

DYNAMIC FORMATION CONTROL WITH HETEROGENOUS MOBILE  
ROBOTS

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## **DYNAMIC FORMATION CONTROL WITH HETEROGENOUS MOBILE ROBOTS**

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## **ABSTRACT**

### **DYNAMIC FORMATION CONTROL WITH HETEROGENOUS MOBILE ROBOTS**

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Formation control in robotics is a growing topic where research works are mainly geared towards heterogenous swarm colonies under either decentralized control or limited centralization. Swarm robotics where decentralization is applied, nevertheless assume that the agents are capable of getting global information about the whole swarm. Moreover in the literature, formation control is generally done for known fixed shapes that can be defined mathematically. However no dynamically changing shapes are envisaged and no shape transitions are clearly handled in those works. We attempt to bring a clear impact to the literature by focusing our thesis work on tracking and realising formation shapes under dynamically changing formation shape demands. Furthermore, in our thesis work, we focus on robot colonies composed of heterogeneous robots of different dynamics and sensory capabilities under decentralized dynamically changing formation control. These robots are able furthermore, to just possess local mutual interactions only with their closeby neighboring agents. In our approach communications of each agent with its neighbors converges to xxxx about the whole colony based on graph theory. Simulations in our work are generated using the Gazebo environment modeling a rough territory. Hardware applications of our approach are also developed.

Keywords: Multi Agent Systems, Formation Control, Localization, Mesh Network

## ÖZ

### HETEROJEN ROBOTLARLA DİNAMİK FORMASYON KONTROLÜ

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Formasyon kontrolü robotik alanında heterojen robot kolonilerinin merkezi yönetici birimler olmadan ya da yerel merkezi birimleri barındıran topolojilerle kontrolü konularına yönelik büyütür bir araştırma alanıdır. Merkezi yönetim birimlerinin olmadığı robot sürüsü çalışmalarında ne yazık ki her üyenin, koloniye mensup diğer üyelerin tüm verilerine erişebildiği varsayımlı yapılmaktadır. Öte yandan, literatürde formasyon kontrolü genellikle matematiksel olarak ifade edilebilen basit geometrik şekillerle yapılmaktadır. Bununla birlikte bu çalışmalarında, dinamik olarak değişen şekiller ve bu şekiller arasında formasyon geçişleri konusu yeterli olarak ele alınmamaktadır. Bu çalışma kapsamında dinamik olarak değişen şekiller için formasyon kontrolü sağlayarak literatüre katkıda bulunmayı hedefliyoruz. Öte yandan bu tez çalışmamızda, farklı dinamiklere ve sensör yetkinliklerine sahip heterojen robot kolonileri kullanarak dinamik formasyon kontrolü problemini merkezi karar verici birimlerin olmadığı bir topolojide ele alacağız. Çalışma kapsamında ele alınan kolonilerdeki tüm robotlar yalnızca kendilerine en yakın komşu üyelerle yerel etkileşimlerde bulunabileceklerdir. Komşularıyla etkileşimde bulunan üyeleri, konsensus koordinat sistemi ve koloninin geri kalanı hakkında bilgi sahibi olabileceklerdir. Çalışmamız kapsamında simülasyonlar Gazebo ortamında üç boyutlu düzgün olmayan araziler modellenerek yapılacaktır. Ayrıca donanımsal gerçeklemeler içeren çalışmalar da yapılacaktır.

Anahtar Kelimeler: Çok Elemanlı Sistemler, Formasyon Kontrolü, Konumlama, Örgün Ağlar

*To my family and people who are reading this page*

## **ACKNOWLEDGMENTS**

TODO

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## **LIST OF ABBREVIATIONS**

ABBRV	Abbreviation

[17] [18] [19] [20] [21] [22] [23] [9] [24] [25] [?] [26] [27] [?] [3] [28] [10] [11]  
[29] [1] [30] [31] [32] [12] [13] [14] [15] [?]



# **CHAPTER 1**

## **INTRODUCTION**

This thesis work focuses on dynamic adaptation to achieve changes in formation of swarms consisting of heterogenous mobile robots. The term of swarm has a meaning [20], a large group of locally interacting individuals with common goals.

Self organizing swarm researches and its applications are generally inspired from the biological systems existing in the nature. Behaviours of complex biological systems remained unresolved for a long time, until recent researches that showed individuals with supple functionalities can achieve complex tasks by cooperation [18]. Biological systems (such as colonies of ants) have simple behaviours but they can accomplish very complicated collective tasks in nature quite impossible to be achieved solely by individual capabilities. Beni [17] describes this collaboration of members as follows:

Collaborative robot network is not just a agglomeration of robots, they have essential characteristics, which are found in swarms of insects, that is, decentralised control, which does not require synchronisation, and individuals which are simple and (quasi) identical.

Properties of swarm robotics systems that make them unique are the simplicity of individuals, restricted sensing and communication capabilities, collaborative achievement of complex tasks, robustness and decentralization of control capabilities [22].

Swarm robotics has been studied to produce different collective behaviors to solve tasks such as as aggregation , pattern formation , self-assembly and morphogenesis , object clustering, assembling and construction , collective search&rescue and exploration , coordinated motion , collective transportation , self-deployment , foraging

and others[21]. Dorigo and Trianni [23] are studied on controllers for aggregation of coordinated motion of the identical mobile robots called swarm-bots. Their implementation has a centralized structure in which a decision maker assigns roles to individual agents. In our work, each agent is responsible to reach global consensus on the final positions in formation which increase the robustness of the system to individual erroneous decisions. Hou, S.P., C.C. Cheah, and J.J.E. Slotine is focused on controlling of a swarm within a dynamically changing formation [9]. In their work, it is needed to describe a global objective function which requires analytical expression of the desired formation shape. But it may not be possible to define the desired formation shape with analytical expressions in real world applications. In this thesis work, the solution does not require the analytical expressions of the desired formation shape. Ganesh and Lisa introduced two new strategies for collective search and exploration of fields with swarm intelligence [24]. Their implementation requires a central controller to manage the individuals and this type of solution has a single point of failure characteristic because of the dependence on single decision maker agent. Chaimowicz and Campos proposed a new methodology which is based on a dynamic role assignment mechanism in which the robots cooperate with each other and they demonstrate this method in a cooperative transportation task [25]. Their work implements a leader follower structure in which following agents has no impact on the global consensus of the swarm. This approach depends on the decision of an individual agent and an erroneous decision of this individual may cause the failure of whole swarm.

There are lots of studies related with different problems in swarm robotics literature as discussed briefly. In this thesis project, we are focused on dynamic pattern formation control of swarms consist of heterogeneous robots. All agents contribute on the decision process and they collaborate to minimize the total displacement while achieving the desired formation shape.

## 1.1 Problem Definition

In this thesis work, it is aimed to design a formation control system with heterogeneous robots with dynamically changing complex shapes. Desired formation shapes

for real time applications, such as covering an area or a search&rescue operation, may not be simple geometrical shapes with analytical expressions. The solution which does not require a mathematical definition of the desired formation shape is more suitable for these type of applications. On the other hand, this formation shape may be dynamically changing to meet the requirements of the task in real time.

In literature, formation control systems generally include a decision making process which is executed by an individual agent or a central server. This kind of approach creates a single point of failure system and this ruler may cause a failure for the whole swarm by making a mistake. In this work, it is aimed to implement a solution in which each member of the swarm contributes on the decisions and global consensus of the swarm

Formation control systems which are implemented with swarms composed of homogenous agents cannot achieve special tasks which requires different functionalities of individual agents. In this work, we have focused on implementing a solution with a swarm consisting of heterogenous agents with different capabilities.

In this work, the main idea is to propose a complete design solution to a dynamically changing formation system, including a local positioning and formation control system. Formation control system is heavily depend on the position data of individual agents in the environment. Since it is expected to have high number of agents in the environment due to the nature of a swarm, the agents are assumed to have simple structures with low capabilities including lack of certain types of sensors. On the other hand, for indoor applications it will not be possible to use satellite dependent positioning systems on agents [29]. Even if a positioning solution provided for an indoor applicaton by different methods including visual feedback(by image processing), RSS(received signal strength) etc. , it is not possible to implement this solution for each single agent in the environment due to the increasing complexity and the costs by the number of agents. In literature, formation control systems are generally designed with the assumption of the positions of each agent is known exactly. To propose a complete solution for the formation control problem, it is required to implement a localization solution for the agents to provide the corrected positions in the workspace which will be used by the formation control system. This localization

process implements a solution to correct the position data of the agents with the determined process period and within an maximum error bound. This error bound is determined by the requirements of the formation control problem.

## 1.2 Motivation

The formation control problem is defined as the collaboration of a group of agents towards maintaining a formation with a certain shape [26]. It focuses on leading the individual agents of a swarm to perform collective tasks including shape generation and formation reconfiguration while traversing a trajectory avoiding collisions. These kind of tasks are achieved with a large group of small and simple robots that can cooperate with each other. Formation control of multi agent systems is an actively growing research field.

Swarms which are used in formation control systems, can be composed of homogenous or heterogenous agents according to the requirements of the problem. The usage of the homogenous agents increases the total energy and the coverage of the system in the environment. This kind of a swarm has an increased redundancy and is capable of resuming the current task in case of failures of some of the agents during mission. On the other hand, a swarm composed of heterogeonus agents holds the different capabilities of the agents. This kind of a system can be used in tasks which requires different functionalities has to be performed individually or simultaneously.

In real world applications there may be need for different functionalities to achieve some specific tasks. If this is the case, one solution may be to design a sophisticated robot which includes all required capabilities for this task. In this scenario, this robot will be the single point of failure in the system and if robustness is a vital feature for this solution, some redundant robots have to be added to the system. It is clear that the design of such an advanced robot and hold its redundant backups in the system will increase the cost of the solution. In swarm robotics concept, one of the approaches related with the usage of the heterogenous agents is to gather some different types of simple mobile robots which have their own specific functionalities to achieve a collective task rather than designing an advanced robot for the solution

[2]. With this approach, the robustness of the system is increased, costs are reduced down and the reusability of the individual members of the swarm for other tasks is provided. A project named Swarmanoid which is funded by European Commission, has an objective to implement and control of a novel distributed robotic system. The system is designed with heterogeneous, dynamically connected, small autonomous robots called, foot-bots , hand-bots and eye-bots where foot-bots are responsible to transport the required materials(including other types of robots) to a specific task area and foot-bots are responsible for operations with their manipulators and eye-bots are responsible of observations and reconnaissance on the area. This project implements a system which has a leader follower structure in which a decision maker agent assign different tasks and roles to the other members of the swarm. Wrong decisions or the failure of this decision maker may prevent the whole swarm to achieve the desired task. In this thesis work, we have focused on a system in which each agent is responsible to contribute on the decision process.



Figure 1.1: A Robot Team Consists of Eyebot, Handbot and Footbot Agents [2]

A swarm which is composed with same type of homogenous agents can be used to increase the total impact and the energy of an individual agent. This kind of a system can be used in missions like coverage, search and reconnaissance etc. Martin and Kilberg have worked on formation control and formation tracking of microsatellites to achieve continuous coverage and improved capability. They also mentioned that small formations will reduce the fuel consumption for propulsion and expand the sensing capabilities of microsatellites [3].



Figure 1.2: Sparse Aperture Formation of Micro Satellites [3]

Formation control solutions has lots of usage areas such as coverage missions, security patrols and search&rescue in hazardous environments etc.[27]. For missions related with area coverage and reconnaissance, a group of autonomous vehicles may be required to keep in a specified formation [27].

There are some hardware implementations to test the related formation control algorithms in real time applications. Since the formation control problem requires lots of agents in a swarm, these works have a common point of providing agents with minimal costs and sensor capabilities. The Kilobot Project from Harvard university have released their agents with the name of Kilobots and they have teams which are working on different formation control problems with Kilobots [4].

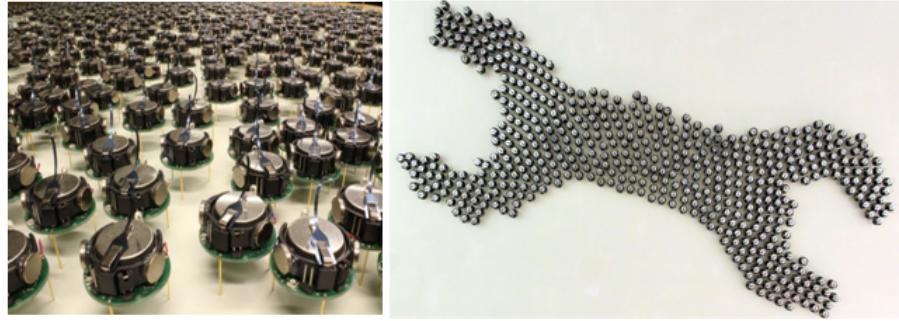


Figure 1.3: Formation Control with Kilobots [4]

These micro robots have a great reusability for different types of formation control problems and they have biological inspirations from the nature in the sense of individual simplicity and power of collective behaviors. Common feature of these projects, they implement formation control systems with swarms composed of homogenous agents. In real world applications, complex tasks may require different functionalities which can be provided by different type of robots. In our work, we have focused on implementing a formation control system with heterogenous agents.

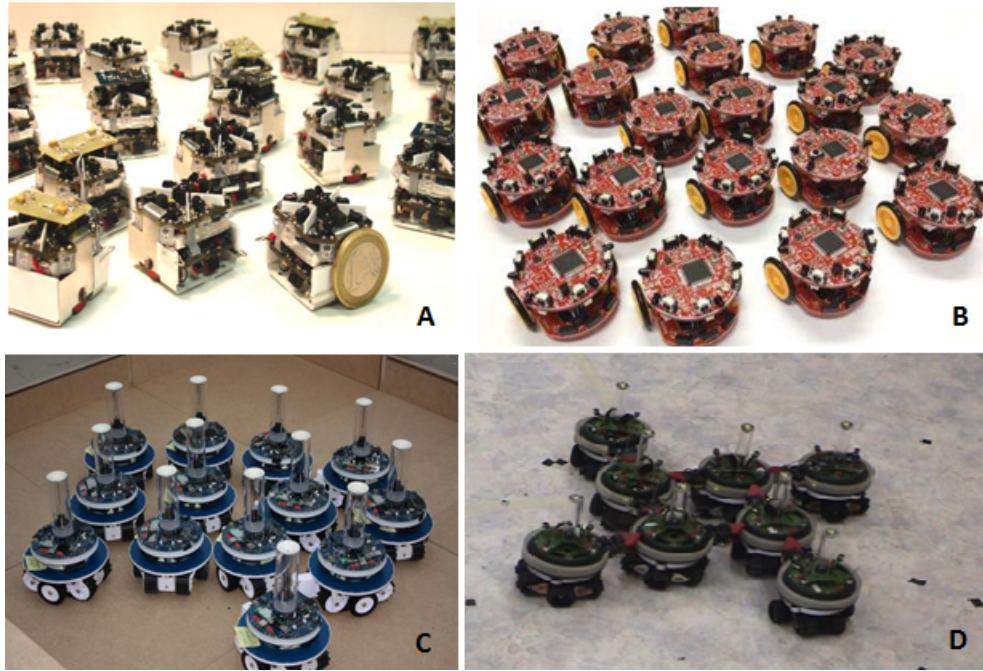


Figure 1.4: A) Swarm Robot Project from Universities of Stuttgart [5]  
 B) Colias Project from University of Lincoln and Tsinghua University in China [6]  
 C) Marx bot developed at EPFL[7]  
 D) Swarm bots project conducted by European Commission [8]

Hou, S.P., C.C. Cheah, and J.J.E. Slotine has implemented a formation control system with dynamically changing formation shapes [9]. Their approach defines a global objective function which requires the mathematical definition of the desired formation shape, but it may not be possible to derive the analytical expressions for the desired shape. In our work, control laws for individuals in the swarm does not require such a global objective function definition.

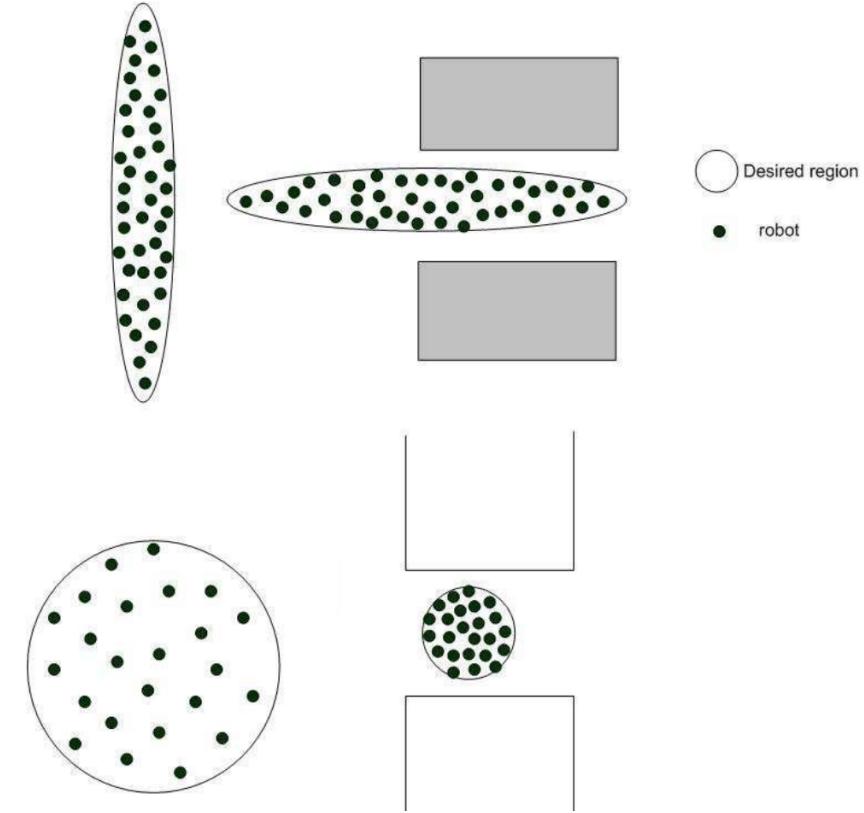


Figure 1.5: Dynamically Changing Formations with Global Objective Functions [9]

### 1.3 Objectives & Goals

In this thesis work, our aim is to provide different approaches & solutions to the requirements in formation control problem. There are mainly different types of infrastructures which propose solutions to the formation control problems like the heterogeneity vs. homogeneity of the agents, communication structures, centralized vs. decentralized structures, swarm control strategies like behavior based and leader-following approaches or virtual structure based approaches.

Our main approach is to design a complete solution to the formation control problem by taking into account the requirements related with real world applications. In literature, formation control systems generally implements solutions with simple geometrical shapes [9]. One of the objectives of this thesis work is to implement a solution which does not require the mathematical definition of the desired formation shape. Also it is aimed to design an algorithm which can adapt itself to the dynam-

cally changing formations.

On the other hand, most of the related work assumes that it is possible to measure the exact positions of the agents in the workspace[98,97]. Another objective of this thesis work is to implement a localization solution for the agents by assuming they may not able to measure their positions. With this approach, it is possible to provide a complete solution to formation control problem.

Specific tasks including different missions, requires agents with different capabilities and this kind of tasks may not be achieved with swarms composed of homogenous agents [2]. In our work, one of the objectives is to implement a formation control system with heterogenous agents. Furthermore, proposed solutions for formation control problem generally includes a decision making process which is executed by an individual agent or a central server. This kind of approach creates a single point of failure system and if this decision maker unit fails during mission, swarm cannot achieve the desired task. In this thesis work, it is aimed to implement a solution in which every agent is responsible of contributing on decisions and reaching a global consensus.

In addition to choice of the formation control infrastructures, capabilities of the individual agents provide additional requirements and constraints while designing a formation control system. One of the most important characteristic of an agent in the swarm is its simplicity and limited sensor & communication capability [22]. This approach results from the idea of achieving collective tasks with lots of simple individuals and it is based on biological inspirations in nature like colonies of ants etc. In our work, it is aimed to propose a solution by taking into account that agents in the swarm has simple structures and limited sensor capabilities.

To achieve these objectives and meet these requirements, the goals for this thesis work are defined as follows:

### **1.3.1 Heterogenous Robots with Different Dynamics**

It is aimed to create a formation control system with heterogenous agents to achieve complex tasks which require different functionalities. To accomplish this objective, it

is assumed that agents have different dynamics from each other like different friction surfaces, geometrical structures and functionalities. They have different volumes and masses(not mass point particles) and they may collide with the other ones and the obstacles in the environment.

### 1.3.2 Communication Infrastructure

One of the objectives in this work is to implement a localization solution for the agents. Also it is required to implement a communication backbone to execute a decentralised decision making process in which each agent reaches a global consensus. To meet these requirements, it is needed to implement a communication infrastructure in the proposed solution. By taking into account that the agents in the swarm have limited communication capabilities and can only negotiate with its local neighbors in a narrow line of sight range due to power consumption issues and their weak radio links, this infrastructure must have following specifications.

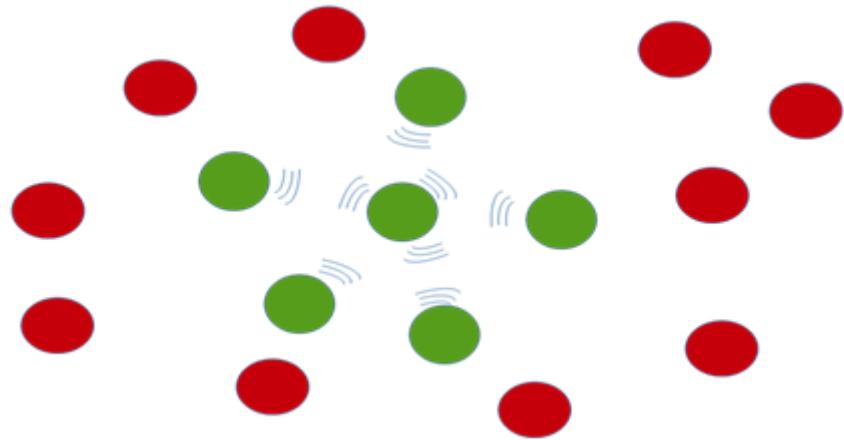


Figure 1.6: Radio Links on Agents Have a Narrow LOS Range

#### a)Communication Topology

Communication topology is a wireless mesh network in which each agent relays data for the rest of the network. The network is fully connected and has routing technique where the data is propagated along a route by transporting over the nodes(member agents of the swarm).

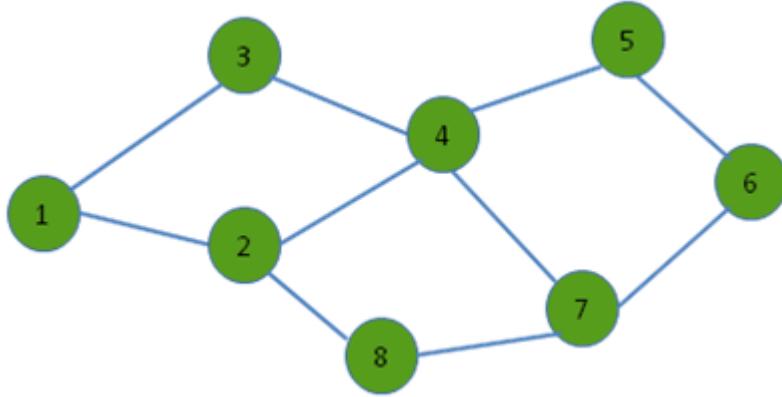


Figure 1.7: Mesh Network Between Agents

#### b) Communication Bandwidth

Bandwidth of the communication between agents is limited and nodes can only transport most critical data like heartbeats, agent IDs, type and position etc.

#### **1.3.3 Decentralized Decision Making Process**

Centralized formation controller systems implement a single controller server/root node to process all the data needed to achieve the desired control objectives. This type of systems achieve superior performance and optimal decisions but they require high computational power, high communication bandwidths and are not robust due to dependence on a single controller [26]. Decentralized formation controller structures have agents which are completely autonomous and responsible for their own individual decisions. In this work, a hybrid centralized/decentralized controller architecture in which there is a central manager which partitions the desired formation shapes into goal states and there are independent agents who make their own choices on these goal states to reach as unaware of the other agents' choices.

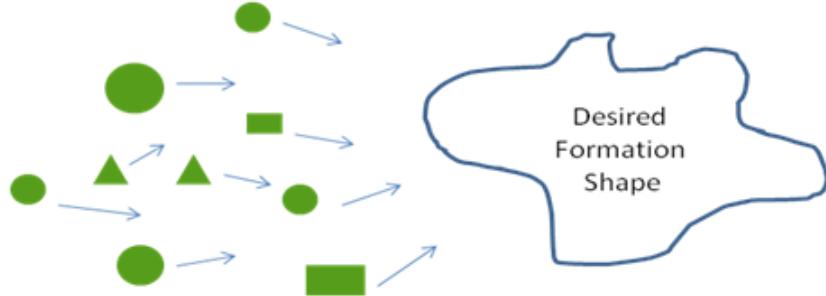


Figure 1.8: Agents make their own choices about target goal states

### 1.3.4 Complex and Dynamically Changing Formation Shapes

In literature, formation control systems are generally designed with a single or combination of simple geometrical shapes and they require analytical expressions of these shapes while implementing the proposed control laws for individual agents [33]. Specific tasks which requires more complex shapes cannot be achieved with this approach. In our work, we have focused on designing a formation control algorithm which does not require the mathematical definition of the desired formation shape. The goal to accomplish this objective is to design a solution which does not depend on the analytical expression of the formation shape. Furthermore, in literature the general approach is to implement a solution to cover a static formation shape. In real world applications it may be needed to update the desired shape according to the requirements of the task. In our work, one of the goals is to propose an algorithm which can adapt dynamically changing formation shapes.

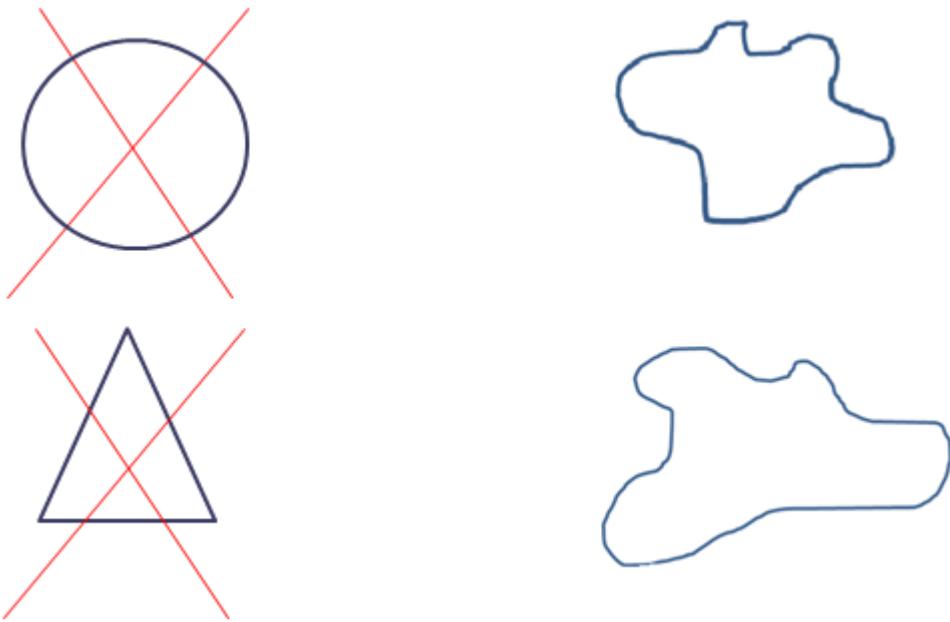


Figure 1.9: Complex and Dynamically Changing Formation Shapes

### **1.3.5 Simple Agents with Low Sensor Capabilities and Low Computing Powers**

Agents in the swarm are assumed to have low sensor capabilities and weak computing power [22]. This condition must be taken into account during the control system design, since individuals do not have a high resolution and sensitive data about their state vectors, and they cannot execute high level complex control algorithms.

## **1.4 Requirements**

The goals of this project define some requirements about the implementation phase of the project. These requirements are listed as follows:

1. Agents have to propagate their position and velocity states with the help of inertial measurements. This process is handled with a Kalman estimator which takes the translational acceleration data as an input to the observer model. The translational acceleration data is calculated with the help of AHRS system composed by 3 axis accelerometers, gyroscopes and magnetometers.
2. Agents have to update&adjust the position data with the help of agents which have

positioning sensors(position beacons) by local trilaterations. This position data is used in the internal estimator systems as external measurements to correct the drifts caused by propagation error of translational accelerations. The ordering for this trilateration process have to be determined appropriately to minimize the error on calculated position data of agents which are far away from the position beacons.

3. Agents have to update their route tables to create a communication backbone with the mesh network topology. Since agents are assumed to have low range&bandwidth radio links, the propagation of a data between each agent in the swarm will be handled over this mesh network.
4. Agents have to determine the goal states in the desired complex formation shape to cover with the help of a central server. Desired formation shape will be partitioned into potential goal states assigned to different types of agents. Performance analysis on proposed shape partitioning methods have to be done with some different criterias.
5. Assignment of the agents to their target goal states should be handled to minimize the total displacement of the agents while travelling towards the desired formation shape.
6. Simulations should be performed to compare the efficiency of different methods proposed in this thesis work. Different types of agents have to be represented with different dynamical and physical models during simulations.
7. Hardware demonstrations should be performed to illustrate the applicability of the proposed solution in real time systems. These applications may not contain the full implementation of the proposed system, but they must demonstrate the proof of concept(POC) environment.

## **1.5 Methodology**

During the first part of the project, a local positioning system(LPS) is designed. In this system, agents which do not have position sensors propagate their position and velocity states with their inertial measurements. Due to the bias and drift errors on this solution a position update&adjust process is handled on 0.33Hz frequency

with the help of position beacons which are agents with position sensors on board in the swarm. During the update phase of the solution route tables for individual agents are determined with the help of Graph Theory based Destination-Sequenced Distance Vector Routing Protocol (DSDV) algorithms. This process provides the required information to compose the clusters around position beacons and provides rank information for the agents which are in same clusters. Position measurements are handled with local trilateration process in a turn with the rank values for every agent around each clusters after the establishment of route tables. A Kalman estimator system is designed to fuse these propagation and update phases of the solution.

On the second part of the thesis work, formation controller system is designed with two novel methods based on bubble packing methods and randomized fractals method. Desired complex formation shapes are partitioned into goal states according to the heterogenous agents in the swarm for both of these two methods. Decision process of the agents about their target goal states to optimize the overall utility of the swarm is implemented with the help of Visibility Graphs and Hungarian algorithms. Internal velocity controllers for individual agents to reach the desired target goal states by providing obstacle avoidance, are implemented with a full state feedback method by regulating the augmented dynamical system with the gains optimized by Linear Quadratic Regulators (LQR).

## 1.6 Contribution of Thesis

The main contributions of thesis are:

1. Designing a local positioning system(LPS) based on local trilaterations to provide a high accuracy position data to the agents which do not have a specific position sensors on their boards.
2. Implementing a wireless mesh network between agents in the swarm and design a communication infrastructure and related routing algorithms to exchange the local data globally in the network
3. Implementing a formation control system with complex and dynamically changing

formation shapes by using swarms composed of heterogenous agents.

4. Designing and implementing the rules&algorithms for the decentralized decision making process of the individual agents about the goal states in desired formation shape.

### **1.7 Outline of the Thesis**

This thesis work is organized into 5 main sections .Chapter 1 introduces the main theme and the potential areas of the usage of formation control, while specifying our motivation and the requirements&problems to meet&solve related with the topic.

Chapter 2 gives literature reviews about the related works and basic mathematical background on the methods used in this paper.

Chapter 3 introduces the methods and solutions used in two different parts of the problem; local positioning system and formation controller system. In this chapter, routing algorithms and mathematical aspects of the trilateration process is introduced. Methods&algorithms used for formation control is discussed in details.

Chapter 4 provides simulation analysis on the local positioning system and gives mutual evaluations of the performances of different methods used in formation control system.

Chapter 5 provides and discuss the details of hardware implementations and the experimental results.

Chapter 6 concludes the thesis and defines the future works related with the thesis.



## **CHAPTER 2**

### **LITERATURE SURVEY**

This chapter focuses on the related works on local positioning systems and formation control systems.

#### **2.1 Local Positioning Systems**

Positioning systems are used to provide the required position data&feedback to the systems where it is desired to control the location of the mobile agent in the workspace. These systems fall in to two main branches, global positioning systems and local positioning systems. Global positioning systems(GPS) has become increasingly popular for a couple of last decades for tracking location. It is a precise system depends on satellite based positioning mainly developed for direction finding and navigation. Some of the problems encountered with the usage of GPS systems: (1) the signal from the satellites cannot penetrate to the indoor space so it doesn't perform in such areas, (2) it loses its precision in rich scattering environments such as urban areas [29]. A local positioning system can provide a position information where GPS systems are unavailable,with the usage of signaling beacons which are placed at the exactly known locations.

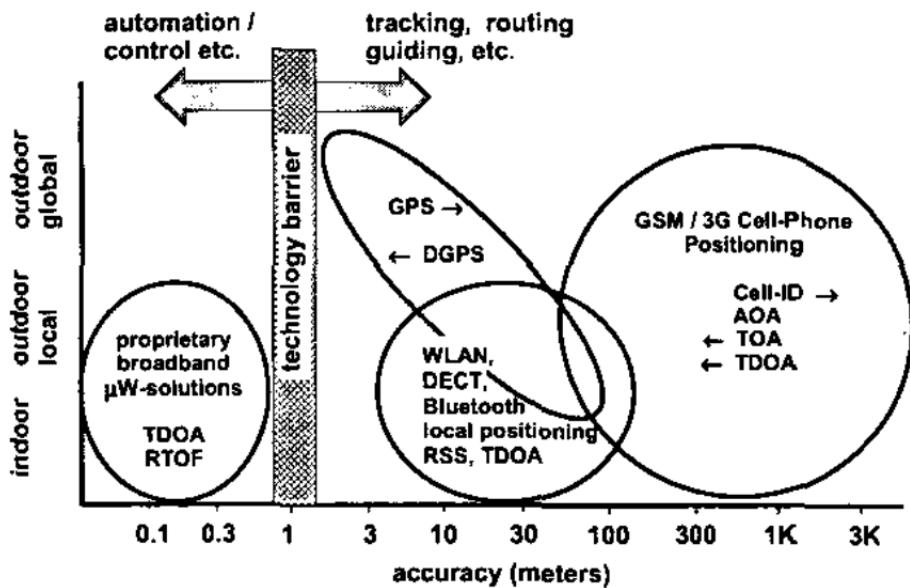


Figure 2.1: Accuracy Statistics of Different Positioning Sources [1]

Figure 2.1 represents an overview of current positioning systems. Global positioning systems are widely used nowadays and they provide accuracies in the range of 3-30 meters, they can operate outdoor environments with the necessity of radio signals from satellites. Differential GPS systems decrease these accuracy range below 3 meters with the help of additional local static beacons. GSM based solutions have the worst accuracy performance, but they can perform in indoor environments partially. Local positioning systems have the capability of working indoor environments and they have a wide accuracy range changing with respect to the implemented topologies and methods.

Local positioning systems has different system topologies illustrated in the Table 2.120].

Table 2.1: Local Positioning Systems with Different System Topologies [1]

Concept	Concept Definition
Remote Positioning	Measurement from remote site to mobile device
Self Positioning	Measurement from mobile unit to usually fixed transponders(landmarks)
Indirect remote positioning	Self positioning system with data transfer of measuring result to remote site
Indirect self positioning	Remote positioning system with data transfer of measuring result to mobile unit

Two main topologies are self positioning and remote positioning systems [1]. In self positioning system a mobile device finds its own position with the help of a reference like a starting point or a beacon node with exactly known positions. On the other hand, in remote positioning systems a mobile node locates other objects positions with respect to its own position [29]. These two type of topologies can be converted to each other with the help of a communications structures integrated on the devices to share the result of position measurement and thus indirect remote positioning and indirect self positioning system topologies can be implemented.

### 2.1.1 Measurement Principles

Angle of arrival (AOA), received signal strength(RSS) and propagation-time based systems are commonly used as three different measurement techniques used in local positioning systems.

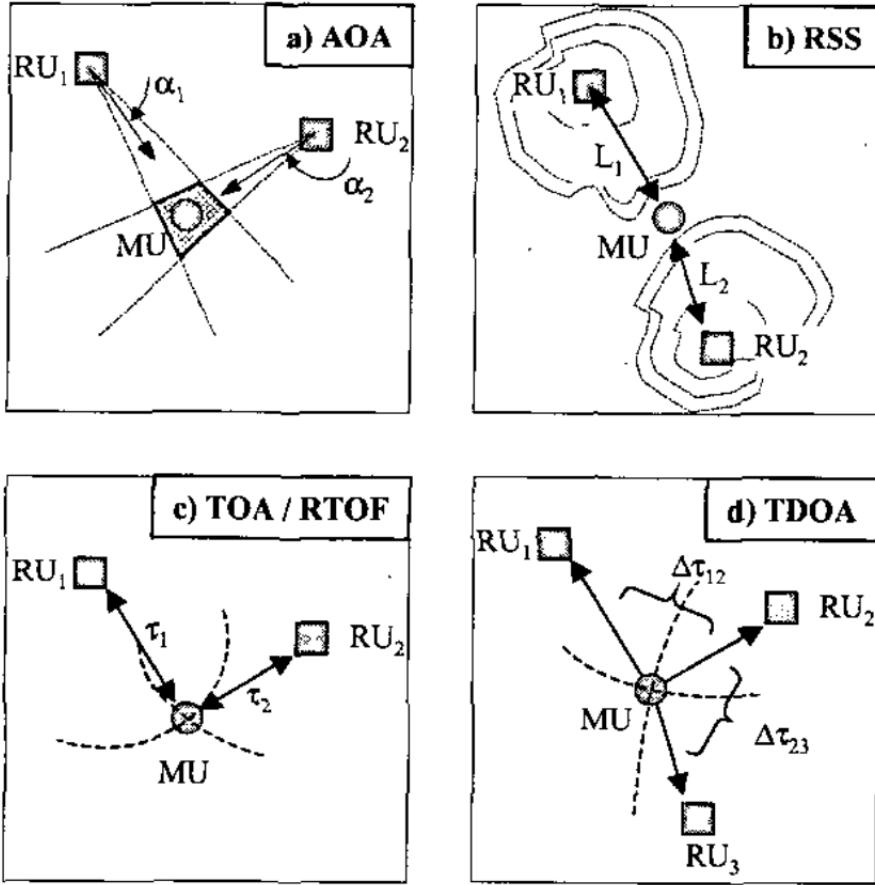


Figure 2.2: Different Measurement Principles [1]

In Angle-of-arrival (AOA) systems use directional antennas to measure the bearing and the angle to the points located at known positions are measured. The position value of device can be calculated with the intersection of several measurement, but the accuracy is limited by shadowing and multipath reflections of radio signals.

Received signal strength(RSS) systems calculate the distance value by taking the difference of the received signal power from the transmitted power. Some advanced propagation models are required to calculate the distance from the transmission loss in the air to eliminate the multipath fading and shadowing effects [30].

Time based systems calculates the distance between measuring unit and signal transmitter with the help of propagation time like used in the global positioning systems generally. This process requires a perfect time synchronization between the mobile and stationary units [1].

In this thesis work, we implement a self positioning system in which every agent localize itself with the help of position beacons in the swarm with exactly known positions. The distance from the agents to these beacons in the swarm are assumed to be calculated with the help of a time of arrival(TOA) solution in which a node can calculate its distance to the transmitter beacon by measuring the difference between the timestamps of transmission and reception of the signal.

### 2.1.2 Trilateration Process

Trilateration process is used to determine the three dimensional position of unknown locations with the help of distance measurement to known positions [31]. It is widely used in wireless sensor network topologies and local positioning systems. In theory, it is needed to have at least four beacon nodes to calculte an unknown position in 3D, and at least three beacon nodes to calculate an unknown position in 2D environment. But these worst case numbers are generally not sufficient to estimate an unknown position with a good accuracy due to errors on range calculations and synchronization problems. Figure 2.3 demonstrates a simple trilateration procees in 2D environment with the help of three position beacons. Suppose a mobile device which tries to estimate its position with the help of local positioning system is at the red point in the figure. If it can measure its distance to the beacons named A,B and C with exactly known positions, it will be possible to estimate the unknown position of this mobile device with the same approach used in global positioning systems.

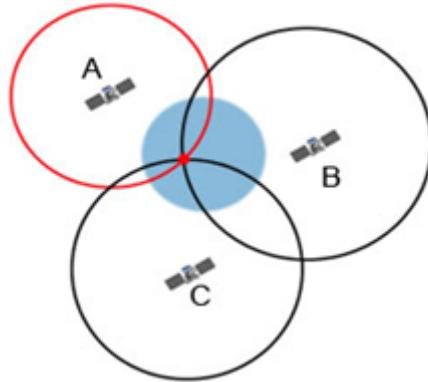


Figure 2.3: Trilateration Process [?]

## 2.2 Formation Control Systems

Formation control problem have different subproblems like formation shape generation, formation reconfiguration&selection and formation tracking [26]. In formation shape generation, agents are expected to get a formation shape which can be defined by externally or with some mathematical constraint functions [28]. One general approach is to consider some artificial potential functions. Samitha and Pubudu have presented an artificial potential function based method by considering the problem as controlling and positioning of a swarm into a shape bounded by a simple closed contour in the complex plane while spreading members inside the contour uniformly. They provide analysis about the stability and robustness of their systems with the help of Lyapunov like functions [10].

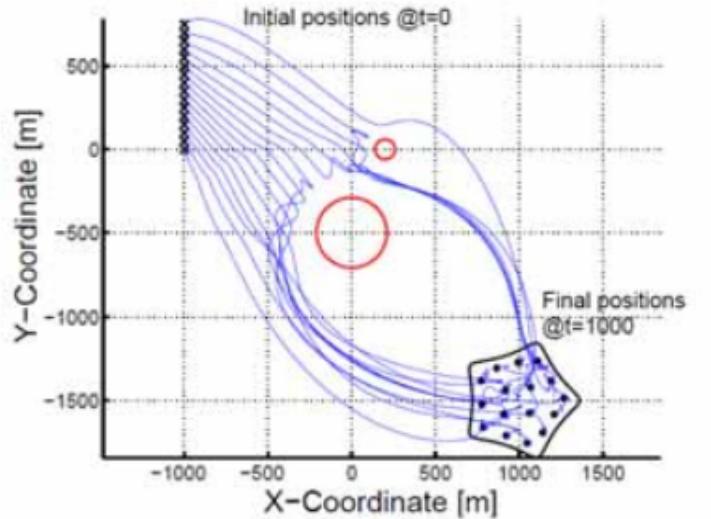


Figure 2.4: Motions and Formation of the Agents in Presence of Obstacles [10]

In some applications, it may be needed to change the formation shape or splitting and joining of the agents together due to either a change in coordinated task requirements or change in environmental conditions such as narrow corridors. This task requires formation reconfiguration and selection capabilities for the swarms. Hou and Slotine have defined a method based on global objective functions to provide formation control of a swarm. In their approach it is possible to implement scaling and rotating functions into control laws [9].

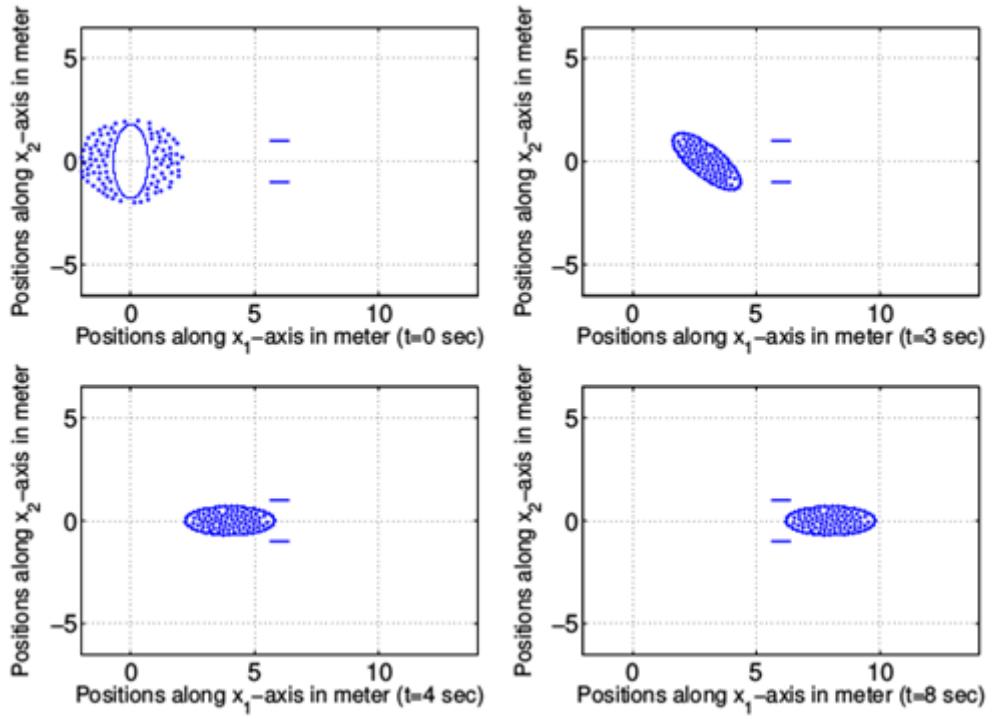


Figure 2.5: A Group of 100 Robots in a Rotating and Scaling Ellipse Formation [9]

One of the subproblems studied in formation control is formation tracking. The main objective of this problem is to maintain a desired formation with a group of robots, while tracking or following a reference trajectory. The most general strategy to provide a solution for this problem is leader-following swarm structures. Other strategies have a basis on optimization and graph theory approaches [26]. Kumar, Fierro and Das proposed a vision based formation control framework for this problem. This framework has a leader following background [11].

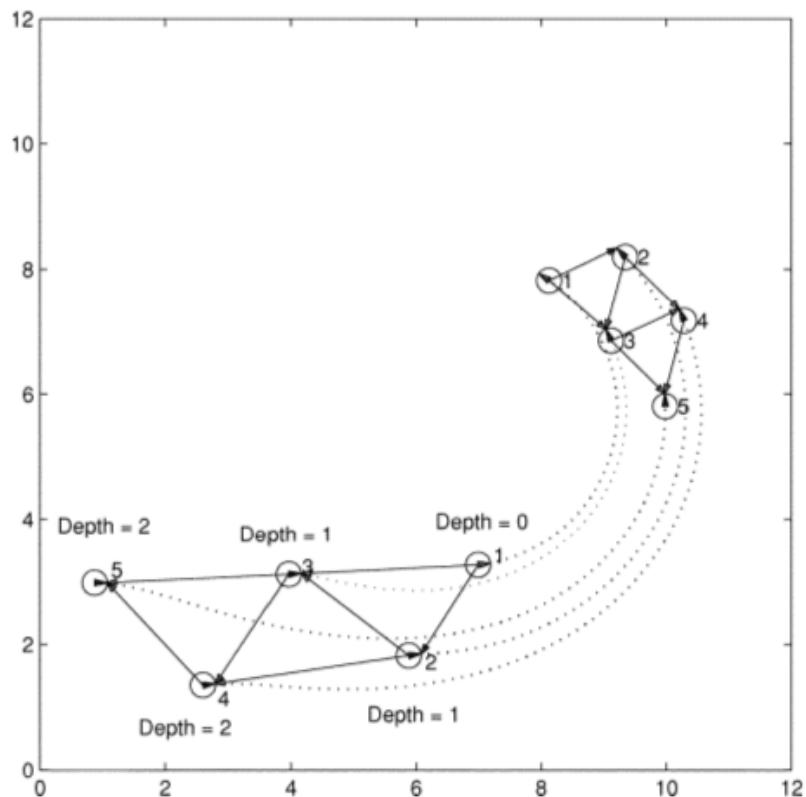
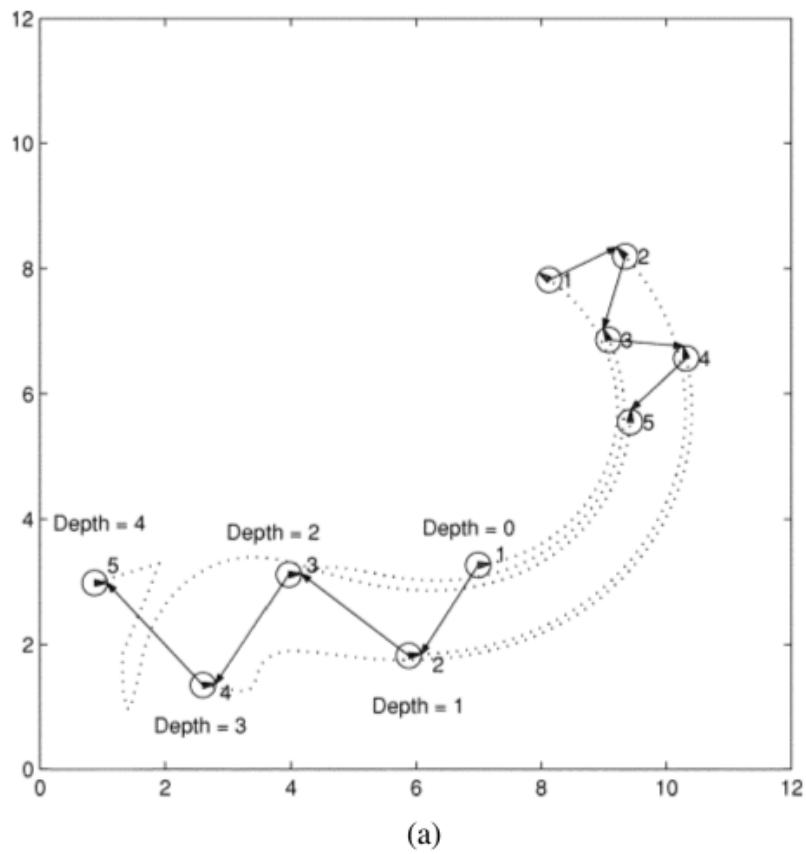


Figure 2.6: Five Robot Formation With Trajectory Tracking [11]

The solutions for the formation control approaches can be classified into three basic strategies as leader-following, virtual structure and behaviour based approaches [26]. In leader following strategy, some of the agents in the swarm are the leaders to manage the rest of the swarm to achieve a desired specific task and the rest of the agents act as followers. This approach reduces the formation control problem into tracking control problem of individuals to follow the leader from a desired distance and bearing angle, thus the stability and convergence analysis of the formation can be done with the usage of single tracking controllers of members. Kumar, Fierro and Das at [11] proposed a control framework in which follower agents move along a trajectory afterwards the leader agent with a desired separation  $l_{ij}$  and desired relative bearing angle  $\psi_{ij}$ . Figure 2.7 represents a formation control with three agents where R3 is the leader and R1,R2 are the follower agents.

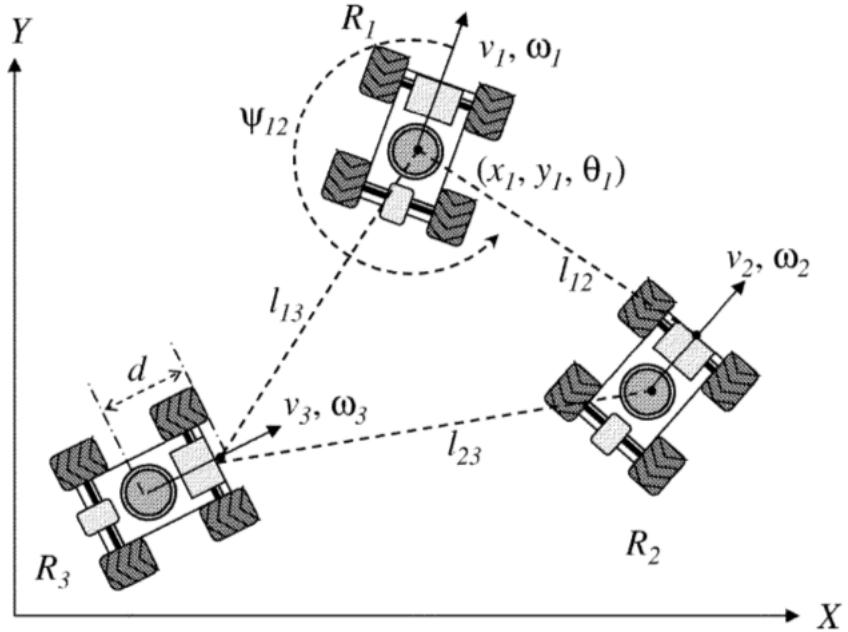


Figure 2.7: Leader-Follower Systems [11]

In this approach it is hard to gather the agents in a certain shape. Another drawback is that, determining the separation and bearing angles for individual agents will be getting harder with the increasing number of agents in the swarm and this strategy is not fault tolerant to the absence of communication between agents.

In virtual structure approach, the formation is composed with a virtual rigid body.

Formation control is applied to whole virtual structure and then the individual agent control laws are determined with inverse dynamic solutions [26]. Lewis and Tan proposed a virtual structure based method for formation control in [32] with a bidirectional flow control where robots move to stay in the virtual structure when the swarm is following a trajectory and virtual structure move to fit robots' current positions to compensate the relative errors at the end of that maneuver.

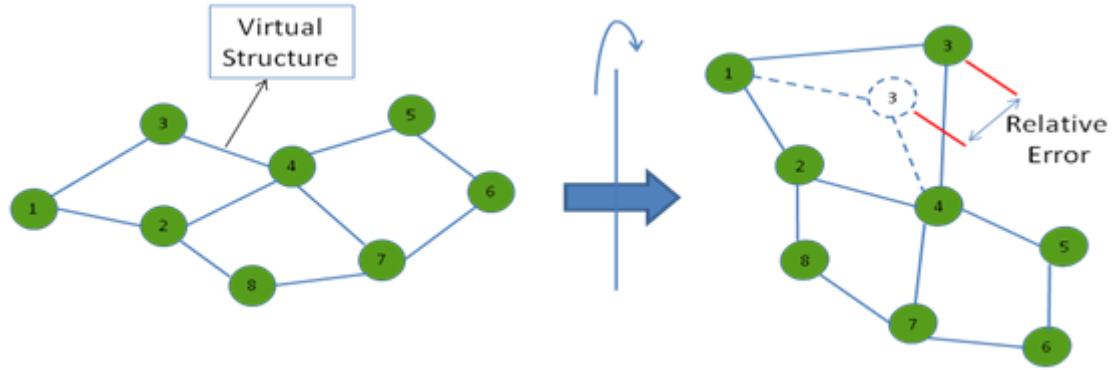


Figure 2.8: Maneuver of a Formation and Compensation of Virtual Structure

In virtual structure strategy it is easy to achieve a coordinated behavior for the group to maintain the formation during a trajectory tracking or a maneuvering, but it is not a suitable strategy to apply a formation control to achieve certain geometrical shape with the agents in the swarm.

Behavior based strategies model every agents' behaviors to achieve specific tasks with swarm. These behaviours may be very simple like randomly walking and avoiding obstacle in the environment or they may be defined very complicated to achieve complex formation shapes with the entire swarm while optimizing the overall energy consumption depend on the implementation of the controller structures. One of the main usage of this strategy is artificial potential field based implementations . Cheng and Nagpal have introduced a robust and self repairing formation control method for swarms [12]. In this approach, individual control laws for the agents are composed with the artificial forces defined between the agents (to avoid collisions) and between the desired formation shape. This solution provides robustness to the agent losses in the swarm during formation control and the rest of the swarm has the ability to refill their absence in real time without changing the dynamics and the parameters of the

formation controller. One of the main disadvantage of the artificial potential based approaches is that , the control forces applied to individual agents are determined instantaneously in accordance with that agent's and the other agents' positions and they cannot guarantee to optimize the total distance travelled by the agents. Another drawback, related with this type of solution, there is a possibility to have local minima in the solution where an agent reaches an undesired configuration in a balance with different types of artificial force components. In this strategy the solution may converge to the steady state very slow due to absence of generalized goal states for individual agents in the final state of formation.

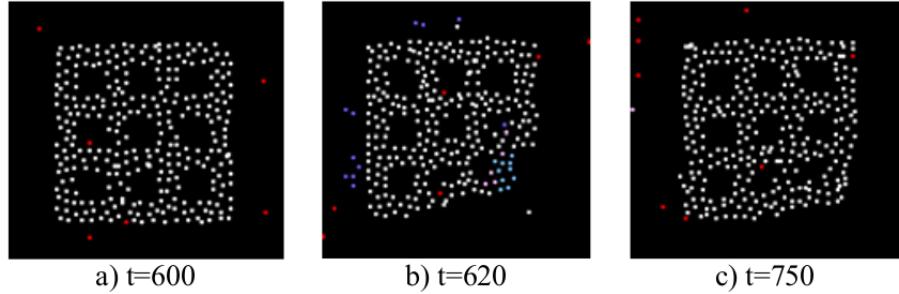


Figure 2.9: Formation Control with Artificial Forces [12]

Another approach is to define mathematical constraints and objective functions to achieve a specific formation shape and controlling the swarm to follow a trajectory while keeping the formation. Kumar and Belta presented an abstraction method of configuration space to a manifold defined as  $A = G \times S$  where  $G$  is a Lie group representing the position and the orientation of the swarm and  $S$  represents the shape of the manifold. They provide individual control laws which can be separately handled to manipulate the lie group  $G$  to achieve formation tracking and orientation control and to manipulate the shape  $S$  to achieve different geometrical shapes. Cheah and Slotine proposed a similar method based on objective functions [9]. Common drawback for these researches, they can only implement a limited number of simple geometrical shapes because the desired formation shapes must be identified analytically to compose the related objective functions or shape manifolds.

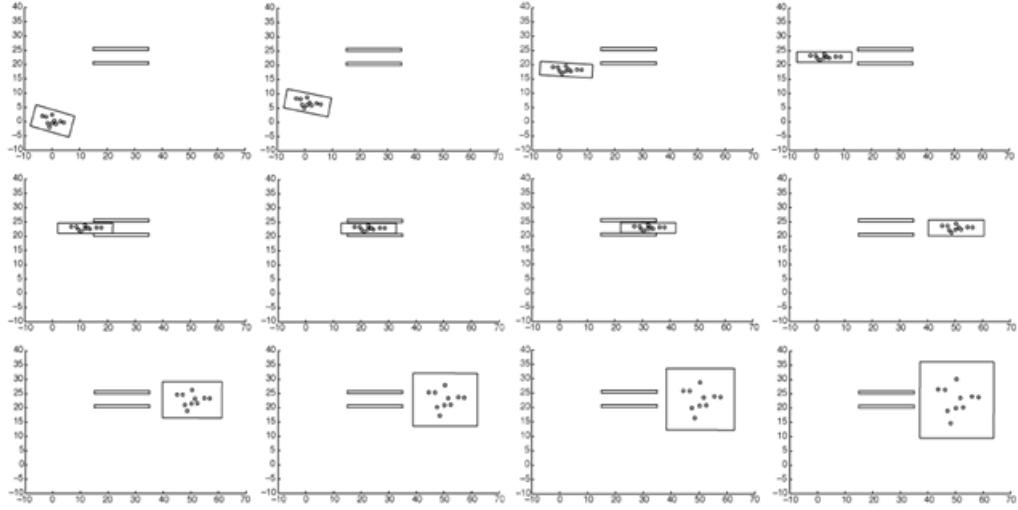


Figure 2.10: Formation Control with Objective Functions [13]

### 2.3 Partitioning Complex Geometrical Shapes

This process is used to determine the goal states of the agents in the formation to cover the desired complex geometrical shape. There are some different solutions in the literature including fractal filling of space algorithms, bubble&circle packing algorithms and advancing front algorithms.

Fractals are self similar patterns in all scales of themselves. They are defined with simple rules and they can cover any complex shape in the nature by progressing this simple rules iteratively. This approach is widely used in mesh generating algorithms and filling space problems. Shier and Bourke [14] have introduced a randomized fractal filling of space algorithm. They proposed a fractal based method to cover a given geometrical shape with the desired shapes and they provide the proof of their algorithm is space-filling with the following statements.

$$A_i = \frac{A}{\zeta(c, N)(i+N)^c} \quad (2.1)$$

where  $\zeta(c, N)$  is the Hurwitz zeta function defined by

$$\zeta(c, N) = \sum_{i=0}^{\infty} \left( \frac{1}{(i+N)^c} \right) \quad (2.2)$$

This known to converge for  $c > 1$  and  $N > 0$ . In view of equation 2.2 one can write

$$\sum_{i=0}^{\infty} A_i = \sum_{i=0}^{\infty} \left( \frac{A}{\zeta(c, N)(i+N)^c} \right) \quad (2.3)$$

such that the sum of all areas  $A_i$  is the total area  $A$  to be filled, that is, if the algorithm does not halt then it is space-filling. Some of the outputs of their algorithm is given at Figure 2.11

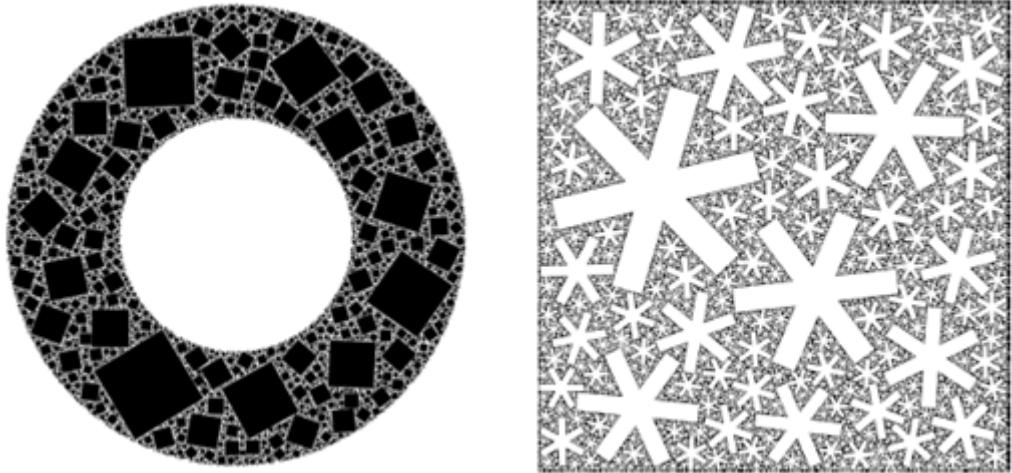


Figure 2.11: Space Filling Examples with Randomized Fractals [14]

Bubble&Circle packing algorithms are widely used for mesh generation problems in finite element method. The main idea is that the close packing of bubbles mimics a pattern of Voroni tessellation. Corresponding to well shaped Delaunay triangles or tetrahedras which select the best topological connection for a set of nodes by avoiding small included angles [15].

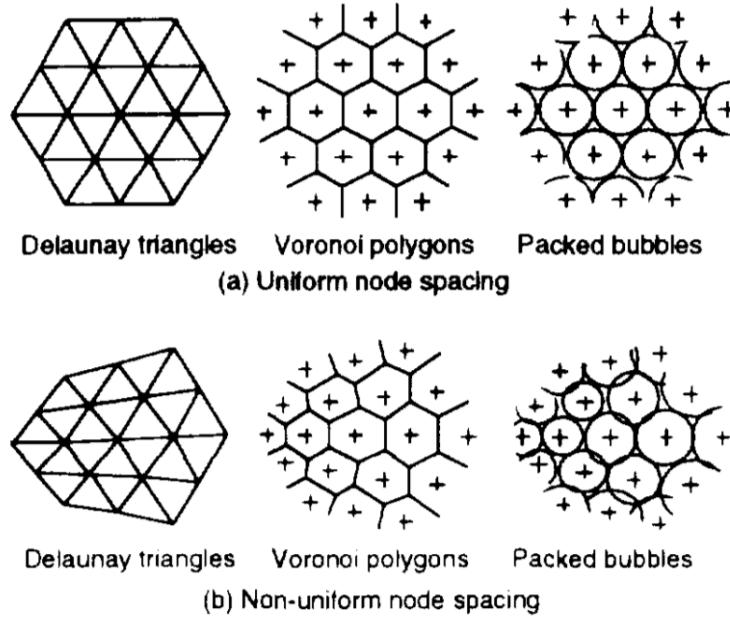


Figure 2.12: Uniform and Non-Uniform Node Spacing [15]

Shimada and Gossard proposed a method based on interbubble forces to provide close packaging of bubbles in desired geometrical shape. This approach is very similar with the one used in formation control of swarms to achieve geometrical shapes. The related interbubble forces are described at Figure 2.13.

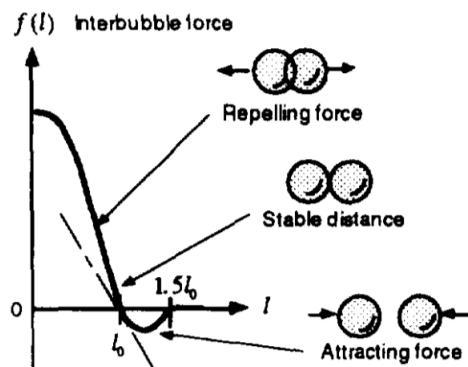


Figure 2.13: Interbubble Forces [15]

With the help of adaptive population control by removing the excess bubble which significantly overlap their neighbors, they provide an adaptive bubble packing algorithm for mesh generation. A result with a 2D shape is given at Figure 2.14

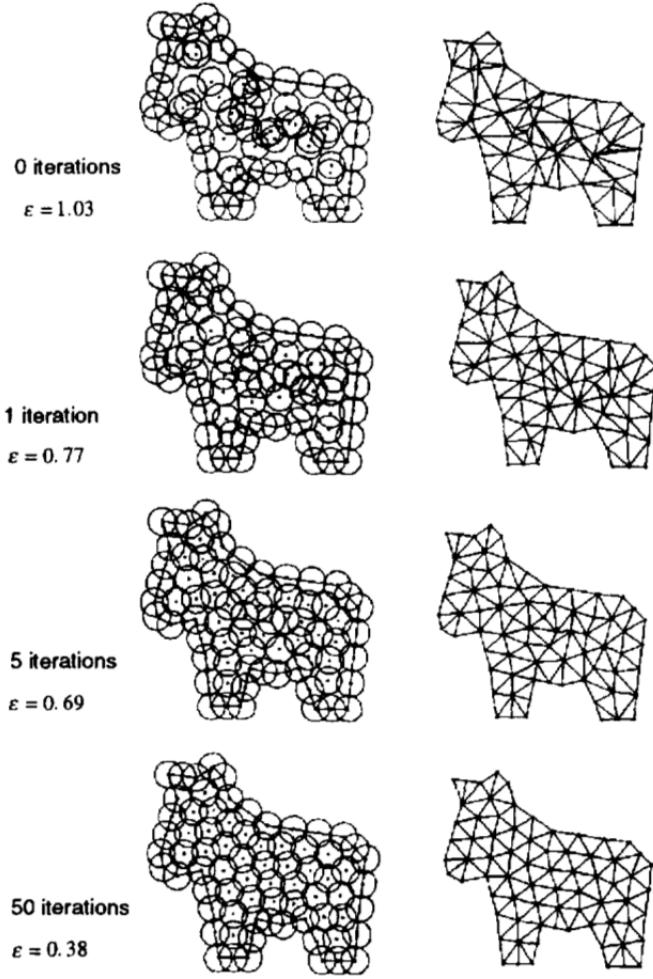


Figure 2.14: Mesh Generation with Interbubble Forces [15]

This approach can easily be augmented for different types and number of shapes to partition a complex geometrical shape with regular sets. Basically the resultant solution will be similar to the one used in artificial potential field approach in formation control.

Advancing front methods are one of the alternatives used in mesh generation in literature. In a two dimensional advancing front method, new triangles are added into the domain from the initial front boundary and the front is propagated iteratively between the meshed and the unmeshed region. The initial front is created by the desired outer boundary of the shape and the procedure continues until the given domain is fully meshed.

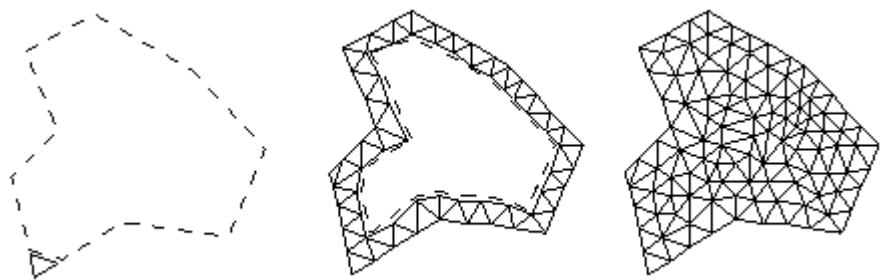


Figure 2.15: Triangulation with Advancing Front Method

## CHAPTER 3

### METHODOLOGY

In this thesis work, the problem of dynamical formation control of heterogenous mobile robots is reduced down to two subproblems as local positioning system design and formation control system design. Local positioning subsystem proposes a solution for the localization of the agents in the environment with the low sensor capabilities. This part of the solution provides a basis for the formation control problem with the true state vectors of agents composed with the translational positions and velocities. Formation control subsystem proposes a solution to achieve desired complex shapes with heterogenous agents in the swarm. In this chapter, details of the solution for these subproblems are presented in details.

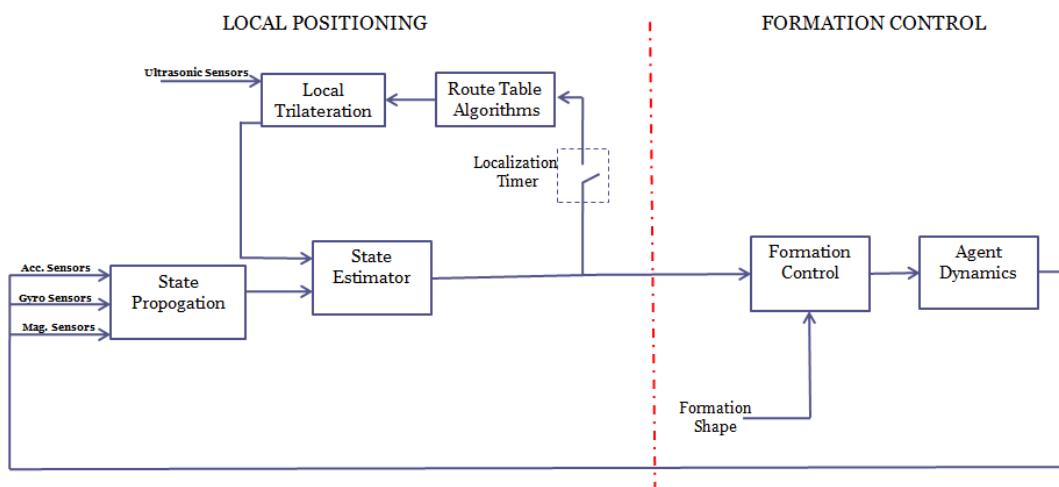


Figure 3.1: Flowchart of the Provided Solution

### 3.1 LOCAL POSITIONING SYSTEMS

Local positioning system is a subsystem to provide a complete solution to the localization of the agents in the environment. As discussed in Section 1.3, agents are expected to have low sensor capabilities and only a limited number of them have external position measurement sensors on their boards. The rest of the swarm have to maintain their position&velocity data with the help of their inertial measurements. Propagation of the states with these type of measurements are always inclined to drift problems due to the error&noise and bias problems of the sensors. As a result of this problem, state vectors composed with the translational positions and velocities have to be corrected with an external measurement. A complete solution for this type of problem is proposed in Figure 4.9.

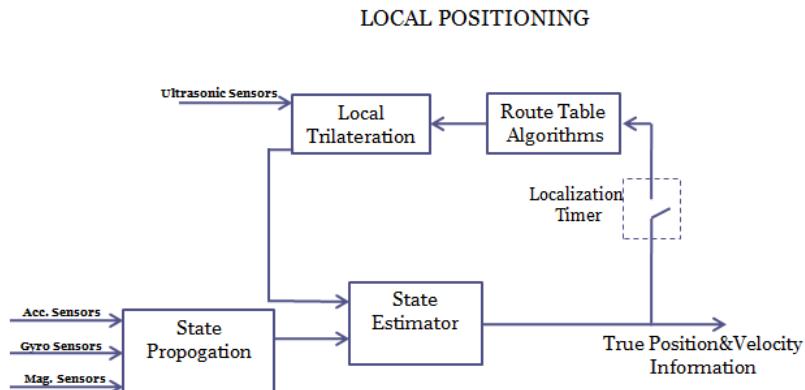


Figure 3.2: Local Positioning System

As illustrated in Figure 4.9, agents are expected to propagate their states with the help of acceleration, gyroscope and magnetometer sensors. Since the trilateration and route table determination processes require recursive and time consuming algorithms, a localization timer with a suitable period is implemented to the solution. Agents will correct their state vectors with the localization period of 3 seconds by measuring their positions with trilateration process and execute the update procedure in their state estimator algorithms. The requirement about the maximum value of 3 seconds for the localization period is determined with the help of Monte Carlo simulations discussed in Section 4.2 by defining a maximum allowable error on the position data since the error on the state vectors are drifting exponentially with increasing time.

### 3.1.1 Trilateration Process

Trilateration process helps the agents to localize themselves with the help of their local neighbors. Trilateration calculations use distance measurements to the nodes with known positions, to determine the coordinates of unknown positions [31]. These measurements are assumed to be done with the help of time of arrival methods discussed in Section 2.1.1. Solution of the unknown position with the help of these distance measurements can be reduced down to a  $A\vec{x} = \vec{b}$  type equation with the help of mathematical manipulations. The agents which has position sensors on their boards are called position beacons. Figure 3.3 illustrates a sample environment which consist of  $i$  beacons and an agent which tries to localize itself with the help of distance measurements  $r_i$  and the position data  $B_i$  of the beacon nodes.

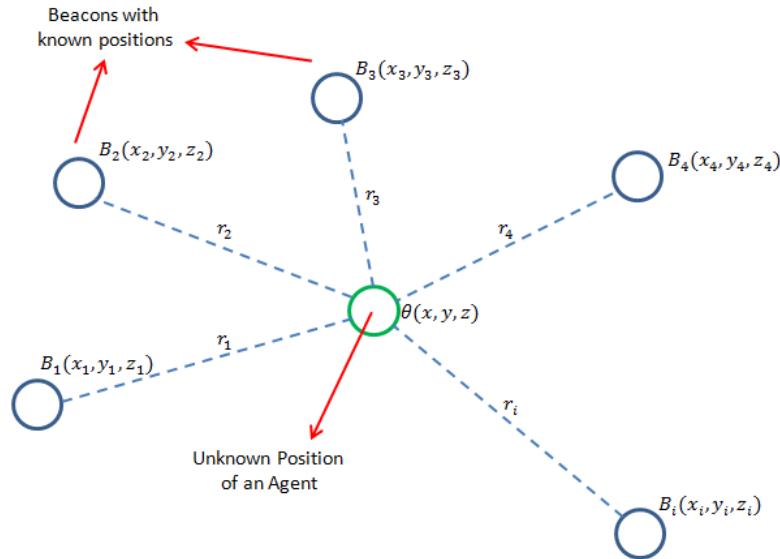


Figure 3.3: Environment for Trilateration Process

The distance function  $\hat{r}_i$  can be written as follows;

$$\hat{r}_i = \sqrt{(x - x_i)^2 + (y - y_i)^2 + (z - z_i)^2} \quad (i = 1, 2, \dots, n) \quad (3.1)$$

where  $i$  denotes the beacon number and  $n$  is the total number of beacons. We have  $n$  number of constraints in the solution of the localization problem. In our work, we have implemented a two dimensional localization solution with the assumption of

each agent in the swarm have the same vertical position in Earth centered coordinate system. With this assumption, the problem for the localization process can be reduced down to a  $A\vec{x} = \vec{b}$  type linear system problem and the constraints will be circle functions rather than spherical ones, presented with

$$(x - x_i)^2 + (y - y_i)^2 = r_i^2 \quad (3.2)$$

Lets assume  $\theta = (x, y)$  is representing the coordinates of an agent which is trying to localize itself, and  $B_1 = (x_1, y_1); B_2 = (x_2, y_2); B_3 = (x_3, y_3); \dots; B_i = (x_i, y_i)$  are the agents with exactly known positions.

If any of the beacons is considered as the reference beacon and named with an index of  $r$ , the distance equations can be provided as following

The distance between the target agent and any beacon  $i$

$$d_i(\theta) = \sqrt{(x - x_i)^2 + (y - y_i)^2} \quad (3.3)$$

The distance between the reference beacon and the other beacons

$$d_{ir}(\theta) = \sqrt{(x_i - x_r)^2 + (y_i - y_r)^2} \quad (3.4)$$

The distance between the target agent and the reference beacon

$$d_r(\theta) = \sqrt{(x - x_r)^2 + (y - y_r)^2} \quad (3.5)$$

Adding and subtracting  $x_j, y_j$  and  $z_j$  in equation 3.3 gives

$$\begin{aligned} d_i^2(\theta) &= (x - x_r + x_r - x_i)^2 + (y - y_r + y_r - y_i)^2 \\ &= (x - x_r)^2 + 2(x_r - x_i)(x - x_r) + (x_r - x_i)^2 \\ &\quad + (y - y_r)^2 + 2(y_r - y_i)(y - y_r) + (y_r - y_i)^2 \end{aligned} \quad (3.6)$$

This equation yields to

$$2((x_i - x_r)(x - x_r) + (y_i - y_r)(y - y_r)) = d_r^2(\theta) + d_{ir}^2 - d_i^2(\theta) \quad (3.7)$$

this general statement is valid for each beacon with

$$\begin{aligned} (x_2 - x_1)(x - x_1) + (y_2 - y_1)(y - y_1) &= \frac{1}{2}[d_r^2(\theta) + d_{2r}^2 - d_2^2(\theta)] \\ (x_3 - x_1)(x - x_1) + (y_3 - y_1)(y - y_1) &= \frac{1}{2}[d_r^2(\theta) + d_{3r}^2 - d_3^2(\theta)] \\ &\dots \\ (x_n - x_1)(x - x_1) + (y_n - y_1)(y - y_1) &= \frac{1}{2}[d_r^2(\theta) + d_{nr}^2 - d_n^2(\theta)] \end{aligned} \quad (3.8)$$

if  $b_{ir}$  is defined for each beacon as follows:

$$b_{ir} := \frac{1}{2}[d_r^2(\theta) + d_{ir}^2 - d_i^2(\theta)] \quad (3.9)$$

then the linearized system equations can be represented with  $A\vec{x} = \vec{b}$  type equation where;

$$A = \begin{bmatrix} x_2 - x_r & y_2 - y_r \\ x_3 - x_r & y_3 - y_r \\ \dots & \dots \\ x_n - x_r & y_n - y_r \end{bmatrix} \quad (3.10)$$

$$x = \begin{bmatrix} x - x_r \\ y - y_r \end{bmatrix} \quad (3.11)$$

$$b = \begin{bmatrix} b_{21} \\ b_{31} \\ \dots \\ b_{n1} \end{bmatrix} \quad (3.12)$$

with the help of these mathematical manipulations, localization problem is reduced down to a  $A\vec{x} = \vec{b}$  problem.

There are some possible solutions to this type of equation regarding with the structure of matrix  $A$  and vector  $b$ .

### Solution to $A\vec{x} = \vec{b}$ Problem

In a localization problem handled in two dimensional world, the  $A$  matrix has  $(n - 1)$  rows and 2 columns, where  $n$  is the number of neighbor beacons. It is obvious that there is no solution when the number of neighbors lower than 3, since the  $A$  matrix will have one or less number of lines. When the number of neighbor beacons are equal or greater than 3 we have three different solutions according to the structure of the linearized equations.

#### 1) Unique Solution:

If  $A$  matrix has the dimensions of  $2 \times 2$  and the rank of  $A$  matrix ( $\text{rank}(A)$ ) is equal to 2, then the solution of  $\vec{x}$  is unique with

$$\hat{x} = A^{-1}\vec{b} \quad (3.13)$$

where  $\hat{x}$  is the unique solution.

#### 2) Minimum Norm Solution With Pseudo Inverse:

If  $A$  matrix has the dimensions of  $(n - 1) \times 2$  where  $n > 3$ , which means the number of neighbor beacons greater than 3, and if columns of  $A$  matrix form a linearly independent set (full column rank matrix) then the solution can be found with the projection of  $\vec{b}$  over range space of  $A$ ,  $\text{Proj}_{R(A)}\vec{b}$  where

$$\text{Proj}_{R(A)}\vec{b} = A(A^T A)^{-1}A^T\vec{b} \quad (3.14)$$

$$\begin{aligned} A\vec{x} &= \text{Proj}_{R(A)}\vec{b} \\ \vec{A}\hat{x} &= A(A^T A)^{-1} A^T \vec{b} \end{aligned} \quad (3.15)$$

with the help of the above equation

$$A(\hat{x} - (A^T A)^{-1} A^T \vec{b}) = 0 \quad (3.16)$$

then

$$\hat{x} = (A^T A)^{-1} A^T \vec{b} \quad (3.17)$$

since  $A$  matrix is full column rank matrix,

$$\mathcal{N}(A) = \{0\} \quad \text{and} \quad \mathcal{N}(A)^\perp = \mathbb{R}^n \quad (3.18)$$

then

$$\text{Proj}_{\mathcal{N}(A)^\perp} \hat{x} = \hat{x} \quad (3.19)$$

this concludes that  $\hat{x}$  is the unique minimum norm solution to the  $A\hat{x} = \vec{b}$  problem

### 3) Minimum Norm Solution With Nonlinear Least Squares Method

If matrix  $A$  has the dimensions of  $2 \times 2$  or  $(n-1) \times 2$  with  $n > 3$  and if rank of  $A$  matrix is equal to 1, ( $\text{rank}(A) = 1$ ), then the solution to the  $A\hat{x} = \vec{b}$  problem can be found iteratively with the help of nonlinear least squares method. Lets define the cost function to be minimized as the sum of the squares of the errors on the distances

$$F(\theta) = \sum_{i=1}^n (f_i^2(x, y)) \quad (3.20)$$

with

$$f_i(x, y) = \sqrt{(x - x_i)^2 + (y - y_i)^2} - r_i = f_i(\theta) \quad (3.21)$$

There are various algorithms to minimize the sum of the square errors in literature, Newton iteration is used to find the optimal solution in this work. Taking the partial derivatives of the cost function with respect to  $x$  and  $y$  gives

$$\begin{aligned} \frac{\partial F}{\partial \vec{x}} &= 2 \sum_{i=1}^n f_i \frac{\partial f_i(\theta)}{\partial x} \\ \frac{\partial F}{\partial \vec{y}} &= 2 \sum_{i=1}^n f_i \frac{\partial f_i(\theta)}{\partial y} \end{aligned} \quad (3.22)$$

The partial derivative matrix of the cost function is composed as;

$$\nabla F(\theta) = 2 \begin{bmatrix} f_1 \frac{\partial f_1(\theta)}{\partial x} + f_2 \frac{\partial f_2(\theta)}{\partial x} + \dots + f_n \frac{\partial f_n(\theta)}{\partial x} \\ f_1 \frac{\partial f_1(\theta)}{\partial y} + f_2 \frac{\partial f_2(\theta)}{\partial y} + \dots + f_n \frac{\partial f_n(\theta)}{\partial y} \end{bmatrix} \quad (3.23)$$

Components of this partial derivative matrix converges to zero while the cost function iteratively optimized to a minimum point.

$$\nabla F(\theta) = 2J(\theta)^T f(\theta) = 0 \quad (3.24)$$

where

$$J(\theta) = \begin{bmatrix} \frac{\partial f_1(\theta)}{\partial x} & \frac{\partial f_1(\theta)}{\partial y} \\ \frac{\partial f_2(\theta)}{\partial x} & \frac{\partial f_2(\theta)}{\partial y} \\ \dots & \dots \\ \frac{\partial f_n(\theta)}{\partial x} & \frac{\partial f_n(\theta)}{\partial y} \end{bmatrix} \quad (3.25)$$

and

$$f(\theta) = \begin{bmatrix} f_1(\theta) \\ f_2(\theta) \\ \dots \\ f_n(\theta) \end{bmatrix} \quad (3.26)$$

Using the vector  $\vec{R}$

$$\vec{R} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} \quad (3.27)$$

To optimize the cost function, Newton iteration is implemented as follows;

$$\vec{R}_{\{k+1\}} = \vec{R}_{\{k\}} - (J_{\{k\}}^T J_{\{k\}})^{-1} J_{\{k\}}^T \vec{f}_{\{k\}} \quad (3.28)$$

where  $\vec{R}_{\{k\}}$  denotes the approximate solution at  $k^{th}$  iteration. The explicit form of the equations can be derived by implementing our constraint functions to the generic statements, as follows;

$$J^T J = \begin{pmatrix} \sum_{i=1}^n \frac{(x-x_i)^2}{(f_i+r_i)^2} & \sum_{i=1}^n \frac{(x-x_i)(y-y_i)}{(f_i+r_i)^2} \\ \sum_{i=1}^n \frac{(x-x_i)(y-y_i)}{(f_i+r_i)^2} & \sum_{i=1}^n \frac{(y-y_i)^2}{(f_i+r_i)^2} \end{pmatrix} \quad (3.29)$$

and

$$J^T \vec{f} = \begin{pmatrix} \sum_{i=1}^n \frac{(x-x_i)f_i}{(f_i+r_i)} \\ \sum_{i=1}^n \frac{(y-y_i)f_i}{(f_i+r_i)} \end{pmatrix} \quad (3.30)$$

### 3.1.2 Route Table Determination and DSDV Algorithm

It is obvious that the possibility of having a large error on position and velocity data for the agents which do not have an external position measurement sensors, then it will be appropriate to get the agents into local trilateration process with the agents which have position sensors as much as possible. It is assumed that the positions of the beacon agents in the trilateration process are well-known with a little error boundary, ideally with no errors, so getting in the trilateration process with the agents which are already have errors on their position data and range measurements with errors will include some error components on the calculated datas. On the other hand due to the restrictions & requirements defined in the Section 1.3, it will not be possible to interact with the agents with position sensors directly due to the small communication

ranges of the agents and line of sight issues. In this case, it will be a good choice to handle this trilateration process starting with the agents which are closer to the position agents in an increasing order of distance.

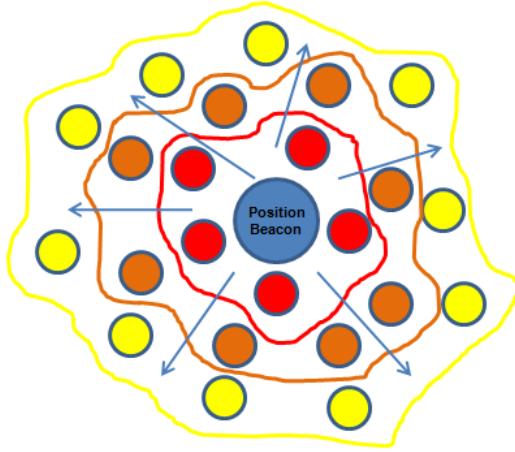


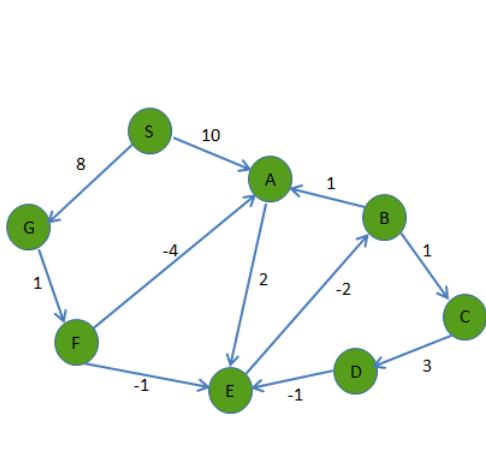
Figure 3.4: A Cluster of Agents Around a Position Beacon

As illustrated on the Figure 3.4 , suppose that the red agents which are closest ones to the position beacon in the swarm are the only ones which have the capability of interacting with the position beacon. Since the only source for true position measurement is the position beacon, this data must be distributed to these red agents first, then trilateration process must be propagated through the orange agents and then the yellow agents last, since they can only interact with an upper layer in the swarm due to the line of sight and communication range issues.

It is needed to have an algorithm to organize the order of the local trilateration process and to determine the beacon agents for each member of the swarm. Basically this algorithm will assign each agent a rank which represents the number of sequence in the localization process, and will determine at least three local neighbors of each agent to get in trilateration. Agents which do not have at least three neighbors are assumed to be lost agents and the handling of these type of agents are illustrated in Section 3.1.4.

### **3.1.2.1 Routing Algorithm- Bellman Ford**

As mentioned in the Section 1.3 of the thesis work, agents are assumed to have a limited communication range and bandwidth and the communication topology in the swarm must be implemented with a wireless mesh network. In this type of topology, each node is a relay in the network and the data is transferred to the related destination with the help of route tables. This makes it possible to have the capability of transferring low bandwidth data through the network with multiple hops. In this work, we have implemented this topology with a table driven routing scheme known as DSDV(Destination-Sequenced Distance Vector Routing Protocol) algorithm based on Bellman Ford algorithm. Bellman-Ford is an algorithm that computes the shortest path in a weighted graph. It is an algorithm based on relaxation and the correct distance to the vertices in the graph are updated iteratively from the initial estimations until converging to the optimal solution. This algorithm is slower than the Djikstra's algorithm which has similar functionalities but negative edge weights can be implemented in the related graph to report the negative cycles which means there is no cheapest path to the related destination vertex. On the other hand, it is possible to augment this algorithm with DSDV implementation to handle the routing loop problem when there is one or more vertices are no more exists in the network. The probability of the case with the non-existence of some vertices during the algorithm is processed is very high since the agents in the swarm have low sensor capabilities and small range of communication and they have a great possibility to get lost in the environment. A simple demonstration of the Bellman-Ford algorithm with a simple network is illustrated in the Figure 3.5



Node	Iteration							
	0	1	2	3	4	5	6	7
S	0	0	0	0	0	0	0	0
A	$\infty$	10	10	5	5	5	5	5
B	$\infty$	$\infty$	$\infty$	10	6	5	5	5
C	$\infty$	$\infty$	$\infty$	$\infty$	11	7	6	6
D	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	14	10	9
E	$\infty$	$\infty$	12	8	7	7	7	7
F	$\infty$	$\infty$	9	9	9	9	9	9
G	$\infty$	$\infty$	8	8	8	8	8	8

Figure 3.5: Costs for Shortest Paths to Each Nodes from Node 'S'

The algorithm to calculate the shortest paths for node 'S' terminates at the end of 7 iterations. At the beginning of the process, the weights for each edges are determined including the negative ones and each distance to the paths are filled with infinitive. Then the shortest paths to the each node in the given directed graph are determined iteratively with the help of the Bellman-Ford algorithm

### 3.1.2.2 Usage on Bellman Ford algorithm and DSDV

Bellman Ford algorithm have a drawback related with the routing loop problem which occurs in an event of one or more nodes in the graph are lost during the process. Figure 3.6 illustrates a simple routing loop problem.

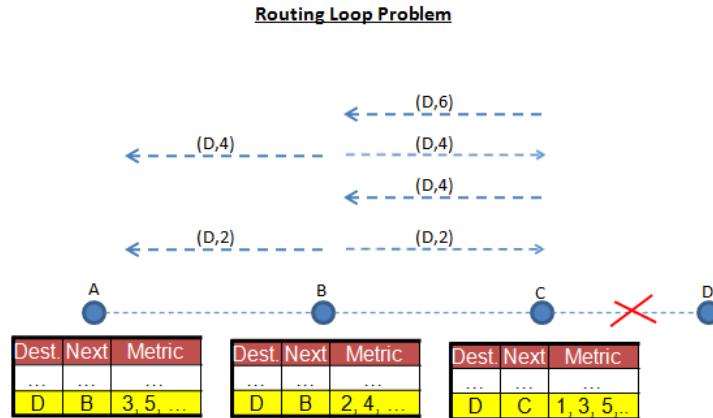


Figure 3.6: Routing Problem Engaged by a Lost of a Node in Network

Suppose that the node D have lost its contact with the network due to some malfunction or being lost by getting outside of the communication range to the closest neighbor of itself. Before this event, node C have a unit distance to the node D and consequently node B have a 2 unit distance to node D , node A have a 3 unit distance to node D. In case of a failure on node D, on the next iteration C will update its route table with the 3 unit distance to node D by taking reference the node B. Then node B will update its route table with the shortest distance of 4 units to the node D by referencing the node C and this process will diverge to infinity on the shortest paths with the increasing number of iterations. To provide a solution for these type of problems, DSDV algorihm has implement the sequence numbers and counts for hops into the route tables of the nodes. A simple route table for a vertex in a network is given in Figure 3.7

Route table for agent 2			
Destination	Next Hop	Metric	Dest. Seq. No
1	1	1	123
3	3	1	516
4	4	1	212
5	4	2	168
6	8	3	372
7	8	2	432

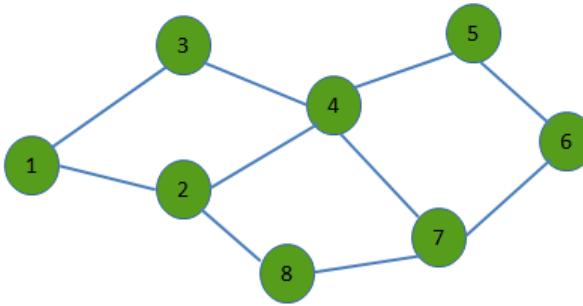


Figure 3.7: An Example for Route Table

In the DSDV algorithm, each node have a sequence number and counts for hops (metric) for each route in its route table and periodically transmits the updates including its own sequence number and routing tables updates. In the network, when two routes to the same destination received from two different neighbors, the nodes will observe the following rules;

- Choose the one with the larger destination sequence number
- If the sequence numbers are equal, then choose the route with minimum number of hops and update the route table.

### 3.1.2.3 DSDV Link addition

### DSDV Link Addition

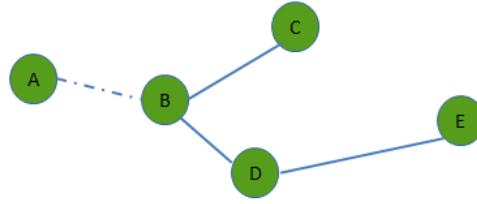


Figure 3.8: An Example for Route Table

When a new node A joins the network, it transmits of its own route table including the destination to itself  $\langle A, A, 0, 101 \rangle$ . Then the following procedure will be handled during iterations;

- Node B receives the transmission of A and inserts a new line into its route table with  $\langle A, A, 1, 101 \rangle$  and propagates this new node to its neighbors
- Node C and Node D receives this transmission and inserts the new route to their route tables with  $\langle A, B, 2, 101 \rangle$

#### 3.1.2.4 DSDV link breaks

### DSDV Link Breaks

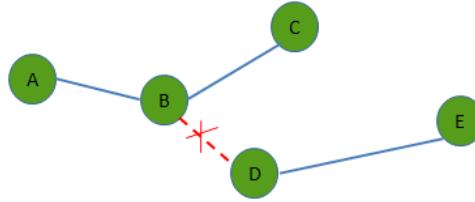


Figure 3.9: An Example for Route Table

When the link between B and D breaks, node B gets no transmission from the D and notices the link breaks, then the following procedure will be handled;

- Node B update the hop count for node D and E to the infinity and increments the sequence numbers to these routes
- Node B propagates the updates to its neighbors and node A and node C updates the lines of the routes to the D and E, since the message from B includes higher sequence numbers for those routes.

DSDV is implementing an algorithm to find the shortest paths between the internal nodes of a given directed graph. The costs for each shortest path are calculated with the help of the weight of edges in the graph. Since our aim is to find the closest position beacon which has the minimum number of hops, the weight for each edge in the graph must be represented with the same unit size and the directions of the edges are negligible.

### **3.1.3 Clusters**

Since there are limited number of position beacons in a swarm, it will be appropriate to cluster the agents around these position agents to minimize the problem to the subproblems in which every one of them there are only one position beacon and the agents which are assigned to that cluster. The error on the trilateration process is expected to be increasing at the lower layers of the process illustrated in Figure 4.5 because of the cumulative effects of errors added to the position and velocity data of the agents in each layer. Thus, the policy for the assignment of the agents to the clusters must be the number of hops to the position beacons rather than the physical distances. Since DSDV algorithm has a structure storing the number of counts to each route in the tables, this information can be used to determine each agents' clusters in the swarm.

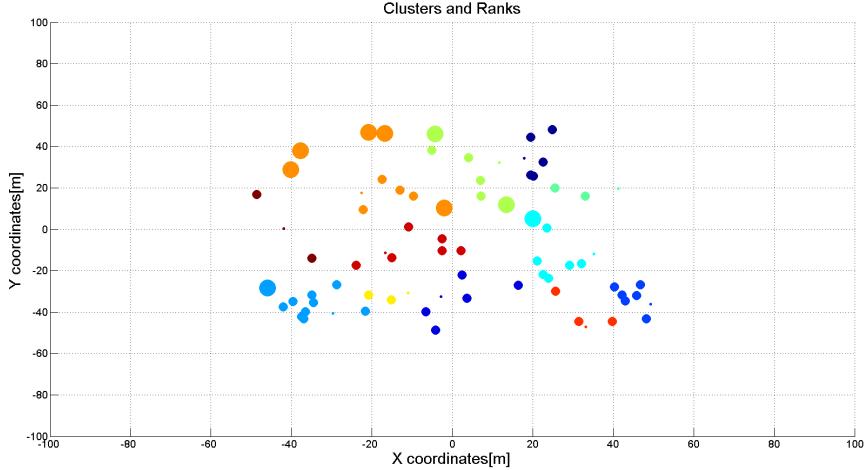


Figure 3.10: Clusters Around Position Beacons

As illustrated in the Figure 3.10, all agents have assigned themselves to the clusters around position beacons, in which they have minimum number of hops in the route to the related position agent. In the figure, the size of the agents are representing the increasing number of hops in the same cluster and the colors of the agents are representing the clusters in which each agent assigns itself. With this approach, the generic algorithm which will be executed with the period of localization process for each agent must be implemented as follows:

- 1) Update route table including the routes to the position agents in the swarm with DSDV algorithm
- 2) Check for the routes to the position agents and join the cluster in which minimum number of hops required to that destination.
- 3) Wait for the localization sequence in which the agents are entered the process with the increasing number of hops, e.g. the agent which have a single hop to the position agents are processed first, and then the other ones are processed consecutively as illustrated in Figure 3.4
- 4) If the localization sequence is valid for this agent, enter the trilateration process with at least 3 neighbor agents starting from upper layer, which are the next hops in the route table.
- 5) Call the update procedure of the observer system of which details are presented in Section 3.1.5

### 3.1.4 Handling Lost Agents

The minimum number of neighbors required for the trilateration process is three for a two dimensional localization problem as illustrated in Section 2.1.2 . Since the agents are assumed to have a narrow communication range, it is possible to not to find three neighbors for any agent at an instant time. In this case, it will be impossible to relocate these agents with trilateration and the position&velocity data will drift from the real values with the increasing time passed without trilaterations. To avoid these kind of problems, the concept of ‘lost’ agents and the procedures for these type of agents are described as follows:

- \* An agent gets into ‘Lost’ mode, if it doesn’t find three neighbors at an instant time
- \* If an agent is in ‘Lost’ mode and missed the localization process for three times, it will get into ‘Return to Home’ mode
- \* If an agent is in ‘Return to Home’ mode, it will directly try to reach to the center of the desired formation shape.

The idea behind the ‘Return to Home’ mode is basically to increase the possibility of getting the lost agent in touch with the rest of the swarm with directing it to the center of the swarm. A simple demonstration of this procedure is illustrated in Figure 3.11

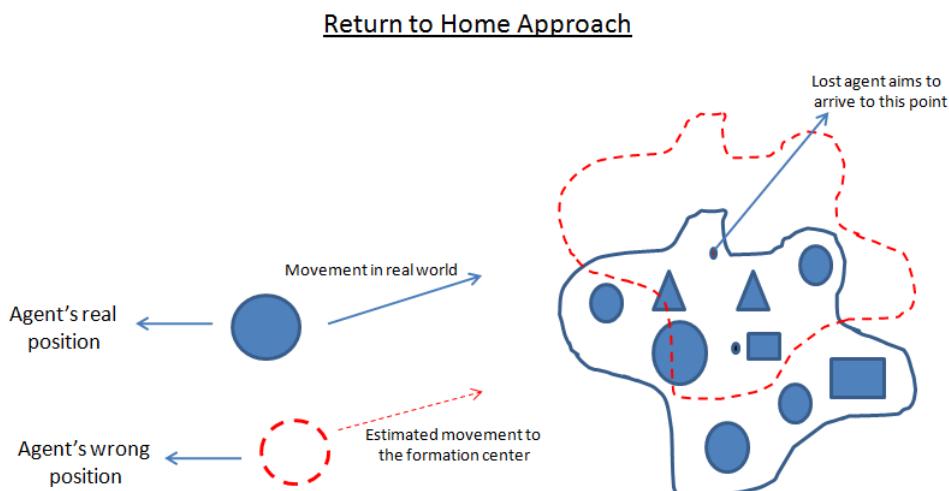


Figure 3.11: Return to Home Approach of a Lost Agent

The lost agent aims to reach to the center of the formation and due to the errors on its

position&velocity data, it is expected to arrive to the red point illustrated in the figure. With this maneuver, the lost agent still have a chance to meet some other agents in the swarm even if it directs itself to an incorrect goal state.

### 3.1.5 State Estimation Procedure

In local positioning subsystem, agents are expected to execute a state estimator algorithm in which they propagate their state vectors composed of translational position and velocities with the help of inertial measurements. As discussed at the introduction of Section 3.1 they will update and correct their positions with the measurements provided by the trilateration process executed with the localization timer period of 3 seconds. A Kalman estimator algorithm which uses the trilateration outputs as external measurements and the sensor measurements as inputs, is designed to fuse the sensor measurements with the trilateration calculations. The model for this observer system is defined as follows:

The state vector for each agent is defined as:

$$x_k = \begin{bmatrix} X_k \\ \dot{X}_k \end{bmatrix} \quad (3.31)$$

where  $X_k$  is the position and  $\dot{X}_k$  is the velocity of the agents in x coordinates in two dimensional environment. All of the following procedures will be handled exactly the same for the state vector in y coordinates.

The linear model to propagate states will be:

$$x_{k+1} = F_k x_k + B_k u_k + w_k \quad (3.32)$$

where  $w_k$  is the process noise and

$$F = \begin{bmatrix} 1 & d_t \\ 0 & 1 \end{bmatrix} \quad (3.33)$$

$$B = \begin{bmatrix} \frac{d_t^2}{2} \\ d_t \end{bmatrix} \quad (3.34)$$

where  $d_t$  is the propagation period and  $u_k$  is the translational acceleration calculated with the help of AHRS system driven by inertial sensors. The observation(external measurement) which will be calculated with the trilateration process :

$$z_k = H_k x_k + v_k \quad (3.35)$$

where  $v_k$  is the measurement noise. Since the trilateration process will provide new position informations of the agents:

$$H_k = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad (3.36)$$

The noise models for the process and the measurement are modelled with:

$$w_k = \mathcal{N}(\mathbf{0}, Q_k) \quad (3.37)$$

$$v_k = \mathcal{N}(\mathbf{0}, R_k) \quad (3.38)$$

where  $w_k$  is the process noise with zero mean multivariate normal distribution with covariance of  $Q_k$  and  $v_k$  is the measurement noise with zero mean Gaussian distribution with a covariance of  $R_k$

The filter has two main subsections named predict and update phases. The update phase of the filter is executed after each trilateration process with a period of 3 seconds. The filter equations are as follows:

Propagation phase:

$$\hat{x}_{k,k-1} = F_k \hat{x}_{k-1,k-1} + B_k u_k \quad (3.39)$$

$$P_{k,k-1} = F_k P_{k-1,k-1} F_k^T + Q_k \quad (3.40)$$

Update Phase:

$$\tilde{y}_k = z_k - H_k \hat{x}_{k,k-1} \quad (3.41)$$

$$S_k = H_k P_{k,k-1} H_k^T + R_k \quad (3.42)$$

$$K_k = P_{k,k-1} H_k^T S_k^{-1} \quad (3.43)$$

$$\hat{x}_{k,k} = \hat{x}_{k,k-1} + K_k \tilde{y}_k \quad (3.44)$$

$$P_{k,k} = (I - K_k H_k) P_{k,k-1} \quad (3.45)$$

where  $Q_k$  is the process covariance matrix and  $R_k$  is the measurement covariance chosen as

$$Q_k = \begin{bmatrix} \text{Max. Acceleration Error} * \frac{d_t^2}{2} & 0 \\ 0 & \text{Max. Acceleration Error} * d_t \end{bmatrix} \quad (3.46)$$

$$R_k = \text{Max. Position Error on Trilateration Process} \quad (3.47)$$

in the above equations  $K_k$  represents the Kalman gain matrix and  $S_k$  is the residual covariance of the system at time  $k$ .  $\hat{x}_{k,k}$  is the posteriori state estimate updated with measurements at time  $k$ ;  $\hat{x}_{k,k-1}$  is the priori estimate of the state vector predicted with inputs at time  $k$ ;  $P_{k,k}$  is the posteriori error covariance matrix updated with measurements at time  $k$ ;  $P_{k,k-1}$  is the priori estimate covariance predicted with the inputs at time  $k$ .

### 3.2 FORMATION CONTROL

The details of the methodology for dynamical formation control with heterogenous mobile robots is presented in this chapter. Basically three different approaches as artificial forces method, bubble packing method and randomized fractals method are implemented. It is possible to classify these methods in two sub categories. Potential field based approaches implements artificial forces acting on agents to get inside and cover the desired formation shape homogenously by avoiding collisions between the agents. The resultant positions of the agents in the formation shape is not certain, it dynamically changes with the instantaneous positions and interactions of the agents with each other and environment. The other two methods , shape partitioning based approaches, share a common structural basis. In these approaches the complex formation shape is partitioned into goal states to cover the shape homogenously with the mobile robots. The assignment of the agents to these goal states is handled with special algorithms to optimize the total displacements of the agents in the environment. The difference between these two methods is the partitioning approach of the formation shape.

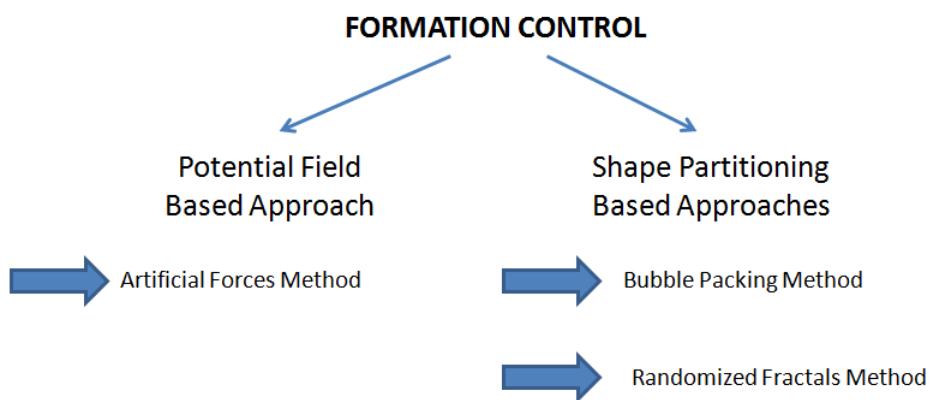


Figure 3.12: Formation Control Topologies

### 3.2.1 Potential Field Based Approach

#### 3.2.1.1 Artificial Forces Method

Artificial forces method implements potential fields over each agent arised from the interactions between agents, formation shape and environment. The positions of the agents at the formation shape are determined with local equilibrium of the swarm in which every agent is at balance under the total force acting from the environment. There are basically three different kinds of artificial forces named intermember forces representing the forces created by the other agents in the swarm to achieve collision avoidance, the attractive forces representing the forces created by the desired formation shape to attract the agent into the shape and repulsive forces created by the formation shape to keep agents inside the desired formation shape. It is possible to augment these type of forces for specific tasks and objectives, e.g. obstacle forces created by the obstacles in the environment can be implemented to achieve obstacle avoidance. Since the method to calculate the artificial forces involves contour integrals, it will be useful to give mathematical definition of contour integrals;

Consider a curve  $C$  which is a set of points  $z = (x, y)$  in the complex plane defined by

$$x = x(t), y = y(t), a \leq t \leq b \quad (3.48)$$

where  $x(t)$  and  $y(t)$  are continuous functions of the real parameter  $t$ . It is possible to write

$$z(t) = x(t) + iy(t), a \leq t \leq b \quad (3.49)$$

This curve is called smooth if  $z(t)$  has continuous derivative of  $z'(t) \neq 0$  for all points along the curve, and it is called simple if it does not cross itself as defined in Equation 3.50

$$z(t_1) \neq z(t_2) \text{ whenever } t_1 \neq t_2 \quad (3.50)$$

On the other hand if  $z(a) = z(b)$  is the only intersection point, the curve is said to be simple closed curve. Regarding with these given definitions, an example for a simple smooth closed curve is illustrated in Figure 3.13

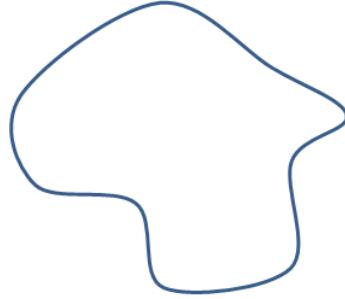


Figure 3.13: A Simple Closed Curve

Let  $f(z)$  is a complex function in a domain  $D$  in the complex plane and let  $C$  be simple closed contour contained in  $D$  with initial point  $z_0$  and terminal point  $z$ . It is possible to take the integral of  $f(z)$  along the contour  $C$

$$\oint_C f(z) dz = \int_a^b f(z(t)) \frac{dz(t)}{dt} dt \quad (3.51)$$

where

$$\frac{dz(t)}{dt} = \frac{dx(t)}{dt} + i \frac{dy(t)}{dt}, \quad a \leq t \leq b \quad (3.52)$$

To simplify this equation, one can write  $f(z) = u(x, y) + iv(x, y)$  and  $dz = dx + idy$  into the statements,

$$\begin{aligned} \oint_C f(z) dz &= \oint_C u dx - v dy + i \oint_C u dy + v dx \\ &= \int_a^b \left[ u(x(t), y(t)) \frac{dx(t)}{dt} - v(x(t), y(t)) \frac{dy(t)}{dt} \right] dt \\ &\quad + i \int_a^b \left[ u(x(t), y(t)) \frac{dy(t)}{dt} + v(x(t), y(t)) \frac{dx(t)}{dt} \right] dt \end{aligned} \quad (3.53)$$

This simplified form of the contour integrals will be used in utility functions in the following section.

## Utility Functions

As it is mentioned in the Section 1.3 part, the formation shapes will be simple closed contours which cannot be identified analytically, but the definition for the artificial forces and utility functions are expressed in continuous contour integrals which requires the analytical expression of the curve on which the integral will be taken. To provide a solution to these type of calculations, it is required to redefine these statements in discrete domain to achieve calculations with closed curves without analytical expressions.

### 1- Cauchy Winding Number:

Cauchy winding number of a curve in the plane around a given point is the number of times that curve travels counterclockwise around the point. This number is used to switch on/off some of the artificial forces while the agent is inside or outside of the formation shape. Suppose  $C$  is the closed curve which is a set of points  $z = (x, y)$  in the complex plane and  $z_i$  is a point to check whether it is inside of the curve, then the Cauchy winding number is :

$$n(C, z_i) = \frac{1}{2\pi i} \oint_C \frac{dz}{z - z_i} \quad (3.54)$$

The winding number for agent  $i$  in the swarm,

$$n(C, z_i) = \begin{cases} 1 & \text{when member } i \text{ is inside } C \\ 0 & \text{when member } i \text{ is outside } C \end{cases} \quad (3.55)$$

To implement this statement in discrete domain

$$n(C, z_i) = \frac{1}{2\pi i} \oint_C f(z) dz \quad (3.56)$$

where

$$f(z) = \frac{1}{z - z_i} \quad (3.57)$$

Function of  $f(z)$  can be partitioned into real and complex parts as:

$$u(x, y) = \text{real}(f(z)) \text{ and } v(x, y) = \text{imag}(f(z)) \quad (3.58)$$

partitioning this function as mentioned in Equation 3.53

$$\oint_C f(z) dz = \oint_C u dx - v dy + i \oint_C u dy + v dx \quad (3.59)$$

then

$$n(C, z_i) = \frac{1}{2\pi i} \left[ \int_a^b \left( u \frac{dx}{dt} - v \frac{dy}{dt} \right) dt + i \int_a^b \left( u \frac{dy}{dt} + v \frac{dx}{dt} \right) dt \right] \quad (3.60)$$

Discrete contour integral representation of this equation is

$$n(C, z_i) = \frac{1}{2\pi i} \left[ \sum_{k=1}^K (u(x_{k+1} - x_k) - v(y_{k+1} - y_k)) + i \sum_{k=1}^K (u(y_{k+1} - y_k) + v(x_{k+1} - x_k)) \right] \quad (3.61)$$

where

$$\|z_k - z_{k-1}\| = \|z_{k+1} - z_k\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.62)$$

The assumption of  $K \rightarrow \infty$  makes it possible to calculate the integral of Cauchy winding number with a small error with large number of  $K$  which can be achieved by partitioning the desired formation shape into small pieces with equal distances. This approach is used to provide representations of the contour integrals in discrete domain.

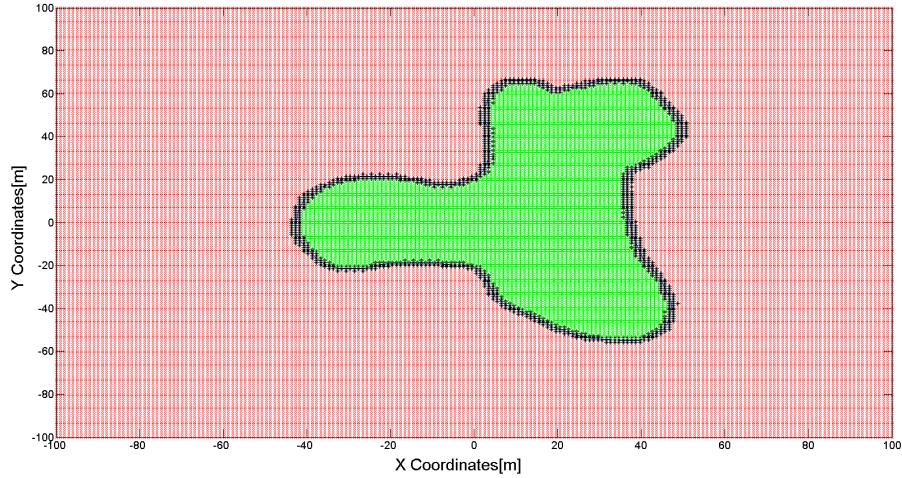


Figure 3.14: Formation Shape in an Environment  
(Green Dots: Inside of the Shape - Red Dots: Outside of the Shape)

## 2- Length of a formation shape

The length of a formation shape can be calculated with the equation of;

$$l(C) = \oint_C \|dz\| \quad (3.63)$$

the expression for this contour integral with points of  $z_k = (x_k, y_k)$  in the complex plane

$$l(C) = \sum_{k=1}^K \sqrt{(x_{k+1} - x_k)^2 + (y_{k+1} - y_k)^2} \quad (3.64)$$

where

$$\|z_k - z_{k-1}\| = \|z_{k+1} - z_k\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.65)$$

## 3-Center of a Formation Shape

The center of a formation shape can be calculated with the equation of;

$$z_c = \frac{\oint_C z \|dz\|}{l(C)} \quad (3.66)$$

the discrete domain expression for Equation 3.66 with points of  $z_k = (x_k, y_k)$  in the complex plane

$$\begin{aligned} z_{cx} &= \frac{\sum_{k=1}^K x(k)}{K} \\ z_{cy} &= \frac{\sum_{k=1}^K y(k)}{K} \end{aligned} \quad (3.67)$$

where  $z_{cx}$  and  $z_{cy}$  are the  $x$  and  $y$  coordinates of the center of formation shape respectively.

#### 4- Area of a Formation Shape

Green's theorem can be used to calculate the area of a closed curve. According to this theorem, the area of  $D$  given by the double integral

$$A = \iint_D dA \quad (3.68)$$

can be calculated with the line integral of

$$A = \oint_D F ds = \frac{1}{2} \oint_D x dy - y dx \quad (3.69)$$

where

$$F(x, y) = (-y/2, x/2) \quad (3.70)$$

This contour integral can be reduced down to

$$\begin{aligned} \text{Area} &= \frac{1}{2} \oint_C x dy - \frac{1}{2} \oint_C y dx \\ &= \frac{1}{2} \int_{t=a}^b x(t) \frac{dy(t)}{dt} dt - \frac{1}{2} \int_{t=a}^b y(t) \frac{dx(t)}{dt} dt \end{aligned} \quad (3.71)$$

the discrete domain expression for Equation 3.71 with points of  $z_k = (x_k, y_k)$  in the complex plane

$$Area = \frac{1}{2} \sum_{k=1}^K x_k(y_{k+1} - y_k) - \frac{1}{2} \sum_{k=1}^K y_k(x_{k+1} - x_k) \quad (3.72)$$

where

$$\|z_k - z_{k-1}\| = \|z_{k+1} - z_k\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.73)$$

### Artificial Forces

Artificial forces are defined to gather the agents in the swarm inside a formation shape and make them distributed homogenously inside the shape. It is possible to define some additional artificial forces to implement features like obstacle&collision avoidance or smooth transitions between the boundaries of the formation shape. Attractive forces, repulsive forces, intermember forces, obstacle forces and transition forces are implemented to generate individual control laws for all agents in the swarm. Suppose the state of a member  $i$  is described by

$$X_i = \begin{bmatrix} z_i \\ \dot{z}_i \end{bmatrix} \quad (3.74)$$

where  $z_i \in C$ , represents the position of the  $i^{th}$  member of the swarm. The state of the whole swarm  $x = [X_1 \ X_2 \ \dots X_n]$  is determined by the linear equations of [10]

$$\dot{x} = Ax + Bu \quad (3.75)$$

where

$$\begin{aligned} A &= diag(\hat{A})_{nxn} \\ B &= \frac{1}{m} diag(\hat{B})_{nxn} \end{aligned} \quad (3.76)$$

with

$$\hat{A} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad \hat{B} = \begin{bmatrix} 0 & 1 \end{bmatrix} \quad (3.77)$$

The vector for individual control laws of the swarm

$$u = [u_1 \ u_2 \ \dots \ u_n] \quad (3.78)$$

where

$$u_i = F_{i,a} + F_{i,r} + F_{i,m} + F_{i,t} \quad (3.79)$$

It is necessary to define the concept of "coverage circle" for the agents which will be used in the artificial forces calculations. Coverage circle of agent  $i$ ,  $C_i$  is defined as the circle with minimum radius which can cover the whole agent's collision surface. The radius of this circle is given with  $d_c$ . Some of the examples of coverage circles for different types of mobile robots are illustrated in Figure 3.15 below

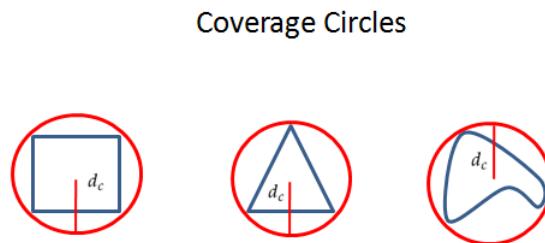


Figure 3.15: Coverage Circles of Different Types of Agents

The components of these control forces are described in details at the following section.

### *I- Attractive Forces*

Attractive forces are the artificial force components generated by the formation shape to attract the agent towards the center of the formation .They are active when the agents are outside of the shape.

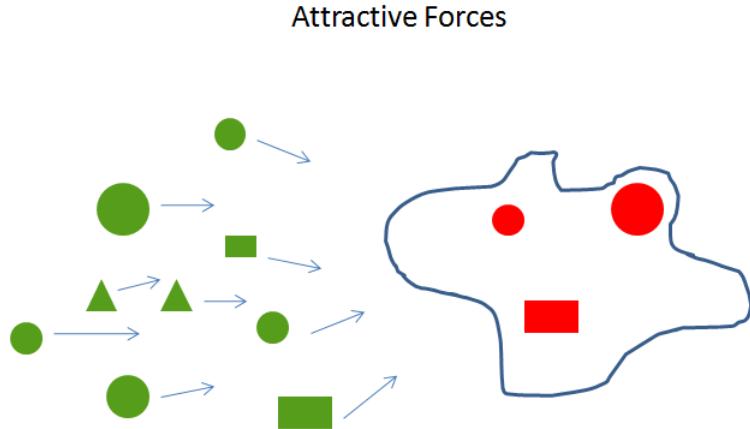


Figure 3.16: Attractive Forces Generated by the Formation Shape

The equations for the attractive forces are defined as follows:

$$F_{i,a} := \frac{k_a(1 - n(C, \alpha X_i))}{l(C)} \oint_C (z - \alpha X_i) \|dz\| \quad (3.80)$$

where  $k_a$  is the variable gain and  $\alpha = [1 \ 0]$ . for the attractive forces. The representation of the attractive forces on agent  $i$  on  $z_i = (x_i, y_i)$  with the points of  $z_k = (x_k, y_k)$  of formation shape in the complex plane:

$$\begin{aligned} F_{iax} &= \frac{k_a(1 - n(C, \alpha X_i))}{l(C)} \sum_{k=1}^K (x_k - x_i) \\ F_{iay} &= \frac{k_a(1 - n(C, \alpha X_i))}{l(C)} \sum_{k=1}^K (y_k - y_i) \end{aligned} \quad (3.81)$$

where

$$\|z_k - z_{k-1}\| = \|z_{k+1} - z_k\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.82)$$

and  $F_{iax}, F_{iay}$  are the attractive force components in  $x, y$  coordinates respectively.

## 2- Repulsive Forces

Repulsive forces are the artificial force components generated by the formation shape to keep the agents inside the shape. They are active when the agents are inside the shape.

### Repulsive Forces

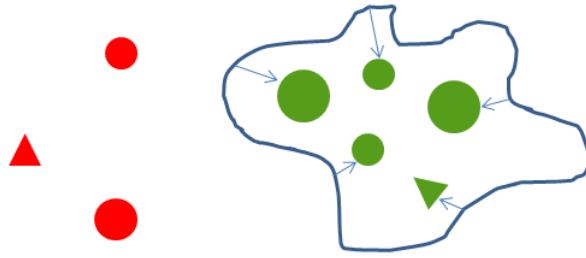


Figure 3.17: Repulsive Forces Generated by the Formation Shape

The equations for the attractive forces are defined as follows:

$$F_{i,r} := k_r n(C, \alpha X_i) \oint_C \left[ \frac{\alpha X_i - z}{\|\alpha X_i - z\|^3} \right] \|dz\| \quad (3.83)$$

where  $k_r$  is the variable gain for the repulsive forces. The representation of the repulsive forces on agent  $i$  on  $z_i = (x_i, y_i)$  with the points of  $z_k = (x_k, y_k)$  of formation shape in the complex plane:

$$\begin{aligned} F_{irx} &= k_r n(C, \alpha X_i) \sum_{k=1}^K \frac{x_i - x_k}{\|\alpha X_i - z_k\|^3} \\ F_{iry} &= k_r n(C, \alpha X_i) \sum_{k=1}^K \frac{y_i - y_k}{\|\alpha X_i - z_k\|^3} \end{aligned} \quad (3.84)$$

where

$$\|z_k - z_{k-1}\| = \|z_{k+1} - z_k\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.85)$$

and  $F_{irx}, F_{iry}$  are the repulsive force components in  $x, y$  coordinates respectively.

### 3- Inter-member repulsion forces

Intermember forces are the artificial force components generated by the agents in the swarm to avoid collisions between themselves.

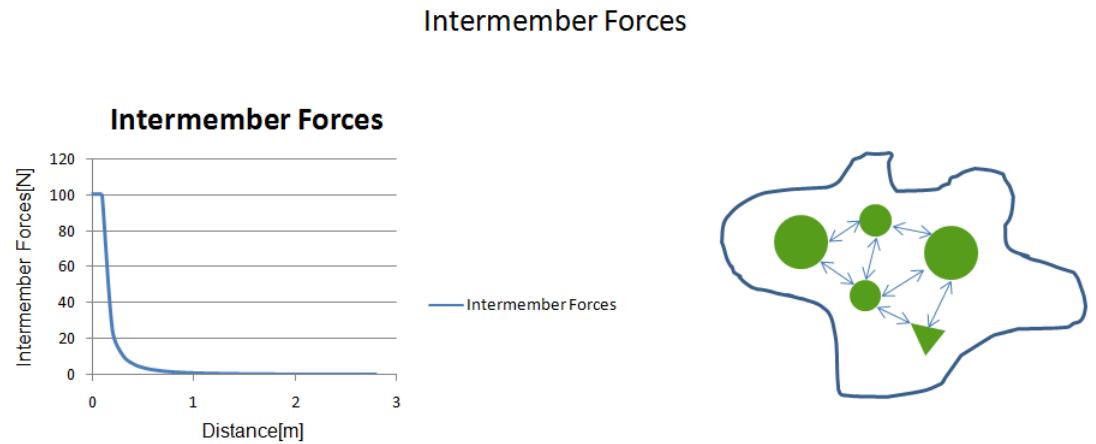


Figure 3.18: Intermember Forces Generated by Agents

The equations for the intermember forces on the agent  $i$  on  $z_i = (x_i, y_i)$  in the complex plane ,

$$F_{i,m} = k_m \sum_{j=1, j \neq i}^N \frac{\alpha X_i - \alpha X_j}{(\|\alpha X_i - \alpha X_j\|)} \frac{1}{(\|\alpha X_i - \alpha X_j\| - d_o)^2} \quad (3.86)$$

where  $d_o$  is the total distance between the center of coverage circels of agents which can be calculated with

$$d_o = d_{c_i} + d_{c_j} \quad (3.87)$$

The force components in x,y coordinates respectively,

$$F_{imx} = k_m \sum_{j=1, j \neq i}^N \frac{x_i - x_j}{\|\alpha X_i - \alpha X_j\|} \frac{1}{(\|\alpha X_i - \alpha X_j\| - d_o)^2} \quad (3.88)$$

$$F_{imy} = k_m \sum_{j=1, j \neq i}^N \frac{y_i - y_j}{\|\alpha X_i - \alpha X_j\|} \frac{1}{(\|\alpha X_i - \alpha X_j\| - d_o)^2}$$

where  $k_m$  is the variable gain for the intermember forces.

#### 4- Transition Forces

Transition forces are the artificial force components to force the agent to get inside the formation shape when they are close to the boundaries. Since the attractive forces have a decreasing nature while the agent getting closer to the formation shape, it is needed to add this type of forcing function to ensure the agents to get inside the shape. Transition forces are active outside of the desired formation shape.

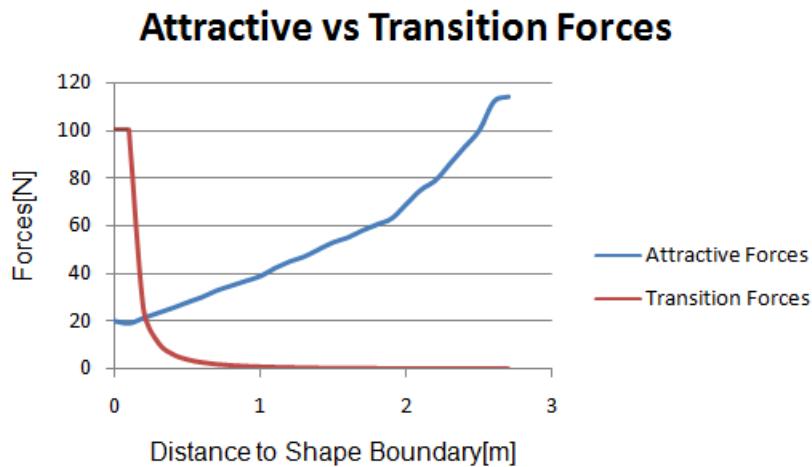


Figure 3.19: Comparison of Attractive and Transition Forces

The equation for the transition forces are defined as follows:

$$F_{i,t} = k_t (1 - n(C, \alpha X_i)) \oint_C \frac{z - \alpha X_i}{\|z - \alpha X_i\|} \|dz\| \quad (3.89)$$

where  $k_t$  is the variable gain for the transition forces. The representation of the transition forces on agent  $i$  on  $z_i = (x_i, y_i)$  with the points of  $z_k = (x_k, y_k)$  of formation

shape in the complex plane:

$$\begin{aligned} F_{itx} &= k_t (1 - n(C, \alpha X_i)) \sum_{k=1}^K \frac{x_k - x_i}{\|\alpha X_i - z_k\|^3} \\ F_{ity} &= k_t (1 - n(C, \alpha X_i)) \sum_{k=1}^K \frac{y_k - y_i}{\|\alpha X_i - z_k\|^3} \end{aligned} \quad (3.90)$$

where  $F_{itx}, F_{ity}$  are the transition force components in  $x, y$  coordinates respectively.

### 5- Obstacle forces

Obstacle forces are the artificial force components generated by the obstacles in the environment to achieve obstacle avoidance of the agents during formation control. The equation for the obstacle forces are defined as follows:

$$F_{i,o} := k_o \oint_O \left[ \frac{\alpha X_i - z_o}{(\|\alpha X_i - z_o\| - d_{c_i})^4} \right] \|dz_o\| \quad (3.91)$$

where  $k_o$  is the variable gain for the transition forces and  $d_{c_i}$  is the radius of coverage circle of agent  $i$ . This contour integral is taken on the curve of the obstacle with points of  $z_o = (x_o, y_o)$  in the complex plane.

The representation of the obstacle forces on agent  $i$  on  $z_i = (x_i, y_i)$  with the points of  $z_{ok} = (x_{ok}, y_{ok})$  of formation shape in the complex plane:

$$\begin{aligned} F_{iox} &= k_o \sum_{k=1}^K \frac{x_i - x_{ok}}{(\|\alpha X_i - z_{ok}\| - d_{c_i})^4} \\ F_{ioy} &= k_o \sum_{k=1}^K \frac{y_i - y_{ok}}{(\|\alpha X_i - z_{ok}\| - d_{c_i})^4} \end{aligned} \quad (3.92)$$

where

$$\|z_{ok} - z_{ok-1}\| = \|z_{ok+1} - z_{ok}\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.93)$$

## Buffer Zone Implementation

The attractive and transition forces are defined to be active when the agents are outside of the shape, the resistive forces are active when agents are inside the shape. Due to this type of sharp transitions on the total artificial force acting on an agent which is crossing the boundary of the formation shape, it is possible to have a chattering effect. To avoid this chattering effect and provide a smooth transition of the agent to pass the boundary of formation shape, a buffer zone around the boundaries are implemented. The main approach during the transition of these boundaries is to dynamically change the variable gains of these artificial forces to linearly change between zero and nominal values at the boundary conditions.

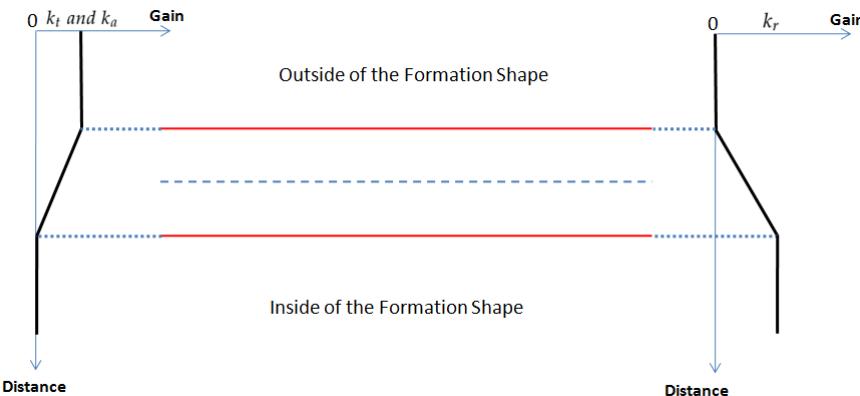


Figure 3.20: Transition of the Artificial Forces on Buffer Zone

## XSwarm Theory

In artificial forces method it is required to analyse the movement of a single agent and to prove that the motion will be towards to the desired shape under the effect of different artificial force components, since there are no predetermined goal states within the desired formation shape. For this purpose, Samitha and Pubudu [10] have provided a definition of "X-Swarm" and give a theorem which describes the motion of an agent towards the formation shape based on the X -Swarm definition. Let  $S$  be a swarm with  $N$  agents. This swarm is defined as X-Swarm if there exists some positive constants  $\delta$  and  $\gamma$  that satisfy the following conditions simultaneously for all  $i, j \in S$  and  $i \neq j$

$$\begin{aligned} &\succ d_{ij} \geq \gamma + \delta \\ &\succ \left\| \frac{z_i - z_{cm}^i}{z_i - z_{cm}} \right\| < \left( 1 + \frac{\delta}{\gamma} \right)^3 \end{aligned} \quad (3.94)$$

where

$$d_{ij} = \|z_i - z_j\| \text{ and } z_{cm}^i = \frac{\sum_{j=1; j \neq i}^N z_j}{N-1} \quad (3.95)$$

The term of  $z_{cm}^i$  is the center of mass of the swarm without the  $i^{th}$  member.

**Lemma 1:** The magnitude of artificial inter-member force acting on an agent of X-Swarm is less than

$$\frac{k_m(N-1)}{\gamma^3} \|z_i - z_{cm}\| \quad (3.96)$$

Proof:

$$F_{i,m} = k_m \sum_{j=1, j \neq i}^N \frac{z_i - z_j}{d_{ij}^3} \quad (3.97)$$

Using the first condition of the X-Swarm , we have

$$\|F_{i,m}\| < \frac{k_m(N-1)}{(\gamma + \delta)^3} \|z_i - z_{cm}^i\| \quad (3.98)$$

Then using the condition 2 of the X-swarm definition, the following expression can be derived

$$\|F_{i,m}\| < \frac{k_m(N-1)}{\gamma^3} \|z_i - z_{cm}^i\| \quad (3.99)$$

This result provides an upper bound to the magnitude of the inter-member repulsion force in a X-Swarm.

**Theorem:** Consider the agent  $i$  outside of a desired formation shape in an X-swarm, if  $\frac{k_a}{k_m} > \frac{N-1}{\gamma^3 l(C)}$ , then the motion of member  $i$  is towards to the center of the swarm.

**Proof:** Choosing a Lyapunov function candidate for the agent  $i$  as:

$$V_i = \frac{1}{2} \dot{v}_i \dot{v}_i^T + \frac{1}{2} v_i v_i^T \left( k_a l(C) - \frac{k_m(N-1)}{\gamma^3} \right) \quad (3.100)$$

It is possible to show that  $\dot{V}_i$  is bounded by taking the derivatives,

$$\dot{V}_i \leq -k_f \|\dot{v}\|^2 + \left[ \begin{array}{l} \left\| k_m \sum_{j=1, j \neq i}^N \frac{z_i - z_j}{\|z_i - z_j\|^3} \right\| \\ - \frac{k_m(N-1)}{\gamma^3} \|v\| \end{array} \right] \|\dot{v}\| \quad (3.101)$$

Since agent  $i$  is considered a member of a X-swarm, from Lemma 1

$$\left\| \sum_{j=1, j \neq i}^N \frac{z_i - z_j}{\|z_i - z_j\|^3} \right\| < \frac{N-1}{\gamma^3} \|z_i - z_{cm}\| \quad (3.102)$$

and hence

$$\dot{V}_i < -k_f \|\dot{v}_i\|^2 \quad (3.103)$$

which proves the given theorem.

### Implementation of X-Swarm Theory

Two conditions to create an X-Swarm is to find two positive variables which satisfy the following inequalities

$$\begin{aligned} &\succ d_{ij} \geq \gamma + \delta \\ &\succ \left\| \frac{z_i - z_{cm}^i}{z_i - z_{cm}} \right\| < \left( 1 + \frac{\delta}{\gamma} \right)^3 \end{aligned} \quad (3.104)$$

It is possible to check the X-swarm conditions for a swarm by using  $\min(d_{ij})$  and  $\max \left\| \frac{z_i - z_{cm}^i}{z_i - z_{cm}} \right\|$  values. Lets define the variable of  $X_{sw_{min}}$  and  $X_{sw_{max}}$  as following:

$$X_{sw_{max}} := \max \left\| \frac{z_i - z_{cm}^i}{z_i - z_{cm}} \right\| \quad X_{sw_{min}} := \min(d_{ij}) \quad (3.105)$$

To satisfy the X-swarm inequalities, it is possible to write

$$X_{sw_{min}} = \gamma + \delta \quad X_{sw_{max}} = (1 + \frac{\delta}{\gamma})^3 \quad (3.106)$$

by using the above equations it is possible to calculate the variables of  $\gamma$  and  $\delta$  as following,

$$\gamma = \frac{X_{sw_{min}}}{\sqrt[3]{X_{sw_{max}}}} \quad (3.107)$$

and

$$\delta = X_{sw_{min}} - \frac{X_{sw_{min}}}{\sqrt[3]{X_{sw_{max}}}} \quad (3.108)$$

if the calculated  $\gamma$  and  $\delta$  are both positive, than the X-swarm conditions are satisfied. Otherwise, the main approach is to increase the variable gain of  $k_m$  on runtime which determines the magnitude of the repulsive forces between agents to increase the minimum distance between the agents in the swarm,  $X_{sw_{min}} := \min(d_{ij})$

On the other hand, the theorem related with the convergence of member agents of an X-Swarm to the  $z_{cm}$  is satisfied under the condition of  $\frac{k_a}{k_m} > \frac{N-1}{\gamma^3 l(C)}$ . Another approach is to increase the  $k_a$  gain on runtime to make the related inequality be satisfied to force the agents which are outside of the desired shape to the center mass of the formation geometry.

### 3.2.2 Shape Partitioning Methods

Shape partitioning methods have basically reduced down the formation control problem into two subproblems. The first part of the solution is to partition the desired formation shape into potential goal states according to the agent types to cover the desired formation shape homogenously. There are two different solutions to the shape partitioning problem which are presented in this thesis work, bubble packing method and randomized fractals method. The second part of the solution is the decision process of the agents to select these goal states continuously to minimize the total displacements of the agents while achieving the desired formation shape. During this

decision process, the cost of reaching different goal states will be the main criteria for each agent. It is obvious that each agent will try to choose a goal state with minimum cost according to its position and the orientation in the environment. But the cases in which two or more agents are willing to reach the same goal point must be handled to optimize the overall utility of the swarm.

Shape partitioning methods have an approach to direct the agents to the goal states in which they have assigned instantly to minimize the total displacement, but it is important to keep the agents together in the swarm while moving on a trajectory towards the formation shape, to reduce the lost agent events described in Section 3.1.4. On the other hand, it is required to provide a collision avoidance between the agents and the obstacles while reaching the desired goal states. For these purposes, attractive force components which directs the agents to the center of the formation shape under X-swarm consideration and inter-member force components which avoids collisions between agents are added to the agents' velocity setpoints as additive terms.

### **3.2.2.1 Determining the Potential Goal States**

#### **Bubble Packing Method**

Bubble packing method is widely used in mesh generation problems. It basically depends on covering a curve, surface or a volume with a proper number of bubbles by packing them tightly which mimic a Voronoi diagram, from which a set of well-shaped Delaunay triangles and tetrahedra can be created by connecting the centers of the bubbles [15]. The algorithm places the bubbles with their initial conditions in the environment and apply them interbubble forces which imitates the Van der Waals forces between the molecular bonds to distribute the bubbles homogenously. Here, the main idea is to generate a mesh for a surface with identical bubbles to mimic a regular Voronoi diagram with the vertices represented by the centers of these bubbles. On the other hand, adaptive population control methods are developed to increase the number of bubbles to fill the gaps in the shape and to remove the excess bubbles which are overlapping with each other and the shape boundaries.

Since the numbers and the radius of coverage circles which is defined at Section 3.2.1.1 for the agents are predetermined in our formation control problem, the gen-

eral bubble meshing algorithm have to be adopted to meet the requirements for shape partitioning in formation control. The basic approach is to represent the agents in the swarm as bubbles with the radius of their coverage circles and create a mesh by using these bubbles.

### *I - Initial Placements of the Bubbles*

The initial bubble placements are important because it will greatly reduce the convergence time of the partitioning process. In this work, the bubbles are initiated close to the center of the formation shape randomly. The algorithm for initial bubble placements is provided as follows:

**Data:** Set of Bubbles, Desired Formation Shape

**Result:** Initial Placements of the Bubbles

Initialize free configuration space  $C_{free}$  as the desired formation shape

**for** <Each Bubble  $i$ > **do**

- \*Calculate the free configuration space,  $C_{free}$ , for bubble  $i$ ;
- \*Put the Bubble  $i$  to the closest point to formation center  $z_c$  in the free configuration space;
- \*Add the agent  $i$  into the configuration space shape as an obstacle ;

**end**

### **Algorithm 1: INITIALIZE\_BUBBLE\_POSITIONS**

The term free configuration space  $C_{free}$  will be analyzed in detail in Section 3.2.2.2. An execution of the Algorithm 1 is illustrated in Figure 3.21 below.

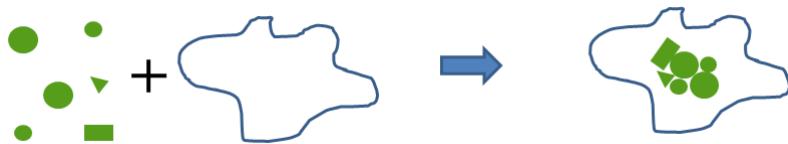


Figure 3.21: Initialization of the Bubble Packing Algorithm

### *II- Bubble Meshing Process*

The bubbles are distributed homogenously with this process under two kinds of forces, interbubble forces and shape repulsive forces. The interbubble forces are proximity-

based forces so that a system of bubbles is in equilibrium when bubbles are distributed over the whole formation shape. The implemented force equation is given

$$f_i(l) = \begin{cases} al^3 + bl^2 + cl + d & \text{when } 0 \leq l \leq l_0 \\ 0 & l > l_0 \end{cases} \quad (3.109)$$

where  $l$  is the distance between the centers of the related bubbles and  $a, b, c, d$  and  $l_0$  are the variables to tune the force acting on the bubbles.

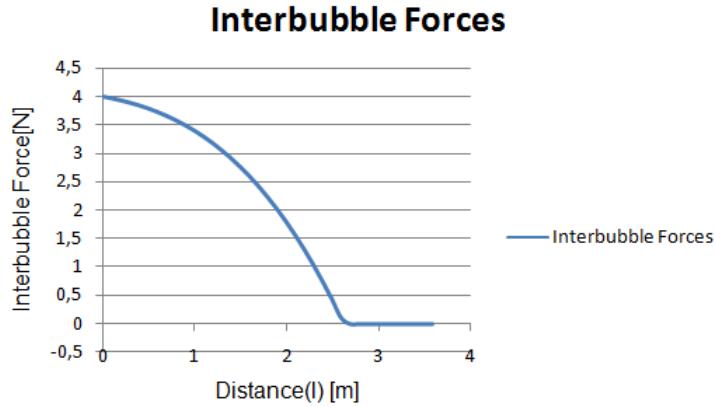


Figure 3.22: Interbubble Forces

The shape repulsive forces have the same characteristics with the repulsive artificial forces discussed in Section 3.2.1.1. The representation of shape repulsive forces for the desired formation shape  $C$  with the points of  $z_k = (x_k, y_k)$  the complex plane::

$$f_r(X_i) := \oint_C \left[ \frac{\alpha X_i - z}{\|\alpha X_i - z\|^3} \right] \|dz\| \quad (3.110)$$

where  $k_r$  is the variable gain for the shape repulsive forces. The representation of the shpae repulsive forces on agent  $i$  on  $z_i = (x_i, y_i)$  with the points of  $z_k = (x_k, y_k)$  of formation shape in the complex plane:

$$f_r(X_i) = \sum_{k=1}^K \frac{\alpha X_i - Z_k}{\|\alpha X_i - z_k\|^3} \quad (3.111)$$

where

$$\|z_k - z_{k-1}\| = \|z_{k+1} - z_k\|, \quad \forall k; \text{ when } K \rightarrow \infty \quad (3.112)$$

The bubbles are distributed homogenously under the influence of these two forces when they get an equilibrium state in which the total net forces acting on individual bubbles reaches zero. The final equilibrium states of the bubbles determine the potential goal states of the agents in the swarm to cover the formation shape.

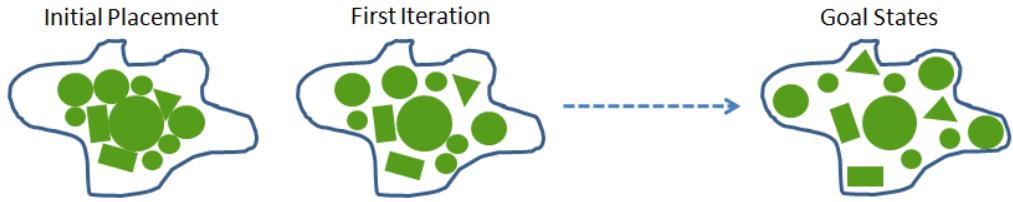


Figure 3.23: Bubble Packing Algorithm

### Randomized Fractals Method

Randomized fractals methods are used to cover surfaces or volumes randomly with fractals. The main idea is to fill the fractals with the areas determined by the rule of [14] :

$$A_i = \frac{A}{\zeta(c, N)(i+N)^c} \quad (3.113)$$

where  $A$  is the total area to cover and  $A_i$  is the area of the  $i^{th}$  fractal. The parameters of  $c$  and  $N$  can be chosen to implement different changes on the fractals' areas with the increasing number of iterations with  $c > 1$  and  $N > 0$ . Here  $\zeta$  is the Hurwitz function defiend by

$$\zeta(c, N) = \sum_{i=0}^{\infty} \frac{1}{(i+N)^c} \quad (3.114)$$

It is possible to write,

$$\sum_{i=0}^{\infty} A_i = \sum_{i=0}^{\infty} \left( \frac{A}{\zeta(c, N)(i+N)^c} \right) \quad (3.115)$$

which tells us the sum of the all areas  $A_i$  is the total area of  $A$  and the algorithm is space filling. This approach implements the fractals infinitely by reducing the areas in accordance with the Equation 3.113, to cover the desired formation shape randomly.

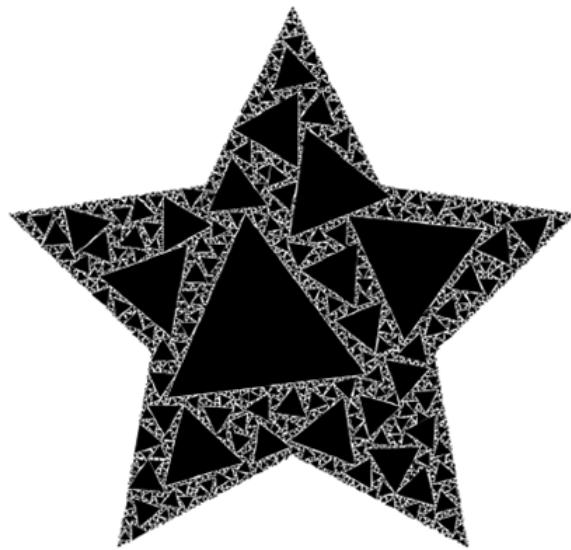


Figure 3.24: Randomized Fractals [14]

Since the areas and the number of the agents, which will be represented with their coverage circles, are predetermined in our work, it is possible to adopt this algorithm

as follows:

**Data:** Set of Coverage Circles, Desired Formation Shape

**Result:** Potential Goal States

Initialize free configuration space  $C_{free}$  as the desired formation shape

**for** <Each Coverage Circle  $i$ > **do**

\*Calculate the free configuration space  $C_{free}$  for circle  $i$ ;

**if**  $C_{free} \neq Null$  **then**

\*Put the circle  $i$  randomly in  $C_{free}$  ;

\*Add the agent  $i$  into configuration space as an obstacle ;

**else**

| \*Break and warn the operator to increase the size of formation shape

**end**

**end**

#### **Algorithm 2:** RANDOMIZED\_FRACTALS\_ALGORITHM

##### **3.2.2.2 Decision Process on Goal States**

In Section 3.2.2.1, the formation control problem is reduced down to a problem in which every agent is expected to decide where to position in a given set of possible goal states  $g_i \in G$ . During this decision process, the cost of reaching different goal states will be the main criteria for each agent. Given goal states and cost values to these goal states, each agent must decide where to position in the formation. This process must be held to optimize the utility of every agent with a collaboration. It is obvious that some of the agents may want to choose the same goal point to reach, so the swarm must reach a global consensus on target points and cases including conflicts must be handled. The main approach to provide a solution for this problem is to make each agent to calculate the costs of its own to the goal states and then create a global consensus with the other agents to minimize the overall displacements of the swarm while achieving a desired formation shape.

In this work, the cost of reaching a goal state is defined with the minimum shortest path in the environment. A visibility graph based approach is used to calculate

the shortest possible path to a goal state by taking into account the obstacles in the environment. The assignment process of the agents to the goal states which will be minimize the overall displacement, is handled with the help of Munkres (Hungarian) algorithm.

### Free Configuration Space

Since the shortest path is defined in the free configuration space, each agent must calculate its free configuration space by taking the obstacles in the environment into the account [16].

Assume an environment with set of obstacles  $S = \{P_1, P_2, \dots, P_t\}$ . Configuration for agent  $i$  can be described with the position of the center of its coverage circle with  $R = \{x_i, y_i\}$ . Configuration space of  $i$  th agent is the environment itself and represented by  $C(R_i)$ . This configuration space is composed of two subspaces; free configuration space and forbidden configuration space of agent  $i$ .

$$C(R_i) = C_{free}(R_i, S) + C_{forb}(R_i, S) \quad (3.116)$$

If the forbidden space is calculated with the help of Minkowski Sum method, free configuration space can be derived simply by extracting the forbidden space from the environment itself. Let a single obstacle is described with a point set of  $S_1$  and the agent is described with a point set of  $S_2$ . The Minkowski sum of these two sets  $S_1 \subset R^2$  and  $S_2 \subset R^2$  can be calculated with the following [16],

$$S_1 \oplus S_2 := \{p + q : p \in S_1, q \in S_2\} \quad (3.117)$$

where  $p + q$  denotes the vector sum of the vectors  $p$  and  $q$ .

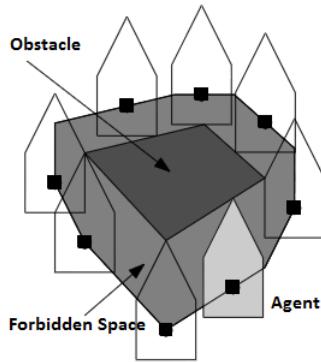


Figure 3.25: Forbidden Space Caused by an Obstacle [16]

Forbidden space for agent  $i$ ,  $C_{forb}(R_i, S)$ , is the sum of the forbidden spaces calculated for each obstacle in the environment [16].

### Visibility Graphs and Dijkstra's Algorithm

It is obvious that the shortest path between two points in the environment must lie on the free configuration space,  $C_{free}$ , for each agent to avoid collisions with the obstacles . A constraint for the shortest path is given as follows [16]:

The shortest path between  $p_{start}$  and  $p_{goal}$  among a set  $S$  of augmented polygonal obstacles consists of the arcs of the visibility graph  $\gamma_{vis}(S^*)$  where  $S^* := S \cup \{p_{start}, p_{goal}\}$

A visibility graph,  $\gamma_{vis}(S^*)$  , is a graph which is set of interior nodes representing the vertices of the set of obstacles,  $S$ , in the environment and edges which represents visible (which are not crossing and interior region of an obstacle) connections between these nodes [16].

Consider set of obstacles in the environment is augmented with the Minkowski Sums. Let these set of augmented polygonal obstacles represented with  $S_i \subset S$ .

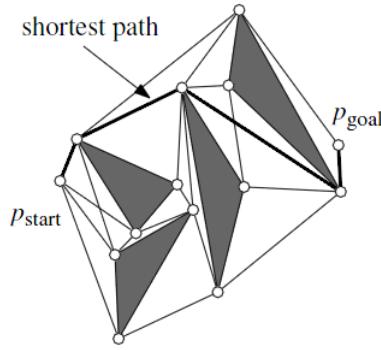


Figure 3.26: Shortest Path from an initial state to a goal state [16]

Algorithm to calculate the visibility graph of  $S^*$ ,

**Data:** Set of Vertices Included in  $S^*$

**Result:** Visibility Graph of  $S^*$

Initialize a graph  $\gamma = (V, E)$  where  $V$  is the set of all vertices of the polygons in

$S$  and  $E = \emptyset$  **for** *<all vertices  $v \subset V$ >* **do**

W = VISIBLE\_VERTICES( $v, S$ );

**end**

### Algorithm 3: VISIBILITY\_GRAPH

where  $VISIBLE\_VERTICES(v, S)$  algorithm checks whether line segments drawn from  $v$  to all vertices in  $S$  is intersecting an interior area of any obstacle in the environment. With the help of this  $VISIBILITY\_GRAPH$  algorithm  $SHORTEST\_PATH$  algorithm can be defined as follows.

**Data:** A set  $S$  of disjoint polygonal obstacles, and two points  $p_{start}$  and  $p_{goal}$  in the free space.

**Result:** The shortest collision-free path connecting  $p_{start}$  and  $p_{goal}$

\* Assign  $\gamma = VISIBILITY\_GRAPH(S^*)$

\* Assign each arc  $(v, w)$  in  $\gamma_{vis}$  a weight, which is the Euclidian length of segment  $vw$

\* Use Dijkstra's algorithm to compute a shortest path between  $p_{start}$  and  $p_{goal}$  in  $\gamma_{vis}$

### Algorithm 4: SHORTEST\_PATH

Dijkstra's algorithm computes the shortest path between two nodes in graph with  $k$  arcs, each having a non-negative weight. It is a tree search algorithm and used to calculate the shortest paths between nodes in a graphs, with the help of weighted edges between nodes. The time complexity of the original algorithm is  $O(n^2)$  where  $n$  is the number of the nodes in the graph. With the usage self balancing binary search tree, the algorithm requires  $O(k + n \log n)$  time in the worst case. The algorithm for the Dijkstra's is given as follows [16]:

```

Data:  $\gamma_{vis}$  ,  $source\_node$ 
Result: Shortest Distance to Any Node from  $source\_node$  in  $\gamma_{vis}$ 
for <Each Vertex  $v \in \gamma_{vis}$ > do
    | Distance[ $v$ ] :=  $\infty$  ;
    | Previous[ $v$ ] := undefined ;
end
Distance[ $source\_node$ ] := 0 ;
Q := The set of all vertices  $v \in \gamma_{vis}$  ;
while < $Q \neq null$ > do
    u := Node in Q with smallest distance to  $source\_node$ ;
    remove u from Q;
    for <Each Neighbor  $v$  of  $u$ > do
        alt := Distance[u] + Cost Between u and v nodes;
        if  $alt < Distance[v]$  then
            | Distance[v] := alt;
            | Previous[v] := u;
        end
    end
end
return Previous[v];

```

#### **Algorithm 5:** DIJKSTRA'S ALGORITHM

In algorithm above  $Distance[x]$  function call, calculates the total cost from the  $source\_node$  to the  $x$  vertex, and  $Previous[x]$  function call, returns the previous node in optimal path from  $source\_node$ .

In our work, the weights of the edges in  $\gamma_{vis}$ , are calculated with the Euler distance between nodes in the environment.

### **Collaborative Decision Process of Final Goal States**

Possible routes and costs to the goal states are calculated with the help of Visibility Graphs and Dijkstra's algorithm for each agent. It is obvious that each agent will try to choose a goal state with minimum cost according to its position and the orientation in the environment. But the cases in which two or more agents are willing to reach the same goal point must be handled by optimizing the overall utility of the swarm. To minimize the overall cost of the whole swarm while achieving a formation shape, Hungarian algorithm which is a combinatorial optimization algorithm that solves this assignment problem is used. To implement this algorithm, a complete bipartite graph  $G = (S, T, E)$  with  $n \in S$  agents and  $t \in T$  goal points is constructed. In this graph, each agent has a cost which is defined by the shortest path to the destination in the environment for different goal points.

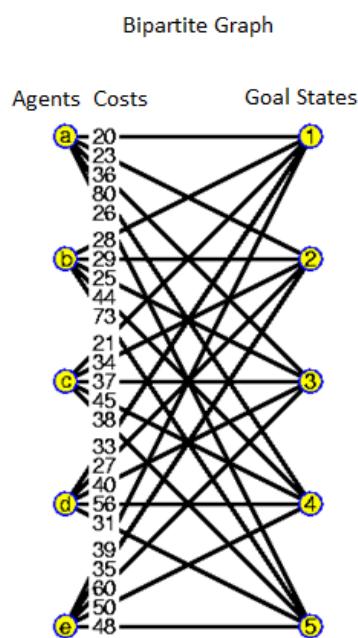


Figure 3.27: Sample Bipartite Graph Used in Assignment Problem [?]

A cost matrix  $C$  is defined to implement the Hungarian algorithm. The dimensions of

the cost matrix is  $n \times m$  in which each element represents the cost of assigning the goal state  $m$  to the agent  $n$ . Since we have equal number of agents and goal states, the cost matrix will be a square matrix with  $n \times n$  or  $m \times m$ . The algorithm for the assignment process as follows:

**Data:** Cost Matrix ,  $C$

**Result:** Assignment Array of Agents to Goal States

Label1 ;

**for** Each Row,  $R$ , in  $C$  **do**

| Find the smallest element and subtract it from every element

**end**

Label 2 ;

**if** A column,  $K$ , contains more than one zero **then**

| Repeat Label1 for each column,  $K$

**end**

Label 3 ;

Select element in columns for which a distinct minimum weight has been determined and add to solution

Label 4 ;

If it is not possible to reach the full solution, flag rows without solutions. Flag all columns in flagged rows that contain a zero. Flag all rows with a previously determined solution in previously flagged columns.

Label 5 ;

From elements remaining in flagged rows and unflagged rows, determine the element which has smallest value and assign this value to  $\gamma$ . Subtract  $\gamma$  from every unflagged element and add  $\gamma$  to every element that has been flagged twice.

Label6 ;

Goto Label3 until full solution has been achieved.

#### **Algorithm 6:** HUNGARIAN ALGORITHM

### 3.2.3 Mesh Quality Measurement

Since we have two different solutions to the shape partitioning problem, it is useful to define a method to compare the performances of these algorithms. One of the criterion of mesh diagrams is topological mesh irregularity [27-29]. This parameter is defined by :

$$\varepsilon_t = \frac{1}{n} \sum_{i=0}^n |\gamma_i - D| \quad (3.118)$$

where

$$D = \begin{cases} 6 & \text{for triangles in Voronoi Diagram} \\ 12 & \text{for tetrahedras in Voronoi Diagram} \end{cases} \quad (3.119)$$

and  $\gamma_i$  represents the degree, or the number of neighboring nodes connected to the  $i^{th}$  interior node, and  $n$  represents the total number of interior nodes, i.e. the number of bubbles or fractals. It is obvious that the topological mesh irregularity vanishes when all nodes have  $D$  neighbors, but it is almost not possible in practical applications.

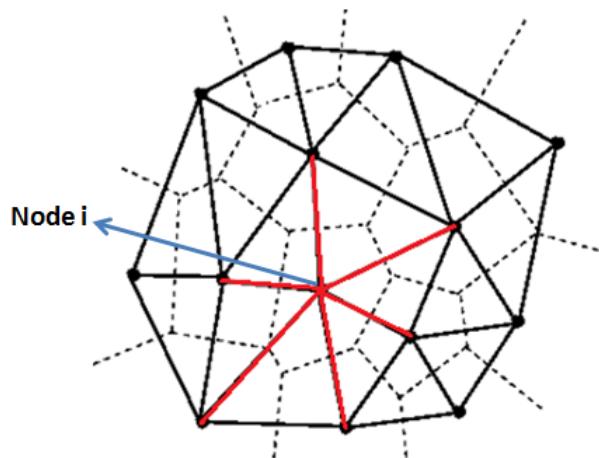


Figure 3.28: A Node with 6 Neighbors

Another metric to evaluate the quality or the performance of the mesh is the geometric

irregularity defined as [15]:

$$\epsilon_g = \frac{1}{m} \sum_{i=0}^m \left( A - \frac{r_i}{R_i} \right) \quad (3.120)$$

where

$$A = \begin{cases} 0.5 & \text{for triangles in Voronoi Diagram} \\ \sqrt{2/11} & \text{for tetrahedras in Voronoi Diagram} \end{cases} \quad (3.121)$$

and  $m$  represents the number of nodes,  $r_i$  is the radii of inscribed circle of Voronoi cell belonging to node  $i$  and  $R_i$  is the radii of the circumscribing circle of Voronoi cell belonging to node  $i$

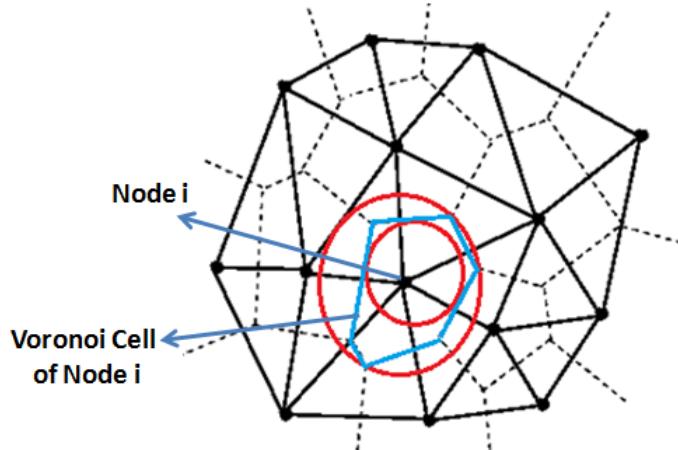


Figure 3.29: Inscribing and Circumscribing Circle of a Voronoi Cell of Node i

### 3.2.4 Control System Design for Individual Agents

Shape partitioning methods will provide the goal states for a desired formation shape and agents will be assigned to those goal states to minimize the total displacements of the agents in the swarm. A control system which will guide the agents to reach these goal states must be designed for each agent individually. Since the environment is dynamically changing with lots of mobile agents, it is very probable to have different

assignments to these goal states at each iteration of formation control. On the other hand, the collision with the obstacles and the other agents at the environment must be prevented due the requirements presented in Section 1.3. The control system must react to these dynamic requests. For these purposes, a velocity controller with a large bandwith is designed at the inner loop of the control system and the outer loop is composed with a velocity setpoint generator. The block diagram for the proposed controller structure is presented in Figure 3.30.

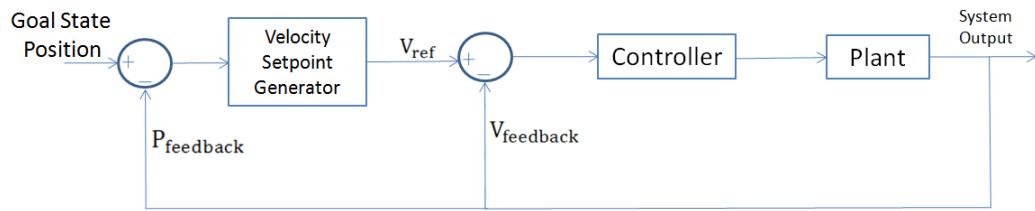


Figure 3.30: General Scheme of the Contol System

Velocity setpoint generator provides instant setpoints for the inner loop based on the current position of the agent and the desired goal state position. This loop calculates the amplitude of the velocity setpoint proportional with the euclidian distance of agent to the desired goal state. The direction of this velocity setpoint vector has a bearing angle of the line segment drawn from the agent to the goal state. This generator saturates the amplitude of the setpoint vector with 0.5 [m/sec] to prevent the agents' travelling in the environment so fast.

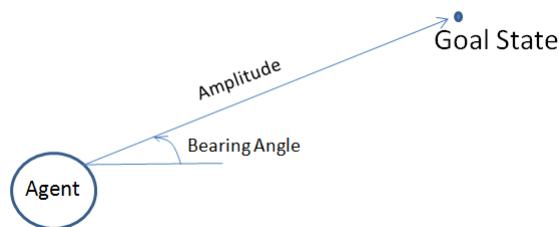


Figure 3.31: Velocity Setpoint Generation

The inner loop is designed to track the velocity setpoint provided by the generator and additive terms and it has a state feedback structure. The gains for the feedback states are calculated with an LQR controller. A simple mass, damper type second

order linear system is used to provide a model for the controller. The translational friction force is assumed to be linear with the velocity of each agent. Different mass and friction coefficients for heterogenous mobile robots are used in control system design. To track the desired velocity setpoint, the model of the system is augmented with an artificial error state  $e$ ,

$$\begin{bmatrix} \dot{v} \\ \dot{e} \end{bmatrix} = \begin{bmatrix} -b/m & 0 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} v \\ e \end{bmatrix} + \begin{bmatrix} 1/m \\ 0 \end{bmatrix} F_{net} \quad \text{and} \quad y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} v \\ e \end{bmatrix} \quad (3.122)$$

where  $b$  is the linear friction force coefficient and  $m$  is the mass,  $v$  is the linear velocity of the agent and  $e$  is the augmented error state which is the integral of the velocity state. Here the  $v$  state is the stabilizing part of the controller and the  $e$  error state is the tracker part of the controller. The state feedback gain,  $K$ , which minimizes the quadratic cost function of

$$J = \int_{t_o}^{t_1} (x^T Q x + u^T R u) dt \quad (3.123)$$

is calculated with help of '*lqr*' function of MATLAB for the given system in Equation 3.122 with the gain matrices of

$$Q = \begin{bmatrix} q_1 & 0 \\ 0 & q_2 \end{bmatrix} \quad \text{and} \quad R = r_1 \quad (3.124)$$

The parameters for the controller design are determined with the approach presented below,

$$q_1 = \frac{1}{t_1(x_{1max})^2}; \quad q_2 = \frac{1}{t_2(x_{2max})^2}; \quad \text{and} \quad r_1 = \frac{1}{(u_{1max})^2}; \quad (3.125)$$

Here  $t_i$  is the desired settling time for  $x_i$  which is determined as 1.5 seconds for the velocity and 0.001 seconds for the integral state. The statement of  $x_{imax}$  represents the expected maximum value of the state  $i$ , which is defined 1 [m/sec] for the velocity state and 4 [m] for the error state.  $u_{1max}$  represent the maximum allowable input signal which is defined as 3 [N]. The structure of the inner loop is illustrated in Figure 3.32

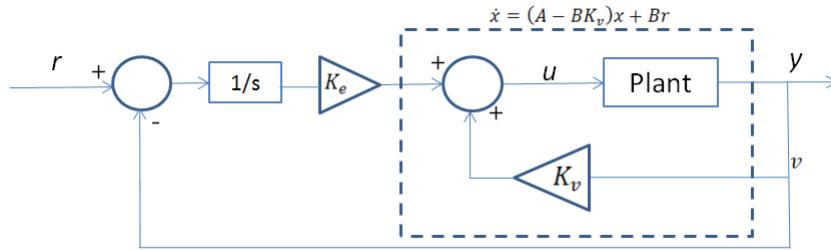


Figure 3.32: Inner Loop Structure

The error between the reference and the velocity feedback is integrated and multiplied with the error gain, and the velocity state is multiplied with the velocity gain. These two components generate the total velocity control input of the system.

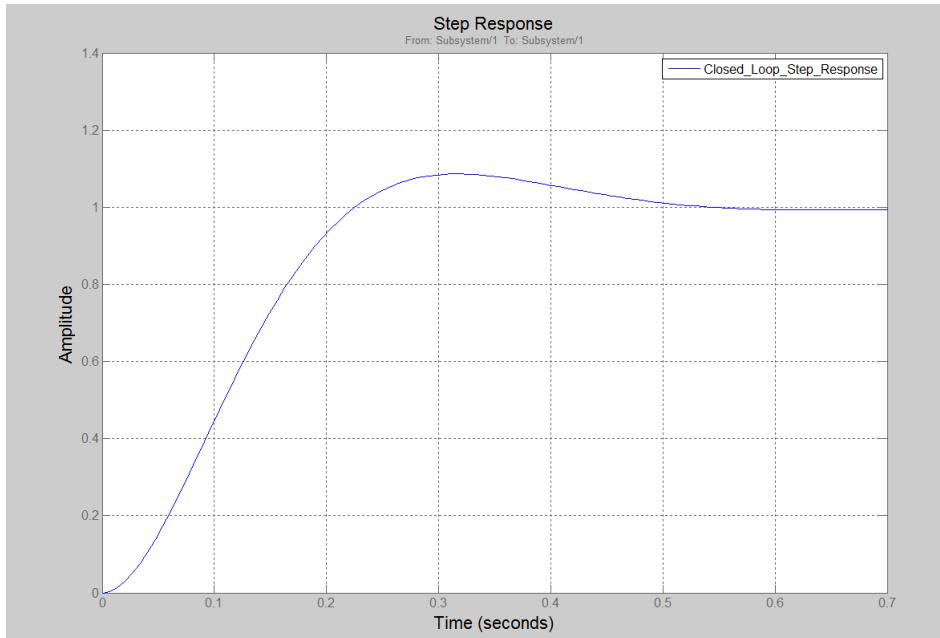


Figure 3.33: Step Response of the Closed Loop

According to the Figure 3.33, the settling time for the inner loop is around 0.5 seconds for a step input. This response characteristics is important since the system cannot react to the setpoints changing faster than 0.5 seconds approximately, so the formation control loops in which the new goal state assignments are handled must not be executed with a frequency greater than 2Hz.

## CHAPTER 4

### SIMULATION ENVIRONMENT & RESULTS

In this section, the simulation environment is illustrated and the results are analyzed and discussed in detail for the local positioning system design and formation control system design. Three different methods of formation control system which are presented in Section 3 are evaluated and compared with each other. Simulations are handled in the environment of Gazebo simulator with a swarm of 50 agents which includes three different types of robots. The main system frequency in which each agent propagates its state vector, calculates artificial forces and makes goal state assignments is determined as 2Hz due to the response characteristics of the inner loop velocity controllers which is discussed in Section 3.2.4.

#### 4.1 Simulation Environment

Block diagram of the simulation environment is illustrated in Figure 4.1.

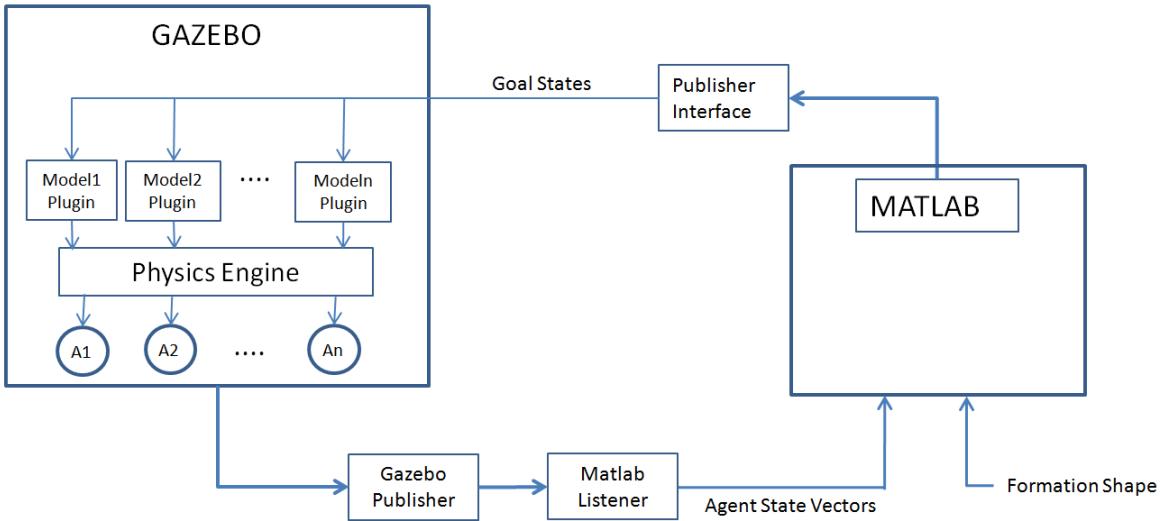


Figure 4.1: Simulation Environment

Gazebo is an open source multi robot simulator developed by OSRF(Open Source Robotics Foundation). It has multiple physics engines; ODE (Open Dynamics Engine), Bullet, DART(Dynamic Animation and Robotics Toolkit) and Simbody. It can execute simulations with multiple agents in an environment that is fully created by the user. The dynamics and the physical properties of the robots are determined by the user. It can be integrated with Robot Operating System(ROS)

Shape partitioning algorithms are executed in Matlab environment and potential goal states are published over a network socket. A plugin working in Gazebo environment, listens the packets sent by Matlab and parse the data for each agent. Agents have their own plugins that listen to goal states and execute their decision process algorithms. These plugins also have controller algorithms to make the agents reach to the goal states they have assigned. Dynamics of the agents are handled in the physics engines of the Gazebo simulator. The state vectors of the agents are published with a plugin from the Gazebo simulator and these state data are used in the shape partitioning algorithms together with the formation shapes demanded by the user. The environment is chosen as a rough 3D territory and each agent have different interactions with the environment with different mass and friction characteristics.

Figure 4.2 illustrates the Gazebo simulation environment.

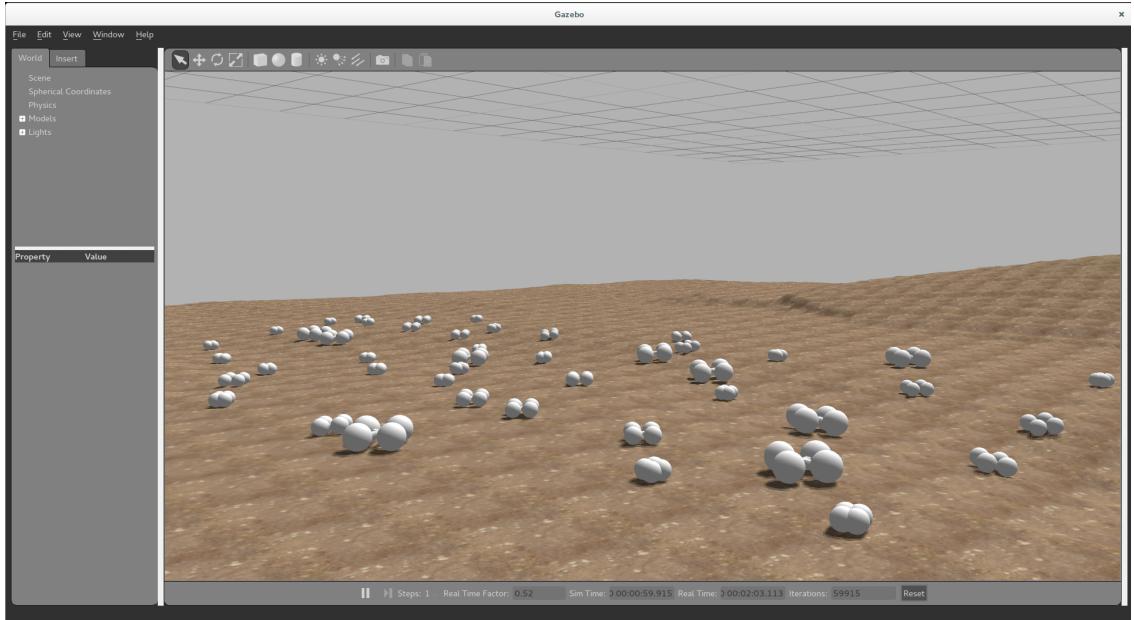


Figure 4.2: Simulation Environment in Gazebo

In this simulation environment, each agent is designed with collision surfaces composed by four spheres which are assumed to have point interaction with the environment. Agents have cylindrical links binding there spherical contact points. The mass and friction coefficients for different types of agents are given in Table 4.1. Here there are two different types of frictions,  $\mu_1$  represents the Coulomb friction coefficient for the first friction direction and the  $\mu_2$  is the friction coefficient for the second friction direction (perpendicular to the first friction direction)

Table 4.1: Mass,Friction Coefficient and Coverage Circle Radius for Different Types of Agents

<b>Agent Type</b>	<b>Mass[kg]</b>	$\mu_1$	$\mu_2$	<b>Coverage Circle Radius[m]</b>
Agent 1	0.8	0.21	0.13	0.15
Agent 2	1	0.18	0.18	0.3
Agent 3	1.5	0.21	0.13	0.5

Figure 4.3 illustrates the Matlab environment used in simulations. Red circle which are the same size with coverage circles defined in Section 3.2.1.1, are representing the different types of agents in the environment. The shape defined with blue line is the desired formation shape and the obstacles are represented with green lines in the

environment.

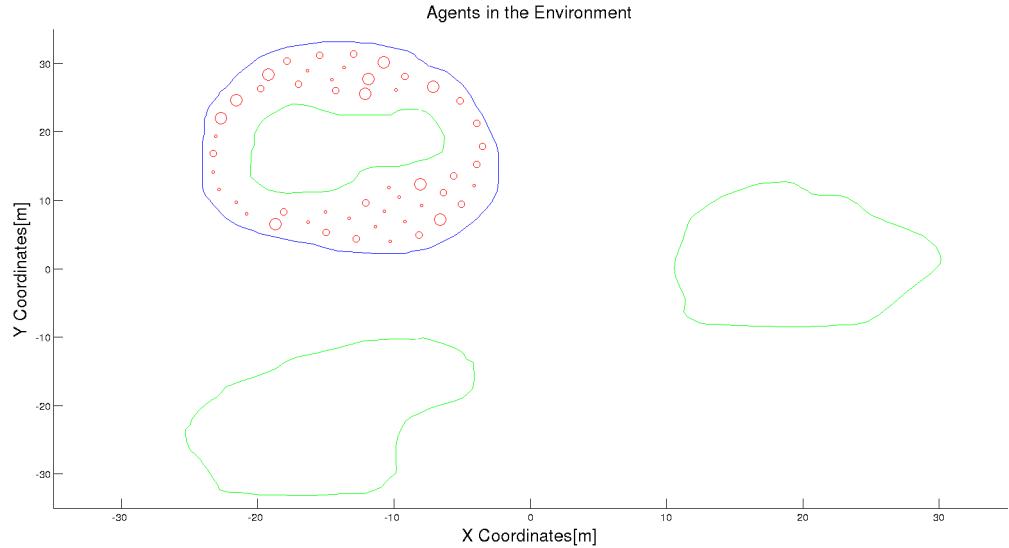


Figure 4.3: Simulation Environment in Matlab

## 4.2 Local Positioning System

In the local positioning system, the main aim is to design an architecture in which every agent can localize itself with the help of the position agents which have positioning sensors on their boards. With the help of DSDV algorithms, each individual agent assign itself to the cluster of a position agent with minimum number of hops in the network which is discussed in Section 3.1.3. A sample output of this algorithm at an instant time during simulations is illustrated in Figure 4.4.

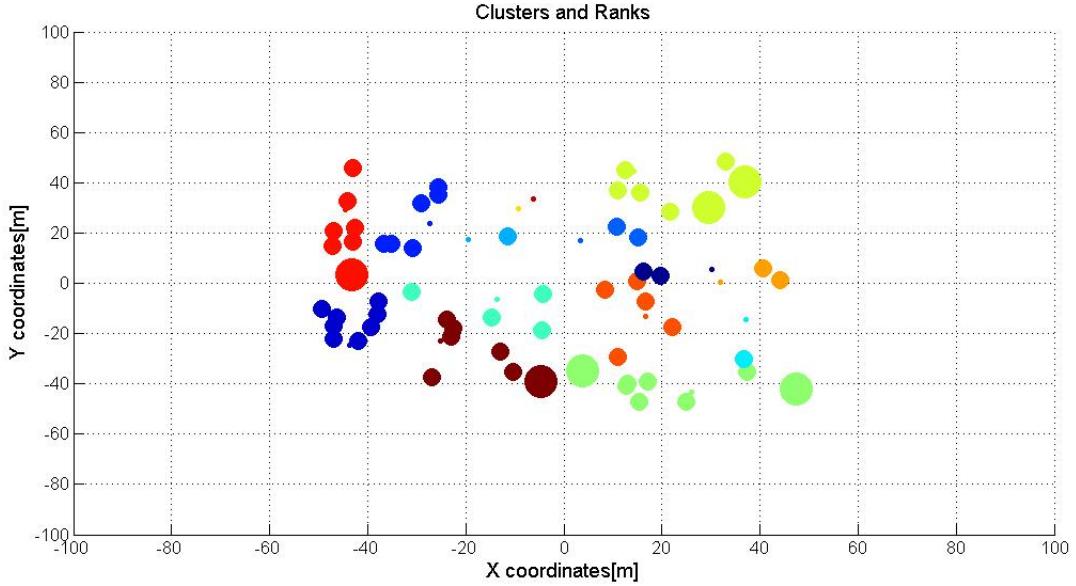


Figure 4.4: Cluster Assignments of the Agents

Agents with the same colors are assigned to the same clusters at this instant time and their size represents the rank number of each agent at that cluster which is determined with the number of hops to reach the position beacon in that cluster. It is important to notice that agents are assigned to the clusters of the position agents with minimum number of hops rather than the minimum distance since it is expected to have greater errors on position data with the increasing number of ranks because of the cumulative error effect. This assumption is supported with the simulation experiments and results are presented in Figure 4.5.

The agents which are not directly get into trilateration process with the position agents (i.e. agents with rank greater than 2) have to localize themselves with the help of their neighbor with lower ranks, thus their position data will include the errors of the localization process of their neighbors. This situation is illustrated in Figure 4.5, total error is increasing cumulatively with the increasing number of rank.

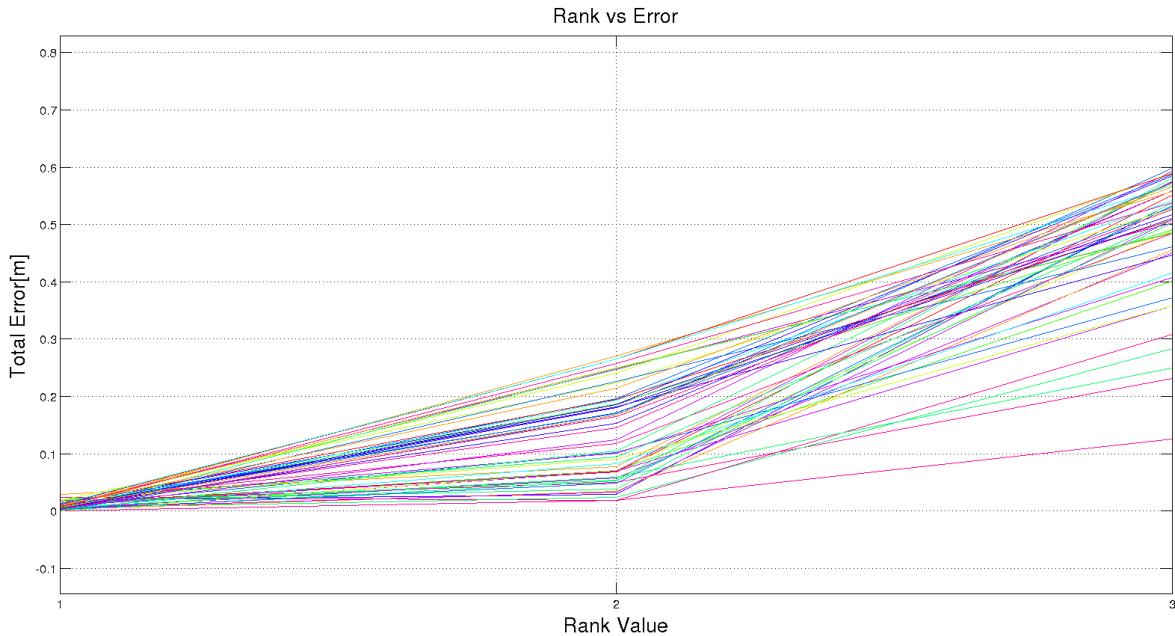


Figure 4.5: Total Error on Position Data with Respect to Ranks

The state propagation period is determined as 2Hz which is equal to the main system frequency since the new position datas for the formation control system are required to be determined with this rate. As discussed in Section 3.1, a localization timer is proposed to handle the DSDV algorithm and trilateration process which will provide a greater execution period than the propagation process of the states with the help of inertial measurements. It is obvious that the state variables will be drifting with the increasing time because of the measurement and process noise in propagation process unless they are not corrected with the external measurements, so it is possible to determine a minimum execution period of this trilateration process to satisfy an error band in the state variables.

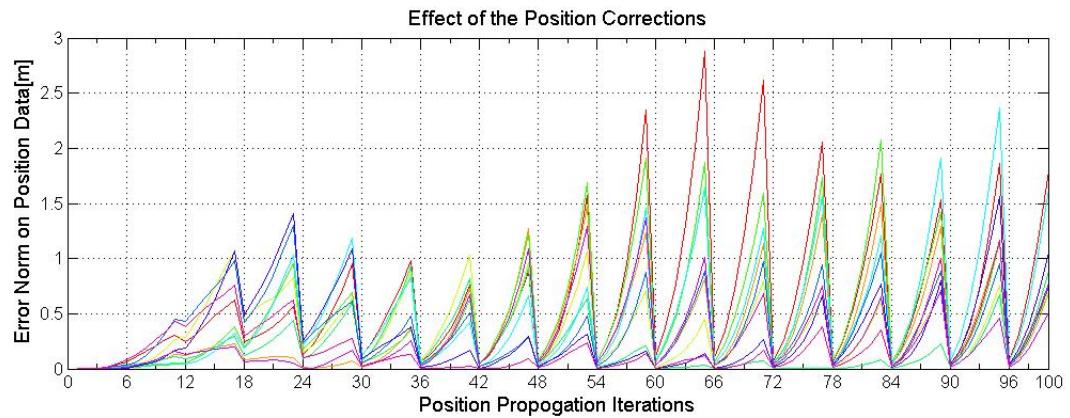


Figure 4.6: Total Error with Localization Timer Period of 3 Seconds

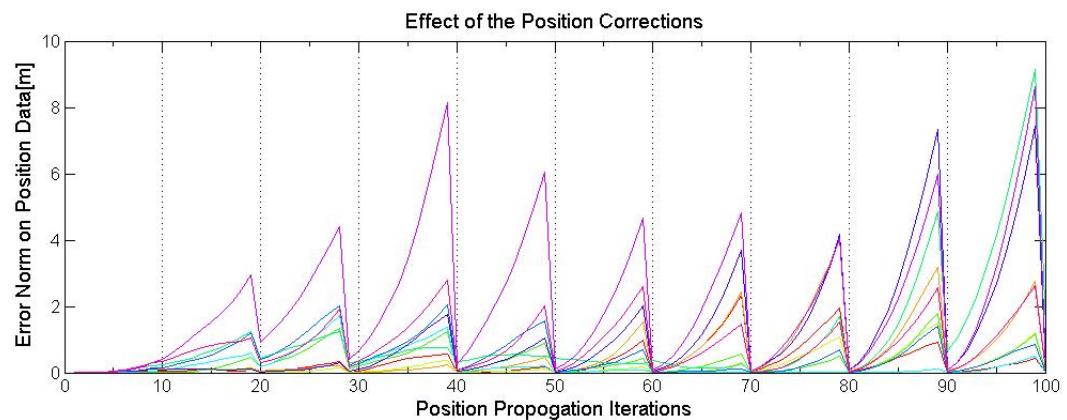


Figure 4.7: Total Error with Localization Timer Period of 5 Seconds

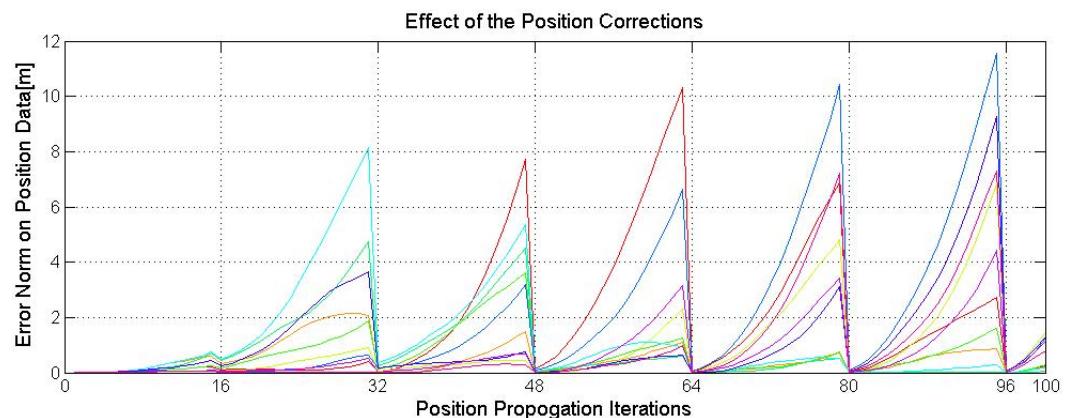


Figure 4.8: Total Error with Localization Timer Period of 8 Seconds

It is clear that the total error norm on position data are decreased dramatically with the localization period which equals to 6 iterations for 3 seconds period, 10 iterations

for 5 seconds and 16 iterations for 8 seconds period. The peak values on the error norms are always observed at the iterations just before the localization process. It can be concluded that the peak values on the error norms are greatly related with the localization period and they have greater values with the increasing number of this period. The maximum error norm is below 3 meters with localization period of 3 seconds, below 10 meters with localization period of 5 seconds and below 12 meters with localization period of 8 seconds. In this work, it is assumed that the error norm of 3 meters is the maximum tolerable value for the formation control system to satisfy a successful collision avoidance for the agents since we have a coverage circle radius of 0.5m for the biggest agent in the swarm. Thus, the localization period for the trilateration process is determined as 3 seconds.

The performance of the LPS design is tested in simulations with different conditions in which swarm is propagating to a desired formation shape or agents are keeping their positions in dynamically changing formation shapes. In both cases, it is observed that the position datas of the agents are drifted with an increasing error between two sequential localization process. A sample case for this situation is illustrated in Figure 4.9 and Figure 4.10

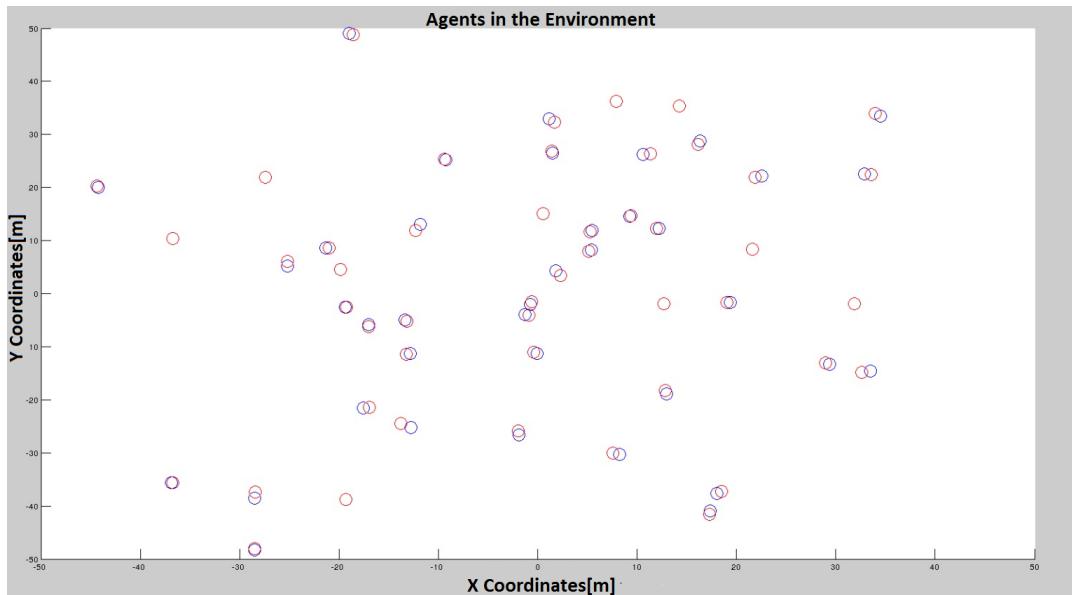


Figure 4.9: Positions of the Agents Before Localization Process  
 (Red Circles: Estimated Positions ; Blue Circles: Real Positions)

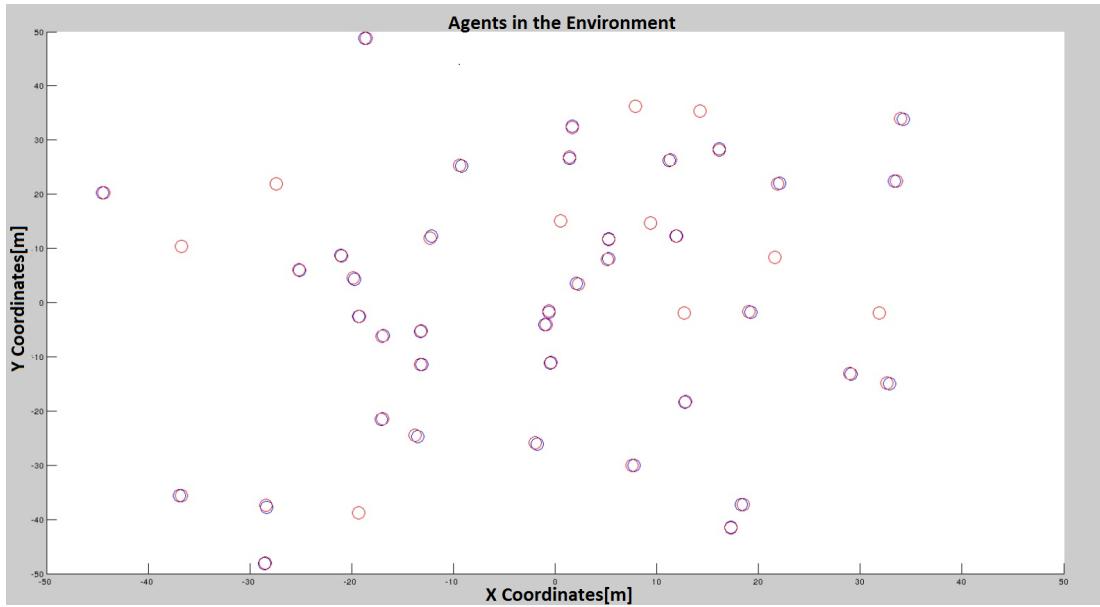


Figure 4.10: Positions of the Agents After Localization Process  
 (Red Circles: Estimated Positions ; Blue Circles: Real Positions)

Handling procedures of the lost agents are presented in Section 3.1.4. Agents which do not have at least three neighbors around themselves will get into the 'Lost' mode, and if they miss three localization process they will get into 'Come Home' mode. When an agent is in 'Come Home' mode, it will try to reach the center of formation to increase the possibility to meet some neighbors to localize itself as discussed in Section 3.1.4. A simulation result in which two agents cannot have three neighbors around themselves and get into 'Lost' mode and 'Come Home' modes is presented in Figure 4.11 and Figure 4.12 respectively.

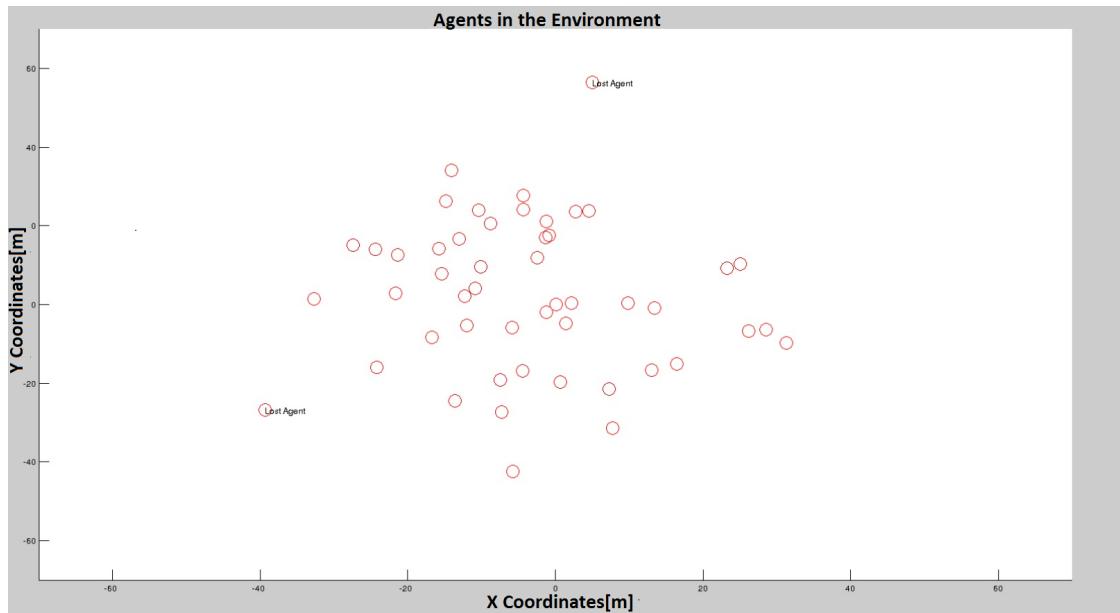


Figure 4.11: Agents will be in 'Lost' Mode When They Do Not Have 3 Neighbors

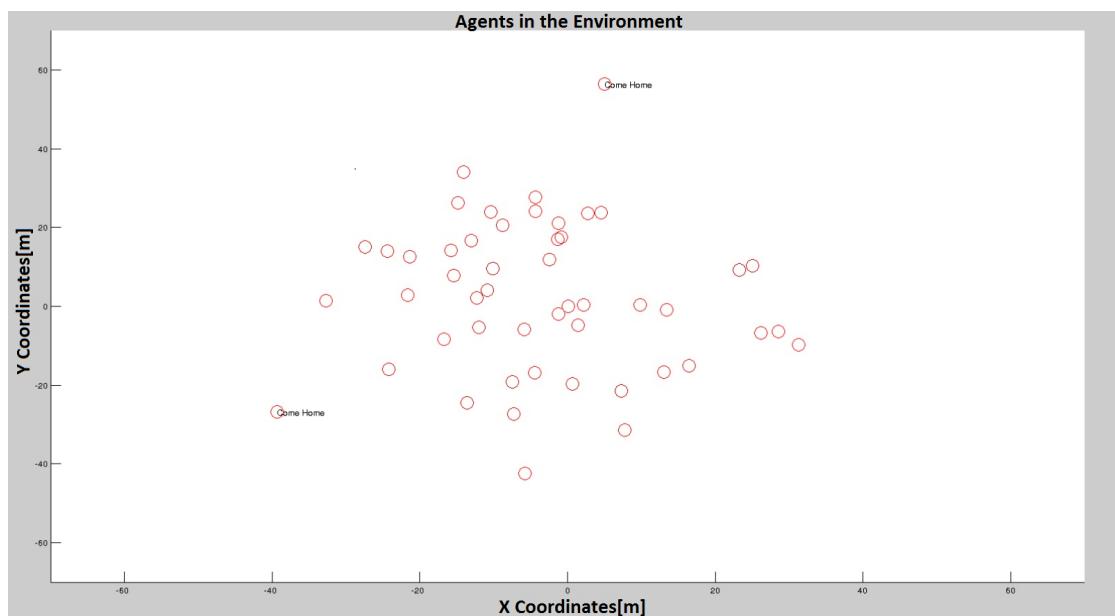


Figure 4.12: Agents will be in 'Come Home' Mode After Being Lost for 3 Times

### 4.3 FORMATION CONTROL SYSTEM

Three different approaches of which the details are presented in Section 3.2 are evaluated according to their settling times, mesh qualities and total displacements.

### 4.3.1 Mesh Qualities

Mesh quality is a measure of how the agents homogenously distributed while covering the desired formation shape. Basically, two different types of quality measurements defined in Section 3.2.3 are used to evaluate the performance of the formation control methods, topological mesh irregularity  $\varepsilon_t$  and geometrical mesh irregularity  $\varepsilon_g$ . Monte Carlo simulations with 1000 iterations are handled for the same formation shapes with different initial conditions of the agents in the environment. Sample outputs for three different types of formation control algorithms are illustrated in the following figures

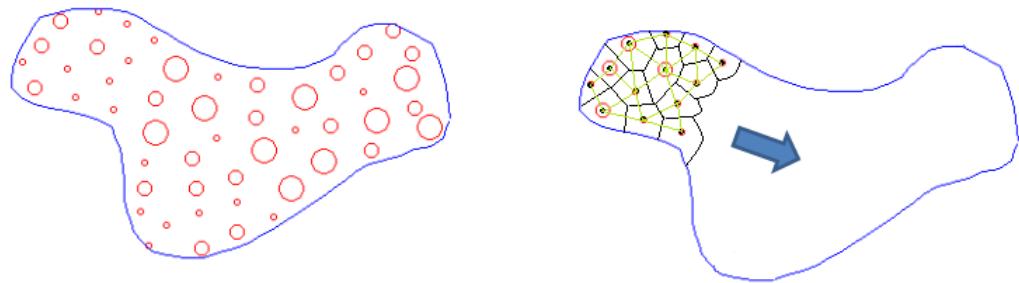


Figure 4.13: Formation Shape 1 with Artificial Forces Methods:  $\varepsilon_t = 2.1$  and  $\varepsilon_g = 0.32$

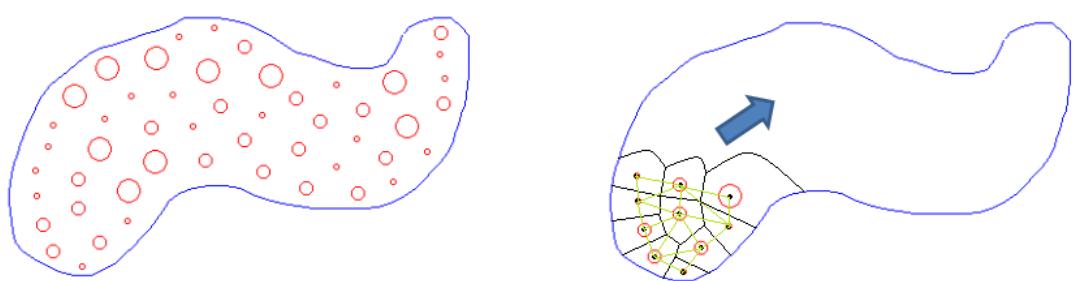


Figure 4.14: Formation Shape 2 with Artificial Forces Methods:  $\varepsilon_t = 2.6$  and  $\varepsilon_g = 0.4$

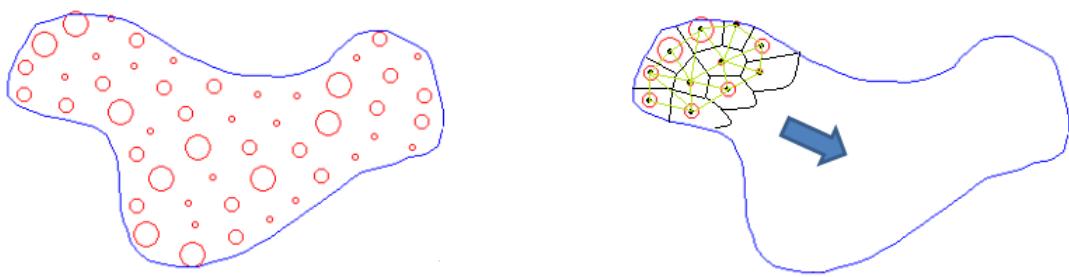


Figure 4.15: Formation Shape 1 with Bubble Packing Method: $\varepsilon_t = 2.1$  and  $\varepsilon_g = 0.24$

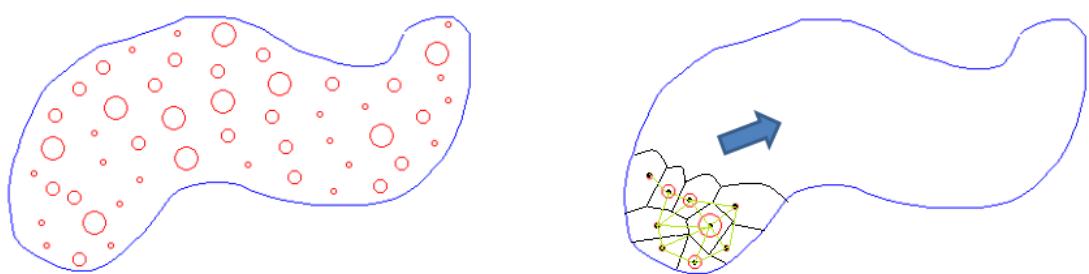


Figure 4.16: Formation Shape 2 with Bubble Packing Method: $\varepsilon_t = 2.3$  and  $\varepsilon_g = 0.28$

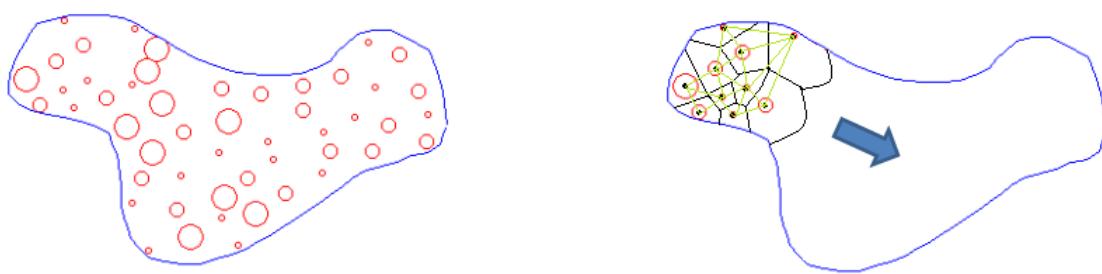


Figure 4.17: Formation Shape 1 with Randomized Fractals Method: $\varepsilon_t = 2.7$  and  $\varepsilon_g = 0.65$

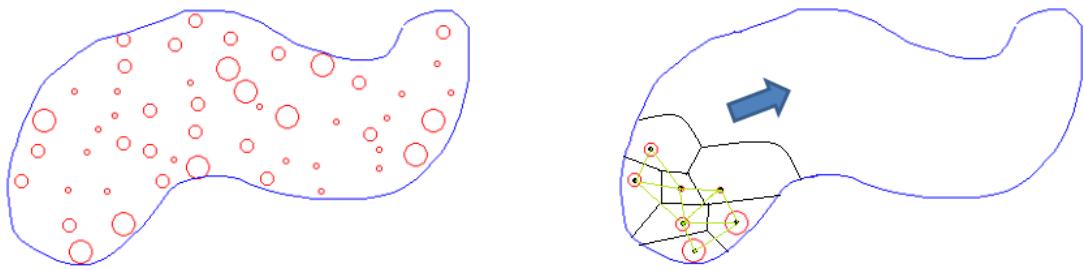


Figure 4.18: Formation Shape 2 with Randomized Fractals Method:  $\varepsilon_t = 3.1$  and  $\varepsilon_g = 0.62$

It is obvious that Randomized Fractals method have the worst mesh performance due to its randomized assignment of goal states into the formation shape. Bubble Packing and Artificial Forces methods give similar results since they have an analogy in their approaches of implementing inter member/bubble forces which makes the agents distributed in the formation shape more homogenously. Artificial force method applies this intermember forces directly to the agents in formation control while Bubble Packing method use this kind of force on artificial bubbles to partition the shape into goal states. Monte Carlo simulations with 1000 iterations for both formation shapes are handled and the results are illustrated in Figure 4.19; 4.20; 4.21; 4.22 . Randomized Fractals method have greater mean values for both topological and geometrical mesh irregularities as expected. Bubble Packing and Artificial Forces methods have similar performances on mesh quality.

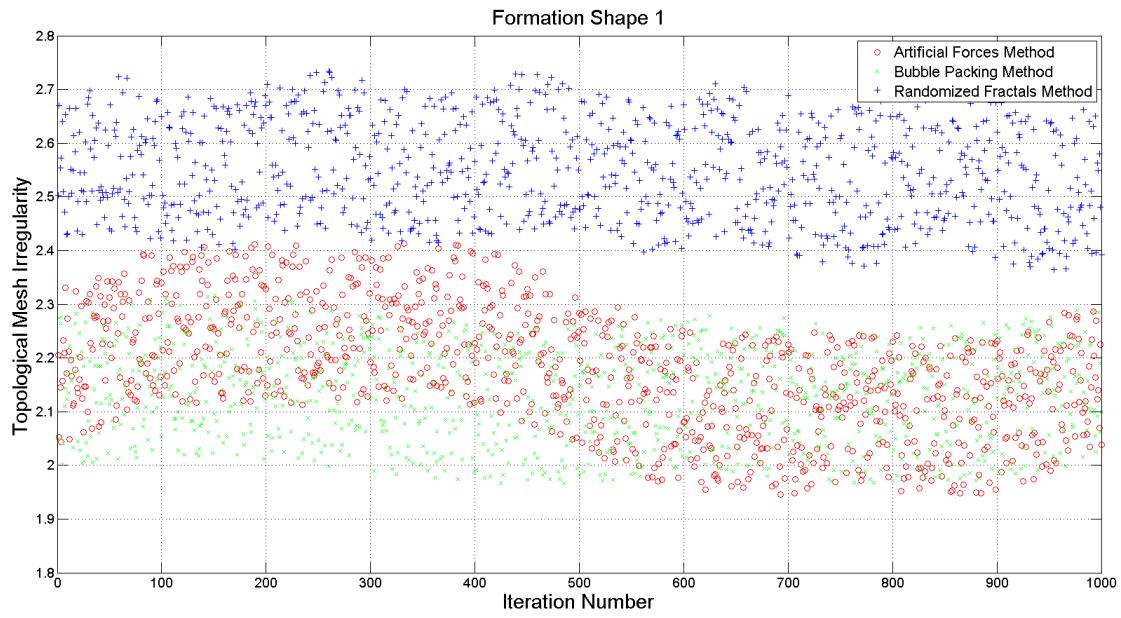


Figure 4.19: Formation Shape 1 Topological Mesh Irregularities

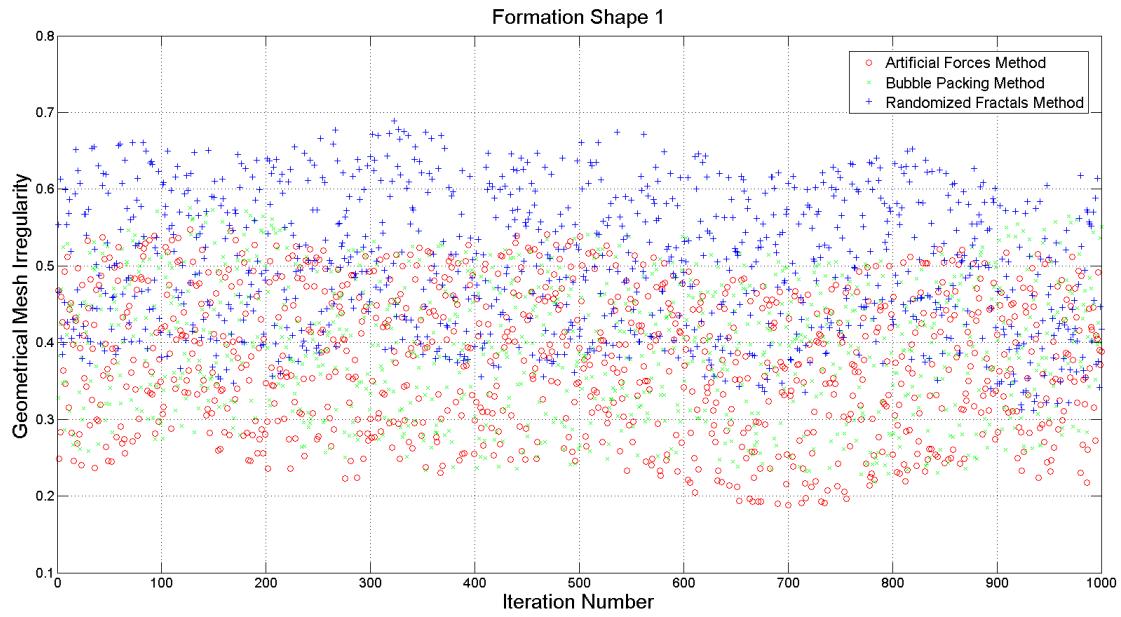


Figure 4.20: Formation Shape 1 Geometrical Mesh Irregularities

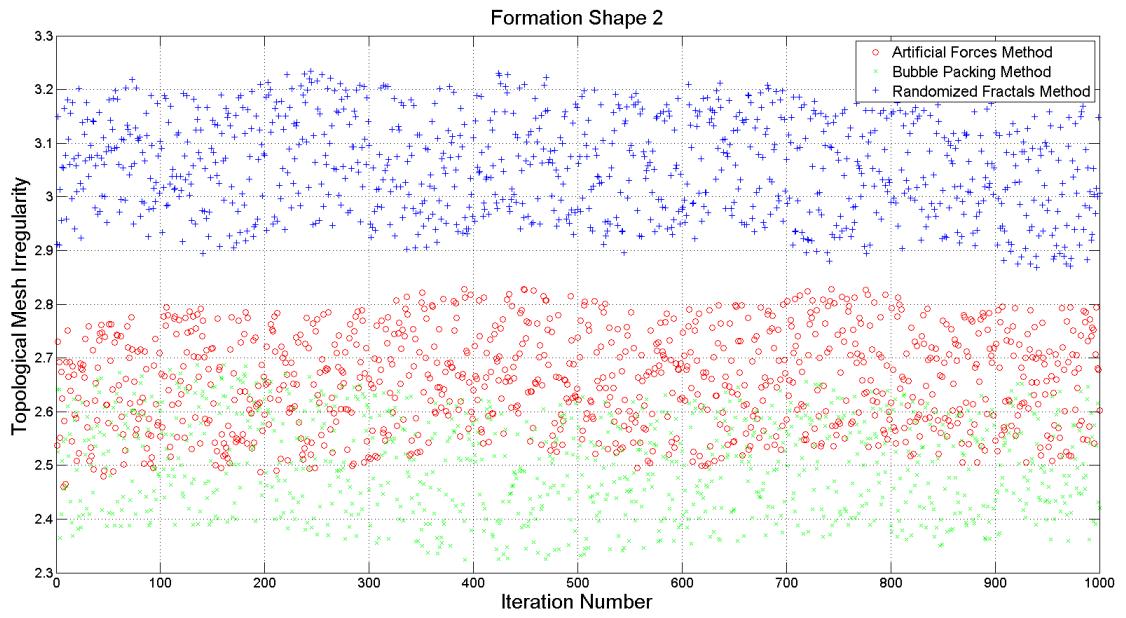


Figure 4.21: Formation Shape 2 Topological Mesh Irregularities

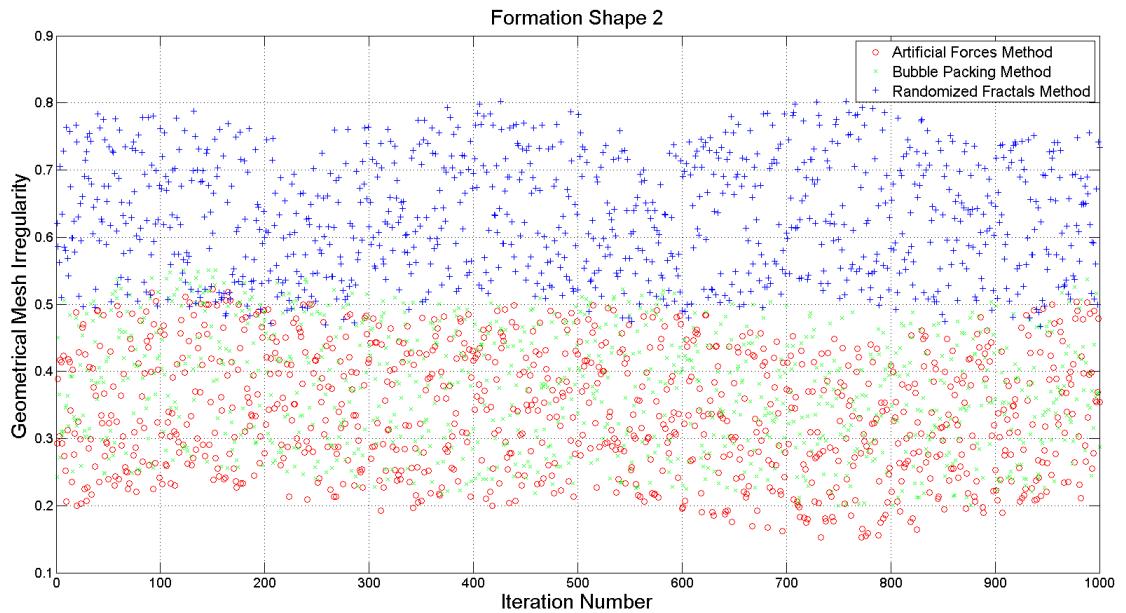


Figure 4.22: Formation Shape 2 Geometrical Mesh Irregularities

### 4.3.2 Total Displacement of the Agents

In this thesis work, it is aimed to minimize the overall displacement of the agents in the swarm while getting the desired formation shape. Here the main approach is to reduce down the expected energy consumption of the swarm by decreasing the required displacements. Monte Carlo simulations with 1000 iterations are handled for the same formations shapes with different initial conditions of the agents in the environment. Trajectories of the agents are recorded from the initial positions to the goal states for three different types of formation control systems. Sample outputs for three different types of formation control algorithms are illustrated in the following figures.

#### 4.3.2.1 Shape 1

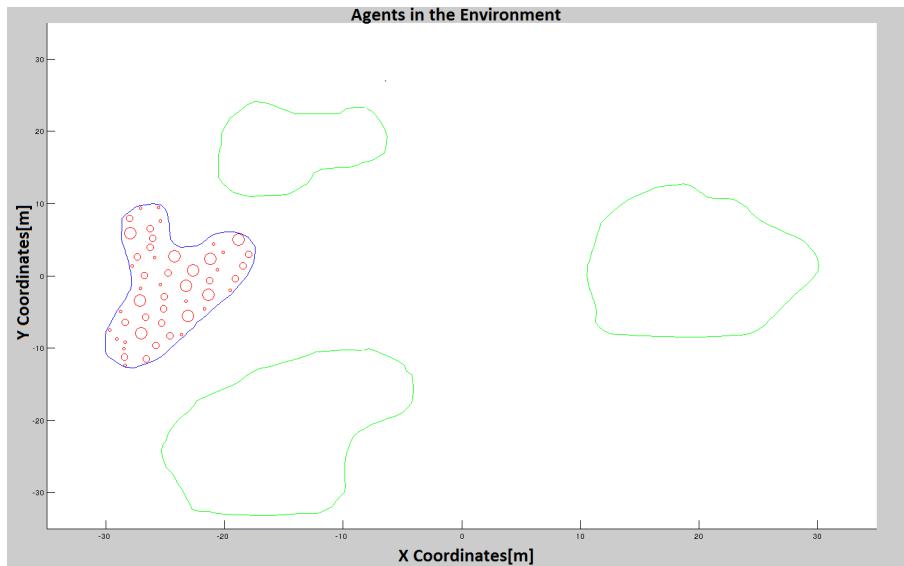


Figure 4.23: Formation Shape 1 in MATLAB environment

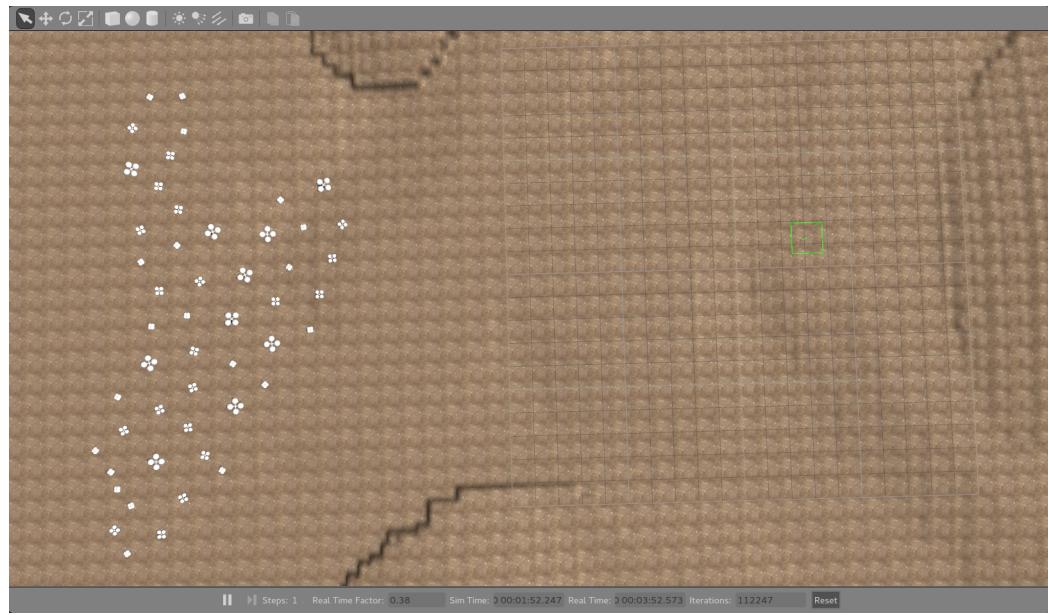


Figure 4.24: Formation Shape 1 in Gazebo environment

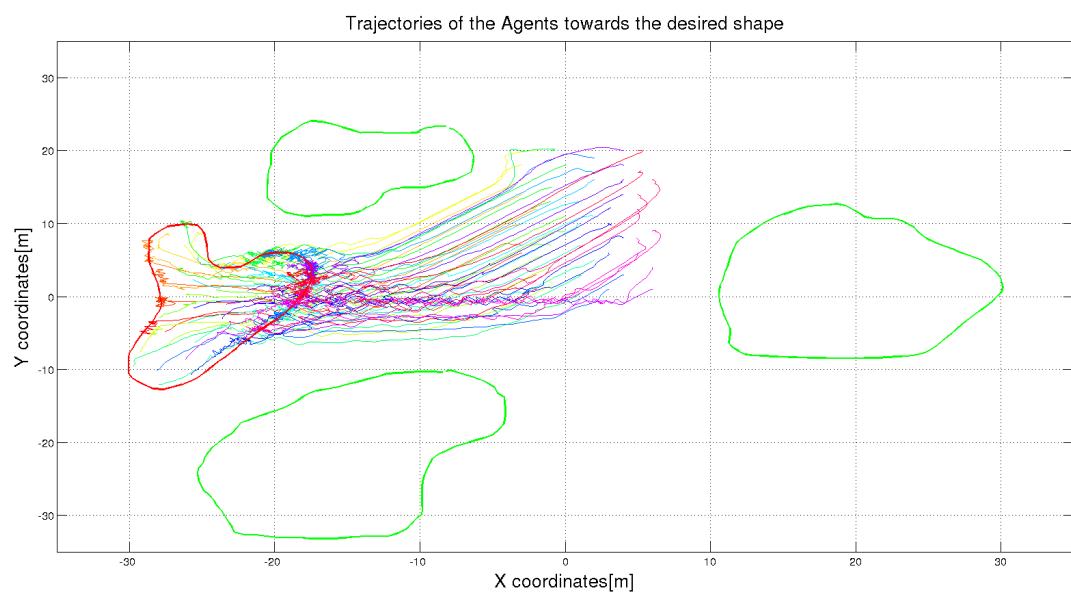


Figure 4.25: Artificial Forces Method Trajectories for Shape 1

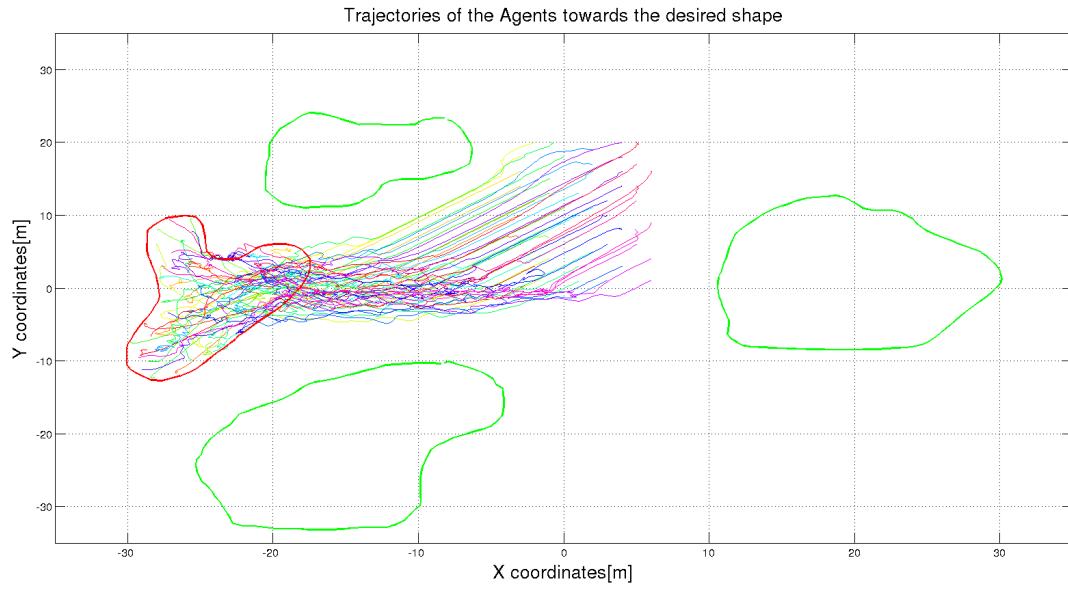


Figure 4.26: Bubble Packing Method Trajectories for Shape 1

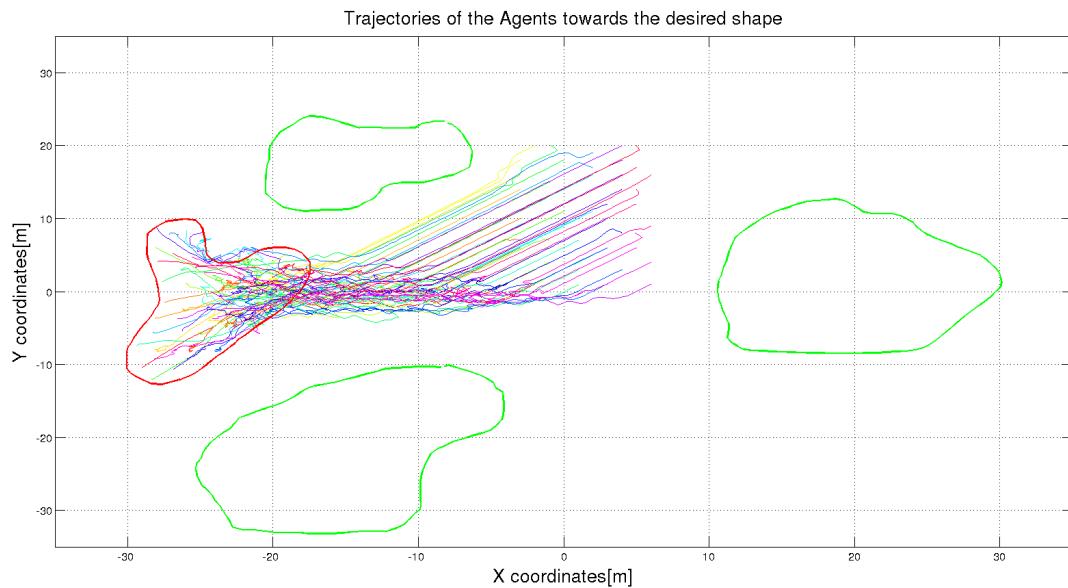


Figure 4.27: Randomized Fractals Method Trajectories for Shape 1

It is obvious that the trajectories of the agents are more chaotic and complex in the Artificial Forces method according to the other two solutions. This is because of the agents are under the effect of a total force which is instantly changing both amplitude and direction with the local interactions in the environment. According to the X-

Swarm theory which is described in Section 3.2.1.1, all agents will move towards the center of the formation shape if the X Swarm conditions are satisfied by the agents. So the only force component which provides the distribution of the agents in the formation shape homogenously is the intermember forces which is dynamically changing so much with the local instant neighbors of the agents in the environment. On the other hand, Bubble Packing and Randomized Fractal methods implement an algorithm in which every agent is directed to a goal state in which the total displacements in the environment is minimized. This approach prevents the chaotic appearance of the trajectories and minimizes the displacements of the agents.

Monte Carlo simulations with 1000 iterations are held and the results are presented in Figure 4.28.

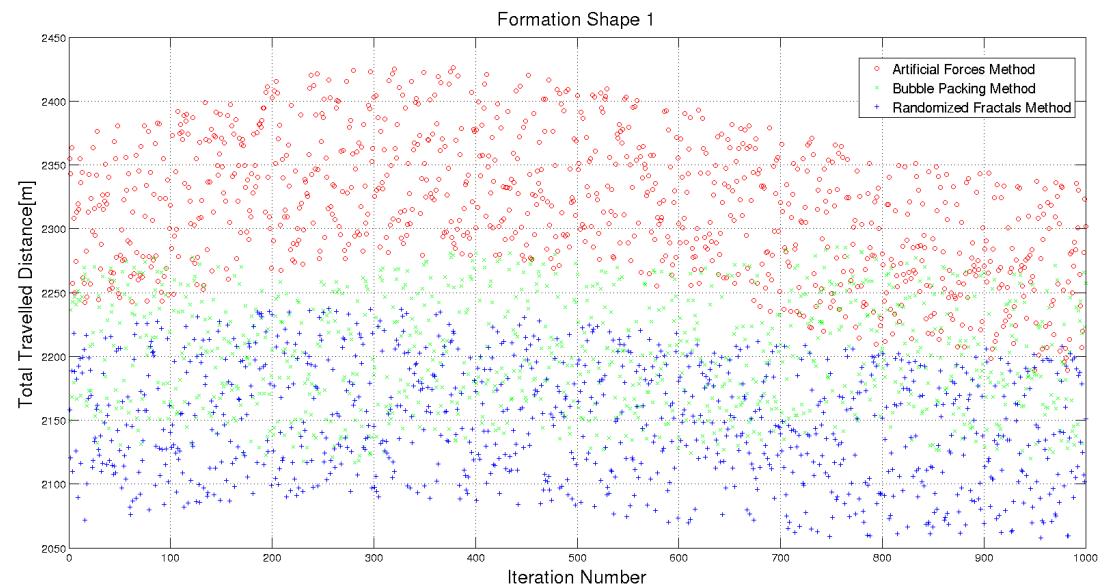


Figure 4.28: Total Travelled Distances for Shape 1

### 4.3.2.2 Shape 2

Second formation shape gives similar results with three different formation control methods, the Artificial Forces Method increases the total travelled distance by the agents because of the reasons discussed for the Formation Shape 1.

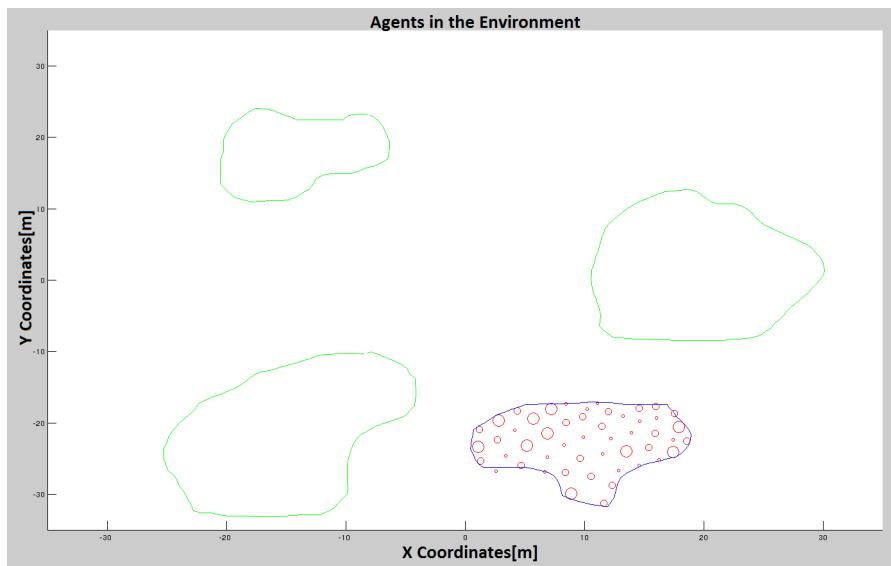


Figure 4.29: Formation Shape 2 in MATLAB environment

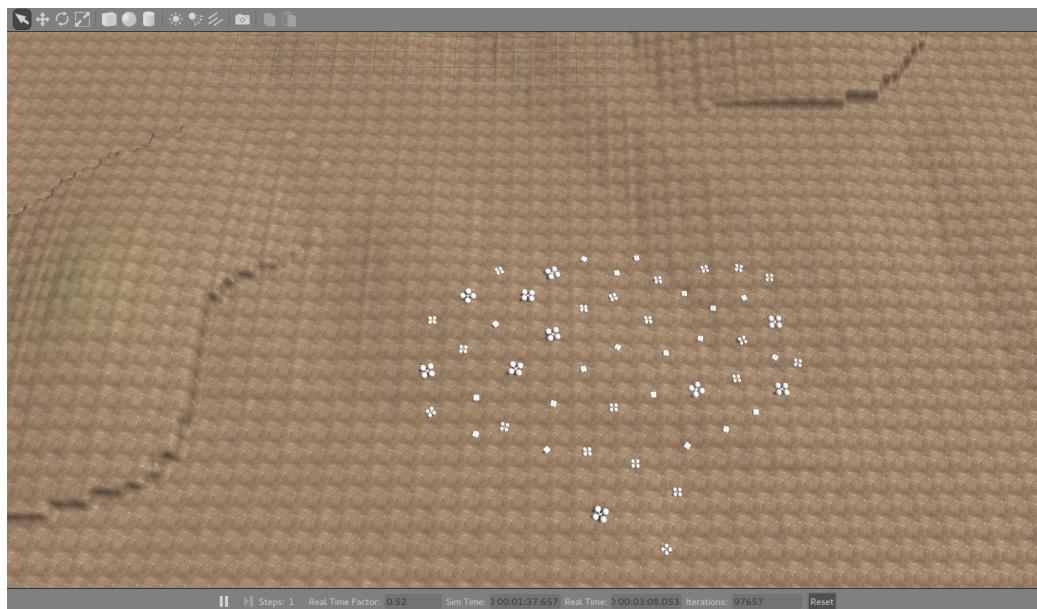


Figure 4.30: Formation Shape 2 in Gazebo environment

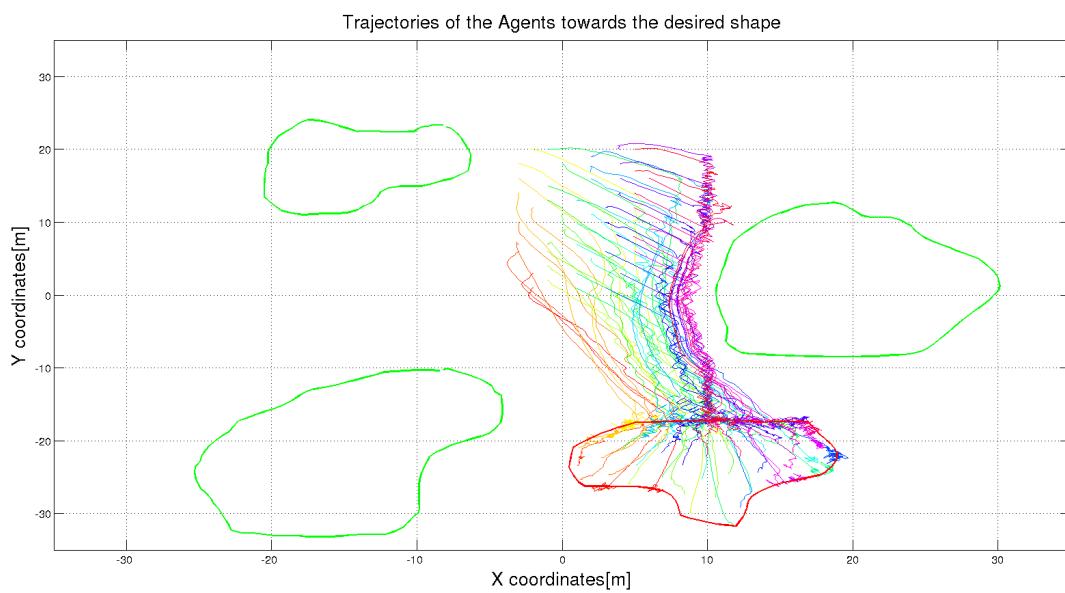


Figure 4.31: Artificial Forces Method Trajectories for Shape 2

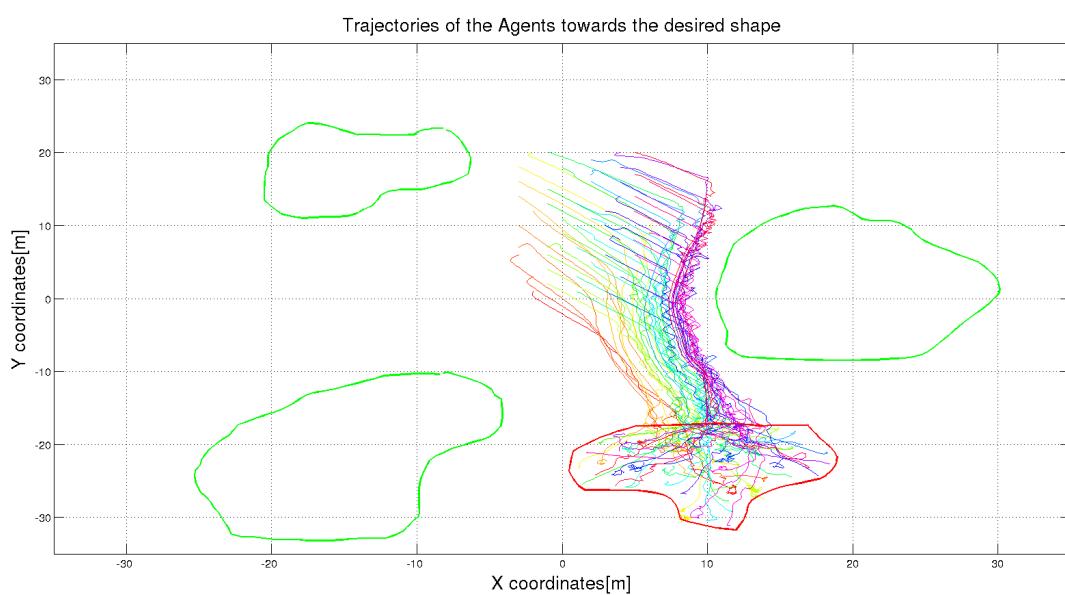


Figure 4.32: Bubble Packing Method Trajectories for Shape 2

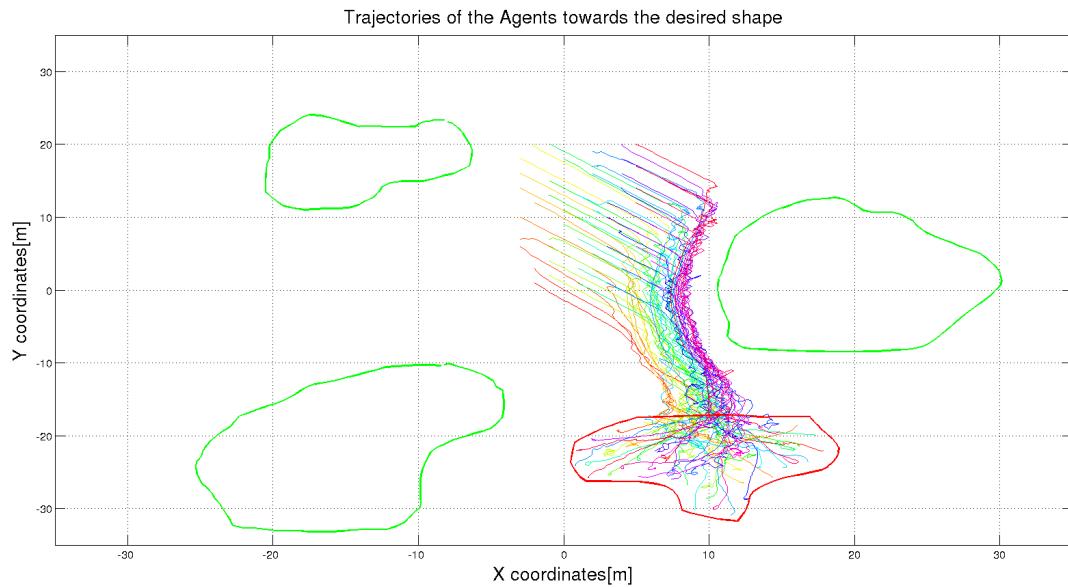


Figure 4.33: Randomized Fractals Method Trajectories for Shape 2

The results of the Monte Carlo simulations with 1000 iterations are illustrated in Figure 4.34

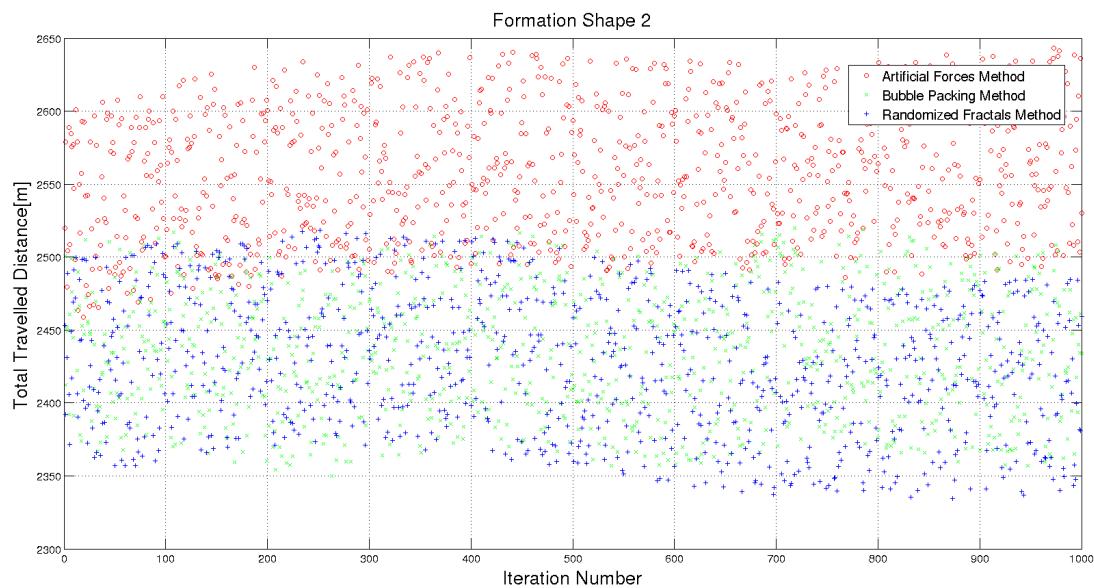


Figure 4.34: Total Travelled Distance for Shape 2

### 4.3.3 Settling Time

Settling time is defined as delta time " $t_{final} - t_{start}$ ", where  $t_{start}$  is the initial time and  $t_{final}$  is the time that all of the agents are inside of the desired formation shape and the norm of the velocity vector for each agent  $\|v_i\|$  is

$$\|v_i(t)\| < 0.01 \text{ [m/sec]} \quad \forall t > t_{final} \quad (4.1)$$

Settling times are measured for the simulations held in Section 4.3.2. Artificial Forces method have the worst performance since agents are expected to need more time to reach the steady state. Since there are no predetermined goal states for the agents in the desired formation shape, the settling of the agents in random places under the equilibrium of the artificial force components takes much more time than the other two formation control methods. The agents have reached the steady state at their goal states with the Shape Partitioning methods faster than the Artificial Forces Methods. The results are illustrated in Figure 4.35 and 4.36.

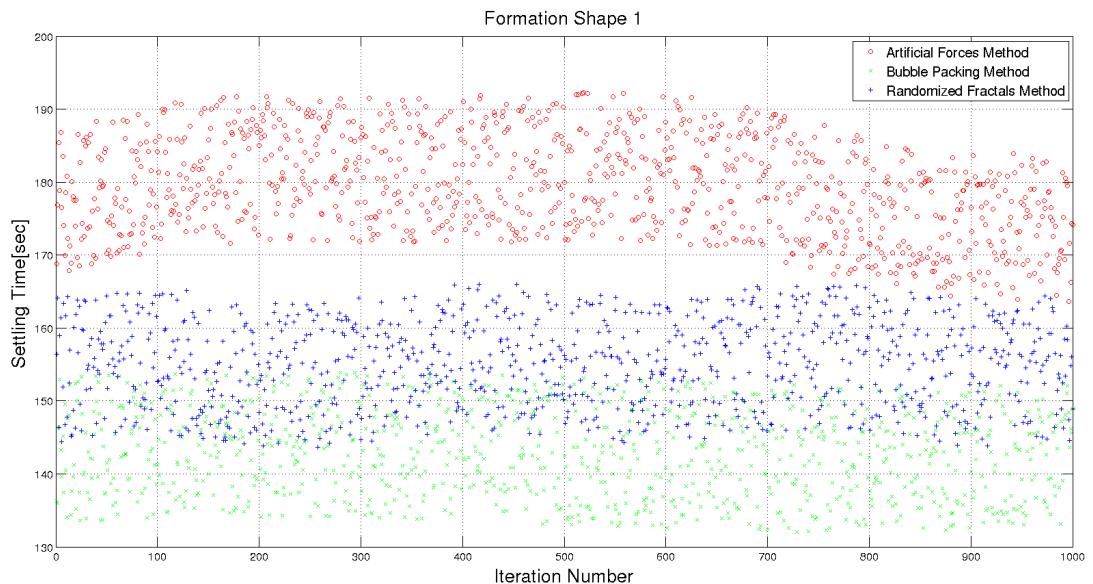


Figure 4.35: Total Settling Time for Shape 1

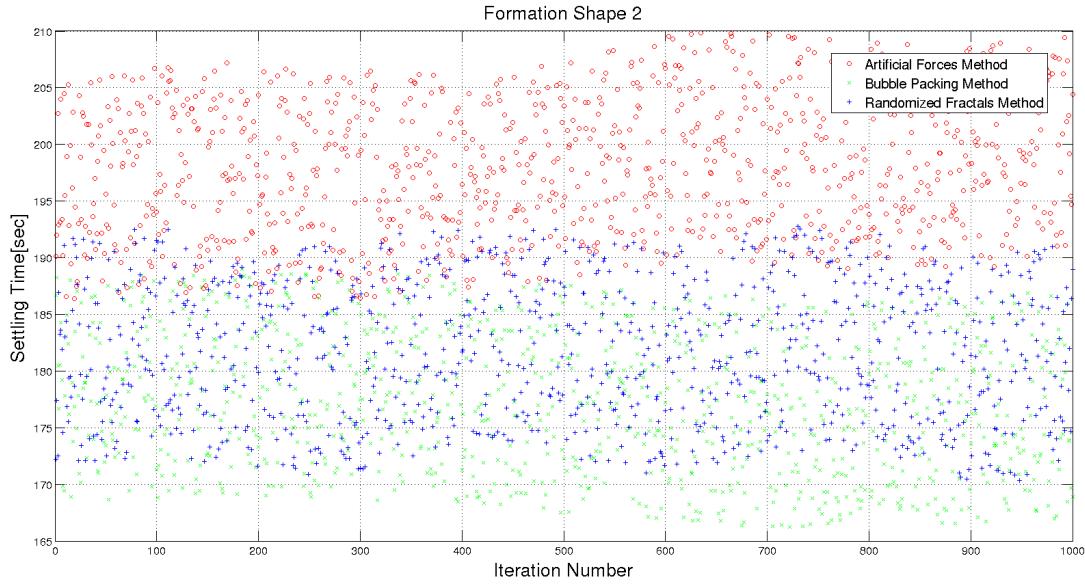


Figure 4.36: Total Settling Time for Shape 2

#### 4.3.4 Dynamically Changing Formations

In dynamic formation control, desired formation shape is changed dynamically in a smooth fashion. Agents have to adapt themselves to the changing formation shape and cover the shape in a continuous manner. Since the formation shape is expected to have smooth changes in local regions, it is aimed to adapt the agents which are positioned at these local regions rather than replacing all agents simultaneously. To minimize the total displacement of the swarm, the general approach is to make the agents which are close to the changing regions get action and replace themselves while other ones which are far away from that region keeps their positions. Randomized fractals method doesn't have a solution for this type of problem since its nature of assignment of agents randomly in a given formation shape. These assignments made by the randomized fractals method will not have continuous transitions on goal states between sequential formation shapes changing with execution period of the algorithm. On the other hand, it is possible to adapt the bubble packing algorithm to provide a solution for the dynamically changing formations. Since bubble packing algorithm determines goal states in a formation shape by applying interbubble forces, it is possible to keep this shape partitioning process running in background and dy-

namically adapt the goal states to the changing formation shape. The assignments to these goal states with Hungarian algorithm will adapt the swarm to the dynamically changing formation shape. Artificial forces method calculates control inputs for the individuals according to the current environment conditions and the formation shape. There is no need to update the algorithm for dynamically changing formation shapes, it already supports dynamically changing formations.

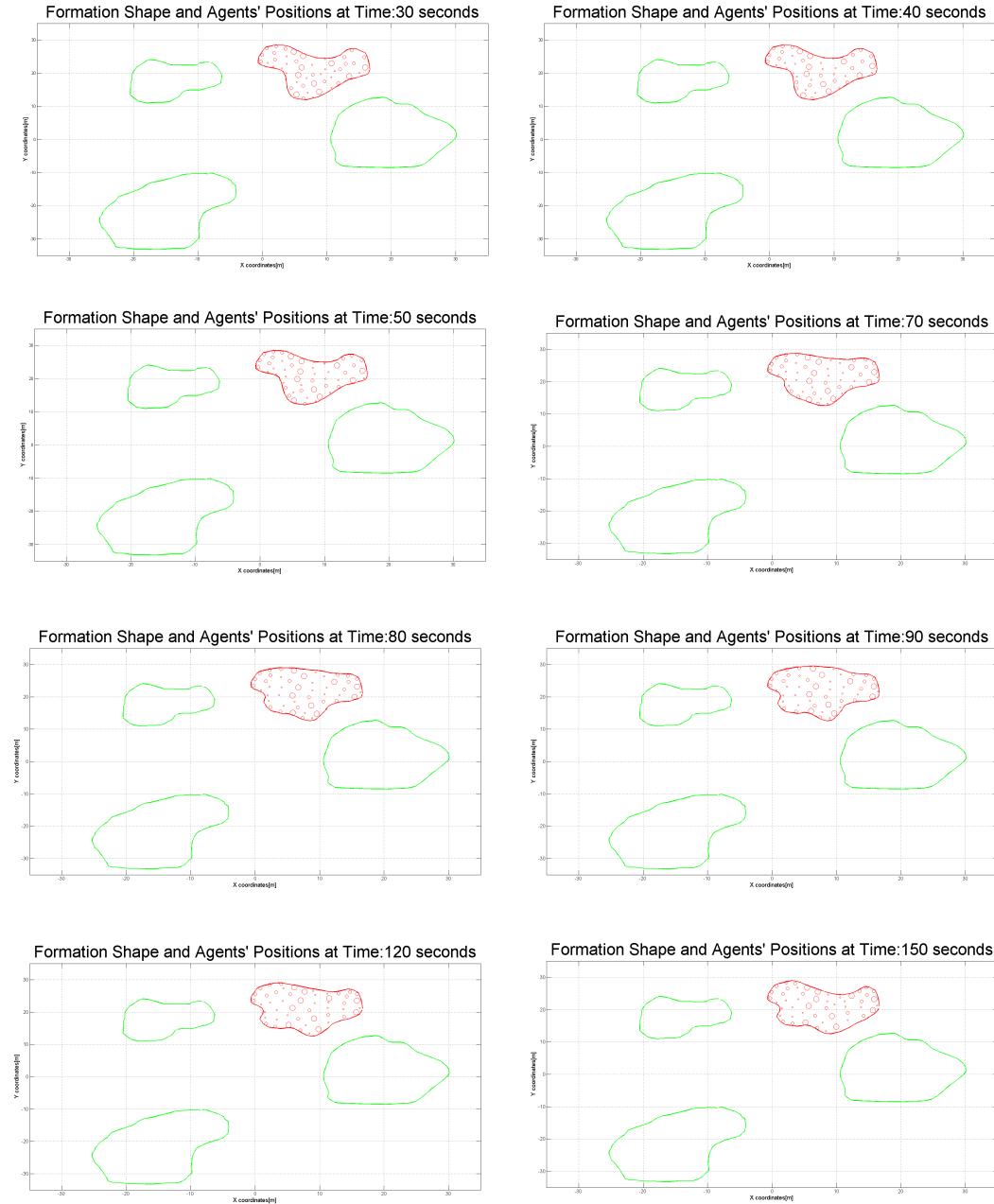


Figure 4.37: Dynamic Formation Control with Bubble Packing Method

Figure 4.37 shows a simulation result for dynamical formation control implemented with Bubble Packing Method. Agents adapt themselves to the dynamically changing formation shape by assigning new positions of the goal states at each iterations. The positions of these goal states are determined with shape partitioning implemented with Bubble Packing algorithm.

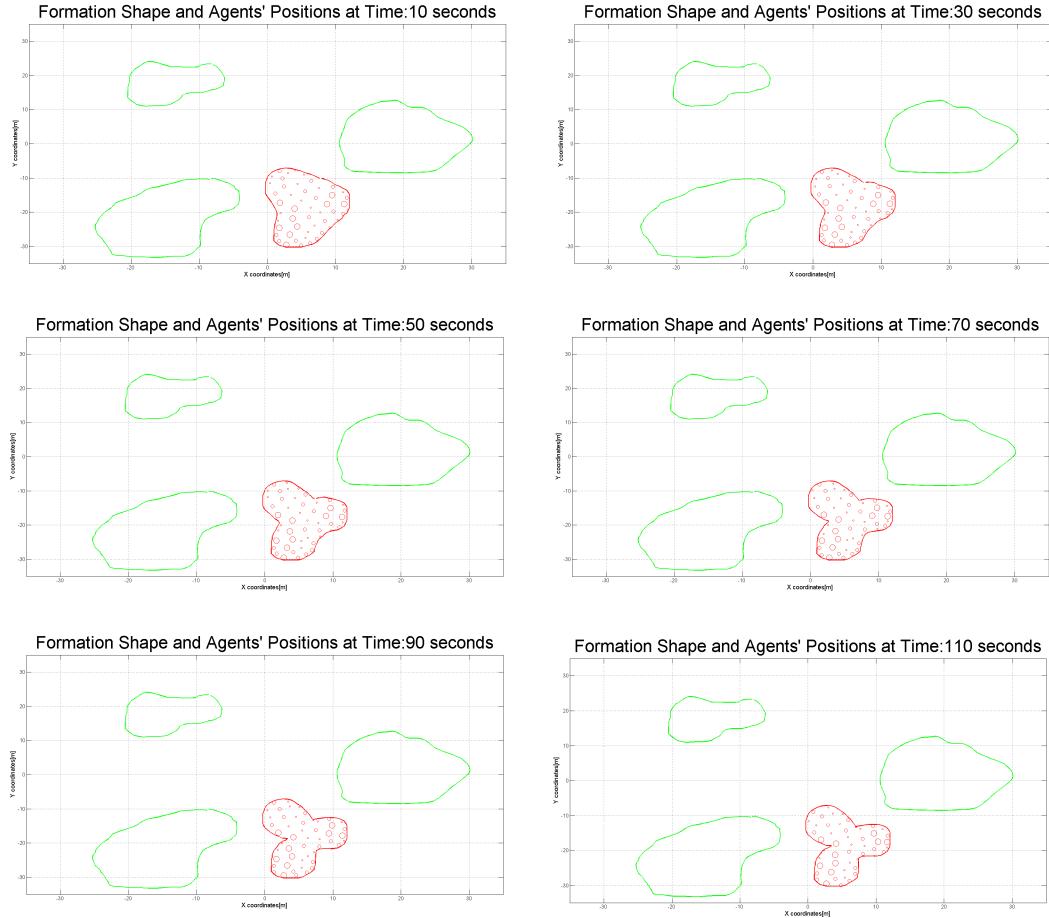


Figure 4.38: Dynamic Formation Control with Artificial Forces Method

Figure 4.38 illustrates a simulation result of Artificial Forces method. In this method agents reposition in the changing formation shape with the help of artificial force components calculated with current formation shape. Since, agents directly adapts themselves to the changing formations shape in Artificial Forces method, it is expected to have a faster response than the Bubble Packing method. In Bubble Packing pethod, goal states are adapted to the changing formation shape and agents try to reach these goal states in runtime. This kind of approach introduces an additional

latency to the response of the swarm to dynamically changing formation shapes.

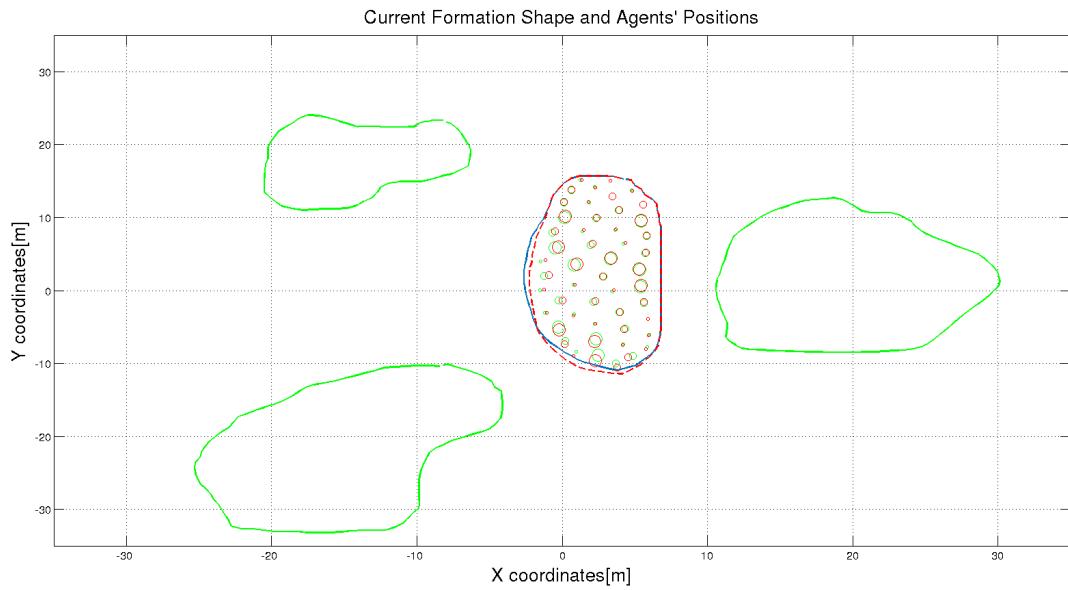


Figure 4.39: Latency in Bubble Packing Method-1

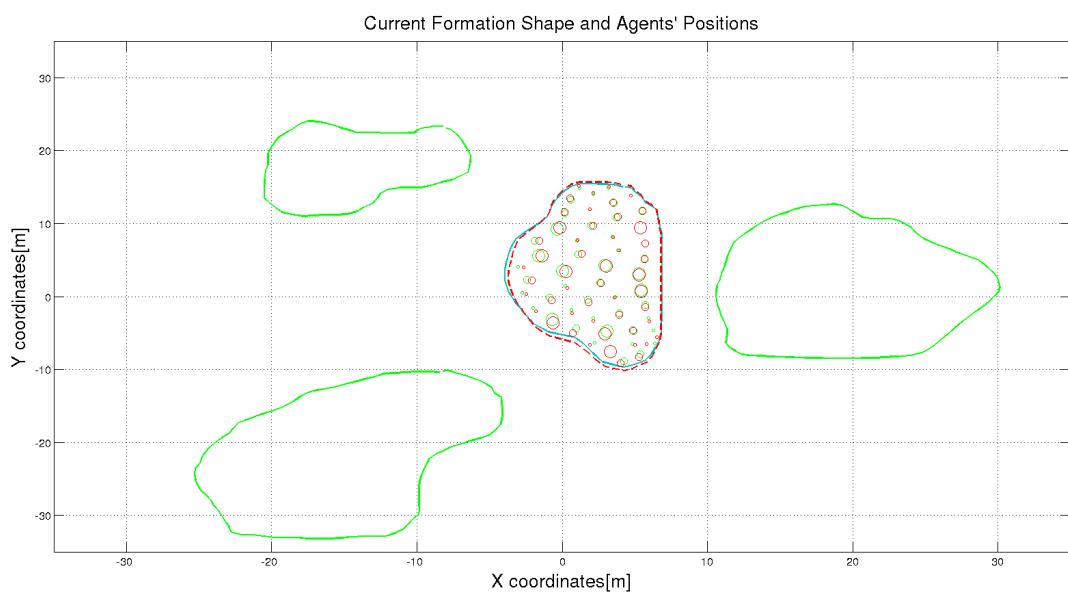


Figure 4.40: Latency in Bubble Packing Method-2

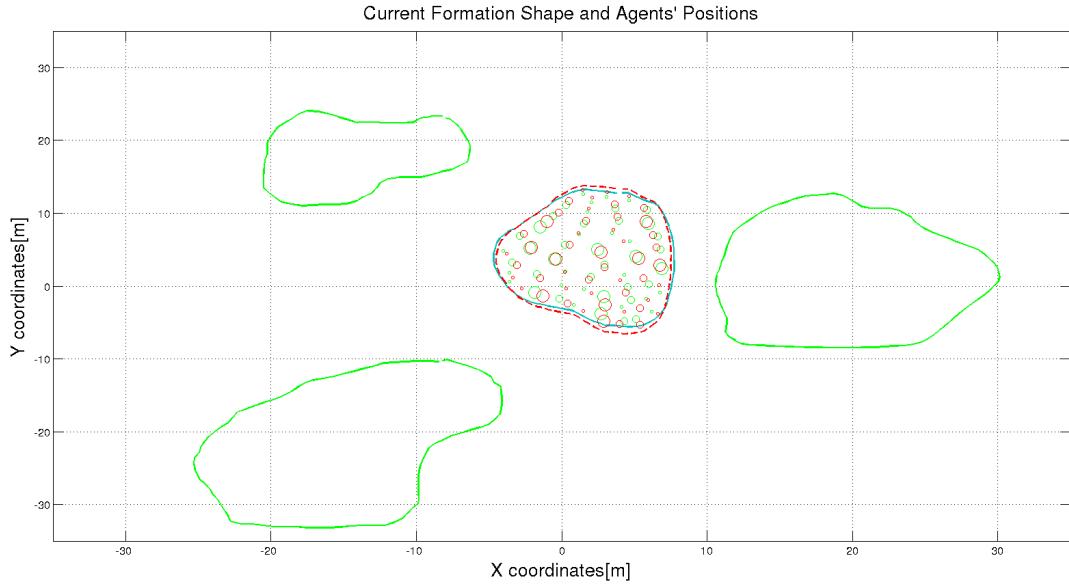


Figure 4.41: Latency in Bubble Packing Method-3

Figure 4.39, 4.40 and 4.41 compares the responses of these two algorithms to dynamically changing formation shapes. Bubble Packing and Artificial Force algorithms are executed with the same dynamical formation shapes and same initial positions of the agents. In these figures, red circles are representing the agents' positions with Bubble Packing method and green circles are representing the agents' positions with Artificial Forces method at the same time from the beginning of the simulations. Formation shape with blue line illustrates the current formation shape. It is obvious that the green agents are adapted to the current formation shape better than the red ones. The shape with dotted red line, represents the estimatated coverage area of red agents in the environment and this shape differs from the current formation shape locally at some boundary regions. This shows that agents response to the dynamically changing formation shape fasters with Artifical Forces method.

### 4.3.5 Evaluation

Three different formation control approaches are evaluated with different kinds of metrics including the total displacement, settling time, mesh quality and dynamically changing formations. Artificial forces method have the worst performance in settling

time and total displacement metrics due to the absence of predetermined goal states which is discussed in Section 4.3.3 in details. On the other hand the Randomized Fractals method have the worst mesh quality performance because of its randomized nature of assignment the goal states in the desired formation shape. It is not possible to adapt the agents for dynamically changing formations as discussed in Section 4.3.4. Artificial forces method have better response to the dynamically changing formations. The methods which have the worst performance at the related metrics are illustrated in Table 4.2

Table 4.2: Formation Control Methods with Worst Performance

<b>Method/Metric</b>	<b>Total Displacement</b>	<b>Settling Time</b>	<b>Mesh Quality</b>	<b>Dynamical Formation</b>
Artificial Force	X	X		
Bubble Packing				X
Randomized Fractals			X	N/A

It is obvious that Bubble Packing Method have the best performance for all metrics except for dynamical formation shapes. In fact, Bubble Packing method combines the efficient approaches of the other two methods. In the shape partitioning phase of the algorithm, it uses interbubble forces which have a similar structure with the intermember forces applied by the Artificial Forces method in formation control. This force have the greatest effect on the homogeneity of the agents in the desired formation shape because it provides a global consensus for the agents in which each agent reaches an equilibrium state under the total force acting by their neighbors and the formation shape. On the other hand, bubble packing method implements an algorithm in which every agent is assigned to a goal state instantly at each execution time of the algorithm to minimize the overall displacement of the swarm. This approach reduce the settling time and the total displacements of the agents from the initial state to their final states since their final positions in the formation shape is predetermined. It is possible that the agents' goal states may be changed with the execution of the algorithm at different steps, but these assignments will converge to a unique subset while the agents are getting closer to the goal states.

Artificial forces method has better performance on dynamically changing formations because it directly adapts the individual control signals on the agents according to the current formation shape. Bubble Packing method has a slower response to the dynamically changing formations due to its solution with two stages, shape partitioning and goal state assignment.

Some other formation shape trials with the Bubble Packing algorithm is illustrated in the following figures.

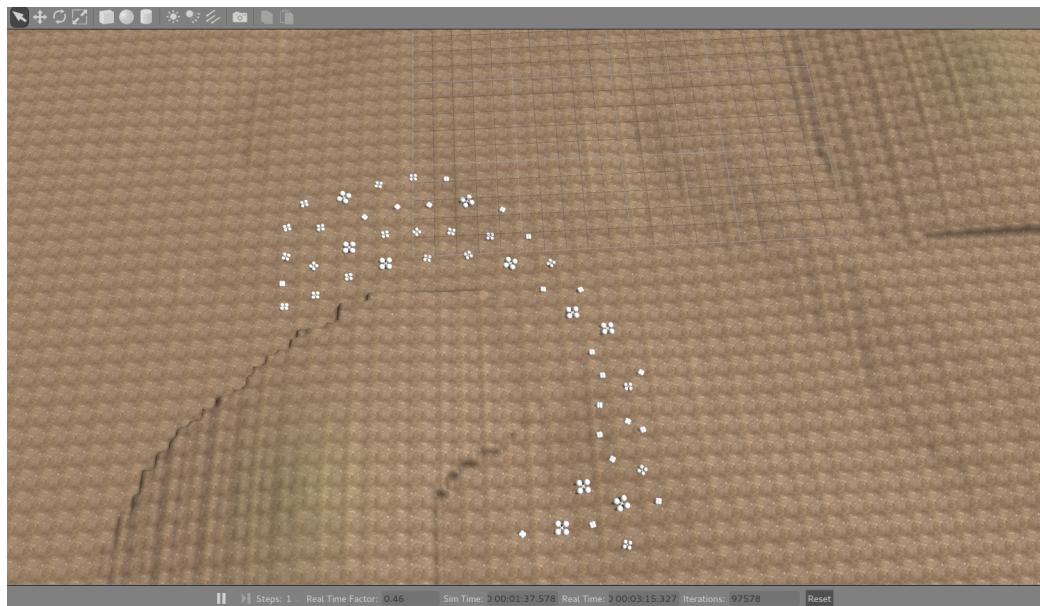


Figure 4.42: Formation Shape - 1 in Gazebo Environment

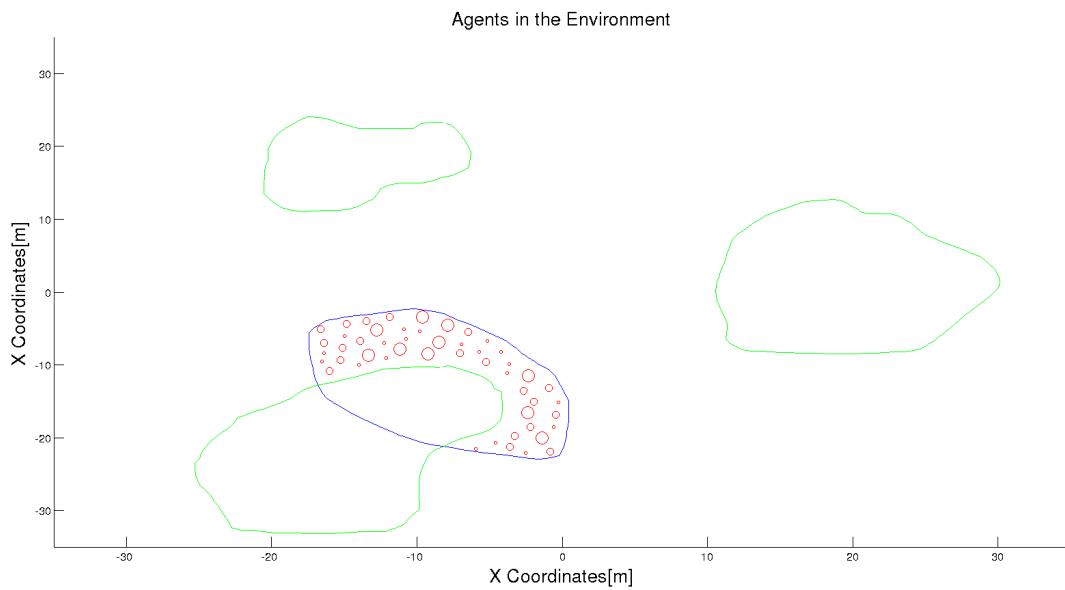


Figure 4.43: Formation Shape - 1 in MATLAB Environment

Table 4.3: Performance Metrics for Shape - 1

<b>Total Displacement Consumption[m]</b>	<b>Total Settling Time[sec]</b>	<b>Topological Mesh Irregularity</b>	<b>Geometrical Mesh Irregularity</b>
1889	164	2.54	0.62

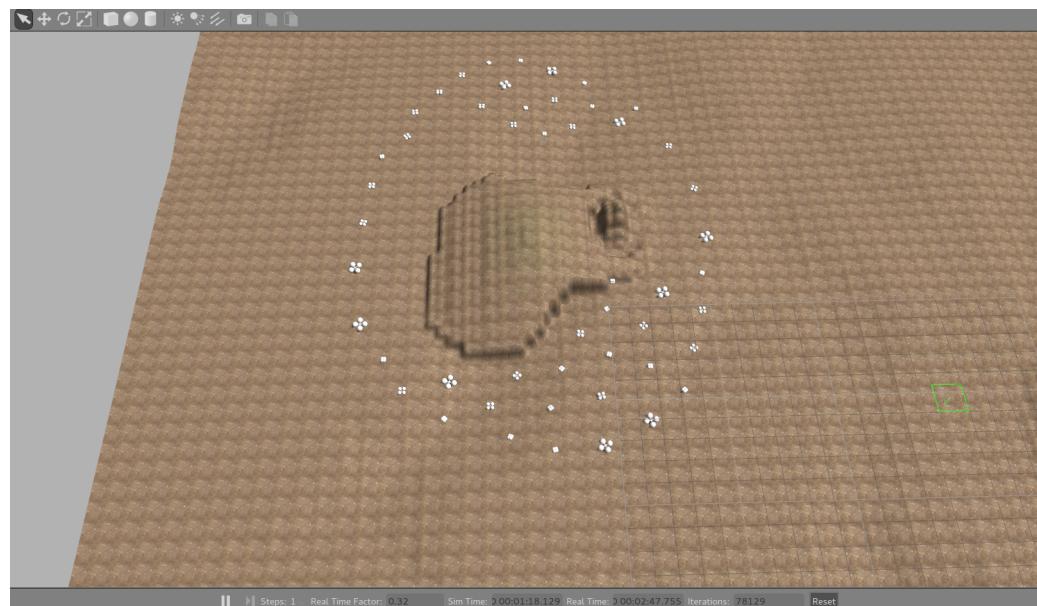


Figure 4.44: Formation Shape - 2 in Gazebo Environment

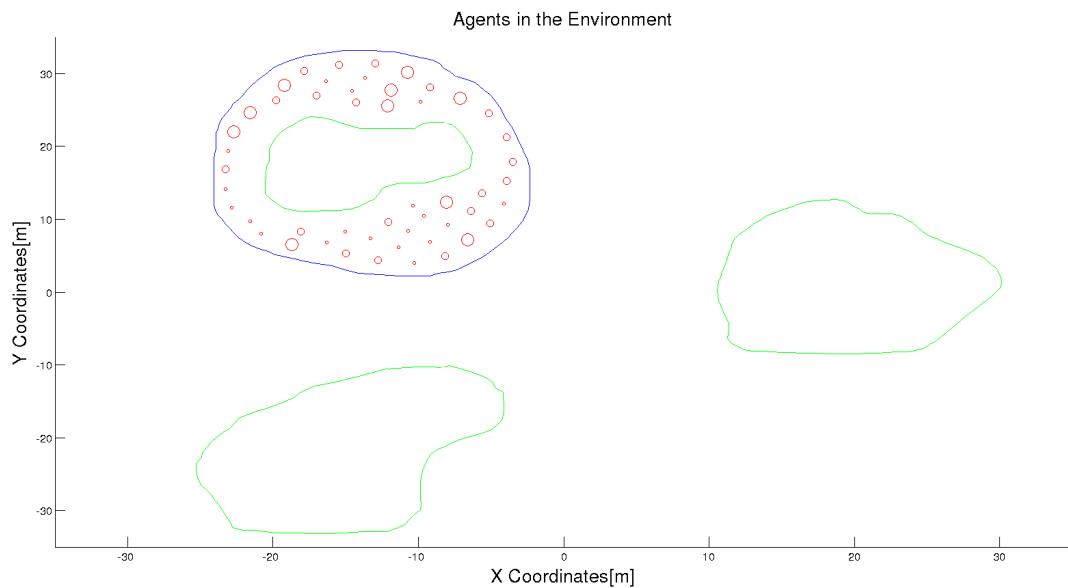


Figure 4.45: Formation Shape - 2 in MATLAB Environment

Table 4.4: Performance Metrics for Shape - 2

Total Displacement Consumption[m]	Total Settling Time[sec]	Topological Mesh Irregularity	Geometrical Mesh Irregularity
1611	153	2.95	0.73

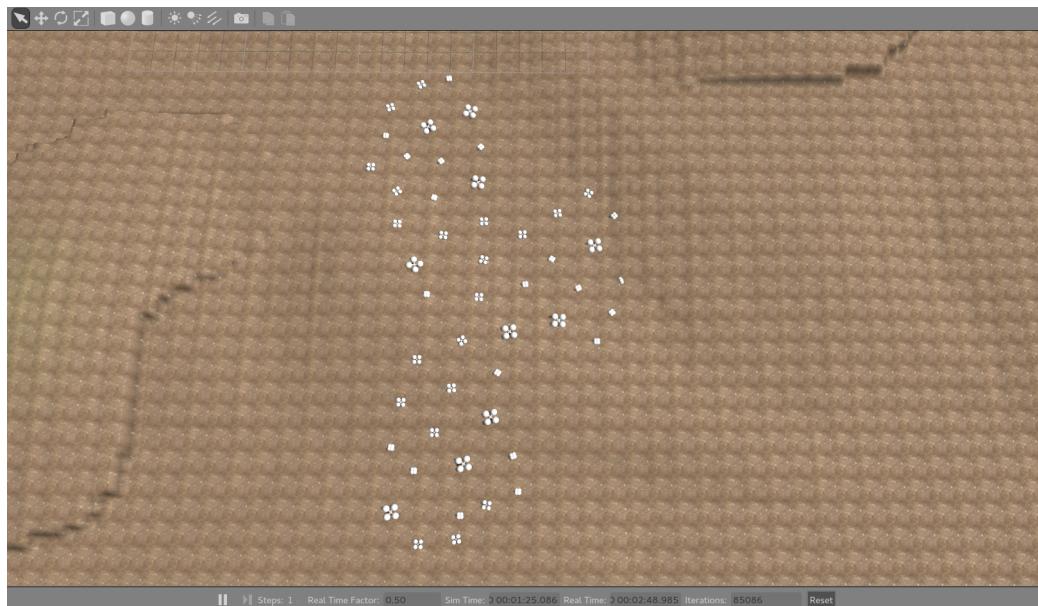


Figure 4.46: Formation Shape - 3 in Gazebo Environment

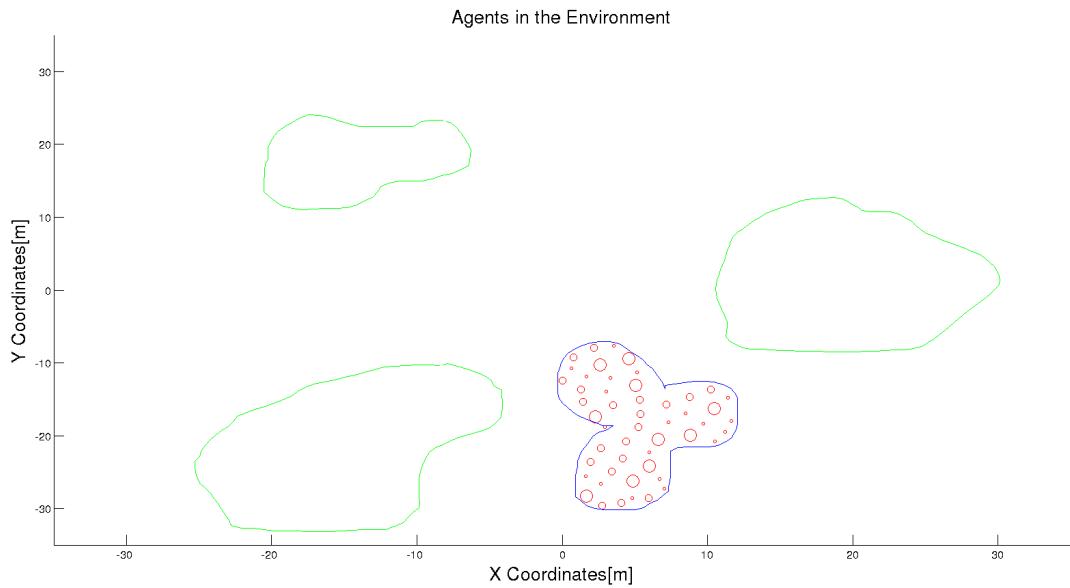


Figure 4.47: Formation Shape - 3 in MATLAB Environment

Table 4.5: Performance Metrics for Shape - 3

Total Displacement Consumption[m]	Total Settling Time[sec]	Topological Mesh Irregularity	Geometrical Mesh Irregularity
1432	126	2.23	0.45

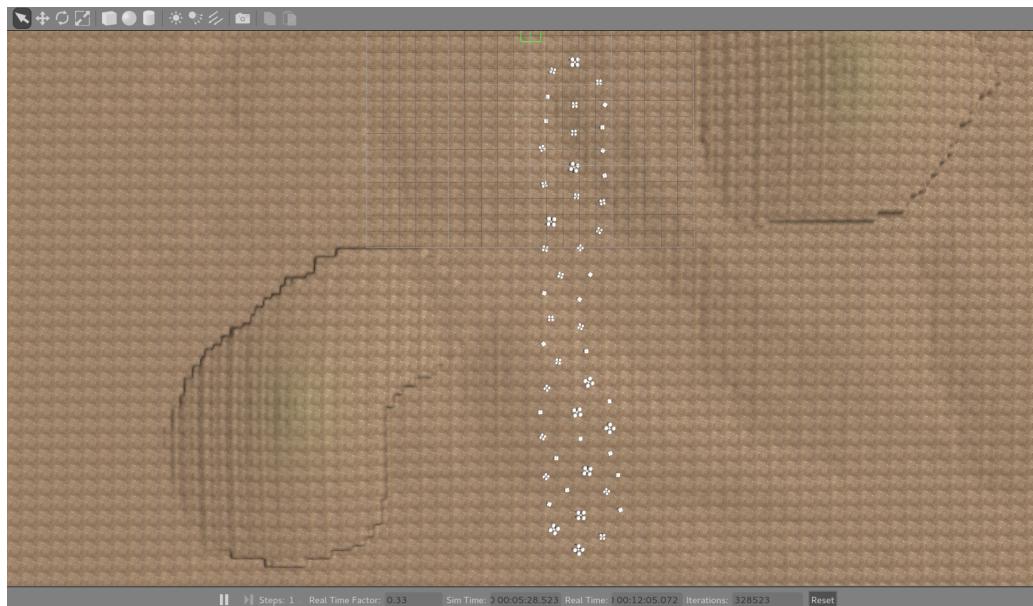


Figure 4.48: Formation Shape - 4 in Gazebo Environment

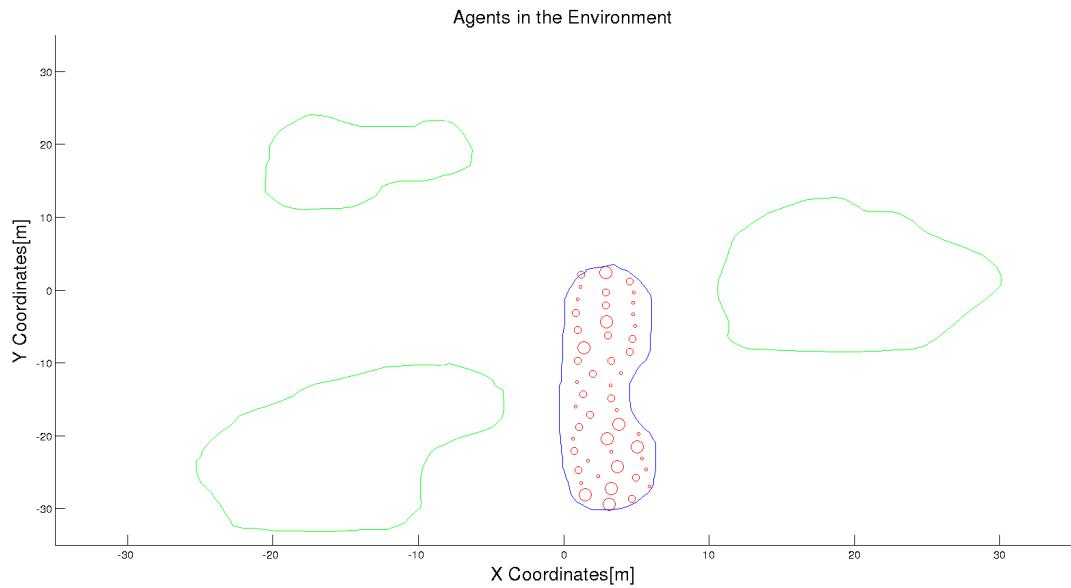


Figure 4.49: Formation Shape - 4 in MATLAB Environment

Table 4.6: Performance Metrics for Shape - 4

Total Displacement Consumption[m]	Total Settling Time[sec]	Topological Mesh Irregularity	Geometrical Mesh Irregularity
1578	142	2.15	0.56

A simulation with multiple formation shapes sequentially is illustrated in Figure 4.50.

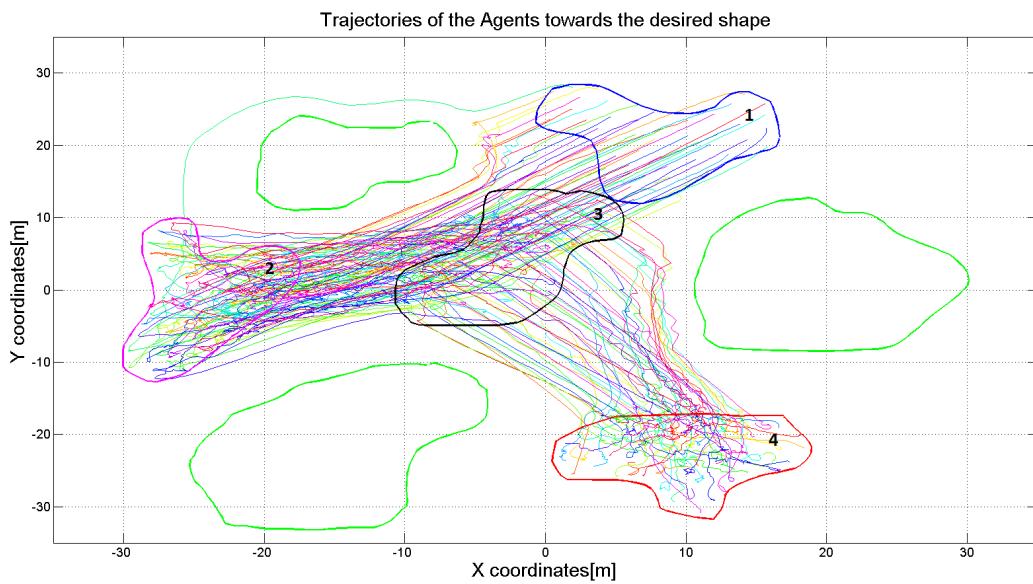


Figure 4.50: Multiple Formations

Table 4.7: Performance Metrics for Multiple Formation Shapes

Total Energy Consumption[m]	Total Settling Time[sec]	Topological Mesh Irregularity Mean	Geometrical Mesh Irregularity Mean
4216	435	2.21	0.68



## **CHAPTER 5**

### **HARDWARE IMPLEMENTATION & RESULTS**

A hardware demonstration is done with five mobile robots with different sizes, to achieve a proof of concept related with the topics searched in this thesis work. The agents are designed as mobile robots with three omni-wheels which allows them to navigate in the field in every direction without the need for changing their attitudes (i.e. headings). Since the demonstration is held at indoor environment, the position information for each agent is provided with image processing algorithms. Local positioning system is not implemented for hardware demonstration part because it may not be possible for an agent to find enough neighbors to localize itself in a swarm with five agents. Formation control algorithms are implemented with Bubble Packing method which has the best performance on different metrics described in 4.3.5

#### **5.1 Hardware Demonstration Environment**

The schematic of the hardware implementation environment is illustrated in Figure 5.1

## Hardware Implementation

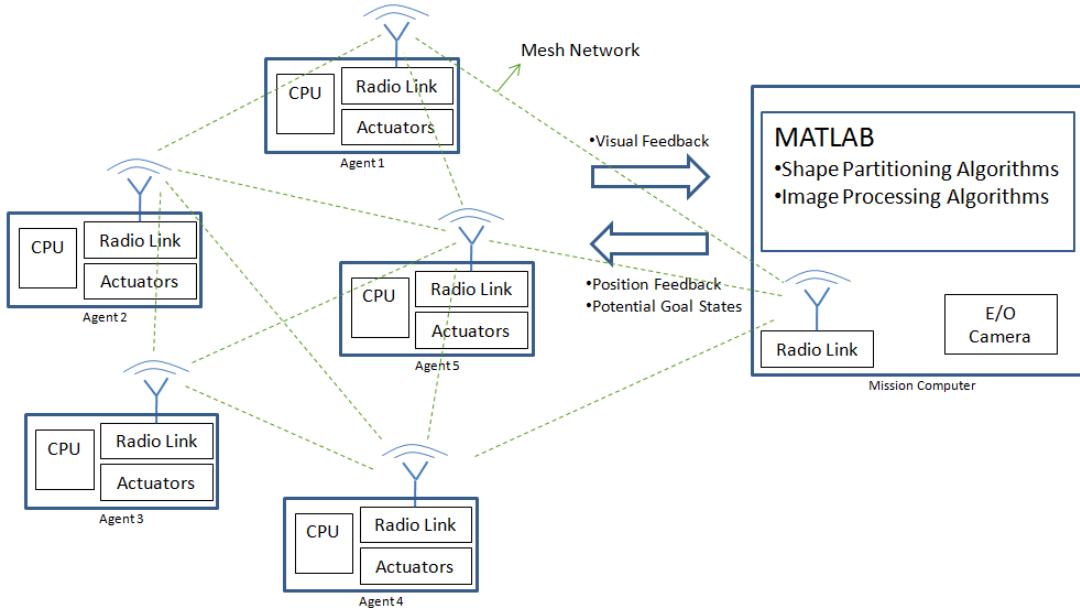


Figure 5.1: Implementation Environment

Each agent and the mission computer has a radio link to create a mesh network in which every node can transfer messages between each other directly or with the help of their neighbors. Also they can broadcast messages to the rest of the nodes in the network. On the other hand each agent has their individual CPU to execute the goal state decision process and to control the actuators to reach the desired goal states. These processors also executes the control system which is described in Section 3.2.4 and they manage the messaging operations within the mesh network. This architecture supports the idea of partially decentralized formation control with Bubble Packing method in which each agent is responsible to take decisions and reach to a global consensus with the rest of the swarm on the potential goal states. These potential goal states are determined by the mission computer which takes the desired formation shape from the operator and executes shape partitioning algorithms. The data of the potential goal states is broadcasted to all of the agents in the environment with the help of mesh network.

Since the environment is an indoor area, it is impossible to use a GNSS system to

provide position and velocity measurements to the agents. To provide this external measurements, a visual feedback system is used with the help of a E/O camera and image processing algorithms. The image processing algorithms used in this work depends on the color classification of different cover planes placed on the top of the mobile robots. These covers are illustrated in Figure 5.2

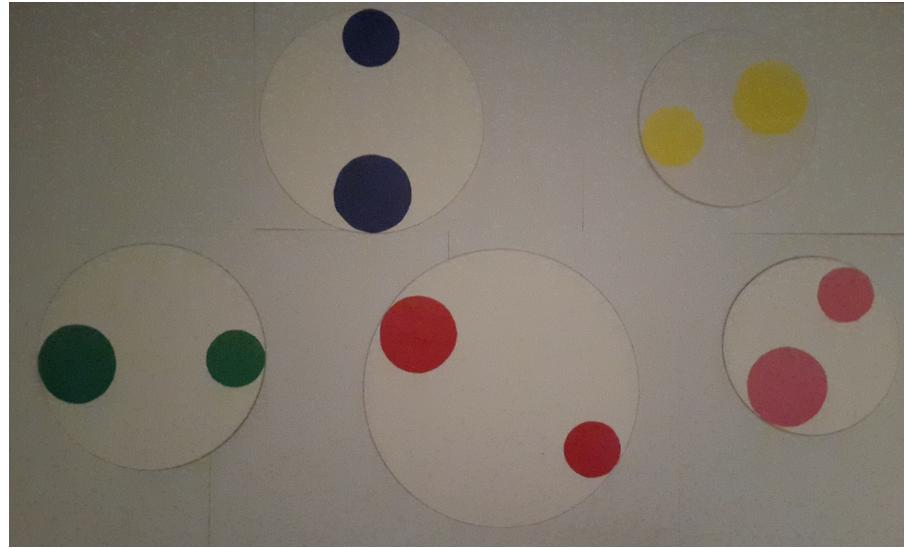


Figure 5.2: Covers for Different Types of Agents

Covers have different sizes which represents the coverage circles of the agents in 2D environment. Each cover have two different size of circles with the same color. The colors of the circles are used to classify the agent ids and the positions of the circles are used to determine both position and attitude (i.e. heading) angles of the mobile robots in the environment. The orientation of the agent in the environment is used in the command mixing algorithms of omni - wheels which will be described in details. The video of the environment is transmitted to the mission computer in nearly real time. Mission computer executes the image processing algorithms which filters the desired colors and detects the positions of the colored circles and broadcasts the position and orientation datas of the agents. A sample output of the image processing algorithm is illustrated in Figure 5.3.

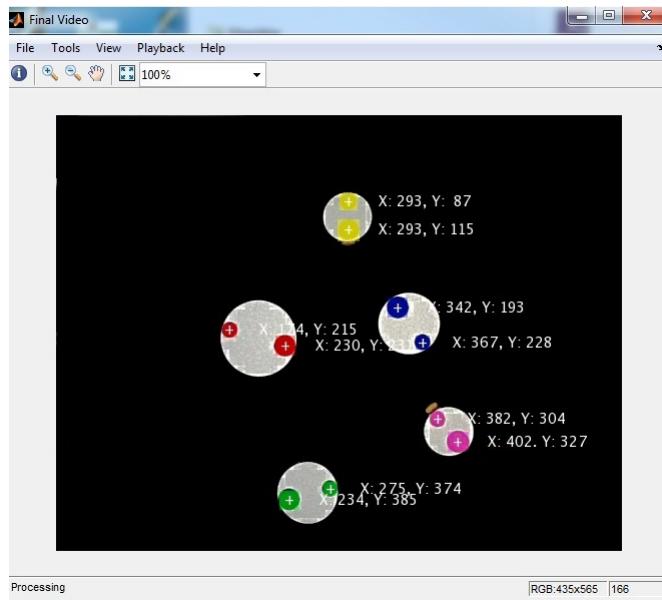


Figure 5.3: Sample Output of the Image Processing Algorithm

The orientation of an agent is determined with the clockwise bearing angle of the vector from the center of the large circle to the center of the small circle. Figure 5.4 illustrates this calculation.

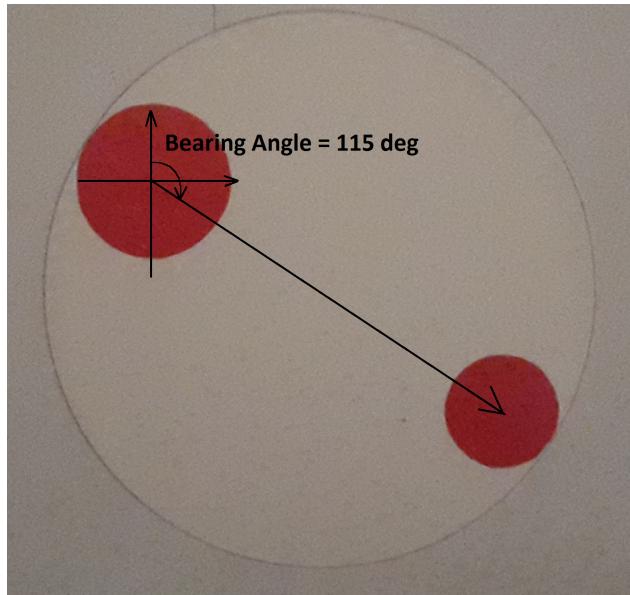


Figure 5.4: Orientation of an Agent in the Environment

Each agent have their individual processor unit and radio link on their boards. A block diagram of tan individual agent's harware is illustrated in Figure 5.5

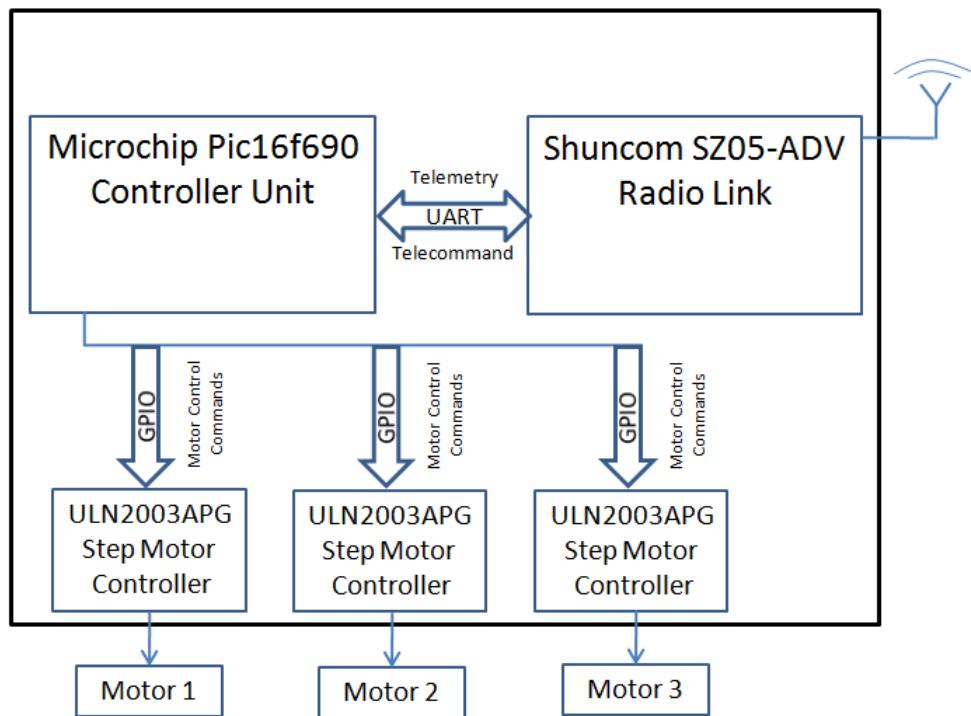
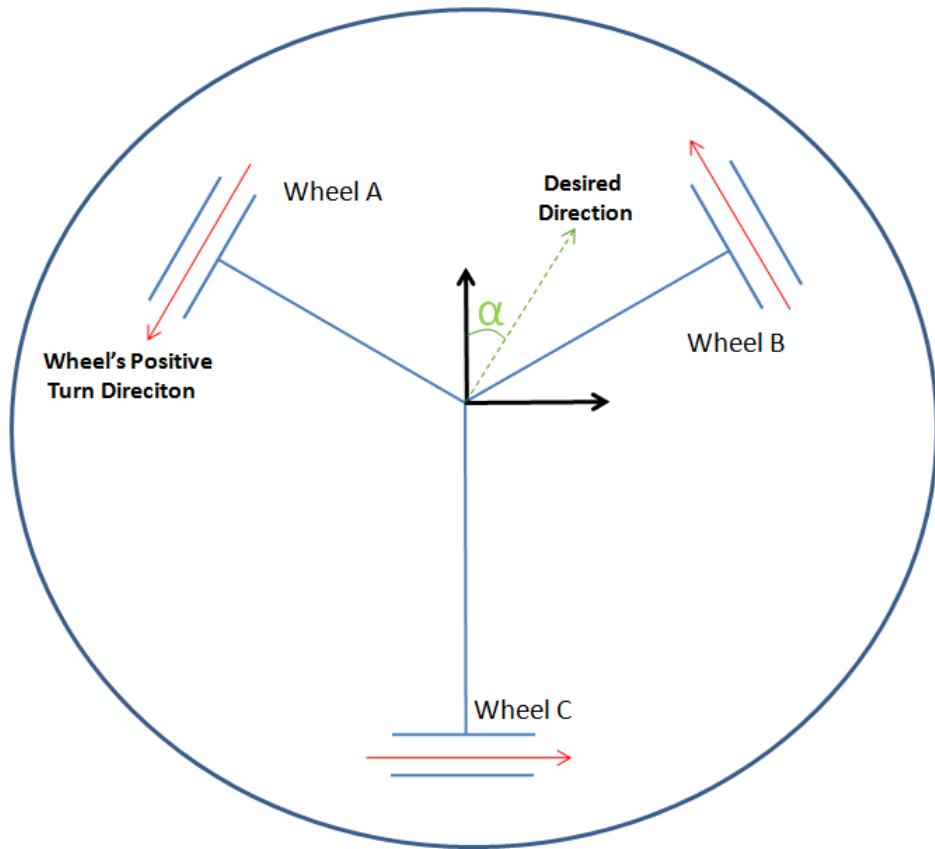


Figure 5.5: Hardware of an Agent

Microchip's Pic16f690 Microcontroller is responsible of controlling the mobile robot and data communication with the environment. This microcontroller runs the formation control algorithms which is described in Section 3.2.2 and drives the 3 step motor control units, ULN2003APG, via GPIO peripherals. The instant velocity setpoints for the stepper motors are determined with the command mixture algorihtm illustrated in Figure 5.6.



$$F_A = \text{velocity} \cdot \cos(150 - \text{DesiredDirection})$$

$$F_B = \text{velocity} \cdot \cos(30 - \text{DesiredDirection})$$

$$F_C = \text{velocity} \cdot \cos(270 - \text{DesiredDirection})$$

Figure 5.6: Command Mixture of Step Motors

The desired velocity vector for the agent is distributed to the stepper motors in accordance with the orientation of the agent . Let  $\|Vel\|$  be the amplitude of the desired velocity and  $\alpha$  is the angle representing the desired direction of the movement with respect to mobile robot's body frame. The velocities for the stepper motors is determined with following equations,

$$V_A = \|Vel\| \cos(150 + \alpha)$$

$$V_B = \|Vel\| \cos(30 + \alpha)$$

$$V_C = \|Vel\| \cos(270 + \alpha)$$

where  $V_A, V_B, V_C$  represents the desired velocities of stepper motor A,B and C respectively.

Microcontroller is also drives the radio link via UART peripheral and manages the communication of the agent with the environment. All of the units on the board are supplied with a 5VDC regulator, 7805. The schematic of the circuit which controls the agent is illustrated in Figure 5.7 and 5.8.

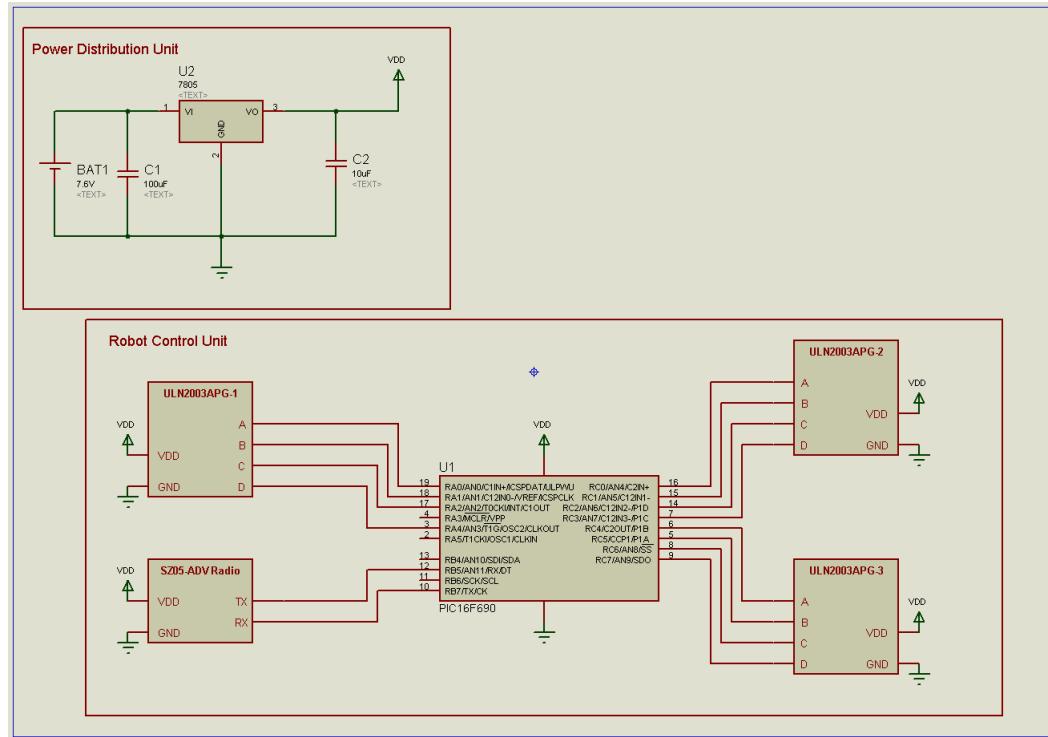


Figure 5.7: Schematic of the Circuit on the Board

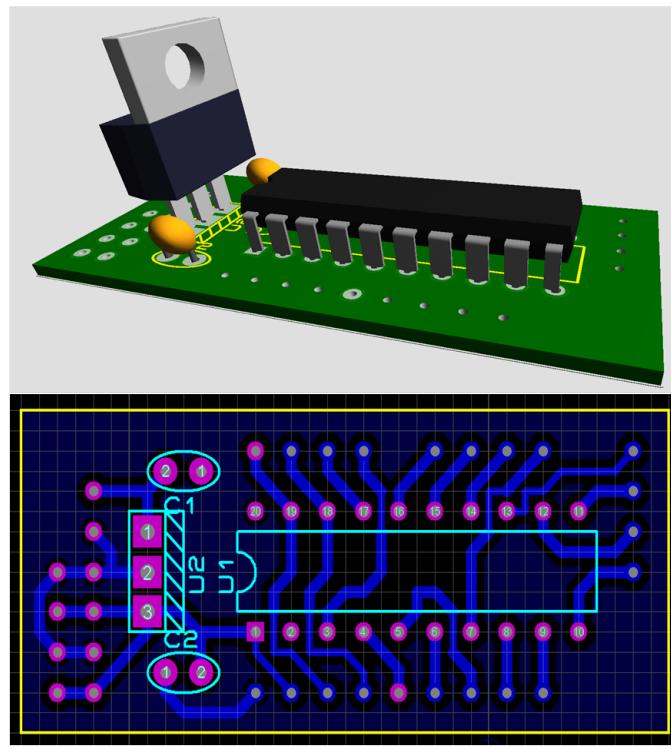


Figure 5.8: 3D Visualization of the Layout

Figure 5.9 and Figure 5.10 describes the hardware parts used on a mobile robot.

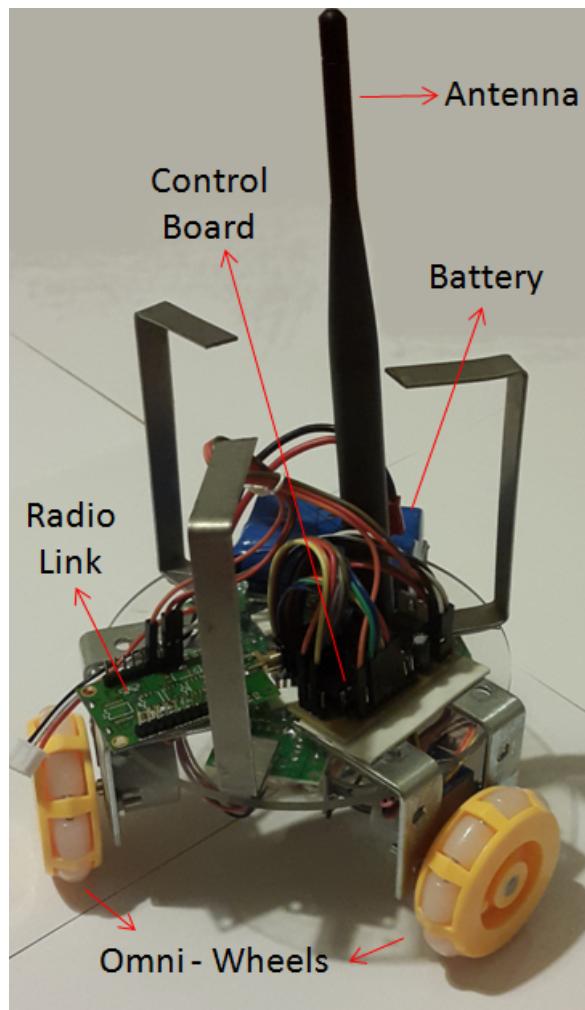


Figure 5.9: Harware of an Agent - Top View

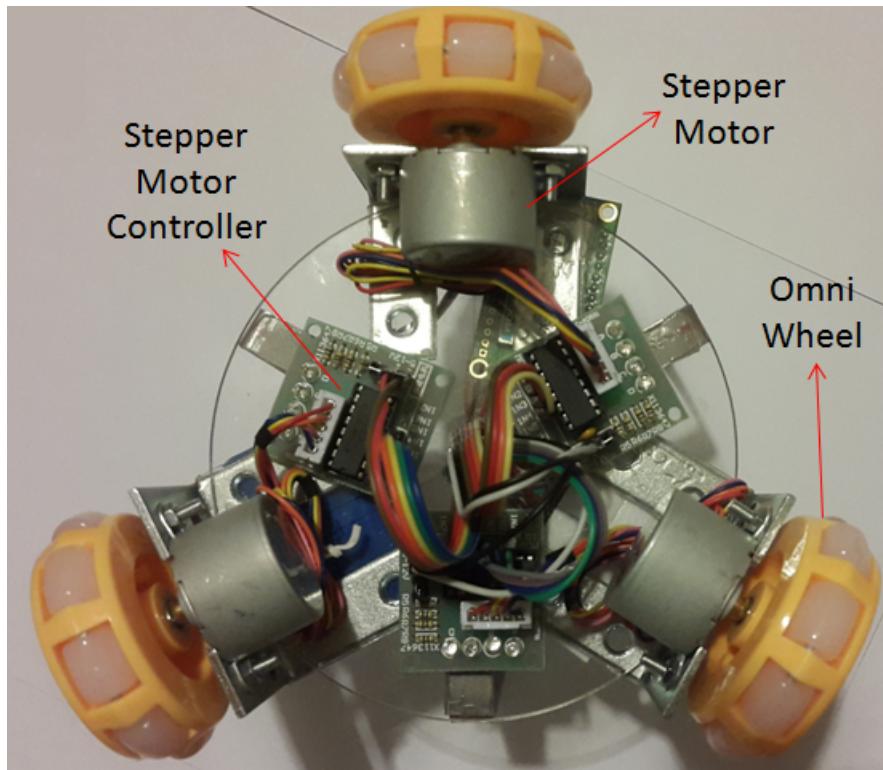


Figure 5.10: Harware of an Agent - Bottom View

## 5.2 Performance Analysis

The system is tested with several formation shapes and results are analyzed with total displacements of the agents and the settling time metrics. Sample formation shapes are covered and the algorithm proposed for Bubble Packing method is tested in real time successfully. Traces of the agents are plotted with the continuous blue lines from their initial positions to the goal states in the following figures. The desired formation shape is plotted with black circles. The environment is a square area which has 2x2 meter size and there are three different types of agents which have different coverage circles represented in Table 5.1

Table 5.1: Three Different Agent Configuration

Agent Color	Agent Type	Coverage Circle Radius[cm]
Red	1	16
Blue	2	11
Green	2	11
Yellow	3	8
Pink	3	8

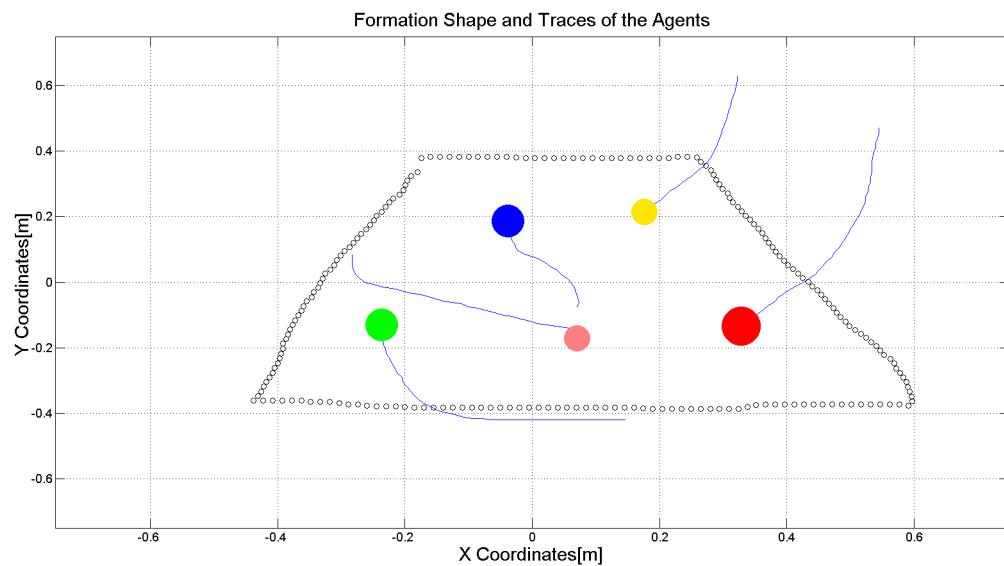


Figure 5.11: Formation Shape 1- Matlab Environment

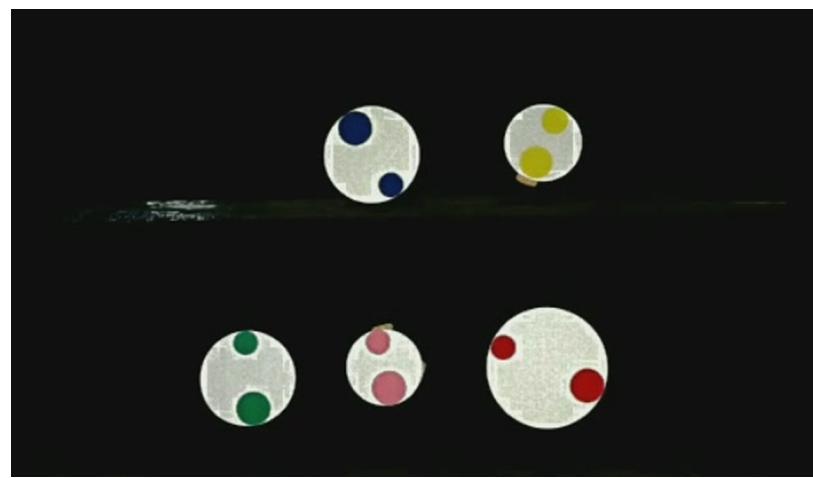


Figure 5.12: Formation Shape 1- Test Environment

Table 5.2: Performance Metrics for Shape - 1

Total Displacements[m]	Settling Time[sec]
1.75	23

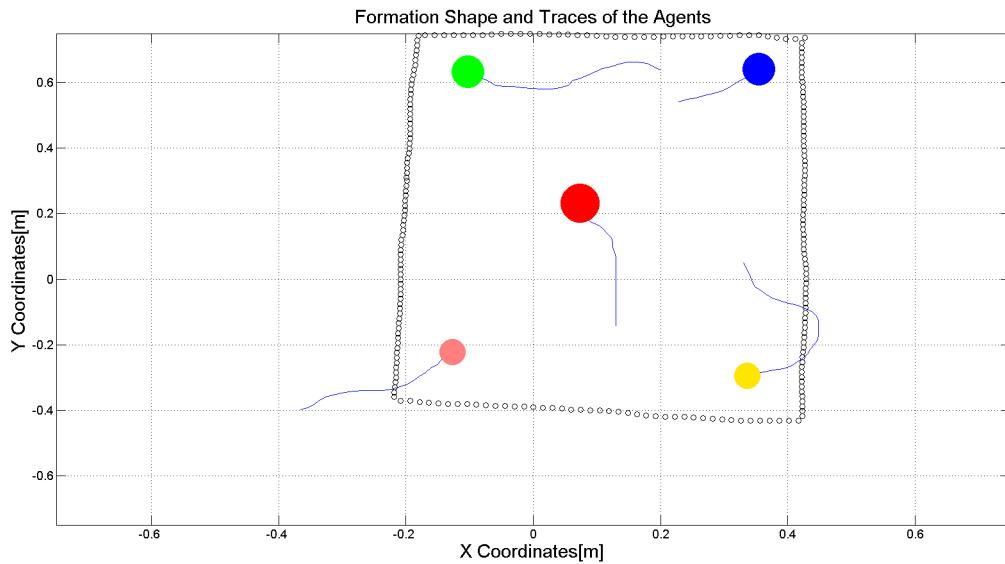


Figure 5.13: Formation Shape 2- Matlab Environment

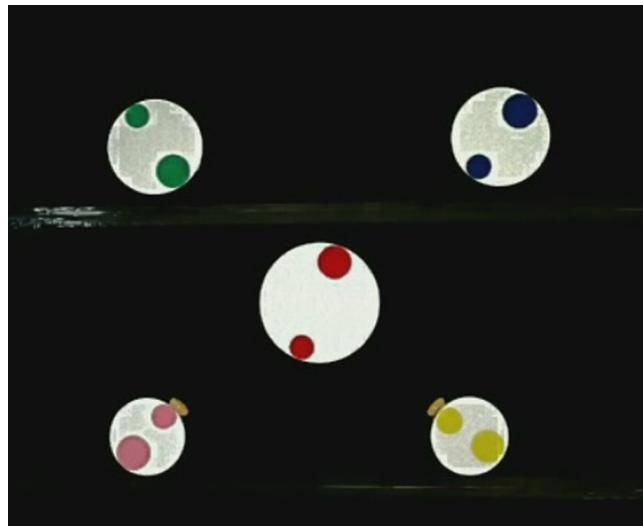


Figure 5.14: Formation Shape 2- Test Environment

Table 5.3: Performance Metrics for Shape - 2

Total Displacements[m]	Settling Time[sec]
1.63	19

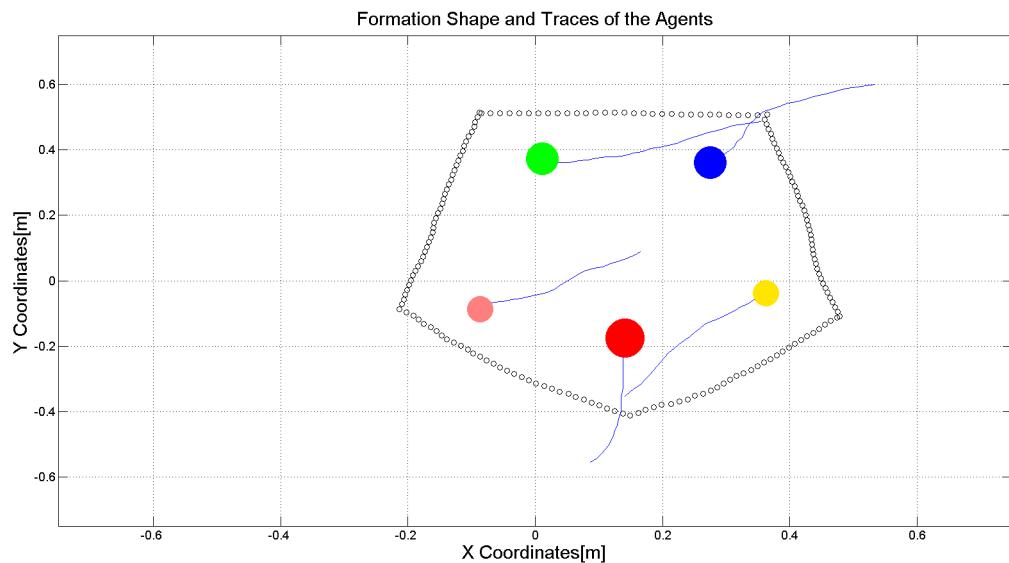


Figure 5.15: Formation Shape 3- Matlab Environment

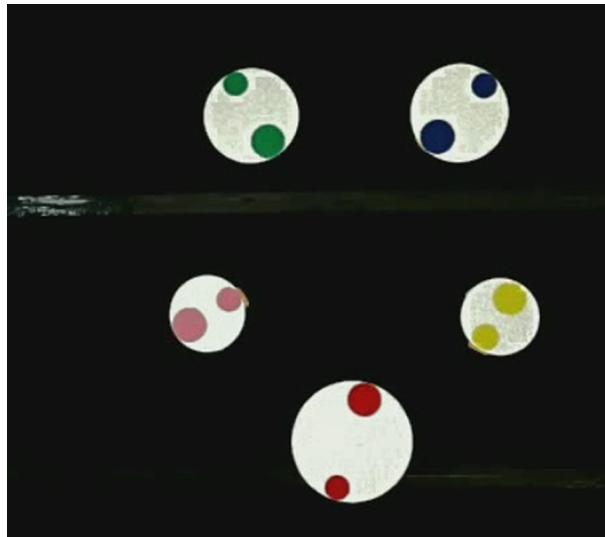


Figure 5.16: Formation Shape 3- Test Environment

Table 5.4: Performance Metrics for Shape - 3

<b>Total Displacements[m]</b>	<b>Settling Time[sec]</b>
1.95	26

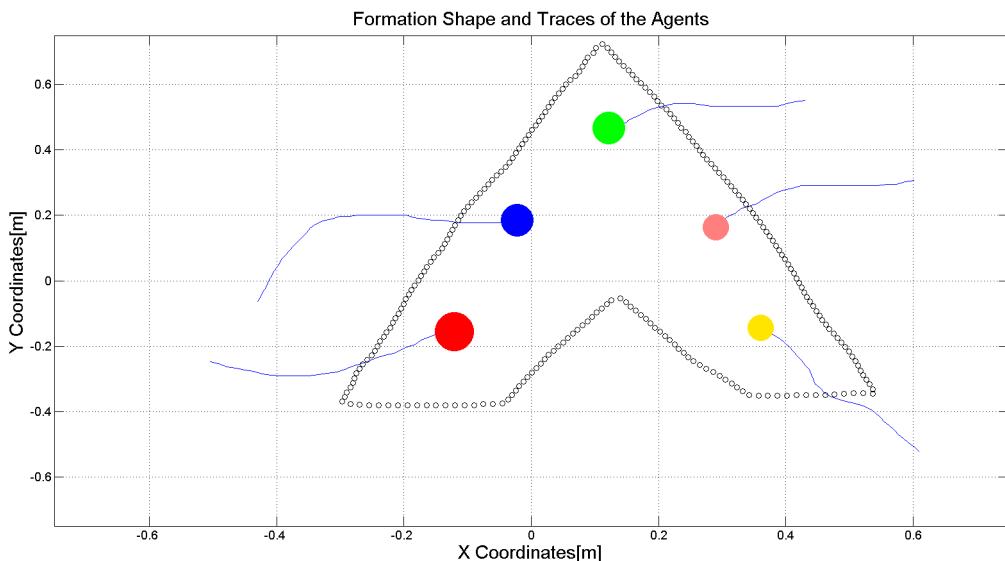


Figure 5.17: Formation Shape 4- Matlab Environment

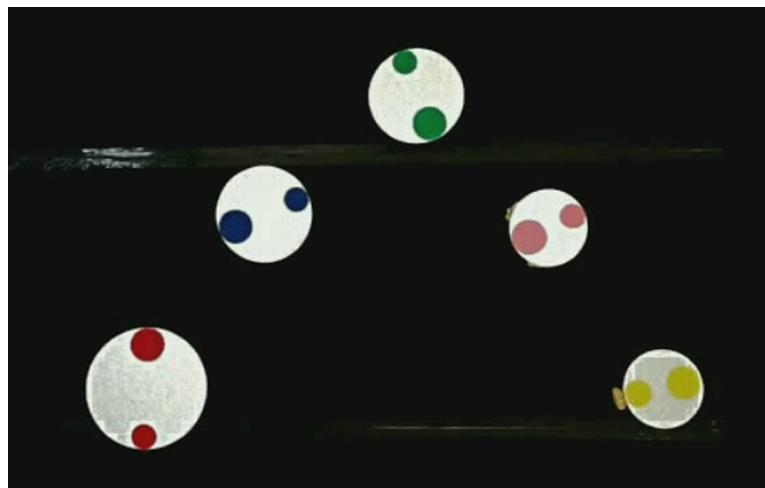


Figure 5.18: Formation Shape 4- Test Environment

Table 5.5: Performance Metrics for Shape - 4

<b>Total Displacements[m]</b>	<b>Settling Time[sec]</b>
2.16	29

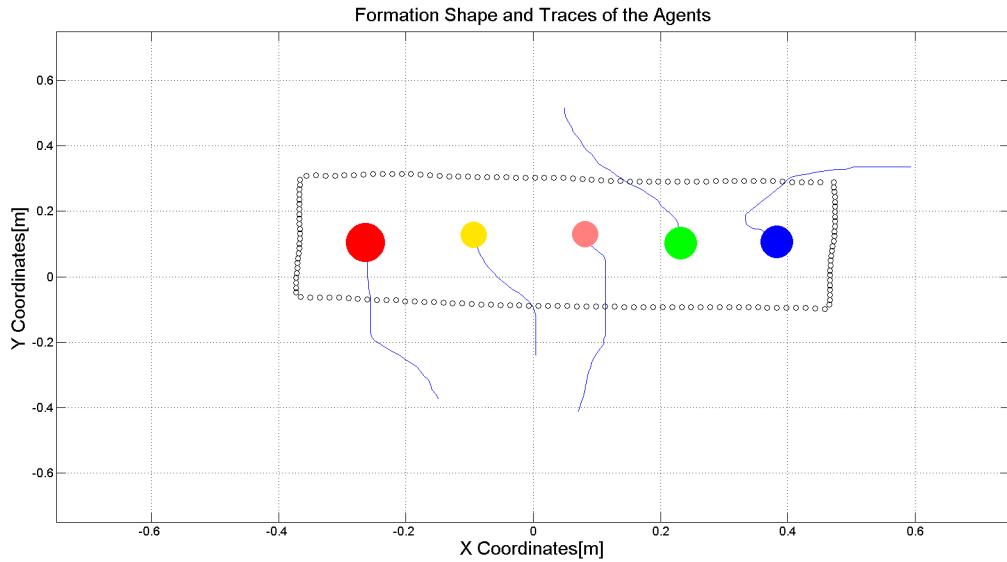


Figure 5.19: Formation Shape 5- Matlab Environment

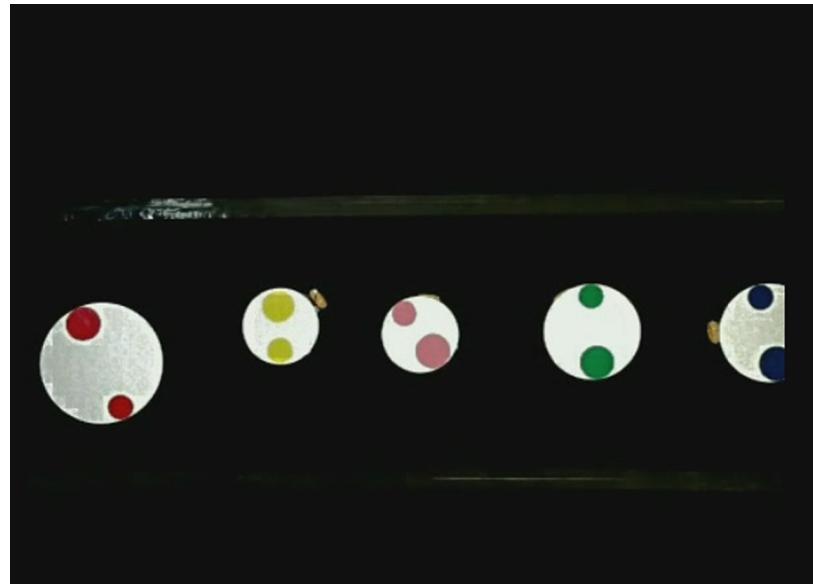


Figure 5.20: Formation Shape 5- Test Environment

Table 5.6: Performance Metrics for Shape - 5

Total Displacements[m]	Settling Time[sec]
1.80	25

Desired formations are simple geometrical shapes since it will not be possible to cover more complicated shaped with five agents. It is obvious that the different types

of agents reaches the related goal states to minimize the total displacements. Blue and green agents (i.e. Type-2 agents) have always reached to the goal states determined for Type-2 agents by minimizing the total displacements as expected. Similarly pink and yellow agents (i.e. Type-3 agents) reached to goal states determined for Type-3 agents with total minimum displacements.

## CHAPTER 6

### CONCLUSION AND FUTURE WORKS

#### 6.1 Conclusion

In this thesis work, we have proposed solutions to the dynamic formation control of heterogenous mobile robots. These solution is constructed with the help of two different subsystems, local positioning systems and formation control systems.

A local positioning system is designed to provide agents accurate position and velocity data. It is assumed that only a percentage of the swarm has position measurement sensors (e.g. a GNSS solution) due to the low sensor capabilites which is discussed in Section 3.1. So there is a nececssity to implement a solution for estimating the positions and the velocities of the rest of the agents which do not have external position measurement sensors on their board. A solution proposed including the fusion of inertial measurements of the agents and the position data calculated with the help of the position beacons (i.e. the agents which have position measurement sensors on their boards). An algorithm that provides INS with the help of IMU sensors (i.e. Accelerometers, Gyroscopes, Magnetometers) is designed for each agent which propagates the translational acceleration of the agents in the Earth frame by implementing an AHRS system. Since this INS solution is vulnerable in position and velocity estimations due to the drift problems and measurement noise of the sensors, an external measurement of position is provided to each agent to correct their estimations based on local trilateration process. Local trilateration process includes the distribution process of the position data from the position beacons to the agents which do not have position sensors. Since it is assumed that the agents have limited communication ca-

pabilities, a solution to distribute the position data with minimum cumulative error to the agents farthest from the position beacons is provided with the help of route tables and assignment of rank values to the agents in the mesh network. Trilateration process is handled with the local neighbors of each agent to calculate the estimated position data. This position data is used in the Kalman observers as the external measurement data. Since it is not possible to execute the route table determination and the local trilateration process with the main process period of the agents (which controls the actuators, propagates INS measurements etc.) due to the computational complexity of the algorithm, a maximum period of time to execute localization process is determined with the help of an upper error bound allowable to achieve formation control with success. The maximum error norm for each agent is calculated below 3 meters with Monte Carlo simulations with the localization period of 3 seconds. This error value is chosen as the maximum tolerable value for the formation control system. So the period for the localization timer is chosen as 3 seconds.

In the second subsystem of the solution, we have introduced two types of methods based on potential field approach and shape partitioning approaches. Potential field approach implements virtual forces to the agents created by the desired formation shape, obstacles in the environment and the other agents. The X-Swarm theory is introduced to prove that the movement of an agent which is outside of the desired formation shape will be towards to the center of the swarm. The potential field based algorithm is designed to satisfy the conditions for the X-Swarm to direct the agents to the center of the swarm which is also moving towards to the center of the formation shape. It is observed that the agents are capable of homogenously covering of the desired formation shape with the help of these artificial forces.

The other two methods based on shape partitioning approaches, are bubble packing method and randomized fractals method . These methods have different solutions to partition the desired formation shape into potential goal states. The algorithms which determines the assignment of the agents to these goal states in a decentralized manner is identical for these two methods.

Bubble packing algorithm introduces a method to partition the desired formation shape based on interbubble forces, similar to the Van der Waals forces between the

molecular bonds, to distribute the bubbles homogenously. It is widely used in mesh generation problems. The idea is to generate a mesh for a surface with identical bubbles to mimic a regular Voronoi diagram including the vertices representing the center of the bubbles. In our problem, the agents are represented by the bubbles with equal radius' of their coverage circles described in Section 3.2.1.1 and an offline simulation handled to generate the desired homogenous mesh to partition the shape into potential goal states. Each goal state has the information of agent type (i.e. coverage circle radius) to be placed at the final configuration. The bubble packing method have a similar approach with the potential fields since they both implement intermember&interbubble forces to homogenously distribute the agents&bubbles in the desired formation&surface

Randomized fractals method is based on randomly distributing the coverage circles which represent the different agent types in the desired formation shape. This algorithm is faster than the bubble packing method since it is not executing an offline simulation. Since it randomly assigns the goal states in the desired formation shape, it is not applicable to implement a dynamic formation control solution with this method.

The assignment process of the agents to the potential goal states is identical for these two different shape partitioning methods. First, the obstacles in the environment is augmented with Minkowski sums for different types of agents. The total coverage of the augmented obstacles represents the forbidden space for each agent. The free configuration space is calculated by extracting these forbidden spaces from the configuration space itself. Then each agent calculates the visibility graphs in the environment with augmented obstacles by including its current position and the potential goal states determined by the shape partitioning algorithms. To find the shortest paths in these visibility graphs to the each potential goal state is calculated with the help of Dijkstra's Algorithm. Hungarian algorithms is implemented to reach a global consensus on the assignment of the goal states to the agents based on minimizing the total displacement of the swarm by taken into consideration the distances of shortest paths to each goal states reported by each agent.

Monte carlo simulations with 1000 iterations is held with the same initial conditions and formation shapes for the three different proposed solution. To evaluate

the performance if these methods, mesh quality, total energy consumption, settling time and dynamic formation performance metrics are calculated. As expected the worst mesh quality performance belongs to the randomized fractals method since it has an approach to randomly distributes the coverage circles in the desired formation shape. The potential field based method and the bubble packing method have similar mesh qualities because of their nature implementing intermember&interbubble forces which contributes the homogenously distribution of the agents&bubbles in the desired formation shape. On the other hand, potential field based method have the worst settling time and total displacement performance due to the lack of predetermined goal states for the agents in the desired formation shapes. The best performance is achieved with the bubble packing method in terms of these three metrics and hence some different formation shape trials including special cases like a formation shape which includes obstacles are held with this method.

At the end of the work, a hardware implementation of bubble packing method is held with 5 mobile robots with different sizes. Since this implementation is done at the indoor environment and the number of agents is not sufficient, it is not possible to implement the local positioning system at this demonstration. The position and orientation data for the agents are calculated with image processing algorithms based on visual feedback provided by an E/O camera. A mesh network established with Zigbee modules and these modules are used to provide a communication backbone between the agents and the mission computer. The agents are designed as 3 axis omni-wheel mobile robots which has the capability to change position in the environment without the need for adapting its orientation. Each agent has its own processor module to execute the goal state decision process, control algorithms and step motor control routines. This feature demonstrates the decentralized manner of the solution in which there is no central server deciding the final states of each agent in the solution. Several formation shape trials are done and the performance is evaluated with total energy consumption and settling time metrics. It is observed that the agents are capable to achieve different formation shapes as desired. This work is important to show the proposed solution about the formation control problem can be implemented in real time in the environment. The results represent a proof of concept (POC) of the thesis work rather than implementing the all details of the proposed solution.

## 6.2 Future Works

It is important to realize the proposed solution with more agents within the environment. This kind of implementation will give a more insight about the performance and the drawbacks about the system according to the tests held in simulation environment. So, the implementation with more number of agents in real time is one of the next steps for this project.

Even if the bubble packing method has the best performance in the sense of both mesh quality, energy consumption and settling time issues, it is not a fully decentralized method since the shape partitioning process is executed on a central server node. Shape partitioning algorithm is not deterministic and it doesn't converge to the same potential goal states even if the initial states are identical. If it is possible to implement a method to partition the desired formation shape with a similar mesh quality performance in a more deterministic manner, it will be possible to distribute the partitioning process to individual agents.

Since the main focus is not on the obstacle avoidance issue of the agents, all three methods are augmented with only potential fields by the obstacles in the environment just to implement a basic obstacle avoidance. It is needed to implement a more complex obstacle avoidance algorithm (e.g. tangent bug algorithm) to avoid the cases in which some of the agents gets into an unwanted equilibrium under the effect of the desired setpoints and the obstacle forces.

In this thesis work, one of the main metrics to evaluate the performance of the proposed solutions is the total energy consumption of the swarm while achieving the desired formation shape. Moreover, in the shape partitioning methods the goal state assignment algorithms are designed to minimize the overall energy consumption of the swarm. But, there may be cases in which some of the agents are more critical to reach the goal state or some of the agents are running out of battery&energy. In such conditions, it will be appropriate to improve the goal state assignment algorithm to prioritize the agents to handle these kind of special cases.

The main aim was to create a swarm with heterogenous agents which can achieve a desired complex formation. One of the idea about this concept is to achieve some

complex tasks collectively with the agents which have different individual capabilities. In our thesis work, we have focused on achieving complex formation shapes with heterogenous mobile robots rather than achieving complex tasks after getting the desired formation shapes. The next step for this project is to add the capability of doing these kind of tasks with the agents from different capabilities.

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## **APPENDIX A**

### **APPENDIX NAME**

Appendix content goes here.



# **CURRICULUM VITAE**

## **PERSONAL INFORMATION**

**Surname, Name:** Surname, Name

**Nationality:** Turkish (TC)

**Date and Place of Birth:** dd.mm.yyyy, City

**Marital Status:** Single

**Phone:** 0 312 0000000

**Fax:** 0 312 0000000

## **EDUCATION**

<b>Degree</b>	<b>Institution</b>	<b>Year of Graduation</b>
M.S.	M.S. Institute	M.S. Year
B.S.	B.S. Institute	B.S. Year
High School	High School Name	High School Graduating Year

## **PROFESSIONAL EXPERIENCE**

<b>Year</b>	<b>Place</b>	<b>Enrollment</b>
Duration 1	Institute/Company 1	Role/Position/Experience 1
Duration 2	Institute/Company 2	Role/Position/Experience 2

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