

# MS-EV0004 Vertex operator algebras: Exercise 3

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## 3.1 Expansion homomorphism

We introduced (Section 5.1.1) the ring

$$\mathbb{C}[[x_1, x_2]][x_1^{-1}, x_2^{-1}, (x_1 - x_2)^{-1}]$$

as the localization of  $\mathbb{C}[[x_1, x_2]]$  by the multiplicatively closed set  $\{x_1^k x_2^l (x_1 - x_2)^m\}_{k,l,m \geq 0}$ .  
The expansion homomorphism

$$\iota_{12}: \mathbb{C}[[x_1, x_2]][x_1^{-1}, x_2^{-1}, (x_1 - x_2)^{-1}] \rightarrow \mathbb{C}((x_1))((x_2))$$

is defined by

$$\iota_{12}: \frac{g(x_1, x_2)}{x_1^k x_2^l (x_1 - x_2)^m} \mapsto g(x_1, x_2) x_1^{-k} x_2^{-l} \sum_{n=0}^{\infty} \binom{-m}{n} x_1^{-m-n} x_2^n$$

for  $g(x_1, x_2) \in \mathbb{C}[[x_1, x_2]]$  and  $k, l, m \geq 0$ .

**Exercise 3.1.1.** Show that  $\iota_{12}$  is a well-defined injective homomorphism of rings.

**Exercise 3.1.2.** For

$$q(x_0, x_2) \in \mathbb{C}[[x_0, x_2]][x_0^{-1}, x_2^{-1}, (x_0 + x_2)^{-1}],$$

show the identity

$$(\iota_{02} q(x_0, x_2))|_{x_0=x_1-x_2} = \iota_{12} q(x_1 - x_2, x_2)$$

in  $\mathbb{C}((x_1))((x_2))$ .

## 3.2 From Jacobi identity to (VA3) and (VA4)

For a triple  $(V, \mathbf{1}, Y)$  of

- (1)  $V$ : vector space
- (2)  $\mathbf{1} \in V$ : distinguished vector
- (3)  $Y(-, x): V \rightarrow \text{End}(V)[[x^{\pm 1}]]$ : linear map,

assume that **(VA1)**, **(VA2)** and the Jacobi identities

$$\begin{aligned} & x_0^{-1} \delta \left( \frac{x_1 - x_2}{x_0} \right) Y(a, x_1) Y(b, x_2) - x_0^{-1} \delta \left( \frac{x_2 - x_1}{-x_0} \right) Y(b, x_2) Y(a, x_1) \\ &= x_2^{-1} \delta \left( \frac{x_1 - x_0}{x_2} \right) Y(Y(a, x_0) b, x_2) \end{aligned}$$

for all  $a, b \in V$  are satisfied.

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**Exercise 3.2.1.** Define  $T \in \text{End}(V)$  by  $T(a) := a_{(-2)}\mathbf{1} = [x](Y(a, x)\mathbf{1})$ ,  $a \in V$  and show the identity

$$Y(T(a), x) = \frac{d}{dx}Y(a, x), \quad a \in V.$$

**Exercise 3.2.2.** With the same  $T \in \text{End}(V)$ , show the identity (skew-symmetry)

$$Y(a, x)b = e^{xT}Y(b, -x)a, \quad a, b \in V.$$

*Remark 3.1.* In the lecture, we derived the skew-symmetry assuming **(VA3)** and **(VA4)**, but we cannot use them here.

**Hint1:** The left-hand side of the Jacobi identity is invariant under exchanging  $(a, b; x_0, x_1, x_2)$  and  $(b, a; -x_0, x_2, x_1)$ , which could relate  $Y(a, x_0)b$  and  $Y(b, -x_0)a$  in the right-hand sides.

**Hint2:**  $x_1^{-1}\delta\left(\frac{x_2+x_0}{x_1}\right)$  replaces  $x_1$  with  $x_2 + x_0$  if the result makes sense.

**Hint3:** From the previous problem,  $Y(a, x + y) = Y(e^{yT}a, x)$ .

**Exercise 3.2.3.** Show that **(VA3)** is satisfied, i.e., the identity

$$[T, Y(a, x)] = \frac{d}{dx}Y(a, x), \quad a \in V$$

holds

**Hint:** Differentiate both sides of the skew-symmetry.

**Exercise 3.2.4.** Show that **(VA4)** is satisfied, i.e., for any  $a, b \in V$ , there is  $N \gg 0$  such that

$$(x_1 - x_2)^N[Y(a, x_1), Y(b, x_2)] = 0.$$

### 3.3 Goddard's uniqueness theorem

**Theorem.** Let  $(V, \mathbf{1}, Y)$  be a vertex algebra. If a field  $A(x) \in \mathcal{E}(V)$  on  $V$  satisfies

$$A(x)\mathbf{1} = Y(a, x)\mathbf{1}$$

for some  $a \in V$  and mutually local with all  $Y(b, x)$ ,  $b \in V$ , we have  $A(x) = Y(a, x)$ .

**Exercise 3.3.1.** Prove the theorem.

**Hint:** The first step would be applying

$$(x - y)^N A(x)Y(b, y) = (x - y)^N Y(b, y)A(x)$$

with a large enough  $N$  (depending on  $b \in V$ ) to  $\mathbf{1}$ .

### 3.4 Vacuum property of the $n$ -th product

Let  $V$  be a vector space and  $\mathbf{1} \in V$  be a distinguished vector. Assume that the fields

$$a^i(x) = \sum_{n \in \mathbb{Z}} a_{(n)}^i x^{-n-1} \in \mathcal{E}(V), \quad i = 1, \dots, l$$

on  $V$  satisfy  $a_{(n)}^i \mathbf{1} = 0$  if  $n \geq 0$ .

**Exercise 3.4.1.** Show that, for  $n_1, \dots, n_l \in \mathbb{Z}$ ,

$$a^1(x)_{(n_1)} (\dots (a^l(x)_{(n_l)} \text{Id}_V) \dots) \mathbf{1} \in a_{(n_1)}^1 \dots a_{(n_l)}^l \mathbf{1} + V[[x]]x.$$

### 3.5 Alternative answer to Exercise 2.3.1

Let  $\mathfrak{g}$  be a Lie algebra. A linear map  $d \in \text{End}(\mathfrak{g})$  is said to be a Lie derivation if it satisfies

$$d([X, Y]) = [d(X), Y] + [X, d(Y)], \quad X, Y \in \mathfrak{g}.$$

**Exercise 3.5.1.** Discuss that  $d$  naturally induces a derivation on  $\mathcal{U}(\mathfrak{g})$ .

For a Lie algebra  $\bar{\mathfrak{g}}$  and a symmetric invariant<sup>1</sup> bilinear form

$$(\cdot | \cdot) : \bar{\mathfrak{g}} \times \bar{\mathfrak{g}} \rightarrow \bar{\mathfrak{g}},$$

the associated affine Lie algebra is

$$\mathfrak{g} = \bar{\mathfrak{g}}[t^{\pm 1}] \oplus \mathbb{C}K$$

along with

$$\begin{aligned} [Xt^m, Yt^n] &= [X, Y]t^{m+n} + m(X|Y)\delta_{m+n,0}K, \quad X, Y \in \bar{\mathfrak{g}}, m, n \in \mathbb{Z}, \\ [K, \mathfrak{g}] &= \{0\}. \end{aligned}$$

**Exercise 3.5.2.** Find a Lie algebra  $\bar{\mathfrak{g}}$  and  $(\cdot | \cdot)$  such that the associated affine Lie algebra is isomorphic to the Heisenberg algebra  $\widehat{\mathfrak{h}}$ .

**Exercise 3.5.3.** Show that  $d \in \text{End}(\mathfrak{g})$  given by

$$d(Xt^m) = -mXt^{m-1} \quad (X \in \bar{\mathfrak{g}}, m \in \mathbb{Z}), \quad d(K) = 0$$

is a Lie derivation.

The vacuum representation of level  $k \in \mathbb{C}$  of the affine Lie algebra  $\mathfrak{g}$  is as follows:

$$V_k(\mathfrak{g}) = \mathcal{U}(\mathfrak{g})/\mathcal{J}_{k,0},$$

where

$$\mathcal{J}_{k,0} = \mathcal{U}(\mathfrak{g}) \cdot \bar{\mathfrak{g}}[t] + \mathcal{U}(\mathfrak{g}) \cdot (K - k).$$

**Exercise 3.5.4.** Discuss that the Lie derivation  $d$  of the affine Lie algebra  $\mathfrak{g}$  naturally induces an operator  $T \in \text{End}(V_k(\mathfrak{g}))$  such that

$$[T, Xt^m] = -mXt^{m-1}, \quad X \in \bar{\mathfrak{g}}, m \in \mathbb{Z}$$

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<sup>1</sup> $([X, Y]|Z) + (Y|[X, Z]) = 0$  for all  $X, Y, Z \in \bar{\mathfrak{g}}$ .