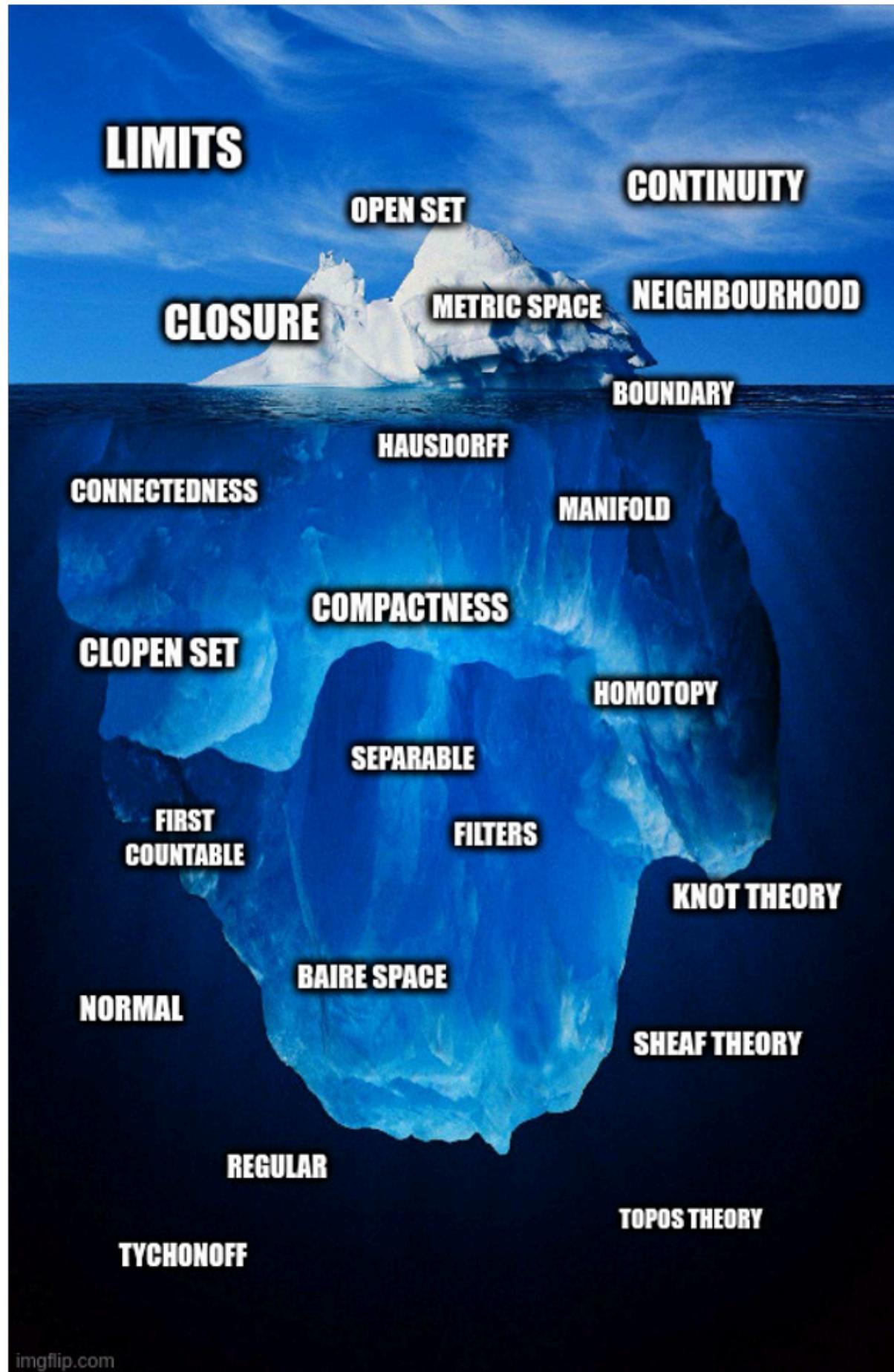


# Why you should care about Topology

## Applications in Machine learning

- Principled way to talk about Convergence for
  - functions, sets, graphs, computer programs, metric spaces, ...
    - ↳ approximation theorems
- Insights about Neural Network hyperparams (width)  
(see Chris Olah - Neural Networks, Manifolds and Topology)  
+ embeddings (Manifold Hypothesis)

# The Topology Iceberg



## Separation axioms in topological spaces

### Kolmogorov classification

T<sub>0</sub> (Kolmogorov)

T<sub>1</sub> (Fréchet)

T<sub>2</sub> (Hausdorff)

T<sub>2½</sub> (Urysohn)

completely T<sub>2</sub> (completely Hausdorff)

T<sub>3</sub> (regular Hausdorff)

T<sub>3½</sub> (Tychonoff)

T<sub>4</sub> (normal Hausdorff)

T<sub>5</sub> (completely normal Hausdorff)

T<sub>6</sub> (perfectly normal Hausdorff)

History

# Why should you care about Topology?

17<sup>th</sup> Century: development of Calculus by Newton / Leibniz

→ Problem: No rigorous foundations, weird questions around infinitesimals

18<sup>th</sup> Century: Rigorous foundations by Bolzano, Cauchy, Weierstrass  
("ε - δ" formulation)

→ Topology is about taming infinity

→ rigorous definitions of limits, continuity, ...

+ Graph Theory, Euler characteristic, Hairy Ball theorem,  
Homotopy, Homology, ...

→ "Geometry without numbers"  
(lengths, angles)

# General Topology ~~Crash Course~~ Speedrun

Topological Space  $(X, \tau)$  where  $X :: \text{Set}$

$$\tau \subset \mathcal{P}(X) \text{ s.t.}$$

- $\emptyset, X \in \tau$
- $U, V \in \tau \Rightarrow U \cap V \in \tau$  (finite intersections)
- $\{U_i\}_{i \in I} \Rightarrow \bigcup_{i \in I} U_i \in \tau$  (arbitrary unions)
- The elements of  $\tau$  are called the open sets of the topology  $\tau$
- A subset  $F$  of  $X$  is closed if  $X \setminus F \in \tau$

## Characterization by closed sets

If  $(X, \tau)$  is a topological space



- $\emptyset, X$  are closed sets
- $F, G$  closed  $\Rightarrow F \cup G$  closed (finite unions)
- $\{F_i\}_{i \in I}$  family of closed subsets  $\Rightarrow \bigcap_{i \in I} F_i$  closed

(arbitrary intersections)

Note: sets can be both open and closed (clopen)  
or neither (e.g.  $[a, b]$ )

Intuition: A topology is the set of "pictures that can be drawn with a given set of "brushes"

Trivial topology: Fill Tool



Discrete topology: Infinitely thin "point brush"  
 $\{x\}$

Euclidean topology: Brushes with non-zero thickness



## Examples

### ① discrete topology

$(X, \mathcal{P}(X))$  discrete topology (every subset open)

### Trivial topology

$(X, \{\emptyset, X\})$

### Usual topology on $\mathbb{R}$

Let  $\mathcal{T} \triangleq \{U \subseteq \mathbb{R} \mid U \text{ is the union of open intervals}\}$

### Sierpinsky Space

$X = \{0, 1\}$   $\mathcal{T} = \{\emptyset, \{1\}, \{0, 1\}\}$

$\hookrightarrow (a, b) = \{x \in \mathbb{R} \mid a < x < b\}$   
(simplest non trivial topological space)

Metric space  $(X, d)$        $d: X \times X \rightarrow \mathbb{R}_+$

$$\begin{cases} d(x, x) = 0, \quad d(x, y) = 0 \Rightarrow x = y \\ d(x, y) = d(y, x) \\ d(x, y) \leq d(x, z) + d(z, y) \end{cases}$$

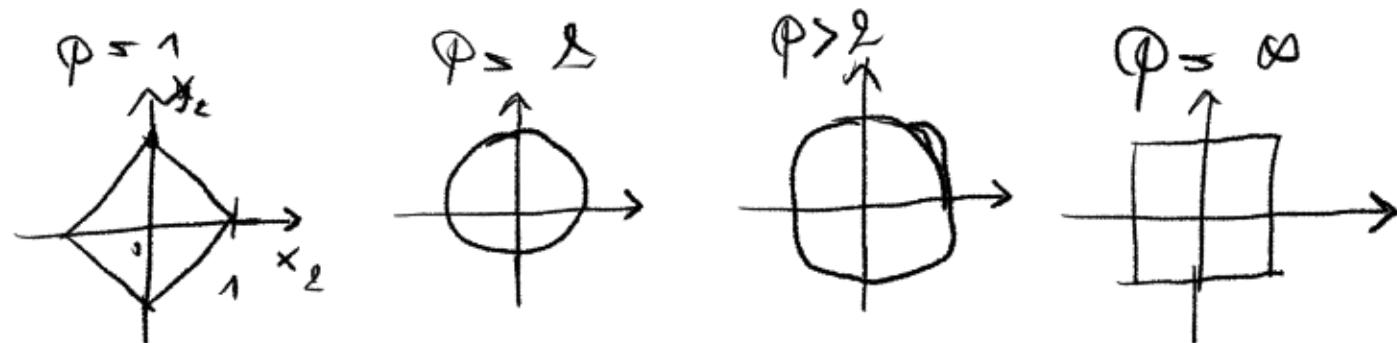
generic open ball     $B(x, \varepsilon) = \{y \in X \mid d(x, y) < \varepsilon\}$

$\leadsto$  generate the metric topology  
 $(X, \tau)$  is metrizable if there is a metric that generates  $\tau$

$\hookrightarrow$  ! Can be multiple metrics!

$$\text{e.g. } d_\varphi(x, y) = \left( \sum_{i=1}^n |x_i - y_i|^\varphi \right)^{\frac{1}{\varphi}}$$

$l_\varphi$ -norms all generate  
 the Euclidean topology  
 on  $\mathbb{R}^n$



(but not in infinite dimensions)

## Euclidean topology

$X = \mathbb{R}^n$

open ball:  $B(x, \varepsilon) = \{y \in \mathbb{R}^n \mid \|x-y\|_2 < \varepsilon\}$

euclidean topology  $\tau = \{\text{arbitrary unions of open balls}\}$



## Finite Complement topology

$X$  arbitrary set  $\tau = \{\emptyset, X\} \cup \{U \mid X \setminus U \text{ is finite}\}$

## Order Topology

partially ordered set  $(X, \leq)$

- $x \leq x$
- $x \leq y \text{ and } y \leq x \Rightarrow x = y$
- $x \leq y \text{ and } y \leq z \Rightarrow x \leq z$

order topology :  $\tau = \{\text{arbitrary unions } \underline{\text{one sided intervals}}\}$

$$\hookrightarrow \{b \in X \mid a < b\}$$

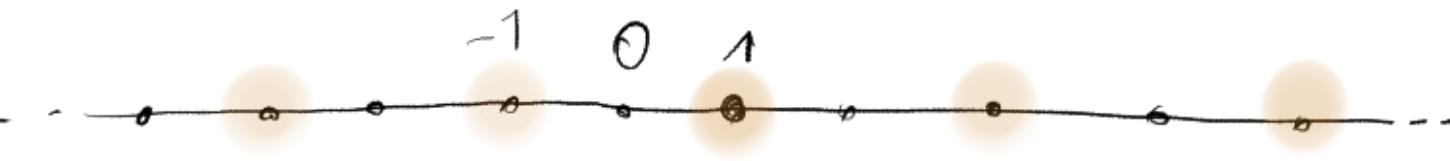
$$\{b \in X \mid b < a\}$$

On  $(\mathbb{R}, \leq)$  ~ equivalent to  
euclidean topology

# Topological proof that there are infinitely many primes

Let  $a, b \in \mathbb{Z}$ ,  $b \neq 0$  and let

$$N_{a,b} = \{a + bn \mid n \in \mathbb{Z}\}$$



$$N_{1,2}$$

and generate a topology using unions of such sets, i.e.

declare  $U \subseteq \mathbb{Z}$  to be open if it is a union of such sets.

$\emptyset = N_{0,2} \cap N_{1,2}$  is open       $\mathbb{Z} = N_{0,1}$  is clearly open

• Let  $U_1, U_2$  be open sets and let  $a \in U_1 \cap U_2$

$$\text{s.t. } U_1 \cap U_2 \neq \emptyset$$

$$\Rightarrow \exists b_1 \text{ s.t. } N_{a,b_1} \subset U_1$$

$$\exists b_2 \text{ s.t. } N_{a,b_2} \subset U_2 \Rightarrow N_{a,b_1,b_2} \subseteq N_{a,b_1} \cap N_{a,b_2} \subseteq U_1 \cap U_2$$

• The  $N_{a,b}$  sets are also closed:  $N_{a,b} = \mathbb{Z} \setminus \bigcup_{i=1}^{b-1} N_{a+i,b}$

Since any integer  $c \in \mathbb{Z} \setminus \{-1, 1\}$  admits at least one prime divisor  $p$

$$\Rightarrow c \in N_{o,p} \Rightarrow \mathbb{Z} \setminus \{-1, 1\} = \bigcup_{p \text{ prime}} N_{o,p}$$

Suppose there are only finitely many primes in  $\mathbb{Z}$ , then

$\mathbb{Z} \setminus \{-1, 1\}$  is a closed set hence  $\{-1, 1\}$  is open

which is a contradiction as it is finite

Moral of the story : Choose the topology for the specific problem you're studying

Why the inverse image?

$f: (X, \tau_X) \rightarrow (Y, \tau_Y)$  is an open map if

$$U \in \tau_X \Rightarrow f(U) \in \tau_Y$$

Are open maps continuous? No

Counter example:  $f: \mathbb{R} \rightarrow \mathbb{R}$  (usual topology)

$$f(x) = x^2 \quad f \text{ is continuous}$$

but  $f([-1, 1]) = [0, 1]$   $f$  is not open  
  
not an open set

## Continuity

Metric spaces  $f: (X, d_X) \rightarrow (Y, d_Y)$  is continuous at  $x \in X$

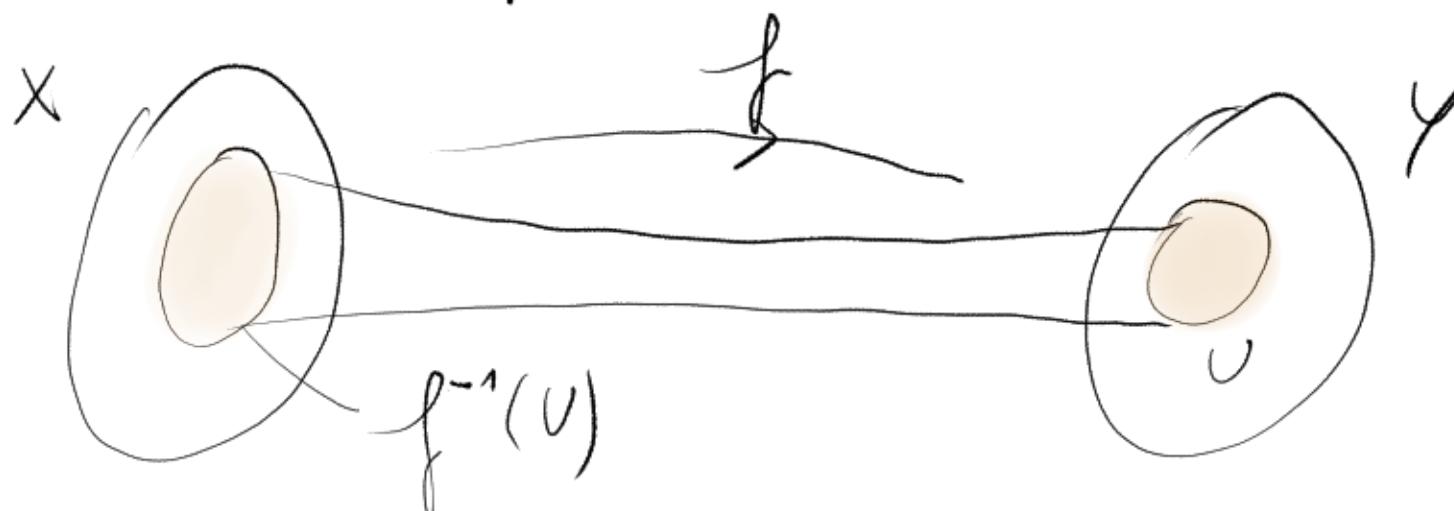
If  $\forall \varepsilon > 0, \exists \delta > 0$  s.t.

$$d_X(x, x') < \delta \Rightarrow d_Y(f(x), f(x')) < \varepsilon$$

$\Leftrightarrow f(B_X(x, \delta)) \subseteq B_Y(f(x), \varepsilon)$

General continuity  $f: (X, \tau_X) \rightarrow (Y, \tau_Y)$  is continuous

$\Leftrightarrow \forall U \in \tau_Y, f^{-1}(U) = \{x \in X \mid f(x) \in U\} \in \tau_X$

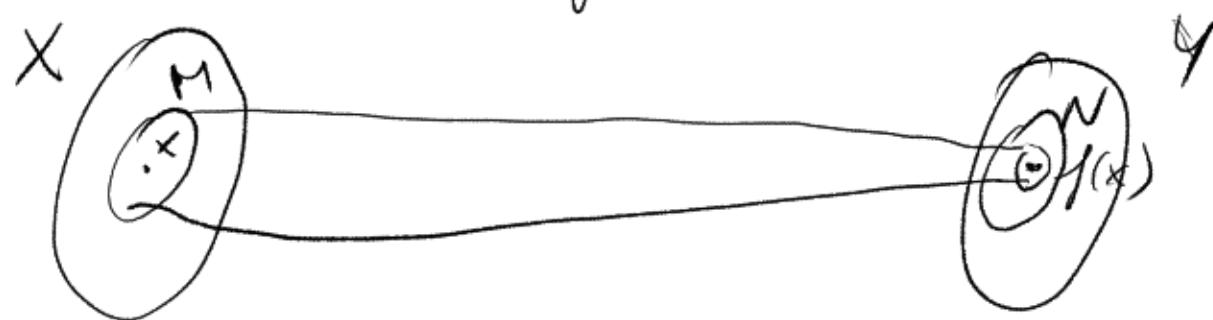


## Examples

- Constant functions are always continuous
- the identity function  $\text{id}: (X, \tau) \rightarrow (X, \tau)$  is always continuous
- If  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$  are continuous functions  
then  $g \circ f: X \rightarrow Z$  is continuous
- Any function from a discrete topological space is continuous
- Any function to a trivial topological space is continuous

## Continuity at a point

$f: X \rightarrow Y$  is continuous at a point  $x \in X$  if for every neighbourhood  $N$  of  $f(x)$  in  $Y$ , there is a neighbourhood  $M$  of  $x$  in  $X$  s.t.  $f(M) \subseteq N$



Note: Checking this condition on the elements of a neighbourhood basis of  $f(x)$  is enough, i.e.

$f$  continuous at  $x \Leftrightarrow$  for any neighbourhood basis  $B_{f(x)}$  of  $f(x)$ ,  $\{f^{-1}(N) | N \in B_{f(x)}\}$  is a neighbourhood basis of  $x$

Theorem:  $f$  continuous  $\Leftrightarrow f$  continuous at  $x \forall x \in X$

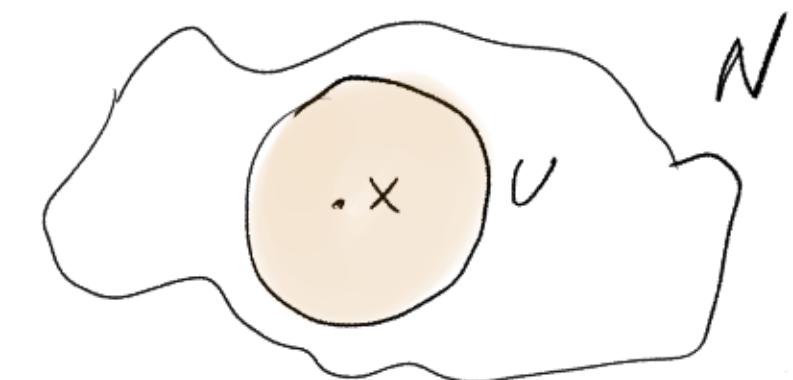
## Neighborhoods

Let  $(X, \tau)$  be a top-space and  $x \in X$ .

$N \subseteq X$  is a neighborhood of  $x$  if there is  $U \in \tau$  s.t.

$$x \in U \subseteq N$$

## Neighborhood basis



$\mathcal{B}_x \subseteq \mathcal{P}(X)$  is a neighborhood basis of  $x$  if

- $\forall B \in \mathcal{B}_x$   $B$  is a neighborhood of  $x$
- every neighborhood of  $x$  contains an element of  $\mathcal{B}_x$

Example:  $\{B(x, \frac{1}{n}) \mid n \in \mathbb{N}_0\}$  (for any metric topology)

## Homeomorphism

- $f: X \rightarrow Y$  is a homeomorphism
  - $\Leftrightarrow f$  is bijective and  $f, f^{-1}$  are continuous
- $X$  and  $Y$  are homeomorphic
  - if there is an homeomorphism  $X \rightarrow Y$

~ This is an equivalence relation!

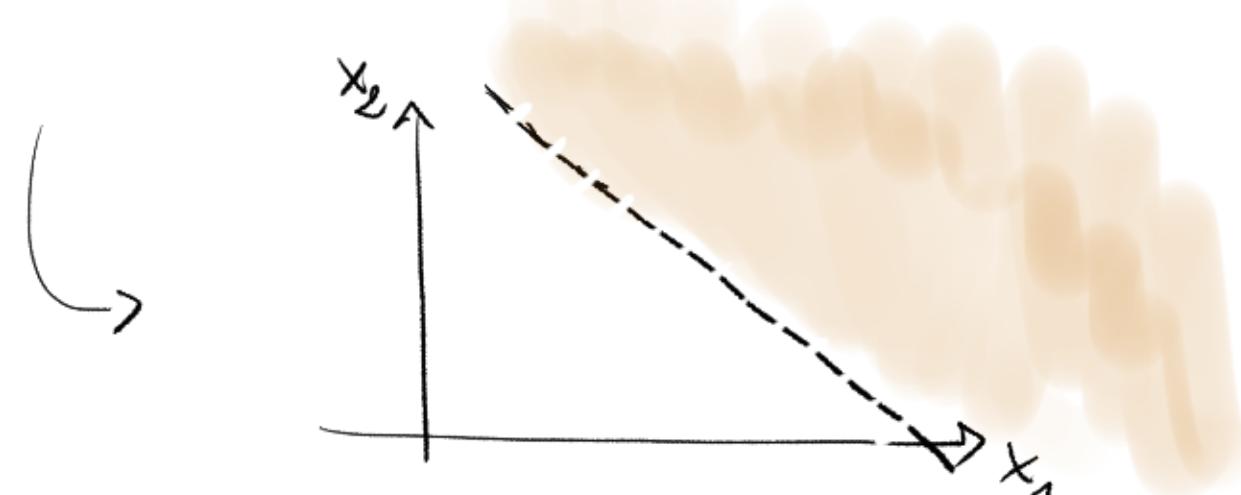
Homeomorphisms are one-to-one correspondences between collections of open sets

~ Can't distinguish two homeomorphic spaces based on their topological structure

## Example : Half-space topology

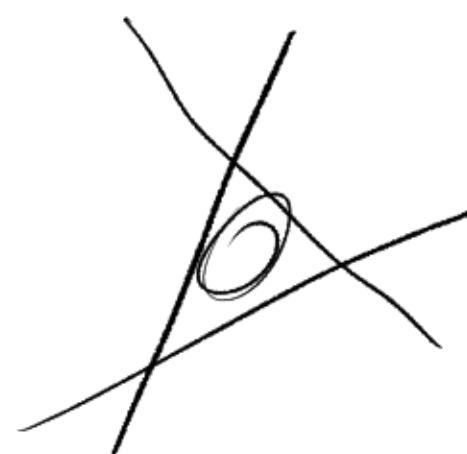
half space  $\Pi_{w,b} = \{x \in \mathbb{R}^n \mid w^T x + b > 0\}$  for  $w \in \mathbb{R}^n, b \in \mathbb{R}$

- use these as a sub-basis to construct a topology  $\mathcal{T}_h$



Claim : the "half-space topology" is equivalent to the usual topology  $\mathcal{T}_u$

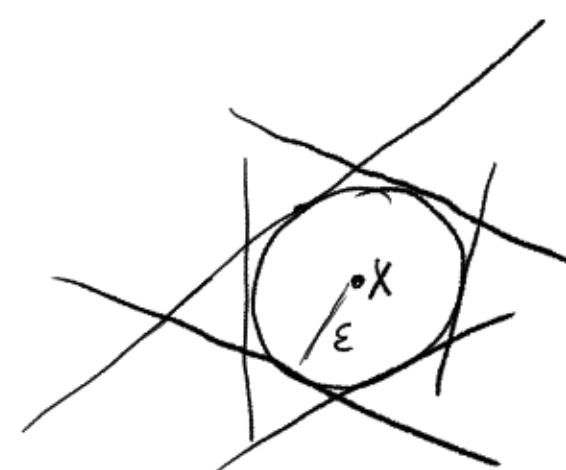
- $\Pi_{w,b} \in \mathcal{T}_u$



$$\Rightarrow \forall U \in \mathcal{T}_h, U \in \mathcal{T}_u$$

$$\Rightarrow \mathcal{T}_h \subset \mathcal{T}_u$$

$$\Rightarrow \mathcal{T}_u \subset \mathcal{T}_h$$



$$\overline{B}_{x,\varepsilon} = \bigcap_{\|w\|=1} \Pi_{w,w^T x - \varepsilon}^c$$

$$w^T(y-x) \leq \varepsilon$$

$$w^T y - w^T x - \varepsilon \leq 0$$

## Basis of a topology

-  $\mathcal{B}$  is a basis of  $(X, \tau)$  if

$$\tau = \{\text{arbitrary unions of elements of } \mathcal{B}\}$$

-  $S$  is a subbasis of  $(X, \tau)$  if

$$\mathcal{B}(S) = \{\text{finite intersections of elements of } S\}$$

is a basis of  $(X, \tau)$

↳ Any subset  $S$  can be used to construct  
a topology ( $\equiv$  the topology generated by  $S$ )

Example: open balls  $B(x, \varepsilon)$  generate the metric topology

First countable  $(X, \tau)$  is first countable if  $\forall x \in X$   
there is a countable neighbourhood basis

Second countable:  $\tau$  has a countable basis

Example: Every metric space is first countable, as

$\{B(x, \frac{1}{n}) \mid n \in \mathbb{N}_0\}$  is a countable neighbourhood  
basis for  $x$

Euclidian spaces are second countable, as

$\{B(q, \frac{1}{n}) \mid q \in \mathbb{Q}^n, n \in \mathbb{N}_0\}$

## Constructing Topologies

. Subspace Topology Given  $(X, \tau)$  a top-space and  $A \subset X$ , the subspace topology on  $A$  is defined as  $\tau_A = \{A \cap U \mid U \in \tau\}$

Other definition: Given the inclusion function  $i: A \hookrightarrow X$   $\tau_A$  is the smallest topology which makes  $i$  continuous.

let  $(X, \tau)$  be a top-space and  $A \subseteq X$

1) There is a largest open set  $U \subseteq A$ , called the interior

$$\text{int}_X(A) \nsubseteq \text{int}(A) \sim \text{or } \overset{\circ}{A} = U \{U \in \tau \mid U \subseteq A\}$$

2) There is a smallest closed set  $F \supseteq A$ , called the closure

$$\overline{A}^X \approx \overline{A} = \bigcap \{F \text{ closed} \mid A \subseteq F\}$$

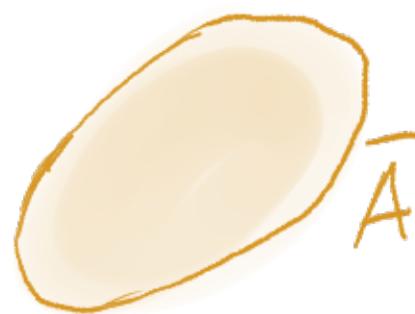
The boundary of  $A$  :  $\partial A = \overline{A} \cap \overline{X \setminus A}$

$$= \overline{A} - \text{int}_X A$$



A subset is dense if  $\overline{A} = X$

e.g.  $\mathbb{Q}$  is dense in  $\mathbb{R}$



## limit points

$x$  is a point of accumulation of  $A$  if  $x \in \overline{A \setminus \{x\}}$   
(limit point)

isolated point :  $\exists$  neighborhood  $U$  of  $x$  s.t.  $A \cap U = \emptyset$

$$\bar{A} = A \cup A'$$

$\hookrightarrow$  limit points of  $A$

$\Rightarrow A$  is closed  $\Leftrightarrow A$  contains all its limit points

Convergence of a sequence :  $(x_n) \subset X$  converges to  $x$  in  $X$

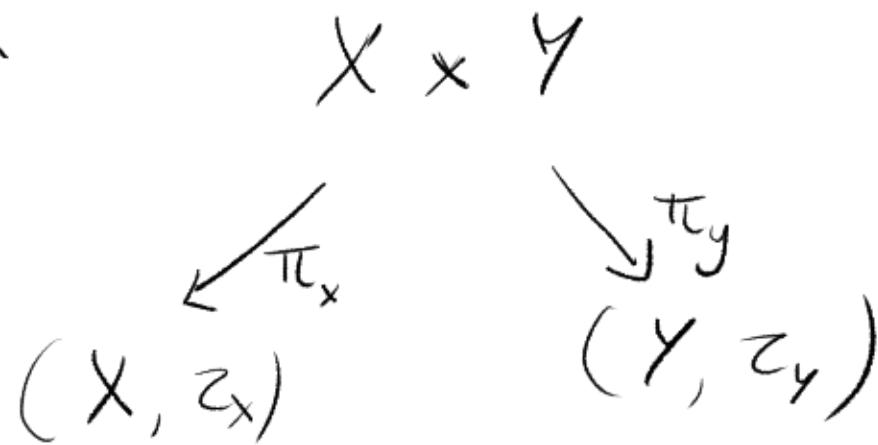
$\Leftrightarrow$  for every neighbourhood  $B$  of  $x$ , there is  $M \geq 0$  s.t.

$$n \geq M \Rightarrow x_n \in B$$

N.B. limits of sequences are  
not necessarily unique!

# Product Topology

Cartesian  
Product



We want to construct a topology on  $X \times Y$

→ projections  $\pi_x, \pi_y$  should be continuous

$$\pi_x^{-1}(U) = U \times Y$$

$$\pi_y^{-1}(V) = X \times V$$

$$(U \times Y) \cap (X \times V) = U \times V$$

$U \times V$  must be an open set!

product topology : {arbitrary union of sets  $U_i \times V_i, i \in I\}$

## Product of infinitely many topologies

$\{X_i\}_{i \in I}$  family of topological spaces

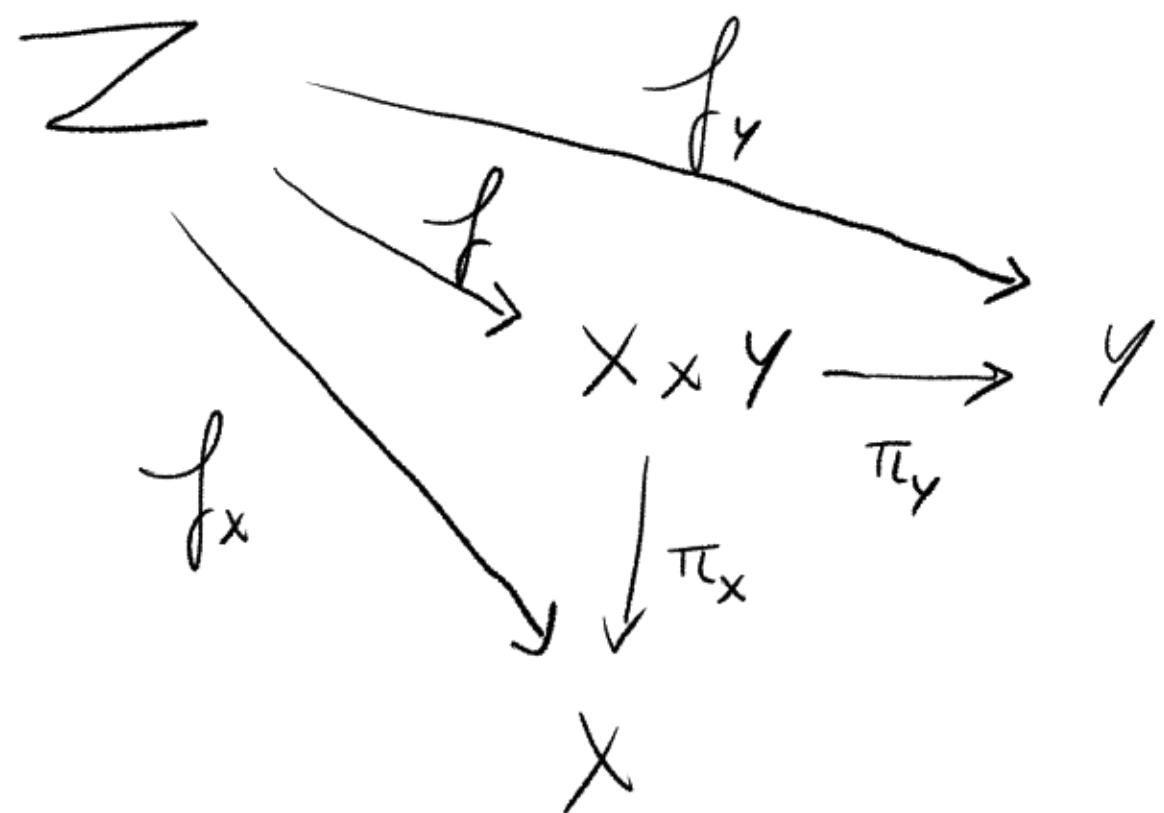
product topology is generated by  $\times_{i \in I} U_i$

where  $U_i \subseteq X_i$  and  $U_i = X_i$  for all but finately  
many i's

(because we can only take finite intersections of open sets)

## Uniqueness of the product topology

For every topological space  $Z$  with continuous maps  $f_x: Z \rightarrow X$   
 $f_y: Z \rightarrow Y$



We can always find  $f: Z \rightarrow X \times Y$  s.t.

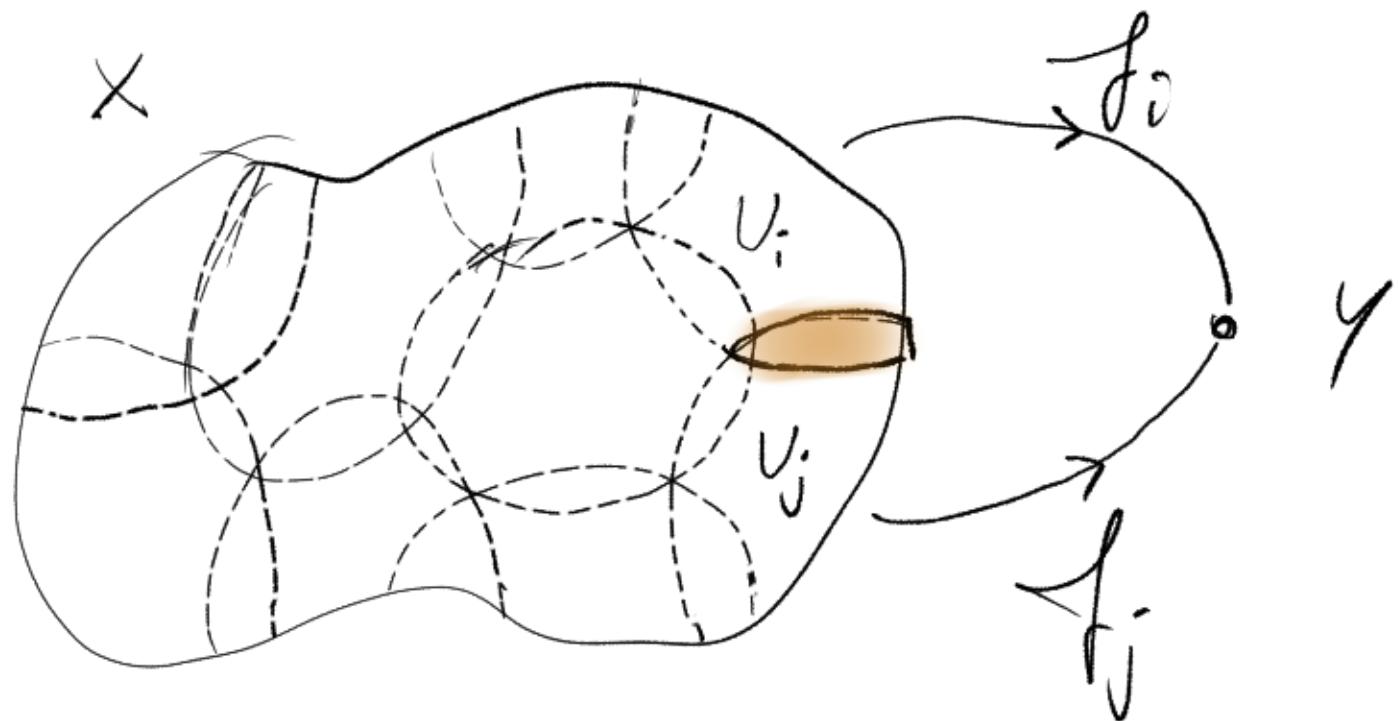
$$f_x = \pi_x \circ f$$

$$f_y = \pi_y \circ f$$

## Gluing Topologies

Let  $X$  be a topological space,  $\{U_i\}_{i \in I}$  an open cover of  $X$

- $U$  open in  $X \Leftrightarrow U \cap U_i$  open in  $U_i \forall i \in I$
- Let  $f: X \rightarrow Y$  be continuous  $\Rightarrow f_i = f|_{U_i}: U_i \rightarrow Y$   
s.t.  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$   $\circledast$



- Conversely given  
 $f_i: U_i \rightarrow Y \forall i \in I$   
s.t.  $\circledast$ , we can "glue" the  $f_i$  to form a map  $f: X \rightarrow Y$  that is continuous

Theorem let  $X$  be an arbitrary set,  $\{X_i\}_{i \in I}$  a collection of subsets of  $X$  whose union is  $X$ . Suppose that for each  $i \in I$  there is a topology  $\tau_i$  on  $X_i$  s.t.  $\forall i, j \in I$ ,  $X_i \cap X_j$  is open in  $(X_i, \tau_i)$  and  $(X_j, \tau_j)$  and the induced topologies on  $X_i \cap X_j$  coincide.

Then there is a unique topology  $\tau$  on  $X$  that induce  $\tau_i$  on each  $X_i$

Note: This is important for defining (differential) manifolds

→ Sheaf Theory, Topos Theory