





**Course Name: Deep Learning** 

**Faculty Name: Prof. P. K. Biswas** 

**Department: E & ECE, IIT Kharagpur** 

#### **Topic**

**Lecture 46: Normalization** 

#### **CONCEPTS COVERED**

#### **Concepts Covered:**

- ☐ Deep Neural Network
  - ☐ Gradient Descent Challenges
  - Normalization
  - Batch Normalization
  - ☐ Layer Normalization
  - Instance Normalization
  - ☐ Group Normalization





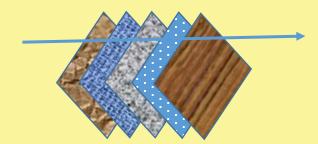
# Normalization





## Local Response Normalization (Inter-Channel)

$$b_{x,y}^{i} = \frac{a_{x,y}^{i}}{\left(k + \alpha \sum_{j=\max(0,i-n/2)}^{\min(N-1,i+n/2)} (a_{x,y}^{j})^{2}\right)^{\beta}}$$

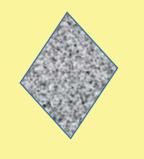




# Local Response Normalization (Intra-Channel)

$$b_{x,y}^{i} = \frac{a_{x,y}^{i}}{\left(k + \alpha \sum_{p=\max(0, x-n/2)}^{\max(W, x+n/2)} \sum_{q=\max(0, y-n/2)}^{\min(H, y+n/2)} (a_{p,q}^{i})^{2}\right)^{\beta}}$$







### Normalizatio

- n
- ☐ Normalization that address the problem of covariate shift.
- ☐ Makes learning process faster.
- ☐ Different layers learn independently of others.

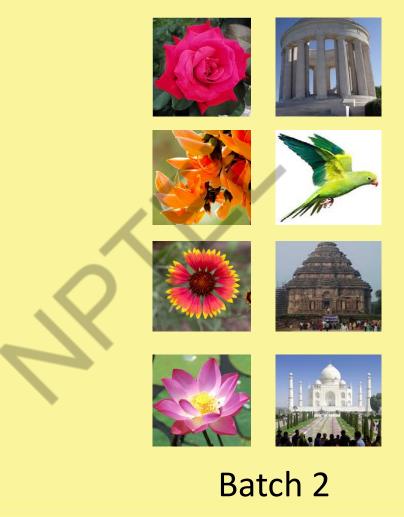
What does a classifier learn?



# Why normalization



Batch 1













Thank you







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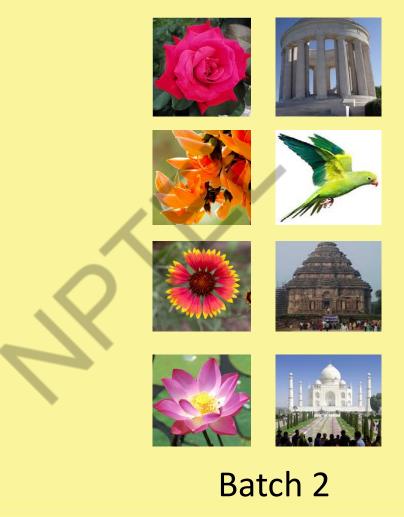
**Topic** 

**Lecture 47** 

# Why normalization



Batch 1







# Normalization In Hidden Layers



# Different normalization techniques

- Batch Normalization
- □ Layer Normalization
- Instance Normalization
- ☐ Group Normalization



# **Batch Normalization**



## Batch Normalization

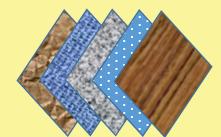


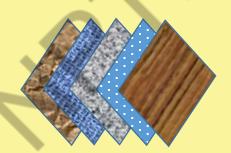


















# Normalizatio

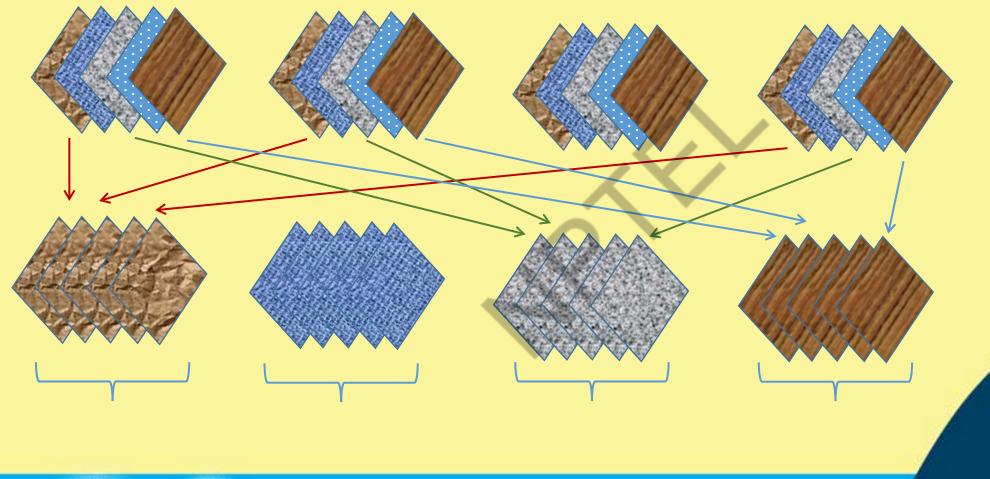
n

CHANNEL

N BATCH  $W \times H$ 



## Batch Normalization





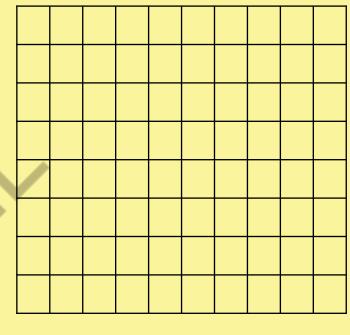
## Batch Normalization

$$x \in \mathbb{R}^{N \times C \times W \times H}$$

$$\mu_C = \frac{1}{NWH} \sum_{i=1}^{N} \sum_{j=1}^{W} \sum_{k=1}^{H} x_{iCjk}$$

$$\sigma_C^2 = \frac{1}{NWH} \sum_{i=1}^{N} \sum_{j=1}^{W} \sum_{k=1}^{H} (x_{iCjk} - \mu_C)^2$$

$$\hat{x} = \frac{x - \mu_C}{\sqrt{\sigma_C^2 + \epsilon}}$$



N





Normalization Input: Values of x over a mini-batch:  $\mathcal{B} = \{x_{1...m}\}$ ;

Parameters to be learned:  $\gamma$ ,  $\beta$ 

Output: 
$$\{y_i = BN_{\gamma,\beta}(x_i)\}$$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^{m} x_i$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2$$

$$\widehat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}}$$

$$y_i \leftarrow \gamma \widehat{x}_i + \beta \equiv BN_{\gamma,\beta}(x_i)$$

// mini-batch mean

// mini-batch variance

// normalize

// scale and shift





$$\begin{split} & \underset{\partial \widehat{x}_{i}}{\text{Normalization}} \\ & \underset{\partial \widehat{x}_{i}}{\frac{\partial \ell}{\partial \sigma_{\mathcal{B}}^{2}}} = \sum_{i=1}^{m} \frac{\partial \ell}{\partial \widehat{x}_{i}} \cdot (x_{i} - \mu_{\mathcal{B}}) \cdot \frac{-1}{2} (\sigma_{\mathcal{B}}^{2} + \epsilon)^{-3/2} \\ & \underset{\partial \mu_{\mathcal{B}}}{\frac{\partial \ell}{\partial \mu_{\mathcal{B}}}} = \left( \sum_{i=1}^{m} \frac{\partial \ell}{\partial \widehat{x}_{i}} \cdot \frac{-1}{\sqrt{\sigma_{\mathcal{B}}^{2} + \epsilon}} \right) + \frac{\partial \ell}{\partial \sigma_{\mathcal{B}}^{2}} \cdot \frac{\sum_{i=1}^{m} -2(x_{i} - \mu_{\mathcal{B}})}{m} \\ & \underset{\partial \ell}{\frac{\partial \ell}{\partial x_{i}}} = \frac{\partial \ell}{\partial \widehat{x}_{i}} \cdot \frac{1}{\sqrt{\sigma_{\mathcal{B}}^{2} + \epsilon}} + \frac{\partial \ell}{\partial \sigma_{\mathcal{B}}^{2}} \cdot \frac{2(x_{i} - \mu_{\mathcal{B}})}{m} + \frac{\partial \ell}{\partial \mu_{\mathcal{B}}} \cdot \frac{1}{m} \\ & \underset{\partial \ell}{\frac{\partial \ell}{\partial \beta}} = \sum_{i=1}^{m} \frac{\partial \ell}{\partial y_{i}} \cdot \widehat{x}_{i} \\ & \underset{\partial \ell}{\frac{\partial \ell}{\partial \beta}} = \sum_{i=1}^{m} \frac{\partial \ell}{\partial y_{i}} \end{split}$$







Thank you







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### **Topic**

**Lecture 48: Normalization - III** 

#### **CONCEPTS COVERED**

#### **Concepts Covered:**

- ☐ Deep Neural Network
  - Normalization
  - Batch Normalization
  - ☐ Layer Normalization
  - Instance Normalization
  - ☐ Group Normalization





# Normalization

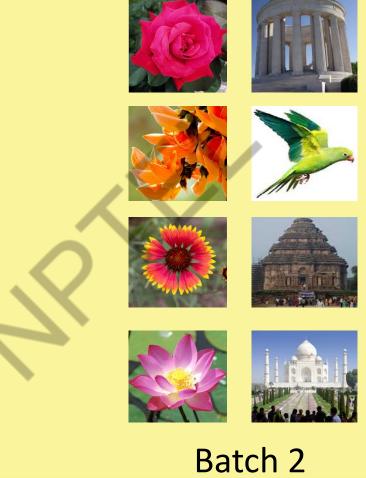




# Why normalization



Batch 1







# Normalization In Hidden Layers



# Different normalization techniques

- Batch Normalization
- □ Layer Normalization
- Instance Normalization
- ☐ Group Normalization



# **Batch Normalization**



## Batch Normalization

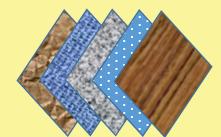


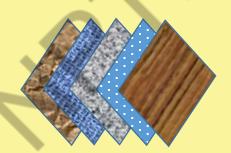


















# Normalizatio

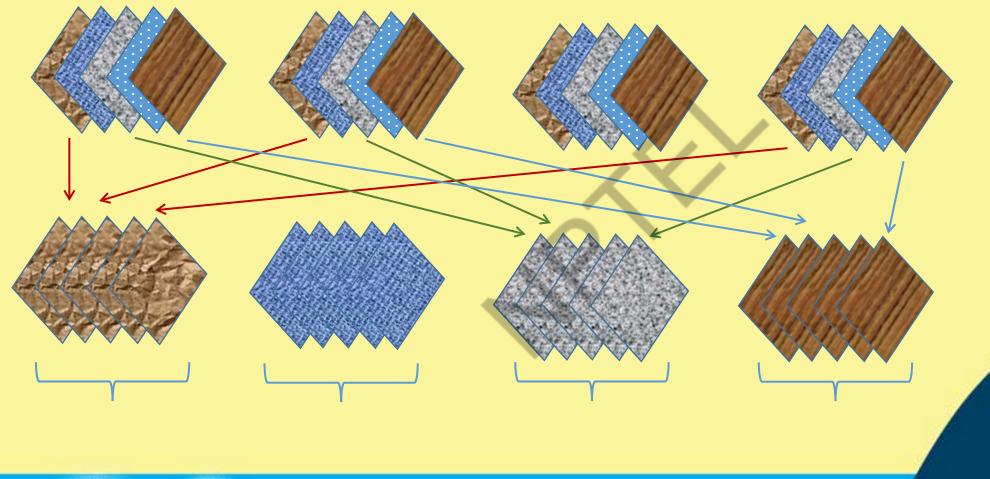
n

CHANNEL

N BATCH  $W \times H$ 



## Batch Normalization





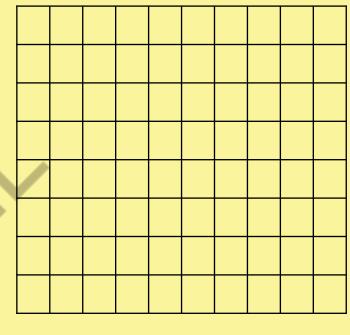
## Batch Normalization

$$x \in \mathbb{R}^{N \times C \times W \times H}$$

$$\mu_C = \frac{1}{NWH} \sum_{i=1}^{N} \sum_{j=1}^{W} \sum_{k=1}^{H} x_{iCjk}$$

$$\sigma_C^2 = \frac{1}{NWH} \sum_{i=1}^{N} \sum_{j=1}^{W} \sum_{k=1}^{H} (x_{iCjk} - \mu_C)^2$$

$$\hat{x} = \frac{x - \mu_C}{\sqrt{\sigma_C^2 + \epsilon}}$$



N





Normalization Input: Values of x over a mini-batch:  $\mathcal{B} = \{x_{1...m}\}$ ;

Parameters to be learned:  $\gamma$ ,  $\beta$ 

Output: 
$$\{y_i = BN_{\gamma,\beta}(x_i)\}$$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^{m} x_i$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2$$

$$\widehat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}}$$

$$y_i \leftarrow \gamma \widehat{x}_i + \beta \equiv BN_{\gamma,\beta}(x_i)$$

// mini-batch mean

// mini-batch variance

// normalize

// scale and shift

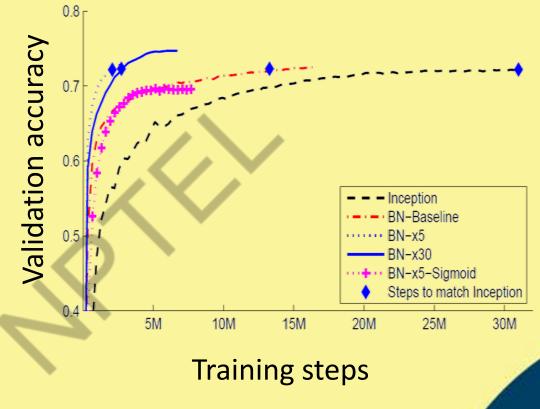




$$\begin{split} & \underset{\partial \widehat{x}_{i}}{\text{Normalization}} \\ & \underset{\partial \widehat{x}_{i}}{\frac{\partial \ell}{\partial \sigma_{\mathcal{B}}^{2}}} = \sum_{i=1}^{m} \frac{\partial \ell}{\partial \widehat{x}_{i}} \cdot (x_{i} - \mu_{\mathcal{B}}) \cdot \frac{-1}{2} (\sigma_{\mathcal{B}}^{2} + \epsilon)^{-3/2} \\ & \underset{\partial \mu_{\mathcal{B}}}{\frac{\partial \ell}{\partial \mu_{\mathcal{B}}}} = \left( \sum_{i=1}^{m} \frac{\partial \ell}{\partial \widehat{x}_{i}} \cdot \frac{-1}{\sqrt{\sigma_{\mathcal{B}}^{2} + \epsilon}} \right) + \frac{\partial \ell}{\partial \sigma_{\mathcal{B}}^{2}} \cdot \frac{\sum_{i=1}^{m} -2(x_{i} - \mu_{\mathcal{B}})}{m} \\ & \underset{\partial \ell}{\frac{\partial \ell}{\partial x_{i}}} = \frac{\partial \ell}{\partial \widehat{x}_{i}} \cdot \frac{1}{\sqrt{\sigma_{\mathcal{B}}^{2} + \epsilon}} + \frac{\partial \ell}{\partial \sigma_{\mathcal{B}}^{2}} \cdot \frac{2(x_{i} - \mu_{\mathcal{B}})}{m} + \frac{\partial \ell}{\partial \mu_{\mathcal{B}}} \cdot \frac{1}{m} \\ & \underset{\partial \ell}{\frac{\partial \ell}{\partial \beta}} = \sum_{i=1}^{m} \frac{\partial \ell}{\partial y_{i}} \cdot \widehat{x}_{i} \\ & \underset{\partial \ell}{\frac{\partial \ell}{\partial \beta}} = \sum_{i=1}^{m} \frac{\partial \ell}{\partial y_{i}} \end{split}$$

## Effect of Batch Normalization

- ☐ Inception: A network, trained with the initial learning rate of 0.0015.
- **BN-Baseline:** Same as Inception with Batch Normalization before each nonlinearity.
- ☐ BN-x5: The initial learning rate was
- ☐ increased by a factor of 5, to 0.0075.
- **BN-x30:** Like BN-x5, but with the initial learning rate 0.045 (30 times that of Inception).
- **BN-x5-Sigmoid:** Like BN-x5, but with sigmoid nonlinearity instead of ReLU.







Ioffe, Sergey, and Christian Szegedy. "Batch normalization: Accelerating deep network training by reducing internal covariate shift." arXiv preprint arXiv:1502.03167 (2015)







Thank you







**Course Name: Deep Learning** 

**Faculty Name: Prof. P. K. Biswas** 

**Department: E & ECE, IIT Kharagpur** 

### **Topic**

**Lecture 49: Normalization - IV** 

#### **CONCEPTS COVERED**

#### **Concepts Covered:**

- ☐ Deep Neural Network
  - Normalization
  - Batch Normalization
  - ☐ Layer Normalization
  - Instance Normalization
  - ☐ Group Normalization





# Normalization

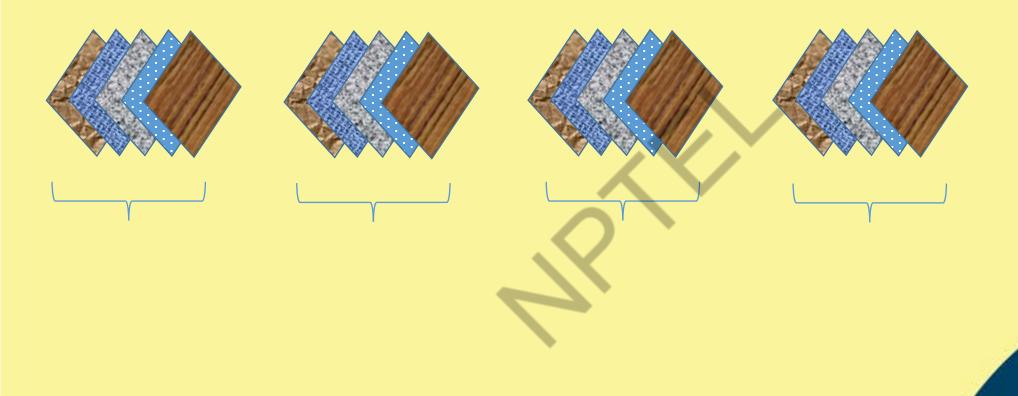




# Layer Normalization



## Layer Normalization





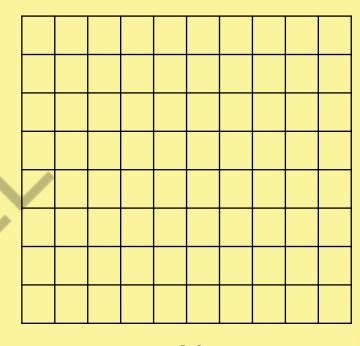
### Layer Normalization

$$x \in \mathbb{R}^{N \times C \times W \times H}$$

$$\mu_{N} = \frac{1}{CWH} \sum_{i=1}^{C} \sum_{j=1}^{W} \sum_{k=1}^{H} x_{Nijk}$$

$$\sigma_N^2 = \frac{1}{CWH} \sum_{i=1}^C \sum_{j=1}^W \sum_{k=1}^H (x_{Nijk} - \mu_N)^2$$

$$\hat{x} = \frac{x - \mu_N}{\sqrt{\sigma_N^2 + \epsilon}}$$



N



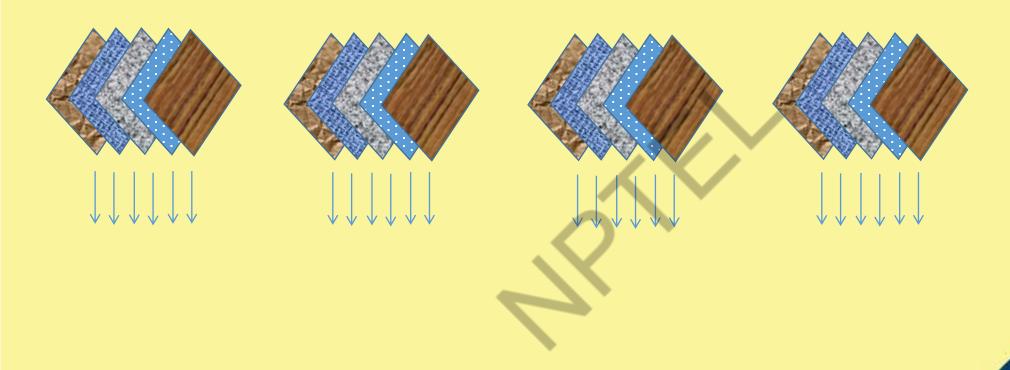


# Instance Normalization





### Instance Normalization





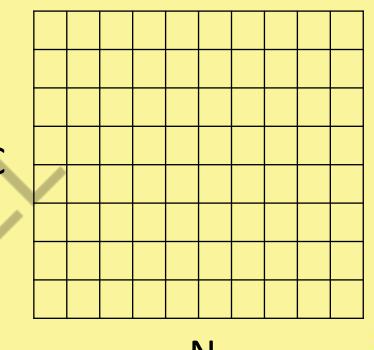
### Instance Normalization

$$x \in \mathbb{R}^{N \times C \times W \times H}$$

$$\mu_{NC} = \frac{1}{WH} \sum_{j=1}^{W} \sum_{k=1}^{H} x_{Nijk}$$

$$\sigma_{NC}^{2} = \frac{1}{WH} \sum_{j=1}^{W} \sum_{k=1}^{H} (x_{Nijk} - \mu_{N})^{2}$$

$$\hat{x} = \frac{x - \mu_{NC}}{\sqrt{\sigma_{NC}^2 + \epsilon}}$$

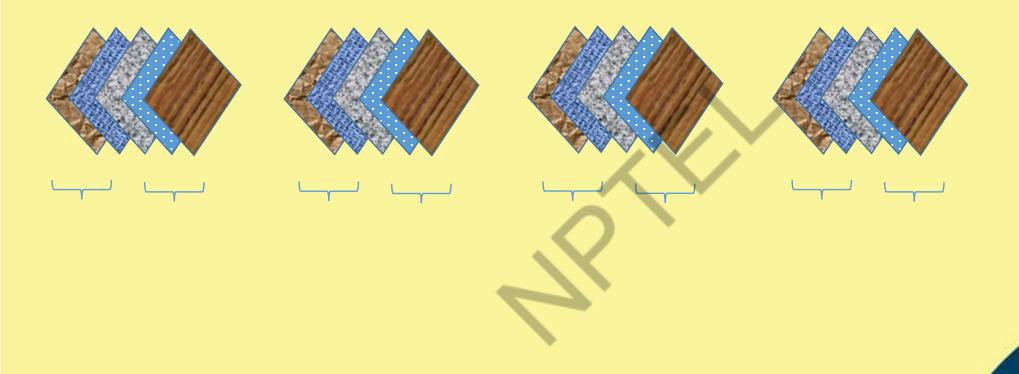




# Group Normalization



# Group Normalization





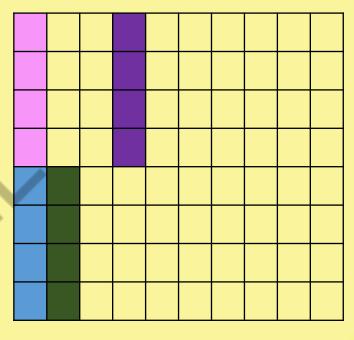
# Normalization

$$x \in \mathbb{R}^{N \times C \times W \times H} \to \mathbb{R}^{N \times G \times C' \times W \times H}$$
  $C = G.C'$ 

*G*=number of groups C'=number of channel per group

$$\mu_{NG} = \frac{1}{C'WH} \sum_{i=1}^{C'} \sum_{j=1}^{W} \sum_{k=1}^{H} x_{NGijk}$$

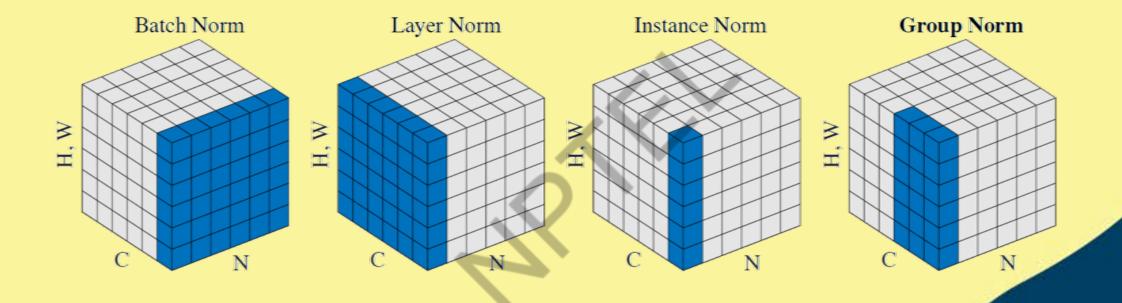
$$\sigma_{NG}^{2} = \frac{1}{C'WH} \sum_{i=1}^{C'} \sum_{j=1}^{W} \sum_{k=1}^{H} (x_{NGijk} - \mu_{NG})^{2} \qquad \hat{x} = \frac{x - \mu_{NG}}{\sqrt{\sigma_{NG}^{2} + \epsilon}}$$



$$\hat{x} = \frac{x - \mu_{NG}}{\sqrt{\sigma_{NG}^2 + \epsilon}}$$



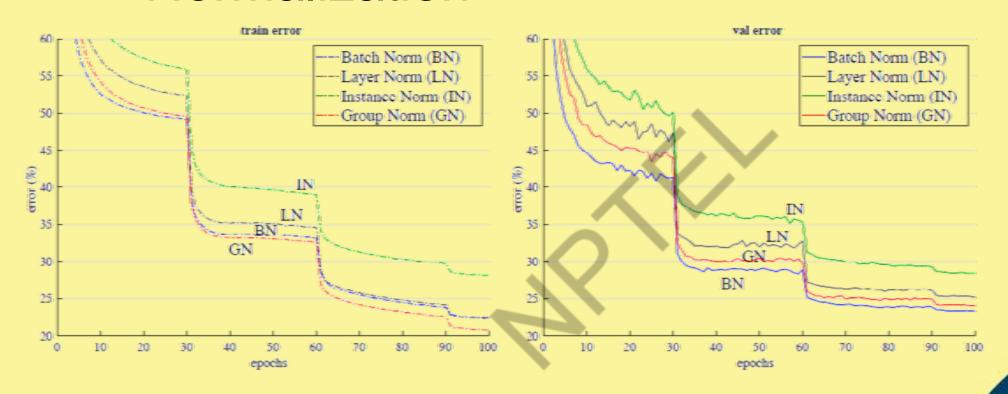








### BN/LN/IN/GN Normalization



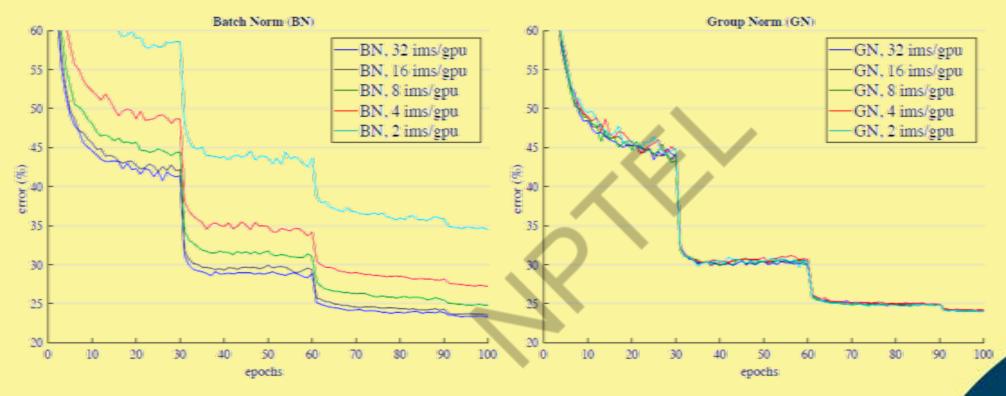
Model Name: Resnet-50, Dataset: Imagenet, Batch size: 32





Wu, Yuxin, and Kaiming He. "Group normalization." Proceedings of the European Conference on Computer Vision (ECCV). 2018.

### Batch/Group Normalization



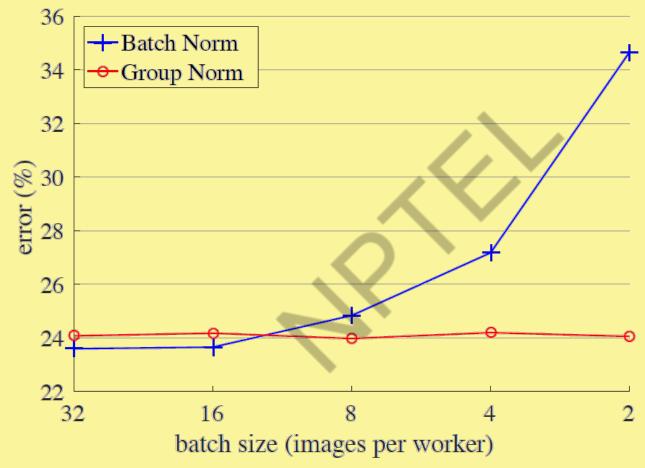
Model Name: Resnet-50, Dataset: Imagenet





Wu, Yuxin, and Kaiming He. "Group normalization." Proceedings of the European Conference on Computer Vision (ECCV). 2018.

### Batch/Group Normalization







Wu, Yuxin, and Kaiming He. "Group normalization." Proceedings of the European Conference on Computer Vision (ECCV). 2018.







#### **NPTEL ONLINE CERTIFICATION COURSES**

Thank you







#### **NPTEL ONLINE CERTIFICATION COURSES**

**Course Name: Deep Learning** 

**Faculty Name: Prof. P. K. Biswas** 

**Department: E & ECE, IIT Kharagpur** 

**Topic** 

**Lecture 50: Training Tricks** 

#### **CONCEPTS COVERED**

#### Concepts Covered:

- ☐ Deep Neural Network
  - Normalization
  - ☐ Underfitting/Ovefitting
  - Regularization
  - Dropout
  - ☐ Early Stopping





# Regularization Early stopping



# Overfitting/Underfitting

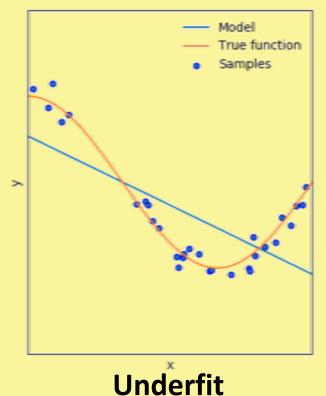
- Overfitting occurs when a statistical model or machine learning algorithm captures the noise of the data.
- ☐ Intuitively, overfitting occurs when the model or the algorithm fits the data too well.

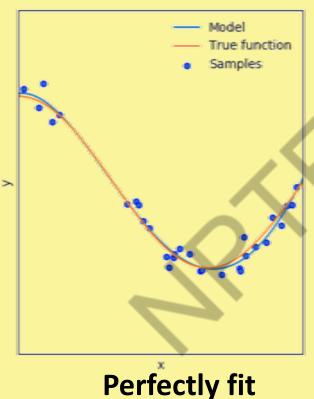
A statistical model or a machine learning algorithm is said to have underfitting when it cannot capture the underlying trend of the data.

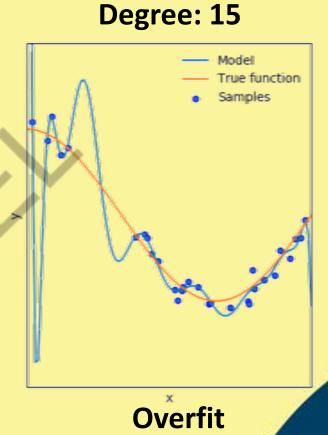


# Overfitting/Underfitting:

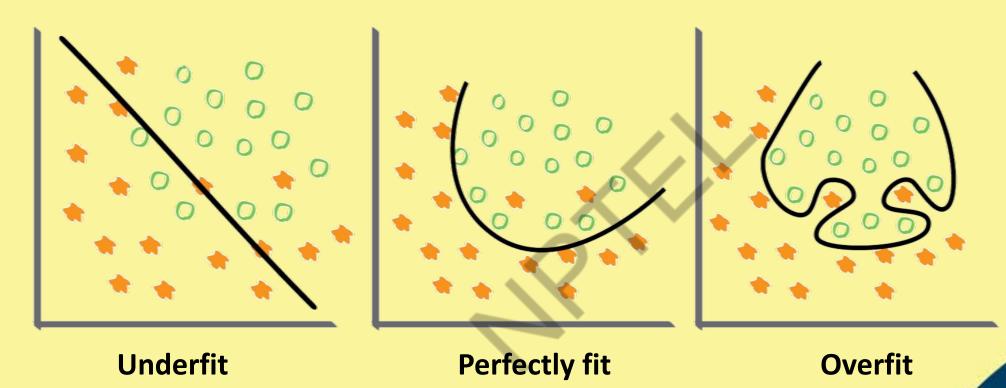
Degree: 1 Regression Degree: 4







# Overfitting/Underfitting: Classification





### Regularizati on

- ☐ Regularization is a way to prevent overfitting.
- ☐ L1 and L2 are the most common types of regularization used in training deep models.
- ☐ General cost function with regularization for training is defined as: Cost function = Loss + Regularization term
- Due to this regularization term, the numerical values of weights decrease because it assumes that a neural network with smaller weights leads to simpler models.
- ☐ So this helps to reduce overfitting.



#### Neguianzation. Li o

**L2** 

- $\square$  L1 regularizer: Cost function = Loss +  $\lambda \sum |w|$ 
  - ☐ It penalizes absolute value of weights
  - ☐ It can make some weights to zero. So useful for model compression.
  - $f \lambda$  is a regularization hyper parameter. Controls the relative weight.
- $\square$  L2 regularizer: Cost function = Loss +  $\lambda \sum ||w||^2$ 
  - ☐ It penalizes second norm of weights.
  - ☐ It is also termed as weight decay as it pushes the weights near to zero. But it does not make exactly zero always.



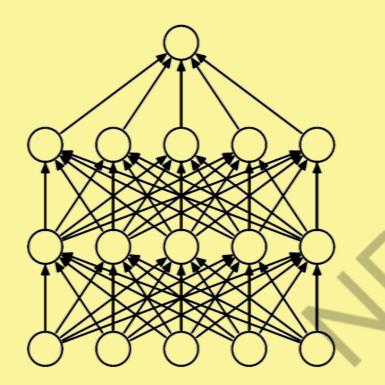


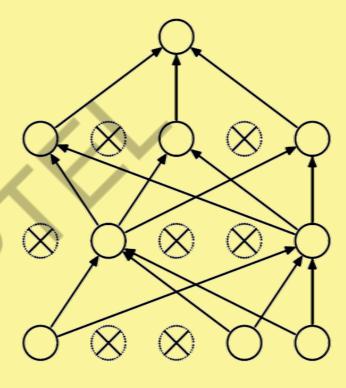
# Data Augmentation

- ☐ Increasing the size of training data is a way to prevent overfitting.
- ☐ It is difficult and costly to increase the training data.
- ☐ Data augmentation is a way to create a different image from one image while keeping the context same.
- ☐ There are a few ways of augmenting training data—rotating, flipping, scaling, shifting, contrast enhancement, brightness control, etc.



### Dropout

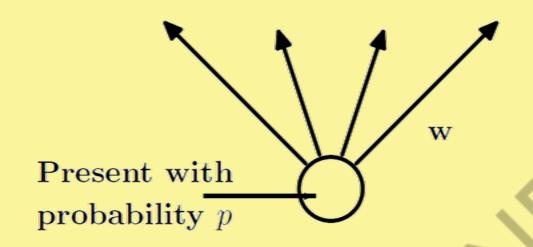




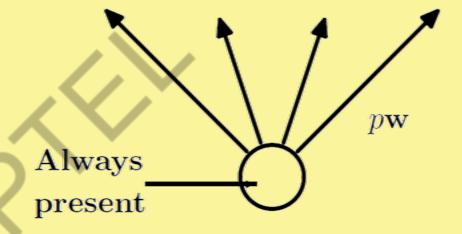




### Dropout



**During Training** 

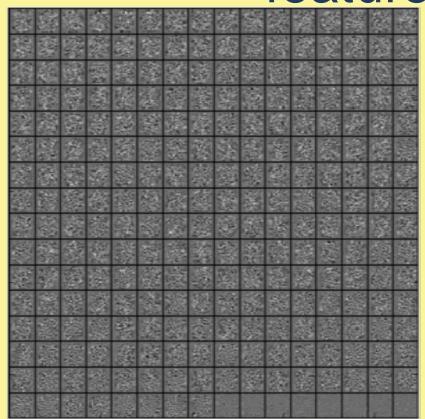


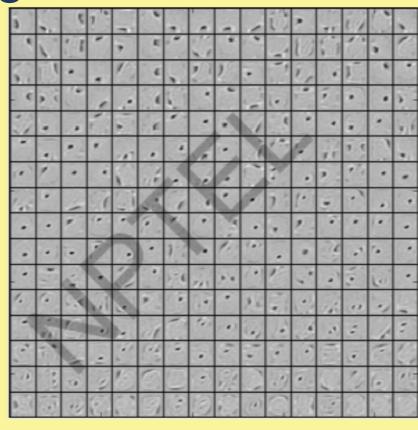
**During Testing** 





# features





Features learned by an autoencoder on MNIST with a single hidden layer of 256 rectified linear units with/ without dropout.

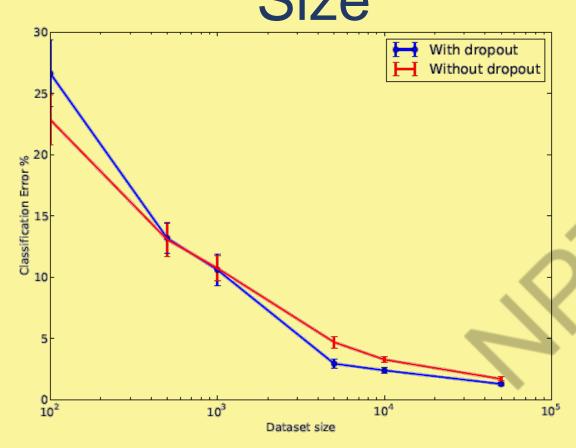
Without dropout

With dropout





# Size



- ☐ While model complexity is fixed, dropout does not generalize the model for very small amount of data
- ☐ As the size of the data set is increased, the gain from doing dropout increases up to a point and then declines.
- ☐ There is a sweet spot where amount of data is large enough.





### Earry Stopping

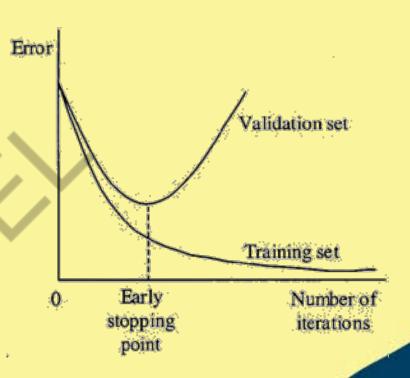
- Hyperparameters need to be tuned for good performance while training neural networks.
- Number of iteration is a hyperparameter to be tuned. Lesser iteration may lead to underfit and more iteration may lead to overfit.
- ☐ Early stopping attempts to remove the need of manually setting this value.
- ☐ It can also be considered a type of regularization method.





### Earry Stopping

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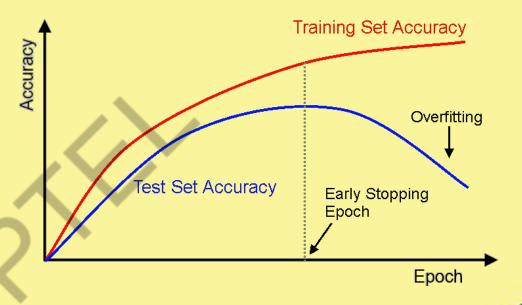




# Stopping

Early stopping algorithm is as follows:

- ☐ Split data into train, validation and test set
- ☐ After each training epoch:
  - ☐ Evaluate the model performance using validation data
  - ☐ Save the best model evaluated on validation data
- ☐ Use final model that has the best validation performance for testing.













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