Projection variability of clausal complements across different operators

Lisa Hofmann¹ Marie-Catherine de Marneffe² Judith Tonhauser¹

²UC Lovain ¹University of Stuttgart



- ► Yes! Projection differs by entailment-cancelling operator
- ► By-operator effects differ by predicate (operator)/predicate interaction)
- Current theories of projective content do not predict our results

Projection of clausal complements

Do you infer that Rachel is committed to the truth of the content of the complement (CC), that Julian dances salsa?

- Rachel: 'Does Cole know that Julian dances salsa?'
 - ✓ Yes, CC projects out of the question
 - Rachel: 'Does Cole think that Julian dances salsa?' X No, CC does not project

Frege (1892); Strawson (1950); Kiparsky and Kiparsky (1970); Karttunen (1971); Karttunen and Peters (1979), and many more

Entailment-cancelling operators

Family-of-sentences test:

No mention of differences in projection between different operators

Polar question:

- **Does** Cole know that Julian dances salsa?
- Negation:
 - Cole doesn't know that Julian dances salsa.
- Epistemic modal:
 - Perhaps Cole knows that Julian dances salsa.
- Conditional antecedents:
- If Cole knows that Julian dances salsa, Logan will be joyful.

(e.g. Chierchia and McConnell-Ginet 1990; Coppock and Champollion 2020)

Hints at by-operator variation

Factive vs. semi-factive predicates (Karttunen 1971)

- Factives (be annoyed, regret, ...): CC projects across all four operators
- Semi-factives (discover, realize, see, notice, ...):
- CC projects across negation, but not always for the other operators

Experiment with English projective contents (Smith and Hall 2014)

- Projective content of epithets (e.g. idiot) and the CC of know: more projective under negation than conditionals
- Opposite pattern for appositive relative clauses and win

Experiment with German clause-embedding predicates

(Sieker and Solstad 2022)

- Higher projection ratings w/ negation than other three operators
- No by-predicate variation, no evidence for factive/semi-factive distinction

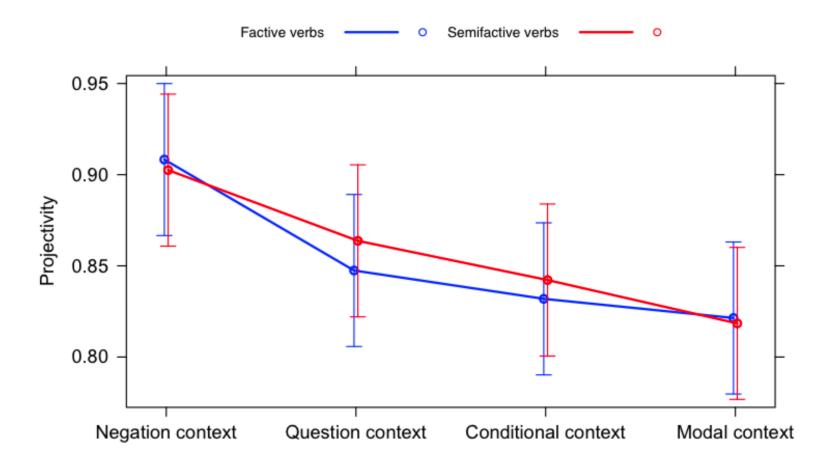


Figure 1. Sieker and Solstad 2022, p. 286: Projection-ratings by embedding operator, for

purported factive and semi-factive predicates

Certain-that task for projection inferences

Christopher: "Cole didn't discover that Julian dances salsa."

Is Christopher certain that Julian dances salsa?

yes

Tonhauser (2016); Djärv and Bacovcin (2017); Tonhauser et al. (2018); de Marneffe et al. (2019); Mahler (2020); Degen and Tonhauser (2022); Sieker and Solstad (2022)

Next

Variation among clause-embedding predicates

20 predicates that have shown projection variability in PQs (Degen and Tonhauser 2022)

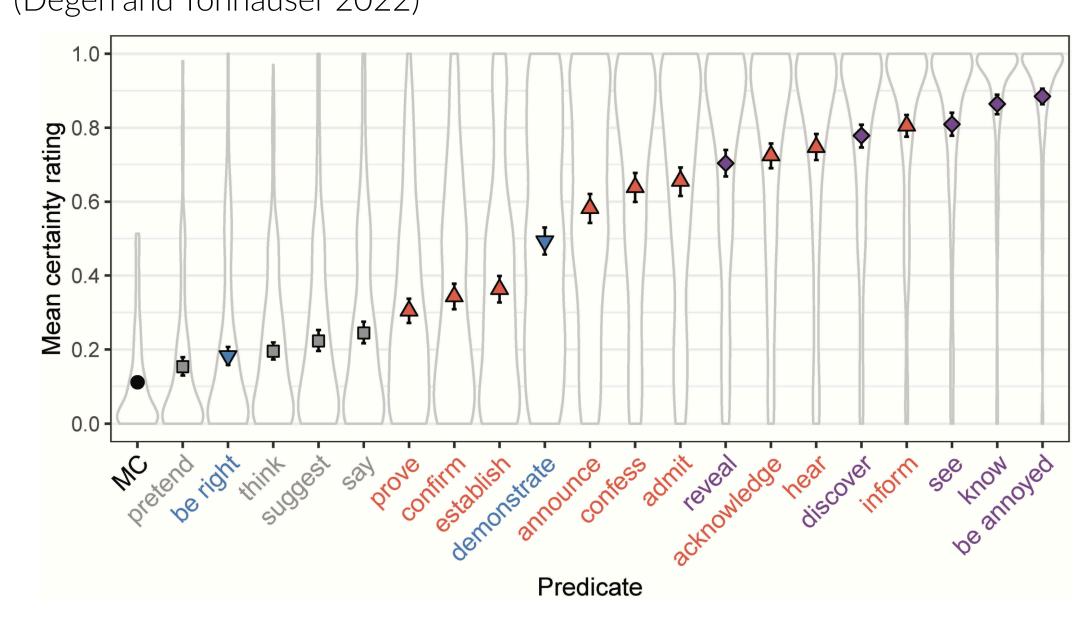


Figure 2. Degen and Tonhauser 2022, p. 562: Mean certainty ratings by predicate

Materials

To assess the effect of operator and predicate on projection:

4 experiments (roughly 750 participants each)

- One per operator: polar questions, negation, modal perhaps, conditional Participants saw:
- 20 clause embedding predicates
- Crossed with 20 CCs ($20 \times 20 = 400$ combinations)
- (6 controls for exclusion)

(Experiments also used different at-issueness measures in separate block, not analyzed here)

Effects of operator & predicate on projection

By-operator variation aggregating across predicates (Figure 3)

Conditional > Question > Negation, Modal

Model #1: Linear mixed effect regression response: **certainty ratings**; fixed effect: **operator** (base level: Question); random intercepts: participants, items; MLEs: question (intercept) 0.51, conditional +0.05, modal -0.04, negation -0.03; with all p < 0.001

- But small differences, as in Sieker & Solstad's (2022) study
- Sieker & Solstad's results for German: Negation > Question, Conditional, Modal

Effect of operator differs by predicate (Figure 4), e.g.

CC of [be annoyed]: Negation, Conditional > Question, Modal

Model #2: Linear mixed effect regression response: **certainty ratings**; fixed effects: **operator**, **predicate**, and interaction (base IvI: **be annoyed** / negation); random intercepts: participant; MLEs: negation (intercept) 0.87, conditional -0.12, modal -0.16; (p < 0.001); question +0.02 (n.s.)

CC of know: Question > Negation, Conditional > Modal

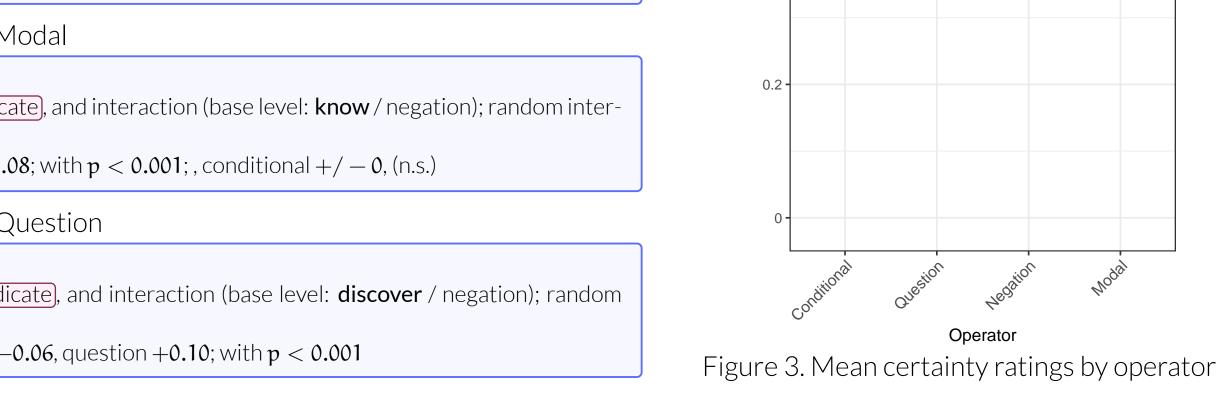
Model #3: Linear mixed effect regression response: certainty ratings; fixed effects: operator, predicate, and interaction (base level: know / negation); random intercepts: participant;

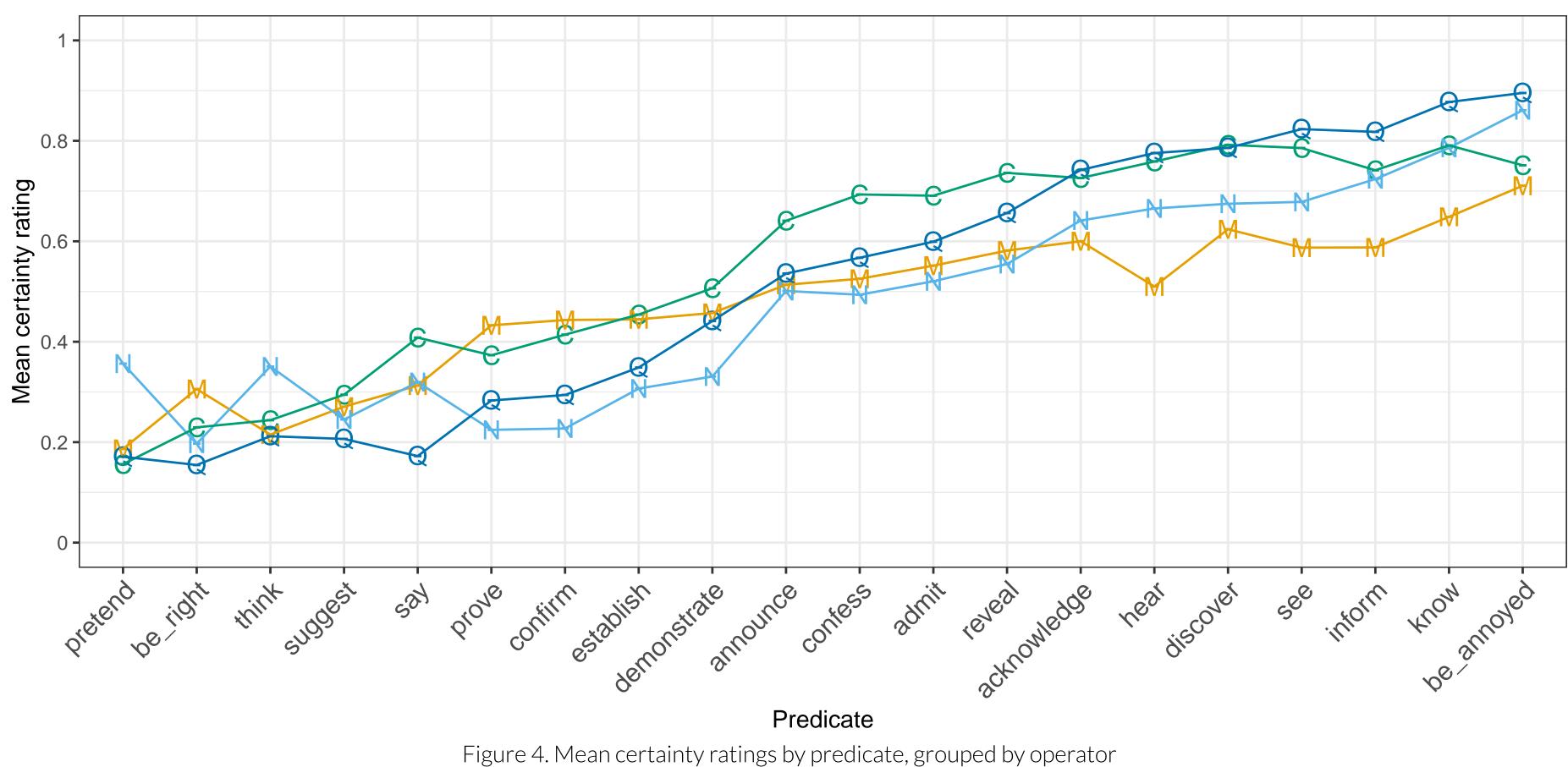
MLEs: negation (intercept) 0.79, modal -0.14, question +0.08; with p < 0.001; , conditional +/-0, (n.s.)

CC of discover: Modal > Negation > Conditional, Question

Model #4: Linear mixed effect regression response: **certainty ratings**; fixed effects: **operator**, **predicate**, and interaction (base level: **discover** / negation); random intercepts: participant;

MLEs: negation (intercept) 0.68, conditional +0.11, modal -0.06, question +0.10; with p < 0.001





Discussion — By-predicate variation in the effect of operator

- Concurs with Smith and Hall (2014), who found content/operator interactions for English projective contents
- Differs from Sieker and Solstad (2022): found no predicate/operator interaction for CCs of German clause-embedding predicates

No evidence for factive vs. semi-factive distinction (Karttunen 1971)

- CC of purported factive be annoyed does not invariably project across operators
- CC of purported semi-factives (discover, see) do not project more across negation than other operators

Provides support (from negation, modals, conditionals) for Degen & Tonhauser's (2022) result:

Projection does not categorically differentiate between (semi-)factive/-factive predicates

Existing theories of projection do not predict our results

(Heim 1992; van der Sandt 1992)

(Abrusán 2011; Simons et al. 2017)

(Schlenker 2021)

Dynamic accounts of projection: Lexical triggering + dynamic semantics

Distinguish factive and non-factive predicates:

• <u>factive</u> predicates (*be annoyed*, *regret*, ...): CC conventionally required to be contextually entailed in common ground

• non-factive predicates (believe, say, ...): no such requirement

Factive content projects globally, unless not admitted by common ground

Lexical entailments + discourse-based triggering

Distinguish veridical predicates (CC is entailed) from non-veridical ones:

veridical predicates (be right, demonstrate, ...): entailed CC projects if not at-issue

• non-veridical predicates (believe, say, ...): no predictions / CC projects if required by discourse coherence

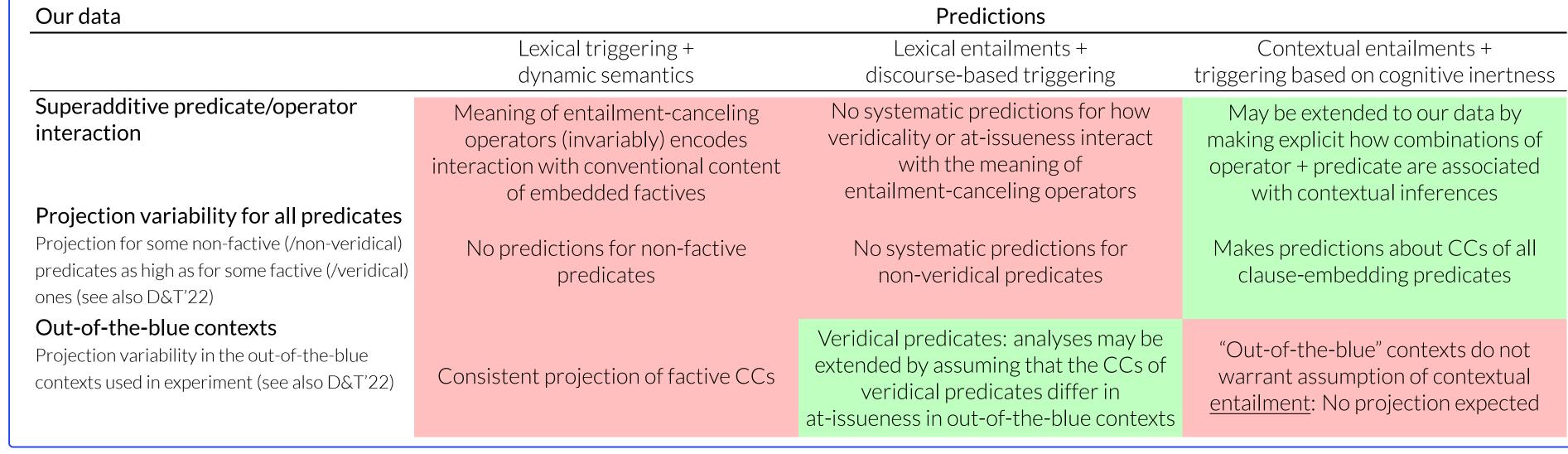
Contextual entailments + triggering based on cognitive inertness

Potential of projection for contents that are contextually entailed (given a context C and the utterance U), including inferences from: Lexically veridical predicates

'Distributed veridicality' contexts (Roberts 2019) Other sources of contextual inference

(Cole {was not wrong, can't believe} that Julian dances salsa.) ((Cole is honest + knowledgeable.) Cole said that Julian dances salsa.)

Contextually entailed CC projects if it is an epistemic precondition of \mathbf{U} in \mathbf{C} (it is typically/likely already known).



Theoretical implications

- Previous work: projection analyses need to consider the effect of lexical meaning (e.g. Kiparsky and Kiparsky 1970; Karttunen 1971, et. seq.), world knowledge (de Marneffe et al. 2012; Degen and Tonhauser 2021), and discourse structure (e.g. Simons et al. 2017; Tonhauser et al. 2018)
- Add to that the effect of various entailment-cancelling operators
- An analysis of projection needs to be able to address operator / predicate interaction effects.

Beaver, and J. Degen. How projective is projective content? Gradience in projectivity and at-issueness. JoS, 2018. • R.A. v.d.Sandt. Presupposition projection as anaphora resolution. JoS, 1992.

References

M. Abrusán. Predicting the presuppositions of soft triggers. L&P, 2011. • G. Chierchia and S. McConnell-Ginet. Meaning and grammar: An introduction to semantics. 1990. • E. Coppock and L. Champollion. Invitation to formal semantics. 2020. • M.-C. de Marneffe, M. Simons, and J. Tonhauser. The CommitmentBank: Investigating projection in naturally occurring discourse. SuB, 2019. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • J. C. D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? The Pragmatic Complexity of Veridicality Assessment. Comp. Ling., February 2012. • M.-C. de Marneffe, C.D. Manning, and C. Potts. Did It Happen? Did It Happ Degen and J. Tonhauser. Prior beliefs modulate projection. Open Mind, 2021. • J. Degen and J. Tonhauser. Are there factive predicates? An empirical investigation. Language, 2022. • K. Djärv and H.A. Bacovcin. Prosodic effects on factive presupposition projection. SALT, 2017. • T. Roberts. I can't believe it's not lexical: Deriving distributed veridicality. Semantics and Linguistic Theory, SALT, 2019. • G. Frege. Über Sinn und Bedeutung. Zeitschrift für Philosophie und philosophische Kritik, 1892. • I. Heim. Presupposition projection and the semantics of attitude verbs. JoS, 1992. • L. Karttunen. Some observations on factivity. Research on Language & Social Interaction, 1971. • L. Karttunen and S. Peters. Conventional implicature. In Choon-Kyu Oh and David A. Dinneen, eds., Presuppositions, Syntax and Semantics, 1979. • P. Kiparsky and C. Kiparsky. Fact. In Manfred Bierwisch and Karl Erich Heidolph, eds., Progress in Linguistics, 1970. • T. Mahler. The social component of projection behavior of clausal complements. LSA, 2020. • P. Schlenker. Triggering presuppositions. Glossa, 2021. • J. Sieker and T. Solstad. Projective variability of (semi) factive verbs in family of sentence contexts: A rating study. AC 23, 2022. • M. Simons, D. Beaver, C. Roberts, and J. Tonhauser. The best question: Explaining the projection behavior of factives. Discourse processes, 2017. • E.A. Smith and K.C. Hall. The relationship between projection and embedding environment. CLS 48. 2014. • P.F. Strawson. On referring. Mind, 1950. • J. Tonhauser. Prosodic cues to presupposition projection. In SALT, 2016. • J. Tonhauser, D.I.