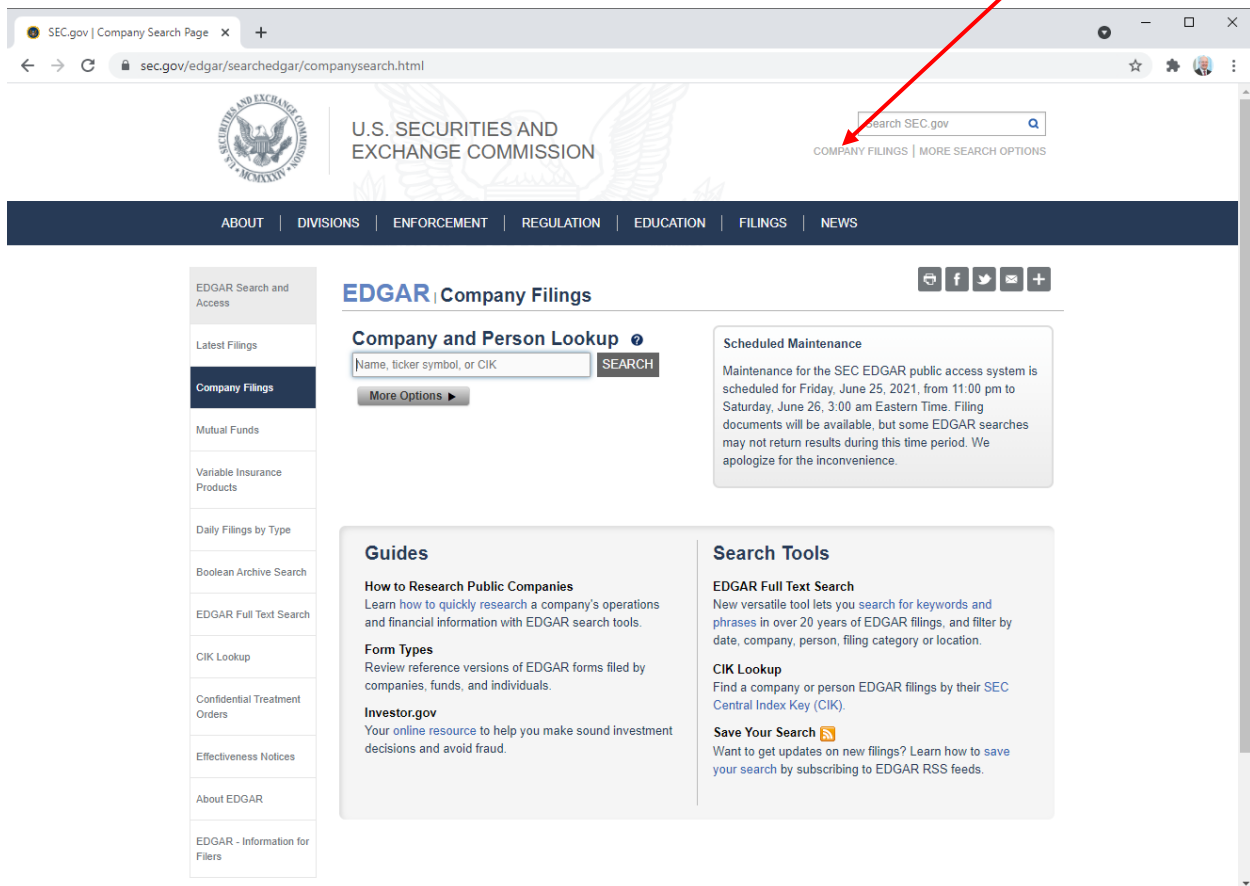


The 10Q / 10K downloader application will take as input the spreadsheet of all SEC for a company. It will use the link to each filing within the Excel spreadsheet to find the associated XML report.

The following steps should be followed to generate this filing list from the Sec SITE.

STEP 1 –

Go to [www.sec.gov](http://www.sec.gov) and click on the link in the upper right hand of the page called 'Company Filings'.



## Step 2 –

That link should bring you to the Company Filings page as show below. You need to either the ticker or CIK for the company that you are wanting to download 10Q/K's for (in the example below, we are looking at AGNC Investment Corp (ticker AGNC). The type ahead features on the page will cause the company name to be displayed below and you select the link for the company.

The screenshot shows the SEC.gov Company Filings page. The browser address bar displays "sec.gov/edgar/searchedgar/companysearch.html". The page header includes the U.S. Securities and Exchange Commission logo and a search bar. The main navigation bar lists various sections: ABOUT, DIVISIONS, ENFORCEMENT, REGULATION, EDUCATION, FILINGS, and NEWS. The left sidebar contains links to "EDGAR Search and Access", "Latest Filings", "Company Filings" (highlighted), "Mutual Funds", "Variable Insurance Products", "Daily Filings by Type", "Boolean Archive Search", "EDGAR Full Text Search", "CIK Lookup", "Confidential Treatment Orders", "Effectiveness Notices", "About EDGAR", and "EDGAR - Information for Filers". The main content area is titled "EDGAR | Company Filings" and features a "Company and Person Lookup" section. This section has a search bar with "AGNC" entered and a "SEARCH" button. Below the search bar, a dropdown menu displays the search results: "AGNC Investment Corp. (AGNC, AGNCP, AGNCO, AGNCN, AGNCM)" with the CIK number "0001423689". A red arrow points from the text in the first block to the "AGNC" search input, and another red arrow points from the same text to the search results dropdown. To the right of the search bar, there is a "Scheduled Maintenance" notice and a "Search Tools" section with links to "How to Research Public Companies", "Form Types", "Investor.gov", "EDGAR Full Text Search", "CIK Lookup", and "Save Your Search". The URL at the bottom of the page is "https://www.sec.gov/edgar/browse/?CIK=1423689&owner=exclude".

Step 3 - After selecting the company, you should be presented with a screen with the latest filings as shown below. Select the 'View Filings' button to see all the filings for the company.

The screenshot shows the EDGAR Company Search Results page for AGNC Investment Corp. The page is titled "EDGAR | Company Search Results" and includes a navigation bar with links for "FAQ", "EDGAR search tools", "Feedback", and "Classic version". The main content area is divided into several sections:

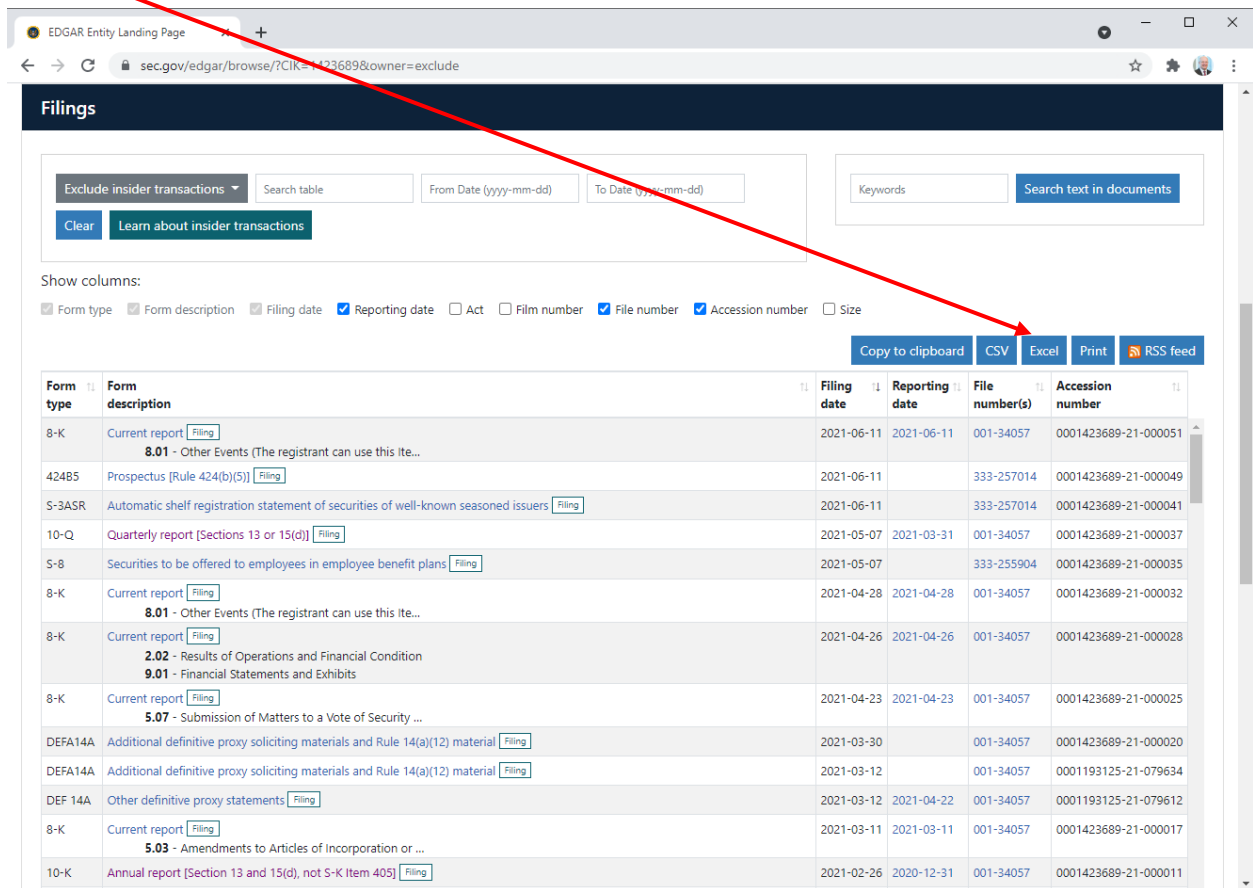
- Home » Company Search**
- AGNC Investment Corp.** (AGNC, AGNCM, AGNCN, AGNCP, AGNCO on Nasdaq)
- [+] Company Information**
- Latest Filings (excluding insider transactions)**
  - June 11, 2021 - 8-K: Current report [Filing]
  - 8.01 - Other Events (The registrant can use this item to report events that are not speci...
  - June 11, 2021 - 424B5: Prospectus [Rule 424(b)(5)] [Filing]
  - June 11, 2021 - S-3ASR: Automatic shelf registration statement of securities of well-known seasoned issuers [Filing]
  - May 7, 2021 - 10-Q: Quarterly report for quarter ending March 31, 2021 [Filing]
  - May 7, 2021 - S-8: Securities to be offered to employees in employee benefit plans [Filing]

**View filings**
- Selected Filings**
  - [+] 8-K (current reports)
  - [+] 10-K (annual reports) and 10-Q (quarterly reports)
  - [+] Proxy (annual meeting) and information statements
  - [+] Ownership disclosures
- Investor Resources**
  - How to Use EDGAR**

Learn how to use EDGAR to research public filings by public companies, mutual funds, ETFs, some annuities, and more.
  - Before you Invest, Investor.gov**

Get answers to your investing questions from the SEC's website dedicated to retail investors

Step 4 - You should then be presented with a table of all the filings for the company. You need to select the 'Excel' link to download a spreadsheet of all the filings.



The screenshot shows the SEC Edgar Entity Landing Page for a company with CIK 1423689. The page displays a table of filings with columns: Form type, Form description, Filing date, Reporting date, File number(s), and Accession number. A red arrow points from the text 'Step 4' to the 'Excel' button in the top right of the table area.

Form type	Form description	Filing date	Reporting date	File number(s)	Accession number
8-K	Current report [Filing] 8.01 - Other Events (The registrant can use this It...	2021-06-11	2021-06-11	001-34057	0001423689-21-000051
424B5	Prospectus (Rule 424(b)(5)) [Filing]	2021-06-11		333-257014	0001423689-21-000049
S-3ASR	Automatic shelf registration statement of securities of well-known seasoned issuers [Filing]	2021-06-11		333-257014	0001423689-21-000041
10-Q	Quarterly report [Sections 13 or 15(d)] [Filing]	2021-05-07	2021-03-31	001-34057	0001423689-21-000037
S-8	Securities to be offered to employees in employee benefit plans [Filing]	2021-05-07		333-255904	0001423689-21-000035
8-K	Current report [Filing] 8.01 - Other Events (The registrant can use this It...	2021-04-28	2021-04-28	001-34057	0001423689-21-000032
8-K	Current report [Filing] 2.02 - Results of Operations and Financial Condition 9.01 - Financial Statements and Exhibits	2021-04-26	2021-04-26	001-34057	0001423689-21-000028
8-K	Current report [Filing] 5.07 - Submission of Matters to a Vote of Security ...	2021-04-23	2021-04-23	001-34057	0001423689-21-000025
DEFA14A	Additional definitive proxy soliciting materials and Rule 14(a)(12) material [Filing]	2021-03-30		001-34057	0001423689-21-000020
DEFA14A	Additional definitive proxy soliciting materials and Rule 14(a)(12) material [Filing]	2021-03-12		001-34057	0001193125-21-079634
DEF 14A	Other definitive proxy statements [Filing]	2021-03-12	2021-04-22	001-34057	0001193125-21-079612
8-K	Current report [Filing] 5.03 - Amendments to Articles of Incorporation or ...	2021-03-11	2021-03-11	001-34057	0001423689-21-000017
10-K	Annual report [Section 13 and 15(d), not S-K Item 405] [Filing]	2021-02-26	2020-12-31	001-34057	0001423689-21-000011

After the filing listing is downloaded to your system, you should save the Excel file with the following naming convention so it can be read by the '10Q\_K\_XML\_Parser' parser script.

TTTT\_Filings\_List.xlsx

Where TTTT is replaced by the ticker for the company associated with the filings.

You should repeat this process for every company you want to download filings for, saving a separate Excel for each company.