# Number Theory MATH3431 UNSW

# Jeremy Le

# 2024T1

Definitions: Purple, Theorems: Blue, Properties/Lemmas: Green

# Contents

1	The	Ring of Integers	2
	1.1	The Set of All Integers	2
	1.2	Ring	2
	1.3	Divisibility in Commutative Rings	3
	1.4	Ideals	4
2	Dio	phantine Equations and Congruences	5
	2.1	Congruences	5
	2.2	Arithmetic Functions	5
3	Intr	roduction to Groups	6
	3.1	Fields	6
	3.2	Units of a Ring	6
	3.3	Groups	6
	3.4	Group Isomorphism	7
	3.5	Wilson's Theorem	7
4	The	Structure of $\mathbb{U}_m$ and $\mathbb{Z}_m$	8
	4.1	Subgroups and Cyclic Groups	8
	4.2	Direct Product of Groups	9
	4.3		10

# 1 The Ring of Integers

### 1.1 The Set of All Integers

**Divisor** Let a and b be integers. We say that a is a divisor of b if there exists an integer k such that b = ka. If a is a divisor not equal to b we call it a proper divisor.

### Divisibility Properties Let $a, b, c \in \mathbb{Z}$ . Then

- a) If  $a \mid b$  and  $b \mid c$  then  $a \mid c$ .
- b)  $a \mid a$ .
- c) If  $a \mid b$  and  $b \mid a$  then  $b = \pm a$ .
- d) If  $a \mid b$  and  $a \mid c$  then  $a \mid (xb + yc)$  for any  $x, y \in \mathbb{Z}$ .

**Euclid's Theorem** There are infinitely many primes in  $\mathbb{Z}$ .

### 1.2 Ring

**Ring** A ring consist of a non-empty set R together with two operations defined on elements of R, addition (+) and multiplication (denoted by juxtaposition, or sometimes by  $\star$  or  $\times$ ) where all the following properties hold:

- 1. Closure under addition: if  $a, b \in R$  then  $a + b \in R$ .
- 2. Commutativity of addition: for all  $a, b \in R, a + b = b + a$ .
- 3. Associativity of addition: for all  $a, b, c \in R$ , (a + b) + c = a + (b + c).
- 4. Zero element: There is an element 0 of R such that if  $a \in R$  then a + 0 = a/a
- 5. Negatives.  $\forall a \in R$  there is  $-a \in R$  such that a + (-a) = 0.
- 6. Closure under multiplication: if  $a, b \in R$  then  $ab \in R$ .
- 7. Associativity of multiplication:  $\forall a, b, c \in R, (ab)c = a(bc)$ .
- 8. Distributive laws: for all  $a, b, c \in R, a(b+c) = ab + ac$  and (a+b)c = ac + bc.

**Subtraction** For any a, b in a ring R, we define a - b = a + (-b)

**Ring Properities** Let R be a ring and  $a,b,c\in R$ . Then the following hold:

- 1. if a + b = a + c then b = c;
- 2. 0 is unique and 0a = a0 = 0;
- 3. for each a, -a is unique;
- 4. a b = 0 if and only if a = b;

- 5. -(ab) = (-a)b = a(-b);
- 6. ab ac = a(b c) and ac bc = (a b)c.

Commutative Ring A commutative ring is a ring R in which multiplication is commutative, that is, ab = ba for all  $a, b \in R$ .

**Identity Element** An identity element in the ring R is an element, usually denoted by 1, with the property that 1a = a1 = a for all  $a \in R$ . Sometimes we are more explicit and call 1 the multiplicative identity.

**Divisors of Zero** In a ring R, if a and b are non-zero elements such that ab = 0, then a and b are called divisors of zero.

**Integral Domain** An integral domain is a commutative ring with identity in which there are no divisors of zero. Explicitly, an integral domain is a non-empty set R together with operations of addition and multiplication, such that the ring axioms (1) - (8) hold as well as the following:

- 9. Commutativity of multiplication. If  $a, b \in R$  then ab = ba.
- 10. Identity element. There exists an element 1 of R such that if  $a \in R$  then 1a = a.
- 11. No divisors of zero. For all  $a, b \in R$ , if ab = 0 then either a = 0 or b = 0.

Cancellation Law for Integral Domains Let R be an integral domain and  $a, b, c \in R$  and suppose  $a \neq 0$ . If ab = ac then b = c.

# 1.3 Divisibility in Commutative Rings

**Divisors in Rings** Let  $\alpha, \beta$  be elements in a commutative ring R. We say that  $\alpha$  is a divisor of  $\beta$ , denoted by  $\alpha \mid \beta$ , if there exists an element  $\kappa$  of R usch that  $\beta = \kappa \alpha$ .

Unit of Rings Let R be a commutative ring with identity. An element of R having a multiplicative increase is called a unit of R.

#### Associates, Irreducibles and Primes

- Elements a and b of an integral domain R are called associates if a = ub, for some unit u of R.
- An element  $\rho$  of the integral domain R is said to be irreducible if it has the property

$$\forall \alpha, \beta \in R$$
, if  $\rho = \alpha \beta$  then  $\alpha$  or  $\beta$  is a unit.

• A non-zero, non-unit element  $\rho$  of the integral domain R is said to be prime if it has the property

$$\forall \alpha, \beta \in R, \text{ if } \rho \mid \alpha\beta \text{ then } \rho \mid \alpha \text{ or } \rho \mid \beta.$$

**Primes are Irreducible** In an integral domain every prime is irreducible.

**Greatest Common Divisor** Let a, b be integers, not both zero. Then a positive integer g is the greatest common divisor of a and b if and only if g is a common divisor and every common divisor is a factor of g.

**GCD in Rings** Let a, b be elements in a commutative ring R. An element  $g \in R$  is a greatest common divisor of a and b in R if  $g \mid a, g \mid b$  and every common divisor of a and b is a factor of g.

#### 1.4 Ideals

**Ideal** Let R be a commutative ring with identity. A subset I of R is called an ideal of R if it has the following three proprties:

- 0 is in I.
- If a, b are in I then a + b is in I.
- If  $a \in I$  and  $x \in R$  then  $ax \in I$ .

**Smallest Ideal** Let R be a commutative ring with identity, and  $\{a_1, \ldots, a_n\} \subset R$ . Then the set

$$\{r_1a_1 + \dots + r_na_n : r_1, \dots, r_n \in R\}$$

is the smallest ideal of R containing  $\{a_1, \ldots, a_n\}$ .

**Principal Ideal** An ideal I of a ring R is said to be principal if there exists  $a \in R$  such that  $I = \langle a \rangle = \{ax : x \in R\}.$ 

**Every Ideal is Principal** Every ideal in  $\mathbb{Z}$  is principal. In particular, if a, b are not both zero then  $\langle a, b \rangle = \langle \gcd(a, b) \rangle$ .

**Principal Ideal Domain** A principal ideal domain is an integral domain in which every ideal is principal.

Integral and Principal Ideal Domains Let R be an integral domain.

- a. If R has a division algorithm then R is a principal ideal domain.
- b. If R is a principal ideal domain, then every non-zero element of R which is not a unit has a unique (up to associates and order) factorisation into irreducibles.

**Big-Oh and Little-Oh Notations** For two functions f(x),  $f : \mathbb{R} \to \mathbb{C}$ , and g(x),  $g : \mathbb{R} \to \mathbb{R}^+$ , we say that

• f(x) = O(g(x)) iff  $\limsup_{x\to\infty} |f(x)|/g(x) < \infty$  or, alternatively iff there is a constant c > 0 such that  $|f(x)| \le cg(x)$  for all sufficiently large x.

• f(x) = o(g(x)) iff  $\lim_{x\to\infty} |f(x)|/g(x) = 0$  or, alternatively, iff for any  $\epsilon > 0$  we have  $|f(x)| \le \epsilon g(x)$  for all sufficiently large x.

**Prime Number Theorem (PNT)** For  $x \to \infty$ , we have

$$\pi(x) = \frac{x}{\log x} + o\left(\frac{x}{\log x}\right) = (1 + o(1))\frac{x}{\log x}.$$

# 2 Diophantine Equations and Congruences

### 2.1 Congruences

Cancelling in Congruences Let a, b, c and m be integers, with  $c \neq 0$ .

- a) The congruences  $cax \equiv cb \pmod{cm}$  and  $ax \equiv b \pmod{m}$  have the same solutions.
- b) If gcd(c, m) = 1 then the congruences  $cax \equiv cb \pmod{m}$  and  $ax \equiv b \pmod{m}$  have the same solutions.

**Multiplicative Inverse** Let  $a \in \mathbb{Z}_m$  and  $m \in \mathbb{Z}^+$ . If  $ax \equiv 1 \pmod{m}$ , we call x the multiplicative inverse of a modulo m, or the multiplicative inverse of a in  $\mathbb{Z}_m$ .

#### 2.2 Arithmetic Functions

**Notation of Factors** For any positive integer n we define d(n) to be the number of (positive) factors of n, and  $\sigma(n)$  to be the sum of all (positive) factors of n.

Formula for  $\mathbf{d}(\mathbf{n})$  If  $n \in \mathbb{Z}^+$  has canonical factorisation into prime powers  $n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_s^{\alpha_s}$  then

$$d(n) = (\alpha_1 + 1)(\alpha_2 + 1) \cdots (\alpha_s + 1) = \prod_{k=1}^{s} (\alpha_k + 1)$$

Formula for  $\sigma(\mathbf{n})$  If  $n \in \mathbb{Z}^+$  has canonical factorisation into prime powers

$$n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_s^{\alpha_s} \text{ then}$$

$$\sigma(n) = (1 + p_1 + p_1^2 + \dots + p_1^{\alpha_1}) \dots (1 + p_s + p_s^2 + \dots + p_s^{\alpha_s})$$

$$= \prod_{k=1}^s \frac{p_k^{a_k+1} - 1}{p_k - 1}$$

**Multiplicative Functions** Suppose that f is a function with domain  $\mathbb{Z}^+$ . We call f multiplicative if

$$f(mn) = f(m)f(n),$$

whenever gcd(m, n) = 1.

 $\mathbf{d}, \sigma$  Multiplicative Both d and  $\sigma$  are multiplicative.

**Perfect Numbers** A number n is called perfect if  $\sigma(n) = 2n$ .

**Euclid-Euler** Let n be even. Then n is perfect if and only if there is an integer k > 1 such that  $n = 2^{k-1}(2^k - 1)$  and  $2^k - 1$  is prime.

# 3 Introduction to Groups

#### 3.1 Fields

**Field** A field K is a commutative ring with identity in which every non-zero element has a multiplicative inverse.

No Divisors of Zero in Fields A field contains no divisors of zero.

All fields are Integral Domains A field is an integral domain.

**Inverse and GCD** An element  $n \in \mathbb{Z}_m^*$  has an inverse if and only if gcd(m, n) = 1.

**Rings and Fields** The ring  $Z_m$  is a field if and only if m is prime.

# 3.2 Units of a Ring

Notation for Set of Units In  $\mathbb{Z}_m$ , we denote the set of units by  $\mathbb{U}_m$ .

Units in Commutative Rings with Identity Let R be a commutative ring with identity.

- a) 1 is a unit of R
- b) If a and b are units in R, then so is their product ab.
- c) If a is a unit in R then so is  $a^{-1}$

Units are Closed in Commutative Rings with Identity In any commutative ring with identity, the set of all units is closed under multiplication and inverse.

# 3.3 Groups

**Groups** A group is a non-empty set G on which an operation  $\star$  is defined, such that the following properties hold:

- 1. Closure: if  $a, b \in G$  then  $a \star b \in G$ .
- 2. Associativity: if  $a, b, c \in G$  then  $(a \star b) \star c = a \star (b \star c)$ .

- 3. Identity element: there is an element e of G such that for all  $a \in G$  we have  $a \star e = e \star a = a$
- 4. Inverses: for each a in G there is an element b of G such that  $a \star b = b \star a = e$ . This element is usually denoted  $a^{-1}$ .

**Abelian Groups** If the operation is commutative, i.e.  $a \star b = b \star a$ , for all  $a, b \in G$ , the group is called commutative, or Abelian.

**Properties of Groups** In any group G the following properties hold.

- a There is only one identity element in G.
- b Each x in G has only one inverse.
- c If  $x, y \in G$  then  $(xy)^{-1} = y^{-1}x^{-1}$ .
- d If  $x, y, z \in G$  and xy = xz then y = z.

### 3.4 Group Isomorphism

**Group Isomorphism** Let G and H be groups with operations  $\star$  and  $\bullet$  respectively. An isomorphism for G to H is a bijective function  $\psi: G \to H$  with the property that

$$\psi(a \star b) = \psi(a) \bullet \psi(b)$$
 for all elements  $a, b \in G$ .

The groups G and H are said to be isomorphic if there exists such a function. We write  $G \cong H$  to indicate that G and H are isomorphic.

**Identities and Inverses in Isomorphic Groups** Suppose that G and H are groups with identities  $e_G$  and  $e_H$ , respectively. Let  $\psi: G \to H$  be a group isomorphism. Then

- 1.  $\psi(e_G) = e_H$ ,
- 2.  $\psi(a^{-1}) = (\psi(a))^{-1}$  for all  $a \in G$ ,
- 3.  $\psi(a^n) = \psi(a)^n$  for all  $n \in \mathbb{Z}$ ,
- 4. If  $\psi: G \to H, \theta: H \to K$  homomorphic then  $\theta \circ \psi: G \to K$  is also homomorphic,
- 5. If  $\psi:G\to H$  is a isomorphic then  $\psi^{-1}:H\to H$  is also isomorphic.

### 3.5 Wilson's Theorem

Wilson's Theorem Let  $p \ge 2$ . Then p is prime if and only if  $(p-1)! \equiv -1 \pmod{p}$ .

# 4 The Structure of $\mathbb{U}_m$ and $\mathbb{Z}_m$

### 4.1 Subgroups and Cyclic Groups

**Subgroup** Let G be a group, and let H be a subset of G which is itself a group under the same operations as G. Then we say that H is a subgroup of G.

The Subgroup Lemma Let G be a group and H a non-empty subset of G. Then H is a subgroup of G if and only if it is closed under the group operation and inverse.

**Cyclic Groups** A group G is said to be cyclic if there exists an element  $g \in G$  such that  $G = \langle g \rangle$ , i.e. G is generated by a single element.

**Order of a Group and Element** The order of a finite group G is the number of elements in G, |G|.

The order of an element g in a group G is the smallest positive integer n (if any) such that  $g^n = e$ . We write o(g) for the order of the element g.

**Distinct Powers of Elements** If  $g \in G$  has order n, then the elements  $e, g, g^2, \dots, g^{n-1}$  are all distinct.

**Isomorphic Cyclic Groups** Two finite cyclic groups are isomorphic if and only if they have the same order.

Prime Order is Isomorphic is Cycle Group Any group of prime order p is isomorphic to the cyclic group  $C_p$ .

Groups of Prime Order are Abelian Any group of prime order is abelian.

All Subsets Closed under Operation are Subgroups Let G be a group with operation  $\star$ . If H is a non-empty finite subset of G that is closed under  $\star$ , then H is a subgroup of G.

**Lagrange's Theorem** If G is a finite group and H is a subgroup of G, then |H| is a factor of |G|.

**Left Coset** Let G be a group and H a subgroup of G. For any  $g \in G$  we define the left coset of H by g to be

$$gH = \{gh \mid h \in H\}.$$

If we used additive notation we would write a coset of H as g + H.

Order of Elements in a Group is a Divisor of the Group Suppose G is a group of finite order and  $g \in G$ . Then  $g^{|G|} = e$ .

**Fermat's Little Theorem** If p is a prime and a is not a multiple of p, then  $a^{p-1} \equiv 1 \pmod{p}$ .

Corollary of Fermat's Little Theorem If p is prime and a is any integer, then  $a^p \equiv a \pmod{p}$ .

**Euler's Theorem** Let n be a positive integer, and let a be an integer relatively prime to n. Then  $a^{\varphi(n)} \equiv 1 \pmod{n}$ 

### 4.2 Direct Product of Groups

Cartesian Product Let A and B be two sets. The Cartesian production of the two sets is defined by

$$A \times B = \{(a, b) : a \in A, b \in B\}.$$

Cartesian Proudct of Any Sets is a Group Let H and K groups with operation  $\star$  and  $\times$ , respectively. The set  $H \times K$  with the operation  $\bullet$  defined by

$$(h_1, k_1) \bullet (h_2, k_2) = (h_1 \star h_2, k_1 \star k_2)$$

is a group.

Cartesian Product of Groups The group in the above Lemma is called the direct product of H and K and it is denoted by  $H \otimes K$ .

Condition of I somorphism for Cartesian Products Let G be a finite abelian group. If H and K are subgroups of G such that |H||K| = |G| and  $H \cap K = \{e\}$ , then the mapping

$$\psi: H \otimes K \to G$$
, where  $\psi((h, k)) = h, k$ 

is an isomorphism.

**Direct Sum** The direct sum of two additive abelian subgroups H and K is

$$H\otimes K=\{(h,k)\mid h\in H \text{ and } k\in K\},$$

with operation defined by

$$(h_1, k_1) + (h_2, k_2) = (h_1 + h_2, k_1 + k_2).$$

**Decomposition of**  $\mathbb{Z}_n$  Suppose positive integer n factorises as n = st.

If s and t are relatively prime, then  $\mathbb{Z}_n \cong \mathbb{Z}_s \oplus \mathbb{Z}_t$ .

Conversly, if s and t are not relatively prime, then  $\mathbb{Z}_n \ncong \mathbb{Z}_s \oplus \mathbb{Z}_t$ .

Direct Sum Cyclic if Pairwise Relatively Prime Let  $s_1, s_2, \ldots, s_k$  be positive integers. Then the direct sum  $\mathbb{Z}_{s_1} \oplus \mathbb{Z}_{s_2} \oplus \cdots \oplus \mathbb{Z}_{s_k}$  is cyclic if and only if  $s_1, s_2, \ldots, s_k$  are pairwise relatively prime.

The Chinese Remainder Theorem Suppose that the integers  $m_1, m_2, \ldots, m_t$  are pairwise coprime, and let  $b_1, b_2, \ldots, b_t$  be any intergers. Then the simulataneous congruences

$$x \equiv b_1 \pmod{m_1}, \quad x \equiv b_2 \pmod{m_2}, \quad \dots \quad , x \equiv b_t \pmod{m_t}$$

have a unique solution modulo  $m_1 m_2 \cdots m_t$ .

### 4.3 Decomposition of $\mathbb{U}_m$

**Decomposition of**  $\mathbb{U}_m$  If n = st is a positive integer,  $\mathbb{U}_n \cong \mathbb{U}_s \otimes \mathbb{U}_t$  if and only if s and t are coprime.

**Euler Function Multiplicative** The Euler's function  $\varphi$  is multiplicative.

Formula for  $\varphi(n)$  Let n be a positive integer with canonical factorisation  $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_s^{\alpha_s}$ . Then

$$\varphi(n) = \prod_{k=1}^{s} \left( p_k^{a_k} - p_k^{a_k - 1} \right) = \prod_{k=1}^{s} \left( p_k - 1 \right) p_k^{a_k - 1} = n \prod_{p \mid n} \left( 1 - \frac{1}{p} \right).$$