

Identifying Gender From Images of Faces

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Abstract

The objective of this project is to identify the gender of a person by looking at his/her photograph. This is a case of supervised learning where the algorithm is first trained on a set of female and male faces, and then used to classify new data. We have not taken genders other than Male and Female into account. A preliminary algorithm is run to make sure that an image is that of a human before classification begins.

I. Introduction

Previous research has shown that our brain has specialized nerve cells responding to specific local features of a scene, such as lines, edges, angles or movement. Our visual cortex combines these scattered pieces of information into useful patterns. Automatic face recognition aims to extract these meaningful pieces of information and put them together into a useful representation in order to perform a classification/identification task on them.

While we attempt to identify gender from facial features, we are often curious about what features of the face are most important in determining gender. Are localized features such as eyes, nose and ears more important or overall features such as head shape, hair line and face contour more important?

There are a plethora of successful and robust face recognition algorithms on the web. Instead of using the inbuilt tools that they provide, we start building various algorithms from scratch to gain a rich learning experience.

In this project, the following methods were used for classification :

- Eigenface Method
- K-means
- GDA that performs supervised learning on reduced space of PCA
- SVM that performs supervised learning on reduces space of PCA
- Fisherfaces Method
- SVM that performs supervised learning on features provided by the Histogram of Oriented Gradients (HOG) method

We look at how these methods perform on our data, discuss the relative advantages and disadvantages of these methods and investigate the limitations on accuracy posed by the dataset itself. The mathematical equations governing these methods will not be discussed in this report.

II. Data Set and Processing

The data we have is a set of high resolution colour images of 396 female faces and 389 male faces obtained from the MUCT database. All images are frontal views of the face. The database provides diversity of lighting, age and ethnicity.

The images also have variations in :

- subject's head rotation and tilt
- subject's facial expression
- subject's face/Hair accessories
- position of the face in the image

However, this challenging database was chosen to make room for improvements in the algorithm.

This data has been used in four different ways on a single algorithm so that we can study how sensitive it is to the data quality. We run a python script to center all the images in our database - by centering the images the faces are aligned at the axis of symmetry of the face. Hence, we have a set of centered and uncentered images. We also use coloured (RGB) and B/W versions of the given images. Colour images have been compressed to 140x140 pixels and B/W to 64x48 pixels. We now have four different datasets: Dataset1(centered, RGB), Dataset2(centered,B/W), Dataset3(uncentered,RGB) and Dataset4(uncentered, B/W).

The dataset has been split into training set and test set as summarized in the following table:

Table 1: Dataset of faces

Gender	Training Set	Test Set
Male	200	169
Female	200	189

In this project, we define misclassification error as:

$$\text{Error} = \frac{\text{No. of images misclassified}}{\text{No. of images}} \quad (1)$$

III. Eigenface Method

A popular method in face recognition is the Eigenface algorithm. It takes a holistic approach by processing the entire face and coming up with an eigenface basis. In this method, a Principle Component Analysis (PCA) is performed to reduce the dimensionality of

The performance of this algorithm is discussed here:

Table 2: Eigenface Method on Dataset 4

Gender	Training Error	Test Error
Male	0.8	0.03
Female	0.14	0.28

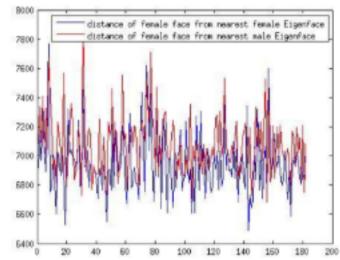
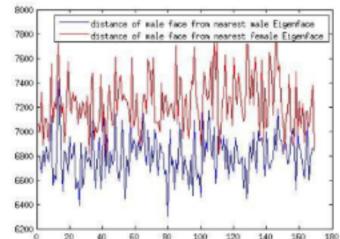
Table 3: Eigenface Method on Dataset 3

Gender	Training Error	Test Error
Male	0.6	0.14
Female	0.11	0.16

On Dataset 4, the algorithm shows very good recognition for males but a very poor one for females. We conclude here that the algorithm is basically identifying almost every new face to be male, hence contributing to the large error for females. The figure below demonstrates this. When a male face is projected onto the male eigenspace, the resultant reduced-dimension vector matches the other male faces very well. But when a female face is projected onto the female eigenspace, the resultant reduced-dimension vector does not match the female faces very well. In fact, it favours females over males only about 28 % of the time.

One disadvantage of PCA is that it cannot give you an intuitive sense of why the algorithm is favouring males. But upon looking at the data where the algorithm misclassifies the person, we conclude that

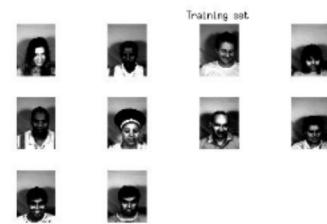
female subjects who have short hair, hair tied back or in a scarf were almost always labeled male. Having insufficient examples for them to train on might have resulted in this outcome. Another key observation is that, the male faces are better centered and hence male faces have a more reliable eigenspace.

**Figure 1:** Plot of nearest distance of female faces in test set from female(red) and male(blue) Eigenfaces**Figure 2:** Plot of nearest distance of male faces in test set from female(red) and male(blue) Eigenfaces

Running the same algorithm on Dataset 3 reduced the excessive bias towards males, as now the female faces were equally well-centered.

In all cases, the number of principal components was chosen to be 200. We obtained this result by eliminating all eigen values whose value is zero. A k-fold cross validation was performed to decide the number of dimensions in the reduced space more precisely. This resulted in a reduced dimension of 170.

Below is a figure showing some images in the training set and the corresponding Eigenfaces:

**Figure 3:** A sample of training set data

**Figure 4:** Eigenfaces of the above sample

IV. K-means

We apply K-means directly on the pixel data that we get from images to obtain 10 clusters for female faces and 10 for male faces. We would like to call these the 10 most representative female and male faces. We then run the K Nearest Neighbours algorithm to classify our test images. K was chosen to be 5 after analysing the performance of the algorithm (using cross validation) for all possible values of K.

This is done on Dataset 3 and Dataset 4. We get the following results:

Table 4: K-means on Dataset 4

Gender	Test Error
Male	0.22
Female	0.16

Table 5: K-means on Dataset 3

Gender	Test Error
Male	0.12
Female	0.13

V. PCA with GDA

The Eigenface method classifies new data based on what the nearest vector is in terms of euclidean distance. Instead of using the nearest neighbour approach, we can perform supervised learning over the reduced space. GDA is one such attempt.

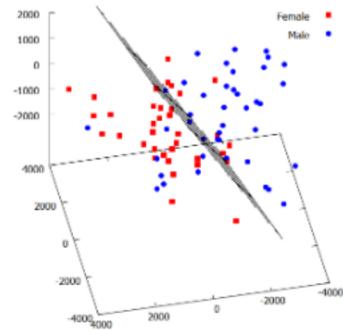
Table 6: PCA and GDA method on Dataset 2

Gender	Test Error
Male	0.11
Female	0.11

Table 7: PCA and GDA on Dataset 1

Gender	Test Error
Male	0.55
Female	0.7

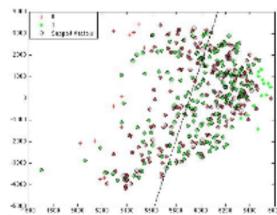
A k-fold cross validation was done to determine the number of PCAs required, and we found the optimal value to be 100. In order to visualize how GDA works with this data, we take 3 Principal Components and obtain the following plot:

**Figure 5:** Implementing GDA for K = 3

VI. PCA with SVM

SVM is yet another way of performing supervised learning over the reduced space. A k-fold cross-validation was performed to chose the number of PCAs and 150 was found to be optimum. Cross validation was done for k = (10,20,30..200). This interval was arrived at after random sampling of k's.

The PCA was applied to reduce dimensionality of the vectors that serve as inputs to the SVM. The SVM then does supervised learning. Sometimes this method is called the fisher discriminant analysis. Visualizing this data in the large dimensional space is hard, so we do it in 2D. We clearly need more attributes to classify the data.

**Figure 6:** Implementing SVM for K = 2

Performance of this algorithm is :

Table 8: PCA and SVM on Dataset 4

Gender	Test Error
Male	0.10
Female	0.13

Table 9: PCA and SVM on Dataset 3

Gender	Test Error
Male	0.90
Female	0.10

VII. Fischer Faces

When PCA reduces the dimension in which we work, it definitely obtains the most representative reduced space. But it does nothing to make sure that these attributes also represent the salient differences between the male class and female class. Our algorithm's main aim should be to identify these features and give them highest priority while classifying them.

Fisherfaces instead tries to maximize the variance between classes, instead of variance within a class. Hence it is much better suited for the gender classification task.

As expected, Fisher Faces gives us remarkable results of 10 % on uncentered data and 3 % on centered data. Also 10 % is what all the algorithms converge to when used on uncentered data. This throws light on the importance of centering it, as information about features can be very crucial in classifying it correctly.

Table 10: Fisherface Method on Dataset 4

Gender	Test Error
Male	0.90
Female	0.11

Table 11: Fishface Method on Dataset 3

Gender	Test Error
Male	0.25
Female	0.40

VIII. Histogram of Oriented Gradients and SVM

As a foray into applying advanced and effective gender classification algorithms, we have used supervised SVM learning after extracting HOG descriptors of human faces. For this particular algorithm, we used code that was available online.

We carry out the scheme in B/W space and use L-2 normalization for block normalization. For this method, images were not normalized during pre-processing. Also, the images were not centered because this method is invariant to geometric transformations of images.

A plot of gradients show what the most descriptive cues are that the SVM learns over. This is the only algorithm that can give us an insight as to which physical part of the face contributes most to gender detection.

The accuracy that this algorithm provides is the best of all. The algorithm also does not seem to be limited by the challenges that the data poses, giving us equally good results for both centered and uncentered data.

Table 12: Fisherface Method on Dataset 3

Gender	Test Error
Male	0.17
Female	0.20

Table 13: Fishface Method on Dataset 4

Gender	Test Error
Male	0.20
Female	0.23

The gradient images of our dataset tells us that these are the fundamental differences between male and female faces:

The interior of a female face has softer face contours

Female features are spread over larger areas than male features