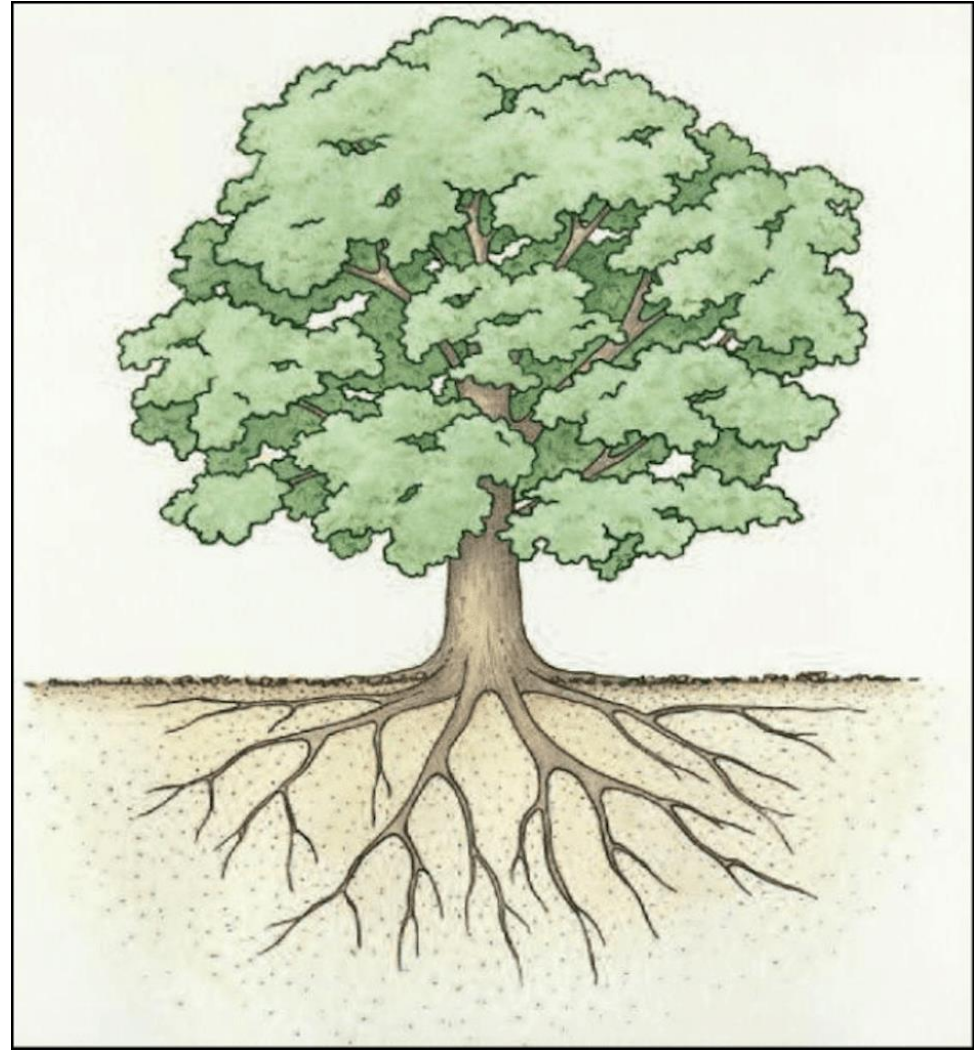


Trees



Trees

- Linked lists have $O(n)$ running time for many operations (too slow!)
 - Find(elem), delete(elem), findMax, findMin, etc.
- Trees are similar to linked lists, but have $O(\log n)$ running time for most operations
- A tree is a *collection of nodes* (like a linked list) but with hierarchical structure
- **Recursive definition:** Consists of a *root* r and zero or more non-empty subtrees
- The root of each subtree is a *child* of r , and r is the *parent* of each subtree root
- Each node may have an arbitrary number of children (possibly zero). Nodes with 0 children are *leaves*
- A *path* from node n_1 to n_k is a sequence of nodes n_1, n_2, \dots, n_k such that n_i is the parent of n_{i+1} for $1 \leq i < k$.
Length of path is number of edges in path.
- For any node n_i , the *depth* of n_i is length of unique path from root to n_i
- Root is at depth 0.
- The *height* of n_i is the length of the longest path from n_i to a leaf

Linked list with 4 nodes

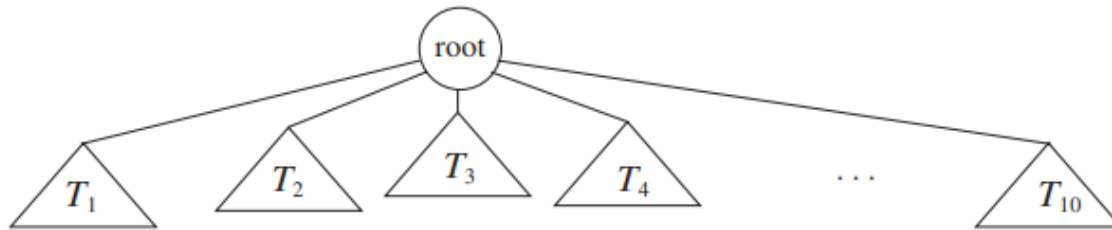
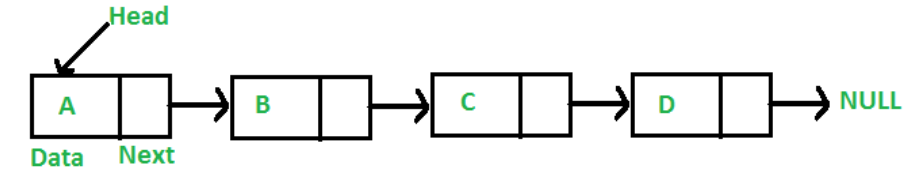


Figure 4.1 Generic tree

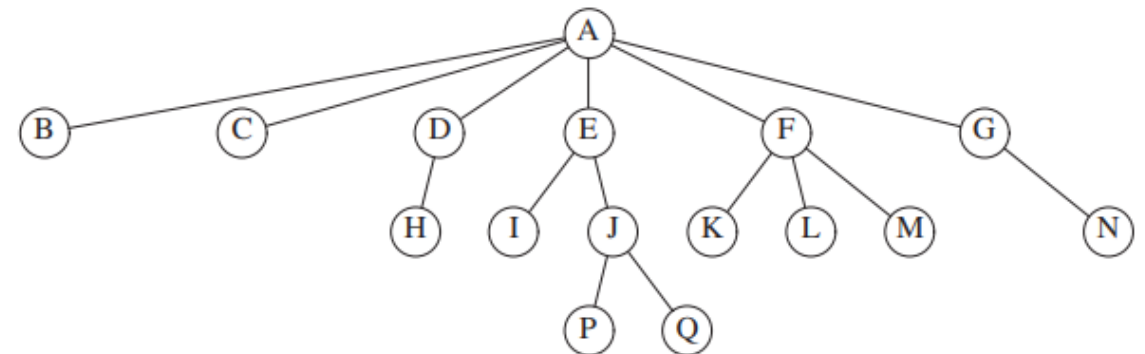


Figure 4.2 A tree

Binary trees

- A **binary tree** is a tree in which no node can have more than two children
- Figure 4.11: a binary tree and consisting of a root and two subtrees T_L and T_R , both of which are possibly empty
- Figure 4.13: node definition for a Binary tree node. Similar to a doubly-linked list, in that it contains two pointers to other nodes and a data element

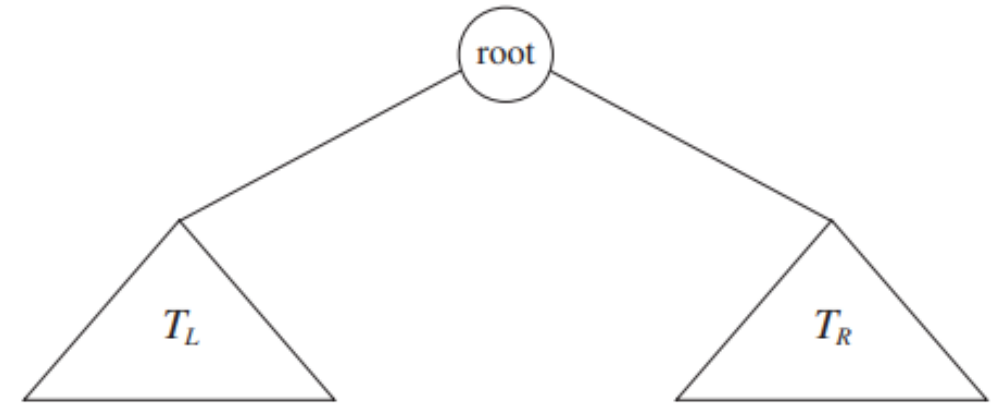


Figure 4.11 Generic binary tree

```
struct BinaryNode
{
    Object    element;        // The data in the node
    BinaryNode *left;         // Left child
    BinaryNode *right;        // Right child
};
```

Figure 4.13 Binary tree node class (pseudocode)

Application of Binary Tree: expression tree

- Figure 4.14: an example of an expression tree
- *Leaves* of the expression tree are operands (numbers or constants) and the other nodes contain operators (+, *, etc.)
- Can evaluate expression tree by recursively evaluating left and right subtrees. Here:
 - Left subtree: $a + b * c$
 - Right subtree: $(d * e + f) * g$

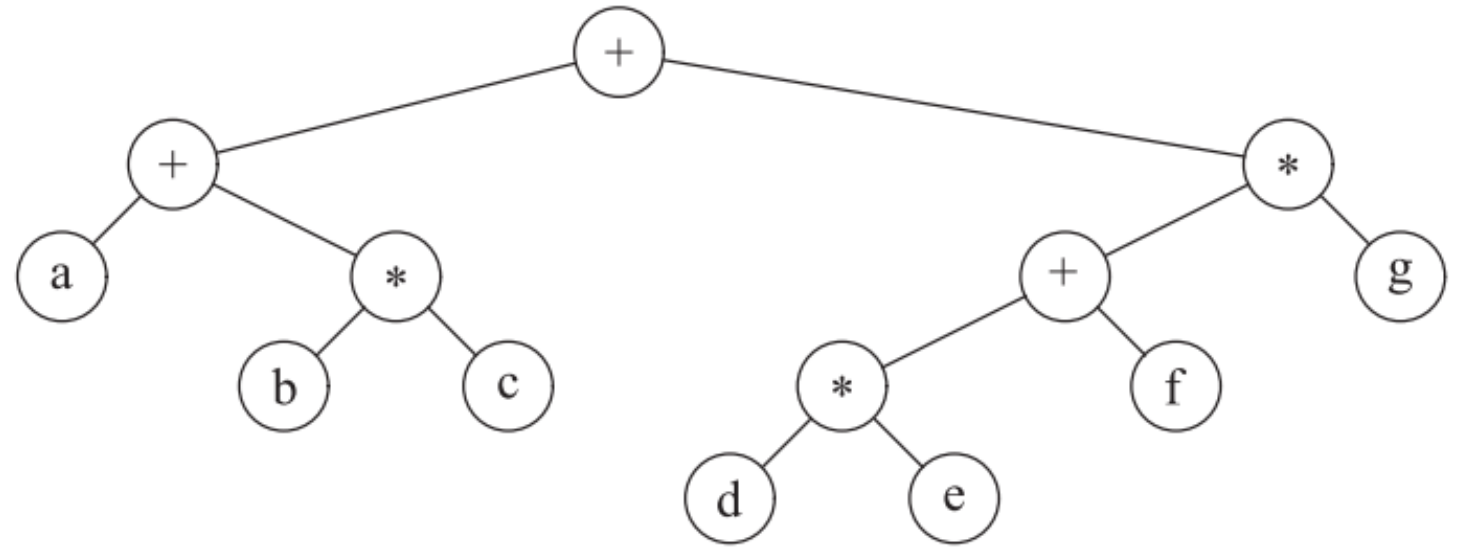
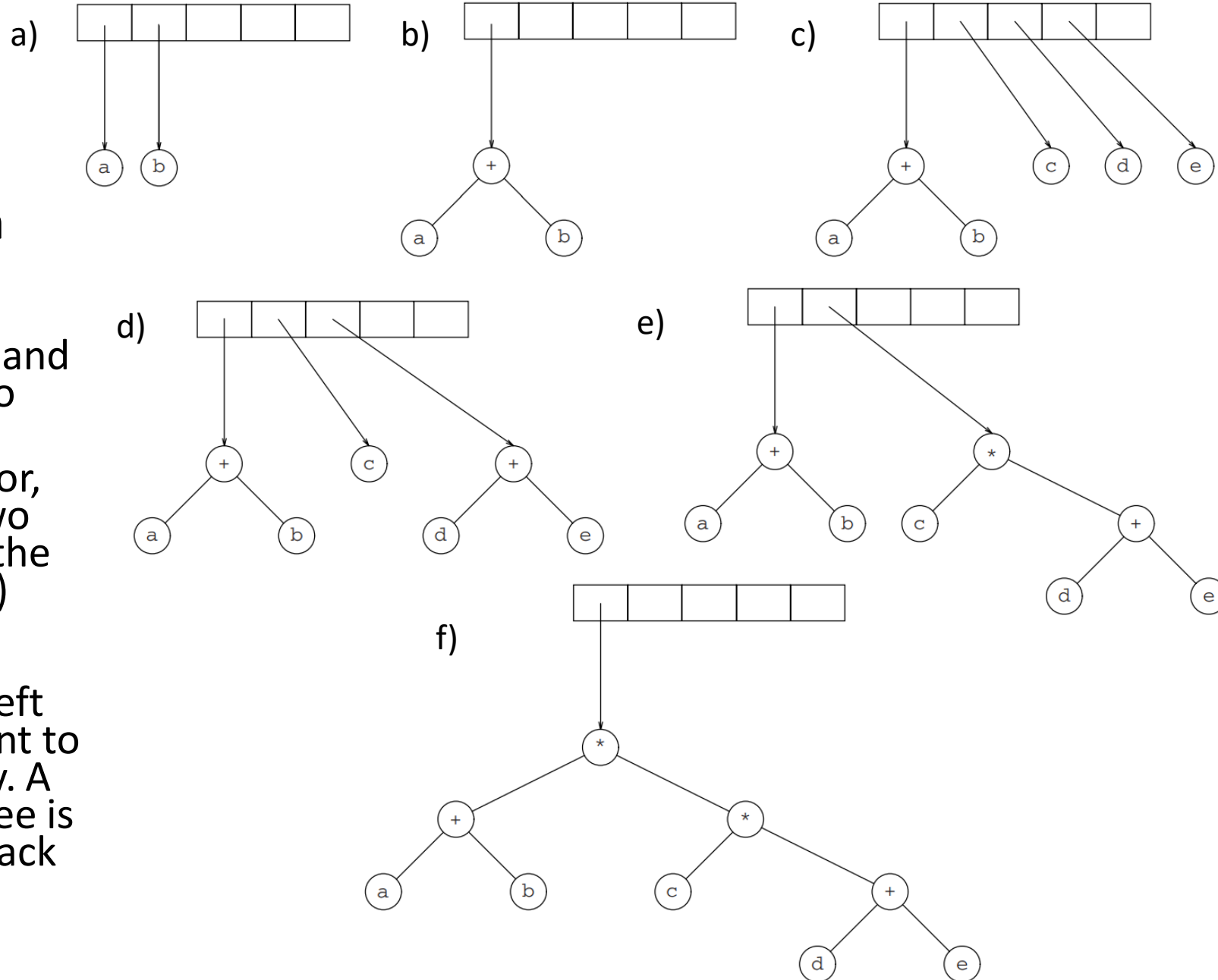


Figure 4.14 Expression tree for $(a + b * c) + ((d * e + f) * g)$

Constructing expression tree from postfix

- Postfix expression:
 $ab+cde+**$
- Algorithm:
- Read expression one symbol at a time from left to right
 - If symbol is operand, create one-node tree and push pointer to it unto the stack
 - If symbol is an operator, we pop pointers to two trees T_1 and T_2 from the stack (T_1 popped first) and form a new tree whose root is the operator and whose left and right children point to T_1 and T_2 respectively. A pointer to this new tree is then pushed to the stack



Binary Search Tree (BST)

- An important application of binary trees is their use in searching
- Assume each node in the tree stores an item (integer)
- **BST property:** for every node X in tree, values of all items in X 's left subtree are smaller than X 's value, and values of all items in X 's right subtree are larger than X 's value (will deal with duplicates later)

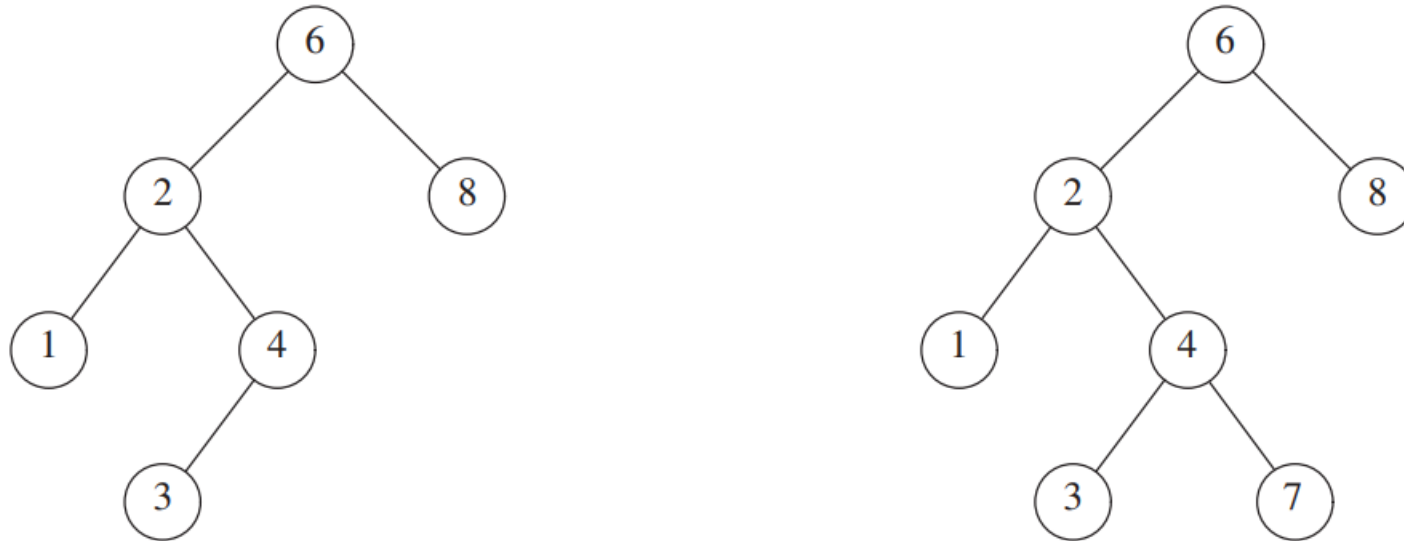


Figure 4.15 Two binary trees (only the left tree is a search tree)

BST code skeleton

```
1  template <typename Comparable>
2  class BinarySearchTree
3  {
4      public:
5          BinarySearchTree( );
6          BinarySearchTree( const BinarySearchTree & rhs );
7          BinarySearchTree( BinarySearchTree && rhs );
8          ~BinarySearchTree( );
9
10         const Comparable & findMin( ) const;
11         const Comparable & findMax( ) const;
12         bool contains( const Comparable & x ) const;
13         bool isEmpty( ) const;
14         void printTree( ostream & out = cout ) const;
15
16         void makeEmpty( );
17         void insert( const Comparable & x );
18         void insert( Comparable && x );
19         void remove( const Comparable & x );
20
21         BinarySearchTree & operator=( const BinarySearchTree & rhs );
22         BinarySearchTree & operator=( BinarySearchTree && rhs );
23
```

```
24     private:
25         struct BinaryNode
26         {
27             Comparable element;
28             BinaryNode *left;
29             BinaryNode *right;
30
31             BinaryNode( const Comparable & theElement, BinaryNode *lt, BinaryNode *rt )
32                 : element{ theElement }, left{ lt }, right{ rt } { }
33
34             BinaryNode( Comparable && theElement, BinaryNode *lt, BinaryNode *rt )
35                 : element{ std::move( theElement ) }, left{ lt }, right{ rt } { }
36         };
37
38         BinaryNode *root;
39
40         void insert( const Comparable & x, BinaryNode * & t );
41         void insert( Comparable && x, BinaryNode * & t );
42         void remove( const Comparable & x, BinaryNode * & t );
43         BinaryNode * findMin( BinaryNode *t ) const;
44         BinaryNode * findMax( BinaryNode *t ) const;
45         bool contains( const Comparable & x, BinaryNode *t ) const;
46         void makeEmpty( BinaryNode * & t );
47         void printTree( BinaryNode *t, ostream & out ) const;
48         BinaryNode * clone( BinaryNode *t ) const;
49     };

```


BST method: contains

- `contains` returns true if there is a node in tree T that has item X , or false otherwise
- Line 8: if tree is empty, return `false`
- Line 10: if the element we are searching for is less than current node's element, call recursively on left child
- Line 12: if element we are searching for is greater than current node's element, call recursively on right child
- Line 15: otherwise, return `true`
- Order of these if/else statements is important. Must test for empty tree first, otherwise we will be accessing data on a `nullptr` (generates runtime exception)

```
1  /**
2   * Internal method to test if an item is in a subtree.
3   * x is item to search for.
4   * t is the node that roots the subtree.
5   */
6  bool contains( const Comparable & x, BinaryNode *t ) const
7  {
8      if( t == nullptr )
9          return false;
10     else if( x < t->element )
11         return contains( x, t->left );
12     else if( t->element < x )
13         return contains( x, t->right );
14     else
15         return true;    // Match
16 }
```

Figure 4.18 contains operation for binary search trees

BST method: findMin/findMax

- `findMin` and `findMax` return pointers to node containing smallest and largest elements in tree, respectively.
- Can be implemented recursively or non-recursively
- `findMin`: follow left children until we reach a `nullptr`
- `findMax`: follow right children until we reach a `nullptr`

```
1  /**
2   * Internal method to find the smallest item in a subtree t.
3   * Return node containing the smallest item.
4   */
5  BinaryNode * findMin( BinaryNode *t ) const
6  {
7      if( t == nullptr )
8          return nullptr;
9      if( t->left == nullptr )
10         return t;
11     return findMin( t->left );
12 }
```

Figure 4.20 Recursive implementation of `findMin` for binary search trees

```
1  /**
2   * Internal method to find the largest item in a subtree t.
3   * Return node containing the largest item.
4   */
5  BinaryNode * findMax( BinaryNode *t ) const
6  {
7      if( t != nullptr )
8          while( t->right != nullptr )
9              t = t->right;
10     return t;
11 }
```

Figure 4.21 Nonrecursive implementation of `findMax` for binary search trees

BST method: `insert`

- To insert element X into tree T , proceed down tree as with `contains`
 - If X is already in tree, do nothing
 - Otherwise, insert X at the last spot on the path traversed
- Example: inserting 5
 - Traverse tree searching for element 5 until we reach a `nullptr`, and then insert 5 at that position

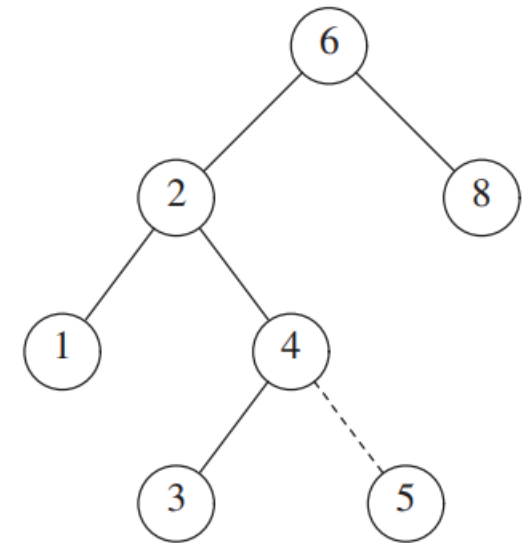
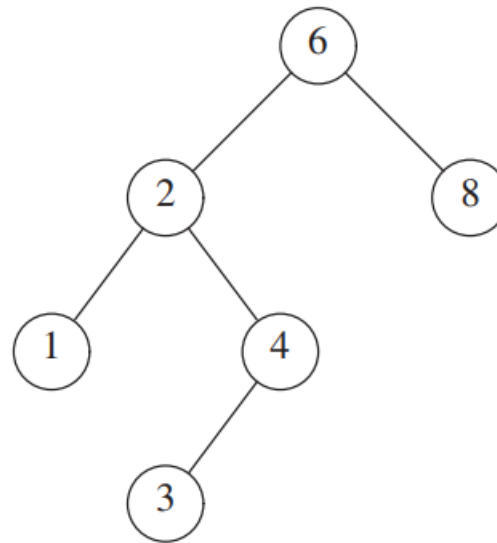


Figure 4.22 Binary search trees before and after inserting 5

BST method: insert

b) private insert method (lvalue)

```
1  /**
2   * Internal method to insert into a subtree.
3   * x is the item to insert.
4   * t is the node that roots the subtree.
5   * Set the new root of the subtree.
6   */
7  void insert( const Comparable & x, BinaryNode * & t )
8  {
9      if( t == nullptr )
10         t = new BinaryNode{ x, nullptr, nullptr };
11     else if( x < t->element )
12         insert( x, t->left );
13     else if( t->element < x )
14         insert( x, t->right );
15     else
16         ; // Duplicate; do nothing
17 }
18
```

a) public insert method

```
9  /**
10   * Insert x into the tree; duplicates are ignored.
11   */
12  void insert( const Comparable & x )
13  {
14      insert( x, root );
15  }
16
```

c) private insert method (rvalue)

```
19 /**
20  * Internal method to insert into a subtree.
21  * x is the item to insert by moving.
22  * t is the node that roots the subtree.
23  * Set the new root of the subtree.
24  */
25  void insert( Comparable && x, BinaryNode * & t )
26  {
27      if( t == nullptr )
28         t = new BinaryNode{ std::move( x ), nullptr, nullptr };
29      else if( x < t->element )
30         insert( std::move( x ), t->left );
31      else if( t->element < x )
32         insert( std::move( x ), t->right );
33      else
34         ; // Duplicate; do nothing
35  }
```

Figure 4.23 Insertion into a binary search tree

BST method: remove

- To remove a node, first find it.
- Once node has been found, there are three possibilities:
 - 1) node is a leaf. It can be deleted immediately without affecting rest of tree
 - 2) node has one child. It can be deleted and set deleted node's parent pointer to deleted node's child
 - 3) node has two children. General strategy is to *replace node's data with smallest data of it's right subtree* and then recursively delete that node (which is now empty)

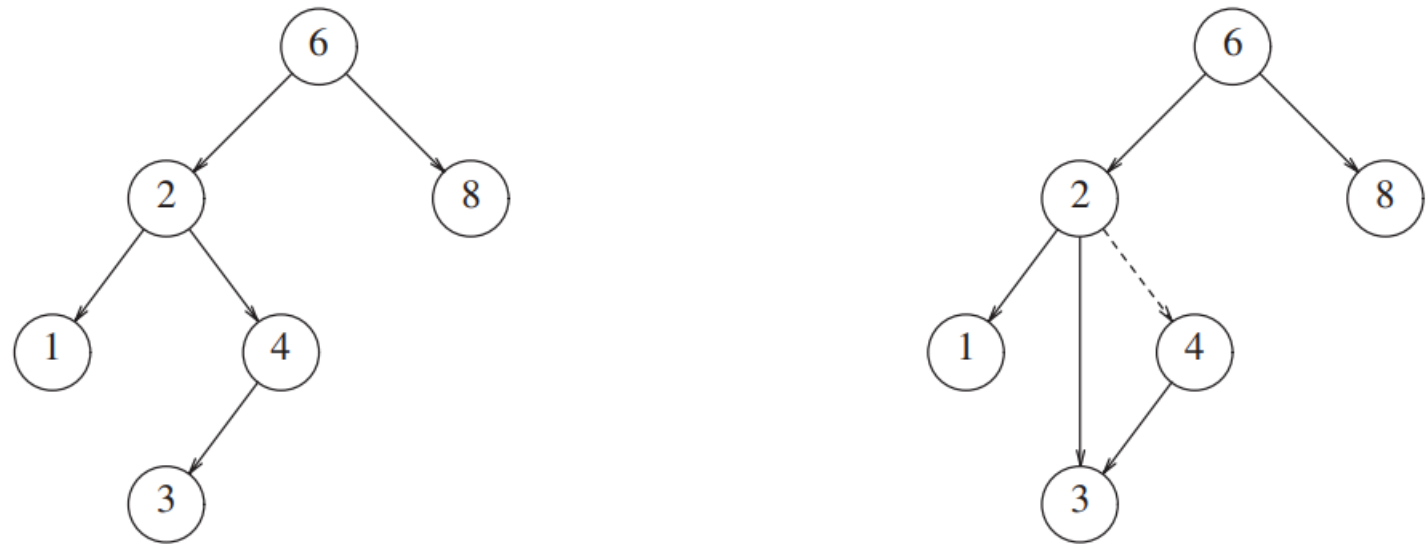


Figure 4.24 Deletion of a node (4) with one child, before and after

BST method: remove

```
1  /**
2   * Internal method to remove from a subtree.
3   * x is the item to remove.
4   * t is the node that roots the subtree.
5   * Set the new root of the subtree.
6   */
7  void remove( const Comparable & x, BinaryNode * & t )
8  {
9      if( t == nullptr )
10         return; // Item not found; do nothing
11     if( x < t->element )
12         remove( x, t->left );
13     else if( t->element < x )
14         remove( x, t->right );
15     else if( t->left != nullptr && t->right != nullptr ) // Two children
16     {
17         t->element = findMin( t->right )->element;
18         remove( t->element, t->right );
19     }
20     else
21     {
22         BinaryNode *oldNode = t;
23         t = ( t->left != nullptr ) ? t->left : t->right;
24         delete oldNode;
25     }
26 }
```

Figure 4.26 Deletion routine for binary search trees

```
17  /**
18   * Remove x from the tree. Nothing is done if x is not found.
19   */
20  void remove( const Comparable & x )
21  {
22      remove( x, root );
23  }
```

Case 3) node has two children. General strategy is to *replace node's data with smallest data of it's right subtree* and then recursively delete that node (which is now empty)

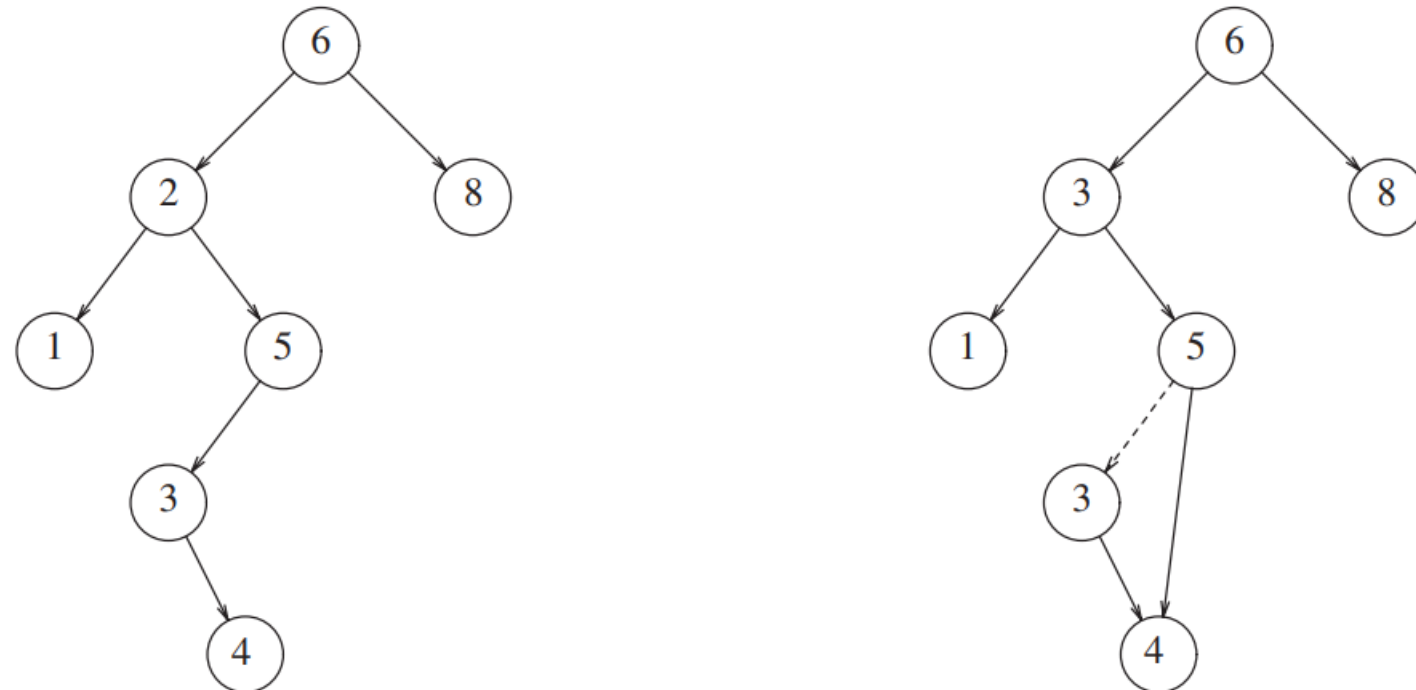
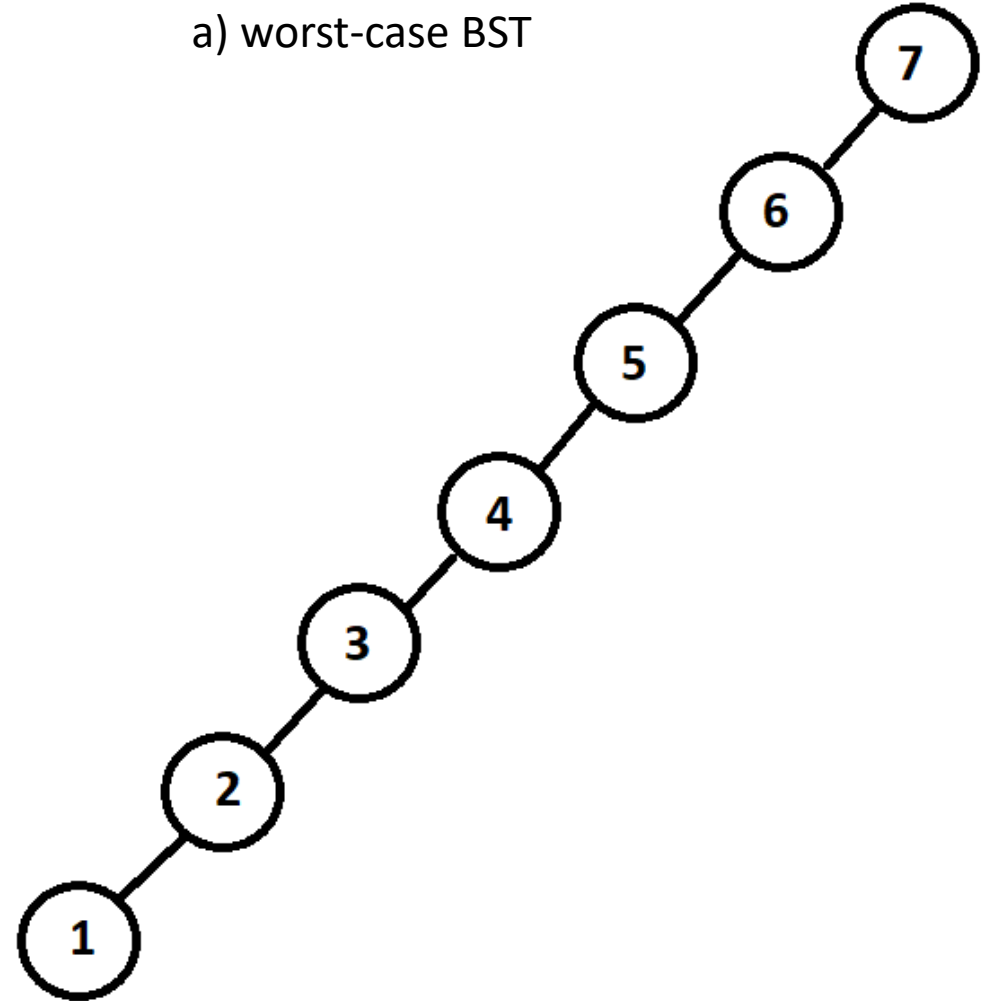


Figure 4.25 Deletion of a node (2) with two children, before and after

BST worst-case

- If sequence from which tree is built is in sorted or reverse-sorted order, BST will devolve into a linked list (worst-case BST)
- Example: inserting [7,6,5,4,3,2,1] yields tree in (a)
- All operations (findMin, findMax, contains, remove, insert) will take $O(n)$ in this worst-case tree

a) worst-case BST



BST average case

- All operations except `makeEmpty` and `clone` take $O(\log n)$ in an average-case BST
- We descend one level in constant time, which cuts the number nodes in half
 - Running time of all operations is $O(d)$, where d is the depth of the node containing the accessed item
- To see this, just imagine a ‘full’ BST with n nodes. Because the number of nodes doubles on each level down, it will have max depth $\log_2(n)$

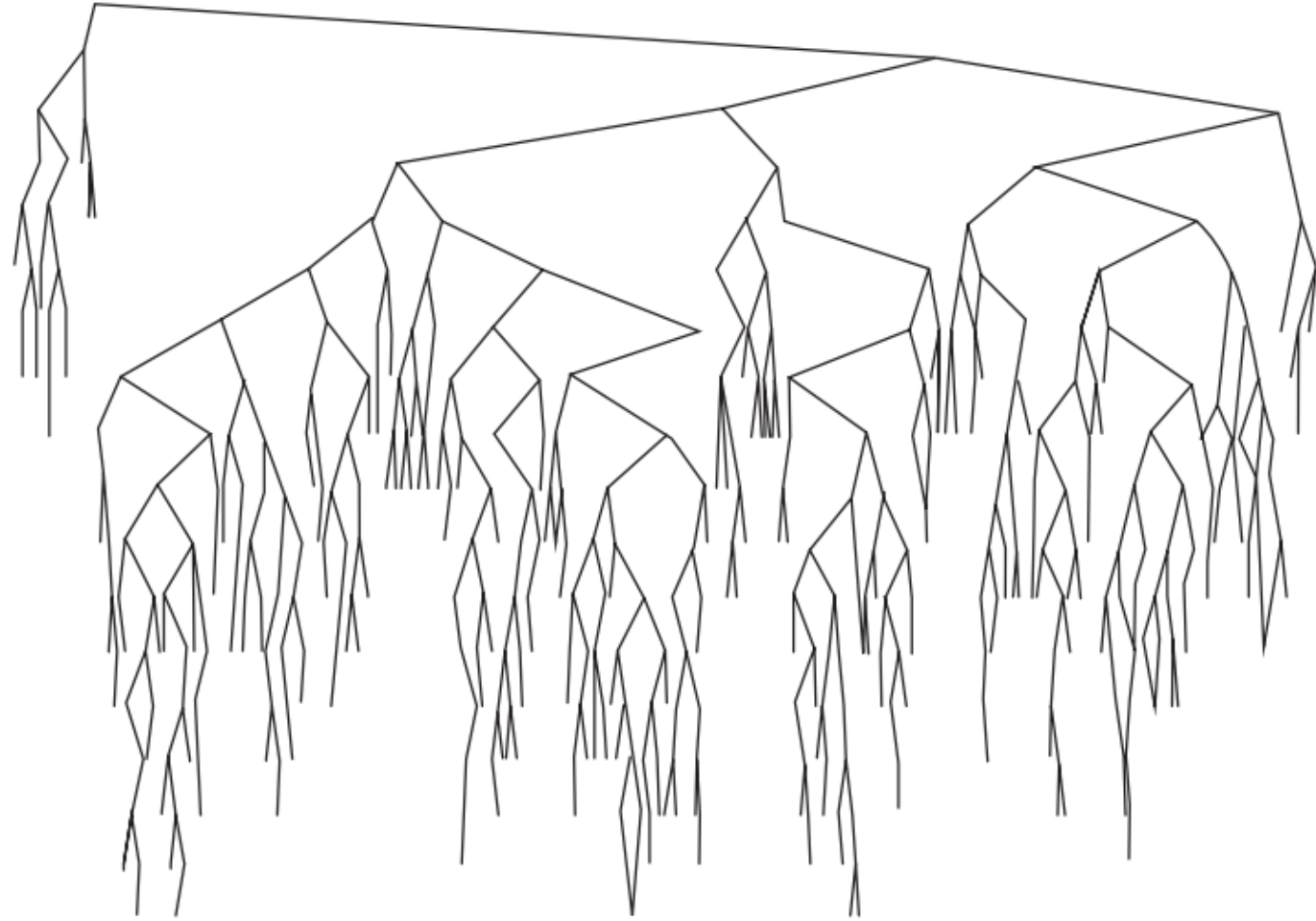
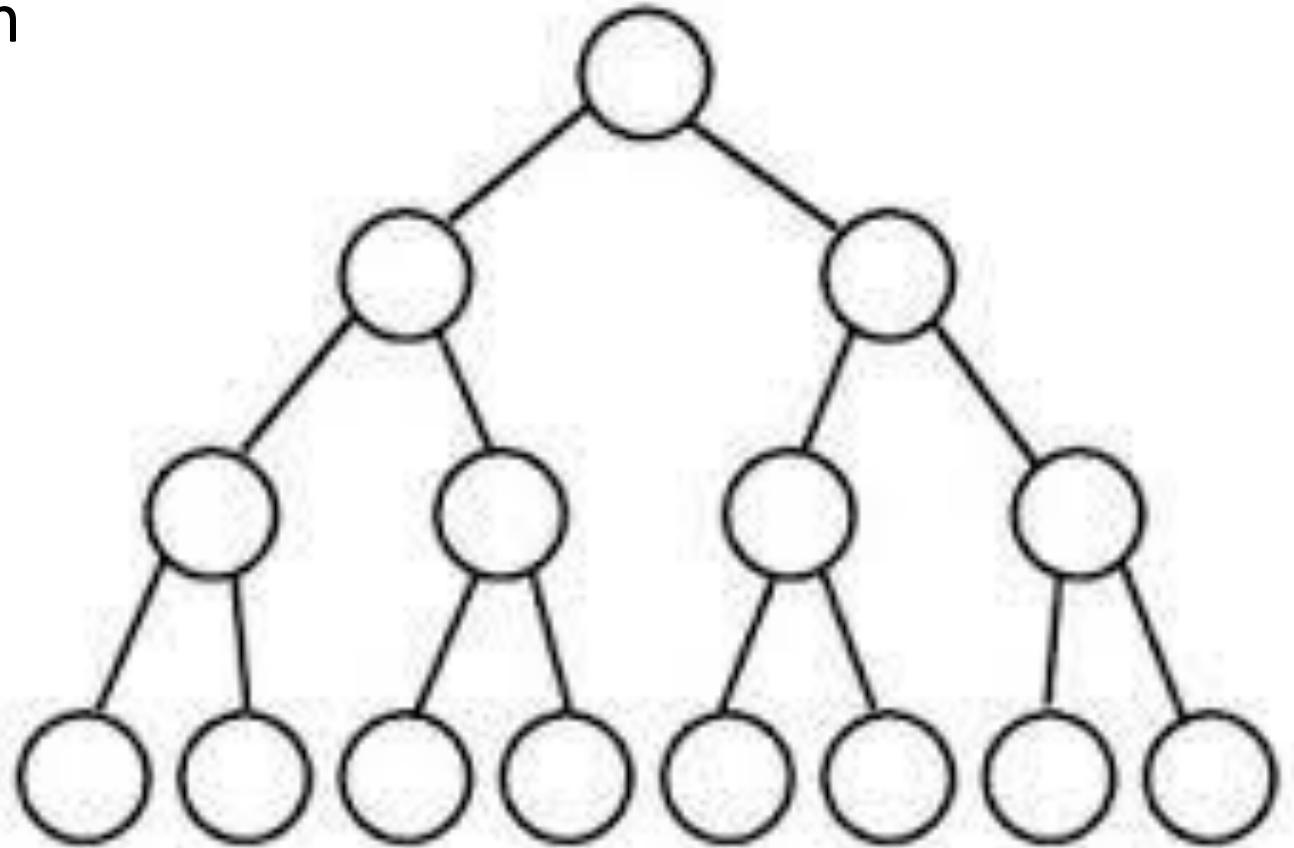


Figure 4.29 A randomly generated binary search tree

- Example: Binary tree with $n = 15$ nodes
- Number of nodes doubles at each depth
- Therefore, max depth is $O(\log_2 n)$ and all BST operations are $O(\log n)$ (in average case)

Full Binary Tree



BST in C++ STL

- Used to implement the [set](#) and [map](#) ADTs

Set

Sets are containers that store unique elements following a specific order.

In a `set`, the value of an element also identifies it (the value is itself the **key**, of type `T`), and each value must be unique. The value of the elements in a `set` cannot be modified once in the container (the elements are always `const`), but they can be inserted or removed from the container.

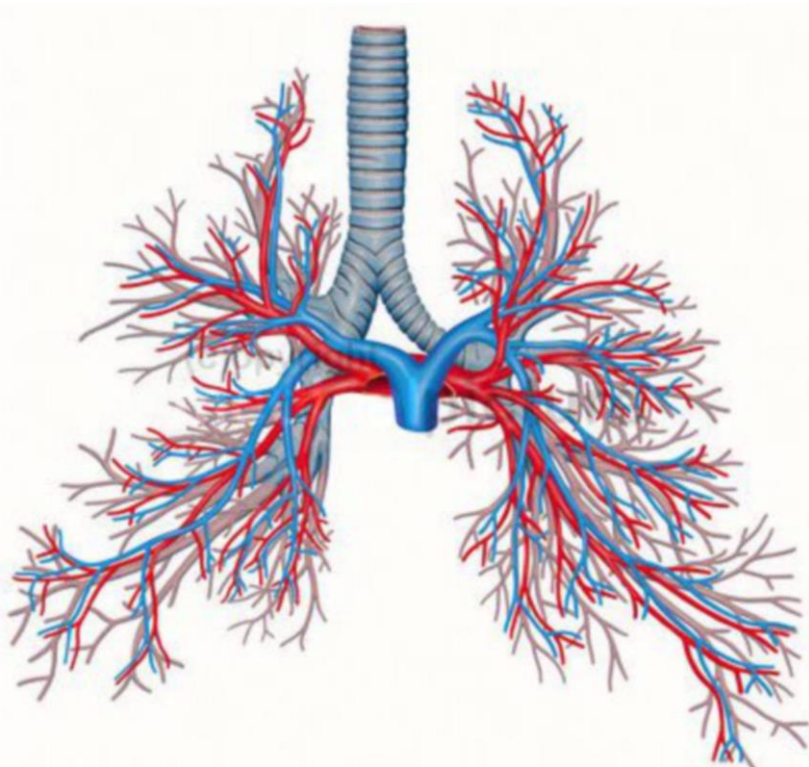
Map

Maps are associative containers that store elements formed by a combination of a **key value** and a **mapped value**, following a specific order.

In a `map`, the **key values** are generally used to sort and uniquely identify the elements, while the **mapped values** store the content associated to this **key**. The types of **key** and **mapped value** may differ, and are grouped together in member type `value_type`, which is a [pair](#) type combining both:

trees in nature

https://en.wikipedia.org/wiki/Fractal_canopy



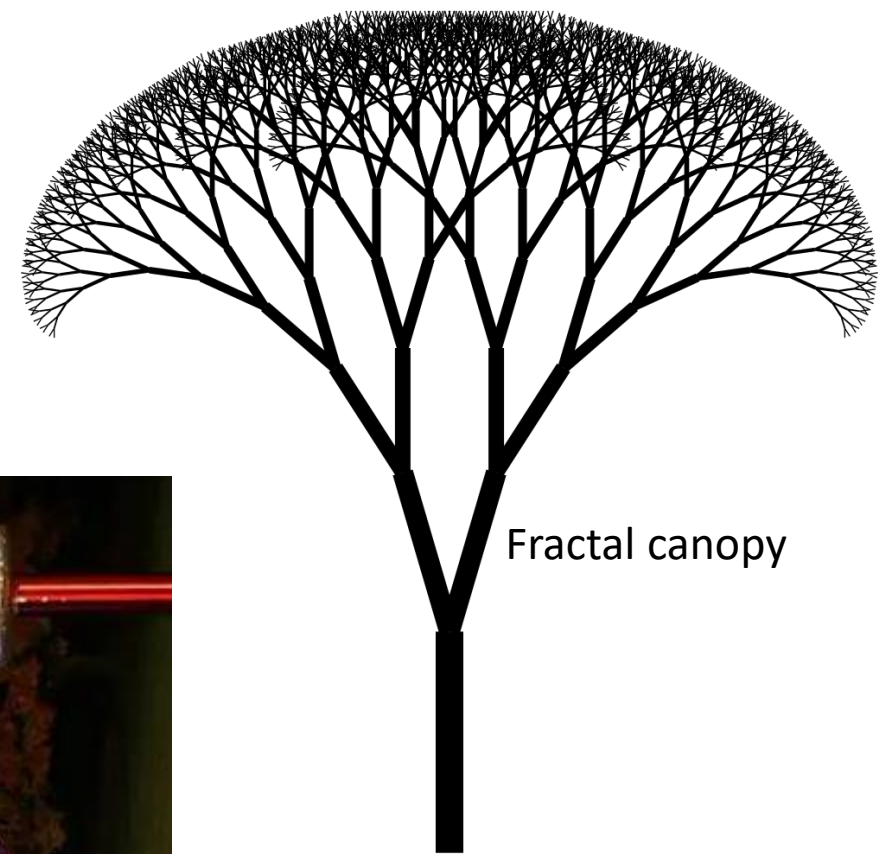
Pulmonary tree



tree



Electrical
breakdown



Fractal canopy



Viscous fingering
(Saffman-Taylor instability)