# **Assignment 3: Report basic**

# 1) Checking the gradients for the generic K-NN

In order to check the correctness of the gradients I used the following formula

$$\frac{|g_a - g_n|}{\max(\text{eps}, |g_a| + |g_n|)} \quad \text{where eps a very small positive number}$$

where  $g_a$  is the analytically computed gradient and  $g_n$  is the numerically computed gradient and made sure that this relative error is small for each component of the gradient(bias gradient and weights gradient). To compute the numerical gradients I used the function *ComputeGradsNumSlow.py*.

In order to check the gradients we decrease the dimension of the input to 50. lambda= 0.1 and all hidden layers have 20 nodes.

#### Gradients without batch normalization

#### 2 Layers Network

```
LAYER: 1
Sum of relative weights error for Layer 1 : 0.00014673426882692818196
Sum of relative biases error for Layer 1 : -7.229957822585429938e-08

LAYER: 2
Sum of relative weights error for Layer 2 : 2.7203157320845678246e-06
Sum of relative biases error for Layer 2 : 2.1396981420099051635e-08
```

#### 3 Layers Network

```
LAYER: 1
Sum of relative weights error for Layer 1 : -0.0018845415368999968391
Sum of relative biases error for Layer 1 : -1.1746269553369477418e-07

LAYER: 2
Sum of relative weights error for Layer 2 : 1.862968508467330108e-07
Sum of relative biases error for Layer 2 : 3.027183788983597292e-08

LAYER: 3
Sum of relative weights error for Layer 3 : 1.9484145334911440084e-06
Sum of relative biases error for Layer 3 : 1.00793369184416447875e-08
```

#### Gradients with batch normalization

#### 2 Layers Network

```
LAYER: 1
Sum of relative weights error for Layer FC: 4.42125333445057e-05
Sum of relative betas error for Layer BN: : 8.646127578832108e-07
Sum of relative gammas error for Layer BN: : 9.415384832137367e-07

LAYER: 2
Sum of relative weights error for Layer FC: 8.013386982254017e-06
Sum of relative betas error for Layer BN: : 9.011532476209387e-07
Sum of relative gammas error for Layer BN: : 3.3187973562792394e-08
```

#### 3 Layers Network

```
LAYER: 1
Sum of relative weights error for Layer FC: 6.552163728967155e-05
Sum of relative betas error for Layer BN: : 2.421152420043049e-06
Sum of relative gammas error for Layer BN: : 7.53856764889225e-06

LAYER: 2
Sum of relative weights error for Layer FC: 1.9291068433010034e-05
Sum of relative betas error for Layer BN: : 1.2539411495296493e-06
Sum of relative gammas error for Layer BN: : 1.1830048707082187e-06

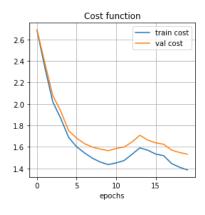
LAYER: 3
Sum of relative weights error for Layer FC: 4.285723777639723e-06
Sum of relative betas error for Layer BN: : 3.3288229888493277e-06
Sum of relative gammas error for Layer BN: : 1.1156746088136048e-08
```

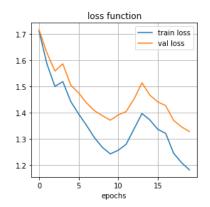
From the low relative error we can conclude that the gradients are implemented correctly.

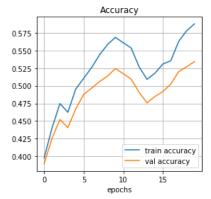
# 2) 3-layer network with He initialization

• 3-layer NN without Batch Normalization

Train set accuracy: 0.5878 Validation set accuracy: 0.5342 **Test set accuracy: 0.5327** 

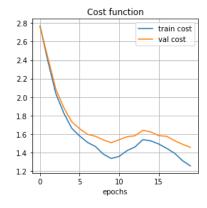


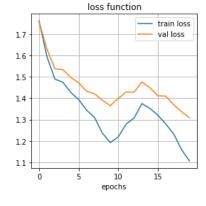


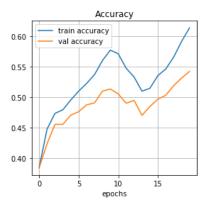


# • 3-layer NN with Batch Normalization

Train set accuracy: 0.61355 Validation set accuracy: 0.5422 **Test set accuracy: 0.531** 



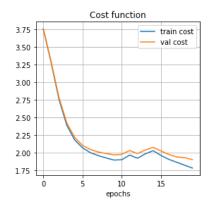


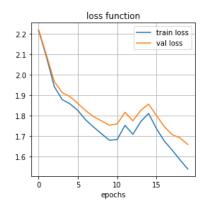


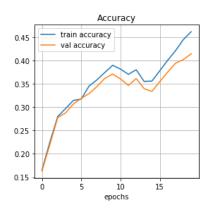
# 3) 9-layer network with He initialization

#### • 9-layer NN without Batch Normalization

Train set accuracy: 0.4614 Validation set accuracy: 0.4142 **Test set accuracy: 0.4227** 

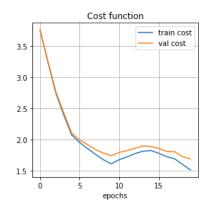


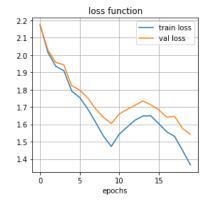


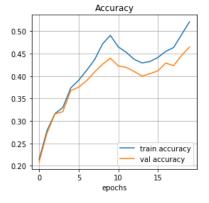


### • 9-layer NN with Batch Normalization

Train set accuracy: 0.52066 Validation set accuracy: 0.4646 Test set accuracy: 0.4624

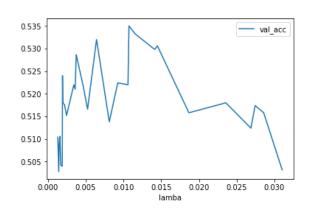






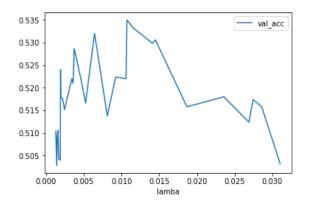
# 4) Regularization fine tuning(+advanced)

# Coarsest (λ ~ [10<sup>-5</sup>-10<sup>-1</sup>])



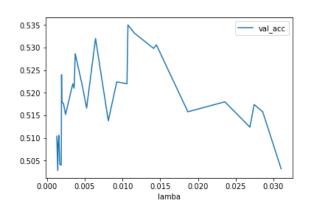
lamba	val acc	train acc
0.091176	0.4820	0.510756
0.066827	0.4866	0.518511
0.056512	0.4910	0.525756
0.000120	0.4966	0.582978
0.000846	0.4972	0.579156
0.000016	0.5010	0.585111
0.000070	0.5018	0.582778
0.000027	0.5022	0.584067
0.000210	0.5040	0.583911
0.000179	0.5044	0.583600
0.053900	0.5050	0.533956
0.000085	0.5052	0.582400
0.000285	0.5062	0.586911
0.000300	0.5070	0.584822
0.000013	0.5078	0.582444
0.022661	0.5084	0.558222
0.024070	0.5094	0.546578
0.000523	0.5098	0.586333
0.001493	0.5102	0.594111
0.001590	0.5130	0.590889
0.000142	0.5142	0.588733
0.000110	0.5152	0.582222
0.025500	0.5156	0.553933
0.017498	0.5180	0.567533
0.001831	0.5184	0.584956
0.015414	0.5206	0.571000
0.009585	0.5222	0.577111
0.006576	0.5248	0.589178
0.008412	0.5306	0.585867
0.016741	0.5328	0.573222

# • Coarse ( $\lambda \sim [10^{-3}-10^{-1.7}]$ )

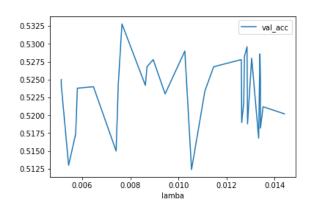


100	121/1000	21123 70
lamba	val_acc	train_acc
0.001154	0.5038	0.570711
0.001157	0.5072	0.591156
0.019080	0.5092	0.559289
0.001479	0.5094	0.586844
0.003280	0.5102	0.581689
0.002825	0.5120	0.574378
0.015074	0.5136	0.571933
0.001601	0.5142	0.593733
0.002102	0.5152	0.588289
0.004090	0.5152	0.583400
0.001632	0.5154	0.592956
0.017263	0.5156	0.561822
0.008324	0.5160	0.575911
0.015847	0.5172	0.563933
0.012052	0.5180	0.576178
0.002291	0.5180	0.592800
0.017035	0.5188	0.558844
0.001858	0.5190	0.591933
0.005226	0.5210	0.591400
0.006924	0.5214	0.591800
0.007946	0.5232	0.587911
0.017928	0.5240	0.569333
0.005880	0.5240	0.594556
0.005082	0.5250	0.588600
0.010812	0.5264	0.581422
0.006133	0.5272	0.592867
0.005447	0.5294	0.589489
0.007403	0.5300	0.586378
0.009606	0.5330	0.585000
0.005164	0.5332	0.597222

# • Fine (λ ~ [10<sup>-3</sup>-10<sup>-1.5</sup>])



# • Finest (λ ~ [0.005-0.015])



# Best scores after 7 cycles ~ 90 epochs:

λ=0.005164

Train set accuracy: 0.61871 Validation set accuracy: 0.5372 Test set accuracy: 0.5355

λ=0.007653

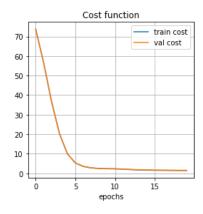
Train set accuracy: 0.617022 Validation set accuracy: 0.5426 **Test set accuracy: 0.5405** 

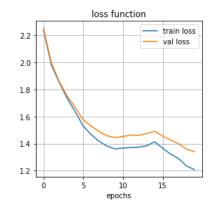
	lamba	val acc	train acc
	0.001419	0.5028	0.591622
	0.031026	0.5032	0.540400
	0.001889	0.5040	0.589800
	0.001690	0.5042	0.583933
	0.001472	0.5088	0.590244
	0.001290	0.5104	0.586200
	0.001564	0.5106	0.595000
	0.026885	0.5124	0.552978
	0.008117	0.5138	0.584156
	0.002454	0.5152	0.599422
	0.028581	0.5158	0.553533
	0.018664	0.5158	0.564356
	0.005241	0.5166	0.589511
	0.027447	0.5174	0.551978
	0.002182	0.5176	0.596911
	0.001960	0.5180	0.597956
	0.023567	0.5180	0.558378
	0.003588	0.5210	0.592889
	0.004669	0.5216	0.594489
	0.010595	0.5220	0.580667
	0.003417	0.5220	0.591578
	0.009237	0.5224	0.580356
	0.001922	0.5240	0.591422
	0.003722	0.5278	0.592800
	0.003741	0.5286	0.588689
	0.014134	0.5298	0.577378
	0.014491	0.5306	0.582333
	0.006427	0.5320	0.585289
	0.011568	0.5332	0.581311
	0.010723	0.5350	0.586889
	lamba	val_acc	train_acc
	0.010546	0.5124	0.573711
E	0.005436	0.5130	0.581978

lamba	val acc	train acc
0.010546	0.5124	0.573711
0.005436	0.5130	0.581978
0.007411	0.5150	0.576844
0.013335	0.5168	0.564467
0.005729	0.5174	0.586444
0.013408	0.5182	0.573178
0.012872	0.5188	0.572156
0.012631	0.5190	0.570067
0.014409	0.5202	0.567978
0.013519	0.5212	0.572889
0.012716	0.5218	0.580311
0.009448	0.5230	0.581311
0.011100	0.5234	0.578156
0.005801	0.5238	0.582533
0.006469	0.5240	0.592089
0.005131	0.5242	0.590622
0.008626	0.5242	0.590578
0.007501	0.5244	0.588067
0.005130	0.5250	0.586089
0.013408	0.5252	0.570044
0.008695	0.5268	0.580378
0.011465	0.5268	0.580956
0.012612	0.5278	0.578889
0.008952	0.5278	0.589222
0.013045	0.5280	0.578378
0.012742	0.5282	0.573000
0.013388	0.5286	0.578778
0.010267	0.5290	0.581400
0.012852	0.5296	0.574467
0.007653	0.5328	0.585956

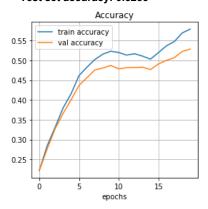
# 5.a) 3-layer network with Gauss initialization

• 3-layer NN without Batch Normalization (sig=1e-1)

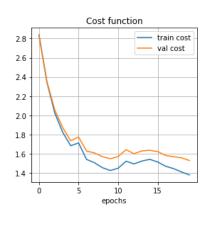


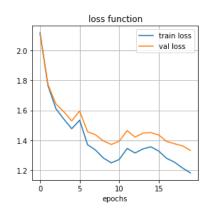


Train set accuracy: 0.578755
Validation set accuracy: 0.5282
Test set accuracy: 0.5285

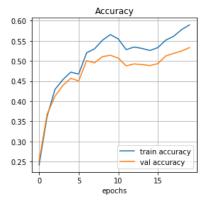


• 3-layer NN without Batch Normalization (sig=1e-3)

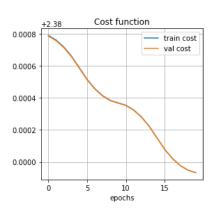


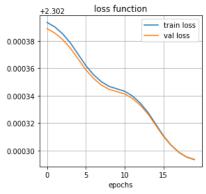


Train set accuracy: 0.5898 Validation set accuracy: 0.5332 Test set accuracy: 0.5276

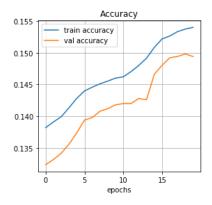


• 3-layer NN without Batch Normalization (sig=1e-4)

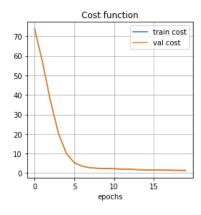


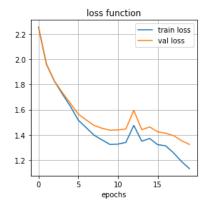


Train set accuracy: 0.153977 Validation set accuracy: 0.1494 Test set accuracy: 0.1564

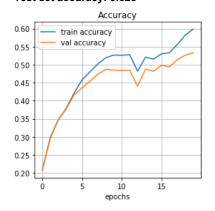


#### • 3-layer NN with Batch Normalization (sig=1e-1)

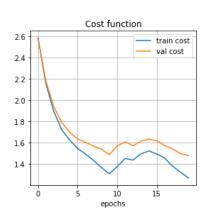


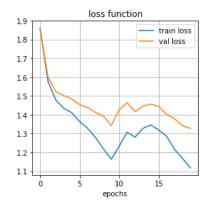


#### Train set accuracy: 0.598711 Validation set accuracy: 0.5328 **Test set accuracy: 0.523**

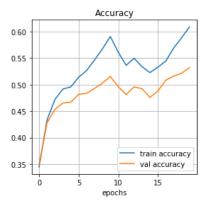


## • 3-layer NN with Batch Normalization (sig=1e-3)

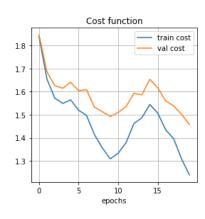


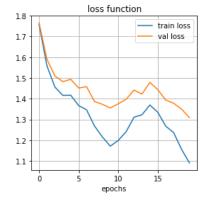


Train set accuracy: 0.608977 Validation set accuracy: 0.5322 **Test set accuracy: 0.5297** 

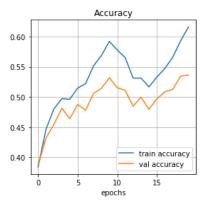


## • 3-layer NN with Batch Normalization (sig=1e-4)



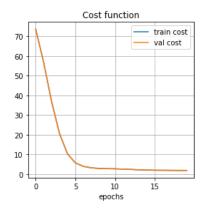


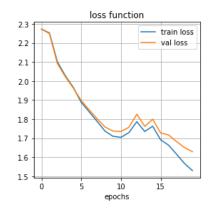
Train set accuracy: 0.615933 Validation set accuracy: 0.5362 **Test set accuracy: 0.5278** 



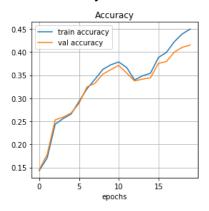
# 5.b) 9-layer network with Gauss initialization

• 9-layer NN without Batch Normalization (sig=1e-1)

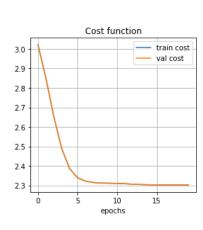


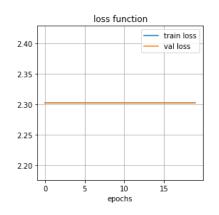


Train set accuracy: 0.449733 Validation set accuracy: 0.4152 **Test set accuracy: 0.4215** 

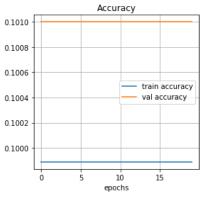


• 9-layer NN without Batch Normalization (sig=1e-3)

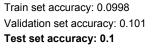


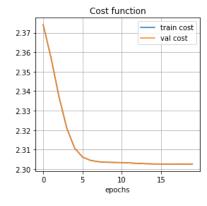


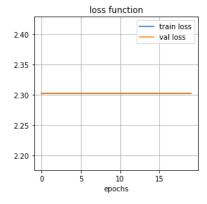
Train set accuracy: 0.09988 Validation set accuracy: 0.101 **Test set accuracy: 0.1** 

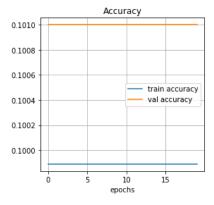


• 9-layer NN without Batch Normalization (sig=1e-4)

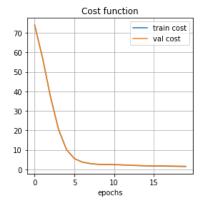


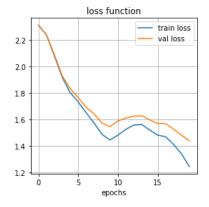




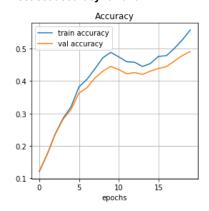


# • 9-layer NN with Batch Normalization (sig=1e-1)

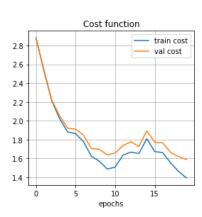


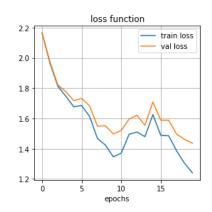


Train set accuracy: 0.5566 Validation set accuracy: 0.4904 **Test set accuracy: 0.4948** 

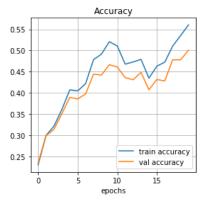


• 9-layer NN with Batch Normalization (sig=1e-3)

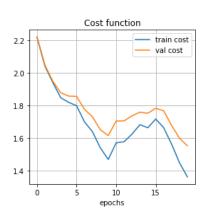


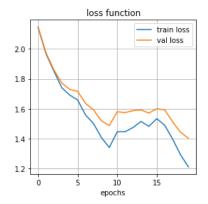


Train set accuracy: 0.56048 Validation set accuracy: 0.5006 **Test set accuracy: 0.4973** 

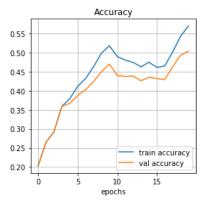


• 9-layer NN with Batch Normalization (sig=1e-4)





Train set accuracy: 0.57073 Validation set accuracy: 0.504 Test set accuracy: 0.5076



**In conclusion**, we notice that Batch Normalization is an enhancement for neural networks which robustifies the training procedure against bad initializations. This is especially visible for deeper networks where the gradients die out much faster if the layers are initialized badly. This, supposedly, allows each layer to learn on a more stable distribution of inputs since variance and mean are less dependent on the transformations from the layers before.