

# Chapter 1

## The Setup

In any physical theory, we must characterize mathematically the *state* of a system (all information describing the situation of a physical system at a particular time), and the *observables*, the functions of a state, which give ways in which the state of a system can be reduced to quantities that can be observed experimentally. For instance, in Hamiltonian classical mechanics, the state of a system is given by a point in a symplectic manifold  $M$ , and observables given by functions  $f : M \rightarrow \mathbf{R}$ , which should be continuous if we are to correctly measure these observables up to a small degree of error. The observables are then ‘second order’ as they are defined in terms of states, but we can also reverse the situation, describing the observables as the  $C^*$  algebra  $A = C(M)$ . The states then become precisely a *positive* linear functional  $\phi : A \rightarrow \mathbf{R}$  with  $\phi(1) = 1$ . It is natural in the later quantum mechanics to complexify the  $C^*$  algebra  $A$ . Then the observables become the *self-adjoint* elements of  $A$ , and the states the linear functionals  $\phi : A \rightarrow \mathbf{C}$  with  $\phi(1) = 1$  and with  $\phi(X) \geq 0$  if  $X \geq 0$ . The Riesz representation theorem allows us to identify an arbitrary positive linear functional  $\phi : A \rightarrow \mathbf{R}$  such that  $\phi(1) = 1$  with a Borel probability measure  $\mu$  on  $M$ . We then think of an element  $X \in A$  as a *random variable* over the probability space  $(M, \mu)$ , because we then have

$$\mathbf{E}_\phi[X] = \int X \, d\mu = \phi(X).$$

Similarly,

$$\sigma_\phi(X)^2 = \mathbf{V}_\phi(X) = \phi(X^2) - \phi(X)^2.$$

The *pure*, deterministic states  $\phi$  can then be identified from general *mixed states* as those states such that  $\mathbf{V}_\phi(X) = 0$  for all observables  $X$ .

What caused this formulation to fail to explain quantum mechanical phenomena. The most fundamental experimental observation in the theory is the *uncertainty principle*. It is an experimental observation that in any physical system, if  $p : A \rightarrow \mathbf{C}$  and  $q : A \rightarrow \mathbf{C}$  are the position and momentum observables, then for any state  $\phi$ ,

$$2\sigma_\phi(p)\sigma_\phi(q) \geq \hbar,$$

where  $\hbar$  is *Planck's constant*. But there are no two observables  $\phi : A \rightarrow \mathbf{R}$  with this property for all classical states, because  $\sigma_\phi(p) = \sigma_\phi(q) = 0$  for any deterministic state. Thus it appears that the only physically possible states  $\phi$  must be *uncertain* in a suitable sense; this is the *uncertainty principle*.

In the standard theory, this is remedied by replacing the observables of a system with elements of an abstract  $C^*$  algebra  $A$ , and the states with normalized, positive linear functions  $\phi : A \rightarrow \mathbf{C}$ . Each fixed state  $\phi$  then induces an algebra homomorphism  $\Phi$  from  $A$  to the family of random variables in an appropriate probability space, such that  $\mathbf{E}(\Phi(X)) = \phi(X)$ . Thus one can use the spectral calculus to obtain detailed information about the probability distribution of  $\Phi(X)$ , since for any continuous  $f : \sigma(X) \rightarrow \mathbf{C}$ , we have  $\mathbf{E}(f(X)) = \phi(f(X))$ . Note, in particular, that this means that the support of the random variable  $X$  is on  $\sigma(X)$ .

The reason this formulation is useful is that we can theoretically derive the uncertainty principle, provided we are working in a *non-commutative*  $C^*$  algebra  $A$ . Indeed, if  $X$  and  $Y$  are any observables with  $\phi(X) = \phi(Y) = 0$ , we calculate that the matrix

$$M = \begin{pmatrix} \phi(X^2) & (1/2)\phi(i[X, Y]) \\ (1/2)\phi(i[X, Y]) & \phi(Y^2) \end{pmatrix}$$

is positive-semidefinite, since for any  $v = (\alpha, \beta)^T \in \mathbf{R}$ ,

$$v^T M v = \phi(X^2)\alpha^2 + \phi(i[X, Y])\alpha\beta + \phi(Y^2)\beta^2 = \phi((\alpha X - i\beta Y)(\alpha X + i\beta Y)) \geq 0.$$

Thus  $\det(M) = \phi(X^2)\phi(Y^2) - \phi(i[X, Y])^2/4$  is non-negative, which means that

$$2\sigma_\phi(X)\sigma_\phi(Y) = 2\phi(X^2)^{1/2}\phi(Y^2)^{1/2} \geq \phi(i[X, Y]).$$

Thus the uncertainty principle for position and momenta follows immediately if we model these quantities by observables  $p$  and  $q$  with  $[p, q] = -i\hbar$ .

## Chapter 2

# Quantum Information Theory

The simplest unit of information in classical physics is a *bit*, represented by an element of  $\{0, 1\}$ . We can generalize

and the state of a collection of  $n$  bits are represented by an element of  $\{0, 1\}^n$ . From the quantum perspective, a *quantum bit*, or *qubit*, is represented by an element  $\psi = \psi_0|0\rangle + \psi_1|1\rangle$  of a two dimension Hermitian product space with orthonormal basis  $\{|0\rangle, |1\rangle\}$ .

(Gleason)

## 2.1 How can the Hamiltonian operator be Formed From the Classical Hamiltonian?

The Hamiltonian of a system is given by

$$H = p^2/2m + V(q),$$

where  $p$  is the momentum, and  $q$  the position. If we set

$$\psi = e^{(i/\hbar)(p \cdot q - Ht)},$$

then we notice that we can rewrite the Hamiltonian system above as

$$0 = (H - p^2/2m - V(q))\psi = H\psi - \frac{p^2}{2m}\psi - V(q)\psi.$$

Note that

$$H\psi = i\hbar \frac{\partial \psi}{\partial t} \quad \text{and} \quad p\psi = -i\hbar \nabla_q \psi,$$

and so

$$i\hbar \frac{\partial \psi}{\partial t} + \frac{\hbar^2}{2m} \Delta_q \psi - V(q)\psi = 0,$$

or equivalently,

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \Delta_q \psi + V(q)\psi,$$

which begins to look like the Schrödinger equation. In *classical physics*, we restrict ourselves to solutions of this equation of the form  $e^{(i/\hbar)(p \cdot q - Ht)}$ ,