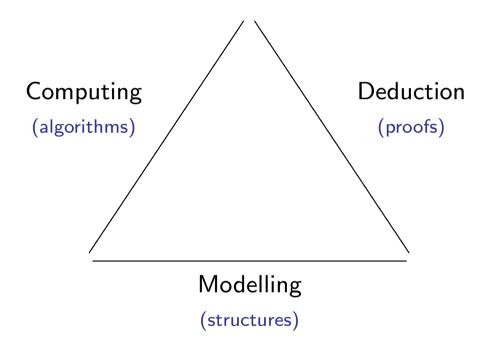
## The Impact of the Lambda Calculus

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Mathematical activity (stylised): modelling, computing, deduction



Solving equations (modern notation) by computing

Egyptian ax + b = 0

Babylonian  $ax^2 + bx + c = 0$ 

This belongs to arithmetic

Also *geometry* was computational: computing areas Using Pythagoras' theorem to construct right angles

One already knows some *structures*:

numbers: learned at age 1-3

geometry: we live in a locally Euclidean space

our brains are embedded in it

(Kant's a priori)

'There are infinitely many primes'  $\forall n \exists p > n.p$  is prime

Less emphasis on computing

Euclid could prove:

$$(x+y)^2 = x^2 + 2xy + y^2$$

but not

$$(x+y)^4 = x^4 + 4x^3y + 6x^2y^2 + 4xy^3 + y^4$$

And the Babylonians didn't have deductions

Thesis: Deduction

Antithesis: Computing

Synthesis: Archimede, al-Khôwarizmî combined proving and computing

THEOREM

- (i)  $3.14 < \pi < 3.15$
- (ii) 8976 × 968 = 8688768
- $(iii) \quad \lceil n \rceil \times_a \lceil m \rceil = \lceil n \times m \rceil$

Classical mathematics 4/24

Thesis: Newton's *Principia* is based on geometry (deduction)

'It stiffled British mathematics for two centuries'

Antithesis: Euler, using Leibniz's version of analysis

made many computational contributions

Synthesis: Newton partially combined computing and deduction ( $\pi$  in > 30 decimals)

Augustin-Louis Cauchy (1789-1857)

made precise 'dealing with arbitrarily small quantities'

providing an interface between computing and proving

Then mathematics bloomed as never before, leading to applications like

Maxwell's Equations, Relativity Theory and Quantum Physics

Robert Musil (In: Der Mann ohne Eigenschaften) about mathematics:

Die Genauigkeit, Kraft und Sicherheit dieses Denkens, die nirgends im Leben ihresgleichen hat, erfüllte ihn fast mit Schwermut The precision, force and certainty of this thinking, unequaled in life, almost filled him with melancholy Also in the 19-th century the need of structures came up

Solving (using root-expressions)

$$ax^3 + bx^2 + cx + d = 0$$
 (del Ferro, Tartaglia)

$$ax^4 + bx^3 + cx^2 + dx + e = 0$$
 (Descartes)

Polynomials of degree 5 could not be solved similarly (Abel)

Galois made it clear when solutions of polynomials can be expressed as roots using group theory

Non-Euclidean geometry was invented

. . . .

The wealth of mathematical structures started to be studied with help of deduction and computation

Concepts (structures like groups) became multi-interpretable

Systems for

deduction Aristotle, Leibniz, Boole, Peirce, Frege [1879] (predicate logic)

modelling Cantor, Zermelo-Fraenkel [1922] (set theory)

Whitehead-Russell, de Bruijn, Girard, Coquand-Huet (type theory)

Eilenberg-MacLane, ..., (category theory)

computing Thue, Hilbert, Skolem, Herbrand, Kleene,

Church, Turing [1936] (computability)

Alonzo Church 7/24



Church (1903-1995) Studying mathematics at Princeton 1922 or 1924

Supervisor Oswald Veblen

Suggested topic find an algorithm for the genus

of a manifold  $\{\vec{x} \in K^n \mid p(\vec{x}) = 0\}$ 

(e.g.  $K = \mathbb{R}$ , n = 3)









Church could not do it Started to wonder what computability is after all Invented lambda calculus Formulated Church's Thesis:

Given a function  $f: \mathbb{N}^k \to \mathbb{N}$ 

Then f is computable iff f is lambda definable

Church tried to use 'functions' to capture both computing and deduction Lambda calculus terms ( $\lambda$ -terms)

```
term ::= var | term term | \lambdavar term var ::= x | var'
```

Lambda calculus axiom (computational)

$$(\lambda x.M)N =_{\beta} M[x:=N]$$

For example  $(\lambda x.x^2 + 1)3 = 3^2 + 1 (= 10)$ .

Lambda calculus axiom (deductional);  $\Gamma$  is a set of terms,  $\supset$  is a new constant

$$\frac{\Gamma \vdash (A \supset B) \quad \Gamma \vdash A}{\Gamma \vdash B} \qquad \frac{\Gamma, A \vdash B}{\Gamma \vdash (A \supset B)}$$
 
$$\frac{\Gamma \vdash A \quad A = B}{\Gamma \vdash B}$$

Proposition. There exist D, B such that

$$\begin{array}{ll} \mathsf{D} x = xx & \mathsf{take} \ \mathsf{D} = \lambda x. xx = \lambda x(xx) \\ \mathsf{B} f g x = f(gx) & \mathsf{take} \ \mathsf{B} = \lambda f gx. f(gx) = \lambda f(\lambda g(\lambda x(f(gx)))) \end{array}$$

Fixed point theorem. For every F there exists an X such that FX = X

Proof. Take W = (BFD) and X = DW. Then

$$X = DW = WW = BFDW = F(DW) = FX$$

Inconsistency 10/24

Kleene and Rosser showed the system was inconsistent

Curry simplified the proof

Proposition. Any term is provable.

Proof. (Curry's paradox) Given A, let  $X = (X \supset A)$ , by the fixed point theorem. Now

$$\begin{array}{c|cccc}
X \vdash X & X \vdash X \\
\hline
X \vdash X \supset A & X \vdash X \\
\hline
X \vdash A \\
\hline
\vdash X \supset A \\
\hline
\vdash X
\hline
\vdash A
\end{array}$$

Consistent part 11/24

Proposition. Without the deductive part the system is consistent

Lambda terms can express:

- Computations

   on numbers
   on data types

   functional programming

   languages like ML, Haskell, Clean
- Infinite processes

Reason for its power:

'meaning/notational-complexity' arbitrarily high, with an easy error-correcting interface (typed application)

## Church's numerals

There are terms  $A_+, A_\times$  satisfying

$$A_{+} \lceil n \rceil \lceil m \rceil =_{\beta} \lceil n + m \rceil$$
$$A_{\times} \lceil n \rceil \lceil m \rceil =_{\beta} \lceil n \cdot m \rceil$$

Take

$$A_{+} \triangleq \lambda nm\lambda fx.nf(mfx)$$
 then  $A_{+}nm = \lambda fx.nf(mfx)$   
 $A_{\times} \triangleq \lambda nm\lambda fx.m(\lambda y.nfy)x$   $A_{\times}nm = \lambda fx.m(\lambda y.nfy)x$ 

Then

$$A_{+} \lceil n \rceil \lceil m \rceil = \lambda f x \cdot \lceil n \rceil f(\lceil m \rceil f x) = \lambda f x \cdot f^{n}(f^{m} x) = \lambda f x \cdot f^{n+m} x = \mathbf{c}_{n+m}$$

A function  $f: \mathbb{N}^k \to \mathbb{N}$  is  $\lambda$ -definable

if there exists a lambda term F such that for all  $\vec{n} \in \mathbb{N}^{|k|}$ 

$$F\mathbf{c}_{n_1}\cdots\mathbf{c}_{n_k}=\mathbf{c}_{f(\vec{n})}$$

The function  $p^-$  predecessor is defined by  $p^-(0)=0,\ p^-(n+1)=n$  and is  $\lambda$ -defined via

$$P^- \triangleq (\lambda n.nT[\mathbf{c}_0, \mathbf{c}_0]snd),$$

where

$$[M, N] \triangleq \lambda z.zMN$$

$$fst \triangleq \lambda ab.a \qquad [M, N]fst = M$$

$$snd \triangleq \lambda ab.b \qquad [M, N]snd = N$$

$$T \triangleq \lambda p.[S^{+}(pfst), pfst] \qquad T[\mathbf{c}_{n}, \mathbf{c}_{m}] = [\mathbf{c}_{n+1}, \mathbf{c}_{n}]$$

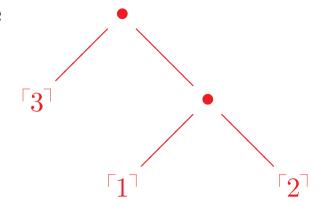
$$T^{n}[\mathbf{c}_{0}, \mathbf{c}_{0}] = [\mathbf{c}_{n}, \mathbf{c}_{p^{-}(n)}]$$

$$S^{+} \triangleq \lambda abc.b(abc) \qquad S^{+}\mathbf{c}_{n} = \mathbf{c}_{n+1}$$

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Data types 14/24

A tree like



becomes  $\lambda bl.b (l \lceil 3 \rceil) (b (l \lceil 1 \rceil) (l \lceil 2 \rceil))$ 

A function that mirrors trees is represented as mirror  $t = \lambda bl.t(\lambda xy.byx)l$ :

$$\operatorname{mirror}(\lambda b l.b \, (l^{\lceil}3^{\rceil})(b \, (l^{\lceil}1^{\rceil}) \, (l^{\lceil}2^{\rceil}))) = \lambda b.b \, (b \, (l^{\lceil}2^{\rceil}) \, (l^{\lceil}1^{\rceil})) \, (l^{\lceil}3^{\rceil})$$

A higher order function 'map' distributing an f over leafs of the tree map  $t = \lambda b l.tb(l \circ f)$ , where  $(l \circ f) x = l(fx)$ . Then

$$\mathtt{map}(\mathtt{square})(\lambda b.b\,(b\,(l^{\lceil}2^{\rceil})\,(l^{\lceil}1^{\rceil}))\,(l^{\lceil}3^{\rceil})) = \lambda b.b\,(b\,(l^{\lceil}4^{\rceil})\,(l^{\lceil}1^{\rceil}))\,(l^{\lceil}9^{\rceil}),$$

where square =  $\lambda x.A_{\times}xx$ 

Processes 15/24

```
computations \sim termination processes \sim continuation
```

Simplest continuation

Let  $\Delta = \lambda x.xx$ . Then

$$\Delta \Delta = (\lambda x. xx) \Delta$$
$$= \Delta \Delta$$

This can be done in more interesting ways

Given  $C(\vec{x}, f) = \dots \vec{x} \dots f \dots$ , there is a term F such that (general recursion)

$$F\vec{x} = C(\vec{x}, F)$$

Take F = AA, with

$$A = \lambda f \vec{x}.C(\vec{x}, ff)$$

then

$$AA = \lambda \vec{x}.C(\vec{x}, AA)$$

SO

$$F\vec{x} = C(\vec{x}, F)$$

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Let  $\mathbb{A}$  be a set of symbols. Types over  $\mathbb{A}$ , notation  $\mathbb{T} = \mathbb{T}^{\mathbb{A}}$ :

$$T = A \mid T \rightarrow T$$

Type assignment

$$(\text{axiom}) \quad \Gamma \vdash x : A, \text{ if } (x:A) \in \Gamma$$

$$(\to E) \quad \frac{\Gamma \vdash M : (A \to B) \quad \Gamma \vdash N : A}{\Gamma \vdash (MN) : B} \quad (\to I) \quad \frac{\Gamma, x:A \vdash M : B}{\Gamma \vdash (\lambda x.M) : (A \to B)}$$

## **Examples**

```
 \begin{array}{lll} \vdash \mathbf{I} & : & (A {\rightarrow} A) \\ \vdash \mathbf{K} & : & (A {\rightarrow} B {\rightarrow} A) \\ \vdash \mathbf{S} & : & (A {\rightarrow} B {\rightarrow} C) {\rightarrow} (A {\rightarrow} B) {\rightarrow} (A {\rightarrow} C) \end{array} \right\} \text{ for all } A, B, C {\in} \mathbf{T} \Gamma
```

Theorem.  $\vdash M : A \Rightarrow M \in SN$  (typable terms are strongly normalizing)

Theorem. Type checking is decidable; type reconstruction is computable

Theorem.  $\vdash M:A \& M \twoheadrightarrow N \Rightarrow \vdash N:A$  (type checking only at compile time)



 $\mathsf{K} \triangleq \lambda xy.x : A \rightarrow B \rightarrow A$ 

 $\mathsf{S} \triangleq \lambda xyz.xz(yz) : (A \rightarrow B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow A \rightarrow C$ 

From these all closed lambda terms can be defined applicatively Also with types

Curry: "Hey, these are tautologies"  $\mapsto$  Curry-Howard correspondence

Introduction Rules	Elimination Rules	
$\Gamma, x:A \vdash M:B$	$\Gamma \vdash F : (A \rightarrow B)  \Gamma \vdash p : A$	
$\Gamma \vdash (\lambda x : A . M) : (A \to B)$	$\Gamma \vdash (Fp) : B$	
$\Gamma \vdash p : A  \Gamma \vdash q : B$	$\Gamma \vdash z : (A \& B)  \Gamma \vdash z : (A \& B)$	
$\Gamma \vdash \langle p, q \rangle : (A \& B)$	$\Gamma \vdash z.1 : A$ $\Gamma \vdash z.2 : B$	
$\Gamma \vdash p : A$ $\Gamma \vdash p : B$	$\Gamma \vdash p : (A \lor B)  \Gamma, x : A \vdash q : C  \Gamma, y : B \vdash r : C$	
$ \Gamma \vdash (\operatorname{in}_1 p) : (A \lor B)  \Gamma \vdash (\operatorname{in}_2 p) : (A \lor B) $	$\Gamma \vdash ([\lambda x : A.q, \lambda y : B.r]p) : C$	
Absurdum Rule	Classical Negation	
$\Gamma \vdash p : \bot$	$\Gamma, \neg A \vdash \bot$	
$\Gamma \vdash (abs_A \ p) : A$		

Intuitionistic/Classical Propositional Logic Natural Deduction Style

Red proofs as  $\lambda$ -terms

For example

$$\vdash \lambda xy.xyy: (A \rightarrow A \rightarrow B) \rightarrow (A \rightarrow B)$$

Proposition (Curry-Howard-de Bruijn)

$$\exists M \vdash_{\lambda} \to M : A \iff \vdash_{\mathrm{ML}} A,$$

where  $\vdash_{\mathrm{ML}}$  denotes probability in minimal propositional logic. The  $\lambda$ -term M is seen as formalized proof

Can be extended to systems ( $\lambda$ -cube) capturing most mathematics Proof-checking becomes type-checking (Coq) Curry: linguistics 20/24

Inspired by Ajdukiewicz (and indirectly by Leśniewski)

Curry gave types to syntactic categories

```
n noun/subject s sentence
          '<u>red</u> hat' (adjective)
                                                                               (n \rightarrow s) \rightarrow (n \rightarrow s)
                                                                                                                  adverbs
n\rightarrow n
                                                                               (n\rightarrow s)\rightarrow s
(n \rightarrow n) \rightarrow n 'red<u>ness</u>'
                                                                                                                  quantifiers
n\rightarrow (n\rightarrow n) '(John <u>and</u> Henry) are brothers'
n→s 'Mary sleeps'
n \rightarrow n \rightarrow s 'Mary <u>kisses</u> John'
         '<u>not</u>(Mary kisses John)'
s \rightarrow s
More complex cases
(n\rightarrow n)\rightarrow (n\rightarrow n)\rightarrow (n\rightarrow n)
                                                                               'slightly large'
((n\rightarrow n)\rightarrow (n\rightarrow n))\rightarrow (n\rightarrow n)\rightarrow (n\rightarrow n)
                                                                                'slightly too large'
```

Do not know whether Montague had seen this

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1900-2000

The computing and deduction traditions again diverged:

Computer Algebra systems versus Proof Checking systems

2000-2100

Certified Mathematics/Computer Science, will unify the two

Interface computation—deduction: two styles

$$\frac{\Gamma \vdash p : A(t)}{\Gamma \vdash p : A(s)}, \ t = s$$

$$\frac{\Gamma \vdash p : A(t) \quad \Gamma \vdash C : t = s}{\Gamma \vdash f(p,C) : A(s)}$$

Poincaré Principle (Coq)

Ephemeral proof-objects (HOL)

2+2=4 doesn't need a proof looong proofs are checked but not stored

Computing Proving Modeling  $\frac{\lambda_{-\text{term}}}{\lambda_{-\text{term}}} \cdot \frac{\lambda_{-\text{term}}}{\lambda_{-\text{term}}} \cdot$ 

 $\vdash \lambda \Gamma.M : \Pi \Gamma.A$ 

Case Studies 23/24

## Certifications

Fundamental Theorem of Algebra	Geuvers, Wiedijk, Zwanenburg,		
	Pollack and Niqui	Coq	
Fundamental Theorem of Calculus	Cruz-Filipe	Coq	
Correctness Buchberger's algorithm	Person, Théry	Coq	
Primality of	Oostdijk, Caprotti	Coq	
9026258083384996860449366072142307801963			
Correctness of Fast Fourier Transform	Capretta	Coq	
Book "Continuous lattices" (in part)	Bancerek et al.	Mizar	
Impossibility of trisecting angles	Harrison	HOL-light	
$\sum_{k=1}^{\infty} \frac{1}{k^2} = \frac{\pi^2}{6}$	Harrison	HOL-light	
Prime Number Theorem	Avigad, Harrison	Isabelle-HOL	
Four Colour Theorem	Gonthier	Coq	
Jordan Curve Theorem	Hales	HOL	
Primality of $>100$ digit numbers	Grégoire, Théry, Werner	Coq	
$\lambdaoldsymbol{eta}oldsymbol{\eta}SP$ conservative over $\lambdaoldsymbol{eta}oldsymbol{\eta}$	Stoevring	Twelve	
Proof of the Kepler conjecture	Hales [2014]	<b>HOL-light</b>	
ARM6 processor	Fox [2003]	HOL	
seL4 Operating system	Klein et al [2009]		
C-compiler	Leroy et al [2009]	Coq	

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Cambridge University Press, June 2013

Summary in  $\leq 20$  words of 698 pages

This handbook with exercises reveals in formalisms hitherto mainly used for designing and verifying software and hardware unexpected mathematical beauty 1. Let g, h be  $\lambda$ -definable functions Define

$$f(0, \vec{x}) = g(\vec{x})$$
  
$$f(n+1, \vec{x}) = h(n, \vec{x}, f(n, \vec{x}))$$

Show that f is  $\lambda$ -definable. [Hint. First find a term P such that

$$P\mathbf{c}_n\mathbf{c}_{\vec{m}} = [\mathbf{c}_{f(n,\vec{m})}, \mathbf{c}_n].]$$

2. Let g be  $\lambda$ -definable, such that  $\forall \vec{m} \exists n. g(n, \vec{m}) = 0$ . Define

$$f(\vec{m}) = \mu x. g(x, \vec{m}) = 0.$$

Show that f is  $\lambda$ -definable. [Hint. Use the fixed point theorem.]

- 3. Sketch a proof that all  $\lambda$ -definable functions are computable.
- 4. Conclude that the computable and  $\lambda$ -definable functions form the same class.

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