Codebook v1.0

The European Union State Aid (EUSA) Database

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cases

Case-level data

Description

This dataset include data on state aid cases. There is one observation per case (1988-2020). The dataset includes information on the department responsible for the case, the member state that the case is against, and decisions taken by the Commission in the case.

Variables

key_id	numeric	An ID number that uniquely identifies each observation
	in the data	set.

case_id string An ID number that uniquely identifies each state aid

case. Assigned by the Commission. The Commission changed the format of case numbers in 2010. Before this change, the case number indicated the type(s) of the procedure associated with the case. After this change, all case numbers have the format

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procedure_numbers string Numbers that uniquely identify each procedure

associated with a case. If there are multiple procedures, the procedure numbers are listed and separated by a comma. After the Commission changed the format of case numbers in 2010, only procedure numbers indicate the types of procedures associated

with a case.

member_state_id

numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.

member_state

string The name of the member state that the Commission opened the case against.

member_state_code

string A two letter code assigned by the Commission that uniquely identifies each member state.

department_id

numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.

department

string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code

string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the Commission.

case_type_id

numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.

case_type

string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member

state notify any aid granted through the scheme, and coded

Ad hoc for cases that involve ad hoc state aid measures that are
not granted through a scheme.

procedure_types

string A list of the types of procedures associated with the case, separated by a comma. Procedure types are listed in the order that the Commission opened the procedures. Possible values include: Contradictory aid, for state aid that the Commission suspects violates the rules of the internal market, as defined by Article 107 of the Treaty of the Functioning of the European Union (TFEU); Existing aid, for existing state aid measures; Notified aid, for state aid that the member state has notified to the Commission; Unnotified aid, for state aid that the member state has not notified to the Commission; General block exemption, for state aid that is exempt from notification under the General Block Exemption Regulation (GBER); and Special block exemption, for state aid that is exempt from notification under a specific block exemption.

contradictory_aid

dummy A dummy variable indicating whether the case relates to whether the Commission suspects that the state aid measure is contradictory to the rules of the single market, as defined by Article 107 of the Treaty of the Functioning of the European Union (TFEU). Coded 1 if it does and 0 if it does not.

existing_aid

dummy A dummy variable indicating whether the case relates to existing state aid measures. Coded 1 if it does and 0 if it does not.

notified_aid

dummy A dummy variable indicating whether the case relates to state aid notified by the member state. Coded 1 if it does and 0 if it does not.

unnotified_aid

dummy A dummy variable indicating whether the case relates to state aid that is not notified by the member state. Coded 1 if it does and 0 if it does not.

general_block_exemption

dummy A dummy variable indicating whether the case relates to state that is exempt from notification under the General Block

Exemption Regulation (GBER). Coded	1	if it does and	0	if it
does not.				

specific_block_exemption dummy A dummy variable indicating whether the case relates to

state aid that is exempt from notification under a specific block

exemption. Coded 1 if it does and 0 if it does not.

notification_date date The date the member state notified the Commission of the

state aid measure in the format YYYY-MM-DD.

notification_year numeric The year the member state notified the Commission of

the state aid measure.

notification_month numeric The month the member state notified the Commission

of the state aid measure.

notification_day numeric The day the member state notified the Commission of

the state aid measure.

outcome_date date The date the Commission took its last decision in the case

in the format YYYY-MM-DD.

outcome_year numeric The year the Commission took its last decision in the

case.

outcome_month numeric The month the Commission took its last decision in the

case.

outcome_day numeric The day the Commission took its last decision in the

case.

decisions string A list of all of the decisions made by the Commission in

the case, separated by a semicolon.

count_decisions numeric The total number of decisions made by the

Commission in the case.

outcome string The outcome of the case. Possible values include:

Exempt from notification, Does not constitute aid,
No objection Positive Decision, Negative Decision,
Conditional decision. Coded Notification withdrawn if

the member state withdrew the notification, either before the formal investigation procedure under Article 10(1) of Council Regulation (EU) 2015/1589, formerly Article 8(1) of Council Regulation (EC) No 659/1999, or during the formal investigation procedure under Article 10(2) of Council Regulation (EU) 2015/1589, formerly Article 8(2) of Council Regulation (EC) No 659/1999

outcome_phase_1

string If the Commission opened a preliminary investigation, the outcome of that phase of the procedure. Possible values include: Does not constitute aid, No objection, Formal investigation, and Notification withdrawn. Coded Not applicable if the Commission did not open a preliminary investigation, which is when it determines that the state aid measure is exempt from notification under Article 108(2) of the Treaty on the Functioning of the European Union (TFEU).

outcome_phase_2

string If the Commission opened a formal investigation, the outcome of that phase of the procedure. Possible values include: Conditional decision, Does not constitute aid, Negative decision, Notification withdrawn, and Positive decision. Coded Not applicable if the Commission did not open a formal investigation under Article 4(4) of Council Regulation (EU) 2015/1589, formerly Article 4(4) of Council Regulation (EC) No 659/1999. Coded Missing record for a small number of observations where the Commission does not report data.

exempt

dummy A dummy variable indicating whether the state aid measure is exempt from notification under Article 108(2) of the Treaty on the Functioning of the European Union (TFEU).

preliminary_investigation

dummy A dummy variable indicating whether the Commission decided to open a preliminary investigation under Article 108 of the Treaty on the Functioning of the European Union (TFEU).

formal_investigation

dummy A dummy variable indicating whether the Commission decided to open a formal investigation under Article 4(4) of Council

Regulation (EU) 2015/1589, formerly Article 4(4) of Council Regulation (EC) No 659/1999.

no_objection

dummy A dummy variable indicating whether the Commission decided not to raise an objection to the state aid measure under Article 4(3) of Council Regulation (EU) 2015/1589, formerly Article 4(3) of Council Regulation (EC) No 659/1999.

not_aid

dummy A dummy variable indicating whether the Commission decided that the state aid measure did not constitute state aid, according to Article 107 of the Treaty on the Functioning of the European Union (TFEU), under Article 4(2) of Council Regulation (EU) 2015/1589, formerly Article 4(2) of Council Regulation (EC) No 659/1999.

positive

dummy A dummy variable indicating whether, after a formal investigation, the Commission decided under article 9(3) of Council Regulation (EU) 2015/1589, formerly Article 7(3) of Council Regulation (EC) No 659/1999, to approve the state aid measure because it was compatible with the rules of the single market, as defined by Article 107 of the Treaty of the Functioning of the European Union (TFEU).

negative

dummy A dummy variable indicating whether, after a formal investigation, the Commission decided under article 9(5) of Council Regulation (EU) 2015/1589, formerly Article 7(5) of Council Regulation (EC) No 659/1999, to not approve the state aid measure because it was not compatible with the rules of the single market, as defined by Article 107 of the Treaty of the Functioning of the European Union (TFEU).

conditional

dummy A dummy variable indicating whether, after a formal investigation, the Commission decided under article 9(4) of Council Regulation (EU) 2015/1589, formerly Article 7(4) of Council Regulation (EC) No 659/1999, to approve the state aid measure subject to conditions to make the measure compatible with the rules of the single market, as defined by Article 107 of the Treaty of the Functioning of the European Union (TFEU).

withdrawal

dummy A dummy variable indicating whether the member state that notified the state aid measure withdrew the measure, either before the formal investigation procedure under Article 10(1) of Council Regulation (EU) 2015/1589, formerly Article 8(1) of Council Regulation (EC) No 659/1999, or during the formal investigation procedure under Article 10(2) of Council Regulation (EU) 2015/1589, formerly Article 8(2) of Council Regulation (EC) No 659/1999.

referral

dummy A dummy variable indicating whether, after a formal investigation, the Commission decided to refer the state aid case to the Court of Justice of the European Union (CJEU) under Article 14 of Council Regulation (EU) 2015/1589, formerly Article 12 of Council Regulation (EC) No 659/1999, for noncompliance with an injunction, under Article 108(2) of the Treaty on the Functioning of the European Union (TFEU), for noncompliance with a Commission decision, or under Article 260(2) of the Treaty on the Functioning of the European Union (TFEU), for noncompliance with a judgment of the Court.

recovery

dummy A dummy variable indicating whether, after a formal investigation, the Commission decided under 16(1) of Council Regulation (EU) 2015/1589, formerly 14(1) of Council Regulation (EC) No 659/1999, that the member state that notified that state aid measure must take all necessary measures to recover aid from the beneficiary.

cases_ts

Case-level time-series data

Description

This dataset includes aggregated data on the number of state aid cases per year (time-series data). There is one observation per year (1988-2020).

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
count_cases	numeric A count of the number of cases opened by the Commission at this level of aggregation.

cases_ts_ct

Case-level time-series data by case type

Description

This dataset includes aggregated data on the number of state aid cases per year (time-series data) broken down by case type. There is one observation per year per case type (1988-2020).

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
case_type_id	numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.
case_type	string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that

involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded

Ad hoc for cases that involve ad hoc state aid measures that are not granted through a scheme.

count_cases

numeric A count of the number of cases opened by the Commission at this level of aggregation.

cases_csts_ms

Case-level cross-sectional time-series data by member state

Description

This dataset includes aggregated data on the number of state aid cases per member state per year (cross-sectional time-series data). There is one observation per member state per year (1988-2020), excluding state-years where the state was not a member of the EU.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
count_cases	numeric A count of the number of cases opened by the Commission at this level of aggregation.

cases_csts_ms_ct

Case-level cross-sectional time-series data by member state and case type

Description

This dataset includes aggregated data on the number of state aid cases per member state per year (cross-sectional time-series data) broken down by case type. There is one observation per member state per year per case type (1988-2020), excluding state-years where the state was not a member of the EU.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
case_type_id	numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures

granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.

case_type

string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded Ad hoc for cases that involve ad hoc state aid measures that are not granted through a scheme.

count_cases

numeric A count of the number of cases opened by the Commission at this level of aggregation.

cases_csts_dp

Case-level cross-sectional time-series data by department

Description

This dataset includes aggregated data on the number of state aid cases per department per year (cross-sectional time-series data). There is one observation per department per year (1988-2020). The dataset uses current department names.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
department_id	numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.
department	string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code string A multi-letter code assigned by the Commission that

uniquely identifies each Directorate-General (DG) of the

Commission.

count_cases numeric A count of the number of cases opened by the

Commission at this level of aggregation.

cases_csts_dp_ct

Case-level cross-sectional time-series data by department and case type

Description

This dataset includes aggregated data on the number of state aid cases per department per year (cross-sectional time-series data) broken down by case type. There is one observation per department per year per case type (1988-2020). The dataset uses current department names.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
department_id	numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.
department	string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code

string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the Commission.

case_type_id

numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.

case_type

string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded Ad hoc for cases that involve ad hoc state aid measures that are not granted through a scheme.

count_cases

numeric A count of the number of cases opened by the Commission at this level of aggregation.

cases_ddy

Case-level directed dyad-year data

Description

This dataset includes aggregated data on the number of state aid cases per department per member state per year (directed dyad-year data). There is one observation per department per member state per year (1988-2020), excluding directed dyad-years where the state was not a member of the EU. The dataset uses current department names.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
department_id	numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.
department	string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

string A multi-letter code assigned by the Commission that department_code uniquely identifies each Directorate-General (DG) of the Commission. member_state_id numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically. member_state string The name of the member state that the Commission opened the case against. member_state_code string A two letter code assigned by the Commission that uniquely identifies each member state. numeric A count of the number of cases opened by the count_cases Commission at this level of aggregation.

cases_ddy_ct

Case-level directed dyad-year data by case type

Description

This dataset includes aggregated data on the number of state aid cases per department per member state per year (directed dyad-year data) broken down by case type. There is one observation per department per member state per year per case type (1988-2020), excluding directed dyad-years where the state was not a member of the EU. The dataset uses current department names.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
department_id	numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.
department	string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

string A multi-letter code assigned by the Commission that department_code uniquely identifies each Directorate-General (DG) of the Commission.

member_state_id numeric An ID number that uniquely identifies each member

> numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve

cases_net

Case-level network data

Description

This dataset includes network data for state aid cases. Network data is similar to directed dyad-year data except that it only includes directed dyad-years with at least one infringement case. For every year, there is one node per department and one node per member state. Edges can only exist between a department and a member state. There is an edge between a department and a member state if and only if the department opened at least one case against the member state during that year. The weight of the edge is the number of cases that the department opened against the member state. There is one observation per department per member state per year (1988-2020), excluding directed dyad-years where the state was not a member of the EU, but only if count of cases is positive.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
from_node_id	numeric An ID number that uniquely identifies each node in the network that creates a link, which is always a Commission department.
from_node	string The name of the Commission department that opened the case.

to_node_id	numeric An ID number that uniquely identifies each node in the network that receives a link, which is always a member state.
to_node	string The name of the member state that the Commission opened the case against.
edge_weight	numeric The weight of the edge, which is the number of cases opened by the Commission.

cases_net_ct

Case-level network data by case type

Description

This dataset includes multi-dimensional network data for state aid cases. There is one dimension per case type. Network data is similar to directed dyad-year data except that it only includes directed dyad-years with at least one infringement case with respect to each case type. For every year, there is one node per department and one node per member state. Edges can only exist between a department and a member state. There is an edge between a department and a member state with respect to each case type if and only if the department opened at least one case against the member state during that year. The weight of the edge is the number of cases that the department opened against the member state. There is one observation per department per member state per year per case type (1988-2020), excluding directed dyad-years where the state was not a member of the EU, but only if the count of cases is positive.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
layer_id	numeric An ID number that uniquely identifies each layer of the network.
layer	string The layer of the network, which is type of case.

from_node_id	numeric An ID number that uniquely identifies each node in the network that creates a link, which is always a Commission department.
from_node	string The name of the Commission department that opened the case.
to_node_id	numeric An ID number that uniquely identifies each node in the network that receives a link, which is always a member state.
to_node	string The name of the member state that the Commission opened the case against.
edge_weight	numeric The weight of the edge, which is the number of cases opened by the Commission.

decisions

Decision-level data

Description

This dataset include data on decisions in state aid cases. There is one observation per decision per case (1988-2020). The dataset includes information on the department responsible for the decision and the member state that the decision is against.

Variables

case_id

key_id numeric An ID number that uniquely identifies each observation in the dataset.

string An ID number that uniquely identifies each state aid case. Assigned by the Commission. The Commission changed the format of case numbers in 2010. Before this change, the case number indicated the type(s) of the procedure associated with the case. After this change, all case numbers have the format

SA.##### .

procedure_numbers string Numbers that uniquely identify each procedure

associated with a case. If there are multiple procedures, the procedure numbers are listed and separated by a comma. After the Commission changed the format of case numbers in 2010, only procedure numbers indicate the types of procedures associated

with a case.

member_state_id

numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.

member_state

string The name of the member state that the Commission opened the case against.

member_state_code

string A two letter code assigned by the Commission that uniquely identifies each member state.

department_id

numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.

department

string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code

string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the Commission.

case_type_id

numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.

case_type

string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member

state notify any aid granted through the scheme, and coded

Ad hoc for cases that involve ad hoc state aid measures that are
not granted through a scheme.

procedure_types

string Numbers that uniquely identified each procedure associated with a case. If there are multiple procedures, the procedure numbers are listed and separated by a comma. After the Commission changed the format of case numbers in 2010, only procedure numbers indicate the types of procedures associated with a case.

contradictory_aid

dummy A dummy variable indicating whether the case relates to whether the Commission suspects that the state aid measure is contradictory to the rules of the single market, as defined by Article 107 of the Treaty of the Functioning of the European Union (TFEU). Coded 1 if it does and 0 if it does not.

existing_aid

dummy A dummy variable indicating whether the case relates to existing state aid measures. Coded 1 if it does and 0 if it does not.

notified_aid

dummy A dummy variable indicating whether the case relates to state aid notified by the member state. Coded 1 if it does and 0 if it does not.

unnotified_aid

dummy A dummy variable indicating whether the case relates to state aid that is not notified by the member state. Coded 1 if it does and 0 if it does not.

general_block_exemption

dummy A dummy variable indicating whether the case relates to state that is exempt from notification under the General Block Exemption Regulation (GBER). Coded 1 if it does and 0 if it does not.

specific_block_exemption

dummy A dummy variable indicating whether the case relates to state aid that is exempt from notification under a specific block exemption. Coded 1 if it does and 0 if it does not.

notification_date

date The date the member state notified the Commission of the state aid measure in the format YYYY-MM-DD.

notification_year	numeric The year the member state notified the Commission of the state aid measure.
notification_month	numeric The month the member state notified the Commission of the state aid measure.
notification_day	numeric The day the member state notified the Commission of the state aid measure.
outcome_date	date The date the Commission took its last decision in the case in the format YYYY-MM-DD.
outcome_year	numeric The year the Commission took its last decision in the case.
outcome_month	numeric The month the Commission took its last decision in the case.
outcome_day	numeric The day the Commission took its last decision in the case.
decision_number	numeric The number of the decision within each case.
decision_type_id	numeric An ID number that uniquely identifies each type of decision the Commission can make in a state aid case.
decision_type	string The type of the decision.
phase	string The phase of the procedure. Coded Exempt for state aid that is exempt from notification, Phase 1 for decisions made during a preliminary investigation, Phase 2 for decisions made during a formal investigation, or Other for decisions that the Commission can make during either phase.

decisions_ts

Decision-level time-series data

Description

This dataset includes aggregated data on the number of each type of decision per year (time-series data). There is one observation per year per decision stage (1988-2020).

key_id	numeric An ID number that uniquely identifies each observation
	in the dataset.
year	numeric The year the case was opened by the Commission.
decision_type_id	numeric An ID number that uniquely identifies each type of
	decision the Commission can make in a state aid case.
decision_type	string The type of the decision.
count_decisions	numeric A count of the number of decisions issued by the
	Commission at this level of aggregation.

decisions_ts_ct

Decision-level time-series data by case type

Description

This dataset includes aggregated data on the number of each type of decision per year (time-series data) broken down by case type. There is one observation per year per decision type per case type (1988-2020).

Variables

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
case_type_id	numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.
case_type	string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures

granted through Commission-approved schemes that is not

notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded Ad hoc for cases that involve ad hoc state aid measures that are not granted through a scheme.

decision_type_id numeric An ID number that uniquely identifies each type of

decision the Commission can make in a state aid case.

decision_type string The type of the decision.

count_cases numeric A count of the number of cases opened by the

Commission at this level of aggregation.

decisions_csts_ms

Decision-level cross-sectional time-series data by member state

Description

This dataset includes aggregated data on the number of each type of decision per member state per year (cross-sectional time-series data). There is one observation per member state per year per decision type (1988-2020), excluding state-years where the state was not a member of the EU.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
decision_type_id	numeric An ID number that uniquely identifies each type of decision the Commission can make in a state aid case.

decision_type string The type of the decision.

count_decisions numeric A count of the number of decisions issued by the Commission at this level of aggregation.

decisions_csts_ms_ct

Decision-level cross-sectional time-series data by member state and case type

Description

This dataset includes aggregated data on the number of each type of decision per member state per year (cross-sectional time-series data) broken down by case type (noncommunication vs nonconformity). There is one observation per member state per year per decision type per case type (1988-2020), excluding state-years where the state was not a member of the EU.

key_id	numeric An ID number that uniquely identifies each observation
	in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member
	state. This ID number is assigned when member states are sorted
	by accession date and then alphabetically.
member_state	string The name of the member state that the Commission
	opened the case against.
member_state_code	string A two letter code assigned by the Commission that
	uniquely identifies each member state.
case_type_id	numeric An ID number that uniquely identifies each type of state
	aid cases. Coded 1 for cases that involve state aid measures

granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.

case_type

string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded Ad hoc for cases that involve ad hoc state aid measures that are not granted through a scheme.

decision_type_id

numeric An ID number that uniquely identifies each type of decision the Commission can make in a state aid case.

decision_type

string The type of the decision.

count_decisions

numeric A count of the number of decisions issued by the Commission at this level of aggregation.

decisions_csts_dp

Decision-level cross-sectional time-series data by department

Description

This dataset includes aggregated data on the number of each type of decision per department per year (cross-sectional time-series data). There is one observation per department per year per decision type (1988-2020). The dataset uses current department names.

key_id	numeric An ID number that uniquely identifies each observation
	in the dataset.
year	numeric The year the case was opened by the Commission.
department_id	numeric An ID number that uniquely identifies each
	Directorate-General (DG) of the Commission. There are three DGs
	that can open state aid cases. The DG for Competition (COMP) is
	coded 1, the DG for Agriculture and Rural Development (AGRI) is
	coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is
	coded 3.
department	string The name of the Directorate-General (DG) of the
	Commission that opened the state aid case.
	The state of the s

department_code

string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the Commission.

decision_type_id

numeric An ID number that uniquely identifies each type of decision the Commission can make in a state aid case.

decision_type

string The type of the decision.

count_decisions

numeric A count of the number of decisions issued by the

Commission at this level of aggregation.

decisions_csts_dp_ct

Decision-level cross-sectional time-series data by department and case type

Description

This dataset includes aggregated data on the number of each type of decision per department per year (cross-sectional time-series data) broken down by case type (noncommunication vs nonconformity). There is one observation per department per year per decision type per case type (1988-2020). The dataset uses current department names.

Variables

numeric An ID number that uniquely identifies each observation in the dataset.

year numeric The year the case was opened by the Commission.

department_id numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.

department string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code

string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the Commission.

case_type_id

numeric An ID number that uniquely identifies each type of state aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve ad hoc state aid measures that are not granted through a scheme.

case_type

string The type of the state aid case. There are three types of cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded Ad hoc for cases that involve ad hoc state aid measures that are not granted through a scheme.

decision_type_id

numeric An ID number that uniquely identifies each type of decision the Commission can make in a state aid case.

decision_type

string The type of the decision.

count_decisions

numeric A count of the number of decisions issued by the Commission at this level of aggregation.

decisions_ddy

Decision-level directed dyad-year data

Description

This dataset includes aggregated data on the number of each type of decision per department per member state per year (directed dyad-year data). There is one observation per department per member state per year per decision type (1988-2020), excluding directed dyad-years where the state was not a member of the EU. The dataset uses current department names.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
department_id	numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.
department	string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code	string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the Commission.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
decision_type_id	numeric An ID number that uniquely identifies each type of decision the Commission can make in a state aid case.
decision_type	string The type of the decision.
count_decisions	numeric A count of the number of decisions issued by the Commission at this level of aggregation.

decisions_ddy_ct

Decision-level directed dyad-year data by case type

Description

This dataset includes aggregated data on the number of each type of decision per department per member state per year (directed dyad-year data) broken down by case type (noncommunication vs nonconformity). There is one observation per department per member state per year per case type per decision type (1988-2020), excluding directed dyad-years where the state was not a member of the EU. The dataset uses current department names.

Variables

numeric An ID number that uniquely identifies each observation in the dataset.

year numeric The year the case was opened by the Commission.

department_id numeric An ID number that uniquely identifies each Directorate-General (DG) of the Commission. There are three DGs that can open state aid cases. The DG for Competition (COMP) is coded 1, the DG for Agriculture and Rural Development (AGRI) is coded 2, and the DG for Maritime Affairs and Fisheries (MARE) is coded 3.

department string The name of the Directorate-General (DG) of the Commission that opened the state aid case.

department_code string A multi-letter code assigned by the Commission that uniquely identifies each Directorate-General (DG) of the

Commission.

by accession date and then alphabetically.

member_state string The name of the member state that the Commission

opened the case against.

member_state_code string A two letter code assigned by the Commission that

uniquely identifies each member state.

case_type_id numeric An ID number that uniquely identifies each type of state

aid cases. Coded 1 for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded 2 for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member state notify any aid granted through the scheme, and coded 3 for cases that involve

ad hoc state aid measures that are not granted through a scheme.

case_type string The type of the state aid case. There are three types of

cases. Coded Scheme for cases that involve state aid measures granted through Commission-approved schemes that is not notifiable, coded Individual application for cases that involve state aid measures granted through Commission-approved schemes where the Commission has required that the member

state notify any aid granted through the scheme, and coded

Ad hoc for cases that involve ad hoc state aid measures that are

not granted through a scheme.

decision_type_id numeric An ID number that uniquely identifies each type of

decision the Commission can make in a state aid case.

decision_type string The type of the decision.

count_decisions numeric A count of the number of decisions issued by the

Commission at this level of aggregation.

decisions_net

Decision-level network data

Description

This dataset includes multi-dimensional network data for decisions in state aid cases. There is one dimension per decision type. Network data is similar to directed dyad-year data except that it only includes directed dyad-years with at least one decision. For every year, there is one node per department and one node per member state. Edges can only exist between a department and a member state. There is an edge between a department and a member state with respect to each decision type if and only if the department issued at least one decision against the member state during that year. The weight of the edge is the number of decisions that the department opened against the member state. There is one observation per department per member state per year per decision type (1988-2020), excluding directed dyad-years where the state was not a member of the EU, but only if count of decisions is positive.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the decision was issued by the Commission.
layer_id	numeric An ID number that uniquely identifies each layer of the network.
layer	string The layer of the network, which is the decision type.

numeric An ID number that uniquely identifies each node in the network that creates a link, which is always a Commission department.

from_node

string The name of the Commission department that opened the case.

to_node_id

numeric An ID number that uniquely identifies each node in the network that receives a link, which is always a member state.

to_node

string The name of the member state that the Commission issued the decision against.

edge_weight

numeric The weight of the edge, which is the number of

decisions opened by the Commission.

decisions_net_ct

Decision-level network data by case type

Description

This dataset includes multi-dimensional network data for infringement decisions. There is one dimension per case type and per decision type. Network data is similar to directed dyad-year data except that it only includes directed dyad-years with at least one decision. For every year, there is one node per department and one node per member state. Edges can only exist between a department and a member state. There is an edge between a department and a member state with respect to each case type and decision type if and only if the department issued at least one decision against the member state during that year. The weight of the edge is the number of decisions that the department opened against the member state. There is one observation per department per member state per year per case type per decision type (1988-2020), excluding directed dyad-years where the state was not a member of the EU, but only if the count of decisions is positive.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the decision was issued by the Commission.
d1_layer_id	numeric An ID number that uniquely identifies each layer of the network within the first dimension.
d1_layer	string The layer of the network within the first dimension, which is type of case.

d2_layer_id	numeric An ID number that uniquely identifies each layer of the network within the second dimension.
d2_layer	string The layer of the network within the second dimension, which is the decision type.
from_node_id	numeric An ID number that uniquely identifies each node in the network that creates a link, which is always a Commission department.
from_node	string The name of the Commission department that opened the case.
to_node_id	numeric An ID number that uniquely identifies each node in the network that receives a link, which is always a member state.
to_node	string The name of the member state that the Commission opened the case against.
edge_weight	numeric The weight of the edge, which is the number of decisions opened by the Commission.

awards

Award-level data

Description

This dataset includes data on state aid awards granted to firms by government agencies in member states that were reported to the Commission. There is one observation per award (2016-2020). The dataset includes information on the date of the award, the aid-granting agency, the beneficiary, the NACE sector of the beneficiary, the aid instrument used, and the estimated amount of the aid in euros.

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
case_id	string An ID number that uniquely identifies each state aid case. Assigned by the Commission. The Commission changed the format of case numbers in 2010. Before this change, the case number indicated the type(s) of the procedure associated with the case. After this change, all case numbers have the format SA.#####
reference_number	string An ID number that uniquely identifies each state aid award.
notification_date	date The date the member state notified the Commission of the state aid measure in the format YYYY-MM-DD.

notification_year	numeric The year the member state notified the Commission of the state aid measure.
notification_month	numeric The month the member state notified the Commission of the state aid measure.
notification_day	numeric The day the member state notified the Commission of the state aid measure.
publication_date	date The date that the Commission published a record of the state aid award in the format YYYY-MM-DD.
publication_year	numeric The year that the Commission published a record of the state aid award.
publication_month	numeric The month that the Commission published a record of the state aid award.
publication_day	numeric The day that the Commission published a record of the state aid award.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
	opened the odde against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
<pre>member_state_code authority_name</pre>	string A two letter code assigned by the Commission that
	string A two letter code assigned by the Commission that uniquely identifies each member state. string The name of the national, subnational, or local authority that granted the state aid award. Note that the text of this variable

numeric An ID number that uniquely identifies each type of beneficiary_type_id beneficiary. Coded 1 for Small or medium-sized enterprise (SME) and 2 for Large enterprise. string The type of the beneficiary of the state aid award. beneficiary_type Coded Small or medium-sized enterprise (SME) or Large enterprise. Uses the Commission's standard definition of small and median-sized enterprises (SMEs). nace_sector_id numeric An ID number that uniquely identifies each NACE sector. string The NACE sector for the state aid award. nace_sector string The NACE sector code for the state aid award (a single nace_sector_code capital letter). nace_code string The NACE code for the state aid award. string A description of the NACE code for the state aid award. nace_description aid_instrument_id numeric An ID number that uniquely identifies each state aid instrument that a granting authority can use. aid_instrument string The aid instrument that the granting authority used in granting the state aid award. numeric The amount of the state aid award expressed in units raw_amount of the local currency. If the value of the award is expressed as a range, this variable records the mean of the range. string The name of the currency in which the member state currency reported the amount of the state aid award. range dummy A dummy variable indicating whether the amount of the state aid award is expressed as a range instead of a specific value (allowed by the Commission under some circumstances to protect proprietary business data). Coded 1 if the amount is expressed as a range and 0 otherwise.

range_min	numeric If the value of the state aid award is expressed as a range (see range), the minimum value of the range expressed in units of the local currency. Coded NA if the value of the award is not expressed as a range.
range_max	numeric If the value of the state aid award is expressed as a range (see range), the maximum value of the range expressed in units of the local currency. Coded NA if the value of the award is not expressed as a range.
exchange_rate	numeric The exchange rate for converting the local currency into euros. Coded 1 if the local currency is the euro.
amount_euros	numeric The total estimated value of the award in euros.
voluntary	dummy A dummy variable indicating whether the state aid award was small enough that notification to the Commission is voluntary. Coded 1 if notification is voluntary and 0 otherwise.

awards_csts

Award-level cross-sectional time-series data

Description

This dataset includes aggregated data on the number of state aid awards per member state per year (cross-sectional time-series data). There is one observation per member state per year (2016-2020).

key_id	numeric An ID number that uniquely identifies each observation
	in the dataset.
year	numeric The year the case was opened by the Commission.
,	
member_state_id	numeric An ID number that uniquely identifies each member
member _e ca ce_fa	Trainer 20 7 in 12 Traine of that amquely radirence each member
	state. This ID number is assigned when member states are sorted
	by accession date and then alphabetically.
member_state	string The name of the member state that the Commission
	opened the case against.
	opened the ease against.
	A true letter and a conjugad by the Compression that
member_state_code	string A two letter code assigned by the Commission that
	uniquely identifies each member state.
count_awards	numeric A count of the number of state aid awards granted by
	the member state at this level of aggregation
	the member state at this level of aggregation.

total_amount_awards

numeric The total amount of awards granted at this level of aggregation in euros.

awards_csts_bt

Award-level cross-sectional time-series data by beneficiary type

Description

This dataset includes aggregated data on the number of state aid awards per member state per year (cross-sectional time-series data) broken down by beneficiary type. There is one observation per member state per year per beneficiary type (2016-2020).

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
beneficiary_type_id	numeric An ID number that uniquely identifies each type of beneficiary. Coded 1 for

aggregation in euros.

awards_csts_ai

Award-level cross-sectional time-series data by aid instrument

Description

This dataset includes aggregated data on the number of state aid awards per member state per year (cross-sectional time-series data) broken down by aid instrument. There is one observation per member state per year per aid instrument (2016-2020).

key_id	numeric An ID number that uniquely identifies each observation
	in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member
	state. This ID number is assigned when member states are sorted
	by accession date and then alphabetically.
member_state	string The name of the member state that the Commission
	opened the case against.
member_state_code	string A two letter code assigned by the Commission that
	uniquely identifies each member state.
aid_instrument_id	numeric An ID number that uniquely identifies each state aid
	instrument that a granting authority can use.

aid_instrument	string The aid instrument that the granting authority used in granting the state aid award.
count_awards	numeric A count of the number of state aid awards granted by the member state at this level of aggregation.
total_amount_awards	numeric The total amount of awards granted at this level of aggregation in euros.

awards_csts_ns

Award-level cross-sectional time-series data by NACE sector

Description

This dataset includes aggregated data on the number of state aid awards per member state per year (cross-sectional time-series data) broken down by NACE sector. There is one observation per member state per year per NACE sector (2016-2020).

key_id	numeric An ID number that uniquely identifies each observation in the dataset.
year	numeric The year the case was opened by the Commission.
member_state_id	numeric An ID number that uniquely identifies each member state. This ID number is assigned when member states are sorted by accession date and then alphabetically.
member_state	string The name of the member state that the Commission opened the case against.
member_state_code	string A two letter code assigned by the Commission that uniquely identifies each member state.
nace_sector_id	numeric An ID number that uniquely identifies each NACE sector.
	sector.

nace_sector

string The NACE sector for the state aid award.

string The NACE sector code for the state aid award (a single capital letter).

count_awards

numeric A count of the number of state aid awards granted by the member state at this level of aggregation.

total_amount_awards

numeric The total amount of awards granted at this level of aggregation in euros.