

# Projection variability of clausal complements across different operators

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## Does the projection of content differ across entailment-cancelling environments?

- ▶ **Yes!** Projection differs by entailment-cancelling **operator**
- ▶ By-operator effects differ by predicate (**operator/predicate** interaction)
- ▶ Current theories of **projective content** do not predict our results

### Projection of clausal complements

Do you infer that Rachel is committed to the truth of the *content of the complement* (CC), that **Julian dances salsa**?

- (1)
- Rachel: 'Does Cole **know** that **Julian dances salsa**?'  
✓ Yes, CC projects out of the question
  - Rachel: 'Does Cole **think** that **Julian dances salsa**?'  
✗ No, CC does not project

Frege (1892); Strawson (1950); Kiparsky and Kiparsky (1970); Karttunen (1971); Karttunen and Peters (1979), and many more

### Entailment-cancelling operators

#### Family-of-sentences test:

No mention of differences in projection between different **operators**

- (2)
- Polar question:  
**Does** Cole **know** that **Julian dances salsa**?
  - Negation:  
Cole **doesn't** **know** that **Julian dances salsa**.
  - Epistemic modal:  
**Perhaps** Cole **knows** that **Julian dances salsa**.
  - Conditional antecedents:  
**If** Cole **knows** that **Julian dances salsa**, Logan will be joyful.

(e.g. Chierchia and McConnell-Ginet 1990; Coppock and Champollion 2020)

### Hints at by-operator variation

#### Factive vs. semi-factive predicates (Karttunen 1971)

- Factives (*be annoyed, regret, ...*): CC projects across all four operators
- Semi-factives (*discover, realize, see, notice, ...*):  
CC projects across negation, but not always for the other operators

#### Experiment with English projective contents (Smith and Hall 2014)

- Projective content of epithets (e.g. *idiot*) and the CC of *know*:  
more projective under negation than conditionals
- Opposite pattern for appositive relative clauses and *win*

#### Experiment with German clause-embedding predicates (Sieker and Solstad 2022)

- Higher projection ratings w/ negation than other three operators
- No by-predicate variation, no evidence for factive/semi-factive distinction

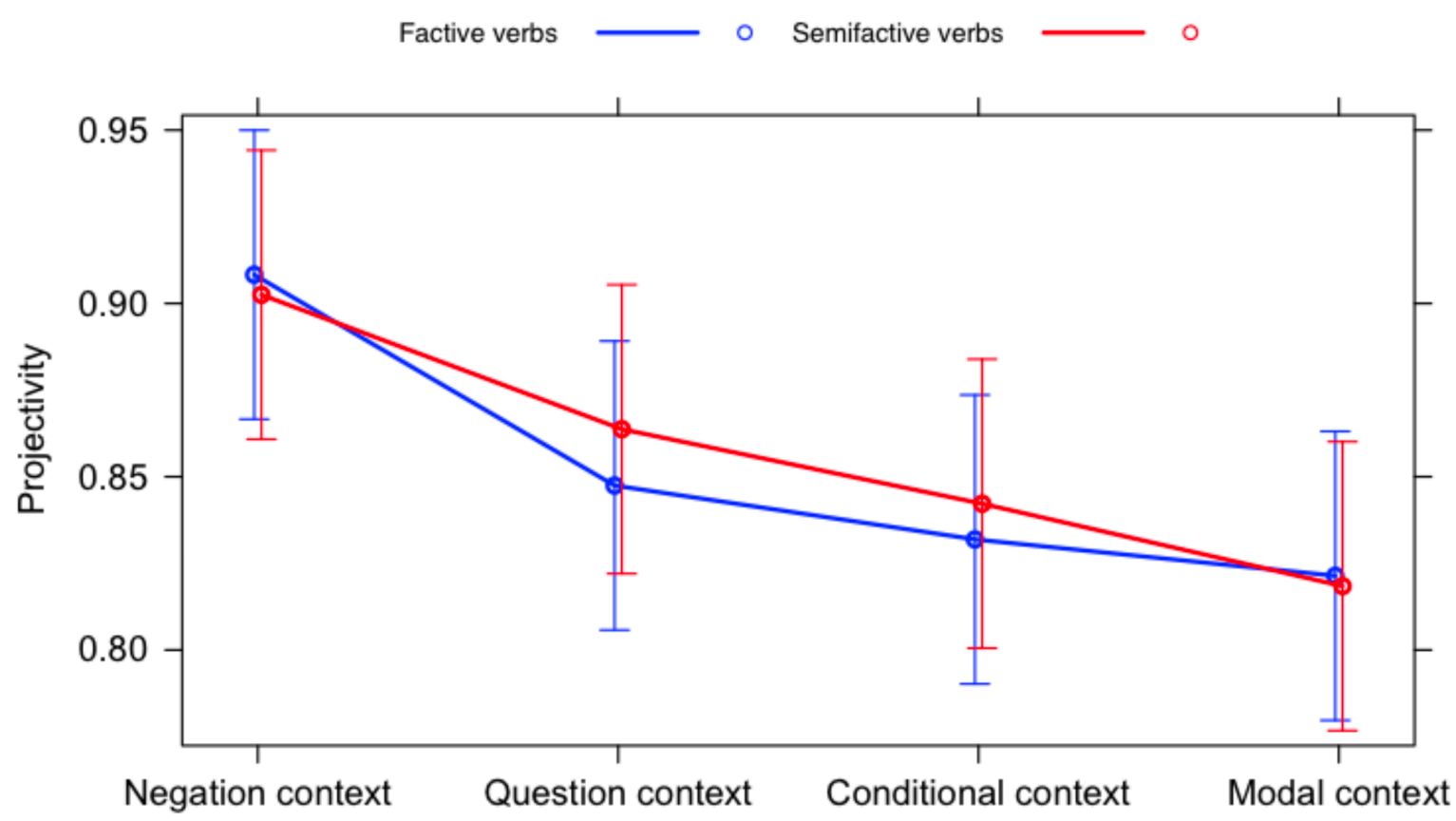


Figure 1. Sieker and Solstad 2022, p. 286: Projection-ratings by embedding operator, for purported factive and semi-factive predicates

### Certain-that task for projection inferences

**Christopher:** "Cole didn't discover that Julian dances salsa."

Is Christopher certain that Julian dances salsa?

no

yes

Next

Tonhauser (2016); Djärv and Bacovcin (2017); Tonhauser et al. (2018); de Marneffe et al. (2019); Mahler (2020); Degen and Tonhauser (2022); Sieker and Solstad (2022)

### Variation among clause-embedding predicates

20 **predicates** that have shown projection variability in PQs (Degen and Tonhauser 2022)

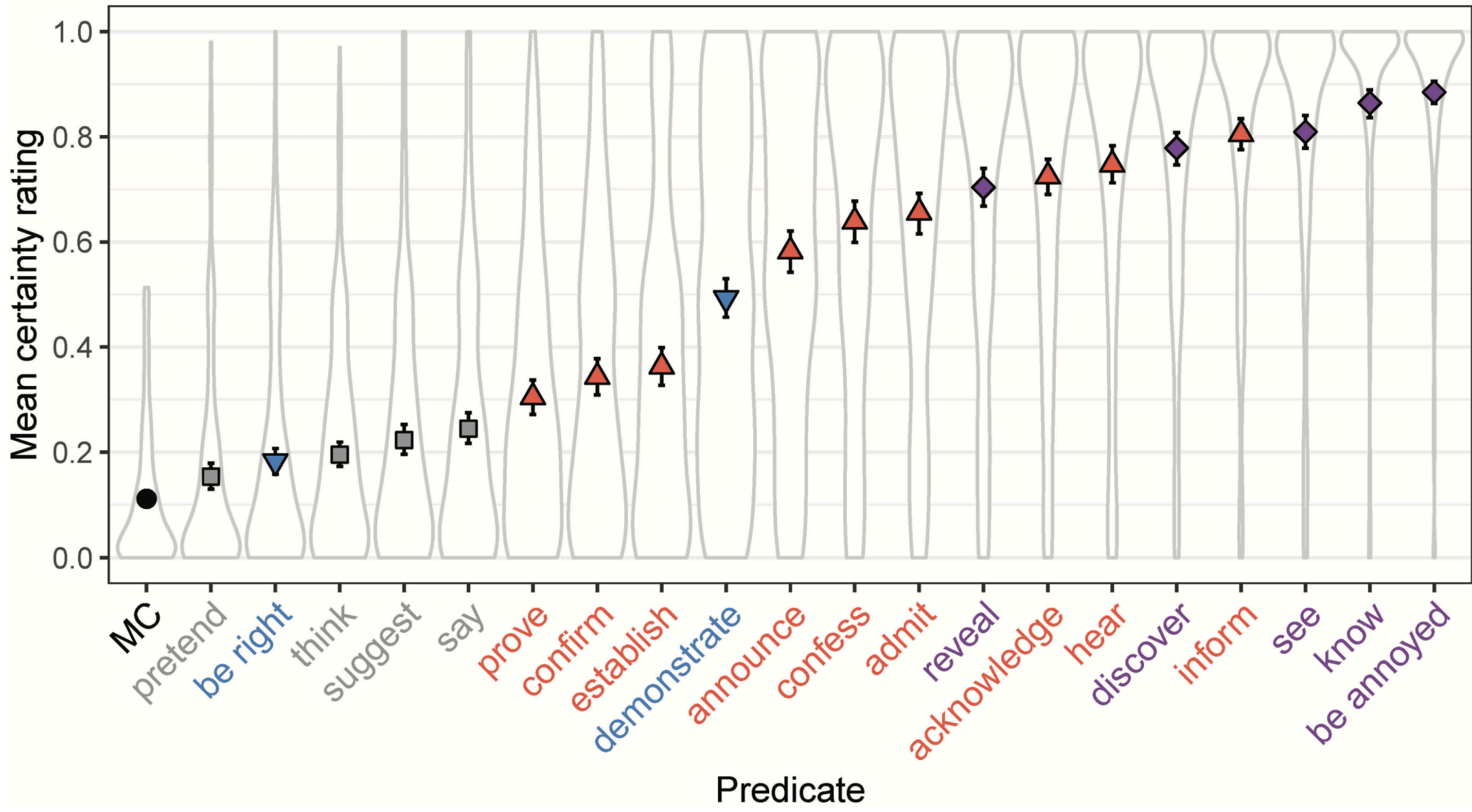


Figure 2. Degen and Tonhauser 2022, p. 562: Mean certainty ratings by predicate

### Materials

To assess the effect of **operator** and **predicate** on **projection**:

**4 experiments** (roughly 750 participants each)

- One per **operator**: polar questions, negation, modal *perhaps*, conditional

Participants saw:

- **20 clause embedding predicates**
  - Crossed with 20 CCs (20 × 20 = 400 combinations)
- (6 controls for exclusion)

(Experiments also used different at-issueness measures in separate block, not analyzed here)

### Effects of operator & predicate on projection

#### By-operator variation aggregating across predicates (Figure 3)

- Conditional > Question > Negation, Modal

##### Model #1: Linear mixed effect regression

response: **certainty ratings**; fixed effect: **operator** (base level: Question); random intercepts: participants, items; MLEs: question (intercept) **0.51**, conditional +0.05, modal −0.04, negation −0.03; with all  $p < 0.001$

- But small differences, as in Sieker & Solstad's (2022) study

- Sieker & Solstad's results for German: Negation > Question, Conditional, Modal

#### Effect of operator differs by predicate (Figure 4), e.g.

- CC of **be annoyed**: Negation, Conditional > Question, Modal

##### Model #2: Linear mixed effect regression

response: **certainty ratings**; fixed effects: **operator**, **predicate**, and interaction (base lvl: **be annoyed** / negation); random intercepts: participant; MLEs: negation (intercept) **0.87**, conditional −0.12, modal −0.16; ( $p < 0.001$ ); question +0.02 (n.s.)

- CC of **know**: Question > Negation, Conditional > Modal

##### Model #3: Linear mixed effect regression

response: **certainty ratings**; fixed effects: **operator**, **predicate**, and interaction (base level: **know** / negation); random intercepts: participant; MLEs: negation (intercept) **0.79**, modal −0.14, question +0.08; with  $p < 0.001$ ; conditional +/− 0 (n.s.)

- CC of **discover**: Modal > Negation > Conditional, Question

##### Model #4: Linear mixed effect regression

response: **certainty ratings**; fixed effects: **operator**, **predicate**, and interaction (base level: **discover** / negation); random intercepts: participant; MLEs: negation (intercept) **0.68**, conditional +0.11, modal −0.06, question +0.10; with  $p < 0.001$

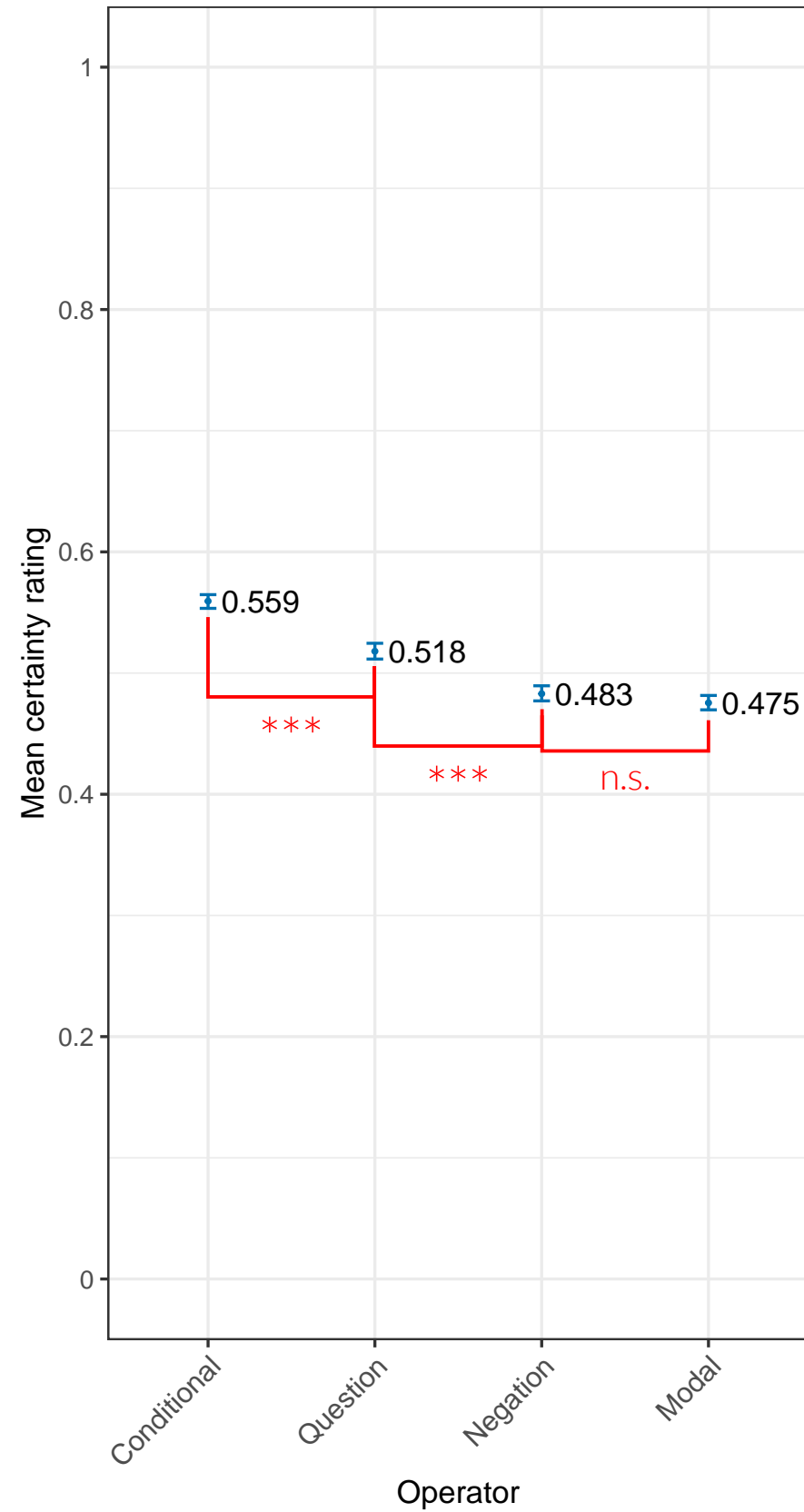


Figure 3. Mean certainty ratings by operator

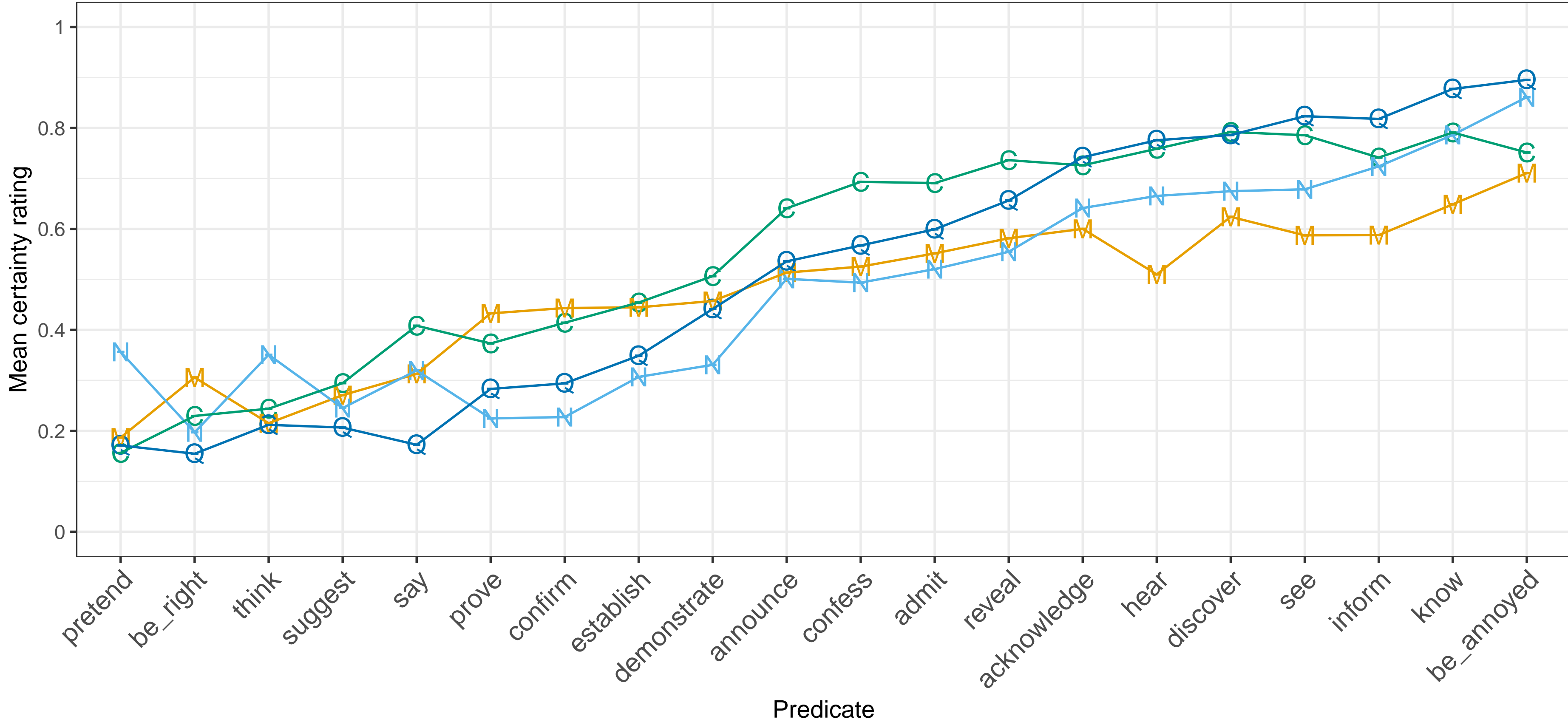


Figure 4. Mean certainty ratings by predicate, grouped by operator

### Discussion — By-predicate variation in the effect of operator

- Concurs with Smith and Hall (2014), who found content/operator interactions for English projective contents
- Differs from Sieker and Solstad (2022): found no predicate/operator interaction for CCs of German clause-embedding predicates

#### No evidence for factive vs. semi-factive distinction (Karttunen 1971)

- CC of purported factive *be annoyed* does not invariably project across operators
- CC of purported semi-factives (*discover, see*) do not project more across negation than other operators

#### Provides support (from negation, modals, conditionals) for Degen & Tonhauser's (2022) result:

- Projection does not categorically differentiate between (semi-)factive/-factive predicates

### Existing theories of projection do not predict our results

#### Dynamic accounts of projection: Lexical triggering + dynamic semantics

(Heim 1983; van der Sandt 1992)

Distinguish factive and non-factive predicates:

- **factive** predicates (*be annoyed, regret, ...*): CC conventionally required to be contextually entailed in common ground
- **non-factive** predicates (*believe, say, ...*): no such requirement

Factive content projects globally, unless not admitted by common ground

#### Lexical entailments + discourse-based triggering

(Abrusán 2011; Simons et al. 2017)

Distinguish veridical predicates (CC is entailed) from non-veridical ones:

- **veridical** predicates (*be right, demonstrate, ...*): entailed CC projects if not at-issue
- **non-veridical** predicates (*believe, say, ...*): no predictions / CC projects if required by discourse coherence

#### Contextual entailments + triggering based on cognitive inertness

(Schlenker 2021)

Potential of projection for contents that are contextually entailed (given a context C and the utterance U), including inferences from:

- Lexically veridical predicates
- 'Distributed veridicality' contexts (Roberts 2019)
- Other sources of contextual inference

(Cole {was not wrong, can't believe} that Julian dances salsa.)

((Cole is honest + knowledgeable.) Cole said that Julian dances salsa.)

Contextually entailed CC projects if it is an epistemic precondition of U in C (it is typically/likely already known).

Our data	Predictions		
	Lexical triggering + dynamic semantics	Lexical entailments + discourse-based triggering	Contextual entailments + triggering based on cognitive inertness
<b>Superadditive predicate/operator interaction</b>	Meaning of entailment-cancelling operators (invariably) encodes interaction with conventional content of embedded factives	No systematic predictions for how veridicality or at-issueness interact with the meaning of entailment-cancelling operators	May be extended to our data by making explicit how combinations of operator + predicate are associated with contextual inferences
<b>Projection variability for all predicates</b> Projection for some non-factive (/non-veridical) predicates as high as for some factive (/veridical) ones (see also D&T'22)	No predictions for non-factive predicates	No systematic predictions for non-veridical predicates	Makes predictions about CCs of all clause-embedding predicates
<b>Out-of-the-blue contexts</b> Projection variability in the out-of-the-blue contexts used in experiment (see also D&T'22)	Consistent projection of factive CCs	Veridical predicates: analyses may be extended by assuming that the CCs of veridical predicates differ in at-issueness in out-of-the-blue contexts	"Out-of-the-blue" contexts do not warrant assumption of contextual entailment: No projection expected

### Theoretical implications

- Previous work: projection analyses need to consider the effect of **lexical meaning** (e.g. Kiparsky and Kiparsky 1970; Karttunen 1971, et. seq.), **world knowledge** (de Marneffe et al. 2012; Degen and Tonhauser 2021), and **discourse structure** (e.g. Simons et al. 2017; Tonhauser et al. 2018)
- Add to that the effect of various **entailment-cancelling operators**
- An analysis of projection needs to be able to address **operator** / **predicate** interaction effects.

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