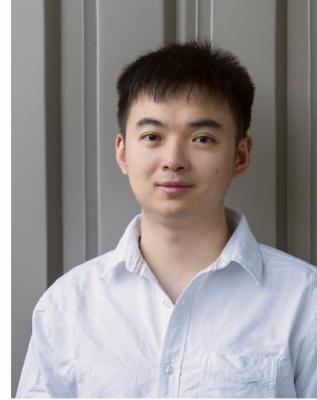


## EMNLP 2021 Tutorial

# Knowledge-Enriched Natural Language Generation



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**This part:** General principles and methodologies for integrating knowledge into NLG

**Next part** (by Wenhao): Concrete examples and instantiations of the general methods in recent NLG works

**This part:** General principles and methodologies for integrating knowledge into NLG

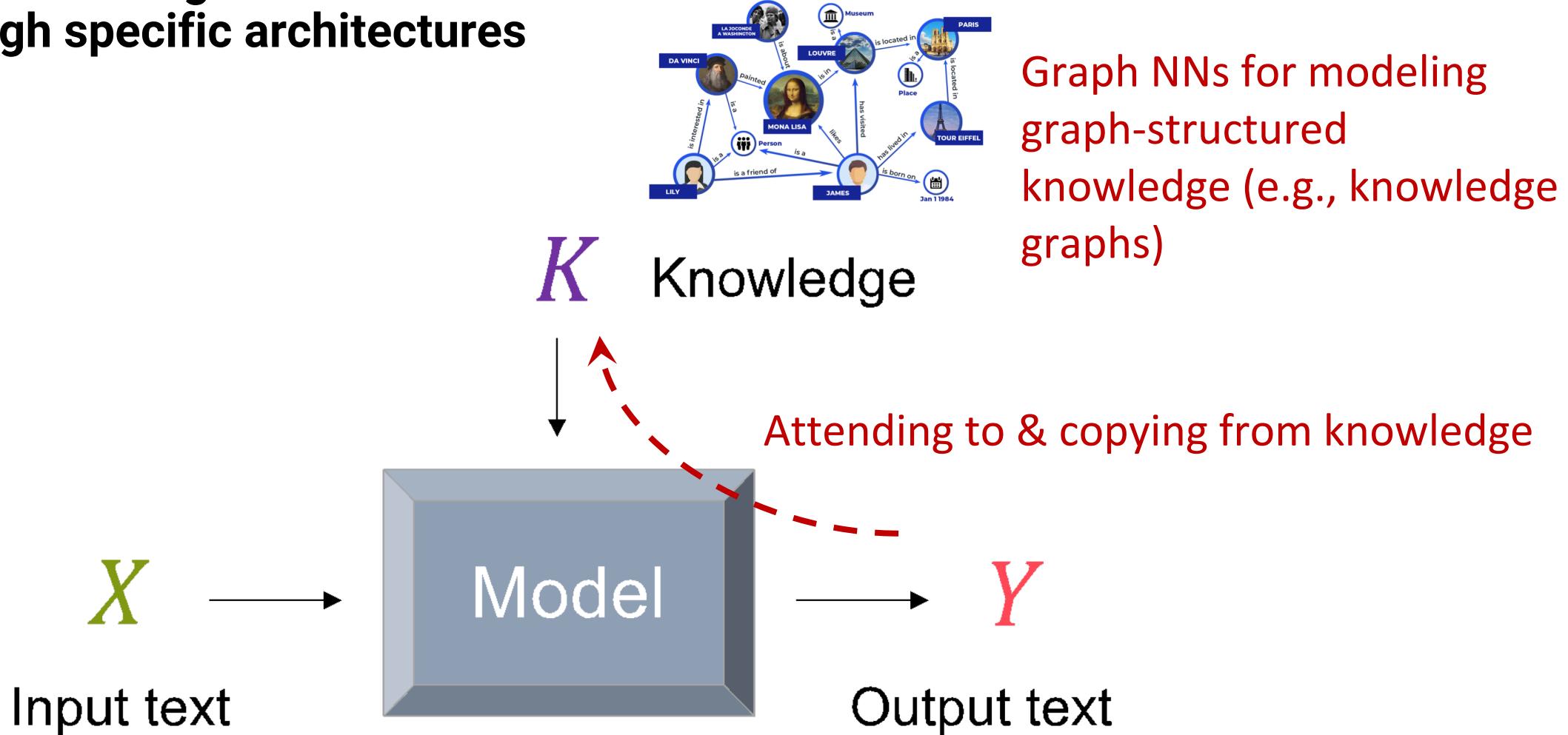
Overview:

- Knowledge-enhanced **model architectures**
  - Attention/copy mechanisms
  - Graph neural models
- Knowledge-enhanced **learning**
  - Auxiliary **loss/tasks**
  - Reinforcement learning with knowledge-informed **rewards**
  - Learning with knowledge **constraints**
- Knowledge-enhanced **inference**
  - Steered decoding
  - Prompts

# Knowledge-enhanced model architectures

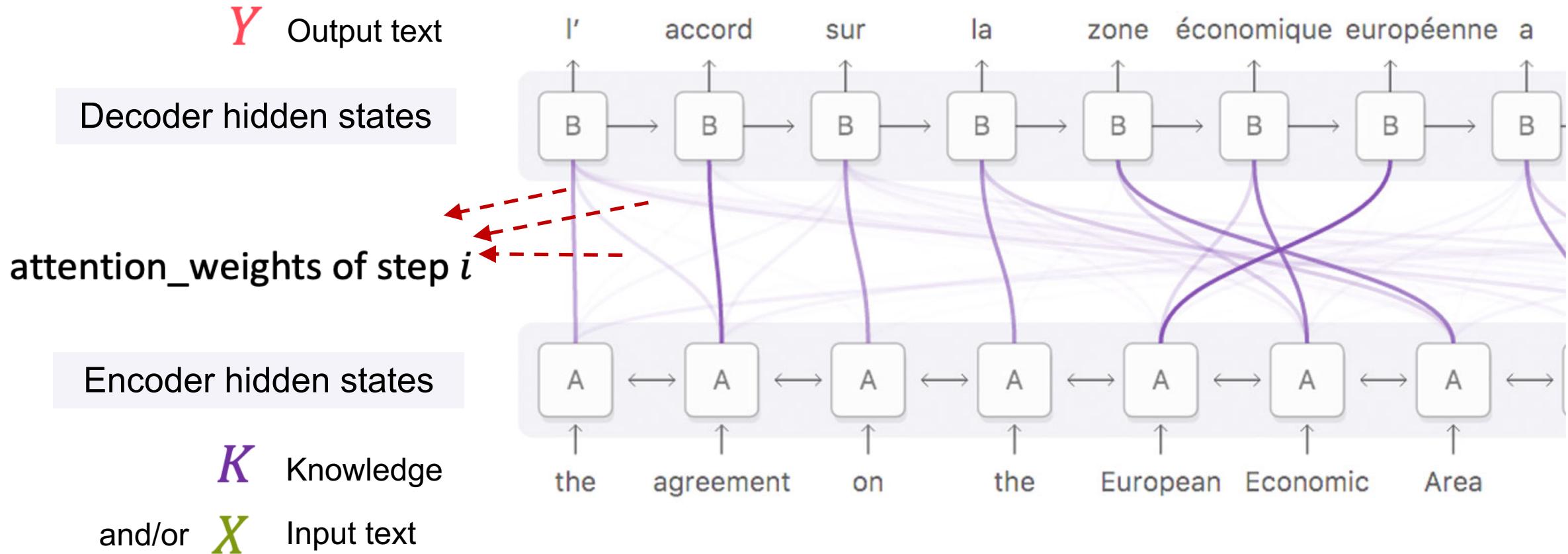
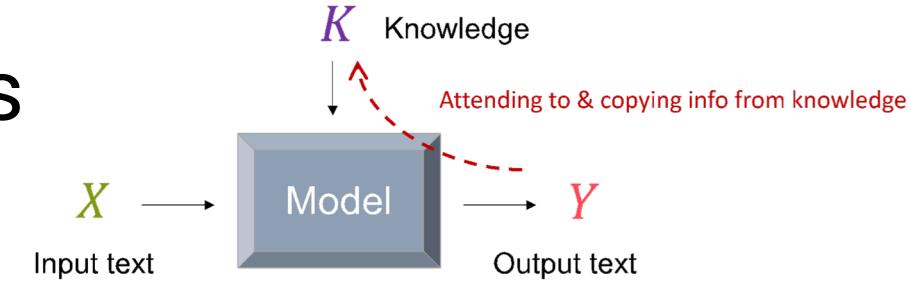


**Bake knowledge into the model through specific architectures**



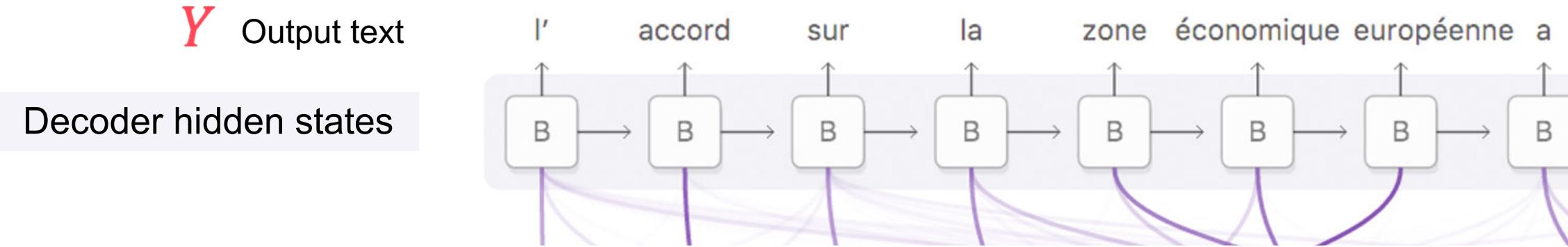
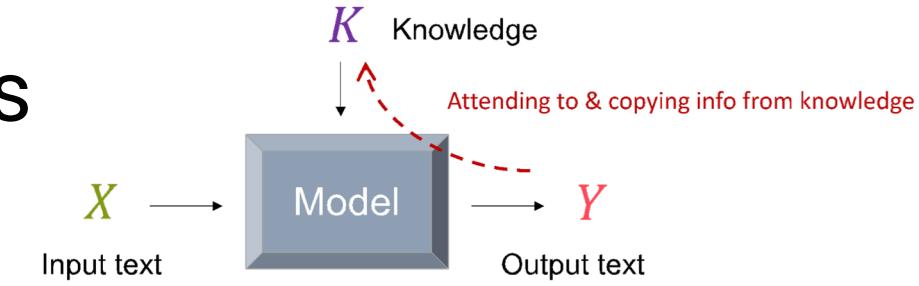
# Architectures (I): Attention Mechanisms

- Chooses which information to pay attention to

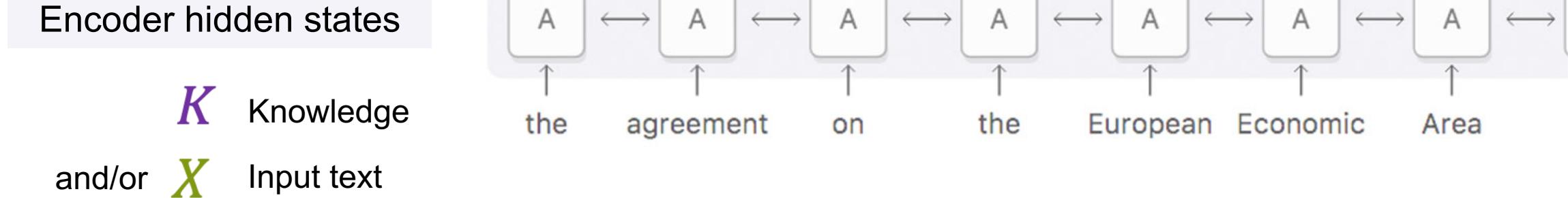


# Architectures (I): Attention Mechanisms

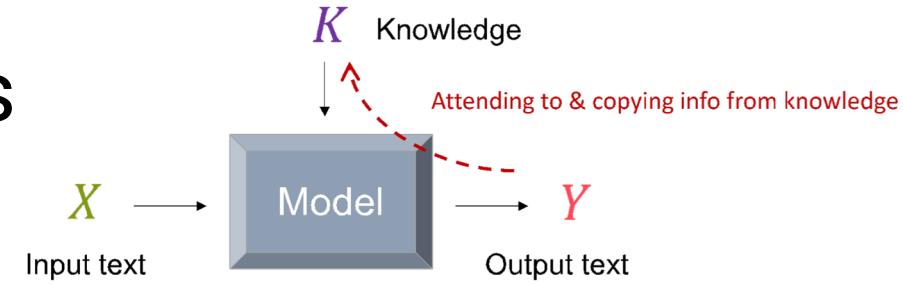
- Chooses which information to pay attention to



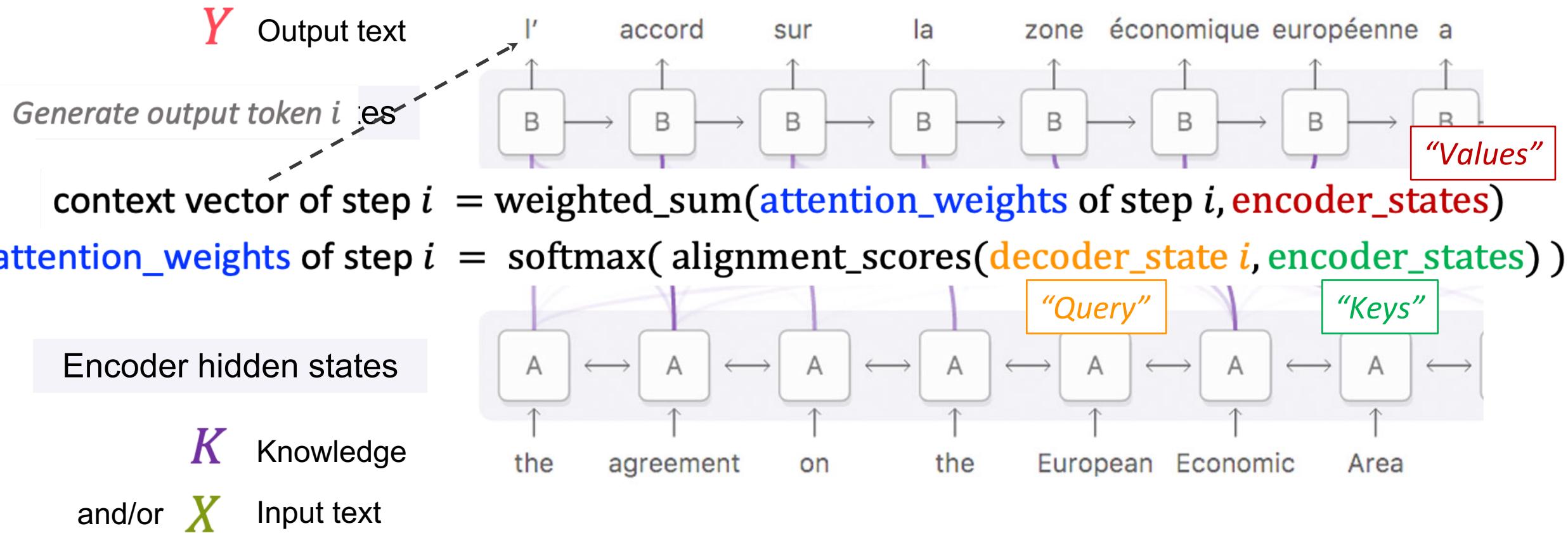
attention\_weights of step  $i$  = softmax( alignment\_scores(decoder\_state  $i$ , encoder\_states) )



# Architectures (I): Attention Mechanisms

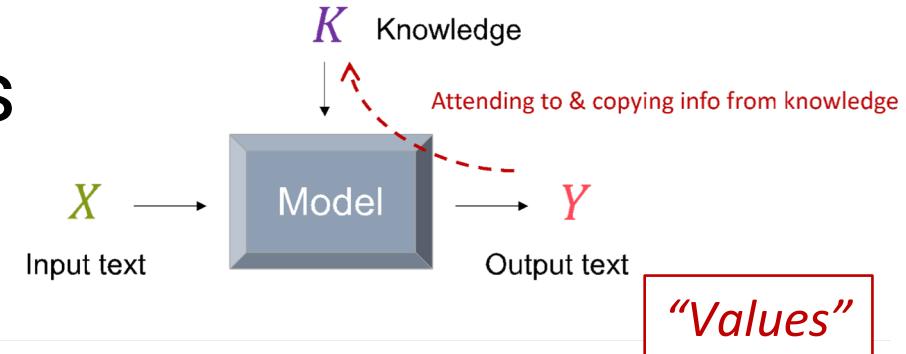


- Chooses which information to pay attention to



# Architectures (I): Attention Mechanisms

- 



context vector of step  $i$  = weighted\_sum(attention\_weights of step  $i$ , encoder\_states)

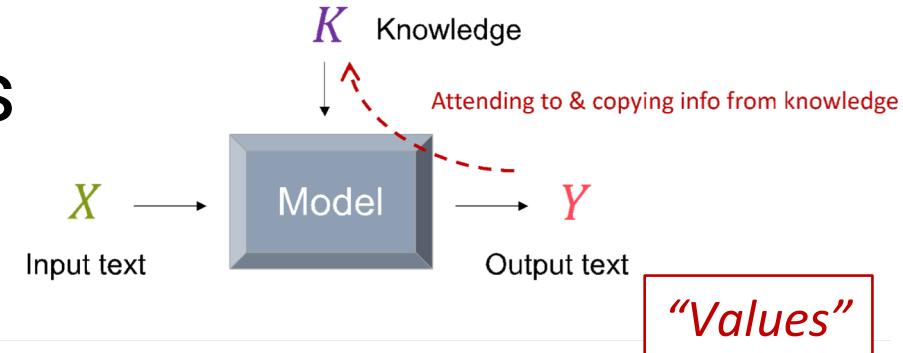
attention\_weights of step  $i$  = softmax( alignment\_scores(decoder\_state  $i$ , encoder\_states) )

- Variations of attention mechanisms
  - Different alignment\_scores functions

Name	Alignment score function	Citation
Content-base attention	$\text{score}(s_t, \mathbf{h}_i) = \text{cosine}[s_t, \mathbf{h}_i]$	Graves2014
Additive(*)	$\text{score}(s_t, \mathbf{h}_i) = \mathbf{v}_a^\top \tanh(\mathbf{W}_a[s_t; \mathbf{h}_i])$	Bahdanau2015
Location-Base	$\alpha_{t,i} = \text{softmax}(\mathbf{W}_a s_t)$ Note: This simplifies the softmax alignment to only depend on the target position.	Luong2015
General	$\text{score}(s_t, \mathbf{h}_i) = s_t^\top \mathbf{W}_a \mathbf{h}_i$ where $\mathbf{W}_a$ is a trainable weight matrix in the attention layer.	Luong2015
Dot-Product	$\text{score}(s_t, \mathbf{h}_i) = s_t^\top \mathbf{h}_i$	Luong2015
Scaled Dot-Product(^)	$\text{score}(s_t, \mathbf{h}_i) = \frac{s_t^\top \mathbf{h}_i}{\sqrt{n}}$ Note: very similar to the dot-product attention except for a scaling factor; where n is the dimension of the source hidden state.	Vaswani2017

# Architectures (I): Attention Mechanisms

- 



context vector of step  $i$  = weighted\_sum(attention\_weights of step  $i$ , encoder\_states)

attention\_weights of step  $i$  = softmax( alignment\_scores(decoder\_state  $i$ , encoder\_states) )

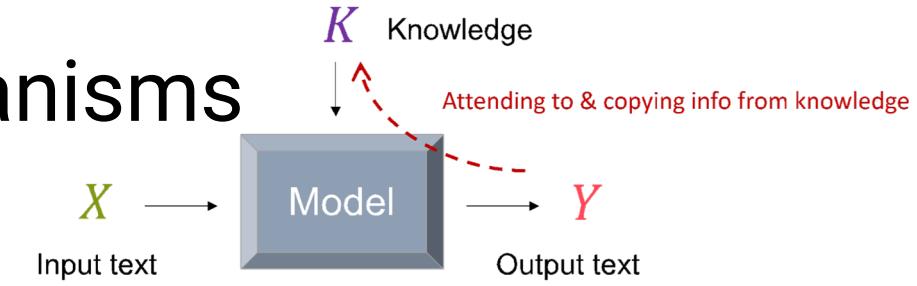
- Variations of attention mechanisms

- Different alignment\_scores functions
- Self attention: **Query** = **Keys** = **Values**
- Multi-head attention (Transformers)
- Kernelized attention
- ...

“Query”

“Keys”

# Architectures (II): Copy/Pointing Mechanisms



- Copy relevant information to the output text

$$p(y_t) = p_m \cdot p_{gen}(y_t) + (1 - p_m) \cdot p_{copy}(y_t)$$

Probability of choosing the **generation mode**

Probability of choosing the **copy mode**

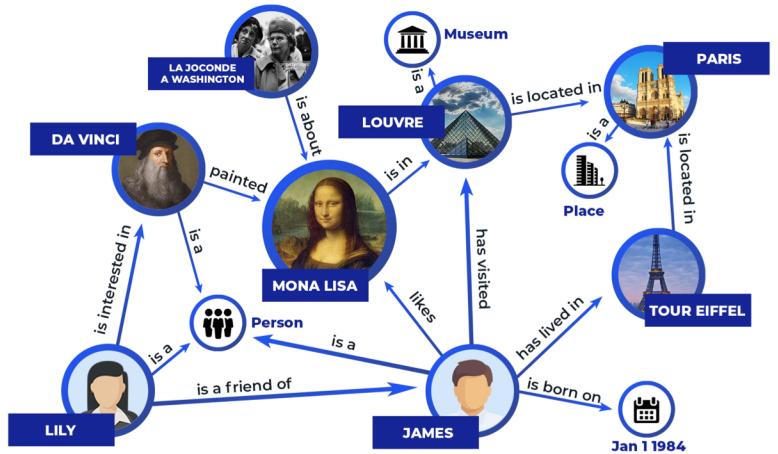
Probability of generating the token  $y_t$

Probability of copying the token  $y_t$  from knowledge / input

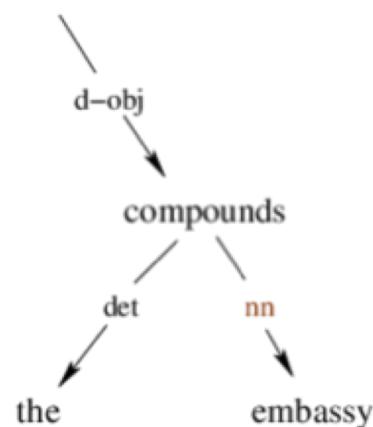
Dashed arrows indicate the flow of information from the bottom components up to the equation and then down to the top components.

# Architectures (III): Graph Neural Models

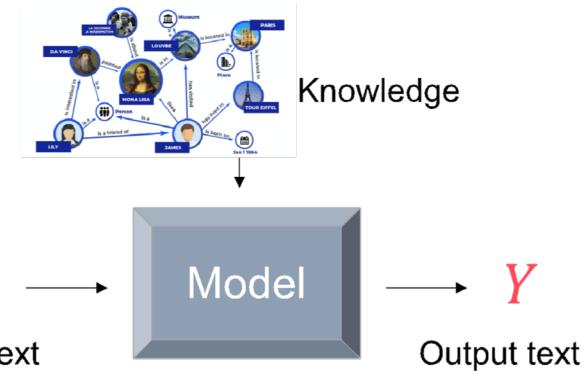
- Representation and reasoning over graph-structured knowledge
  - Bridge the gap between graph representation and text generation



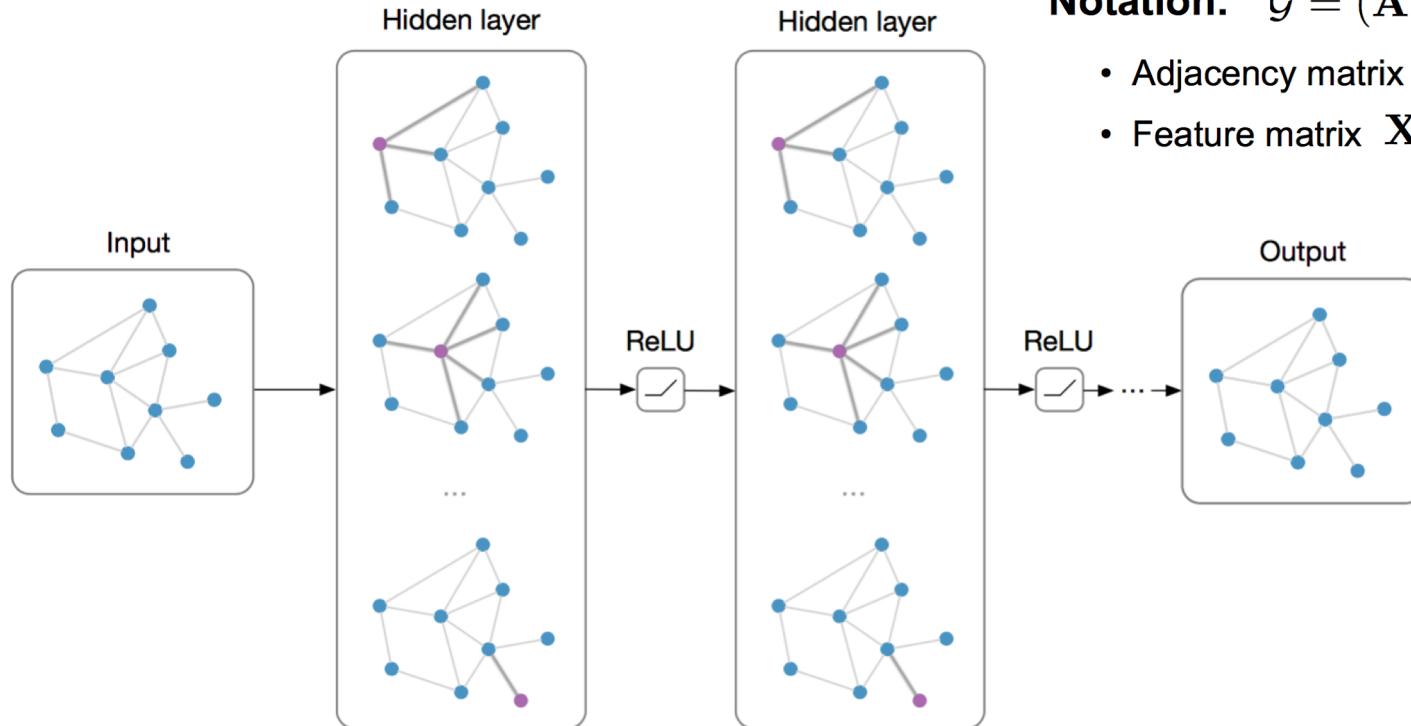
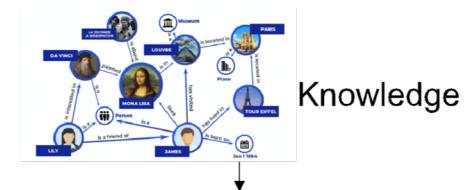
# Knowledge graphs (KGs)



## Dependency graphs

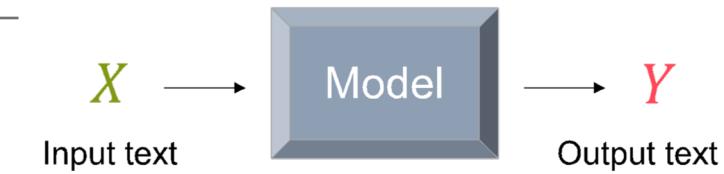


# Architectures (III): Graph Neural Models



**Notation:**  $\mathcal{G} = (\mathbf{A}, \mathbf{X})$

- Adjacency matrix  $\mathbf{A} \in \mathbb{R}^{N \times N}$
- Feature matrix  $\mathbf{X} \in \mathbb{R}^{N \times F}$

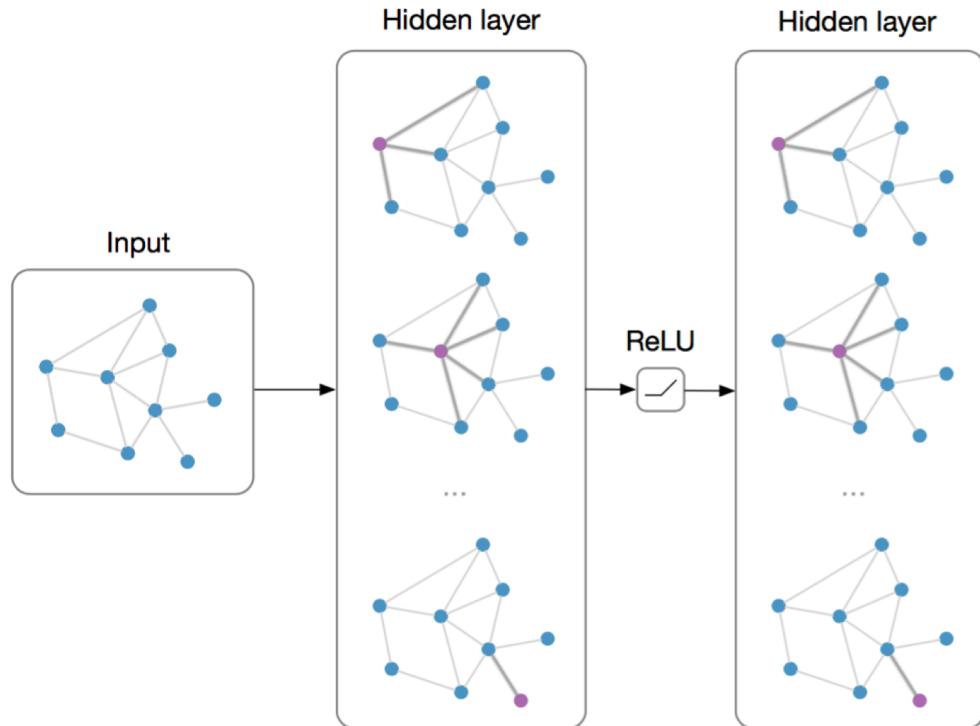


**Main idea:** Pass messages between nodes to refine node (and possibly edge) representations

# Architectures (III): Graph Neural Models

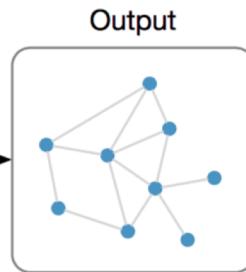


Knowledge



**Notation:**  $\mathcal{G} = (\mathbf{A}, \mathbf{X})$

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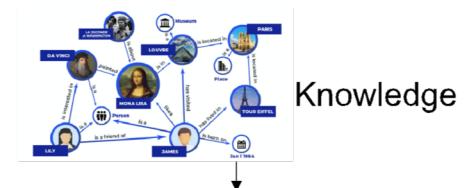
Output



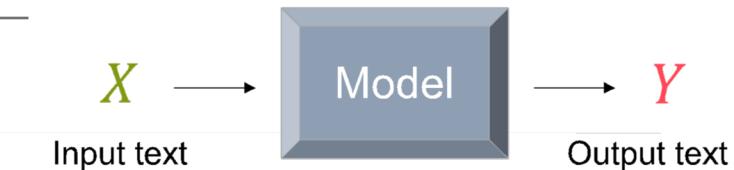
Knowledge

**Main idea:** Pass messages between nodes to refine node (and possibly edge) representations

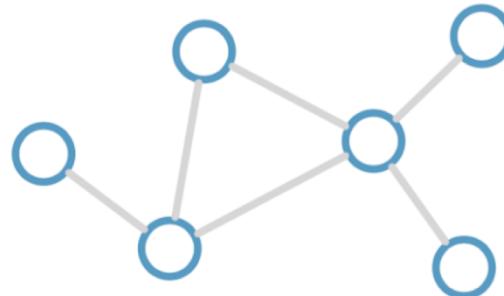
# Architectures (III): Graph Neural Models



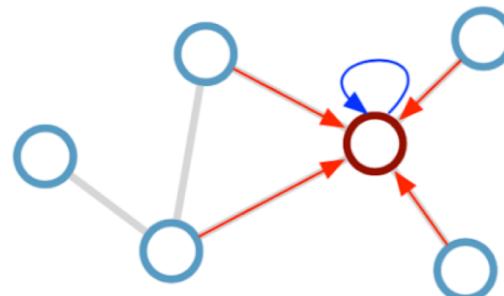
**Graph Convolutional Networks (GCNs)**, Kipf & Welling 2017



Consider this undirected graph:



Calculate update for node in red:



**Update rule:**  $\mathbf{h}_i^{(l+1)} = \sigma \left( \mathbf{h}_i^{(l)} \mathbf{W}_0^{(l)} + \sum_{j \in \mathcal{N}_i} \frac{1}{c_{ij}} \mathbf{h}_j^{(l)} \mathbf{W}_1^{(l)} \right)$

**Scalability: subsample messages** [Hamilton et al., NIPS 2017]

$\mathcal{N}_i$  : neighbor indices

$c_{ij}$  : norm. constant  
(fixed/trainable)

# Architectures (III): Graph Neural Models



Knowledge

## A brief history of graph neural networks

$X$   
Input text

Model

$Y$

Output text

### “Spatial methods”

Original GNN  
Gori et al.  
(2005)

GG-NN  
Li et al.  
(ICLR 2016)

MoNet  
Monti et al.  
(CVPR 2017)

Neural MP  
Gilmer et al.  
(ICML 2017)

Relation Nets  
Santoro et al.

Programs as Graphs  
Allamanis et al.  
(ICLR 2017)

GraphSAGE  
Hamilton et al.  
(NIPS 2017)

GAT  
Veličković et al.  
(ICLR 2018)

NRI  
Kipf et al.  
(ICML 2018)

...

### “DL on graph explosion”

GCN  
Kipf & Welling  
(ICLR 2017)

Spectral  
Graph CNN  
Bruna et al.  
(ICLR 2015)

ChebNet  
Defferrard et al.  
(NIPS 2016)

### “Spectral methods”

### Other early work:

- Duvenaud et al. (NIPS 2015)
- Dai et al. (ICML 2016)
- Niepert et al. (ICML 2016)
- Battaglia et al. (NIPS 2016)
- Atwood & Towsley (NIPS 2016)
- Sukhbaatar et al. (NIPS 2016)

(slide inspired by Alexander Gaunt's talk on GNNs)

**This part:** General principles and methodologies for integrating knowledge into NLG

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# Knowledge-enhanced learning



- Design knowledge-informed learning problems
  - Auxiliary tasks
  - Reward
  - Constraints
- Model is trained to solve the problems
  - So that knowledge information is absorbed into model parameters
- Often agnostic to model architectures:
  - Thus, can combine the learning methods with any knowledge-enhanced architectures we've just seen

# Learning (I): Auxiliary tasks



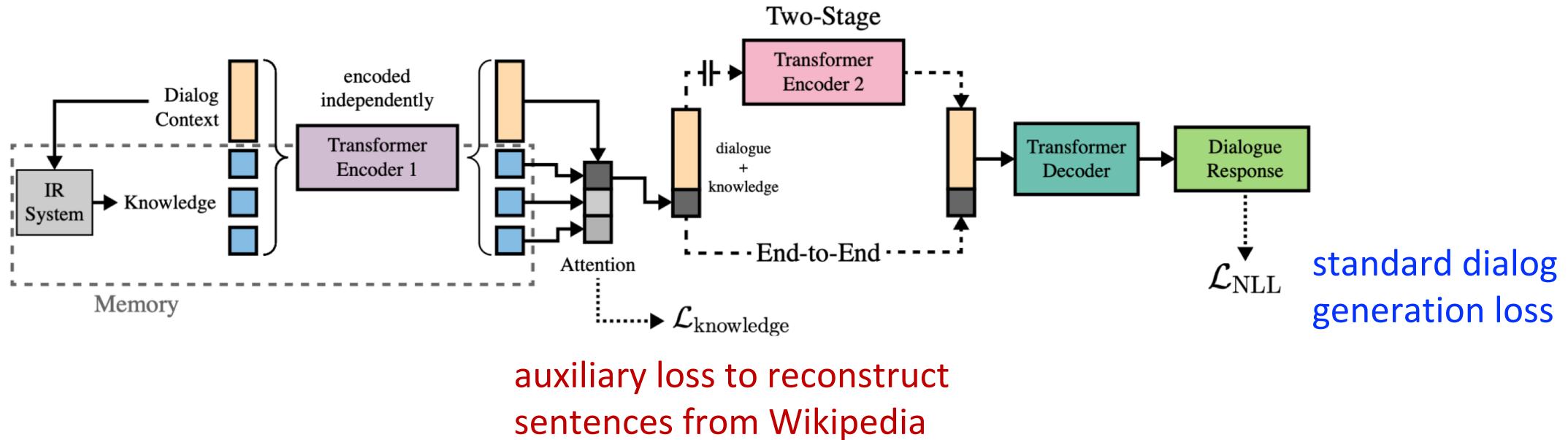
- “Knowledge as target”
  - Create learning targets (labels) based on the knowledge
  - Use the targets to supervise the training of the model

# Learning (I): Auxiliary tasks



(1) Combine the **auxiliary tasks** with **standard text generation task**

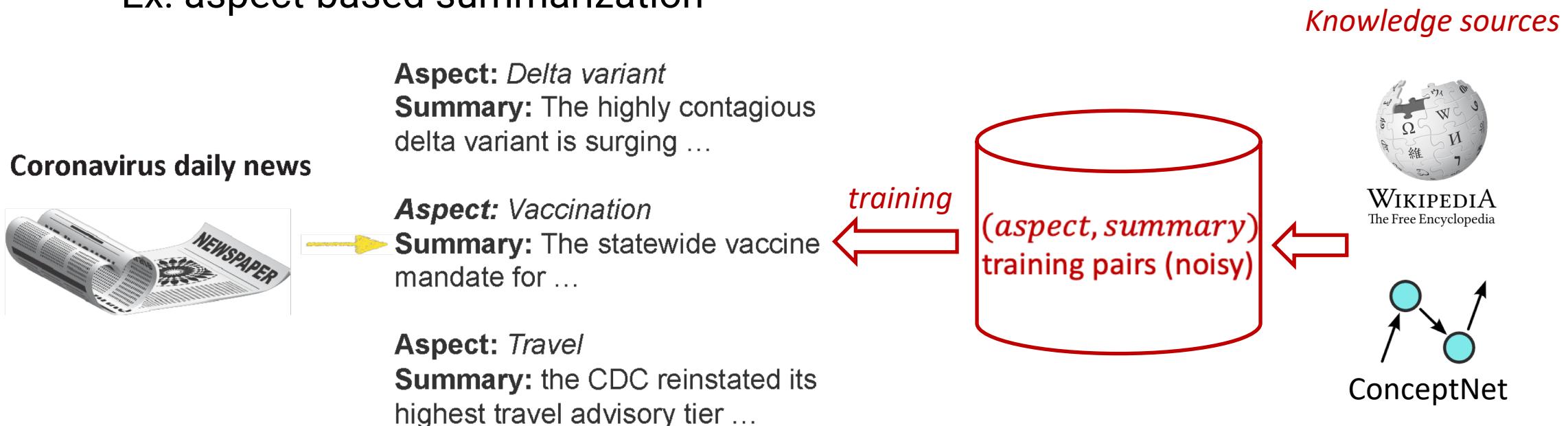
- Lead to a *multi-task* learning paradigm
- Ex: dialog generation



# Learning (I): Auxiliary tasks



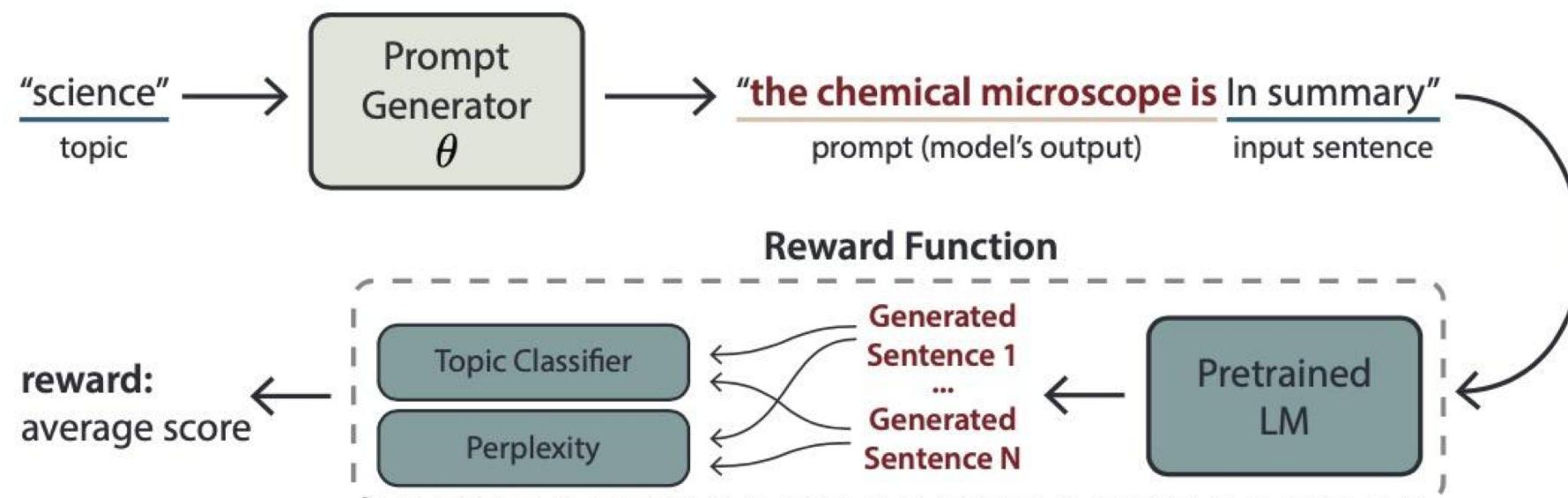
- (1) Combine the **auxiliary tasks** with **standard text generation task**
  - Lead to a *multi-task* learning paradigm
- (2) The auxiliary tasks provide direct supervision for the text generation task
  - Lead to a *weakly-supervised* learning paradigm
  - Ex: aspect-based summarization



# Learning (II): Reinforcement learning



- “Knowledge as reward”
  - Knowledge-informed reward function evaluates the quality of generation
  - Model is trained to maximize the reward using reinforcement learning:
    - Policy gradient, (Soft) Q-learning, etc.
- Ex: Learning to generate prompts for topic-controllable generation



# Learning (III): Learning with knowledge constraints

- “Knowledge as constraints”
  - Impose knowledge-informed constraints on the NLG training objective
  - Model is trained to optimize the objective subject to the constraints
- Methods: posterior regularization, constraint-driven learning, integer linear programming, ...
  - Posterior regularization:

Standard NLG objective

$$\min_{\theta, q, \xi \geq 0} \mathcal{L}(\theta) + \text{KL}(q(y|x) || p_\theta(y|x)) + \|\xi\|_b$$

Minimize KL divergence: encourage model  $p_\theta$  to stay close to auxiliary distribution  $q$

s. t.  $\mathbb{E}_q [f(x, y)] \leq \xi \rightarrow$  Impose constraints on  $q$

Solve with an EM-style procedure

# Learning (III): Learning with knowledge constraints

---

- “Knowledge as constraints”
  - Impose knowledge-informed constraints on the NLG training objective
  - Model is trained to optimize the objective subject to the constraints
- Methods: posterior regularization, constraint-driven learning, integer linear programming, ...
  - Posterior regularization
  - Ex:

**This part:** General principles and methodologies for integrating knowledge into NLG

Overview:

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# Knowledge-enhanced inference



- Integrate knowledge during the text decoding process
- Can be applied to pretrained language models (e.g., GPT-2/3, T5) for knowledge-enhanced NLG

# Inference (I): Steered decoding



- Guide the decoding by changing the generation distribution
- TODO: PPLM, GeDi, DeLorean

# Inference (II): Prompts



- Guide the decoding by changing the generation distribution

