



# CLASSIFYING HUMAN EMOTION USING CONVOLUTIONAL NEURAL NETWORKS



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**EMOTION**

**AND ITS ROLE AS A UNIVERSAL  
LANGUAGE**





facial expressions of  
emotion are  
universal, not  
learned differently  
in each culture

– Charles Darwin, *The Expression of  
Emotions in Man and Animals*

# THEORETICAL RATIONALE

## WHY EMOTION?

### *Emotions are universal*

Many scientists believe that there are **seven universal emotions** that humans are biologically-hardwired to express

Facial expressions account for nearly 70% of all non-verbal communication

## WHY AI?

### *AI is everywhere*

Artificially-intelligent systems can be trained to identify faces and recognize emotions using the same basic visual scanning techniques done by humans

These systems have many different applications in consumer technology





# CLASSIFYING EMOTION



**Anger**



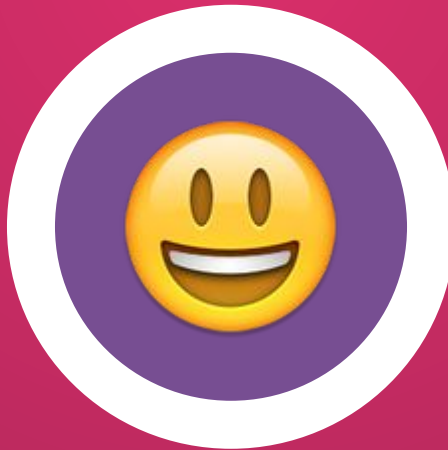
**Disgust**



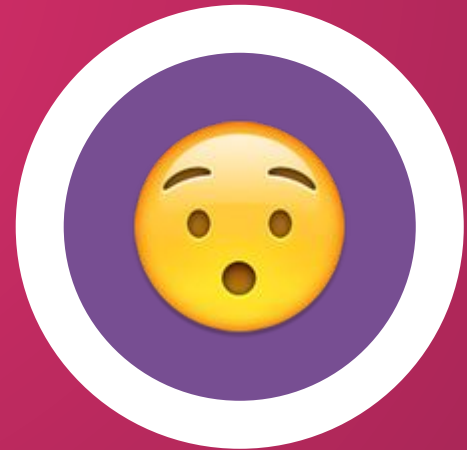
**Fear**



**Sad**



**Happy**



**Surprised**

# HOW EMOTION IS EXTRACTED

## PRE-PROCESSING

Images from a dataset are normalized, so inconsistencies do not affect learning

Cropped and resized to a 48x48 input image

## ANALYSIS

Viola-Jones algorithms from OpenCV and TFLearn detect features

Subsets are scanned for facial markers

## CLASSIFICATION

Input is then mapped to the softmax output layer nodes

The unit with the highest activation gets selected

# THE FER2013

A large, publicly-available dataset used to train and validate the CNN's emotion-detecting capabilities



# 28,709 faces

Used to train and validate the model

# 48x48 pixel

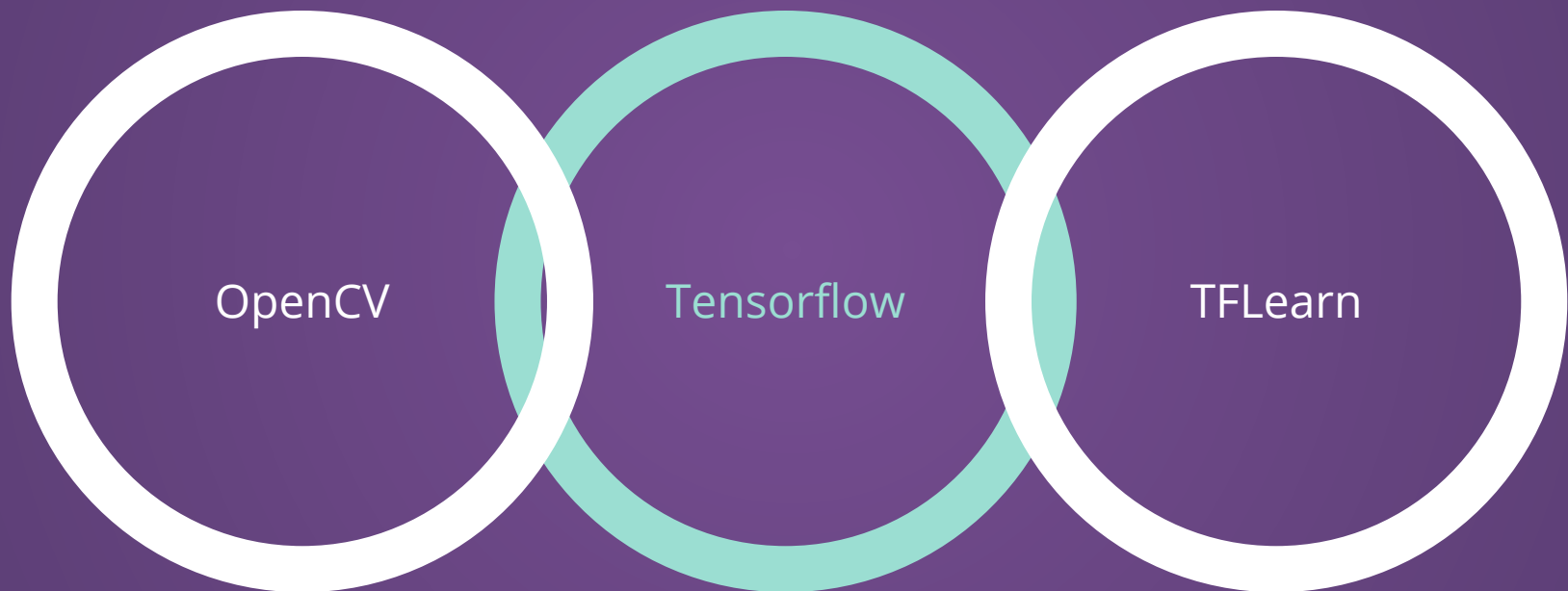
Tokenized grayscale images

# 100 epochs

To converge to 67% accuracy (approx. ~40 hrs)

# CONVENTIONAL FACIAL RECOGNITION

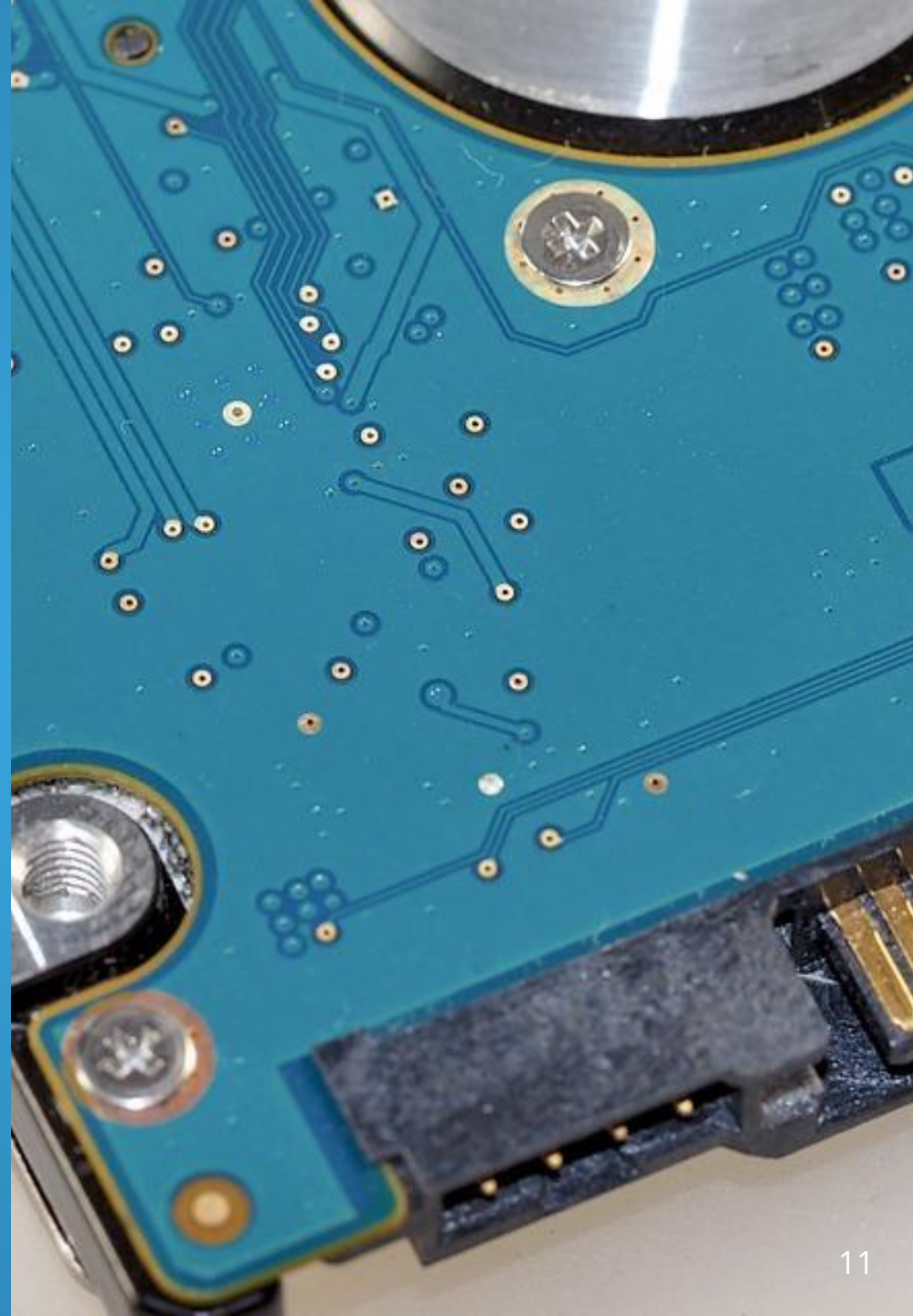
## USING IMAGE CLASSIFIERS AND FEATURE DETECTORS



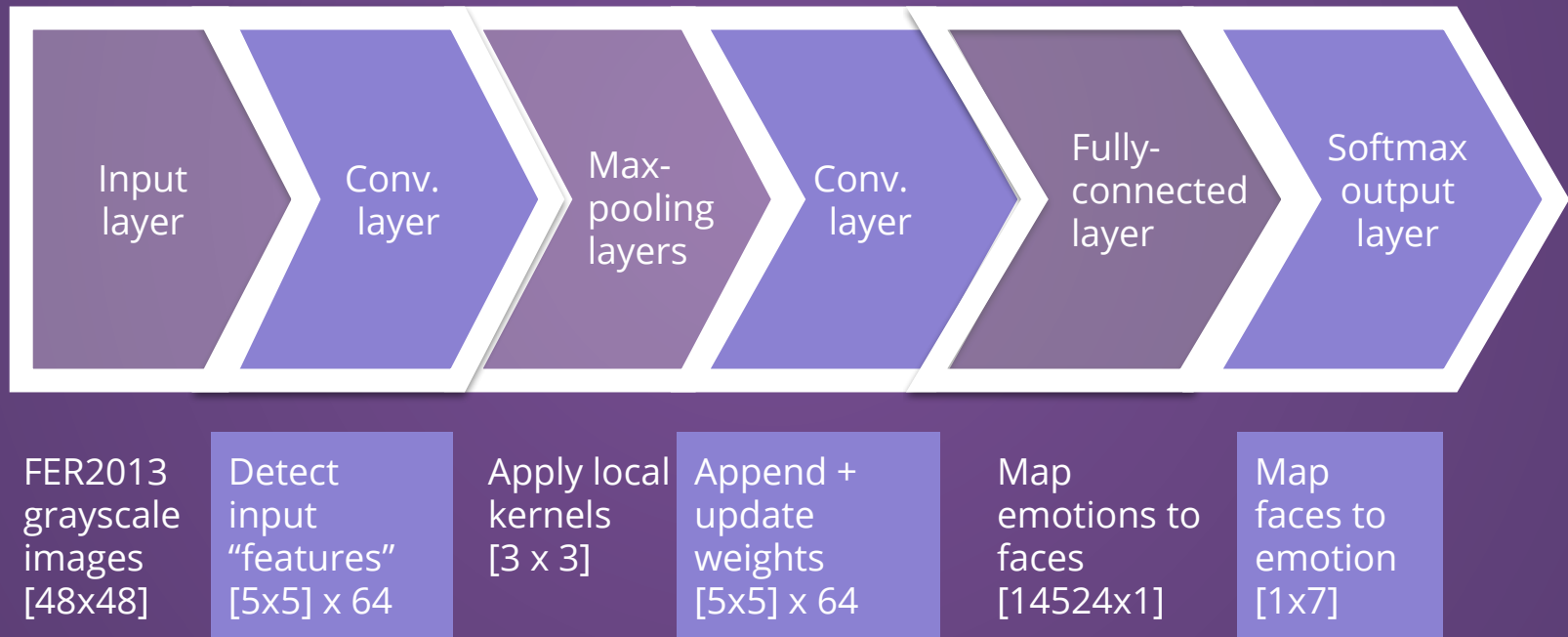
- A. Gudi. Recognizing semantic features in faces using deep learning. *arXiv preprint arXiv:1512.00743*, 2015

# NETWORK BASICS: IMAGE CLASSIFIERS AND FEATURE DETECTORS

- ◇ **Tensorflow**
  - machine learning framework
- ◇ **TFLearn**
  - high-level API for deep learning
- ◇ **OpenCV**
  - open-source computer vision APIs
- ◇ **Docker**
  - python/tensorflow runtime environment



# EMOTION RECOGNITION NETWORK STRUCTURE



# SUMMARY OF NETWORK OPERATIONS



## INPUT

FER2013 images come pre-cropped to 48x48 px, and have been cleaned up, rescaled and converted into a numpy-readable array



## EDIT

OpenCV Viola-Jones algorithm normalizes factors like face location, low image quality, in-plane tilt and rotation to filter out poor data



## ITERATE

Kernels (“filters”) operate on subsets of the input matrix to quickly discard unnecessary artifacts and retain the facial expression features



## COMPARE

The AlexNet CNN model uses TFLearn and OpenCV libraries to calculate Haar-features and reduce negative windows (cascade filters)



## UPDATE

Error backpropagation functions from TFLearn library are used to update the activation weights in the convolutional layers



## CLASSIFY

The fully-trained deep net selects the softmax output neuron that has the highest activation given by the ReLU Rectifier:  $\max(x, 0)$



## PERFORMANCE MATRIX USING INITIAL DATASET

	Anger	Disgust	Fear	Happy	Neutral	Sad	Surprise
Anger	0.5						
Disgust		0.62					
Fear			0.37				
Happy				0.90			
Neutral					0.80		
Sad						0.28	
Surprise							0.77

\* Data provided by TU Delft and @isseu on Github, ran using the same neural net and training set

A person wearing a red sweater is seated at a light-colored wooden desk. They are writing on a document with a black pen. Their left hand rests on the document, and they are wearing a watch with an orange and black face. A brown leather bag is on the desk to the left. In the background, a wooden chair and a laptop are visible.

# FUTURE WORK AND NEXT STEPS

## FUTURE WORK + CONSIDERATIONS

Train the network using various datasets

- ◇ Reduced FER2013
- ◇ Japanese Female Facial Expressions (JAFFE)
- ◇ CK+ dataset



Analyze for other facial feature characteristics

- ◇ Gender
- ◇ Age
- ◇ Race



Implement emotion-detection in consumer tech

- ◇ Automatic playlist generation
- ◇ Mood prediction in behavioral health apps



# REAL-TIME CLASSIFICATION

Trained classifier  
operates on individual  
frames from a live video  
stream



## CREDITS

A. Gudi. Recognizing semantic features in faces using deep learning. *arXiv preprint arXIV:1512.00743*, 2015.

C.R. Darwin. *The expression of the emotions in man and animals*. John Murray, London, 1872

OpenSourceComputerVision Face detection using haar cascades. URL [https://docs.opencv.org/master/d7/d8b/tutorial\\_py\\_face\\_detection.html](https://docs.opencv.org/master/d7/d8b/tutorial_py_face_detection.html)

TFLearn. TFLearn: Deep learning library featuring a higher-level API for Tensorflow. URL <http://tflearn.org>

Kaggle. Challenges in representation learning: Facial expression recognition challenge, 2013.



# THANKS

ANY QUESTIONS?

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