_{tr}^+ is the set of positive training examples, and S_{tr}^- is the set of negative training examples; Imbalanced testing set $S_{te} = S_{te}^+ + S_{te}^-$, where the S_{te}^+ is the set of positive test examples, and S_{te}^- is the set of negative test examples; The activation function $g(\cdot)$, the number of hidden nodes m , and the iterative number t .

Output: The classification results of $\mathbf{x} \in S_{te}$.

- 1 // Stage 1: training the ELMAE on S_{tr} ;
- 2 **for** ($j = 1; j \leq m; j = j + 1$) **do**
- 3 | Randomly assign input weights \mathbf{w}_j and b_j ;
- 4 **end**
- 5 Calculate the hidden layer output matrix \mathbf{H} ;
- 6 Calculate output weights matrix $\hat{\beta} = (\frac{1}{C}\mathbf{I} + \mathbf{H}\mathbf{H}^T)^{-1}\mathbf{H}\mathbf{X}^T$;

BIDC1 algorithm (cont.)

```
7 // Stage 2: generating synthetic positive samples with
   the trained ELMAE model;
8  $S_1^+ = S_{tr}^+$ ;
9 for ( $i = 1; i \leq t; i = i + 1$ ) do
10   Input  $S_i^+$  into ELMAE, and compressed vectors can be obtained
     by the encoder;
11   Take these vectors added Gaussian noise with normal
     distribution as input of decoder, then get the generate synthetic
     positive samples;
12   Select informative positive samples from the synthetic ones by
     Silhouette-score and MMD-score, the set of selected positive
     samples is denoted by  $S_{gen}^+$ ;
13    $S_{i+1}^+ = S_i^+ + S_{gen}^+$ ;
14 end
15 // Stage 3: training a classifier model on balanced data
   set and classifying testing samples;
16  $S_{tr}^+ = S_{t+1}^+$ ;
17  $S_{tr} = S_{tr}^+ + S_{tr}^-$ ;
18 Train a classifier on  $S_{tr}$ , and use the trained classifier to classify
    $\mathbf{x} \in S_{te}$ ;
```

Datasets

1 artificial dataset and 15 public datasets.

Table 6

The dimension of noise variable z and the number of hidden nodes of generator G and discriminator D .

| Data sets | d_z | #Hidden nodes of G | #Hidden nodes of D |
|------------|-------|----------------------|----------------------|
| Artificial | 100 | 100 | 100 |
| Ecoli1 | 55 | 70 | 35 |
| Ecoli2 | 35 | 50 | 20 |
| Glass1 | 35 | 90 | 45 |
| Glass2 | 25 | 70 | 35 |
| Iris1 | 20 | 25 | 15 |
| Iris2 | 20 | 25 | 15 |
| ILPD1 | 50 | 50 | 20 |
| ILPD2 | 25 | 35 | 20 |
| Wine1 | 130 | 65 | 40 |
| Wine2 | 130 | 65 | 40 |
| Segment | 150 | 75 | 50 |
| Yeast3 | 100 | 50 | 30 |
| Yeast4 | 100 | 50 | 30 |
| Yeast6 | 100 | 50 | 30 |
| Vowel0 | 120 | 50 | 40 |

Datasets

1 artificial dataset and 15 public datasets.

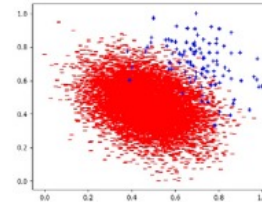
Table 2

The basic information of the artificial data set and the 15 public testing data sets.

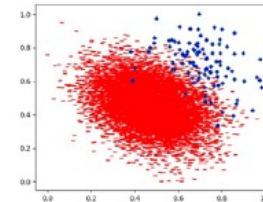
| Data sets | #Sample | #Attribute | #Minority | #Majority | IR |
|------------|---------|------------|-----------|-----------|-------|
| Artificial | 10100 | 2 | 100 | 10000 | 100 |
| Ecoli1 | 336 | 7 | 52 | 284 | 5.46 |
| Ecoli2 | 310 | 7 | 26 | 284 | 10.92 |
| Glass1 | 214 | 9 | 70 | 144 | 2.06 |
| Glass2 | 179 | 9 | 35 | 144 | 4.11 |
| Iris1 | 150 | 4 | 50 | 100 | 2.00 |
| Iris2 | 125 | 4 | 25 | 100 | 4.00 |
| ILPD1 | 345 | 6 | 145 | 200 | 1.38 |
| ILPD2 | 272 | 6 | 72 | 200 | 2.78 |
| Wine1 | 178 | 13 | 71 | 107 | 1.51 |
| Wine2 | 142 | 13 | 35 | 107 | 3.06 |
| Segment | 2308 | 18 | 329 | 1979 | 6.02 |
| Yeast3 | 1484 | 8 | 163 | 1321 | 8.10 |
| Yeast4 | 1484 | 8 | 51 | 1430 | 28.04 |
| Yeast6 | 1484 | 8 | 35 | 1449 | 41.40 |
| Vowel0 | 988 | 13 | 90 | 898 | 9.98 |

Experimental results – visualize the generated data

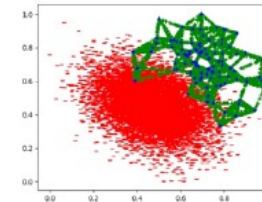
Comparing BIDD1 and BIDD2 on test dataset against 14 state of the art methods



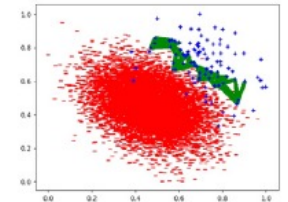
(a) Original Data



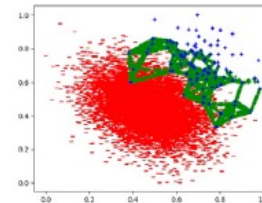
(b) ROS



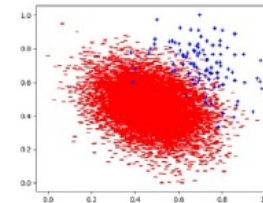
(c) SMOTE



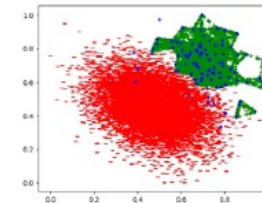
(d) B-SMOTE



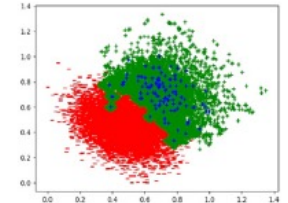
(e) ADASYN



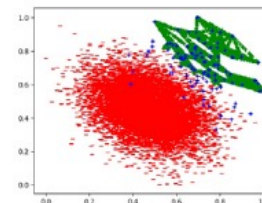
(f) K-SMOTE



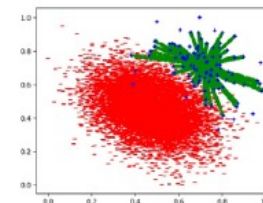
(g) ANS



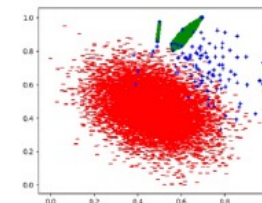
(h) CCR



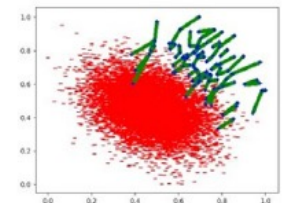
(i) NRPSOS



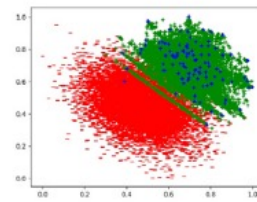
(j) C-SMOTE



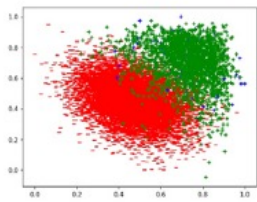
(k) SOMO



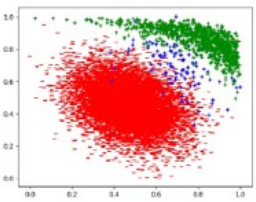
(l) G-SMOTE



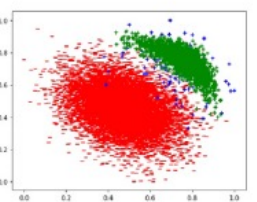
(m) OUPS



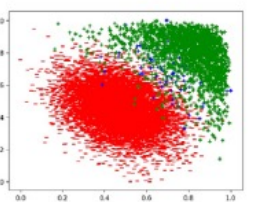
(n) ACGAN



(o) MFC-GAN



(p) BIDD1



(q) BIDD2

Experimental results

Comparing BIDC1 and BIDC2 on test dataset against 14 state of the art methods

F measure is reported here.

Test set is not balanced.

Table 7

The experimental results compared with 14 state-of-the-art methods on the 1 artificial data set and 15 public testing data sets on F-measure.

| Data sets | ROS | SMOTE | B-SMOTE | ADASYN | K-SMOTE | ANS | CCR | NRPSOS | C-SMOTE | SOMO | G-SMOTE | OUPS | AC-GAN | MFC-GAN | BIDC1 | BIDC2 |
|------------|-------|-------|---------|--------|---------|--------------|-------|--------------|---------|-------|---------|-------|--------|---------|--------------|--------------|
| Artificial | 0.243 | 0.433 | 0.332 | 0.623 | 0.144 | 0.584 | 0.234 | 0.561 | 0.664 | 0.804 | 0.581 | 0.550 | 0.621 | 0.683 | 0.714 | 0.783 |
| Ecoli1 | 0.621 | 0.625 | 0.674 | 0.718 | 0.756 | 0.797 | 0.616 | 0.800 | 0.796 | 0.000 | 0.710 | 0.788 | 0.652 | 0.688 | 0.812 | 0.833 |
| Ecoli2 | 0.476 | 0.417 | 0.500 | 0.556 | 0.825 | 0.821 | 0.700 | 0.852 | 0.819 | 0.000 | 0.722 | 0.741 | 0.774 | 0.000 | 0.485 | 0.572 |
| Glass1 | 0.437 | 0.505 | 0.609 | 0.547 | 0.505 | 0.530 | 0.552 | 0.630 | 0.556 | 0.129 | 0.569 | 0.551 | 0.610 | 0.619 | 0.633 | 0.658 |
| Glass2 | 0.430 | 0.483 | 0.572 | 0.538 | 0.751 | 0.639 | 0.455 | 0.501 | 0.511 | 0.000 | 0.065 | 0.671 | 0.734 | 0.000 | 0.769 | 0.690 |
| Iris1 | 0.643 | 0.658 | 0.286 | 0.712 | 0.501 | 0.000 | 0.492 | 0.501 | 0.501 | 0.505 | 0.505 | 0.501 | 0.752 | 0.764 | 0.720 | 0.774 |
| Iris2 | 0.458 | 0.471 | 0.502 | 0.536 | 0.000 | 0.528 | 0.581 | 0.901 | 0.476 | 0.000 | 0.418 | 0.649 | 0.663 | 0.240 | 0.625 | 0.548 |
| ILPD1 | 0.617 | 0.602 | 0.532 | 0.633 | 0.285 | 0.000 | 0.000 | 0.668 | 0.393 | 0.322 | 0.415 | 0.285 | 0.359 | 0.586 | 0.635 | 0.705 |
| ILPD2 | 0.524 | 0.509 | 0.488 | 0.554 | 0.669 | 0.669 | 0.132 | 0.672 | 0.105 | 0.000 | 0.299 | 0.669 | 0.075 | 0.099 | 0.600 | 0.644 |
| Wine1 | 0.880 | 0.846 | 0.905 | 0.899 | 0.764 | 0.766 | 0.726 | 0.771 | 0.761 | 0.764 | 0.764 | 0.766 | 0.923 | 0.933 | 0.923 | 0.938 |
| Wine2 | 0.872 | 0.938 | 0.991 | 0.984 | 0.442 | 0.891 | 0.671 | 0.891 | 0.119 | 0.891 | 0.427 | 0.365 | 0.921 | 0.891 | 0.997 | 0.993 |
| Segment | 0.982 | 0.991 | 0.993 | 0.993 | 0.741 | 0.722 | 0.714 | 0.716 | 0.725 | 0.825 | 0.767 | 0.724 | 0.743 | 0.523 | 0.995 | 0.998 |
| Yeast3 | 0.665 | 0.669 | 0.732 | 0.708 | 0.767 | 0.739 | 0.728 | 0.780 | 0.743 | 0.000 | 0.717 | 0.744 | 0.571 | 0.764 | 0.717 | 0.784 |
| Yeast4 | 0.170 | 0.467 | 0.504 | 0.500 | 0.000 | 0.942 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.739 | 0.031 | 0.031 | 0.514 | 0.530 |
| Yeast6 | 0.133 | 0.510 | 0.458 | 0.469 | 0.000 | 0.052 | 0.283 | 0.113 | 0.000 | 0.000 | 0.454 | 0.000 | 0.029 | 0.000 | 0.534 | 0.551 |
| Vowel0 | 0.878 | 0.809 | 0.920 | 0.923 | 0.918 | 0.000 | 0.837 | 0.879 | 0.893 | 0.000 | 0.845 | 0.867 | 0.540 | 0.733 | 0.939 | 0.955 |

Experimental results

Geometric mean of precision and recall is reported here. (The test set is not balanced)

Table 14

The experimental results compared with 14 state-of-the-art methods on the 10 application-oriented data sets on G-mean.

| Data sets | ROS | SMOTE | B-SMOTE | ADASYN | K-SMOTE | ANS | CCR | NRPSOS | C-SMOTE | SOMO | G-SMOTE | OUPS | AC-GAN | MFC-GAN | BIDC1 | BIDC2 |
|-----------|-------|-------|---------|--------|--------------|-------|-------|--------------|---------|--------------|---------|-------|--------|---------|--------------|--------------|
| CM1 | 0.667 | 0.482 | 0.688 | 0.657 | 0.129 | 0.098 | 0.000 | 0.958 | 0.000 | 0.000 | 0.072 | 0.000 | 0.749 | 0.154 | 0.690 | 0.724 |
| JM1 | 0.814 | 0.808 | 0.793 | 0.802 | 0.769 | 0.008 | 0.000 | 0.065 | 0.008 | 0.715 | 0.011 | 0.000 | 0.779 | 0.558 | 0.821 | 0.852 |
| MC1 | 0.541 | 0.563 | 0.533 | 0.527 | 0.000 | 0.339 | 0.000 | 0.000 | 0.248 | 0.000 | 0.123 | 0.000 | 0.000 | 0.164 | 0.625 | 0.567 |
| MC2 | 0.000 | 0.145 | 0.126 | 0.330 | 0.642 | 0.071 | 0.000 | 0.452 | 0.071 | 0.434 | 0.207 | 0.157 | 0.651 | 0.645 | 0.333 | 0.417 |
| PC1 | 0.618 | 0.646 | 0.661 | 0.640 | 0.094 | 0.034 | 0.000 | 0.458 | 0.000 | 0.959 | 0.038 | 0.000 | 0.197 | 0.197 | 0.692 | 0.686 |
| KC2 | 0.493 | 0.579 | 0.511 | 0.556 | 0.805 | 0.044 | 0.000 | 0.097 | 0.073 | 0.596 | 0.053 | 0.022 | 0.479 | 0.565 | 0.600 | 0.652 |
| KC3 | 0.508 | 0.546 | 0.539 | 0.558 | 0.832 | 0.034 | 0.036 | 0.406 | 0.130 | 0.000 | 0.086 | 0.049 | 0.000 | 0.000 | 0.588 | 0.737 |
| Liver1 | 0.000 | 0.612 | 0.581 | 0.736 | 0.000 | 0.504 | 0.512 | 0.475 | 0.687 | 0.000 | 0.568 | 0.629 | 0.000 | 0.265 | 0.884 | 0.893 |
| Liver2 | 0.000 | 0.597 | 0.624 | 0.713 | 0.000 | 0.509 | 0.539 | 0.513 | 0.746 | 0.000 | 0.588 | 0.543 | 0.070 | 0.100 | 0.853 | 0.906 |
| Liver3 | 0.000 | 0.643 | 0.708 | 0.758 | 0.000 | 0.529 | 0.528 | 0.508 | 0.766 | 0.000 | 0.566 | 0.542 | 0.077 | 0.000 | 0.897 | 0.924 |

Experimental results

AUC is reported here. (The test set is not balanced)

Table 15
The experimental results compared with 14 state-of-the-art methods on the 10 application-oriented data sets on AUC-area.

| Data sets | ROS | SMOTE | B-SMOTE | ADASYN | K-SMOTE | ANS | CCR | NRPSOS | C-SMOTE | SOMO | G-SMOTE | OUPS | AC-GAN | MFC-GAN | BIDC1 | BIDC2 |
|-----------|-------|-------|---------|--------|--------------|-------|-------|--------------|---------|-------|---------|-------|--------|---------|-------|--------------|
| CM1 | 0.682 | 0.691 | 0.590 | 0.715 | 0.535 | 0.496 | 0.498 | 0.961 | 0.498 | 0.500 | 0.505 | 0.500 | 0.855 | 0.508 | 0.747 | 0.772 |
| JM1 | 0.814 | 0.808 | 0.823 | 0.837 | 0.864 | 0.500 | 0.500 | 0.503 | 0.500 | 0.772 | 0.500 | 0.500 | 0.874 | 0.584 | 0.850 | 0.893 |
| MC1 | 0.578 | 0.609 | 0.641 | 0.618 | 0.500 | 0.562 | 0.500 | 0.500 | 0.546 | 0.500 | 0.510 | 0.500 | 0.500 | 0.511 | 0.702 | 0.717 |
| MC2 | 0.500 | 0.510 | 0.507 | 0.524 | 0.756 | 0.513 | 0.500 | 0.605 | 0.519 | 0.593 | 0.538 | 0.518 | 0.683 | 0.647 | 0.556 | 0.604 |
| PC1 | 0.622 | 0.653 | 0.680 | 0.695 | 0.964 | 0.524 | 0.500 | 0.593 | 0.500 | 0.500 | 0.506 | 0.500 | 0.518 | 0.518 | 0.686 | 0.735 |
| KC2 | 0.611 | 0.661 | 0.623 | 0.592 | 0.747 | 0.505 | 0.500 | 0.505 | 0.513 | 0.671 | 0.502 | 0.501 | 0.608 | 0.643 | 0.677 | 0.710 |
| KC3 | 0.598 | 0.582 | 0.621 | 0.609 | 0.547 | 0.494 | 0.500 | 0.573 | 0.534 | 0.500 | 0.500 | 0.503 | 0.500 | 0.500 | 0.634 | 0.743 |
| Liver1 | 0.500 | 0.772 | 0.846 | 0.865 | 0.500 | 0.626 | 0.620 | 0.613 | 0.732 | 0.500 | 0.598 | 0.692 | 0.500 | 0.480 | 0.961 | 0.969 |
| Liver2 | 0.500 | 0.714 | 0.785 | 0.851 | 0.500 | 0.630 | 0.640 | 0.631 | 0.697 | 0.500 | 0.605 | 0.649 | 0.495 | 0.460 | 0.926 | 0.948 |
| Liver3 | 0.500 | 0.803 | 0.869 | 0.864 | 0.500 | 0.635 | 0.632 | 0.627 | 0.637 | 0.500 | 0.593 | 0.646 | 0.498 | 0.498 | 0.885 | 0.914 |

Appendix – dissimilarity function:



To measure the dissimilarity within a cluster you need to come up with some kind of a metric.
For categorical data, one of the possible ways of calculating dissimilarity could be the following:

1



$$d(i, j) = (p - m) / p$$



where:



- p is the number of classes/categories in your data
- m is the number of matches you have between samples i and j

For example, if your data has 3 categorical features and the samples, i and j are as follows:

| | Feature1 | Feature2 | Feature3 |
|-----|----------|----------|----------|
| i | x | y | z |
| j | x | w | z |

So here, we have 3 categorical features, so $p=3$ and out of these three, two features have same values for the samples i and j , so $m=2$. Therefore

$$\begin{aligned}d(i, j) &= (3 - 2) / 3 \\d(i, j) &= 0.33\end{aligned}$$