

**Contract Templates Used by Erste Investment Ltd.
(effective date: 15 March 2014)**

A. GENERALLY APPLIED CONTRACT AND FORM TEMPLATES

Framework agreements:

- Framework Agreement on Investment Services and Related Ancillary Services concluded with private persons and the annex to the Framework Agreement:
 - Agreement on Notices and Special Services
- Framework Agreement on Investment Services and Related Ancillary Services concluded with non-private persons (legal entities) and the annex to the Framework Agreement:
 - Agreement on Notices and Special Services
- Framework Agreement on Investment Services and Related Ancillary Services concluded with private persons, HOZAM PLAZA and the annex to the Framework Agreement:
 - Agreement on Notices and Special Services
- Framework Agreement on Investment Services and Related Ancillary Services concluded with non-private persons (legal entities), HOZAM PLAZA and the annex to the Framework Agreement:
 - Agreement on Notices and Special Services
- Framework Agreement on Investment Services and Related Ancillary Services concluded with private persons, PORTFOLIO ONLINE TÖZSDE and the annex to the Framework Agreement:
 - Agreement on Notices and Special Services
- Framework Agreement on Investment Services and Related Ancillary Services concluded with non-private persons (legal entities), PORTFOLIO ONLINE TÖZSDE and the annex to the Framework Agreement:
 - Agreement on Notices and Special Services
- Ancillary Agreement for Complex Transactions
- Framework Agreement on Portfolio Management Service
- Co-debtor Agreement
- Agreement on the Termination of Co-debtor Agreement by Mutual Agreement
- Agreement on the Joint Margin Check of Assets and Positions registered on Multiple Accounts
- Framework Agreement – Securities Lending
- Risk Identification Declaration – Securities Lending
- Agreement on Account Management and the Provision of Related Investment Services in relation to the Investment units of Magyar Posta Funds and Certain Other Assets (cannot be concluded from 1 February 2013)
- Private Banking Agreement
- Private Banking Agreement (organizations)
- Supplement to the Private Banking Agreement Regarding Orders Initiated by Phone and Fax outside TeleBank
- Supplement to the Private Banking Agreement Regarding Orders Initiated by Phone and Fax outside TeleBank (organizations)
- Agreement on the Investment of Free Balances under the Scope of the Private Banking Agreement
- Premium Banking Agreement
- Premium Banking Agreement (organization)
- Brokerage Agreement on Regular Purchase of Investment Instruments

- **FRAMEWORK AGREEMENT on Account Management and Provision of Related Investment Services in relation to Certain Assets (Framework Agreement applied in distribution by saving cooperatives)**

Ad hoc contracts and relating documents

- Brokerage Agreement – for Spot Transactions
- Brokerage Agreement – for the Sale and Purchase of Investment Units
- Brokerage Agreement – for the Purchase of Government Bonds in Auction
- Brokerage Agreement Amendment
- Withdrawal of Order
- Sale and Purchase Agreement
- Sale and Purchase Agreement for Investment Units
- Sale and Purchase Agreement for Structured Products
- Agreement – for the Purchase of Securities Using an Investment Loan
- Agreement – on Investment Loan Prolongation
- Loan Agreement – for Securities (Customer as Borrower)
- Loan Agreement – for Securities (Erste as Borrower)
- Brokerage Agreement – for Securities Lending
- Agreement – on Securities Loan Prolongation
- Brokerage Agreement – for Forward Transactions
- Brokerage Agreement – for Stock Exchange Forward Transactions
- Brokerage Agreement – for OTC Forward Transactions
- Brokerage Agreement – for Options
- Declaration on Option Call
- Call Notice
- Brokerage Agreement – for FX Options
- Declaration on FX Option Call
- Call Notice
- Amendment of Loan Agreement
- FX Conversion Order
- Portfolio Management Agreement
- Certificate of Performance – Spot Transactions
- Certificate of Performance – Sale and Purchase of Investment Units
- Certificate of Performance – Purchase of Government Bonds in an Auction
- Certificate of Performance – Security Lending
- Certificate of Performance – Forward Transactions
- Certificate of Performance – Stock Exchange Forward FX Transactions
- Certificate of Performance – OTC Forward FX Transactions
- Certificate of Performance – Options
- Certificate of Performance – FX Options
- Certificate of Performance – FX Conversion
- Certificate of Performance – Forward FX Transactions with Physical Delivery
- Brokerage Agreement with Settlement on Pension Savings Account
- Sale and Purchase Agreement with Settlement on Pension Savings Account
- Brokerage Agreement for the Sale and Purchase of Investment Units with Settlement on Pension Savings Account
- Sale and Purchase Agreement for Investment Units with Settlement on Pension Savings Account
- Transfer Order to Pension Savings Account
- Transfer Order from Pension Savings Account
- Brokerage Agreement with Settlement on Long-term Investment Account
- Sale and Purchase Agreement with Settlement on Long-term Investment Account
- Brokerage Agreement for the Sale and Purchase of Investment Units with Settlement on Long-term Investment Account
- Sale and Purchase Agreement for Investment Units with Settlement on Long-term Investment Account
- Brokerage Agreement for the Purchase of Government Bonds in Auction with Settlement on Long-term Investment Account
- Transfer Order to Long-term Investment Account
- Transfer Order from Long-term Investment Account
- Transfer Order from Long-term Investment Account
- Transfer Order from Pension Savings Account to Long-term Investment Account
- Agreement on the Sale of Investment Units and their Purchase for Long-term Investment Account
- Handover/Receipt (Printed Securities)

Contracts, Forms Applied in Account Management

- Specimen Signature Card for Private Persons – for the Account Opened by the Customer and the Customer's Special Accounts
- Specimen Signature Card for Non-Private Persons (Legal Entities) – for the Account Opened by the Customer and the Customer's Special Accounts
- Specimen Signature Card for Private Persons – for the Account Opened by the Customer and the Customer's Special Accounts -HOZAM PLAZA
- Specimen Signature Card for Non-Private Persons (Legal Entities) – for the Account Opened by the Customer and the Customer's Special Accounts - HOZAM PLAZA
- Specimen Signature Card for Private Persons – for the Account Opened by the Customer and the Customer's Special Accounts – Portfólió Online Tőzsde
- Specimen Signature Card for Non-Private Persons (Legal Entities) – for the Account Opened by the Customer and the Customer's Special Accounts - Portfólió Online Tőzsde

- Specimen Signature Card Data Amendment Form – Registration Record – Private Persons
- Specimen Signature Card Data Amendment Form – Registration Record – Non-Private Persons (Legal Entities)
- Specimen Signature Card Data Amendment Form – Proxy Data
- Specimen Signature Card Data Amendment Form – Manager Data – Non-Private Persons (Legal Entities)
- Specimen Signature Card Data Amendment Form – Proxy Data – Non-Private Persons (Legal Entities)

- Data Amendment Form (for the Amendment of Data in Notices, Special Services and Notice Agreement)
- Data Amendment Form (for the Amendment of Data in Notices, Special Services and Notice Agreement) – Hozam Plaza
- Data Amendment Form (for the Amendment of Data in Notices, Special Services and Notice Agreement) – Portfolio Online Tőzsde

- Security Deposit and Relating Blocking Agreement – in respect of specific security deposit
- Security Deposit and Relating Blocking Agreement – in respect of portfolio managed assets
- Security Deposit and Relating Blocking Agreement – with margin exchange option
- Security Deposit and Relating Blocking Agreement – with margin exchange option and in respect of portfolio managed assets

- Blocking declaration – private persons
- Agreement on the Reclassification of Retail Customer to Professional Customer
- Agreement on the Reclassification of Eligible Partner/Professional Customer to Retail Customer
- Declaration Pursuant to Section 65 (5) of the Personal Income Tax Act
- Beneficiary Identification Data Sheet

- FX transfer order
- Internal FX transfer order
- HUF transfer order
- Internal HUF transfer order
- Order for internal transfer between customer accounts
- Securities transfer order

- Agreement on the Termination of Framework Agreements and Account
- Termination of Pension Savings Accounts by Accountholder (zero and non-zero account)

Other documents and contracts:

- Declaration on the Fulfilment of the Obligation to Provide Information on the Characteristics of Negotiated Deals
- Declaration (of Consent for the Execution of Negotiated Deal to Broker's Own Account)
- Agreement on Requesting of LEI Code and Fulfilment of Data Supply Obligation (applied with effect from 12 February 2014)

The following framework agreements may still be applied in relation to existing framework agreements of customers with framework agreements signed before the introduction of the General Terms of Business effective as of 1 July 2011 and the relating framework agreements, if such customers do not conclude new framework agreements with the Company (The content of the framework agreement listed below are otherwise regulated by the (new) Framework Agreement on Investment Services and Related Ancillary Services and its annexes.):

- Supplement to the Framework Agreement for Pension Savings Accounts
- Supplement to the Framework Agreement for Long-term Investment Accounts
- Student Account Package Agreement
- Supplement to the Risk Identification Declaration for Certificate Transactions
- Internet Transfer Order
- Withdrawal of Brokerage Agreement for the Re-investment of Money/Interest Credited upon the Maturity of Securities
- Withdrawal of Brokerage Agreement for the Re-investment of the Yield of Investment Units
- for certain commodity exchange services: Framework Agreement on the Provision of Investment Services and Related Ancillary Services

B. CONTRACT AND FORM TEMPLATES APPLIED UNDER THE INTERNET TRADER REGULATIONS

I. ERSTE TRADER

Framework agreements and relating risk identification declarations:

1. Erste Trader Framework Agreement
2. Risk Identification Declaration – Erste Trader
3. Agreement on the Application of Margin Use Levels Different from the Ones Defined in the ET Notice
4. Agreement on the Application of Margin Use Levels Different from the Ones Defined in the Portfolio Global Notice

Standard forms

1. Erste Trader User Data Sheet for **Non-Private Persons (Legal Entities)**
2. Erste Trader User Data Sheet for Private Persons
3. Erste Trader User Data Sheet Amendment for **Non-Private Persons (Legal Entities)**
4. Erste Trader User Data Sheet Amendment for Private Persons
5. Erste Trader User Data Sheet Amendment for **Non-Private Persons (Legal Entities)** – for Withdrawal of User Announcement
6. Erste Trader User Data Sheet Amendment for Private Persons – for Withdrawal of User Announcement
7. Order for Transfer from Base Account to ET Account
8. Order for Securities Transfer from Base Account to ET Account
9. Order for Transfer from ET Account to Base Account
10. Order for Securities Transfer from ET Account to Base Account

II. Portfolio Global

Framework agreements and relating risk identification declarations:

1. Portfolio Global Framework Agreement
2. Risk Identification Declaration – Portfolio Global
3. Agreement on the Application of Margin Use Levels Different from the Ones Defined in the Portfolio Global Notice

Standard forms

1. Portfolio Global User Data Sheet for **Non-Private Persons (Legal Entities)**
2. Portfolio Global User Data Sheet for Private Persons
3. Portfolio Global User Data Sheet Amendment for **Non-Private Persons (Legal Entities)**
4. Portfolio Global User Data Sheet Amendment for Private Persons
5. Portfolio Global User Data Sheet Amendment for **Non-Private Persons (Legal Entities)** – for Withdrawal of User Announcement
6. Portfolio Global User Data Sheet Amendment for Private Persons - for Withdrawal of User Announcement
7. Order for Transfer from Base Account to ET Account
8. Order for Securities Transfer from Base Account to ET Account
9. Order for Transfer from ET Account to Base Account
10. Order for Securities Transfer from ET Account to Base Account