

# Graph Theory

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# Chapter 1

## Introduction

### 1.1 Definitions

A **graph**  $G = (V, E)$  is a set  $V$  of *vertices* and a set  $E$  of unordered pairs of distinct vertices, called *edges*. Write  $vw$  or  $\{v, w\}$  for the edge joining  $v$  and  $w$ , and say that  $v$  and  $w$  are **neighbours** or that they are *adjacent*.

In these notes, unless otherwise stated, graphs are:

- **finite**:  $|V| \in \mathbb{N}$ .
- **labelled**: vertices are distinguishable, usually  $V = [n] := \{1, 2, \dots, n\}$  for some  $n \in \mathbb{N}$ .
- **undirected**: edges are *unordered* pairs of vertices.
- **simple**: no loops  $\{v, v\}$  or multiple edges (since  $E$  is not a multiset).

A graph  $G$  with vertex set  $\{v_1, \dots, v_n\}$  has **adjacency matrix**  $A(G) = (a_{ij})$  where

$$a_{ij} = \begin{cases} 1 & \text{if } v_i v_j \in E, \\ 0 & \text{otherwise.} \end{cases}$$

$A(G)$  is a **symmetric**  $n \times n$  0-1 matrix with zero diagonal.

The **trivial graph** has at most one vertex. Hence it has no edges.

A **subgraph** of a graph  $G = (V, E)$  is a graph  $H = (W, F)$  such that  $W \subseteq V$  and  $F \subseteq E$ .

We say that  $H$  is an **induced subgraph** if for all  $v, w \in W$  if  $vw \in E(G)$  then  $vw \in E(H)$ . Write  $H = G[W]$ , and say that  $H$  is the subgraph of  $G$  *induced by* the vertex set  $W$ .

The number of **vertices** of  $G$ , written  $|G| = |V(G)|$ , is called the *order* of  $G$ . The number of **edges** of  $G$ , sometimes written  $||G|| = |E(G)|$ , is called the *size* of  $G$ .

Two graphs  $G = (V, E)$  and  $H = (W, F)$  are **isomorphic** if there exists a *bijection*  $\phi : V \rightarrow W$  such that  $\phi(v)\phi(w) \in F$  if and only if  $vw \in E$ . The map  $\phi$  is called a *graph isomorphism* or *isomorphism*.

## 1.2 The Degree of a Vertex

If  $v \in e$  where  $v$  is a vertex and  $e$  is an edge, then we say that  $e$  is *incident with*  $v$ . The **degree**  $d_G(v)$  of vertex  $v$  in a graph  $G$  is the number of *edges* of  $G$  which are *incident with*  $v$ . A vertex of degree 0 is an *isolated vertex*.

Let  $N_G(v)$  be the set of all **neighbours** of  $v$  in  $G$ , then  $d(v) = |N(v)|$ .

**Lemma 1.2.1** (The Handshaking Lemma). In any graph,  $G = (V, E)$ ,

$$\sum_{v \in V} d(v) = 2|E|.$$

Let  $\delta(G) = \min_{v \in V} d(v)$  be the minimum degree in  $G$ , and  $\Delta(G) = \max_{v \in V} d(v)$  be the maximum degree in  $G$ .

### 1.2.1 Some Special Graphs

A graph is  **$k$ -partite** if there exists a partition of its vertex set

$$V = V_1 \cup V_2 \cup \dots \cup V_k$$

into  $k$  nonempty disjoint subsets (parts) such that there are no edges between vertices in the same part.

The **complete graph** on  $r$  vertices, denoted  $K_r$ , has all  $\binom{r}{2}$  edges present. The **complete bipartite graph**  $K_{r,s}$  has  $r$  vertices in one part of the vertex bipartition,  $s$  vertices in the other, and all  $rs$  present.

A graph is **regular** if every vertex has the same degree. If every vertex of a graph has degree  $d$  then we say that the graph is  $d$ -regular.

The **complement** of a graph  $G$  is the graph  $\bar{G} = (V, \bar{E})$  where  $vw \in \bar{E}$  if and only if  $vw \notin E$ . Note that  $\bar{K}_n$  is the graph with  $n$  vertices and no edges.

If  $G = (V, E)$  and  $X \subset V$  then  $G - X$  denotes the graph obtained from  $G$  by deleting all vertices in  $X$  and all edges which are incident with vertices in  $X$ . If  $F \subseteq E$  then  $G - F$  denotes the graph  $(V, E - F)$  obtained from  $G$  by deleting the edges in  $F$ .

## 1.3 Paths and Cycles

A **walk** in the graph  $G$  is a sequence of vertices  $v_0 v_1 v_2 \dots v_k$  such that  $v_i v_{i+1} \in E$  for  $i = 0, 1, \dots, k-1$ . The **length** of this walk is  $k$ . The walk is **closed** if  $v_0 = v_k$ .

An **Euler tour** is a *closed walk* in a graph which uses every edge precisely once. A graph is Eulerian if it has an Euler tour.

**Theorem 1.3.1** (Euler, 1736). A connected graph is Eulerian if and only if every vertex has even degree.

A walk is a **path** if it does not visit any vertex more than once. A path is a sequence of *disinct* vertices, with subsequence vertices joined by an edge. A path  $v_0 v_1 \dots v_k$  with  $k$  edges is called a  $k$ -path and has length  $k$ .

If  $k \geq 3$  and  $P = v_0v_1 \cdots v_{k-1}$  is a path of length  $k - 1$  then  $C = P + v_0v_{k-1}$  is a **cycle** of length  $k$ , also called a  $k$ -*cycle*. It is a closed walk which visits no internal vertex more than once.

An edge which joins two vertices of a cycle  $C$ , but which is not an edge of  $C$ , is called a **chord**. An **induced cycle** is a cycle which has no chords.

**Proposition 1.3.2.** Every graph  $G$  contains a path of length  $\delta(G)$  and a cycle of length at least  $\delta(G) + 1$ , if  $\delta(G) \geq 2$ .

**Proof.** Let  $P = x_0x_1 \cdots x_k$  be the longest path in  $G$ . By maximality of  $P$ , all neighbours of  $x_k$  lie on  $P$ . Hence  $\delta(G) \leq d(x_k) \leq k = |\{x_0, x_1, \dots, x_{k-1}\}|$ , which proves the first statement. Let  $x_i$  be the smallest-indexed neighbour of  $x_k$  in  $P$ . Then  $C = x_kx_ix_{i+1} \cdots x_{k-1}x_k$  is a cycle of length  $\geq \delta(G) + 1$  because  $C$  contains  $d(x_k) \geq \delta(G)$  neighbours of  $x_k$  as well as  $x_k$ .  $\square$

The *minimum length* of a cycle in  $G$  is the **girth** of  $G$ , denoted by  $g(G)$ .

Given  $x, y \in V$ , let  $d_G(x, y)$  be the length of a shortest path from  $x$  to  $y$  in  $G$ , called the **distance** from  $x$  to  $y$  in  $G$ . Set  $d_G(x, y) = \infty$  if no such path exists.

We say that  $G$  is **connected** if  $d_G(x, y)$  is finite for all  $x, y \in V$ .

Let the **diameter** of  $G$  be  $\text{diam}(G) = \max_{x, y \in V} d_G(x, y)$ .

**Proposition 1.3.3.** Every graph  $G$  which contains a cycle satisfies  $g(G) \leq 2 \text{diam}(G) + 1$ .

**Proof.** Let  $C$  be a shortest cycle in  $G$ , so  $|C| = g(G)$ . For a contradiction, assume  $g(G) \geq 2 \text{diam}(G) + 2$ .

Choose vertices  $x, y$  on  $C$  with  $d_C(x, y) \geq \text{diam}(G) + 1$ . In  $G$  the distance  $d_G(x, y)$  is strictly smaller, so any shortest path  $P$  from  $x$  to  $y$  in  $G$  is not a subgraph of  $C$ . But using  $P$  together with the shorter arc of  $C$  from  $x$  to  $y$  gives a closed walk of length  $< |C|$ . This closed walk contains a shorter cycle than  $C$  which is a contradiction.  $\square$

## 1.4 Connectivity

A maximal connected subgraph of  $G$  is called a **component** (or **connected component**) of  $G$ .

**Proposition 1.4.1.** The vertices of a connected graph can be labelled  $v_1, v_2, \dots, v_n$  such that  $G_n = G$  and  $G_i = G[v_1, \dots, v_i]$  is connected for all  $i$ .

**Proof.** Choose  $v_1$  arbitrarily. Now suppose that we have labelled  $v_1, \dots, v_i$  such that  $G_j = G[v_1, \dots, v_j]$  is connected for all  $j = 1, \dots, i$ .

If  $i < n$  then  $G_i \neq G$ , so there exists some  $v_j \in \{v_1, \dots, v_i\}$  with a  $w \notin \{v_1, \dots, v_i\}$  in  $G$ . (Otherwise  $G_i \neq G$  is a component of  $G$ , impossible as  $G$  is connected.) Let  $v_{i+1} = w$ , then  $G_{i+1} = G[v_1, \dots, v_{i+1}]$  is connected. This completes the proof, by induction.  $\square$

Let  $A, B \subseteq V$  be sets of vertices. An  $(A, B)$ -**path** in  $G$  is a path  $P = x_0x_1 \cdots x_k$  such that

$$P \cap A = \{x_0\}, \quad P \cap B = \{x_k\}.$$

Let  $A, B \subseteq V$  and let  $X \subseteq V \cup E$  be a set of vertices and edges. We say that  $X$  **separates**  $A$  and  $B$  in  $G$  if every  $(A, B)$ -path in  $G$  contains a vertex or edge from  $X$ .

Note that we do not assume that  $A$  and  $B$  are disjoint and if  $X$  separates  $A$  and  $B$  then  $A \cap B \subseteq X$ .

We say that  $X$  **separates** two vertices  $a, b$  if  $a, b \notin X$  and  $X$  separates the sets  $\{a\}, \{b\}$ .

More generally, we say that  $X$  *separates*  $G$ , and call  $X$  a **separating set** for  $G$ , if  $X$  separates two vertices of  $G$ . That is,  $X$  separates  $G$  if there exist distinct vertices  $a, b \notin X$  such that  $X$  separates  $a$  and  $b$ .

If  $X = \{x\}$  is a separating set for  $G$ , where  $x \in V$ , then we say that  $x$  is a **cut vertex**.

If  $e \in E$  and  $G - e$  has more components than  $G$  then  $e$  is a **bridge**.

The unordered pair  $(A, B)$  is a **separation** of  $G$  if  $A \cup B = V$  and  $G$  has no edge between  $A - B$  and  $B - A$ . The second conditions says that  $A \cap B$  separates  $A$  from  $B$  in  $G$ . If both  $A - B$  and  $B - A$  are nonempty then the separation is **proper**. The order of the separation is  $|A \cap B|$ .

**Definition.** Let  $k \in \mathbb{N}$ . The graph  $G$  is  **$k$ -connected** if  $|G| > k$  and  $G - X$  is connected for all subsets  $X \subseteq V$  with  $|X| < k$ .

The **connectivity**  $\kappa(G)$  of  $G$  is defined by

$$\kappa(G) = \max\{k : G \text{ is } k\text{-connected}\}.$$

So,  $\kappa(G) = 0$  iff  $G$  is trivial or  $G$  is disconnected. Also,  $\kappa(K_n) = n - 1$  for all positive integers  $n$ .

**Definition.** Let  $\ell \in \mathbb{N}$  and let  $G$  be a graph with  $|G| \geq 2$ . If  $G - F$  is connected for all  $F \subseteq E$  with  $|F| < \ell$  then  $G$  is  **$\ell$ -edge-connected**.

The **edge connectivity**  $\lambda(G)$  is defined by

$$\lambda(G) = \max\{\ell : G \text{ is } \ell\text{-edge-connected}\}.$$

**Proposition 1.4.2.** If  $|G| \geq 2$  then  $\kappa(G) \leq \lambda(G) \leq \delta(G)$ .

**Theorem 1.4.3** (Mader, 1973). Let  $k$  be a positive integer. Every graph  $G$  with average degree at least  $4k$  has a  $(k + 1)$ -connected subgraph  $H$  with

$$\frac{|E(H)|}{|V(H)|} > \frac{|E(G)|}{|V(G)|} - k.$$

**Proof.** We write  $|G|$  instead of  $|V(G)|$ . Let  $\gamma = \frac{|E(G)|}{|G|} \geq 2k$ . Consider subgraphs  $G'$  of  $G$  which satisfy:

$$|G'| \geq 2k \quad \text{and} \quad |E(G')| > \gamma(|G'| - k). \quad (1.1)$$

such graphs  $G'$  exists as  $G$  satisfies 1.1. (Average degree of  $G$  is  $\frac{2|E(G)|}{|G|} \geq 4k$ , so  $|G| \geq 4k$  and  $\gamma(|G| - k) = |E(G)| \frac{(|G| - k)}{|G|} < |E(G)|$ .)

Now let  $H$  be a subgraph of  $G$  of smallest order which satisfies 1.1. We continue the proof by proving three claims.

**Claim 1.** If  $G'$  satisfies 1.1 then  $|G'| > 2k$ .

**Proof.** If  $G'$  satisfies 1.1 and  $|G'| = 2k$  then  $|E(G')| > \gamma(|G'| - k) \geq 2k^2 > \binom{|G'|}{2}$ , contradiction.

**Claim 2.**  $S(H) > \gamma$ .

**Proof.** For a contradiction, suppose that  $S(H) \leq \gamma$ . Let  $G'$  be obtained from  $H$  by deleting a vertex of degree  $\leq \gamma$ . Then  $|G'| < |H|$  and  $G'$  satisfies 1.1, which is a contradiction. To see this, check:

$$\begin{aligned} |G'| &= |H| - 1 \geq 2k, \quad \text{by Claim 1, and} \\ |E(G')| &\geq |E(H)| - \gamma > \gamma(|H| - k - 1), \quad \text{as } H \text{ satisfies 1.1} \\ &= \gamma(|G'| - k). \end{aligned}$$

Hence  $S(H) > \gamma$ . It follows that  $|H| \geq \gamma$ . Thus,

$$\frac{|E(H)|}{|H|} > \frac{\gamma(|H| - k)}{|H|}. \quad (\text{as } H \text{ satisfies 1.1})$$

**Claim 3.**  $H$  is  $(k+1)$ -connected.

**Proof.** By Claim 1,  $|H| \geq 2k+1 \geq k+2$  as  $k \geq 1$ . So  $H$  is large enough. For a contradiction, suppose that  $H$  is not  $(k+1)$ -connected. Then  $H$  has a proper separation  $\{U_1, U_2\}$  of order at most  $k$ .

Let  $H_i = H[U_i]$  for  $i = 1, 2$ . Since any vertex  $v \in U_1 - U_2$  has  $d_H(v) \geq S(H) > \gamma$  (by Claim 2), and all neighbours of  $v$  in  $H$  belong to  $H_1$ , we have  $|H_1| \geq \gamma \geq 2k$ . Similarly,  $|H_2| \geq 2k$ . By minimality of  $H$ , neither  $H_1$  nor  $H_2$  satisfies 1.1. Hence  $|E(H_i)| \leq \gamma(|H_i| - k)$  for  $i = 1, 2$ . But then

$$\begin{aligned} |E(H)| &\leq |E(H_1)| + |E(H_2)| \\ &\leq \gamma(|H_1| + |H_2| - 2k) \\ &\leq \gamma(|H| - k), \end{aligned} \quad (\text{by inclusion-exclusion})$$

since  $|U_1 \cup U_2| \leq k$ . This contradicts 1.1 for  $H$ . So  $H$  is  $(k+1)$ -connected, completing the proof of Claim 3 and of the theorem.  $\square$

## 1.5 Trees and Forests

A graph with no cycles is a **forest** (also called an acyclic graph). A connected graph with no cycles is a **tree**.

**Theorem 1.5.1.** The following are equivalent for a graph  $T$ :

- (i)  $T$  is a tree;
- (ii) Any two vertices of  $T$  are linked by a *unique* path in  $T$ ;
- (iii)  $T$  is *minimally connected*: that is,  $T$  is connected but  $T - e$  is disconnected for every  $e \in E(T)$ ;
- (iv)  $T$  is *maximally acyclic*: that is,  $T$  is acyclic but  $T + xy$  has a cycle for any two nonadjacent vertices  $x, y$  in  $T$ .

**Corollary 1.5.2.** If  $G$  is connected then  $G$  has a spanning tree.

**Proof.** Let  $G$  be a connected graph and let  $H$  be a minimal connected spanning subgraph of  $G$ . (Note  $H$  exists as  $G$  is a connected spanning subgraph of itself.) By theorem 1.5.1,  $H$  is a tree.  $\square$

**Corollary 1.5.3.** The vertices of a tree can be labelled as  $v_1, \dots, v_n$  so that for  $i \geq 2$ , vertex  $v_i$  has a unique neighbour in  $\{v_1, \dots, v_{i-1}\}$ .

**Proof.** We use the labelling from Proposition 1.4.1. This labels the vertices of a given tree  $G$  as  $v_1, \dots, v_n$  such that  $G[v_1, \dots, v_n]$  is connected. Let  $i \geq 1$  then  $G[v_1, \dots, v_i]$  is a tree. Note  $G[v_1, \dots, v_{i+1}]$  is connected by Proposition 1.4.1, so  $v_{i+1}$  has at least one neighbour in  $G[v_1, \dots, v_i]$ .

For a contradiction, suppose that  $v_{i+1}$  has two neighbours  $z$  and  $w$  in  $G[v_1, \dots, v_i]$ . There is a (unique) path  $P$  in  $G[v_1, \dots, v_i]$  between  $z$  and  $w$ , and this path does not visit  $v_{i+1}$ . Hence  $P \cup \{zv_{i+1}, wv_{i+1}\}$  is a cycle in  $G$ , contradiction.  $\square$

**Corollary 1.5.4.** A connected graph with  $n$  vertices is a tree if and only if it has  $n - 1$  edges.

**Proof.** Suppose that  $G$  is a tree on  $n$  vertices. The result is true when  $n = 1$ . Now suppose the result is true when  $n = k$ . Let  $G$  be a tree on  $k + 1$  vertices. Let  $v$  be a leaf in  $G$  (e.g. take an end vertex of a longest path in  $G$ .) Then  $G - v$  is a tree on  $k$  vertices, so  $G - v$  has  $k - 1$  edges (inductive hypothesis). Therefore  $G$  has  $k$  edges as  $v$  has degree 1. This concludes the proof, by induction.

Conversely, suppose that  $G$  is connected with  $n$  vertices and  $n - 1$  edges. Then  $G$  contains a spanning tree  $H$ , by an earlier corollary. Then  $H$  has exactly  $n - 1$  edges, since it is a tree on  $n$  vertices. Hence  $H = G$ , so  $G$  is a tree.  $\square$

**Corollary 1.5.5.** If  $T$  is a tree and  $G$  is any graph with  $\delta(G) \geq |T| - 1$  then  $G$  has a subgraph isomorphic to  $T$ .



# Chapter 2

## Matchings and Hamilton Cycles

Two edges in a graph are called **independent** if they have no vertices in common.

A set  $M$  of pairwise independent edges in a graph is called a **matching**.

Given  $G = (V, E)$  and  $U \subseteq V$ , say that  $M \subseteq E$  is a **matching of  $U$**  if  $M$  is matching and every vertex in  $U$  is incident with an edge of  $M$ . We say that the vertices in  $U$  are matched by  $M$ , and that the vertices not incident with any edge of  $M$  are **unmatched**.

A matching  $M$  is a **maximal matching** of  $G$  if  $M \cup \{e\}$  is not a matching for any  $e \in E - M$ .

A **maximum matching** of  $G$  is a matching of  $G$  such that no set of edges with size greater than  $|M|$  is a matching.

A **perfect matching** of  $G$  is a matching of  $G$  which matches every vertex of  $G$ . Note: a perfect matching is a 1-regular spanning subgraph of  $G$  also called a **1-factor** of  $G$ .

A  **$k$ -factor** is a  $k$ -regular spanning subgraph. A **2-factor** in a graph is the union of disjoint cycles which covers all the vertices.

### 2.1 Matchings in Bipartite Graphs

Let  $G = (V, E)$  be a bipartite graph with vertex bipartition  $V = A \cup B$ . Here  $A, B$  are nonempty disjoint sets. We use the convention that all vertices called  $a, a', a'', \dots$  belong to  $A$  and similarly for  $B$ .

Let  $M$  be matching in  $G$ . A path in  $G$  which starts at an *unmatched* vertex of  $A$  and contains, alternately, edges from  $E - M$  and from  $M$ , is called an **alternating path** with respect to  $M$ .

If an alternating path  $P$  ends in an unmatched vertex of  $B$  then it is called an **augmenting path**.

**Definition 2.1.1.** A set  $U \subseteq V$  is a **cover** (or **vertex cover**) of  $G$  if every edge of  $G$  is incident with a vertex in  $U$ .

**Theorem 2.1.2** (König, 1931). Let  $G$  be a bipartite graph. The size of a maximum matching in  $G$  is equal to the size of the minimum vertex cover of  $G$ .

**Proof.** Let  $\hat{U}$  be a cover in  $G$  and let  $M$  be a maximum matching. Then  $|\hat{U}| \geq |M|$  as we must cover every edge of  $M$ . Hence it suffices to construct a cover  $U$  of  $G$  with  $|U| = |M|$ .

We build  $U$  by choosing one vertex from each edge of  $M$  to place into  $U$ , as follows:

- If  $ab \in M$  and some alternating path in  $G$  with respect to  $M$  ends in  $b$ . Then put  $b$  into  $U$  otherwise put  $a$  into  $U$ .

Let  $ab \in E$ . If  $ab \in M$  then  $a \in U$  or  $b \in U$  by definition of  $U$ . Now assume  $abb \notin M$ . Since  $M$  is maximum, there exists  $a'b' \in M$  with  $a = a'$  or  $b = b'$ . If  $a$  is unmatched in  $M$  then  $b = b'$  for some  $a'b' \in M$ . Hence  $ab$  is an alternating path ending in  $b = b'$ , so we chose  $b'$  to go into  $U$  from the edge  $a'b' \in M$ . So the edge  $ab$  is covered by  $U$  in this case.

Hence we assume that  $a = a'$  for some  $a'b' \in M$ . If  $a = a' \in U$  then we are done. Otherwise  $b' \in U$ , so there is an alternating path  $P$  ending in  $b'$ . Then  $P = a_1b_1a_2b_2 \dots b'$ , and we have three cases:

- $P$  does not include  $a$  or  $b$ . Then  $Pab = a_1a_2 \dots b'ab$  is an alternating path in  $G$  with respect to  $M$ . By maximality of  $M$ ,  $b$  is matched or else we have an augmenting path. Hence  $b \in U$  as  $b$  is the chosen vertex from its matching edge.
- If  $b$  is on  $P$  before  $a$ , or  $b \in P$  and  $a \notin P$ , then  $P = a_1b_1a_2 \dots b \dots b'$ . Then we let  $P' = a_1b_1 \dots b$ . This is an alternating path ending in  $b$ , so finish proof as case above.
- If  $a$  is on  $P$  before  $b$ , or  $a \in P$  and  $b \notin P$ . Then  $P = a_1b_1 \dots a_rb_r \dots b'$  and we take  $P' = a_1b_1 \dots ab$ . This is an alternating path ending in  $b$ , so finish proof as case above.

This proves  $U$  is a cover of  $G$  and since  $|U| = |M|$ , this completes the proof.  $\square$

For a subset  $S \subseteq A$ , let  $N(S) = \bigcup_{v \in S} N(v)$  be the set of vertices in  $B$  which are neighbours of some vertex in  $S$ .

**Theorem 2.1.3** (Hall, 1935). Let  $G$  be a bipartite graph. Then  $G$  contains a matching of  $A$  if and only if

$$|N(S)| \geq |S| \quad \text{for all } S \subseteq A. \quad (2.1)$$

**Proof.** We have that this condition is necessary. Now suppose that (2.1) holds. For a contradiction, suppose that  $G$  has no matching of  $A$ . Then König's Theorem (Theorem 2.1.2) says that  $G$  has a cover  $U$  with  $|U| < |A|$ . Suppose that  $U = A' \cup B'$  with  $A' \subseteq A$  and  $B' \subseteq B$ . Then  $|A'| + |B'| = |U| < |A|$ , so  $|B'| < |A| - |A'| = |A - A'|$ . Since  $U$  is a cover,  $G$  has no edges from  $A - A'$  to  $B - B'$ . Hence  $N(A - A') \subseteq B'$ , and so  $|N(A - A')| \leq |B'| < |A - A'|$ . This contradicts Hall's condition 2.1 for  $S = A - A'$ . Hence  $G$  contains a matching of  $A$ .  $\square$

**Corollary 2.1.4.** Let  $G$  be a bipartite graph and  $d \in \mathbb{N}$ . If  $|N(S)| \geq |S| - d$  for all  $S \subseteq A$  then  $G$  has a matching of size  $|A| - d$ .

**Proof.** Add  $d$  new vertices to  $B$  and join each of them by an edge to each vertex of  $A$ . Then for all  $S \subseteq A$ , in the new graph  $G'$ ,  $|N_{G'}(S)| \geq |S| - d + d = |S|$ . Hall's condition is satisfied in  $G'$ . Therefore there is a matching  $M$  in  $G'$  which matches all of  $A$ . At least  $|A| - d$  edges in  $M$  are edges of  $G$ .  $\square$

**Corollary 2.1.5.** If  $G$  is a  $k$ -regular bipartite graph then  $G$  has a perfect matching.

**Proof.** Assume  $k \geq 1$ . Since  $G$  is  $k$ -regular,  $|E(G)| = k|A| = k|B|$ , so  $|A| = |B|$ . Hence it suffices to prove that  $G$  contains a matching of  $A$ . Every set  $S \subseteq A$  is joined to  $N(S)$  by a total of  $k|S|$  edges. These edges are a subset of the  $k|N(S)|$  edges incident with  $|N(S)|$ . Hence  $k|S| \leq k|N(S)|$

and dividing by  $k$  shows that Hall's condition holds. Thus,  $G$  has a matching of  $A$ .  $\square$

**Corollary 2.1.6.** Every regular graph of positive even degree has a 2-factor.

**Proof.** Let  $G$  be any  $2k$ -regular graph,  $k \geq 1$ . Without loss of generality, suppose that  $G$  is connected (or apply this argument to each component). By Theorem 1.3.1,  $G$  has an Euler tour  $v_0v_1 \dots v_{l-1}v_l$  where  $v_l = v_0, e_i = v_iv_{i+1} \in E(G)$  using each edge exactly once.

Replace each vertex  $v \in V$  with a pair of vertices  $v^-, v^+$ , and replace every edge  $e_i = v_iv_{i+1}$  by the edge  $v_i^+v_{i+1}^-$ . The resulting graph  $G'$  is a  $k$ -regular bipartite graph. Hence by Corollary 2.1.5,  $G'$  has a perfect matching (1-factor). Collapse every vertex pair  $(v^-, v^+)$  back into a single vertex  $v$ , for all  $v \in V$ . The 1-factor of  $G'$  becomes a 2-factor of  $G$ .  $\square$

## 2.2 Hamilton Cycles

A **Hamilton cycle** is a connected 2-factor. That is, it is a cycle which includes every vertex.

Say  $G$  is **Hamiltonian** if it contains a Hamilton cycle. A Hamiltonian graph  $G$  must be connected with minimum degree  $\delta(G) \geq 2$ .

**Theorem 2.2.1** (Dirac, 1952). Every graph with  $n \geq 3$  vertices and with minimum degree at least  $n/2$  has a Hamilton cycle.

**Proof.** Let  $G$  be a graph with minimum degree  $\geq n/2$  and  $n \geq 3$  vertices. Then  $G$  is connected, as otherwise the degree of any vertex in the smaller component must be  $< n/2$ . Let  $P = x_0 \dots x_k$  be a longest path in  $G$ . by maximality, all neighbours of  $x_0$  and  $x_k$  lie on  $P$ . So at least  $n/2$  of the vertices  $x_0, \dots, x_{k-1}$  are adjacent to  $x_k$  and at least  $n/2$  of these same vertices satisfy  $x_0x_{i+1} \in E(G)$ . By the pigeonhole principle, as  $k < n$ , there exists  $i \in \{0, \dots, k-1\}$  with  $x_0x_{i+1}, x_ix_k \in E(G)$ . This gives a cycle  $x_0x_1 \dots x_ix_k \dots x_{i+1}x_0$ . We claim this is a Hamilton cycle. If not then, as  $G$  is connected, there is some  $u \notin C$  with a neighbour  $v \in C$ . Then we can start at  $u$ , go to  $v$  then go around  $C$  (in some direction) and stop just before we reach  $v$  again (i.e. stop at  $x \in N_C(v)$ ). This gives a path which is longer than  $P$ , contradiction.  $\square$

## 2.3 Matchings in General Graphs

Given a graph  $G$ , let  $C_G$  be the set of its components and let  $q(G)$  denote the number of odd components (connected components having an odd number of vertices).

**Theorem 2.3.1** (Tutte, 1947). A graph  $G$  has a perfect matching if and only if

$$q(G - S) \leq |S| \quad \text{for all } S \subseteq V(G). \quad (2.2)$$

**Proof.** We have seen that the condition (2.2) is necessary: if  $G$  has a perfect matching then (2.2) holds. Now suppose that  $G$  has no perfect matching. We want to find a “bad” set  $S_0$  which fails condition (2.2). If  $|G|$  is odd then,  $S_0 = \emptyset$  is bad. So assume  $|G|$  is even.

**Claim 1.** If  $G'$  is obtained from  $G$  by adding edges and  $S_0 \subseteq V$  is bad for  $G'$  then  $S_0$  is bad for  $G$ .

**Proof.** If  $S_0$  bad for  $G'$  then  $q(G - S_0) > |S_0|$ . But each odd component of  $G' - S$  is a disjoint union of components of  $G - S$ , at least one of which must be odd. So  $q(G - S) \geq q(G' - S)$ .

Hence by Claim 1, we can assume that  $G$  has no perfect matching but adding any edge to  $G$  gives a graph  $G'$  which has a perfect matching.

**Claim 2.**  $S$  is a bad set for  $G$  if and only if all components of  $G - S$  are complete and every vertex in  $S$  is adjacent to all other vertices in  $G$ .

**Proof.** For proof, call the second half of the claim (\*). If  $S$  is bad for  $G$  but does satisfy (\*) then we can add an edge to  $G$  to get a graph  $G'$  with  $S$  still bad for  $G'$ . This contradicts our assumption on the maximality of  $G$ . Conversely suppose  $S$  satisfies (\*) but  $S$  is not bad. Then we can form a perfect matching since  $|G|$  is even. This is a contradiction as  $G$  has no perfect matching. Hence  $S$  is bad.

Define  $S_0 = \{v \in V : d_G(v) = n - 1\}$  to be the set of all vertices  $v$  in  $G$  which are adjacent to every other vertex  $w \neq v$ .

**Claim 3.**  $S_0$  is bad.

**Proof.** We need to show that  $S_0$  satisfies (\*). For a contradiction, suppose that  $S_0$  does not satisfy (\*). Then  $G - S_0$  has a component  $K$  which is not complete. Let  $a, a' \in V(K)$  with  $aa' \notin E(G)$ . Fix a shortest path from  $a$  to  $a'$  in  $K$  which starts  $abc \dots a'$ . Such a path has length  $\geq 2$  and  $ac \notin E(G)$ . Note  $b \in K$ , so  $b \in S_0$ , so there is some  $d \in V$  with  $bd \notin E$ . By maximality of  $G$ , there is a perfect matching  $M_1$  in  $G + ac$  and a perfect matching  $M_2$  in  $G + bd$ . Take a maximal path  $P$  in  $G$ , starting at  $d$  with an edge from  $M_1$ , and taking alternately edges from  $M_1$  and  $M_2$ . Say  $P = d \dots v$ .

- If the last edge of  $P$  is in  $M_1$  then  $v = b$  or we could extend  $P$ . Let  $C = P + bd$  (cycle in  $G + bd$ ).
- If the last edge of  $P$  is in  $M_2$  then  $v \in \{a, c\}$  as the  $M_1$  edge incident with  $v$  must be  $ac$ . Let  $C$  be the cycle  $d \dots vbd$ .

In each case,  $C$  is an alternating (even length) cycle in  $G + bd$  which contains  $bd$ . Form  $M'_2$  from  $M_2$  by replacing  $M_2 \cap C$  by  $C - M_2$ . This gives a perfect matching of  $G$ , contradiction. Hence  $S_0$  satisfies (\*), so Claim 3 holds and the proof is complete.  $\square$

**Corollary 2.3.2** (Petersen, 1891). Every bridge cubic (3-regular) graph has a perfect matching.

**Proof.** Let  $G$  be a bridgeless cubic graph. We prove that  $G$  satisfies Tutte's condition. Let  $S \subseteq V(G)$  be given and consider an odd component  $C$  of  $G - S$ . The sum of the degrees of vertices in  $C$  is  $3|C|$ , which is an odd number. Every edge with both end vertices in  $C$  contributes an even number to this sum. Hence the number of edges from  $C$  to  $S$  is odd.

As  $G$  has no bridge, there must be at least 3 edges from  $S$  to  $C$ . Therefore the number of edges from  $S$  to  $G - S$  is at least  $3q(G - S)$ . But the number of edges from  $S$  to  $G - S$  is bounded above by the sum of the degrees of vertices in  $S$ , which is  $3|S|$  as  $G$  is cubic. Hence  $3q(G - S) \leq \# \text{ edges from } S \text{ to } G - S \leq 3|S|$  and thus  $q(G - S) \leq |S|$ . Therefore by Tutte's Theorem,  $G$  has a perfect matching.  $\square$

# Chapter 3

## The Probabilistic Method

This chapter assumes knowledge of elementary probability knowledge. Content from first year is sufficient.

**Example 3.0.1.** Let  $\Omega$  be the set of all graphs on the vertex set  $\{1, 2, \dots, n\}$ . Then  $|\Omega| = 2^{\binom{n}{2}}$ . Define  $\pi(G) = \frac{1}{2^{\binom{n}{2}}}$  for all  $G \in \Omega$ . This is the *uniform model of random graphs*.

**Lemma 3.0.2.** The expected number of edges in a uniformly chosen graph on the vertex set  $\{1, 2, \dots, n\}$  is  $\frac{1}{2} \binom{n}{2}$ .

**Proof.** (From Definition) For  $0 \leq m \leq \binom{n}{2} = N$ , there  $\binom{N}{m}$  are exactly of graphs on vertex set  $\{1, \dots, n\}$  with  $m$  edges. Let  $X$  be the number of edges in the random graph. Then

$$\begin{aligned} EX &= \sum_{m=0}^N \Pr(X = m) \cdot m \\ &= \sum_{m=0}^N \frac{\binom{N}{m}}{2^N} \cdot m \\ &= \frac{N}{2^N} \sum_{m=1}^N \frac{(N-1)!}{(m-1)!(N-m)!} \\ &= \frac{N}{2^N} \sum_{j=0}^{N-1} \binom{N-1}{j} \quad (j = m-1) \\ &= \frac{N}{2^N} 2^{N-1} \quad (\text{by the binomial theorem}) \\ &= \frac{N}{2} = \frac{1}{2} \binom{n}{2}. \end{aligned}$$

□

Let  $A \subseteq \Omega$  be an event. The indicator variable  $I_A$  for  $A \subseteq \Omega$  is

$$I_A(z) = \begin{cases} 1 & \text{if } z \in A \\ 0 & \text{otherwise.} \end{cases}$$

**Definition 3.0.3** (Linearity of Expectation). Let  $X_1, \dots, X_k$  be random variables on  $\Omega$  and let  $c_1, \dots, c_k \in \mathbb{R}$ . Define the random variable  $X = c_1X_1 + \dots + c_kX_k$ . Then

$$\mathbb{E}[X] = c_1\mathbb{E}[X_1] + c_2\mathbb{E}[X_2] + \dots + c_k\mathbb{E}[X_k].$$

**Definition 3.0.4** (Markov's Inequality). Suppose that  $X : \Omega \rightarrow [0, \infty)$  is a nonnegative random variable on  $\Omega$  and let  $k > 0$ . Then

$$\Pr(X \geq k) \leq \frac{\mathbb{E}[X]}{k}.$$

In particular, if  $X$  is a nonnegative integer-valued random variable then

$$\Pr(X \neq 0) \leq \mathbb{E}[X].$$

Let  $k \geq 2$  be an integer. Events  $A_1, \dots, A_k$  in  $\Omega$  are **mutually independent** if for all  $j, \ell_1, \dots, \ell_j$  with  $2 \leq j \leq k$  and  $1 \leq \ell_1 < \ell_2 < \dots < \ell_j \leq k$ ,

$$\Pr\left(\bigcap_{i=1}^j A_{\ell_i}\right) = \prod_{i=1}^j \Pr(A_{\ell_i}).$$

**Lemma 3.0.5.** Let  $\Omega$  be the set of all subsets of some given set  $S$ , where  $|S| = n$ . Define a random set  $X \subseteq S$  by setting  $\Pr(x \in X) = \frac{1}{2}$ , independently for each  $x \in S$ . Then  $\Pr(X = A) = 2^{-n}$  for all  $A \subseteq S$ , so this gives the uniform probability space on  $\Omega$ .

**Proof.** Fix  $A \subseteq \Omega$ . Then

$$\begin{aligned} \Pr(X = A) &= \prod_{x \in A} \Pr(\text{heads}) \cdot \prod_{x \notin A} \Pr(\text{tails}) && \text{(using independence)} \\ &= \left(\frac{1}{2}\right)^{|A|} \cdot \left(\frac{1}{2}\right)^{n-|A|} \\ &= \left(\frac{1}{2}\right)^n = 2^{-n} \end{aligned}$$

as claimed. □

**Theorem 3.0.6** (Alon & Spencer, Theorem 2.2.1). Let  $G$  be a graph with  $n$  vertices and  $m$  edges. Then  $G$  contains a bipartite subgraph with at least  $m/2$  edges.

**Proof.** Let  $\Omega$  be the set of all subsets of  $V(G)$ . Then  $|\Omega| = 2^n$ . Consider the uniform probability space on  $\Omega$ . Let  $A \subseteq V$  be a randomly chosen element of  $\Omega$  and define  $B = V - A$ . Call  $xy \in E(G)$  a crossing edge if exactly one of  $x, y$  belongs to  $A$ . Let  $X$  be the number of crossing edges. Finally, for each edge  $e \in E(G)$  define the indicator variable

$$X_e = \begin{cases} 1 & \text{if } e \text{ is a crossing edge,} \\ 0 & \text{otherwise.} \end{cases}$$

Then  $X = \sum_{e \in E(G)} X_e$ . For any  $e = xy \in E(G)$ , we have,

$$\begin{aligned} \Pr(x \in A \text{ and } y \notin A) &= \Pr(x \in A) \Pr(y \notin A) && \text{(using independence)} \\ &= \frac{1}{2} \times \frac{1}{2} = \frac{1}{4}. \end{aligned}$$

Therefore

$$\begin{aligned} \mathbb{E}X_e &= \Pr((x \in A \text{ and } y \notin A) \text{ or } (x \notin A \text{ and } y \in A)) \\ &= \Pr(x \in A \text{ and } y \notin A) + \Pr(x \notin A \text{ and } y \in A) && \text{(events are disjoint)} \\ &= \frac{1}{4} + \frac{1}{4} = \frac{1}{2}. \end{aligned}$$

Hence, by linearity of expectation,

$$\mathbb{E}X = \sum_{e \in E(G)} \mathbb{E}X_e = \frac{m}{2}.$$

Thus there exists a fixed set  $A_0 \subseteq V(G)$  which has at least  $\frac{m}{2}$  crossing edges. The corresponding bipartition  $(A_0, V(G) - A_0)$  defines a bipartite subgraph consisting of the  $\geq \frac{m}{2}$  crossing edges.  $\square$

An **independent set** in a graph  $G$  is a subset  $U \subseteq V$  such that if  $v, w \in U$  then  $vw \notin E(G)$ . Let  $\alpha(G)$  be the size of a maximum independent set in  $G$ , called the **independence number**.

**Theorem 3.0.7.** Let  $G$  have  $n$  vertices and  $nd/2$  edges, where  $d \geq 1$ . Then  $\alpha(G) \geq \frac{n}{2d}$ . Note  $d$ , is the average degree of  $G$ .

**Proof.** Define the random subset  $S \subseteq V(G)$  by  $\Pr(v \in S) = p$ , independently for all  $v \in V$ . Here  $p \in [0, 1]$  which we will fix later.

Let  $X = |S|$  and let  $Y$  be the number of edges of  $G$  with both endvertices in  $S$ . Then  $\mathbb{E}X = pn$ . For  $e \in E(G)$  let  $Y_e$  be the indicator variable for the event  $e \subseteq S$ . Then for every  $e = xy \in E(G)$ ,

$$\begin{aligned} \mathbb{E}Y_e &= \Pr(x \in S \text{ and } y \in S) \\ &= \Pr(x \in S) \cdot \Pr(y \in S) && \text{(by independence)} \\ &= p^2. \end{aligned}$$

Therefore, by linearity of expectation and the fact that  $Y = \sum_{e \in E(G)} Y_e$  we have

$$\mathbb{E}Y = \sum_{e \in E(G)} \mathbb{E}Y_e = \frac{nd}{2}p^2.$$

By linearity of expectation,

$$\mathbb{E}(X - Y) = \mathbb{E}X - \mathbb{E}Y = pn - p^2 \frac{nd}{2}.$$

Want to choose  $p$  to maximise this, so  $p = \frac{1}{d}$  and  $p \in [0, 1]$ . Substituting gives  $\mathbb{E}(X - Y) = \frac{n}{2d}$ . Hence there exists a fixed set  $S_0 \subseteq V(G)$  with  $|S_0| - (\# \text{ edges in } S_0) \geq \frac{n}{2d}$ . Delete one vertex from each edge within  $S_0$  to give a set  $S^*$  of at least  $\frac{n}{2d}$  vertices which is an independent set.  $\square$

# Chapter 4

## Graph Colourings

A **vertex colouring** of a graph  $G = (V, E)$  is a function  $c : V \rightarrow S$  such that  $c(u) \neq c(v)$  whenever  $uv \in E$ . Here  $S$  is the set of available colours, usually  $S = \{1, 2, \dots, k\}$  for some positive integer  $k$ .

A  **$k$ -colouring** of  $G$  is a colouring  $c : V \rightarrow \{1, 2, \dots, k\}$ . Often we want the smallest value of  $k$  for which a  $k$ -colouring of  $G$  exists. This smallest value of  $k$  is called the **chromatic number** of  $G$ , denoted  $\chi(G)$ .

If  $\chi(G) = k$  then  $G$  is said to be  $k$ -chromatic.

If  $\chi(G) \leq k$  then  $G$  is said to be  $k$ -colourable.

The set of all vertices in  $G$  with a given colour under  $c$  is called a **colour class**. Each colour class is an independent set.  $k$ -colouring is a partition of  $V(G)$  into  $k$  independent sets.

A **clique** in a graph  $G$  is a complete subgraph of  $G$ . The order of the largest clique in  $G$  is called the **clique number** of  $G$ , denoted  $\omega(G)$ .

Fact:  $\chi(G) \geq \omega(G)$  and  $\chi(G) \geq n/\alpha(G)$ .

An **edge colouring** of  $G$  is a map  $c : E \rightarrow S$  such that  $c(e) \neq c(f)$  whenever  $e$  and  $f$  share an endvertex. If  $S = \{1, 2, \dots, k\}$  then  $c$  is a  **$k$ -edge-colouring** and  $G$  is  $k$ -edge-colourable.

Let  $\chi'(G)$  be the smallest positive integer  $k$  for which  $G$  is  $k$ -edge-colourable. We call  $\chi'(G)$  the **chromatic index** of  $G$ .

A **colour class** in an edge colouring is a matching of  $G$ . Hence an edge colouring displays  $E(G)$  as a union of disjoint matchings.

The **line graph**, denoted  $L(G)$ , has vertex set  $E(G)$  and  $e, f \in E(G)$  form an edge of  $L(G)$  if and only if  $e, f$  share an endvertex in  $G$ . Every edge-colouring of  $G$  is a vertex colour of  $L(G)$  and vice-versa. So  $\chi'(G) = \chi(L(G))$ .

### 4.1 Vertex Colourings

**Proposition 4.1.1.** If graph  $G$  has  $m$  edges then  $\chi(G) \leq \frac{1}{2} + \sqrt{2m + \frac{1}{4}}$ .



**Proof.** Fix a  $k$ -colouring of  $G$  with  $k = \chi(G)$  colours. Then  $G$  has at least one edge between any two distinct colour classes, or we could merge them to give a colouring of  $G$  with  $\leq k - 1$  colours. Hence  $m \geq \binom{k}{2} = \frac{1}{2}(k)(k - 1)$  then solve for  $k$  to complete the proof.  $\square$

**Greedy Algorithm** Given a graph  $G$ , fix an ordering  $v_1, v_2, \dots, v_n$  on the vertices of  $G$  and colour them one by one in this order using the first available colour (least positive integer) as you go along. Since  $v_i$  has at most  $\Delta(G)$  neighbours, this produces a  $k$ -colouring of  $G$  with  $k \leq \Delta(G) + 1 \implies \chi(G) \leq \Delta(G) + 1$ .

Fact:  $\chi(G) = \Delta(G) + 1$  if  $G$  is a complete graph or an odd cycle.

**Theorem 4.1.2** (Brooks, 1941). Let  $G$  be a connected graph. If  $G$  is neither complete nor a  $n$  odd cycle then  $\chi(G) \leq \Delta(G)$ . In fact we will prove the following restatement of Brooks Theorem, due to Zając (2018):

Let  $k \geq 3$  be an integer and let  $G$  be a graph with  $\Delta(G) \leq k$ . If  $G$  does not contain  $K_{k+1}$  as a subgraph then  $G$  is  $k$ -colourable.

We call this the “new” version of Brooks Theorem and prove that this implies Brooks Theorem.

**Proof.** Suppose that  $G$  is a graph which satisfies the assumptions of Brooks Theorem. That is,  $G$  be a connected graph which is not an odd cycle and which is not complete. Let  $\Delta = \Delta(G)$  be the maximum degree of  $G$ . We want to show that  $\chi(G) \leq \Delta$ , as this is the conclusion required for Brooks Theorem.

First suppose that  $\Delta \leq 2$ . Then  $G$  is either a path or an even cycle, as  $G$  is connected. Hence  $G$  is bipartite and so  $\chi(G) \leq 2 = \Delta$ , as required.

Now suppose that  $\Delta \geq 3$ . We wish to apply the new version of Brooks Theorem with  $k = \Delta$ , so we must check that  $G$  does not contain  $K_{\Delta+1}$  as a subgraph. For a contradiction, suppose that  $G$  does have a subgraph  $H$  which is isomorphic to  $K_{\Delta+1}$ . Then  $H$  is  $\Delta$ -regular, and  $G$  has maximum degree  $\Delta$ , so there is no edge from a vertex of  $H$  to a vertex of  $G - V(H)$ . It follows that  $H$  is a component of  $G$ . But  $G$  is connected, so the only possibility is that  $G = H$ . But this contradicts our assumption that  $G$  is not complete.

Therefore,  $G$  satisfies the assumptions of the new version of Brooks Theorem, and by applying this result we find that  $G$  is  $\Delta$ -colourable. From this we conclude that  $\chi(G) \leq \Delta$ , as required.

In both cases, the conclusion of Brooks Theorem holds, completing the proof.  $\square$

We now prove that this “new” version is true.

**Proof.** First an observation, let  $G$  be a graph with maximum degree  $\Delta(G) \leq k$ , where  $\{1, \dots, k\}$  will be our set of colours. Suppose that  $G$  is partially coloured. Let  $P = v_1 v_2 \dots v_j$  be a path in  $G$  such that all vertices of  $P$  are uncoloured. Then we can colour vertices  $v_1, v_2, \dots, v_{j-1}$  in this order, since at the moment that we colour  $v_i$  ( $1 \leq i \leq j - 1$ ), we know that  $v_i$  has an uncoloured neighbour  $v_{i+1}$  and hence at most  $\Delta - 1$  neighbours. Call this procedure  $\text{PATHCOLOUR}(v_1, \dots, v_{j-1}; v_j)$ . Note that this procedure colours  $v_1, \dots, v_{j-1}$  but it leaves  $v_j$  uncoloured. In particular if  $j = 1$  then  $\text{PATHCOLOUR}(v_1)$  leaves the graph unchanged.

Proof by induction on  $n = |G|$ , where  $G$  is a graph with  $\Delta(G) \leq k$  and  $k \geq 3$ . If  $n \leq k$  then we can  $k$ -colour  $G$  by giving each vertex a distinct colour.

**Claim.** If  $G$  has a vertex of degree  $< k$  then  $G$  is  $k$ -colourable.

**Proof.** Let  $v$  be a vertex of degree  $< k$  and let  $G' = G - v$ . By the inductive hypothesis we can  $k$ -colour  $G'$ . Fix one such colouring  $C$ . Then at most  $k - 1$  colours are used by  $C$  on neighbours of  $v$ , so we have an available colour which we can use to colour  $v$ .

Now we assume that  $G$  is  $k$ -regular. Let  $v$  be a vertex of  $G$  and consider  $G[\{v\} \cup N(v)]$ . Since  $G$  has no subgraph isomorphic to  $K_{k+1}$ , we know that  $v$  has two neighbours  $x, y$  which are not adjacent. Let  $v_1 = x, v_2 = v, v_3 = y$ , and extend the path  $v_1v_2v_3$  to a maximal length path in  $G$ ,  $P = v_1v_2v_3 \dots v_r$  which starts with  $v_1v_2v_3$ .

**Case 1.** Suppose that  $r = n$ . This means that all vertices of  $G$  lie on  $P$  (Hamilton Path). Let  $v_j$  be any neighbour of  $v_2$  other than  $v_2$  and  $v_3$ . Since  $G$  is  $k$ -regular and  $k \geq 3$  we can choose such a vertex  $v_j$ . We now describe how to  $k$ -colour  $G$ .

- First colour  $v_1$  and  $v_3$  the same colour.
- Next apply  $\text{PATHCOLOUR}(v_4, v_5, \dots, v_{j-1}; v_j)$  which colours  $v_4, \dots, v_{j-1}$  and leaves  $v_j$  uncoloured.
- Next apply  $\text{PATHCOLOUR}(v_n, v_{n-1}, \dots, v_j; v_2)$  which will colour all remaining vertices of  $G$  except  $v_2$ .
- Finally we have an available colour for  $v_2$  since two of its neighbour ( $v_1$  and  $v_3$ ) have the same colour. Colour  $v_2$  with an available colour.

**Case 2.** Now suppose that  $r < n$ . Recall that all neighbours of  $v_r$  lie on  $P$ . Let  $v_j$  be the neighbour of  $v_r$  with the smallest index. Then  $C = v_jv_{j+1} \dots v_rv_j$  is a cycle in  $G$ . Let  $G' = G - V(C)$ . We can  $k$ -colour  $G'$  by induction. If there is no edge between  $G'$  and  $C$  then we can also  $k$ -colour  $G[V(C)]$ , by induction and we are done. Otherwise ( $G[V(C)]$  is not a component of  $G$ ): let  $v_\ell$  be the vertex on  $C$  with largest index which has a neighbour in  $G'$ , and let  $u$  be a neighbour of  $v_\ell$  in  $G'$ . Note,  $v_\ell$  is well defined as  $v_j$  has a neighbour in  $G'$  if  $j \geq 2$ . Note  $\ell \leq r - 1$  since all neighbours of  $v_r$  belong to  $V(C)$ . Also  $v_{\ell+1}$  has no neighbours outside  $C$ , by choice of  $v_\ell$ . We now describe how to  $k$ -colour vertices of  $C$ , giving a  $k$ -colouring of  $G$ .

- First, colour  $v_{\ell+1}$  with the colour assigned to  $u$ .
- Next, apply  $\text{PATHCOLOUR}(v_{\ell+2}, \dots, v_r, v_j, v_{j+1}, \dots, v_{\ell-1}; v_\ell)$  which colours all remaining vertices of  $G$  except  $v_\ell$ .
- Finally, colour  $v_\ell$  with an available colour which exists because  $v_\ell$  has two neighbours with the same colour.

This completes the proof in Case 2, by mathematical induction. □

## 4.2 Edge Colourings

By considering a vertex of maximum degree, we see that the chromatic index  $\chi'(G)$  satisfies  $\chi'(G) \geq \Delta(G)$  for all graphs  $G$ .

**Proposition 4.2.1** (Kőnig, 1916). If  $G$  is bipartite then  $\chi'(G) = \Delta(G)$ .

**Proof.** We prove this by induction on  $m = |E(G)|$ . If  $m = 0$  then the result is trivially true. So, assume that  $m \geq 1$  and that the result holds for all bipartite graphs with at most  $m - 1$  edges.

Let  $\Delta = \Delta(G)$ , choose  $xy \in E$  and let  $G' = G - xy$ . By induction, we can fix a  $\Delta$ -edge-colouring of  $G'$ . We call edges coloured  $\alpha$ , “ $\alpha$ -edges”, etc. In  $G'$ , vertices  $x, y$  both have degree  $\Delta - 1$ . So there are colours  $\alpha, \beta \in \{1, 2, \dots, \Delta\}$  such that  $x$  is not incident with an  $\alpha$ -edge, and  $y$  is not incident with a  $\beta$ -edge.

If  $\alpha = \beta$  then we can colour the edge  $xy$  with colour  $\alpha$  to give a  $\Delta$ -edge-colouring of  $G$ , and we are done. Now assume that  $\alpha \neq \beta$ . Without loss of generality, we can assume that  $x$  is incident with a  $\beta$ -edge  $xu$ . Extend the  $\beta$ -edge  $xu$  to a maximal walk  $W$  whose edges are coloured  $\alpha, \beta$  alternately. Since no such walk can contain a vertex colour twice,  $W$  is a path.

**Claim.**  $W$  does not contain  $y$ .

**Proof.** For a contradiction, suppose that  $y$  lies on  $W$ . Then  $y$  must be an endvertex of  $W$ , and the edge of  $W$  incident with  $y$  must be an  $\alpha$ -edge. Hence  $W$  has even length, and so  $W + xy$  is an odd cycle in the bipartite graph  $G$ . This is a contradiction.

By maximality of  $W$ , we can swap the colours  $\alpha$  and  $\beta$  on all edges of  $W$ . This gives a new  $\Delta$ -edge-colouring of  $G'$  such that  $\beta$  does not appear on any edge incident with  $x$ . Since  $y$  does not lie on  $W$ , there is still no  $\beta$ -edge incident with  $y$ . Finally we can colour edge  $xy$  with colour  $\beta$  in  $G$ , giving a  $\Delta$ -edge-colouring of  $G$ . This completes the proof, by induction.  $\square$

**Theorem 4.2.2** (Vizing, 1964). Every graph  $G$  satisfies

$$\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1.$$

# Chapter 5

## Connectivity

### 5.1 2-Connected Graphs

Let  $G$  be a graph. A maximal connected subgraph of  $G$  with no cut vertex is called a **block**. Every block of  $G$  is either a maximal 2-connected subgraph of  $G$  or a bridge or an isolated vertex.

By maximality, different blocks of  $G$  overlap in at most one vertex, which must be a **cut vertex** in  $G$ . Hence every edge of  $G$  lies in a unique block, and  $G$  is the union of its blocks.

Let  $A$  be the set of cut vertices in  $G$  and let  $\mathcal{B}$  be the set of blocks in  $G$ . Form the bipartite graph on  $A \cup \mathcal{B}$  with edge set

$$\{aB : a \in A, B \in \mathcal{B} \text{ and } a \in B\}.$$

**Lemma 5.1.1.** The block graph of a connected graph is a tree.

Let  $H$  be a subgraph of a graph  $G$ . An  **$H$ -path** is a path in  $G$  which intersects  $H$  only in its endvertices.

**Proposition 5.1.2.** A graph is 2-connected if and only if it can be constructed from a cycle by successively adding  $H$ -paths to graphs  $H$  already constructed.

**Proof.** Every graph constructed in this way is 2-connected. Conversely, let  $G$  be 2-connected. Then  $|G| \geq 3$  and  $G$  contains a cycle. Hence  $G$  has a maximal subgraph  $H$  which is constructible using the method described in the proposition stated.

If  $H = G$ , then we are done. For a contradiction, suppose that  $H \neq G$ . Since any edge  $xy \in E(G) - E(H)$  with  $x, y \in H$  is an  $H$ -path, by maximality we see that every  $xy \in E(G)$  with  $x, y \in H$  must belong to  $E(H)$ . Hence,  $H$  is an induced subgraph of  $G$ .

By the fact that  $G$  is connected, there is an edge  $vw$  with  $v \in G - H, w \in H$ . Since  $G$  is 2-connected we know that  $G - w$  is connected. Let  $P$  be the shortest path from  $v$  to  $H$  in  $G - w$ . Then  $wvP$  is a  $H$ -path in  $G$ , and  $H \cup wvP$  is a larger constructible subgraph than  $H$ , contradicting the maximality of  $H$ .  $\square$

### 5.2 3-Connected Graphs

Let  $e = xy \in E(G)$ . Define the graph  $G/e = (V', E')$  where  $V' = (V - \{x, y\}) \cup \{v_e\}$ ,

$$E' = \{uw \in E(G) : \{u, w\} \cup \{x, y\} = \emptyset\} \cup \{v_e w : xw \in E(G) \text{ or } yw \in E(G)\}.$$

We say that  $G/e$  is formed by **contracting** the edge  $e$  in  $G$ . This creates a new vertex  $v_e$  which replaces the endvertices of  $e$ .

**Lemma 5.2.1.** Let  $G$  be a 3-connected graph with  $|G| \geq 5$ . Then  $G$  has an edge  $e$  such that  $G/e$  is 3-connected.

**Proof.** For a contradiction, suppose that no such edge exists. For any edge  $xy \in E(G)$ , the graph  $G/xy$  is not 3-connected, but  $|G/xy| = |G| - 4 \geq 4$  by assumption that  $|G| \geq 5$ . Hence  $G/xy$  has a separating set  $S$  with  $|S| \leq 2$ . Since  $G$  is 3-connected, the contracted vertex  $v_{xy}$  must belong to  $S$ , and  $|S| = 2$ , or we would have a separating set in  $G$  with  $\leq 2$  vertices. So there is some  $z \in V(G), z \notin \{x, y\}$  such that  $S = \{v_{xy}, z\}$ . Any two vertices separated in  $G/xy$  by  $S$  are also separated in  $G$  by the set  $T = \{x, y, z\}$ .

**FACT:** Since no proper subset of  $T$  separates  $G$ , by the 3-connectivity of  $G$ , every vertex in  $T$  has a neighbour in every component  $C$  of  $G - T$ .

Choose the edge  $xy$ , vertex  $z$ , and component  $C$  of  $G - \{x, y, z\}$  such that  $|C|$  is as small as possible. Let  $v$  be a neighbour of  $z$  in  $C$ , which we know must exist by our **FACT**. By assumption,  $G/zv$  is not 3-connected, and  $|G/zv| = |G| - 1 \geq 4$ . Hence (by our earlier argument) there is a vertex  $w \notin \{v, z\}$  such that  $\{v, w, z\}$  separates  $G$ . Also by our **FACT**, every vertex in  $\{v, w, z\}$  has a neighbour in every component of  $G - \{v, w, z\}$ .

Since  $x$  and  $y$  are adjacent,  $G - \{z, v, w\}$  has a component  $D$  such that  $D \cap \{x, y\} = \emptyset$ . By our **FACT** we know that  $v$  has a neighbour in  $D$ . Recall that  $v \in C$  in  $G - \{x, y, z\}$ . Since  $D$  is connected and  $(\{v\} \cup V(D)) \cap \{x, y, z\}$ , it follows that  $\{v\} \cup V(D) \subseteq V(C)$ . Hence  $D$  is a proper subgraph of  $C$ , as  $v \notin V(D)$ . Therefore  $|D| < |C|$ , contradicting the minimality of  $C$ .

Hence  $G/e$  is 3-connected for some  $e \in E(G)$ . □

Reversing this, we can construct all 3-connected graphs starting with  $K_4$  and “uncontracting” edges.

**Theorem 5.2.2.** A graph  $G$  is 3-connected if and only if there exists a sequence  $G_0, G_1, \dots, G_r$  of graphs such that

- (i)  $G_0 = K_4$  and  $G_r = G$ ,
- (ii)  $G_{i+1}$  has an edge  $xy$  with degrees  $d(x), d(y) \geq 3$  such that  $G_i = G_{i+1}/xy$ , for  $i = 0, \dots, r - 1$ .

## 5.3 Menger's Theorem

A set  $S \subset V$  separating  $A$  from  $B$  in  $G$  is called an  $(A, B)$ -**separator**. This means that every  $(A, B)$ -path intersects  $S$ , and in particular  $A \cap B \subseteq S$ .

Let  $\mathcal{P}, \mathcal{Q}$  be sets of **disjoint**  $(A, B)$ -paths in  $G$ . Say that  $\mathcal{Q}$  **exceeds**  $\mathcal{P}$  if the set of vertices in  $A$  which belong to paths in  $\mathcal{P}$  is a *proper subset* of the set of vertices in  $A$  which belong to paths in  $\mathcal{Q}$  and similarly for  $B$ .

If  $P = x_0x_1 \dots x_k$  then we write  $P_{x_i}$  for the subpath  $x_0 \dots x_i$  and we write  $x_iP$  for the subpath  $x_ix_{i+1} \dots x_k$ .

**Theorem 5.3.1** (Menger's Theorem, 1927). Let  $G = (V, E)$  be a graph and  $A, B \subseteq V$ . Then the minimum number of vertices separating  $A$  from  $B$  in  $G$  equals the maximum number of disjoint  $(A, B)$ -paths in  $G$ .

**Proof.** Let  $k = k(G, A, B)$  be the minimum number of vertices separating  $A$  and  $B$  in  $G$ . (That is,  $k = |S|$  where  $S \subseteq V$  is a smallest  $(A, B)$ -separating set.) Then  $k$  is an upper bound on the maximum number of disjoint  $(A, B)$ -paths or else we could not separate  $A$  and  $B$  by deleting any set of  $k$  vertices. So it suffices to prove that a set of  $k$  disjoint  $(A, B)$ -paths exists. In fact, we will prove a stronger statement:

If  $\mathcal{P}$  is any set of  $< k$  disjoint  $(A, B)$ -paths, then there is a set  $\mathcal{Q}$  of  $|\mathcal{P}| + 1$  disjoint  $(A, B)$ -paths in  $G$  which exceeds  $\mathcal{P}$ .

We will keep  $G$  and  $A$  fixed and let  $B$  vary, applying induction on the number of vertices in  $\bigcup_{P \in \mathcal{P}} P$ .

*Base Case:* If  $\mathcal{P} = \emptyset$  then  $|\bigcup_{P \in \mathcal{P}} P| = 0$ . We can let  $\mathcal{Q} = \{P\}$  for any  $(A, B)$ -path  $P$ . Then  $\mathcal{Q}$  exceeds  $\mathcal{P}$ .

*Inductive Step:* Let  $\mathcal{P}$  be a set of  $< k$  disjoint  $(A, B)$ -paths, and  $B_0 \subseteq B$  be the set of end vertices of paths in  $\mathcal{P}$  (“start vertices” are in  $A$ , “endvertices” are in  $B$ ). Since  $|B_0| \leq k - 1$ ,  $B_0$  is not an  $(A, B)$ -separating set and hence there is an  $(A, B)$ -path in  $G - B_0$ . Call this  $(A, B)$ -path  $R$ . So  $R$  is disjoint from  $B_0$ . If  $R$  avoids all vertices in  $\bigcup_{P \in \mathcal{P}} P$  then  $\mathcal{Q} = \mathcal{P} \cup \{R\}$  exceeds  $\mathcal{P}$ , as required. Otherwise, let  $x$  be the last vertex of  $R$  (traversing  $R$  from  $A$  to  $B$ ) that lies on some path  $P \in \mathcal{P}$ . Note that  $x \notin B$ , by choice of  $R$ , so  $Px$  is shorter than  $P$ .

Let  $B' = B \cup V(xP \cup xR)$  and let  $\mathcal{P}' = (\mathcal{P} - \{P\}) \cup \{Px\}$ . Then  $\mathcal{P}'$  is a set of disjoint  $(A, B')$ -paths. Also  $|\mathcal{P}'| = |\mathcal{P}|$ , but the union of paths in  $\mathcal{P}'$  is strictly smaller than  $|\bigcup_{P \in \mathcal{P}} P|$ . Also,  $B \subseteq B'$ , so an  $(A, B')$ -separating set is also an  $(A, B)$ -separating set. Hence  $k(G, A, B') \geq k(G, A, B)$ . So  $|\mathcal{P}'| < k(G, A, B) \leq k(G, A, B')$ . Applying the inductive hypothesis to  $G, A, B', \mathcal{P}'$ , we conclude that there is a set  $\mathcal{Q}'$  of  $|\mathcal{P}| + 1$  disjoint  $(A, B')$ -paths in  $G$  which exceeds  $\mathcal{P}'$ . Now  $\mathcal{Q}'$  contains a path  $Q$  which ends in  $x$ , and a unique path  $Q'$  whose last vertex  $y$  is not among the last vertices of the paths in  $\mathcal{P}'$ . In particular,  $y \neq x$ .

*Case 1:*  $y \in B$ . If  $y \in B$ , then define  $\mathcal{Q} = (\mathcal{Q}' - \{Q\}) \cup \{QxP\}$

*Case 2:*  $y \notin B$  and  $y \in xR$ . If  $y \in xR$  and  $y \notin B$ , then  $y \notin xP$ , and we define  $\mathcal{Q} = (\mathcal{Q}' - \{Q, Q'\}) \cup \{QxP, Q'yR\}$ .

*Case 3:*  $y \notin B$  and  $y \in xP$ . If  $y \in xP$  and  $y \notin B$  then  $y \notin xR$ , and we define  $\mathcal{Q} = (\mathcal{Q}' - \{Q, Q'\}) \cup \{QxR, Q'yP\}$ .

In all cases,  $\mathcal{Q}$  is a set of  $|\mathcal{P}| + 1$  disjoint  $(A, B)$ -paths which exceeds  $\mathcal{P}$ , proving the inductive step. Hence there is a set of  $k$  disjoint  $(A, B)$ -paths in  $G$ , as required.  $\square$

**Corollary 5.3.2.** Let  $a, b$  be distinct vertices of  $G$ .

- (i) If  $ab \notin E$  then the minimum number of vertices (distinct from  $a$  and  $b$ ) separating  $a$  from  $b$  is equal to the maximum number independent  $(a, b)$ -paths in  $G$ .
- (ii) The minimum number of edges separating  $a$  from  $b$  in  $G$  equals the maximum number of edge-disjoint  $(a, b)$ -paths in  $G$ .

**Proof.**

- (i) Apply Menger's Theorem with  $A = N(a), B = N(b)$ . Note that a set of  $k$  disjoint  $(A, B)$ -path

corresponds to a set of independent  $(a, b)$ -paths by adding vertex  $a$  at the start and vertex  $b$  to the end.

- (ii) Apply Menger's Theorem to the line graph  $L(G)$  of  $G$  with  $A = E(a)$ , the set of edges of  $G$  incident with  $a$ ,  $B = E(b)$ , the set of edges of  $G$  incident with  $b$ .

□

**Theorem 5.3.3** (Global version of Menger's Theorem).

- (i) A graph is  $k$ -connected if and only if it has order at least  $2k$  and there are  $k$  independent paths between any two distinct vertices.
- (ii) A graph is  $k$ -edge-connected if and only if it has at least two vertices and  $k$  edge-disjoint paths between any two distinct vertices.

**Proof.**

- (i) Suppose that  $G$  is a graph and  $|G| \geq 2k$ . Now suppose that  $G$  has  $k$  independent paths between any two distinct vertices  $a, b \in V$ . Then  $|G| \geq 2k$ , as there are at least  $k - 1$  paths of length at least two between  $a$  and  $b$ . Also,  $G$  cannot be disconnected by deleting a set of  $\leq k - 1$  vertices. Hence  $G$  is  $k$ -connected.

For the converse, suppose that  $G$  is  $k$ -connected and assume for a contradiction that there are distinct vertices  $a, b$  with at most  $k - 1$  independent  $(a, b)$ -paths. Since  $G$  is  $k$ -connected we have  $|G| \geq 2k$ . By Corollary 5.3.2, we must have  $ab \in E$ . Let  $G' = G - ab$ . Then  $G'$  has at most  $k - 2$  independent  $(a, b)$ -paths. Hence by Corollary 5.3.2, there is an  $(a, b)$ -separating set  $X \subseteq V$  with  $|X| \leq k - 2$ . Since  $|G| \geq 2k$ , there is at least one more vertex  $v \notin X \cup \{a, b\}$  in  $G$ . Now  $X$  separates  $v$  from at least one of  $a$  or  $b$ , say from  $a$  (since  $a, b$  lie in distinct components of  $G' - X$ ). But then  $X \cup \{b\}$  is a set of at most  $k - 1$  vertices which separates  $v$  from  $a$  in  $G$ . This contradicts the fact that  $G$  is  $k$ -connected.

Hence  $G$  has at least  $k$  independent  $(a, b)$ -paths in  $G$ , completing the proof.

- (ii) Follows immediately from Corollary 5.3.2.

□

# Chapter 6

## Planar Graphs

A graph which is drawn in the plane so that no edges meet except at common endvertices is called a **plane graph**. An abstract graph which can be drawn in this way is called **planar**.

A graph is drawn in the Euclidean plane  $\mathbb{R}^2$  by representing each vertex by a point and each edge by a curve between two distinct points.

### 6.1 Plane Graphs

An **arc** (or **polygonal arc**) is a subset of  $\mathbb{R}^2$  composed of the union of finitely many straight line segments, which is homeomorphic to  $[0, 1]$ .

A **plane graph** is a pair  $(V, E)$  of finite sets (with elements of  $V$  called vertices and elements of  $E$  called edges) such that

- (i)  $V \subseteq \mathbb{R}^2$ ;
- (ii) Every edge is an arc between two distinct vertices (no loops);
- (iii) Different edges have different sets of endvertices (no repeated edges);
- (iv) The interior of an edge contains no vertex and no point of any other edge.

Here the **interior** of an edge/arc  $e$ , denoted  $\mathring{e}$ , is the arc minus its endpoints: if  $e$  is the arc from  $x$  to  $y$  then  $\mathring{e} = e - \{x, y\}$ .

A **plane graph** defines a graph  $G$  in a natural way. We use the name  $G$  for abstract graph, the plane graph and the **point set**

$$V \cup \left( \bigcup_{e \in E} e \right) \subseteq \mathbb{R}^2.$$

The point set of a plane graph  $G$  is a closed set in  $\mathbb{R}^2$ , and  $\mathbb{R}^2 - G$  is open. Two points in an open set  $O$  are equivalent if they are equal or they can be linked by an arc in  $O$ . This is an equivalence relation.

The equivalence classes of  $\mathbb{R}^2 - G$  are open connected regions, call the **faces** of  $G$ . Since  $G$  is bounded (that is, it lies within some sufficiently large disc  $D \subseteq \mathbb{R}^2$ ), exactly **one** face of  $G$  is unbounded: it is the face that contains  $\mathbb{R}^2 - D$ . We call the unbounded faces the **outer face** of  $G$ . All other faces of  $G$  are



called inner faces.

Let  $F(G)$  be the set of faces of  $G$ . The **boundary** of a face  $f$  is called the **frontier** of  $f$ . It is the set of all points  $y \in \mathbb{R}^2$  such that every neighbourhood of  $y$  meets both  $f$  and  $\mathbb{R}^2 - f$ .

**Lemma 6.1.1.** Let  $G$  be a plane graph with subgraph  $H \subseteq G$  and face  $f \in F(G)$ .

- (i) There is a face  $f' \in F(H)$  which contains  $f$  (that is,  $f \subseteq f'$ ).
- (ii) If the frontier of  $f$  lies in  $H$  then  $f' = f$ .

**Proof.**

- (i) Points in  $f$  are also equivalent in  $\mathbb{R}^2 - H$ , so they belong to an equivalence class  $f'$  of  $\mathbb{R}^2 - H$ . That is,  $f \subseteq f'$  and  $f' \in F(H)$ .
- (ii) We prove the contrapositive. Suppose that  $f$  is a proper subset of  $f'$  ( $f \subsetneq f'$ ). Choose points  $a \in f$  and  $b \in f' - f$ . Both  $a$  and  $b$  belong to  $f$  in  $\mathbb{R}^2 - H$ , so there is an arc between them in  $\mathbb{R}^2 - H$ .

But  $a$  and  $b$  are not equivalent in  $\mathbb{R}^2 - G$  as  $a \in f$  and  $b \notin f$ . So the arc must meet a point  $x$  on the frontier  $X$  of  $f$ , and  $x \notin H$  as  $x \in f' \subseteq \mathbb{R}^2 - H$ . Therefore  $X \not\subseteq H$ .

□

**Lemma 6.1.2.** Let  $G$  be a plane graph and let  $e$  be an edge of  $G$ .

- (i) If  $X$  is the frontier of a face of  $G$  then either  $e \subseteq X$  or  $X \cap e = \emptyset$ .
- (ii) If  $e$  lies on a cycle  $C \subseteq G$  then  $e$  lies on the frontier of exactly two faces of  $G$ , and these are contained in the distinct faces of  $C$ .
- (iii) If  $e$  does not lie on a cycle then  $e$  lies on the frontier of exactly one face of  $G$ .

**Corollary 6.1.3.** The frontier of a face of a plane graph  $G$  is always the point set of a subgraph of  $G$ .

The subgraph of  $G$  whose point set is the frontier of a face  $f$  is said to bound  $f$  and is called the **boundary** of  $f$ . Denote this subgraph by  $G[f]$ . A face is said to be **incident** with the vertices and edges of its boundary. By Lemma 6.1.1 (ii), every face of  $G$  is also a face of its boundary.

**Proposition 6.1.4.** A plane forest has exactly one face.

**Lemma 6.1.5.** If a plane graph has two distinct faces with the same boundary then the graph is a cycle.

**Proof.** Let  $G$  be a plane graph and let  $f_1, f_2$  be distinct faces of  $G$  with the same boundary  $H = G[f_1] = G[f_2]$ . Since  $f_1, f_2$  are also faces of  $H$ , the above proposition implies that  $H$  contains a cycle  $C$ .

We claim  $H = C$ . For a contradiction, suppose that  $H$  has a vertex or edge which is not in  $C$ . This additional vertex or edge of  $H$  lies in one of the faces of  $C$  and hence cannot lie on the boundary of whichever  $f_i$  is contained in the other face of  $C$ .

Thus  $f_1$  and  $f_2$  are exactly the two distinct faces of  $C$ . Hence  $f_1 \cup C \cup f_2 = \mathbb{R}^2$ . But  $f_1 \cup C \cup f_2 \subseteq$

$f_1 \cup G \cup f_2 \cup \{\text{other faces of } G\} = \mathbb{R}^2$  and therefore  $G = C$ .  $\square$

**Proposition 6.1.6.** In a 2-connected plane graph, every face is bounded by a cycle.

**Proof.** Let  $f$  be a face in a 2-connected plane graph  $G$ . We proceed by induction using Proposition 5.1.2. If  $G$  is a cycle then the result is true. Now assume that  $G$  is not a cycle. Then by Proposition 5.1.2, there is a 2-connected plane subgraph  $H$  of  $G$  and a plane  $H$ -path  $P$  such that  $G = H \cup P$ . By the inductive hypothesis, every face of  $H$  is bounded by a cycle.

The interior of  $P$  lies in the face  $f'$  of  $H$ , and  $f'$  is bounded by a cycle  $C$ . If  $f$  is a face of  $H$  then we are done. Otherwise, the frontier of  $f$  intersects  $P - H$ , so  $f \subseteq f'$ . Therefore  $f$  is a face of  $C \cup P$  and hence  $f$  is bounded by a cycle, by observation.  $\square$

**Theorem 6.1.7** (Euler's Formula, 1752). Let  $G$  be a connected plane graph with  $n$  vertices,  $m$  edges and  $\ell$  faces. Then

$$n - m + \ell = 2.$$

**Proof.** Fix  $n$  and apply induction on  $m$ . For  $m \leq n - 1$  then, as  $G$  is connected we must have  $m = n - 1$  and  $G$  is a tree. Then the result follows Proposition 6.1.4.

Now suppose that  $m \geq n$ . Then  $G$  has an edge  $e$  which belongs to a cycle. Let  $G' = G - e$  which is a connected plane graph. By Lemma 6.1.2 (ii),  $e$  lies on the boundary of exactly two distinct faces  $f_1$  and  $f_2$  of  $G$ . There is a face  $f_e$  of  $G'$  which contains  $\dot{e}$ , since all points of  $\dot{e}$  are equivalent in  $\mathbb{R}^2 - G'$ .

**Claim.** We claim the following result,  $F(G) - \{f_1, f_2\} = F(G') - \{f_e\}$ .

**Proof.** First let  $f \in F(G) - \{f_1, f_2\}$ . By Lemma 6.1.2  $G[f] \subseteq G - \dot{e} = G'$  and hence  $f \in F(G')$  by Lemma 6.1.2 (ii). Also  $f \neq f_e$  as  $\dot{e} \subseteq f_e$  but  $\dot{e} \cap f = \emptyset$ . So  $f \in F(G') - \{f_e\}$  proving " $\subseteq$ " part of the claim.

Next let  $f' \in F(G') - \{f_e\}$ . Then  $f' \neq f_1, f_2$  (as open sets): for any  $x \in \dot{e}$ , any open set around  $x$  intersects both  $f_1$  and  $f_2$ . But there are open sets containing  $x$  which are disjoint from  $f'$ , as  $\dot{e} \in f_e, f_e$  open,  $f'$  and  $f_e$  are disjoint.

Also  $f' \cap \dot{e} = \emptyset$  as  $\dot{e} \subseteq f_e$  and  $f_e$  is disjoint from  $f'$ . Hence every pair of points in  $f'$  belong to  $\mathbb{R}^2 - G$ , and they are equivalent in  $\mathbb{R}^2 - G$ . Thus,  $G$  has a face  $f$  which contains  $f'$ . By Lemma 6.1.2 (i),  $f$  is contained in a face  $f''$  of  $G'$ . Hence  $f' \subseteq f \subseteq f''$ . Therefore  $f' = f''$  (faces of  $G'$  which overlap must be equal) and  $f' = f \in F(G)$ . So  $f' \in F(G) - \{f_1, f_2\}$ , completing the proof of the claim.

Then  $G'$  has exactly one less face and exactly one less edge than  $G$ . So the result for  $G$  follows by the formula for  $G'$ , which holds by induction:  $n - (m - 1) + (\ell - 1) = 2$ .  $\square$

**Corollary 6.1.8.** The graphs  $K_5, K_{3,3}$  are not planar.

**Proof.** For a contradiction, suppose that  $K_5$  is planar. Any planar embedding of  $K_5$  must have  $\ell$  faces where  $5 - 10 + \ell = 2$  by Euler's Formula (note that  $K_5$  is connected). Rearranging gives  $\ell = 7$ . But  $K_5$  is 2-connected and hence every face is bounded by a cycle (of length at least 3), by Proposition 6.1.6. Also, every edge of  $G$  lies on the boundary of exactly two faces, as  $K_5$  has no bridges and using Lemma 6.1.2 (ii). We will double count elements of the set

$S = \{(e, f) : e \in E(K_5), f \in F(K_5), e \subseteq G[f]\}$  (incident edge-face pairs). We get  $3\ell \leq |S| = 2 \times 10$ . Hence  $\ell \leq 20/3 < 7$ , contradiction. So  $K_5$  is not planar.

Similarly, as  $K_{3,3}$  is connected, any planar embedding of  $K_{3,3}$  would have  $\ell$  faces, where  $6 - 9 + \ell = 2$  by Eulers formula. So  $\ell = 5$ . Also, every face is bounded by a cycle of length at least 4, as  $K_{3,3}$  is 2-connected and bipartite (using Proposition 6.1.6) and every edge is incident with exactly 2 faces, as above.

Double counting incident (edge, face) pairs gives  $4\ell \leq 2 \times 9$ , so  $\ell \leq \frac{9}{2} < 5$ . This contradiction shows that  $K_{3,3}$  is not planar.  $\square$

A **subdivision** of a graph  $G$  is obtained by replacing each edge of  $G$  by an independent path between its endvertices.

**Kuratowski's Theorem (1930)** says that a graph  $G$  is planar if and only if no subgraph of  $G$  is a subdivision of  $K_5$  or  $K_{3,3}$ .

A plane graph  $G$  is **maximally plane** (or just **maximal**) if we cannot add a new edge to form a new plane graph  $G'$  with  $V(G') = V(G)$  such that  $E(G')$  strictly contains  $E(G)$ .

Call  $G$  a **plane triangulation** if every face of  $G$  (including the outer face) is bounded by a triangle.

**Proposition 6.1.9.** A plane graph of order at least 3 is maximally plane if and only if it is a plane triangulation.

**Proof.** Let  $G$  be a plane graph with  $|G| \geq 3$ . First suppose that  $G$  is a plane triangulation. Then  $G$  is maximally plane, any additional edge  $e$  would have its interior completely within a face  $f$  of  $G$ , and the endvertices of  $e$  would lie on the boundary of  $f$ . But all these edges are already present as  $G[f] \cong K_3$  which is complete, and repeated edges are not allowed.

For the converse, suppose that  $G$  is maximally plane. Let  $f \in F(G)$  be a face and let  $H = G[f]$ .

**Claim 1.** The induced subgraph  $G[H]$  is complete. If not, say vertices  $x, y$  of  $G[H]$  are not adjacent in  $G$ . But we can add an edge through the face  $f$  between  $x$  and  $y$ , giving a plane graph with more edges than  $G$ . This contradicts maximality of  $G$ .

Hence  $G[H] = K_r$  for some  $r$ . Then  $r \leq 4$  as  $K_5$  is not planar. Note:  $H$  might not be complete (that is, it might not be a induced subgraph of  $G$ ).

**Claim 2.**  $H$  contains a cycle. If not, then  $H$  is a forest. Either  $r \geq 3$ , and  $H \subsetneq K_r = G[H] \subseteq G$  or  $r = 2$  and  $|G| \geq 3$  while  $|H| = r = 2$ . In either case,  $H \neq G$ . But by Proposition 6.1.4,  $H$  has exactly one face  $f$  and hence  $f \cup H = \mathbb{R}^2$ . Therefore  $G = H$ , contradiction.

**Claim 3.**  $r = 3$ , and hence  $H = K_3$ . We know that  $r \leq 4$  and by Claim 2 we have  $r \geq 3$ . So it is enough to rule out  $r = 4$ . For a contradiction, suppose that  $r = 4$  and let  $V(H) = \{v_1, v_2, v_3, v_4\}$ . Without loss of generality let  $C = v_1v_2v_3v_4v_1$  be a cycle in  $H$  (note,  $H$  contains a cycle by Claim 2: how do we know it is a 4-cycle?).

Since  $C \subseteq G$ , by Lemma 6.1.1 (i), the face  $f$  is contained within a face  $f_c$  of  $C$ . let  $f'_c$  be the other face of  $C$ .

**FACT.** Edges  $v_1v_3$  and  $v_2v_4$  lie in different faces of  $C$ . If not, we can add a new vertex  $u$  in the face of  $C$  which does not contain these edges, and add edges  $uv_1, uv_2, uv_3, uv_4$  giving a plane embedding of  $K_5$ , contradiction.

But, since  $v_1$  and  $v_3$  lie on  $G[f]$ , they can be linked by an arc whose interior lies in  $f_c$  which avoids  $G$ . Hence the plane edge  $v_2v_4$  of  $G[H]$  goes through  $f'_c$ , not  $f_c$ .

Similarly, since  $v_2$  and  $v_4$  lie on  $G[f]$ , they can be linked by an arc whose interior lies in  $f_c$  and which avoids  $G$ . Hence the plane edge  $v_1v_3$  of  $G[H]$  runs through  $f'_c$ , not  $f_c$ . This contradicts our *FACT*. Hence  $r \neq 4$  so  $r = 3$  and Claim 3 holds.

So every face of  $G$  is bounded by a 3-cycle. □

**Corollary 6.1.10.** A plane graph with  $n \geq 3$  vertices has at most  $3n - 6$  edges. Every plane triangulation has  $3n - 6$  edges.

**Proof.** By Proposition 6.1.9 it suffices to prove the second statement. Let  $G$  be a plane triangulation. If  $G$  was disconnected then at least one face of  $G$  must have a disconnected boundary. But all faces of  $G$  are bounded by 3-cycles, so  $G$  is connected.

Next, every edge lies on the boundary of some face, which is a 3-cycle. So every edge of  $G$  belongs to a cycle and hence lies on the boundary of exactly two faces. Furthermore, every face boundary has exactly 3 edges. Let  $n = |G|$ ,  $m = |E(G)|$  and  $\ell = |F(G)|$ . Double-counting incident (edge - face) pairs gives  $3\ell = 2m$ . Thus  $\ell = \frac{2m}{3}$ . Substituting this into Euler's formula, as  $G$  is connected gives  $n - m + \frac{2m}{3} = 2$ . Hence  $m = 3(n - 2) = 3n - 6$  as required. □

## 6.2 Colouring Maps

**Theorem 6.2.1** (Four Colour Theorem). Every planar graph is 4-colourable. (That is, there exists a proper 4-colouring of the vertices of any planar graph.)

**Proposition 6.2.2.** Every planar graph is 5-colourable.

**Proof.** Let  $G$  be a plane graph with  $n$  vertices and  $m$  edges. If  $n \leq 5$  then 5-colouring is easy. So we assume that  $n \geq 6$ . Assume by induction that every plane graph with at most  $n - 1$  vertices can be 5-coloured. By Corollary 6.1.10, the average degree of  $G$  satisfies

$$\bar{d}(G) = \frac{2m}{n} \leq \frac{2(3n - 6)}{n} < 6.$$

Hence  $G$  has at least one vertex of degree  $\leq 5$ . Let  $v$  be a vertex of  $G$  with degree  $\leq 5$ . If  $d_G(v) \leq 4$  then by induction we can 5-colour  $G - v$  and extend this colouring to a 5-colouring of  $G$  by choosing a colour for  $v$  which does not appear on  $N(v)$ . So we can assume that  $d_G(v) = 5$ .

Note, some pair of distinct neighbours  $u, w \in N(v)$  must not be adjacent, as  $K_5$  is not planar. Contract the edge  $uw$  and then contract the edge  $vw$ , preserving planarity. This gives a plane graph  $\hat{G}$  with  $n - 2$  vertices. By induction,  $\hat{G}$  is 5-colourable. Let  $\hat{c}$  be a 5-colouring of  $\hat{G}$ . We define a 5-colouring  $c$  of  $G - v$  by

$$c(x) = \begin{cases} \hat{c}(x) & \text{if } x \notin \{u, w\}, \\ \hat{c}(uvw) & \text{if } x \in \{u, w\}. \end{cases}$$

Now at most 4 colours appear on  $N(v)$  under  $c$ , so we can colour  $v$  with a missing colour to give a 5-colouring of  $G$ . This completes the proof, by induction.  $\square$

**Theorem 6.2.3.** Every planar graph which does not contain a triangle is 3-colourable.

# Chapter 7

## Ramsey Theory

For integers  $s, t \geq 2$ , let  $R(s, t)$  be the least positive integer  $n$  such that any red-blue colouring of  $K_n$  has either a red copy of  $K_s$  or a blue copy of  $K_t$ .

The numbers  $R(s, t)$  are called **Ramsey numbers**. Write  $R(s)$  instead of  $R(s, s)$  (this is the *diagonal* case).

### 7.1 Upper Bounds

**Theorem 7.1.1** (Erdős & Szekeres, 1935). For all integers  $s, t \geq 2$ , the Ramsey number  $R(s, t)$  is finite. If  $s > 2$  and  $t > 2$  then

$$R(s, t) \leq R(s-1, t) + R(s, t-1) \quad (7.1)$$

and hence

$$R(s, t) \leq \binom{s+t-2}{s-1}. \quad (7.2)$$

**Proof.** We know that  $R(s, 2) = R(2, s)$  for all  $s \geq 2$ . Assume by induction that  $R(s-1, t)$  and  $R(s, t-1)$  are both finite. Let  $n = R(s-1, t) + R(s, t-1)$ . Consider any red-blue colouring of the edges of  $K_n$ . Let  $x$  be a vertex of  $K_n$ . Then  $x$  has degree  $n-1 = R(s-1, t) + R(s, t-1) - 1$ .

By the pigeonhole principle, either

- there are at least  $n_1 = R(s-1, t)$  red edges incident with  $x$
- there are at least  $n_2 = R(s, t-1)$  blue edges incident with  $x$ .

Without loss of generality, assume the former. Consider the subgraph  $K_{n_1}$  spanned by a set of  $n_1$  vertices which are joined to  $x$  by red edges.

- If  $K_{n_1}$  contains a blue copy of  $K_t$  then we are done.
- Otherwise,  $K_{n_1}$  contains a red copy of  $K_{s-1}$ , since  $n_1 = R(s-1, t)$ .

Together with  $x$  this gives a red copy of  $K_s$ , completing the proof of (7.1). Then we use induction on  $s+t$  to prove (7.2).  $\square$

## 7.2 Lower Bounds

**Theorem 7.2.1** (Erdős, 1947). If  $\binom{n}{s} 2^{1-\binom{s}{2}} < 1$  then  $R(s) > n$ . Hence  $R(s) > \lfloor 2^{s/2} \rfloor$  for  $s \geq 3$ .

**Proof.** Take a random red-blue colouring of the edges of  $K_n$ , where each edge is coloured independently red or blue, each with probability  $1/2$ . For any fixed set  $R$  of  $s$  vertices, let  $A_R$  be the event that the induced subgraph  $K_n[R]$  is monochromatic. Then, using independence,

$$Pr(A_R) = \left(\frac{1}{2}\right)^{\binom{s}{2}} + \left(\frac{1}{2}\right)^{\binom{s}{2}} = \frac{2}{2^{\binom{s}{2}}},$$

since there are  $\binom{s}{2}$  edges in  $K_n[R]$  and the events “all red” and “all blue” on  $K_n[R]$  are disjoint. Let  $X$  be the number of monochromatic copies of  $K_s$  in the random red-blue colouring. Then  $X = \sum_{R \subseteq [n], |R|=s} A_R$ , where  $[n] = \{1, 2, \dots, n\} = V(K_n)$  and  $\mathbb{I}(A_R)$  is the indicator variable for the event  $A_R$ .

Hence, by linearity of expectation,

$$\mathbb{E}X = \sum_{R \subseteq [n], |R|=s} \mathbb{E}(\mathbb{I}(A_R)) = \sum_{R \subseteq [n], |R|=s} Pr(A_R) = \binom{n}{s} \frac{2}{2^{\binom{s}{2}}}.$$

By the assumption we have  $\mathbb{E}X = \binom{n}{s} 2^{1-\binom{s}{2}} < 1$ . Therefore there is a fixed red-blue colouring of the edges of  $K_n$  with no monochromatic copy of  $K_s$ . Hence  $R(s) > n$ . This proves the first statement.

Now suppose that  $s \geq 3$  and  $n = \lfloor 2^{s/2} \rfloor = \lfloor \sqrt{2}^3 \rfloor$ . Then

$$\binom{n}{s} 2^{1-\binom{s}{2}} \leq \frac{2^{1+s/2-s^2/2} n^s}{s!} \leq \frac{2^{1+s/2-s^2/2} 2^{s^2/2}}{s!} \leq \frac{2^{1+s/2}}{s!} < 1$$

(as  $n^s \leq 2^{s^2/2}$  by choice of  $n$ ) and this holds for  $s \geq 3$ . □

## 7.3 Graph Ramsey Theory

Let  $H_1, H_2$  be fixed graphs with no isolated vertices, and let  $R(H_1, H_2)$  be the least positive integer  $n$  such that in every red-blue colouring of the edges of  $K_n$ , then there is either a red copy of  $H_1$  or a blue copy of  $H_2$ .

Write  $R(H) = R(H, H)$  and note that  $R(K_s, K_t) = R(s, t)$ , the Ramsey numbers.

**Theorem 7.3.1.** Write  $\ell K_2$  for a set of  $\ell$  independent edges. For  $\ell \geq 1$  and  $p \geq 2$ ,

$$R(\ell K_2, K_p) = 2\ell + p - 2.$$

**Proof.** First consider  $K_{2\ell+p-3}$ . We colour the edges of  $K_{2\ell+p-3}$  so that there is a red  $K_{2\ell-1}$  and all other edges are blue. Then we cannot find  $\ell$  independent red edges as this would require  $2\ell$  vertices which are incident with red edges, but we only have  $2\ell - 1$ . That is, there is no red copy of  $\ell K_2$ .

Next, the largest blue complete subgraph  $2\ell + p - 3 - (2\ell - 2) = p - 1$  vertices, noting that we can keep exactly one vertex which is incident with a red edge. Hence there is no blue  $K_p$ , so

$$R(\ell K_2, K_p) \geq 2\ell + p - 2.$$

Next, take any red-blue colouring of the edges of  $K_n$ , where  $n = 2\ell + p - 2$ . If we can find a red  $\ell K_2$  then we are done. So suppose that there are at most  $s$  independent red edges, where  $s \leq \ell - 1$ . Then the set of  $n - 2s \geq 2\ell + p - 2 - 2(\ell - 1) = p$  vertices which are not incident with these red edges must span a blue complete subgraph: if not, we can find a larger red matching, contradicting the definition of  $s$ .

Hence  $R(\ell K_2, K_p) \leq 2\ell + p - 2$ , so  $R(\ell K_2, K_p) = 2\ell + p - 2$  as claimed.  $\square$

For a graph  $G$ , let  $c(G)$  be the number of vertices in the largest component of  $G$ , and let  $u(G)$  be the **chromatic surplus** of  $G$ , which is the maximum size of the smallest colour class of  $G$ , taken over all  $\chi(G)$ -colourings of  $G$ . Note that  $u(C_{2k}) = k$  and  $u(C_{2k+1}) = 1$ .

**Theorem 7.3.2.** For all graphs  $H_1, H_2$  with no isolated vertices, we have

$$R(H_1, H_2) \geq (\chi(H_1) - 1)(c(H_2) - 1) + u(H_1).$$

In particular, if  $H_2$  is connected then

$$R(H_1, H_2) \geq (\chi(H_1) - 1)(|H_2| - 1) + 1.$$

**Proof.** Let  $k = \chi(H_1)$ ,  $u = u(H_1)$  and  $c = c(H_2)$ . Then

$$R(H_1, H_2) \geq R(H_1, K_2) = |H_1| \geq \chi(H_1)u(H_1) = ku.$$

Hence if  $c \leq u$  then

$$R(H_1, H_2) \geq ku \geq (k - 1)c + u \geq (k - 1)(c - 1) + u,$$

as required. Now suppose that  $c > u$  and let  $n = (k - 1)(c - 1) + u - 1$ . Partition the vertices of  $K_n$  into parts  $A_1, A_2, \dots, A_{k-1}, B$  where  $|A_j| = c - 1$  for  $j = 1, \dots, k - 1$  and  $|B| = u - 1$ .

Let  $K_n[A_i]$  be a blue  $K_{c-1}$  for all  $i = 1, \dots, k - 1$  and let  $K_n[B]$  be a blue  $K_{u-1}$ . Colour all remaining edges red.

The largest component in  $H_2$  has order  $c$ , but the largest component of the blue subgraph of  $K_n$  has order  $c - 1$ , since  $c > u$ . Hence there is no blue copy of  $H_2$ .

Next, if there is a red copy of  $H_1$  then the  $k$ -partite sets  $A_1, \dots, A_{k-1}, B$  induce a  $k$ -colouring (proper vertex colouring) of  $H_1$ . Furthermore,  $k = \chi(H_1)$  and the smallest colour class in this vertex colouring contains  $u - 1$  vertices, as  $u < c$ . But this contradicts the definition of  $u = u(H_1)$ . Hence there is no red  $H_1$  either, so  $R(H_1, H_2) > n$ . So  $R(H_1, H_2) \geq n + 1 = (k - 1)(c - 1) + u$ .

The second statement follows as  $u(H_1) \geq 1$  for all graphs  $H_1$  with no isolated vertices, and  $c(H_2) = |H_2|$  if  $H_2$  is connected.  $\square$



# Chapter 8

## Random Graphs

We define the uniform model of random graphs in a similar manner to what was done in the Probabilistic Method chapter.

For some probability  $p \in [0, 1]$ , each pair of distinct vertices  $\{i, j\}$  let  $\Pr(ij \in E) = p$  independently for each  $i \neq j$ . This gives a random graph model called the binomial model denoted  $G(n, p)$ . Note  $G(n, \frac{1}{2})$  is the uniform model.

We write  $G \in G(n, p)$  to mean that  $G$  is a random graph chosen from the binomial model. For a fixed  $G_0 \in \Omega_n$ , the probability that the random graph  $G$  equals  $G_0$  is

$$\Pr(G = G_0) = p^{|E(G_0)|} (1 - p)^{\binom{n}{2} - |E(G_0)|}$$

which depends only on  $|E(G_0)|$  using independence.

For  $G \in G(n, p)$ , the expected number of edges of  $G$  is  $p \binom{n}{2}$ .

For fixed  $p \in [0, 1]$ , we have a **sequence** of probability spaces,

$$(G(n, p))_{n \in \mathbb{Z}^+}.$$

We can also let  $p$  be a function of  $n$ , where  $p(n) \in [0, 1]$  for all  $n \in \mathbb{Z}^+$ . This gives the sequence of probability spaces

$$(G(n, p(n)))_{n \in \mathbb{Z}^+}.$$

Recall that  $\omega(G)$  is the clique number of  $G$ , and  $\alpha(G)$  is the independence number of  $G$ .

**Lemma 8.0.1.** Let  $G \in G(n, p)$ . Then for any integer  $k \geq 2$ ,

$$\begin{aligned} \Pr(\omega(G) \geq k) &\leq \binom{n}{k} p^{\binom{k}{2}}, \\ \Pr(\alpha(G) \geq k) &\leq \binom{n}{k} (1 - p)^{\binom{k}{2}}. \end{aligned}$$

**Proof.** Let  $G \in G(n, p)$ . If  $G$  has a clique of order  $\geq k$  then  $G$  has a clique of order  $k$ . For a set  $S$  of  $k$  vertices, let  $A_s$  be the event “ $G[S]$  is a clique”. Then  $\Pr[A_s] = p^{\binom{k}{2}}$ , using independence, since

$\binom{k}{2}$  edges within in  $S$  must be present. Hence

$$\Pr(\omega(G) \geq k) = \Pr\left(\bigcup_{|S|=k} A_s\right) \leq \sum_{|S|=k} \Pr(A_s) = \binom{n}{k} p^{\binom{k}{2}}$$

(using the union bound), the result as required.  $\square$

For  $a \in \mathbb{R}$  and  $r \in \mathbb{N}$ , let

$$(a)_r = a(a-1) \cdots (a-r+1)$$

denote the **falling factorials**.

**Lemma 8.0.2.** Let  $k \geq 3$  be an integer. The expected number of  $k$ -cycle in  $G \in G(n, p)$  is

$$\frac{(n)_k}{2k} p^k.$$

**Proof.** Let  $X$  be the number of  $k$ -cycles in  $G \in G(n, p)$ . Given a sequence  $(v_1, v_2, \dots, v_k)$  of  $k$  distinct vertices, the probability that this sequence describes a walk around a  $k$ -cycle is

$$\Pr(v_1 v_2, v_2 v_3, \dots, v_{k-1} v_k, v_k v_1 \in E(G)) = p^k, \text{ using independence}$$

There are  $(n)_k$  ways to choose this sequence of  $k$  distinct vertices. Each cycle in  $G$  corresponds to exactly  $2k$  such sequences corresponding to the choice of start vertex and direction.

Hence, by linearity of expectation,  $\mathbb{E}X = \frac{(n)_k}{2k} p^k$ , as claimed.  $\square$

If  $\Pr(G \in \mathcal{P}) \rightarrow 1$  as  $n \rightarrow \infty$ , for some graph property  $\mathcal{P}$ , we say that  $G \in P$  holds **asymptotically almost surely**, abbreviated to “a.a.s.”.

**Proposition 8.0.3.** For fixed  $p \in (0, 1)$  and every graph  $H$ , a.a.s.  $G \in G(n, p)$  has an induced subgraph which is isomorphic to  $H$ .

**Proof.** Let  $k = |V(H)|$ . Suppose that  $n \geq k$  and let  $\mathcal{U} \subseteq \{1, 2, \dots, n\}$  be a fixed set of  $k$  vertices. The probability that  $G[\mathcal{U}] \cong H$  is some fixed constant  $r \in (0, 1)$  which depends only on  $H$  and  $P$  but not on  $n$ .

Now we can find  $\lfloor \frac{n}{k} \rfloor$  disjoint sets of  $k$  vertices,  $\mathcal{U}_1, \dots, \mathcal{U}_{\lfloor \frac{n}{k} \rfloor}$ , within  $V(G) = [n]$ . The probability that none of  $\mathcal{U}_1, \dots, \mathcal{U}_{\lfloor \frac{n}{k} \rfloor}$  induces a copy of  $H$  is  $(1-r)^{\lfloor \frac{n}{k} \rfloor}$ , since the  $\mathcal{U}_j$  are disjoint and hence the events  $G[\mathcal{U}_j] \not\cong H$  are independent of each other (for  $j = 1, \dots, \lfloor \frac{n}{k} \rfloor$ ).

But  $(1-r)^{\lfloor \frac{n}{k} \rfloor} \rightarrow 0$  as  $n \rightarrow \infty$ , since  $1-r \in (0, 1)$  and  $\lfloor \frac{n}{k} \rfloor \rightarrow \infty$  as  $n \rightarrow \infty$ . Hence a.a.s., one of  $\mathcal{U}_1, \dots, \mathcal{U}_{\lfloor \frac{n}{k} \rfloor}$  induces a copy of  $H$ .  $\square$

Given  $i, j \in \mathbb{N}$ , let  $\mathcal{P}_{ij}$  be the property that given any disjoint vertex set  $U, W$  with  $|U| \leq i$  and  $|W| \leq j$ , the graph contains a vertex  $v \in U \cup W$  that is adjacent to all vertices in  $U$  but none in  $W$ .

**Lemma 8.0.4.** For every constant  $p \in (0, 1)$  and all  $i, j \in \mathbb{N}$ , let  $G \in G(n, p)$ . Then a.a.s.  $G \in \mathcal{P}_{ij}$ .

**Proof.** Assume that  $n \geq i + j + 1$ . For fixed disjoint set  $U, W \subseteq [n]$  and  $v \in [n] - (U \cup W)$ , the probability that  $v$  is adjacent to all vertices of  $U$  and to no vertices of  $W$  is  $p^{|U|}(1-p)^{|W|} \geq p^i(1-p)^j$  using independence. To simplify notation we write  $q = 1 - p$ . Hence the probability that no such  $v$  exists for the given sets  $U$  and  $W$  is

$$(1 - p^{|U|}q^{|W|})^{n-|U|-|W|}$$

since these events are independent for distinct  $v \in U \cup W$  (no edge/non-edge choices are considered in more than one of these events). Now

$$\begin{aligned} (1 - p^{|U|}q^{|W|})^{n-|U|-|W|} &\leq (1 - p^j q^j)^{n-|U|-|W|} \\ &\leq (1 - p^i q^j)^{n-i-j}. \end{aligned}$$

There are at most  $n^{i+j+2}$  pairs of disjoint sets  $U, W$  with  $|U| \leq i$  and  $|W| \leq j$ , as

$$\sum_{s=0}^i \binom{n}{s} \leq \sum_{s=0}^i n^s = \frac{n^{i+1} - 1}{n - 1} \leq n^{i+1},$$

and similarly for  $W$ . Hence the probability that some  $U, W$  has no suitable  $v$  is at most

$$n^{i+j+2}(1 - p^i q^j)^{n-i-j} \rightarrow 0 \text{ as } n \rightarrow \infty$$

since  $1 - p^i q^j \in (0, 1)$ . Hence a.a.s.  $\mathcal{P}_{ij}$  holds, as required.  $\square$

**Corollary 8.0.5.** For every constant  $p \in (0, 1)$  and all  $k \in \mathbb{N}$ , a.a.s.  $G \in G(n, p)$  is  $k$ -connected.

**Proof.** By Lemma 8.0.4, it is enough to show that every graph in  $\mathcal{P}_{2,k-1}$  is  $k$ -connected when  $n$  is sufficiently large. Assume that  $n \geq k + 2$  (one more than is needed for  $k$ -connectivity). Let  $W$  be any set of at most  $k - 1$  vertices. We want to prove that  $G - W$  is still connected. So let  $x, y$  be distinct vertices in  $[n] - W$  and define  $U = \{x, y\}$ . By definition of  $\mathcal{P}_{2,k-1}$  there is a vertex  $v$  in  $[n] - (U \cup W)$  such that  $v$  is adjacent to both  $x$  and  $y$ . Hence  $xvy$  is a path between  $x$  and  $y$  in  $G - W$ , proving that  $G - W$  is connected.  $\square$

**Proposition 8.0.6.** For every constant  $p \in (0, 1)$  and all  $\epsilon > 0$ , a.a.s.  $G \in G(n, p)$  satisfies

$$\chi(G) \geq \frac{\ln(1/q)n}{(2 + \epsilon) \ln n}$$

where  $q = 1 - p$ .

**Proof.** Let  $a$  be any fixed integer,  $2 \leq a \leq n$ . Then by Lemma 8.0.1

$$\begin{aligned} \Pr(\alpha(G) \geq a) &\leq \binom{n}{a} (1 - p)^{\binom{a}{2}} \\ &\leq n^a (1 - p)^{\binom{a}{2}} \\ &= q^{a \frac{\ln n}{\ln q} + \frac{a(a-1)}{2}} \\ &= q^{\frac{a}{2} (\frac{2 \ln n}{\ln q} + a - 1)} \\ &= q^{\frac{a}{2} (a - 1 - \frac{2 \ln n}{\ln(1/q)})} \end{aligned}$$

Set  $a = \lceil \frac{(2+\epsilon)\ln n}{\ln(1/q)} \rceil$ . Then

$$\lim_{n \rightarrow \infty} \frac{a}{2} \left( a - 1 - \frac{2 \ln n}{\ln(1/q)} \right) \geq \lim_{n \rightarrow \infty} \frac{(2+\epsilon)\ln n}{2 \ln(1/q)} \left( \frac{\epsilon \ln n}{\ln(1/q)} - 1 \right) = \infty$$

Hence  $\Pr(\alpha(G) \geq a) \rightarrow \infty$  as  $n \rightarrow \infty$ , since  $q \in (0, 1)$ .

This shows that a.a.s.  $G \in G(n, p)$  has no independent set of order  $\lceil \frac{(2+\epsilon)\ln n}{\ln(1/q)} \rceil$ , and hence a.a.s.  $\alpha(G) < \frac{(2+\epsilon)\ln n}{\ln(1/q)}$ . Therefore a.a.s. for  $G \in G(n, p)$ ,

$$\chi(G) \geq \frac{n}{\alpha(G)} > \frac{\ln(1/q)n}{(2+\epsilon)\ln n}.$$

□

**Lemma 8.0.7.** Let  $k$  be a positive integer and let  $p = p(n)$  be a function of  $n$  such that  $p(n) \in (0, 1)$  and

$$p(n) \geq \frac{6k \ln n}{n}$$

for sufficiently large  $n$ . Then for  $G \in G(n, p)$ , a.a.s.

$$\alpha(G) < \frac{n}{2k}.$$

**Proof.** Let  $n, r \in \mathbb{Z}, n \geq r \geq 2$ . By Lemma 8.0.1 for  $G \in G(n, p)$  we have

$$\begin{aligned} \Pr(\alpha(G) \geq r) &\leq \binom{n}{r} (1-p)^{\binom{r}{2}} \\ &\leq n^r (1-p)^{\binom{r}{2}} \\ &= (n(1-p)^{\frac{r-1}{2}})^r \\ &\leq (ne^{-\frac{p(r-1)}{2}})^r \end{aligned}$$

since  $1-p \leq e^{-p}$ . If  $p \geq \frac{6k \ln n}{n}$  and  $r \geq \frac{n}{2k}$  then

$$\begin{aligned} ne^{-\frac{p(r-1)}{2}} &= ne^{-\frac{pr}{2} + \frac{p}{2}} \\ &\leq ne^{-\frac{3}{2} \ln n + \frac{p}{2}} \\ &\leq ne^{-\frac{3}{2} \ln n + \frac{1}{2}} \\ &= n \cdot n^{-\frac{3}{2}} \quad (\text{since } p \leq 1) \\ &= \sqrt{\frac{e}{n}} \rightarrow 0 \text{ as } n \rightarrow \infty. \end{aligned}$$

Since  $p = p(n) \geq \frac{6k \ln n}{n}$  for sufficiently large  $n$ , we take  $r = \lceil \frac{n}{2k} \rceil$  to conclude that

$$\lim_{n \rightarrow \infty} \Pr\left(\alpha(G) \geq \frac{n}{2k}\right) = \lim_{n \rightarrow \infty} \Pr(\alpha(G) \geq r) = 0.$$

□

Recall that the **girth** of a graph is the length of its smallest cycle and Markov's inequality: if  $X : \Omega \rightarrow \mathbb{N}$  is a nonnegative integer-valued random variable on a set  $\Omega$ , and  $k > 0$ , then

$$\Pr(X \geq k) \leq \frac{\mathbb{E}X}{k}.$$

**Theorem 8.0.8** (Erdős, 1959). For every integer  $k \geq 3$  there exists a graph  $H$  with girth  $g(H) > k$  and chromatic number  $\chi(H) > k$ .

**Proof.** Fix  $\epsilon$  with  $0 < \epsilon < \frac{1}{k}$  and let  $p = p(n) = n^{\epsilon-1}$ . Let  $X(G)$  be the number of cycles in  $G \in G(n, p)$  with length  $\leq k$ . By Lemma 8.0.2 and linearity of expectation,

$$\mathbb{E}X = \sum_{i=3}^k \frac{(n)_i}{2i} p^i \leq \frac{1}{2} \sum_{i=3}^k (np)^i \leq \frac{k-2}{2} (np)^k,$$

as  $np = n^\epsilon > 1$ . Using Markov's inequality

$$\Pr\left(X \geq \frac{n}{2}\right) \leq \frac{\mathbb{E}X}{\frac{n}{2}} \leq (k-2)n^{k-1}p^k = (k-2)n^{k-1}n^{(\epsilon-1)k} = (k-2)n^{k\epsilon-1}.$$

Note  $k\epsilon < 1$  by choice of  $\epsilon$ . Hence

$$\lim_{n \rightarrow \infty} \Pr\left(X \geq \frac{n}{2}\right) = 0.$$

That is, a.a.s.  $X(G) < \frac{n}{2}$ . Note also that,  $p = n^\epsilon - 1 \geq \frac{6k \ln n}{n}$  for large enough  $n$ , as  $k$  is constant. Hence by Lemma 8.0.7, we can choose  $n$  large enough so that

$$\Pr\left(X \geq \frac{n}{2}\right) < \frac{1}{2} \text{ and } \Pr\left(\alpha(G) \geq \frac{n}{2k}\right) < \frac{1}{2}.$$

This shows that for some fixed graph  $G_0$  on  $n$  vertices we have  $\alpha(G) < \frac{n}{2k}$  and  $G_0$  has fewer than  $\frac{n}{2}$  cycles of length  $\leq k$ . Construct  $H$  from  $G_0$  by deleting one vertex from every cycle in  $G_0$  of length  $\leq k$ . Then  $|H| \geq \frac{n}{2}$  and by construction,  $g(H) > k$ .

Also  $\alpha(H) \leq \alpha(G_0) < \frac{n}{2k}$  since every independent set in  $H$  is also an independent set in  $G_0$ . Therefore

$$\chi(H) \geq \frac{|H|}{\alpha(H)} \geq \frac{\frac{n}{2}}{\frac{n}{2k}} > \frac{\frac{n}{2}}{\frac{n}{2k}} = k,$$

completing the proof. □