

# Phân tích cú pháp (Parsing)

TDTU

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4. Văn phạm CFG và phân tích cú pháp
5. Văn phạm PCFG
6. Cấu trúc phụ thuộc và phân tích

# Phân tích cú pháp là gì?

- Mục tiêu của phân tích cú pháp là xác định thông tin về cấu trúc của một câu, tức là xác định mối quan hệ giữa các thành phần trong câu.
- Structure of a sentence, it means the syntactic information.

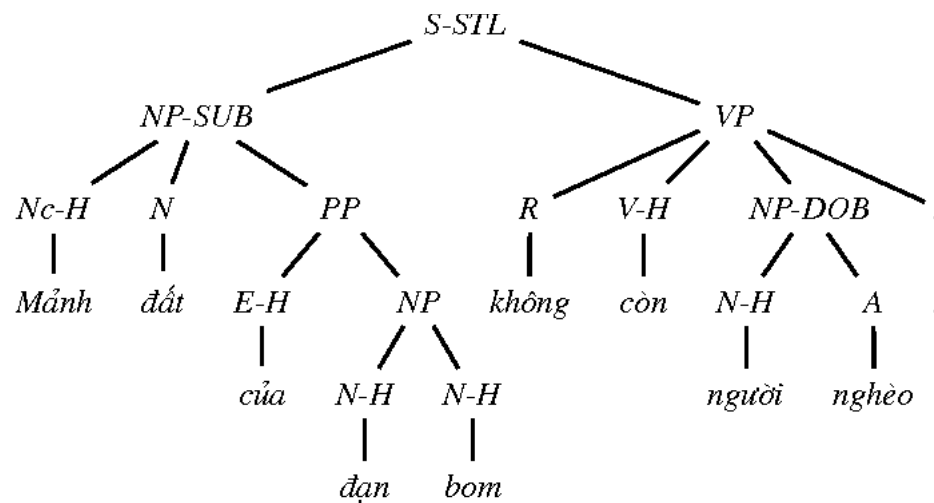
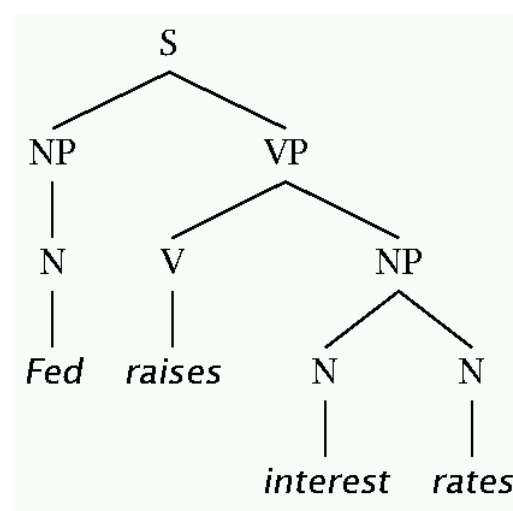


Figure 2.1.1. The syntactic structure of the sentence (1)



# Hai tiếp cận trong phân tích cú pháp

- Phân tích cú pháp thành phần (cú pháp cấu trúc cụm): **Constituency parsing = Phrase structure grammar**
- Phân tích cú pháp phụ thuộc: **Dependency parsing**

# Phân tích thành phần (cấu trúc cụm)

## Constituency = grammar phrase structure

- **Starting unit: words**

the, cat, cuddly, by, door

- **Words combine into phrases**

the cuddly cat, by the door

- **Phrases can combine into bigger phrases**

- the cuddly cat by the door

# Phân tích thành phần (cấu trúc cụm)

## Constituency = grammar phrase structure

**Phrase structure** organizes words into nested constituents

Can represent the grammar with CFG rules

**Starting unit: words** are given a category (part of speech = pos)

the,	cat,	cuddly,	by,	door
Det	N	Adj	P	N

**Words combine into phrases** with categories

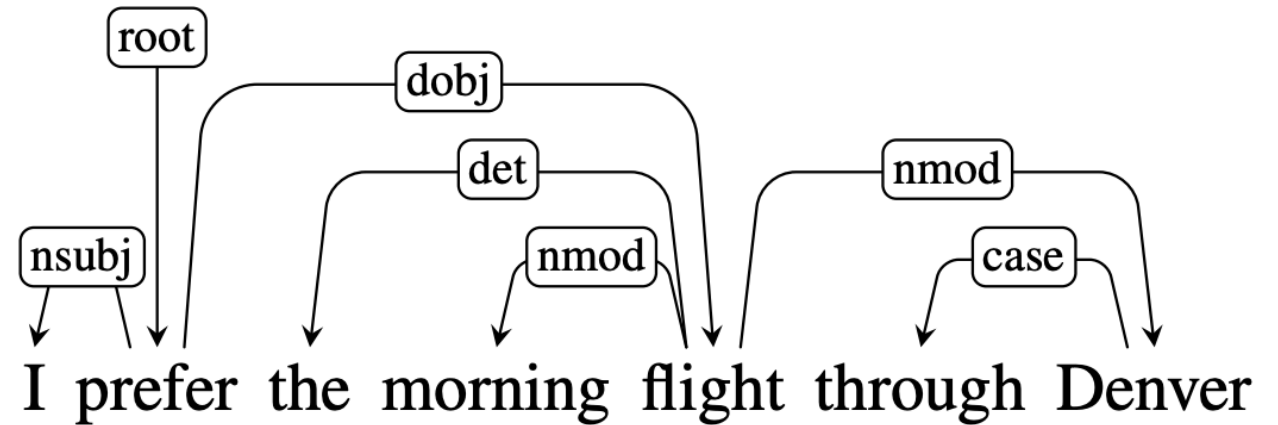
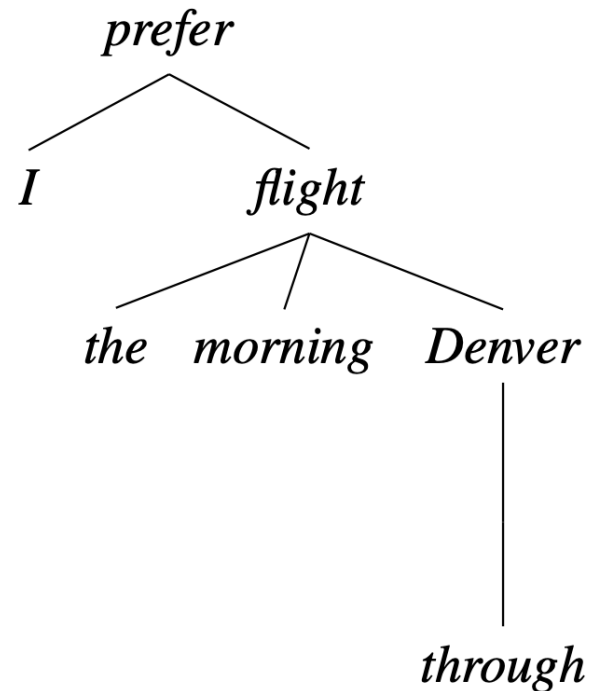
the cuddly cat,	by the door
$NP \rightarrow Det Adj N$	$PP \rightarrow P NP$

**Phrases can combine into bigger phrases** recursively

the cuddly cat by the door
$NP \rightarrow NP PP$

# Cú pháp phụ thuộc và cấu trúc phụ thuộc (dependency grammar and dependency structure)

- Dependency syntax postulates that syntactic structure consists of relations between lexical items, normally binary asymmetric relations (“arrows”) called dependencies



# Relation tags

<b>Clausal Argument Relations</b>	<b>Description</b>
NSUBJ	Nominal subject
DOBJ	Direct object
IOBJ	Indirect object
CCOMP	Clausal complement
XCOMP	Open clausal complement
<b>Nominal Modifier Relations</b>	<b>Description</b>
NMOD	Nominal modifier
AMOD	Adjectival modifier
NUMMOD	Numeric modifier
APPOS	Appositional modifier
DET	Determiner
CASE	Prepositions, postpositions and other case markers
<b>Other Notable Relations</b>	<b>Description</b>
CONJ	Conjunct
CC	Coordinating conjunction

**Figure 15.2** Selected dependency relations from the Universal Dependency set. (de Marneffe et al., 2014)



# Ý nghĩa và ứng dụng của PTCP

- Lấy thông tin cú pháp và ứng dụng cho bài toán hiểu ngôn ngữ
- Cấu trúc tiền đề cho phân tích ngữ nghĩa
- Ứng dụng:
  - ?
  - ?
  - ?
  - ?

# Ý nghĩa và ứng dụng của PTCP

- Lấy thông tin cú pháp và ứng dụng cho bài toán hiểu ngôn ngữ
- Cấu trúc tiền đề cho phân tích ngữ nghĩa
- Ứng dụng:
  - Phân tích câu hỏi trong Question Answering
  - Dịch máy trong tiếp cận dựa trên Rule
  - Các ứng dụng trích chọn thông tin (information extraction)
  - Kiểm lỗi ngữ pháp (grammar checker)

# Thách thức trong phân tích cú pháp (Challenge in parsing)

- Đối với ngôn ngữ tự nhiên, phân tích cú pháp có tính nhập nhằng rất cao.
- Ví dụ:

I saw a man on a hill with a telescope.

# Thách thức trong phân tích cú pháp (Challenge in parsing)

- Đối với ngôn ngữ tự nhiên, phân tích cú pháp có tính nhập nhằng rất cao.
- Ví dụ:

I saw a man on a hill with a telescope  
N V Det N In Det N In Det N

1. There's a man on a hill, and I'm watching him with my telescope.
2. There's a man on a hill, who I'm seeing, and he has a telescope.
3. There's a man, and he's on a hill that also has a telescope on it.
4. I'm on a hill, and I saw a man using a telescope.
5. There's a man on a hill, and I'm sawing him with a telescope.

# Parsing

Context Free Grammar  
and Syntactic Parsing

# CFG and Constituency Parsing

- Văn phạm phi ngữ (CFG) cảnh biểu diễn cấu trúc cụm
- Cây cú pháp và luật
- Thuật toán phân tích CKY
- Thuật toán phân tích Earley

# A Phrase Structure Grammar

$S \rightarrow NP VP$

$VP \rightarrow V NP$

$VP \rightarrow V NP PP$

$NP \rightarrow NP NP$

$NP \rightarrow NP PP$

$NP \rightarrow N$

$NP \rightarrow e$

$PP \rightarrow P NP$

$N \rightarrow \text{people}$

$N \rightarrow \text{fish}$

$N \rightarrow \text{tanks}$

$N \rightarrow \text{rods}$

$V \rightarrow \text{people}$

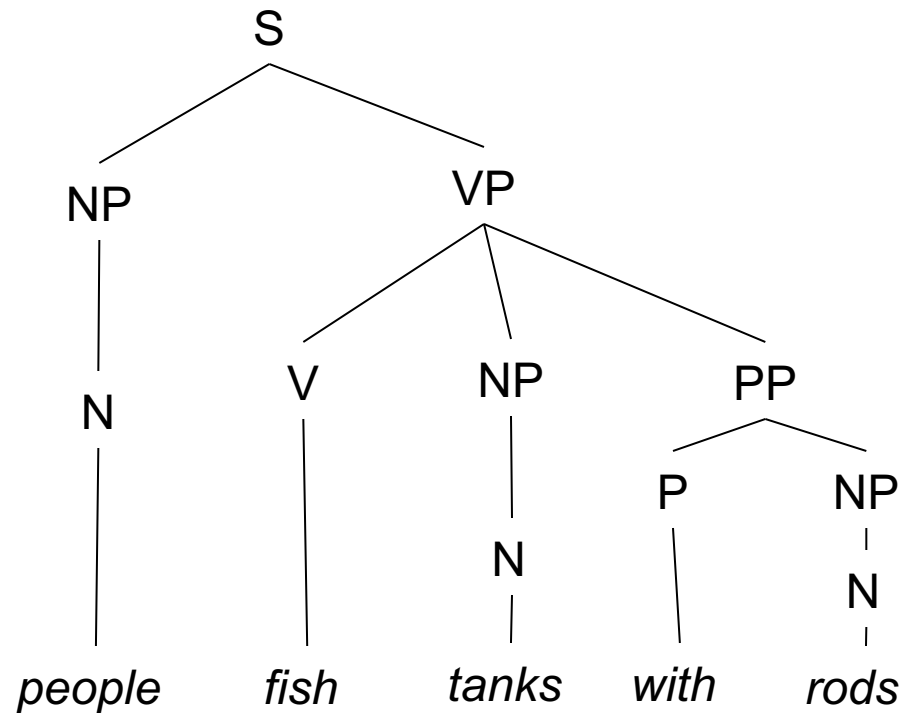
$V \rightarrow \text{fish}$

$V \rightarrow \text{tanks}$

$P \rightarrow \text{with}$

Sentence: *“people fish with rods”*

# Phân tích cú pháp



$S \rightarrow NP VP$

$VP \rightarrow V NP$

$VP \rightarrow V NP PP$

$NP \rightarrow NP NP$

$NP \rightarrow NP PP$

$NP \rightarrow N$

$NP \rightarrow e$

$PP \rightarrow P NP$

$N \rightarrow \text{people}$

$N \rightarrow \text{fish}$

$N \rightarrow \text{tanks}$

$N \rightarrow \text{rods}$

$V \rightarrow \text{people}$

$V \rightarrow \text{fish}$

$V \rightarrow \text{tanks}$

$P \rightarrow \text{with}$



# Phrase structure grammars = context-free grammars (CFGs)

- $G = (T, N, S, R)$ 
  - $T$  is a set of terminal symbols
  - $N$  is a set of nonterminal symbols
  - $S$  is the start symbol ( $S \in N$ )
  - $R$  is a set of rules/productions of the form  $X \rightarrow \gamma$ 
    - $X \in N$  and  $\gamma \in (N \cup T)^*$
- A grammar  $G$  generates a language  $L$ .

# Phân tích cú pháp

- Là bài toán với văn phạm đã cho và một câu input, sinh cây cú pháp (parsed tree).
- Các vấn đề cần giải quyết:
  - Xây dựng văn phạm.
  - Thuật toán phân tích (time complexity)
  - Giải quyết tính nhập nhằng trong phân tích cú pháp.

# Các tiếp cận phân tích cú pháp

- Top-down vs. bottom-up:
  - Top-down: (goal-driven): from the start symbol down.
  - Bottom-up: (data-driven): from the symbols up.
- Naive vs. dynamic programming:
  - Naive: enumerate everything.
  - Backtracking: try something, discard partial solutions.
  - **Dynamic programming**: save partial solutions in a table.
- Examples:
  - CKY: bottom-up dynamic programming.
  - Earley parsing: top-down dynamic programming.

# Thuật toán CYK và văn phạm chuẩn Chomsky (Chomsky Normal Form)

- Luật trong CNF chỉ thuộc một trong 2 dạng:
  - Two non-terminals: (e.g.,  $VP \rightarrow ADVVP$ )
  - One terminal:  $VP \rightarrow eat$
- Mỗi luật CFG có thể viết về dạng tương đương của luật CNF

Ví dụ:



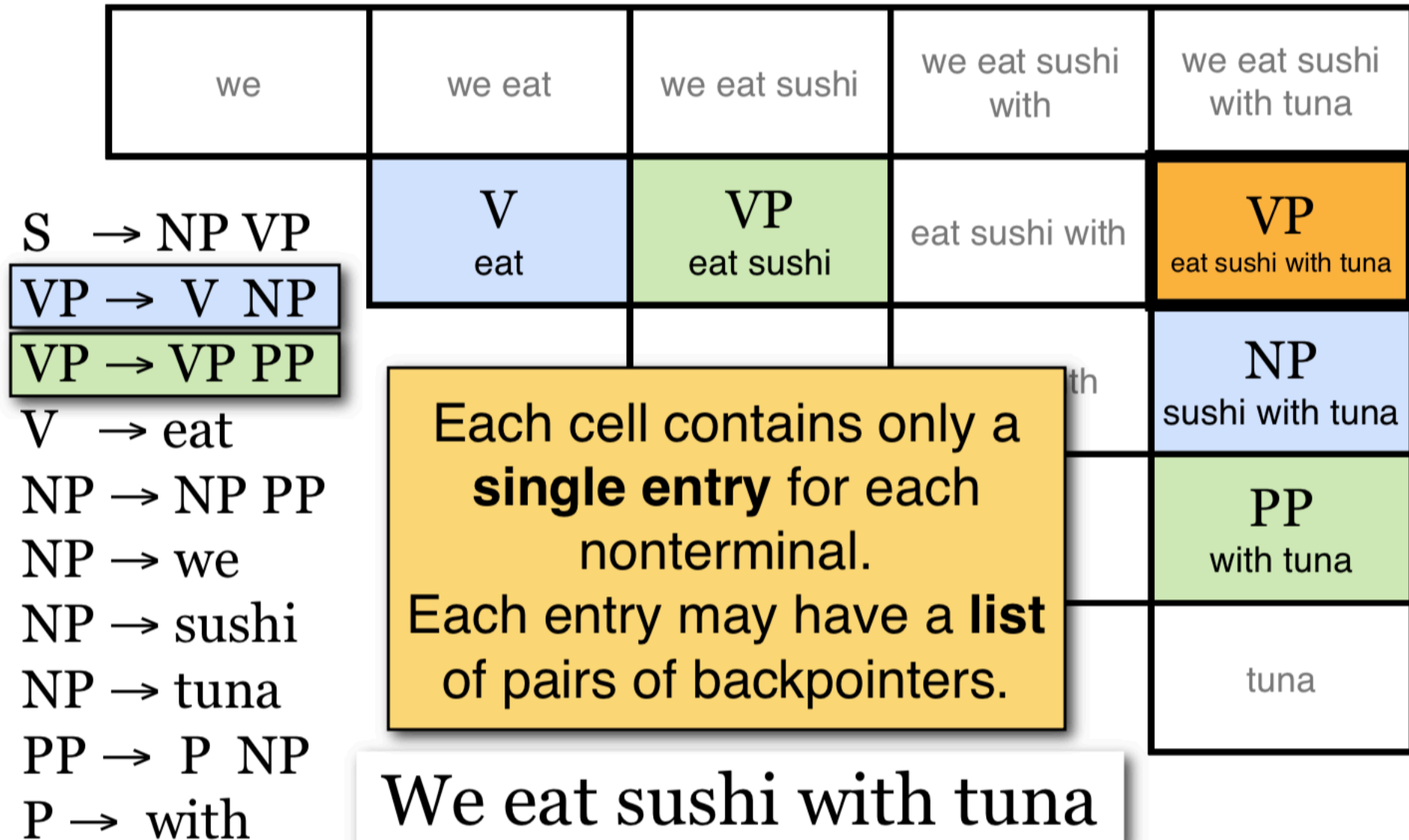
Chuyển về dạng CNF?:

$VP \rightarrow VBD \ NP \ PP \ PP$

# CKY chart parsing algorithm

- Bottom-up parsing: start with the words
- Dynamic programming:
  - save the results in a table/chart
  - re-use these results in finding larger constituents
- Complexity:  $O(n^3 / |G|)$   
 $n$ : length of string,  $|G|$ : size of grammar)

# Ví dụ



# Bài tập 1

$$S \rightarrow NP VP$$
$$VP \rightarrow V NP$$
$$VP \rightarrow VP PP$$
$$PP \rightarrow P NP$$
$$NP \rightarrow NP PP$$

**I eat sushi with chopsticks**

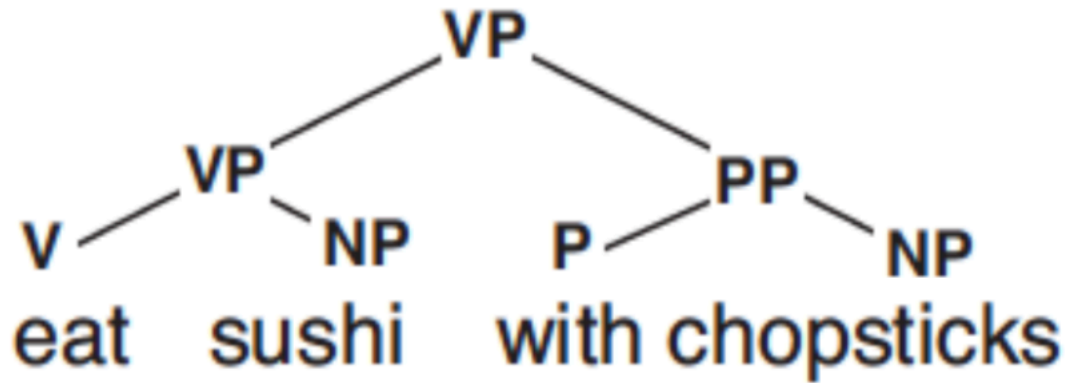
NP V

NP

P

NP

# Đáp án



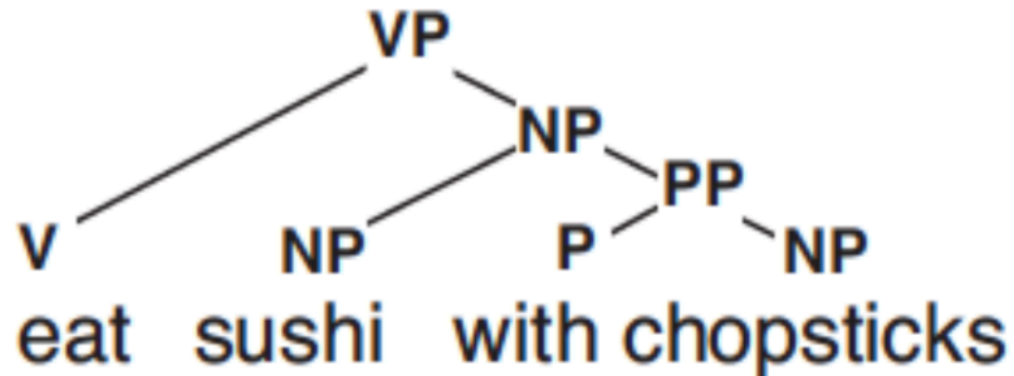
$S \rightarrow NP VP$

$VP \rightarrow V NP$

$VP \rightarrow VP PP$

$PP \rightarrow P NP$

$NP \rightarrow NP PP$



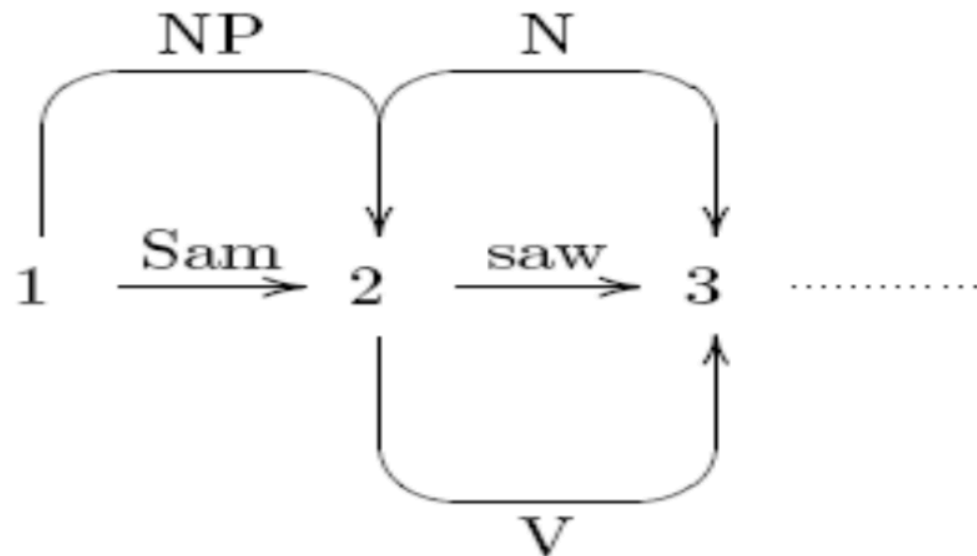


# Thuật toán CYK

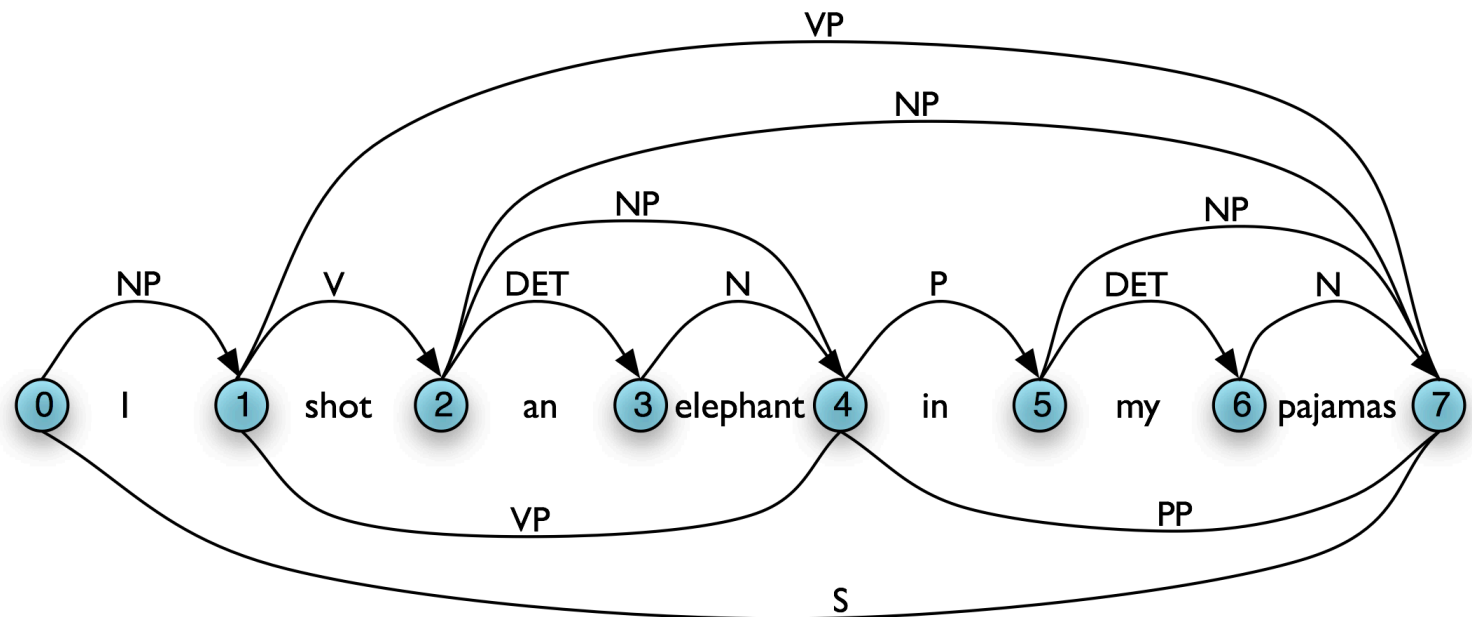
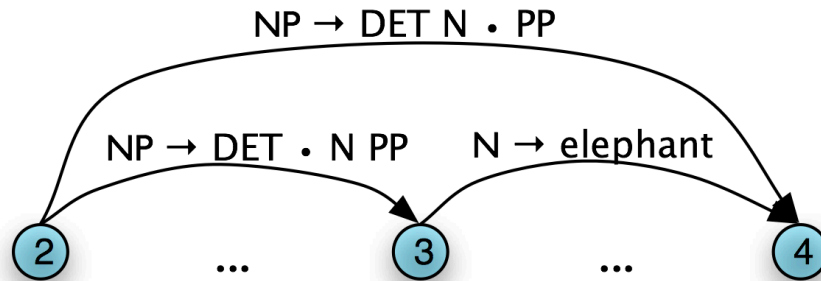
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CYK (  $\mathcal{G}, w$  )  
     $\mathcal{G} = (\mathcal{V}, \Sigma, \mathcal{R}, S), \Sigma \cup \mathcal{V} = \{X_1, \dots, X_r\}, w = w_1 w_2 \dots w_n$ .  
begin  
    Initialize the 3d array  $B[1 \dots n, 1 \dots n, 1 \dots r]$  to FALSE  
    for  $i = 1$  to  $n$  do  
        for  $(X_j \rightarrow x) \in \mathcal{R}$  do  
            if  $x = w_i$  then  $B[i, i, j] \leftarrow \text{TRUE}$ .  
    for  $i = 2$  to  $n$  do /* Length of span */  
        for  $L = 1$  to  $n - i + 1$  do /* Start of span */  
             $R = L + i - 1$  /* Current span  $s = w_L w_{L+1} \dots w_R$  */  
            for  $M = L + 1$  to  $R$  do /* Partition of span */  
                /*  $x = w_L w_{L+1} \dots w_{M-1}$ ,  $y = w_M w_{M+1} \dots w_R$ , and  $s = xy$  */  
                for  $(X_\alpha \rightarrow X_\beta X_\gamma) \in \mathcal{R}$  do  
                    /* Can we match  $X_\beta$  to  $x$  and  $X_\gamma$  to  $y$ ? */  
                    if  $B[L, M - 1, \beta]$  and  $B[M, R, \gamma]$  then  
                         $B[L, R, \alpha] \leftarrow \text{TRUE}$  /* If so, then can generate  $s$  by  $X_\alpha$ ! */  
    for  $i = 1$  to  $r$  do  
        if  $B[1, n, i]$  then return TRUE  
return FALSE
```

# Các Thuật toán phân tích Chart Parsing

- The chart provides a compact representation of local ambiguity.
- The Basic Principle: Avoid duplication, Represent everything, but only represent it once.



# Các Thuật toán phân tích Chart Parsing



# Thuật toán phân tích Earley

1. Left to right
2. Dynamic programming
3. Using chart:

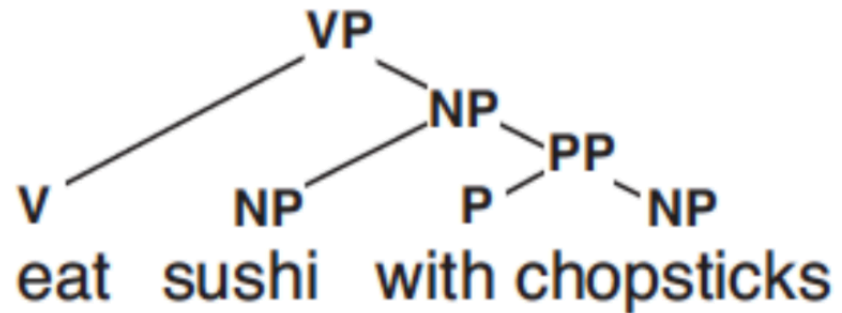
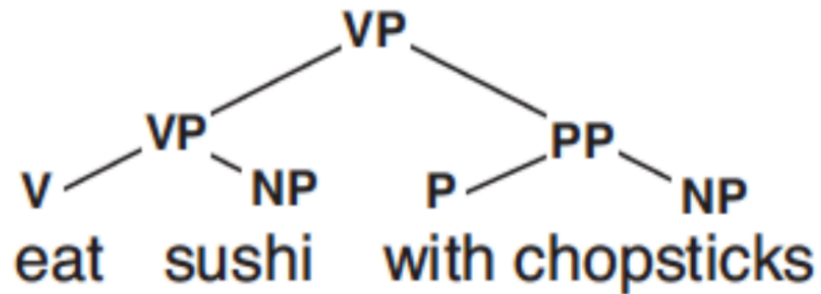
ambiguous grammars (including grammars of natural languages). It uses dynamic programming approach -- *partial hypothesized results are stored in a structure called a chart and can be re-used*. This eliminates backtracking and prevents a combinatorial explosion.

# Parsing

Probability Context Free  
Grammar and Syntactic  
Parsing

# Grammars are ambiguous

A grammar might generate multiple trees for a sentence:



What's the most likely parse  $\tau$  for sentence S ?

We need a model to compute  $P(\tau)$

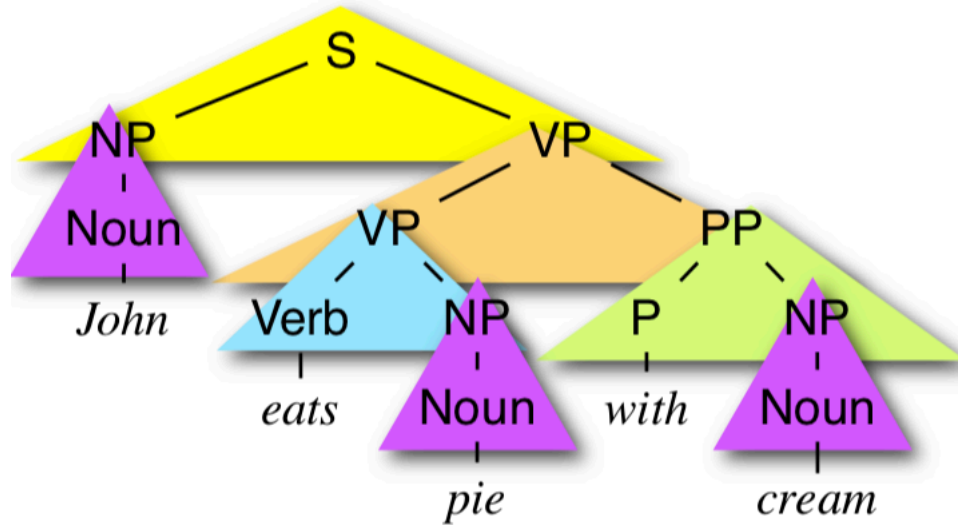
# Probabilistic Context-Free Grammars

For every nonterminal  $X$ , define a probability distribution  $P(X \rightarrow \alpha \mid X)$  over all rules with the same LHS symbol  $X$ :

$S$	$\rightarrow$	$NP\ VP$	0.8
$S$	$\rightarrow$	$S\ conj\ S$	0.2
$NP$	$\rightarrow$	$Noun$	0.2
$NP$	$\rightarrow$	$Det\ Noun$	0.4
$NP$	$\rightarrow$	$NP\ PP$	0.2
$NP$	$\rightarrow$	$NP\ conj\ NP$	0.2
$VP$	$\rightarrow$	$Verb$	0.4
$VP$	$\rightarrow$	$Verb\ NP$	0.3
$VP$	$\rightarrow$	$Verb\ NP\ NP$	0.1
$VP$	$\rightarrow$	$VP\ PP$	0.2
$PP$	$\rightarrow$	$P\ NP$	1.0

# Computing $P(\tau)$ with a PCFG

The probability of a tree  $\tau$  is the product of the probabilities of all its rules:



$$P(\tau) = 0.8 \times 0.3 \times 0.2 \times 1.0 \times 0.2^3$$
$$= 0.00384$$

S	→ NP VP	0.8
S	→ S conj S	0.2
NP	→ Noun	0.2
NP	→ Det Noun	0.4
NP	→ NP PP	0.2
NP	→ NP conj NP	0.2
VP	→ Verb	0.4
VP	→ Verb NP	0.3
VP	→ Verb NP NP	0.1
VP	→ VP PP	0.2
PP	→ P NP	1.0



# Ví dụ

## Input: POS-tagged sentence

John\_N eats\_V pie\_N with\_P cream\_N

John	eats	pie	with	cream	
N NP 0.2	S 0.8*0.2*0.4	S 0.8*0.2*0.08		S 0.2*0.0024*0.8	John
	V VP 0.4	VP 0.3*0.2		VP max( 0.008*0.2, 0.06*0.2*0.2)	eats
		N NP 0.2		NP 0.2*0.2*0.2	pie
			P	PP 1*0.2	with
				N NP 0.2	cream

S	→	NP VP	0.8
S	→	S conj S	0.2
NP	→	Noun	0.2
NP	→	Det Noun	0.4
NP	→	NP PP	0.2
NP	→	NP conj NP	0.2
VP	→	Verb	0.4
VP	→	Verb NP	0.3
VP	→	Verb NP NP	0.1
VP	→	VP PP	0.2
PP	→	P NP	1.0

# Bài tập

$S \rightarrow NP VP$	1.0
$VP \rightarrow V NP$	0.7
$VP \rightarrow VP PP$	0.3
$PP \rightarrow P NP$	1.0
$NP \rightarrow NP PP$	1.0

**I eat sushi with chopsticks**

NP V

NP

P

NP

# Weaknesses of PCFGs

- Lack of sensitivity to lexical information

(a)

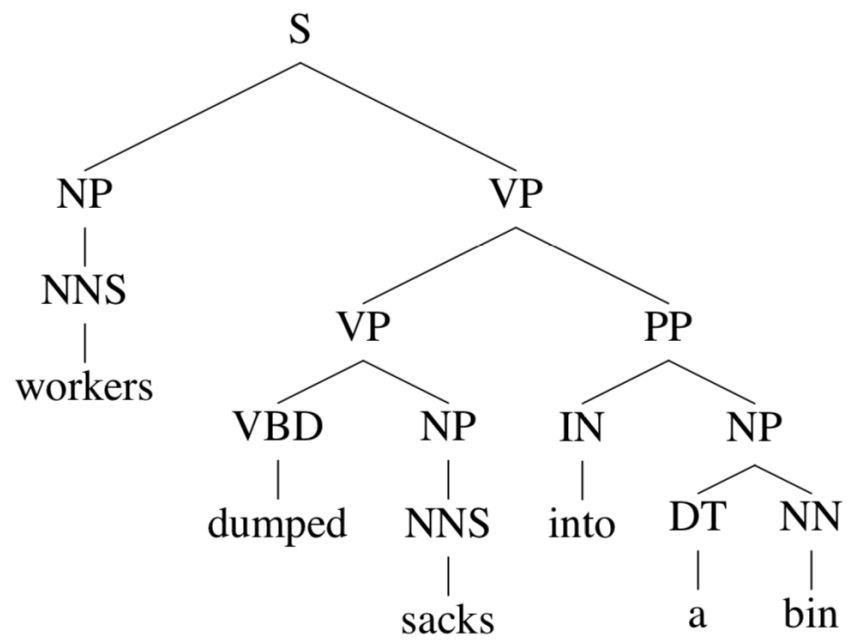
Rules
$S \rightarrow NP VP$
$NP \rightarrow NNS$
<b><math>VP \rightarrow VP PP</math></b>
$VP \rightarrow VBD NP$
$NP \rightarrow NNS$
$PP \rightarrow IN NP$
$NP \rightarrow DT NN$
$NNS \rightarrow workers$
$VBD \rightarrow dumped$
$NNS \rightarrow sacks$
$IN \rightarrow into$
$DT \rightarrow a$
$NN \rightarrow bin$

(b)

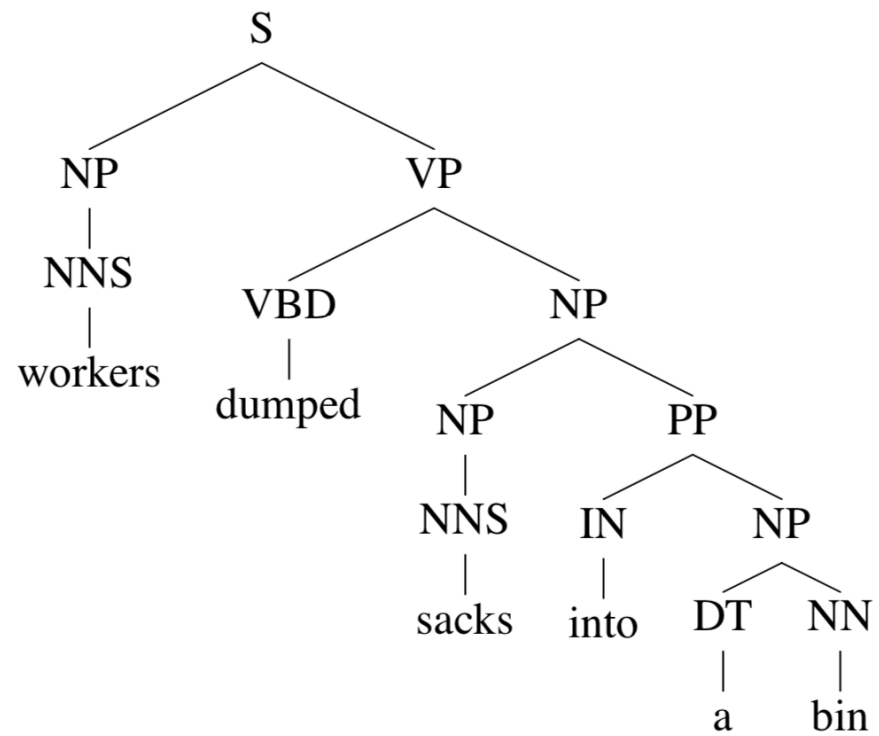
Rules
$S \rightarrow NP VP$
$NP \rightarrow NNS$
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$VP \rightarrow VBD NP$
$NP \rightarrow NNS$
$PP \rightarrow IN NP$
$NP \rightarrow DT NN$
$NNS \rightarrow workers$
$VBD \rightarrow dumped$
$NNS \rightarrow sacks$
$IN \rightarrow into$
$DT \rightarrow a$
$NN \rightarrow bin$

If  $P(NP \rightarrow NP PP \mid NP) > P(VP \rightarrow VP PP \mid VP)$  then (b) is more probable, else (a) is more probable.

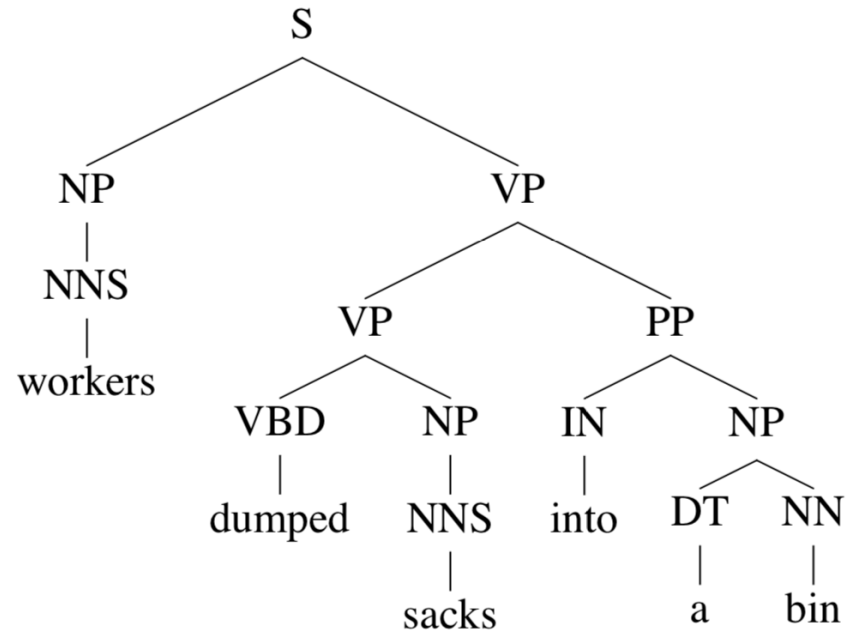
(a)



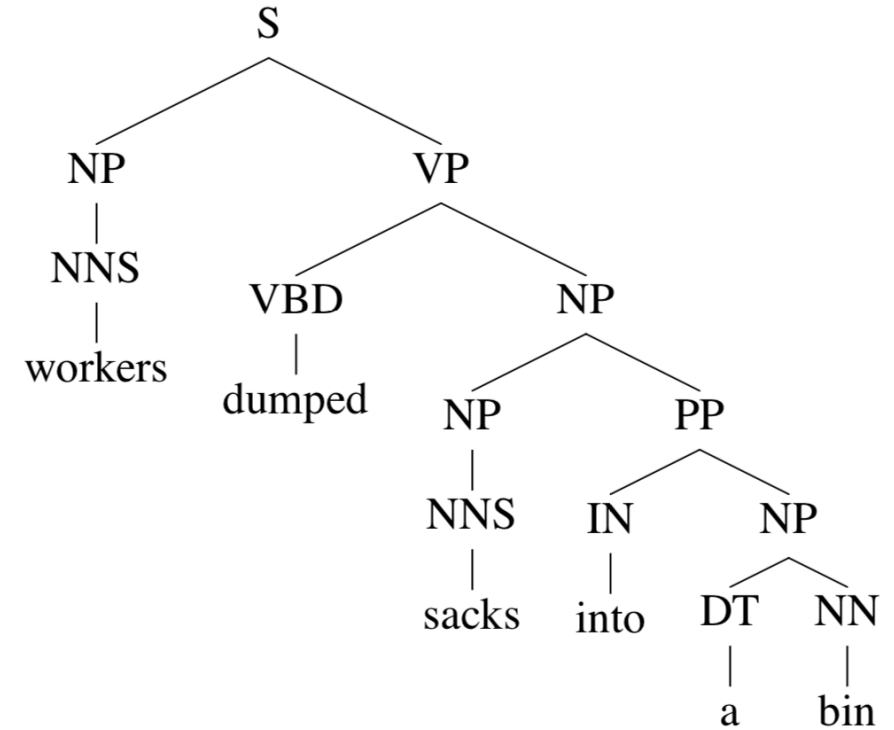
(b)



(a)



(b)



If  $P(\text{NP} \rightarrow \text{NP PP} \mid \text{NP}) > P(\text{VP} \rightarrow \text{VP PP} \mid \text{VP})$  then (b) is more probable, else (a) is more probable.

**Attachment decision is completely independent of the words**

# Solution: Head-Driven Phrase Structure Grammar

Add annotations specifying the “**head**” of each rule:

S	⇒	NP	<b>VP</b>
VP	⇒	<b>Vi</b>	
VP	⇒	<b>Vt</b>	NP
VP	⇒	<b>VP</b>	PP
NP	⇒	DT	<b>NN</b>
NP	⇒	<b>NP</b>	PP
PP	⇒	<b>IN</b>	NP

Vi	⇒	sleeps
Vt	⇒	saw
NN	⇒	man
NN	⇒	woman
NN	⇒	telescope
DT	⇒	the
IN	⇒	with
IN	⇒	in

Note: S=sentence, VP=verb phrase, NP=noun phrase, PP=prepositional phrase, DT=determiner, Vi=intransitive verb, Vt=transitive verb, NN=noun, IN=preposition

# Head-Driven Phrase Structure Grammar

Each context-free rule has one “special” child that is the head of the rule. e.g.,

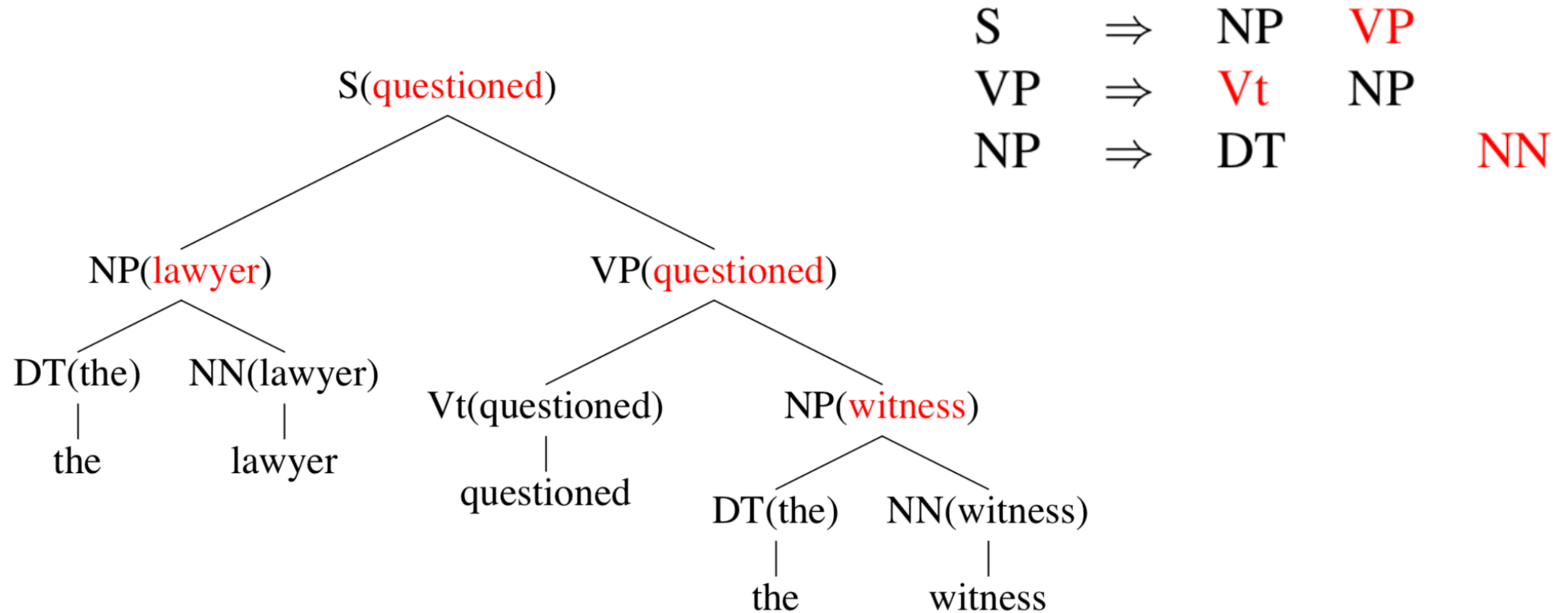
S	⇒	NP	VP	(VP is the head)
VP	⇒	Vt	NP	(Vt is the head)
NP	⇒	DT	NN	(NN is the head)

Some intuitions:

- The central sub-constituent of each rule.
- The semantic predicate in each rule.



# Adding Headwords to Trees



A constituent receives its **headword** from its **head child**.

# Lexicalized PCFGs

- The basic idea in lexicalized PCFGs will be to replace rules such as

$$S \rightarrow NP VP$$

with lexicalized rules such as

$$S(\text{examined}) \rightarrow NP(\text{lawyer}) VP(\text{examined})$$

# Example

In this case the parse tree consists of the following sequence of rules:

$S(\text{questioned}) \rightarrow_2 NP(\text{lawyer}) VP(\text{questioned})$

$NP(\text{lawyer}) \rightarrow_2 DT(\text{the}) NN(\text{lawyer})$

$DT(\text{the}) \rightarrow \text{the}$

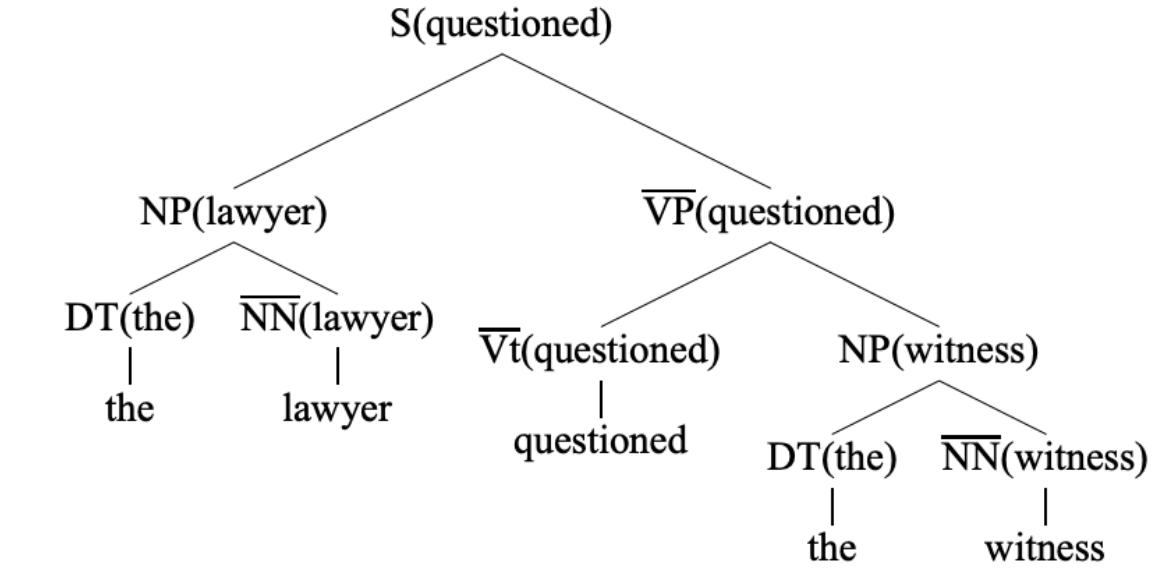
$NN(\text{lawyer}) \rightarrow \text{lawyer}$

$VP(\text{questioned}) \rightarrow_1 \bar{V}t(\text{questioned}) NP(\text{witness})$

$NP(\text{witness}) \rightarrow_2 DT(\text{the}) NN(\text{witness})$

$DT(\text{the}) \rightarrow \text{the}$

$NN(\text{witness}) \rightarrow \text{witness}$



# Parameter Estimation in Lexicalized PCFGs

The number of rules (and therefore parameters) in the model is very large. However with appropriate smoothing—using techniques described earlier in the class, for language modeling—we can derive estimates that are robust and effective in practice.

$S(\text{examined}) \rightarrow NP(\text{lawyer}) VP(\text{examined})$

$X = S$

$H = \text{examined}$

$R = S \rightarrow NP VP$

$M = \text{lawyer}$

$$\begin{aligned} & q(S(\text{examined}) \rightarrow_2 NP(\text{lawyer}) VP(\text{examined})) \\ = & P(R = S \rightarrow_2 NP VP, M = \text{lawyer} | X = S, H = \text{examined}) \end{aligned}$$

# Parameter Estimation in Lexicalized PCFGs

$$\begin{aligned} & P(R = S \rightarrow_2 \text{NP VP}, M = \text{lawyer} | X = S, H = \text{examined}) \\ = & P(R = S \rightarrow_2 \text{NP VP} | X = S, H = \text{examined}) \end{aligned} \quad (3)$$

$$\times P(M = \text{lawyer} | R = S \rightarrow_2 \text{NP VP}, X = S, H = \text{examined}) \quad (4)$$

$$q_{ML}(S \rightarrow_2 \text{NP VP} | S, \text{examined}) = \frac{\text{count}(R = S \rightarrow_2 \text{NP VP}, X = S, H = \text{examined})}{\text{count}(X = S, H = \text{examined})}$$

$$q_{ML}(S \rightarrow_2 \text{NP VP} | S) = \frac{\text{count}(R = S \rightarrow_2 \text{NP VP}, X = S)}{\text{count}(X = S)}$$

# Parameter Estimation in Lexicalized PCFGs

Let a rule be  $\text{LHS} \rightarrow L_n L_{n-1} \dots L_1 H R_1 \dots R_{n-1} R_n$

Idea: break it into smaller probabilities, “generating” the head and then left dependents and right dependents:

$$\begin{aligned} p(H|\text{LHS}) &\times p_L(L_1|H, \text{LHS}) \dots p_L(L_n|H, \text{LHS}) \times p_L(\text{STOP} \mid L_n, \text{LHS}) \\ &\times p_R(R_1|H, \text{LHS}) \dots p_R(R_n|H, \text{LHS}) \times p_R(\text{STOP} \mid R_n, \text{LHS}) \end{aligned}$$

# Penn Treebank

The Penn Treebank (PTB) project selected 2,499 stories from a three year Wall Street Journal (WSJ) collection of 98,732 stories for syntactic annotation. These 2,499 stories have been distributed in both Treebank-2 ([LDC95T7](#)) and Treebank-3 ([LDC99T42](#)) releases of PTB. Treebank-2 includes the raw text for each story. Three "map" files are available in a compressed file (pennTB\_tipster\_wsj\_map.tar.gz) as an additional download for users who have licensed Treebank-2 and provide the relation between the 2,499 PTB filenames and the corresponding WSJ DOCNO strings in TIPSTER.

```
(S (NP-SBJ I)
  (VP wish
    (SBAR 0
      (S (NP-SBJ I)
        (VP was
          (NP-MNR-PRD that way))))))
.
E_S))
```

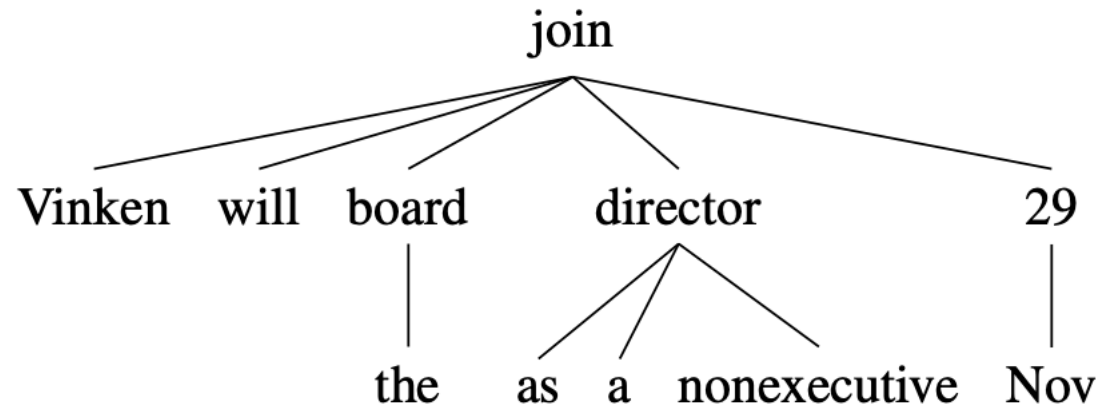
# Parsing

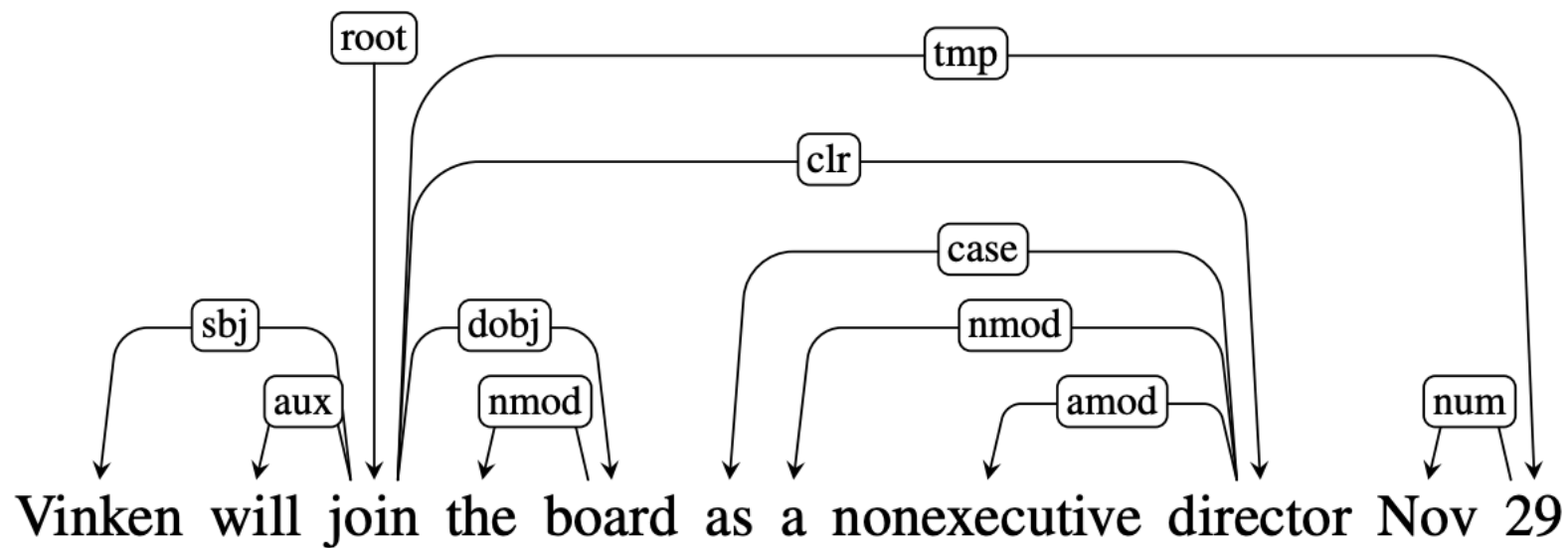
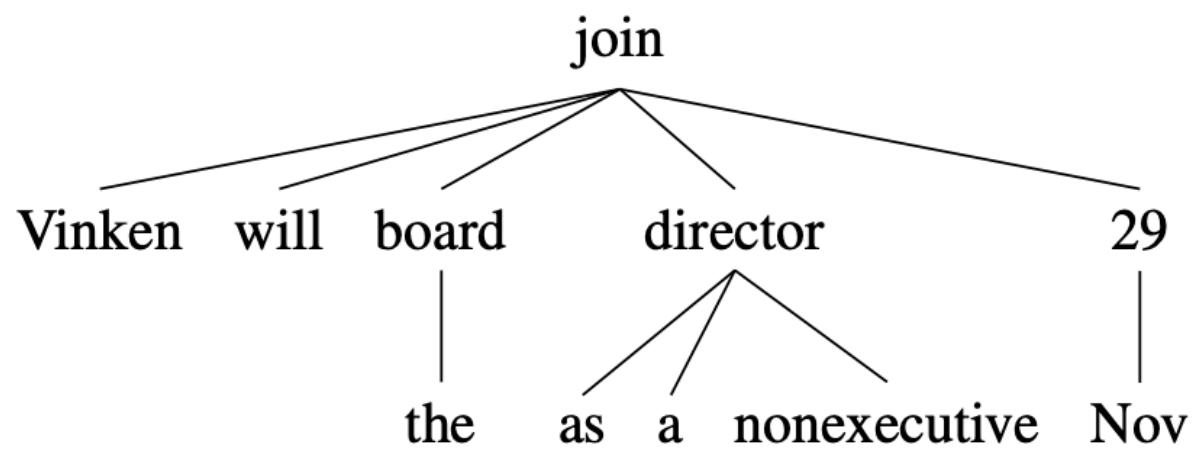
Dependency Parsing



# Cú pháp phụ thuộc và cấu trúc phụ thuộc (dependency grammar and dependency structure)

- Dependency syntax postulates that syntactic structure consists of relations between lexical items, normally binary asymmetric relations (“arrows”) called dependencies

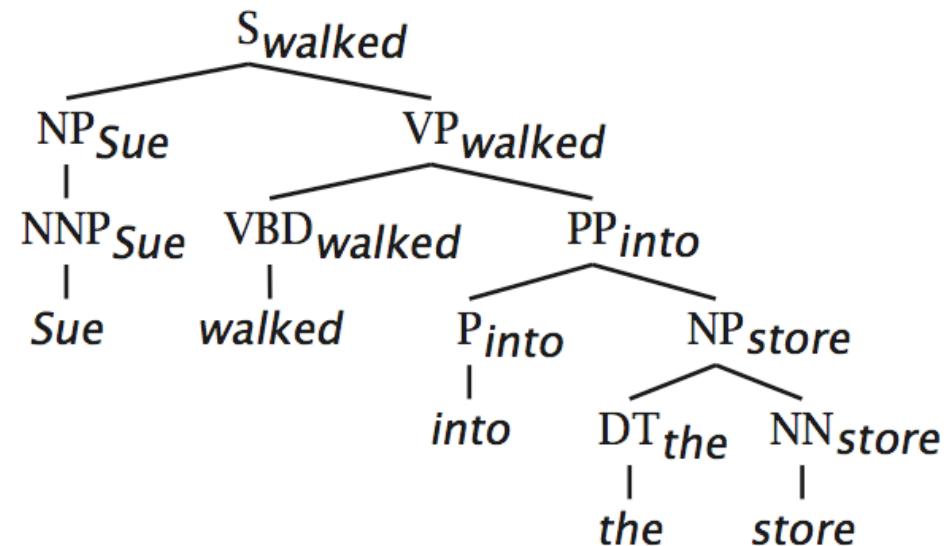




# Relation between phrase structure and dependency structure

- A dependency grammar has a notion of a head. Officially, CFGs don't.
- But modern linguistic theory and all modern statistical parsers (Charniak, Collins, Stanford, ...) do, via hand-written phrasal "head rules":
  - The head of a Noun Phrase is a noun/number/adj/...
  - The head of a Verb Phrase is a verb/modal/....
- The head rules can be used to extract a dependency parse from a CFG parse

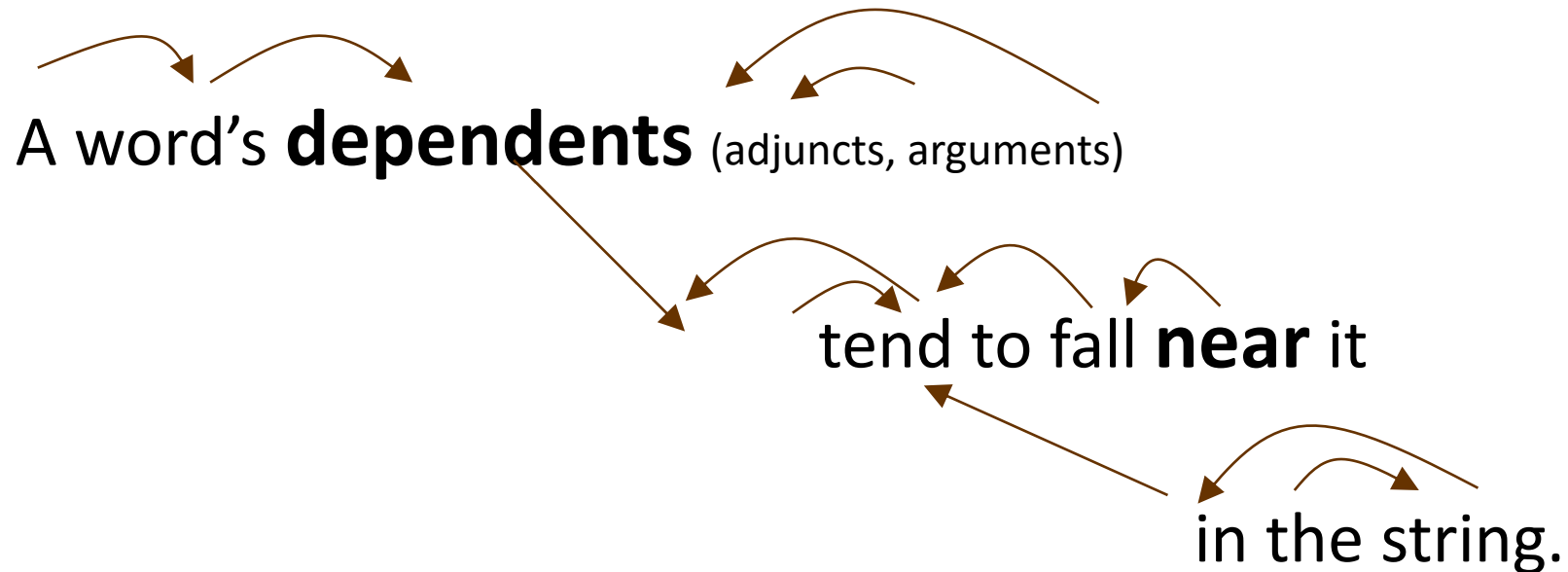
- The closure of dependencies give constituency from a dependency tree
- But the dependents of a word must be at the same level (i.e., "flat") – there can be no VP!



# Dependency Conditioning Preferences

Sources of information:

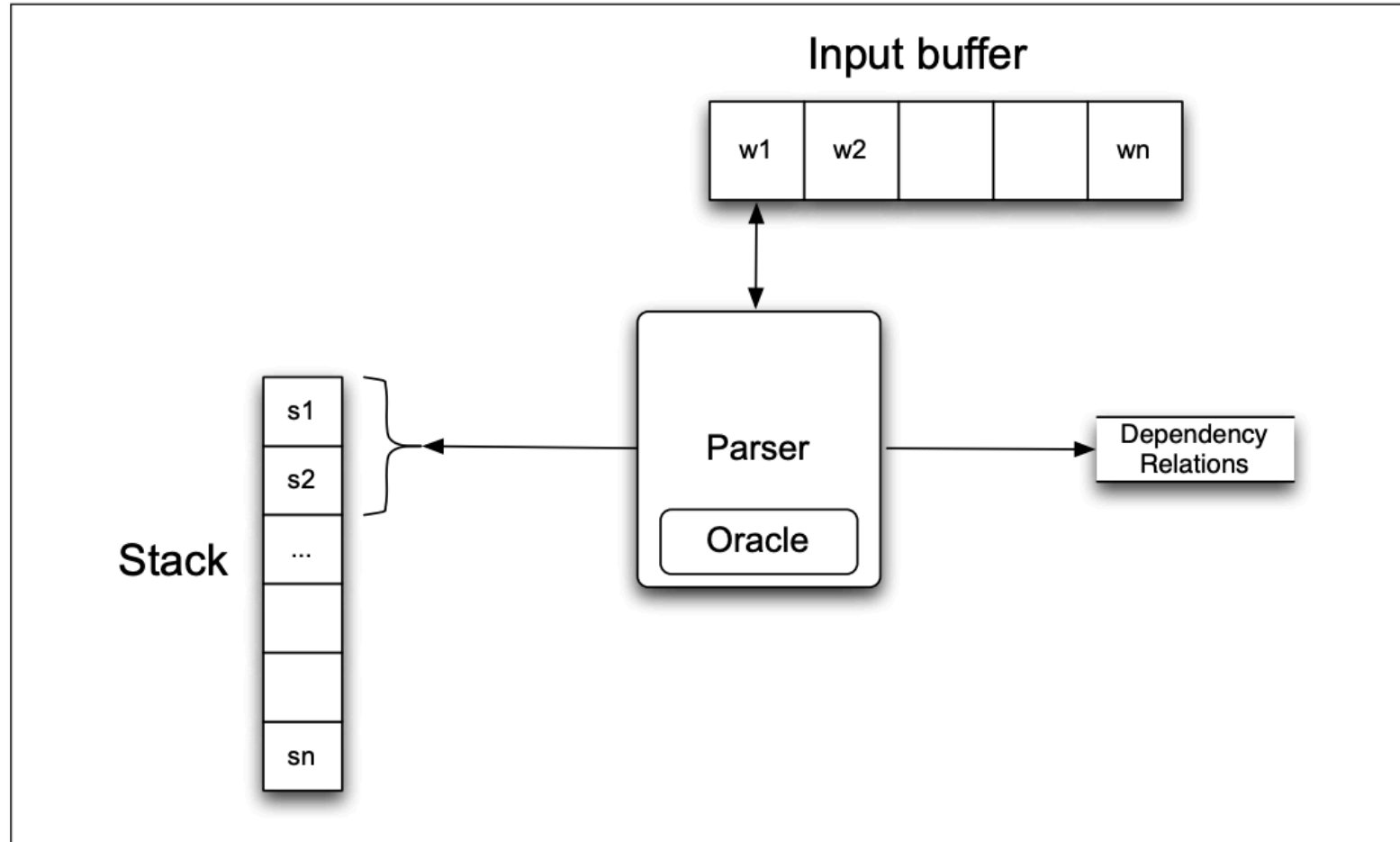
- bilexical dependencies
- distance of dependencies
- valency of heads (number of dependents)



# MaltParser

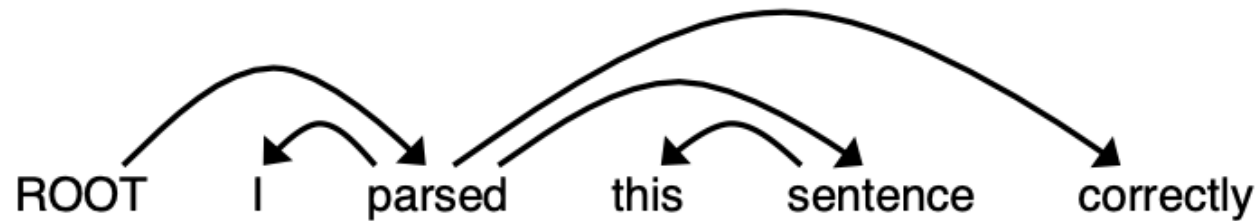
[Nivre et al. 2008]

- A simple form of greedy discriminative dependency parser
- The parser does a sequence of bottom up actions
  - Roughly like “shift” or “reduce” in a shift-reduce parser, but the “reduce” actions are specialized to create dependencies with head on left or right
- The parser has:
  - a stack  $\sigma$ , written with top to the right
    - which starts with the ROOT symbol
  - a buffer  $\beta$ , written with top to the left
    - which starts with the input sentence
  - a set of dependency arcs  $A$ 
    - which starts off empty
  - a set of actions



**Figure 15.5** Basic transition-based parser. The parser examines the top two elements of the stack and selects an action based on consulting an oracle that examines the current configuration.

# Greedy Transition-Based Parsing



Stack	Buffer	New dependency	Transition
[ROOT]	[I, parsed, this, sentence, correctly]		Initial Configuration
[ROOT, I]	[parsed, this, sentence, correctly]		SHIFT
[ROOT, I, parsed]	[this, sentence, correctly]		SHIFT
[ROOT, parsed]	[this, sentence, correctly]	parsed→I	LEFT-ARC

# Actions

- Assign the current word as the head of some previously seen word,
  - Assign some previously seen word as the head of the current word,
  - Or postpone doing anything with the current word, adding it to a store for later processing.
- 
- LEFTARC: Assert a head-dependent relation between the word at the top of the stack and the word directly beneath it; remove the lower word from the stack.
  - RIGHTARC: Assert a head-dependent relation between the second word on the stack and the word at the top; remove the word at the top of the stack;
  - SHIFT: Remove the word from the front of the input buffer and push it onto the stack.



# Basic transition-based dependency parser

**Start:**  $\sigma = [\text{ROOT}]$ ,  $\beta = w_1, \dots, w_n$ ,  $A = \emptyset$

1. Shift  $\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$
2. Left-Arc<sub>r</sub>  $\sigma | w_i, w_j | \beta, A \rightarrow \sigma, w_j | \beta, A \cup \{r(w_j, w_i)\}$
3. Right-Arc<sub>r</sub>  $\sigma | w_i, w_j | \beta, A \rightarrow \sigma, w_i | \beta, A \cup \{r(w_i, w_j)\}$

**Finish:**  $\beta = \emptyset$

Notes:

- Unlike the regular presentation of the CFG reduce step, dependencies combine one thing from each of stack and buffer

# Actions (“arc-eager” dependency parser)

**Start:**  $\sigma = [\text{ROOT}]$ ,  $\beta = w_1, \dots, w_n$ ,  $A = \emptyset$

1. Left-Arc<sub>r</sub>     $\sigma | w_i, w_j | \beta, A \rightarrow \sigma, w_j | \beta, A \cup \{r(w_j, w_i)\}$   
Precondition:  $r'(w_k, w_i) \notin A$ ,  $w_i \neq \text{ROOT}$
2. Right-Arc<sub>r</sub>     $\sigma | w_i, w_j | \beta, A \rightarrow \sigma | w_i | w_j, \beta, A \cup \{r(w_i, w_j)\}$
3. Reduce     $\sigma | w_i, \beta, A \rightarrow \sigma, \beta, A$   
Precondition:  $r'(w_k, w_i) \in A$
4. Shift     $\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$

**Finish:**  $\beta = \emptyset$

This is the common “arc-eager” variant: a head can immediately take a right dependent, before *its* dependents are found

# Example 1

Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight) (the ← flight) (book → flight) (root → book)
6	[root, book, the, morning, flight]	[]	LEFTARC	
7	[root, book, the, flight]	[]	LEFTARC	
8	[root, book, flight]	[]	RIGHTARC	
9	[root, book]	[]	RIGHTARC	
10	[root]	[]	Done	

**Figure 15.7** Trace of a transition-based parse.

# Example 2

*Happy children like to play with their friends .*

	[ROOT]	[Happy, children, ...]	$\emptyset$
Shift	[ROOT, Happy]	[children, like, ...]	$\emptyset$
$LA_{amod}$	[ROOT]	[children, like, ...]	$\{amod(children, happy)\} = A_1$
Shift	[ROOT, children]	[like, to, ...]	$A_1$
$LA_{nsubj}$	[ROOT]	[like, to, ...]	$A_1 \cup \{nsubj(like, children)\} = A_2$
$RA_{root}$	[ROOT, like]	[to, play, ...]	$A_2 \cup \{root(ROOT, like)\} = A_3$
Shift	[ROOT, like, to]	[play, with, ...]	$A_3$
$LA_{aux}$	[ROOT, like]	[play, with, ...]	$A_3 \cup \{aux(play, to)\} = A_4$
$RA_{xcomp}$	[ROOT, like, play]	[with their, ...]	$A_4 \cup \{xcomp(like, play)\} = A_5$

# Example

1. Left-Arc<sub>r</sub>  $\sigma | w_i, w_j | \beta, A \rightarrow \sigma, w_j | \beta, A \cup \{r(w_i, w_j)\}$   
Precondition:  $(w_k, r', w_i) \notin A, w_i \neq \text{ROOT}$
2. Right-Arc<sub>r</sub>  $\sigma | w_i, w_j | \beta, A \rightarrow \sigma | w_i | w_j, \beta, A \cup \{r(w_i, w_j)\}$
3. Reduce  $\sigma | w_i, \beta, A \rightarrow \sigma, \beta, A$   
Precondition:  $(w_k, r', w_i) \in A$
4. Shift  $\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$

*Happy children like to play with their friends .*

RA <sub>xcomp</sub>	[ROOT, like, play]	[with their, ...]	A <sub>4</sub> U{xcomp(like, play) = A <sub>5</sub>
RA <sub>prep</sub>	[ROOT, like, play, with]	[their, friends, ...]	A <sub>5</sub> U{prep(play, with) = A <sub>6</sub>
Shift	[ROOT, like, play, with, their]	[friends, .]	A <sub>6</sub>
LA <sub>poss</sub>	[ROOT, like, play, with]	[friends, .]	A <sub>6</sub> U{poss(friends, their) = A <sub>7</sub>
RA <sub>pobj</sub>	[ROOT, like, play, with, friends]	[.]	A <sub>7</sub> U{pobj(with, friends) = A <sub>8</sub>
Reduce	[ROOT, like, play, with]	[.]	A <sub>8</sub>
Reduce	[ROOT, like, play]	[.]	A <sub>8</sub>
Reduce	[ROOT, like]	[.]	A <sub>8</sub>
RA <sub>punc</sub>	[ROOT, like, .]	[]	A <sub>8</sub> U{punc(like, .) = A <sub>9</sub>

You terminate as soon as the buffer is empty. Dependencies = A<sub>9</sub>

# Tổng kết

- Hai tiếp cận
- Ý nghĩa
- Các phương pháp phân tích tương ứng