

# Problem

From  $n$  nodes we want to choose one that will be the leader of the rest.

Conditions:

1. Each node don't know the number of members.
2. Unordered ring.
3. Synchronized rounds.
4. "left" processor may not mean the same to all processors, so their lists of neighbours can be not in logical order.

## Overview

This algorithm, provided by Hirschberg and Sinclair [1], is an efficient way to solve Leader problem, requiring  $\mathcal{O}(n \log n)$  messages. Previous successes belong to:

- LeLann [2] algorithm, which requires  $\mathcal{O}(n^2)$  messages.
- Chang and Roberts [3]: average -  $\mathcal{O}(n \log n)$ , but worst case still  $\mathcal{O}(n^2)$

## Algorithm: Undirected Ring

A naive algorithm, which achieves  $\mathcal{O}(n^2)$ , involves simply passing our  $Id$  in different directions. However, this quadratic time complexity can be reduced to  $\mathcal{O}(n)$  rounds. Our algorithm takes steps of length  $2^i$ , during which the number of active processes is reduced. Those that fall within the range  $2^i$  from a more possible candidate for the role of leader will become inactive, resulting in a significant reduction in the number of messages sent.

"Must have" to be in head:

1. *sendpass* - sending message in same direction as received was going.
2. *sendecho* - returns message back to sender of received message.

Lets take a look on pseudocode from [1].

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### The Algorithm

```
/// if after coming back process's messages it still has
/// "candidate" status, it means, that it is the biggest in
/// range  $2^i$  around him
run_candidate:
  status <-- "candidate"
  maxnum <-- 1
  WHILE status = "candidate"
    sendboth ("from", myvalue, 0, maxnum)
    await both replies (but react to other messages)
    IF either reply is "no" THEN status <-- "lost"
    maxnum <-- 2*maxnum

/// the function thanks to which candidates eliminate number of possible candidates
/// and have ability to get info, that they have won
receive_message ("from", value, num, maxnum):
  IF value < myvalue
    sendecho ("no", value)
  IF value > myvalue
    status <-- "lost"
    num <- num + 1
```

```

    IF num < maxnum
        sendpass ("from", value, num, maxnum)
    ELSE
        sendeeho ("ok", value)
    IF value = myvalue
        status <- "won"

///besides accepting his own message, which came back to him,
///the processor can play the role of a transit, inactive node
receive_message ("no", value) or ("ok", value)
    IF value != myvalue
        senpass the message
    ELSE
        this is a reply the processor was awaiting

///one from me, just for simle and correct ending
///of this election, eleminating all possible unread messages
receive_message ("end", value):
    inform next processor of end
    stop process

```

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The given pseudocode effectively reflects the algorithm's flow, as much, as it reduces the number of messages.

## Correctness

There is not much to say:

1. if process is "lost", then it is lesser than some other process, so it is OK, that it become inactive
2. if process is still "candidate", then it is bigger, than met by him.
3. if "from" message comes back to original sender - than it passed all the processes with success, so original sender is greater than each one of the rest.
4. when  $maxnum \geq n$  then the algo would end, because somebody would cover all the processes by "from" message.
5. if process is "won", then it received message after it visited all the processes

## Proof of complexity

### The worst case

Note that each active processor by the start of a round  $i$  (starting with 0) can initiate at most  $4 * 2^i$  messages to be sent.

Another observation gives us on start of round  $i$ :

$$\text{number of "candidate" processes} \leq \left\lceil \frac{n}{2^{i-1} + 1} \right\rceil \quad (1)$$

It follows from the fact, that if we separate all nodes on blocks of length  $2^{i-1} + 1$ , then after round  $i - 1$  only one of nodes(processes) in block could stay active.

It all provides us to the fact that total number of sent messages is bounded from above by:

$$4 \cdot \left( \sum_{0}^{\lceil \log n \rceil} 2^i \cdot \left\lceil \frac{n}{2^{i-1} + 1} \right\rceil \right) \leq 4 \cdot \left( \sum_{0}^{\lceil \log n \rceil} 2n \right) \approx 8n \log n \quad (2)$$

## The "better can't imagine" case

In case we would have instance of size  $2^k$  which look like this:

$$\cdots p_{n-1} < p_0 > p_1 > p_2 \cdots \quad (3)$$

After first round there is possibility, that could happen, that every one but the biggest process ( $p_0$ ), would get "lost" status, what provide us to complexity:

$$4 \cdot n + \sum_0^{\lceil \log n \rceil} 2^i \cdot 1 = 4 \cdot n + \sum_0^k 2^i \leq 4 \cdot n + 2 \cdot n = \mathcal{O}(n) \quad (4)$$

## Algorithm: Directed Ring

To fit Hirschberg-Sinclair algorithm to directed ring we need to provide the ability to receive a response to initiated messages, because of which we will adhere to the method of "twisting" the cycle.

We are using structure of undirected ring with unshuffled topology simulating directed ring by initiating sending messages only clockwise.

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### The Algorithm

The Pseudocode is the same as in "Algorithm: Undirected Ring" with only two differences.

1. `sendboth ("from", myvalue, 0, maxnum)` is replaced with:  
`sendClockwise ("from", myvalue, 0, maxnum)`
  2. `await both replies (but react to other messages)` is replaced with:  
`await reply (but react to other messages)`
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## Correctness

The proof of correctness is the same as in "Algorithm: Undirected Ring".

## Proof of complexity

### The worst case

Note that each active processor by the start of a round  $i$  (starting with 0) can initiate process in which at most  $2 * 2^i$  messages would be about to sent.

Another observation gives us on start of round  $i > 2$ :

$$\text{number of "candidate" processes} \leq \left\lceil \frac{n}{2^{i-2} + 1} \right\rceil \quad (5)$$

It follows from the fact, that if we separate all nodes on blocks of length  $2^{i-2} + 1$ , then after round  $i - 1$  only one of nodes(processes) in block could stay active.

It all provides us to the fact that total number of sent messages is bounded from above by:

$$2 \cdot \left( \sum_0^{\lceil \log n \rceil} 2^i \cdot \left\lceil \frac{n}{2^{i-2} + 1} \right\rceil \right) \leq 4 \cdot 2 \cdot \left( \sum_0^{\lceil \log n \rceil} 2^i \cdot \left\lceil \frac{n}{2 \cdot (2^{i-2} + 1)} \right\rceil \right) \leq 8 \cdot \left( \sum_0^{\lceil \log n \rceil} 2^i \cdot \left\lceil \frac{n}{2^{i-1} + 1} \right\rceil \right) \leq 16 n \log n \quad (6)$$

Where the last transition comes from (2).

## The best case

Example and proof are exactly the same as in "Algorithm: Undirected Ring".  
So the number of sent messages is bounded from below by  $O(n)$ .

## References

- [1] Hirschberg, D.S., Sinclair, J.B.: Decentralized extrema-finding in circular configurations of processes. *Commun. ACM* 23, 627–628 (1980)
- [2] LeLann, G. Distributed systems—Towards a formal approach. *Inform. Proc.* 77, North-Holland Pub. Co., 1977, Amsterdam, pp. 155-160.
- [3] Chang, E., and Roberts, R. An improved algorithm for decentralized extrema-finding in circularly configurations of processes. *Comm. ACM* 22, 5 (May 1979), 281-283.