

An Empirical Study of Partial Deduction for MINIKANREN

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We explore partial deduction for MINIKANREN: a specialization technique aimed at improving the performance of a relation in the given direction. We describe a novel approach to specialization of MINIKANREN based on partial deduction and supercompilation. On several examples, we demonstrate issues which arise during partial deduction.

CCS Concepts: • **Software and its engineering** → **Constraint and logic languages**; **Source code generation**.

Additional Key Words and Phrases: relational programming, partial deduction, specialization

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1 INTRODUCTION

The core feature of the family of relational programming languages MINIKANREN¹ is their ability to run a program in different directions. Having specified a relation for adding two numbers, one can also compute the subtraction of two numbers or find all pairs of numbers which can be summed up to get the given one. By running a relational interpreter *backwards* one can obtain a *solver* [9] thus solving a much more complicated problem.

The search employed in MINIKANREN is complete which means that every answer will be found, although it may take a long time. The promise of MINIKANREN falls short when speaking of performance. The running time of a program in MINIKANREN is highly unpredictable and varies greatly for different directions. What is even worse, it depends on the order of the relation calls within a program. One order can be good for one direction, but slow the computation drastically in the different direction.

Specialization or partial evaluation [5] is a technique aimed at improving performance of a program given some information about it beforehand. It may either be a known value of some argument, its structure (i.e. the length of an input list) or, in case of relational program, — the direction in which it is intended to be run. An earlier paper [9] showed that *conjunctive partial deduction* [3] can sometimes improve the performance of MINIKANREN programs. Unfortunately, it may also not affect the running time of a program or even make it slower.

Control issues in partial deduction of logic programming language PROLOG have been studied before [7]. The ideas described there are aimed at left-to-right evaluation strategy of PROLOG. Since the search in MINIKANREN is complete, it is safe to reorder some relation calls within the goal for better performance. While sometimes conjunctive partial deduction gives great performance boost, sometimes it does not behave as well as it could have been.

¹MINIKANREN language web site: <http://minikanren.org>

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In this paper we show on examples some issues which face conjunctive partial deduction. We also describe a novel approach to partial deduction of a relational programming language MINIKANREN. We compare it to the existing specialization algorithms on several programs and discuss why some MINIKANREN programs run slower after specialization.

2 RELATED WORK

Specialization is an attractive technique aimed to improve the performance of a program if some of its arguments are known statically. It is studied for functional, imperative and logic programming and comes in different forms: partial evaluation [5] and partial deduction [8], supercompilation [11], distillation [4] and many more. While supercompilation generally improves the behavior of input programs and distillation can even provide superlinear speedup, there are no ways to predict the effect of specialization on a given program in general case.

What is worse they rarely consider the residual program efficiency from the point of view of the target language evaluator. The main optimization source is computing in advance all possible intermediate and statically-known semantics steps at transformation-time. Other criteria like the size of the generated program or possible optimizations and execution cost of different language constructions by the target language evaluator are usually out of consideration [5]. It is known that supercompilation may adversely affect GHC optimizations yielding standalone compilation more powerful [1, 6] and cause code explosion [10]. Moreover, it may be hard to predict the real speedup of any given program on concrete examples even disregarding problems above because of the complexity of the transformation algorithm. The worst case for partial evaluation is when all static variables are used in a dynamic context, and there is a lot of advice on how to implement a partial evaluator as well as a target program so that specialization really improved its performance [2, 5]. There is lack of research in determining the classes of programs which transformers would definitely speed up.

Conjunctive partial deduction makes an effort to provide reasonable control for left-to-right evaluation strategy of PROLOG. CPD constructs a tree which models goal evaluation and resembles SLD-NF tree. After the tree is constructed, a residual program is generated from it. The specialization is done in two levels of control: the local control determines the shape of the residual programs, while the global control ensures that every relation which can be called in the residual program is indeed defined. The leaves of local control trees become nodes of the global control tree. CPD analyses these nodes at the global level and runs local control for all those which are new.

At the local level CPD examines a conjunction of atoms by considering each atom one-by-one from left to right. An atom is *unfolded* if it is deemed safe. When an atom is unfolded, a clause which head can be unified with the atom is found, and a new node is added into the tree where the atom in the conjunction is replaced with the body of that clause. If there are more than one suitable head, then several branches are added into the tree which correspond to the disjunction in the residualized program. An adaptation of CPD for the MINIKANREN programming language is described in [9].

The most well-behaved strategy of local control in CPD for PROLOG is *deterministic unfolding*. An atom is unfolded only if only one suitable clause head exist for it with the one exception: it is allowed to unfold an atom non-deterministically once for one local control tree. This means that if a non-deterministic atom is the left-most within a conjunction, it is most likely to be unfolded and introduce a lot of We believe this is the core problem with CPD which limits its power when applied to MINIKANREN. The strategy of unfolding atoms from left to right is reasonable in the context of PROLOG because it mimics the way it executes. But it often leads to larger global control trees and, as a result, bigger less efficient programs. The evaluation result of a MINIKANREN program does not depend on the order of atoms (relation calls) within a conjunction, thus we believe a better result can be achieved by selecting a relation call which can restrict the number of branches in the tree. We describe our approach which implements this idea in the next section.

```

1 | ncpd goal = residualize o drive o normalize (goal)
2 | drive      = drive_disj ∪ drive_conj
3 |
4 | drive_disj :: Disjunction → Process_Tree
5 | drive_disj D@(c1, ..., cn) =
6 |   create_or_node ([ci ← drive_conj (ci)])
7 |
8 | drive_conj :: (Conjunction, Substitution) → Process_Tree
9 | drive_conj ((r1, ..., rn), subst) =
10 |   C@(r1, ..., rn) ← propagate substitution subst on r1, ..., rn
11 |   switch whistle (C) of
12 |     instance (C', subst') → create_fold_node (C', subst')
13 |     embedded_but_not_instance → create_stop_node (C, subst)
14 |     otherwise →
15 |       r ← select_a_call (r1, ..., rn)
16 |       t ← drive o normalize o unfold (r)
17 |       if trivial o leafs (t)
18 |       then
19 |         C' ← propagate_subst (C \ r, extract_subst (t))
20 |         drive C'[r ↦ extract_calls (t)]
21 |       else
22 |         t ∧ drive (C \ r, subst)

```

Fig. 1. Non-conjunctive Partial Deduction Pseudo Code

3 NON-CONJUNCTIVE PARTIAL DEDUCTION

In this section we will describe a novel approach to specialization of relational programs. This approach draws inspiration from both conjunctive partial deduction and supercompilation. The aim was to create a specialization algorithm which is simpler than conjunctive partial deduction and uses properties of MINIKANREN to improve performance of the input programs. **The algorithm pseudocode is shown on Fig. 1².**

Hereafter, we consider all goals and relation bodies to be in *canonical normal form* — a disjunction of conjunctions of either calls or unifications. Moreover, we assume all fresh variables to be instantiated and all unifications to be computed on each step. Those disjuncts in which unifications fails are removed. Other disjuncts take form of a conjunction of relation calls accompanied with a substitution. Any miniKanren term can be trivially transformed to the described form³.

The high-level idea behind the algorithm is to select a relation call to unfold by using a heuristic which decides if the call can narrow down the answer set (line 15). A driving tree is constructed for the selected call in isolation (line 16). The leaves of the computed tree are examined. If all leaves are either computed substitutions or are calls to some relations accompanied with non-empty substitutions, then the leaves are collected and are put back into the root conjunction instead of the examined call (lines 19,20). According to denotational semantics of MINIKANREN it is safe to compute individual conjuncts in any order, thus it is ok to drive any call and then propagate its results onto the other calls.

A driving process creates a process tree, from which a residual program is later created. The nodes of process tree include a *configuration* which describes the state of program evaluation at some point. In our case configuration is a conjunction of relation calls. The substitution computed at each step is also stored in the tree node, although it is not included into the configuration.

²For the sake of brevity and clarity, we provide functions `drive_disj` and `drive_conj` describing driving processes for disjunction and conjunction correspondingly. Driving itself is a trivial combination of presented functions (line 2).

³On Fig. 1 function `normalize` is assumed to perform term normalization; The code is omitted for brevity.

Each time we examine a conjunction of calls, we *split* them into separate nodes which are driven independently from each other. Among the relation calls we select one which is according to the heuristic is likely to narrow down the answer set (line 15). If the selected call does not suit the criteria, the results of its unfolding is not propagated onto other relation calls withing the conjunction and the next suitable call is selected (line 22).

This process does create branchings whenever a disjunction is examined (lines 4–6). At each step we make sure that we do not start driving a conjunction which we have already examined. To do this, we check if the current conjunction is renaming of any other configuration in the tree (line 12). If it is, then we create a special node which then is residualized into a call to the suitable relation.

We decided not to do generalization in this approach. The generalization is used in supercompilation and partial deduction to ensure termination at the same time as some degree of specialization. The generalization of two terms is usually a *most-specific generalization*. Generalization is used to abstract away some information computed during driving. In conjunctive partial deduction generalization is modified to support treating of conjunctions. The generalization selects subconjunctions of two conjuncts which are similar (call to the same relation and their arguments have similar shape and distribution). For the subconjunctions selected a most-specific generalization is computed.

In our approach we only do splitting of a conjunction into individual relation calls. This makes any program with an accumulating parameter to be a problem. Sometimes when there is a need to do a proper generalization, it is in reality just an instance of some other goal within the tree and we can simply create a call there (line 13). Otherwise we are unable to meaningfully specialize such goal, but we can always just include the initial program in the residual program and call the corresponding relation.

3.1 Unfolding

Unfolding is a process of substitution of some relation call by its body with simultaneous computation of unifications. To unfold a relation call we do the following steps. **TODO: the following has already mentioned above.** First, the formal arguments of a relation are substituted for the actual arguments of the call in the body. All fresh variables get instantiated. The body is transformed into a canonical form (disjunction of conjunctions of either calls or unifications). All unifications are computed. Those disjuncts in which unifications fails are removed. Other disjuncts take form of a conjunction of relation calls accompanied with a substitution.

The most important question is when to unfold. Unfortunately, too much unfolding is sometimes is even worse than not enough unfolding. There is a fine edge between those. This problem is mentioned in the (CONTROL PAPER). We believe that the following heuristic provides a reasonable control.

3.2 Heuristic

The intuition behind the heuristic is to find those calls which are safe to unfold. We deem every static conjunct (non-recursive) to be safe because they never lead to growth in the number of conjunctions. Those calls which unfold deterministically, meaning there is only one disjunct in the unfolded relation, are also considered to be safe.

The other more complicated case is when there are less disjuncts than there can possibly be. This signifies that at least one branch of computations is gotten rid of.

The final heuristic selects the first conjunct which suites either of the following cases. First we unfold those conjuncts which are static. Then — deterministic. Then those which are less branching. The last to be unfolded are those calls, which unfold to a substitution with not conjunction.

3.3 Residualization

Residualization is quite straightforward. A branching in the process tree becomes a disjunction. A split node becomes a conjunction. Computed substitution is residualized as a conjunction of unifications. A renaming node is just a call to a relation. Relations are created for configurations on which leaf nodes are renamed.

One other thing is that when some configuration is occurred within the tree which is an instance of a configuration for which a new relation is created, then we just create a call.

4 EVALUATION

In our study we compared the CPD adaptation for MINIKANREN and the new non-conjunctive partial deduction. We have also employed the branching heuristic instead of the deterministic unfolding in the CPD to check whether it can improve the quality of the specialization.

We used the following 4 programs to test the specializers on.

- Two implementations of an evaluator of logic formulas.
- A program to compute a unifier of two terms.
- A program to search for paths of a specific length in a graph.

The last two relations are described in [9] thus we will not describe them here.

4.1 Evaluator of Logic Formulas

The relation `evalo` describes evaluation of a subset of first-order logic formulas in a given substitution. It has 3 arguments. The first argument is a list of boolean values which serves as a substitution. The i -th value of the substitution is the value of the i -th variable. The second argument is a formula with the following abstract syntax. A formula is either a *variable* represented with a Peano number, a *negation* of a formula, a *conjunction* of two formulas or a *disjunction* of two formulas. The third argument is the value of the formula in the given substitution.

In this paper we specialize the relation to synthesize formulas which evaluate to $\uparrow \mathbf{true}$. To do so we run the specializer for the goal with the last argument fixed to $\uparrow \mathbf{true}$, while the first two arguments remain free variables. Depending on the way the `evalo` is implemented, different specializers generate significantly different residual programs.

4.1.1 The Order of Relation Calls. One possible implementation of the evaluator in the syntax of OCANREN is presented in listing 1. In this implementation the relation `elemo subst v res` unifies `res` with the value of the variable `v` in the list `subst`. The relations `ando`, `oro`, and `noto` encode corresponding boolean operations.

```

let rec evalo subst fm res = conde [
  fresh (x y z v w) (
    (fm  $\equiv$  var v  $\wedge$  elemo subst v res);
    (fm  $\equiv$  conj x y  $\wedge$  evalo st x v  $\wedge$  evalo st y w  $\wedge$  ando v w res);
    (fm  $\equiv$  disj x y  $\wedge$  evalo st x v  $\wedge$  evalo st y w  $\wedge$  oro v w res);
    (fm  $\equiv$  neg x  $\wedge$  evalo st x v  $\wedge$  noto v res))
  ]

```

Listing 1. Evaluator of formulas with boolean operation last

Note, that the calls to boolean relations `ando`, `oro`, and `noto` are placed last within each conjunction. This poses a challenge to the CPD-based specializers. Conjunctive partial deduction unfolds relation calls from left to right, so when specializing this relation for running backwards (i.e. considering the goal `evalo subst fm $\uparrow \mathbf{true}$`), it fails to propagate the direction data onto recursive calls of `evalo`. Knowing that `res` is $\uparrow \mathbf{true}$, we can conclude that in the call `ando v w res` variables `v` and `w` have to be $\uparrow \mathbf{true}$ as well. There are three possible options for these

variables in the call $\text{or}^o v w \text{ res}$ and one for the call **not**^o. These variables are used in recursive calls of eval^o and thus restrict the result of driving them. CPD fails to recognize this, and thus unfold recursive calls of eval^o applied to fresh variables. It leads to over-unfolding, big residual programs and less than optimal performance.

The non-conjunctive partial deduction will first unfold those calls which are selected with the heuristic. Since exploring boolean operations makes more sense, they are unfolded before recursive calls of eval^o . The way non-conjunctive partial deduction treats this program is the same as it treats the other implementation in which boolean operations are moved to the left, as shown in listing 2. This program is easier for CPD to transform which demonstrates how unequal is the behavior of CPD for the similar programs.

```

let rec  $\text{eval}^o$  subst fm res = conde [
  fresh (x y z v w) (
    (fm  $\equiv$  var v  $\wedge$   $\text{elem}^o$  subst v res);
    (fm  $\equiv$  conj x y  $\wedge$   $\text{and}^o\_table$  v w res  $\wedge$   $\text{eval}^o$  st x v  $\wedge$   $\text{eval}^o$  st y w);
    (fm  $\equiv$  disj x y  $\wedge$   $\text{or}^o\_table$  v w res  $\wedge$   $\text{eval}^o$  st x v  $\wedge$   $\text{eval}^o$  st y w);
    (fm  $\equiv$  neg x  $\wedge$  noto $\_table$  v res  $\wedge$   $\text{eval}^o$  st x v)))

```

Listing 2. Evaluator of formulas with boolean operation second

4.1.2 Unfolding of Complex Relations. Depending on the way a relation is implemented, it may take different number of driving steps to reach the point when any useful information is derived through its unfolding. Partial deduction tries to unfold every relation call unless it is unsafe, but not all relation calls serve to restrict the search space and thus should be unfolded. In the implementation of eval^o boolean operations can effectively restrict variables within the conjunctions and should be unfolded until they do. But depending on the way they are implemented, different number of driving steps should be performed to do so. The simplest way to implement these relations is with a table as demonstrated with the implementation of **not**^o in listing 3. It is enough to unfold such relation calls once to derive useful information about variables.

```

let noto $\_table$  x y = conde [
  (x  $\equiv$   $\uparrow$ true  $\wedge$  y  $\equiv$   $\uparrow$ false;
  x  $\equiv$   $\uparrow$ false  $\wedge$  y  $\equiv$   $\uparrow$ true)]

```

Listing 3. Implementation of boolean **not** as a table

The other way to implement boolean operations is via one basic boolean relation such as nand^o which is in turn has a table-based implementation (see listing 4). It will take several sequential unfoldings to derive that variables v and w should be \uparrow **true** when considering a call $\text{and}^o v w \uparrow$ **true** implemented via a basic relation. Non-conjunctive partial deduction drives the selected call until it derives useful substitutions for the variables involved while CPD with deterministic unfolding may fail to do so.

4.2 Evaluation Results

In our study we considered two implementations of eval^o : one we call *plain* and the other — *last* and compared how specializers behave on them. The *plain* relation uses table-based boolean operations and places them further to the left in each conjunction. The relation *last* employs boolean operations implemented via nand^o and place them at the end of each conjunction. These two programs are complete opposites from the standpoint of CPD.

We measured time necessary to generate 10000 formulas over two variables which evaluate to \uparrow **true**. We compared the results of specialization of the goal eval^o subst fm \uparrow **true** by our implementation of CPD, the

```

let noto x y = nando x x y

let oro x y z = nando x x xx  $\wedge$  nando y y yy  $\wedge$  nando xx yy z

let ando x y z = nando x y xy  $\wedge$  nando xy xy z

let nando a b c = conde [
  ( a  $\equiv$   $\uparrow$ false  $\wedge$  b  $\equiv$   $\uparrow$ false  $\wedge$  c  $\equiv$   $\uparrow$ true );
  ( a  $\equiv$   $\uparrow$ false  $\wedge$  b  $\equiv$   $\uparrow$ true  $\wedge$  c  $\equiv$   $\uparrow$ true );
  ( a  $\equiv$   $\uparrow$ true  $\wedge$  b  $\equiv$   $\uparrow$ false  $\wedge$  c  $\equiv$   $\uparrow$ true );
  ( a  $\equiv$   $\uparrow$ true  $\wedge$  b  $\equiv$   $\uparrow$ true  $\wedge$  c  $\equiv$   $\uparrow$ false )]

```

Listing 4. Implementation of boolean operation via nand

	last	plain	unify	isPath
Original	>60.00s	>60.00s	>300.00s	19.86s
CPD	31.31s	5.46s	2.35s	4.66s
Non-CPD	4.99s	5.05s	14.90s	3.00s
Branching	17.21s	6.17s	>300.00s	N/A

Table 1. Evaluation results

new non-conjunctive partial deduction, and the CPD modified with the branching heuristic. Our evaluation confirmed that CPD behaves very differently on these two implementations of the same relation: the running time of the specialized last relation is almost 6 times as high as the running time of the specialized plain relation. The running time of two programs generated with our novel non-conjunctive partial deduction is very close and it is a little bit better than the best by CPD. CPD with the branching heuristic still gives different quality transformations. The results are shown in table 1.

Besides evaluator of logic formulas we also run the transformers on the relation unify which searches for a unifier of two terms and a relation isPath specialized to search for paths in the graph. These two relations are described in paper [9] so we will not go into too much details here.

The unify relation was executed to find 5 unifiers of two terms $f(X, X, g(Z, t))$ and $f(g(p, L), Y, Y)$. The original MINIKANREN program fails to terminate on this goal in 300 seconds. On this example the most performant is the program generated by CPD (2.35 seconds) while the program generated by adding branching heuristic also fails to terminate in 300 seconds. The non-conjunctive partial deduction shows some improvement with the residual program terminated within 15 seconds. While driving this program, non-conjunctive partial deduction does too much unfolding which negatively impact the running time as compared to CPD.

The last test executed isPath relation to search for 5 paths in the graph with 20 vertices and 30 edges. On this program non-conjunctive partial deduction showed better transformation results than CPD, although the difference is not that drastic.

All evaluation results are presented in the table 1. Each column correspond to the relation being run as described above. The row marked “Original” contains the running time of the original MINIKANREN relation before specialization, “CPD” and “Non-CPD” correspond to conjunctive and non-conjunctive partial deduction respectively while “Branching” is for the CPD modified with the branching heuristic.

5 CONCLUSION

In this paper we discussed some issues which arise in partial deduction of a relational programming language MINIKANREN. We presented a novel approach to partial deduction which uses a heuristic to select the most suitable relation call to unfold at each step of driving. We compared this approach to the earlier implementation of conjunctive partial deduction. Our evaluation showed that there is still not one good technique which definitively speeds up every relational program.

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