



Lattice based Cryptography

Léo Ducas

CWI, Amsterdam, The Netherlands



**AFRICAN MATHEMATICAL SCHOOL
UNIVERSITY OF BAMENDA- CAMEROON**
JUNE 06-17 2016

*A-Mathematics Applied to Cryptology
and Information Security.*



Outline

- 1 Encryption
- 2 Signatures
- 3 Cryptography from lattices

Problem:

- Two parties **A** and **B** wish to communicate

Problem:

- Two parties **A** and **B** wish to communicate



Encryption

Problem:

- Two parties **A** and **B** wish to communicate



Encryption

Problem:

- Two parties **A** and **B** wish to communicate
- An adversary, **J** trying to eavrop



Encryption

Problem:

- Two parties **A** and **B** wish to communicate
- An adversary, **J** trying to eavrop



Encryption

Problem:

- Two parties **A** and **B** wish to communicate
- An adversary, **J** trying to eavrop
- **A** and **B** want to keep confidentiality



Encryption

Solution : Symmetric cryptography

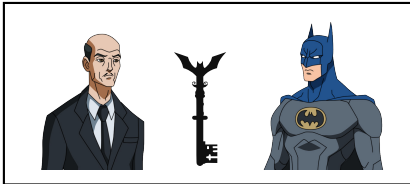
- **A** and **B** agree on a secret key **k** in a close room



Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



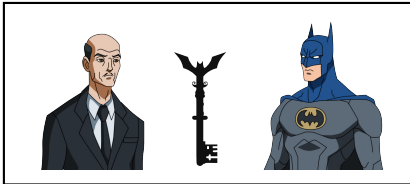
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



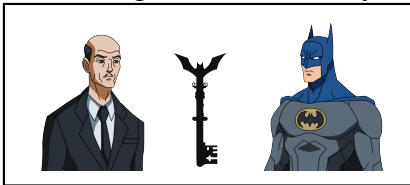
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



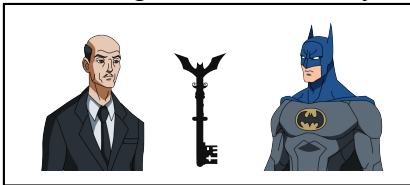
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



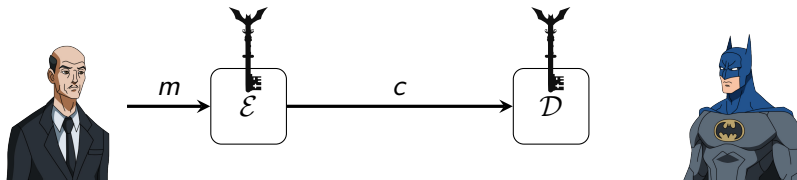
Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



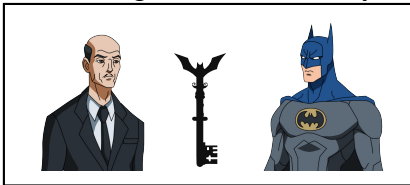
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



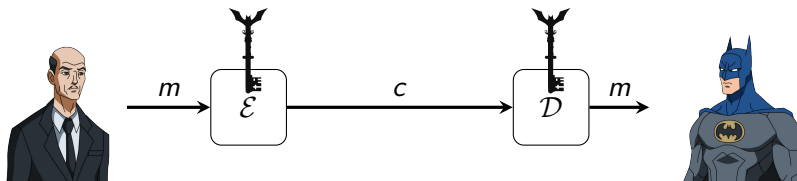
Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



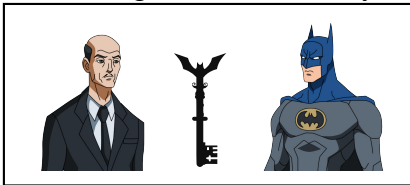
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



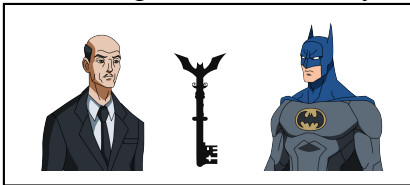
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room



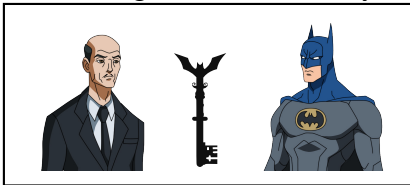
- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



Encryption

Solution : Symmetric cryptography

- **A** and **B** agree on a secret key **k** in a close room

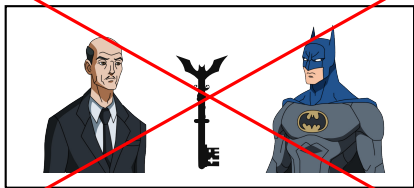


- Latter, **A** and **B** encrypt \mathcal{E} and decrypt \mathcal{D} their communication



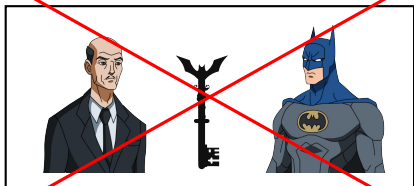
Asymmetric cryptography

Probleme : Confidentiality without pre-shared key ?

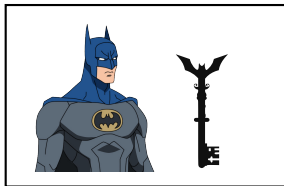


Asymmetric cryptography

Probleme : Confidentiality without pre-shared key ?

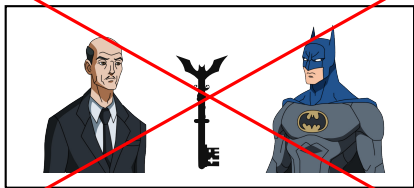


Solution : Make encryption and decryption key different

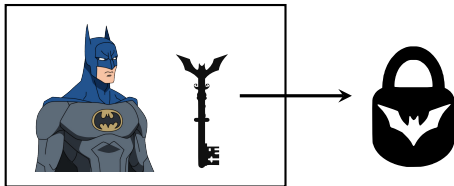


Asymmetric cryptography

Probleme : Confidentiality without pre-shared key ?

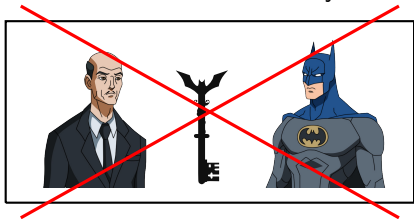


Solution : Make encryption and decryption key different

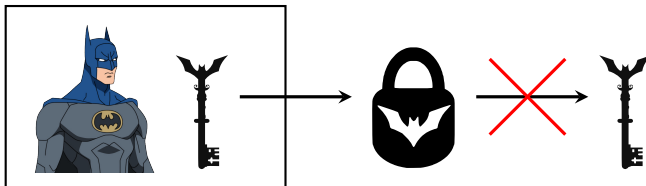


Asymmetric cryptography

Probleme : Confidentiality without pre-shared key ?



Solution : Make encryption and decryption key different



The transformation **private key** \rightarrow **public key** must be **one-way**.

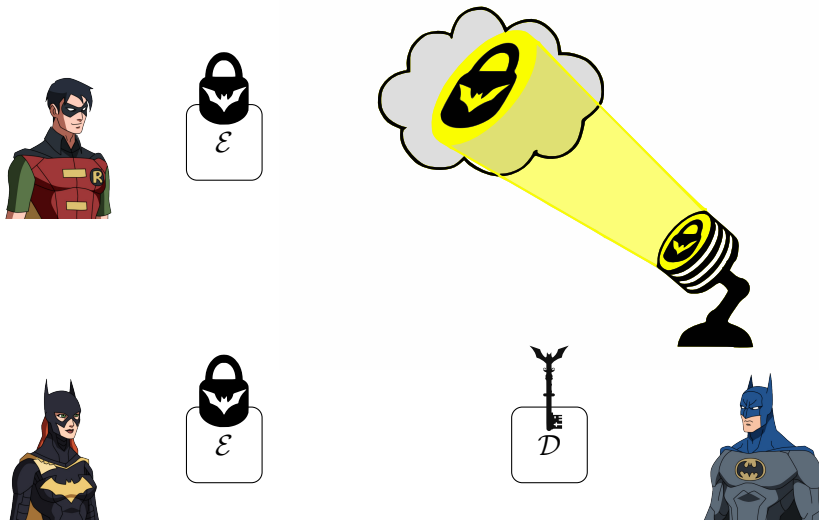
Asymmetric cryptography in action



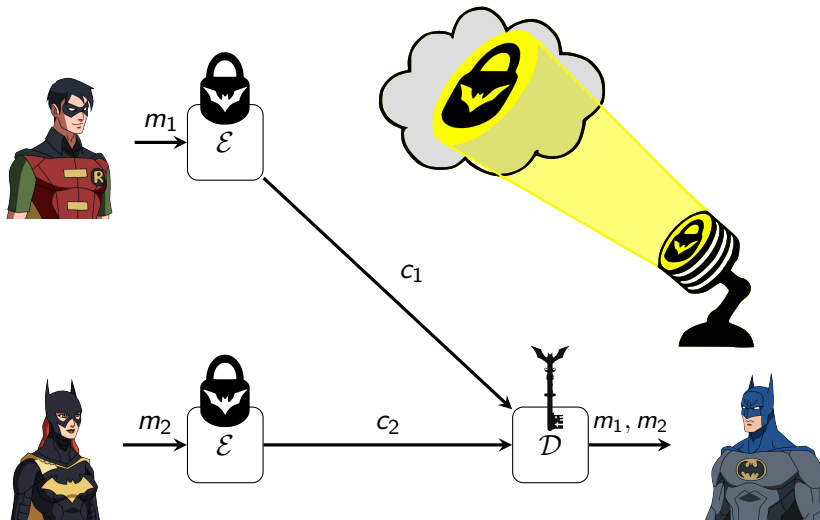
Asymmetric cryptography in action



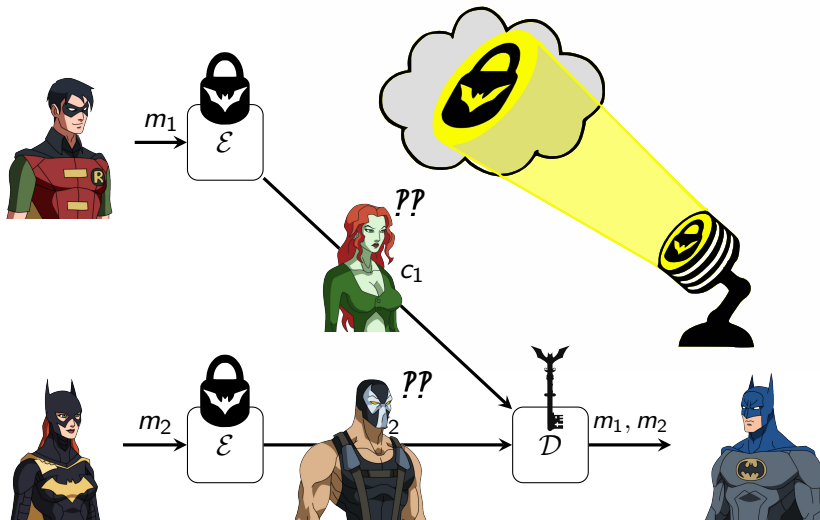
Asymmetric cryptography in action



Asymmetric cryptography in action



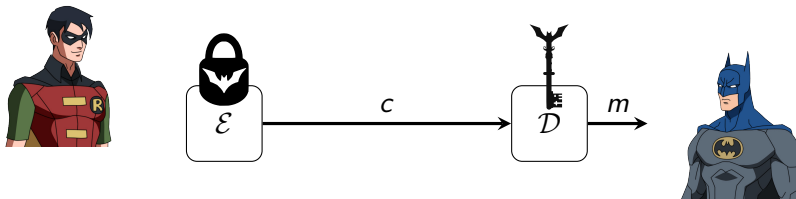
Asymmetric cryptography in action



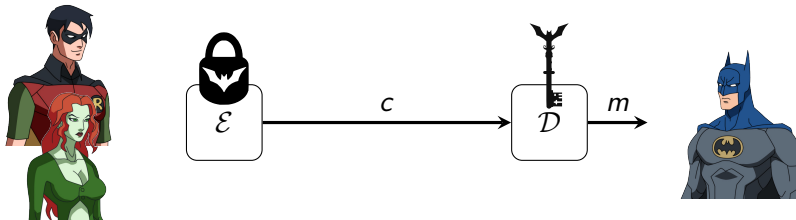
Outline

- 1 Encryption
- 2 Signatures
- 3 Cryptography from lattices

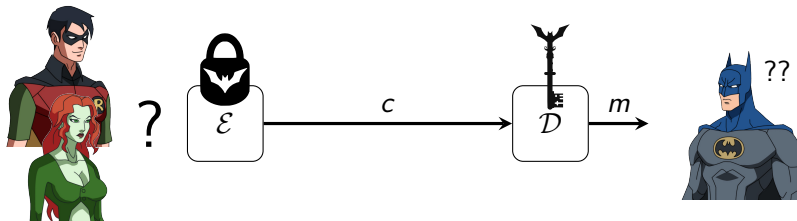
Problem 1: Message authentication



Problem 1: Message authentication

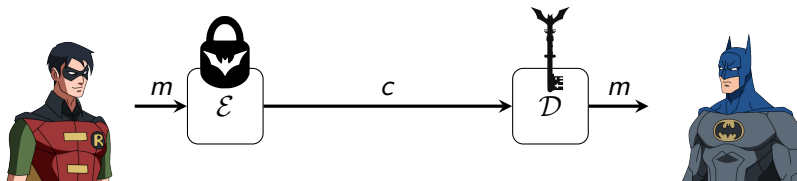


Problem 1: Message authentication

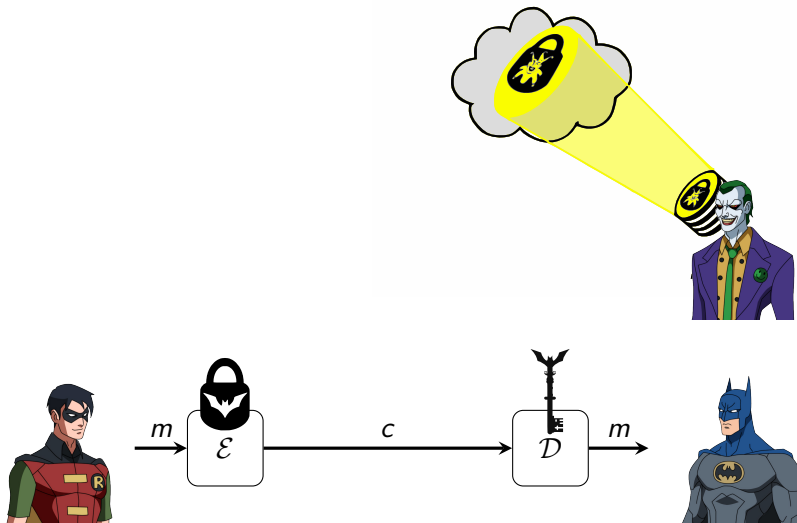


Encryption guarantees confidentiality, but not authenticity:
Poison Ivy can pretend to be Robin

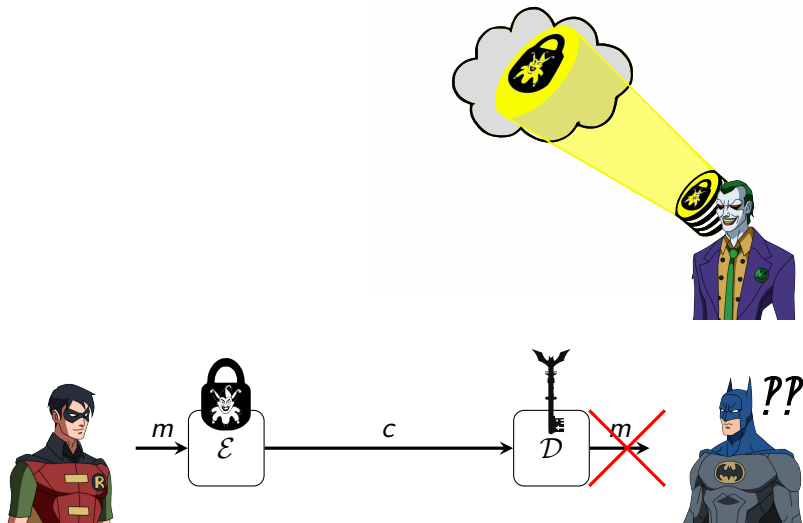
Problem : Key authentication



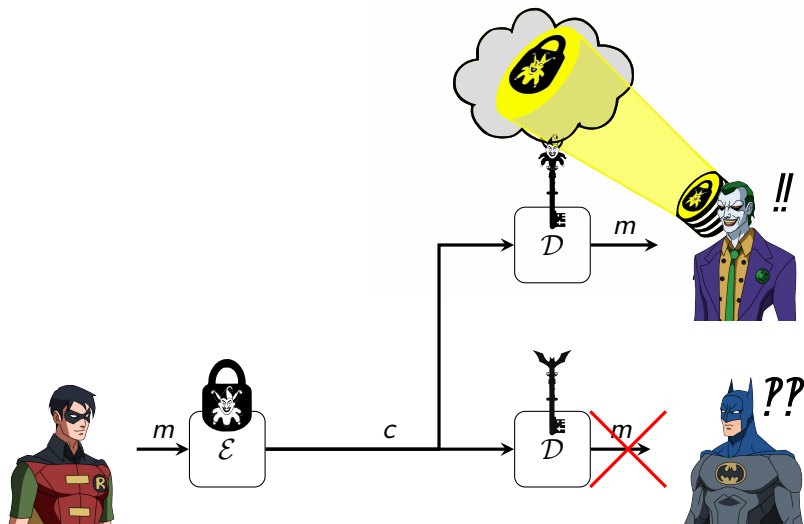
Problem : Key authentication



Problem : Key authentication



Problem : Key authentication



Without authenticity of public key, encryption can be insecure !

Digital signature

Digital version of signature, or a certificate. Must be

- **impossible to forge**
- **verifiable** by all (using some public key)

Secret key



Public key



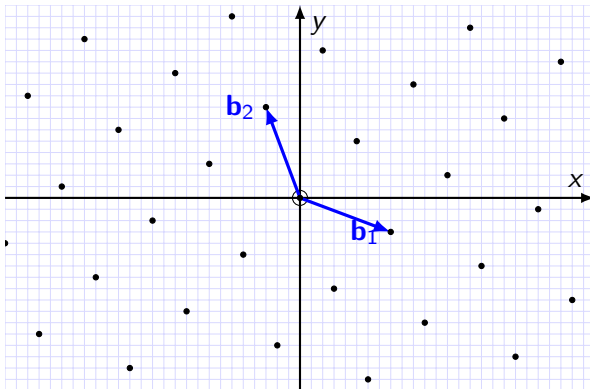
Signature



Outline

- 1 Encryption
- 2 Signatures
- 3 Cryptography from lattices**

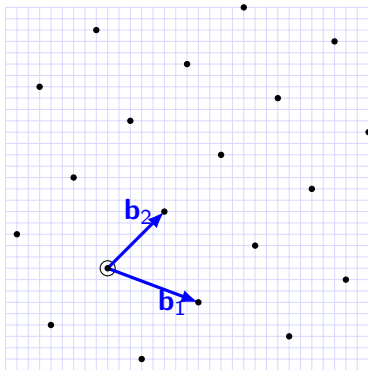
Lattices !



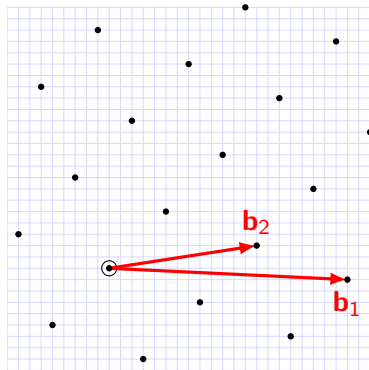
Definition

A lattice L is a discrete subgroup of a finite-dimensional Euclidean vector space.

Bases of a Lattice



Good Basis **G** de L

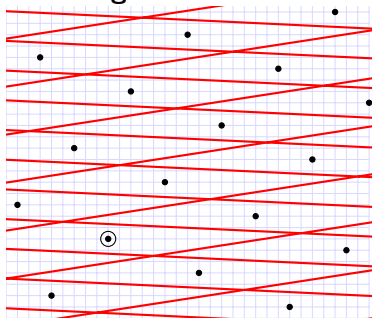
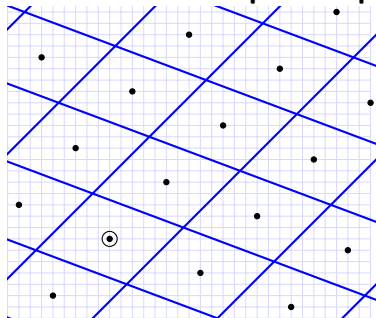


Bad Basis **B** de L

G \rightarrow **B** : easy (randomization);
B \rightarrow **G** : hard (LLL, BKZ, Lattice Sieve...).

Bases and Fundamental Domains

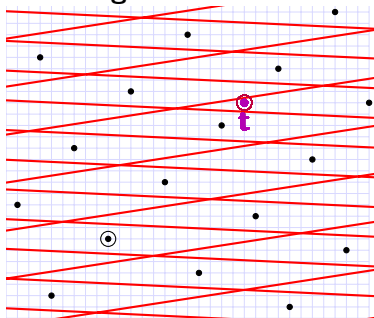
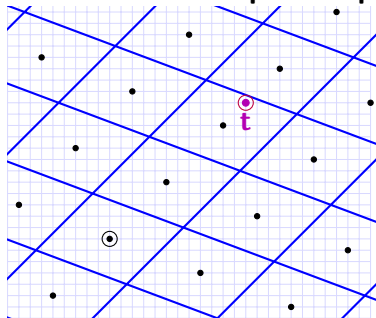
Each Basis defines a **parallelepipedic tiling**.



Round'off Algorithm [Lenstra, Babai]:

Bases and Fundamental Domains

Each Basis defines a **parallelepipedic tiling**.

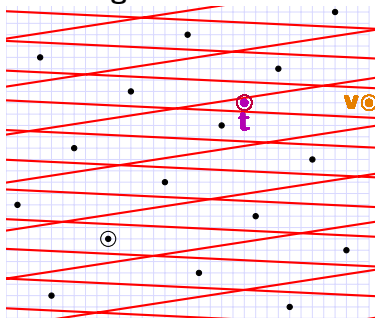
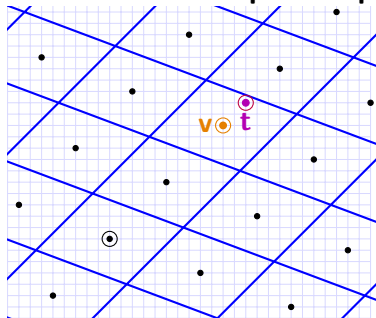


Round'off Algorithm [Lenstra, Babai]:

- Given a target \mathbf{t}

Bases and Fundamental Domains

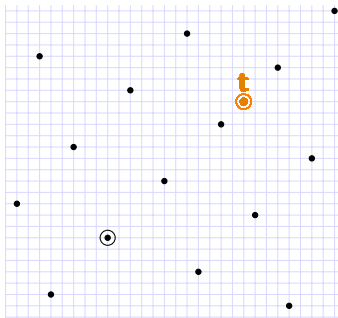
Each Basis defines a **parallelepipedic tiling**.



Round'off Algorithm [Lenstra, Babai]:

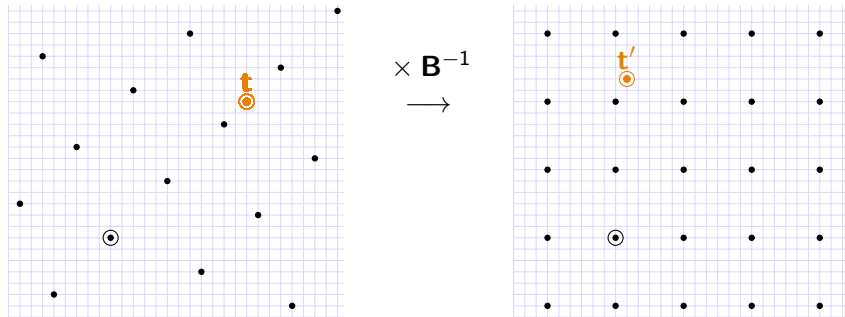
- Given a target \mathbf{t}
- Find's $\mathbf{v} \in L$ at the center the tile.

Round'off Algorithm



Round'off Algorithm [Lenstra,Babai]:

Round'off Algorithm

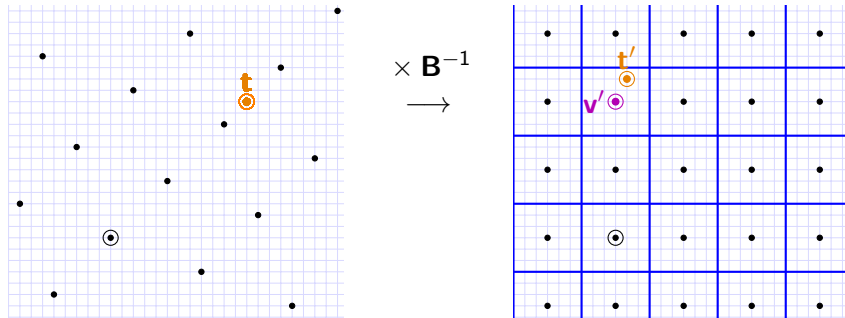


Round'off Algorithm [Lenstra,Babai]:

- Use \mathbf{B} to switch to the lattice $\mathcal{L}(\mathbf{B})$ ($\times \mathbf{B}^{-1}$)

$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t};$$

Round'off Algorithm

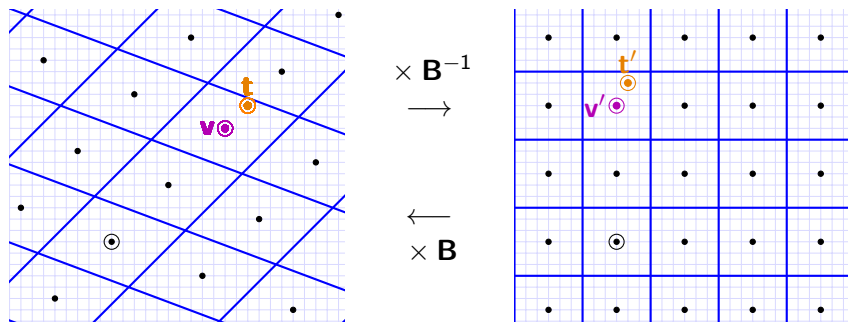


Round'off Algorithm [Lenstra,Babai]:

- Use \mathbf{B} to switch to the lattice $^n (\times \mathbf{B}^{-1})$
- round each coordinate (square tiling)

$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor;$$

Round'off Algorithm



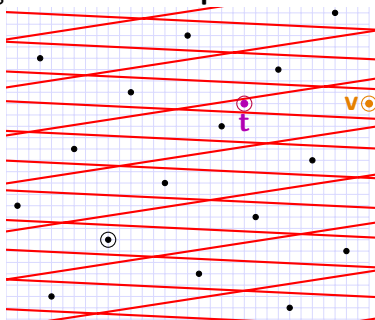
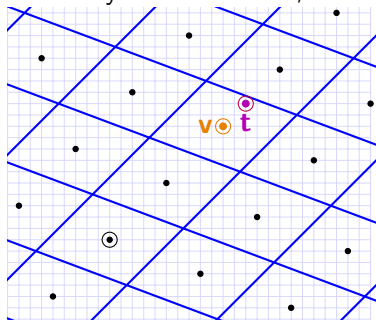
Round'off Algorithm [Lenstra,Babai]:

- Use B to switch to the lattice n ($\times B^{-1}$)
- round each coordinate (square tiling)
- switch back to L ($\times B$)

$$t' = B^{-1} \cdot t; \quad v' = \lfloor t' \rfloor; \quad v = B \cdot v'$$

Finding Close Vectors

Given a good basis **G** the Round'off algorithm allows to solve CVP.
Given only a bad basis **B**, solving CVP is a **hard problem**.



Can this somehow be used as a trapdoor ?

Encryption from lattices (simplified)

Using the (second) decoding algorithm, one can recover \mathbf{v}, \mathbf{e} from $\mathbf{w} = \mathbf{v} + \mathbf{e}$ when $\mathbf{e} \in \mathcal{P}(\mathbf{B}^*)$. In particular when:

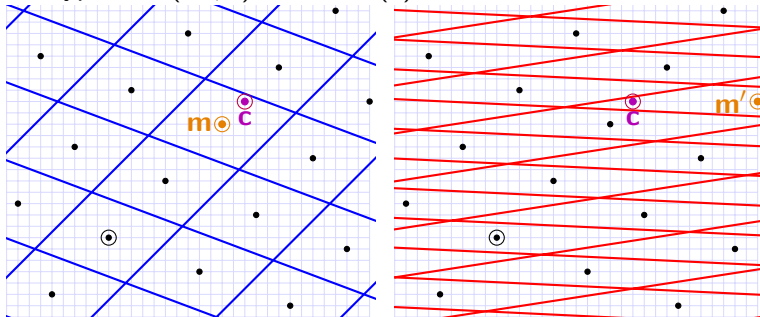
$$\|\mathbf{e}\| \leq \min \|\mathbf{b}_i^*\|$$

Parameter η

- Private key: good basis \mathbf{G} such that $\|\mathbf{g}_i^*\| \geq \eta$
- Public key: bad basis \mathbf{B} such that $\|\mathbf{b}_i^*\| \ll \eta$
- Message : $\mathbf{m} \in \Lambda = \mathcal{L}(\mathbf{B}) = \mathcal{L}(\mathbf{G})$
- Ciphertext : $\mathbf{c} = \mathbf{m} + \mathbf{e}$, for a random error \mathbf{e} , $\|\mathbf{e}\| = \eta$
- Decryption : $(\mathbf{m}', \mathbf{e}) = \text{decode}(\mathbf{c})$

Encryption from lattices

Decryption : $(\mathbf{m}', \mathbf{e}) = \text{decode}(\mathbf{c})$



- With the good basis **G**, $\mathbf{m}' = \mathbf{m}$
- With the bad basis **B**, $\mathbf{m}' \neq \mathbf{m}$: decryption fails !

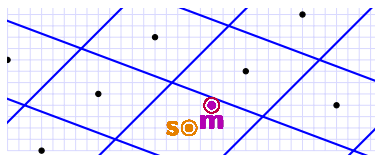
Signatures

Sign

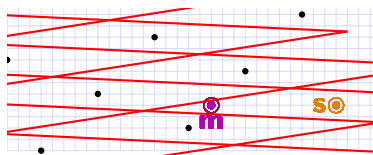
- Hash the message to a random vector \mathbf{m} .
- apply Round'off with a good basis \mathbf{G} :
find $\mathbf{s} \in L$ proche de \mathbf{m} .

Vérify

- check that $\mathbf{s} \in L$ using the bad basis \mathbf{B}
- and that \mathbf{m} is close to \mathbf{s} .



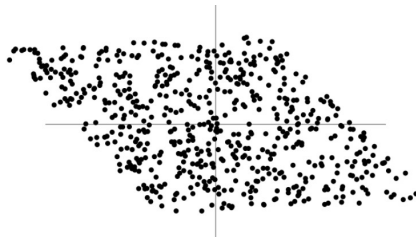
correct signature (close)



Incorrect Signature (far)

A statistical attack [NguReg05,DucNgu12]

The difference $\mathbf{s} - \mathbf{m}$ is always inside the parallelepiped by the good basis \mathbf{G} :



Each signatures (\mathbf{s}, \mathbf{m}) leaks a bit of information about the secret key \mathbf{G} .

Nguyen et Regev showed how to “learn the parallelepiped” using a few signature:

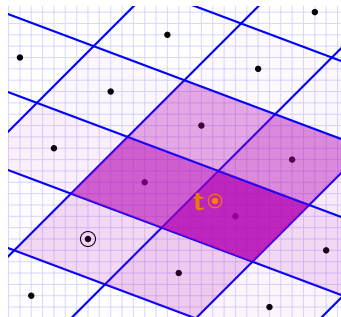
⇒ Total break of original GGH and NTRUSign schemes.

Countermeasures : Randomized Round'off

Round'off:

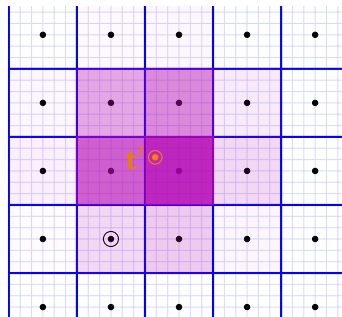
$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:



$\times \mathbf{B}^{-1}$
 \rightarrow

\leftarrow
 $\times \mathbf{B}$

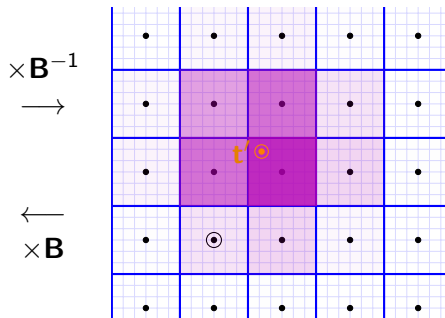
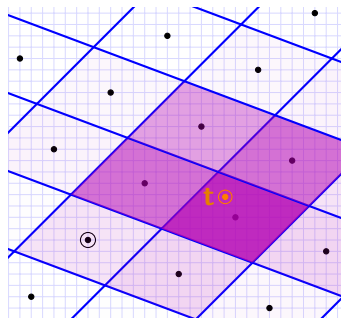


Countermeasures : Randomized Round'off

Round'off:

$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:

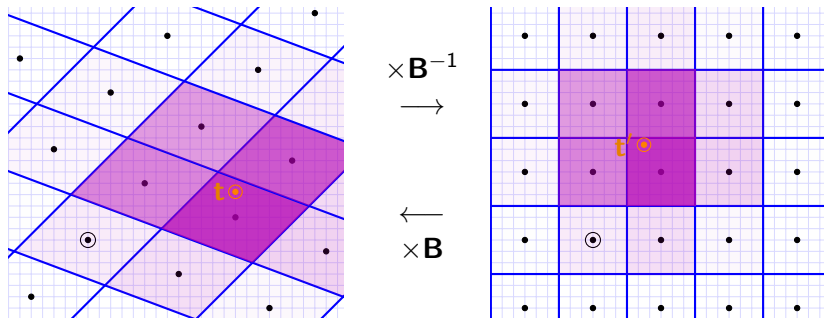


Countermeasures : Randomized Round'off

Round'off:

$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:

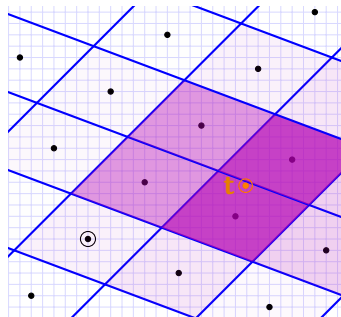


Countermeasures : Randomized Round'off

Round'off:

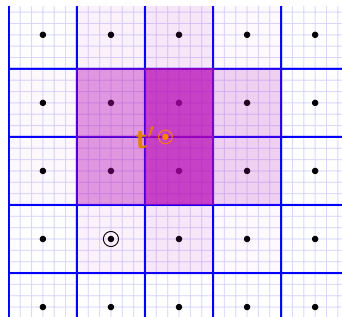
$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:



$\times \mathbf{B}^{-1}$
 \rightarrow

\leftarrow
 $\times \mathbf{B}$

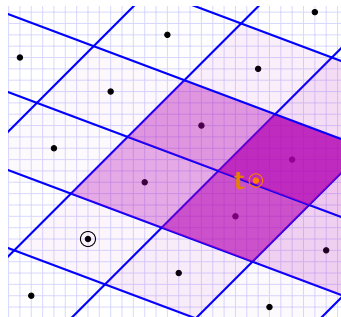


Countermeasures : Randomized Round'off

Round'off:

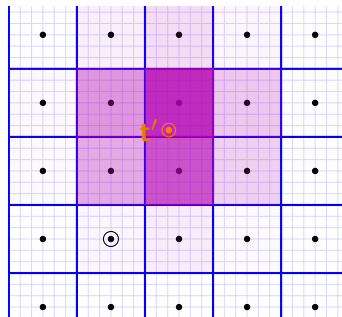
$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:



$\times \mathbf{B}^{-1}$
 \rightarrow

\leftarrow
 $\times \mathbf{B}$

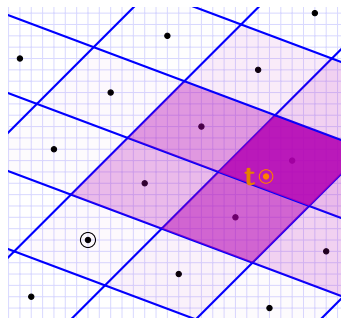


Countermeasures : Randomized Round'off

Round'off:

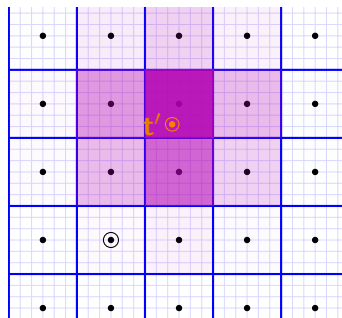
$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:



$\times \mathbf{B}^{-1}$
 \rightarrow

\leftarrow
 $\times \mathbf{B}$

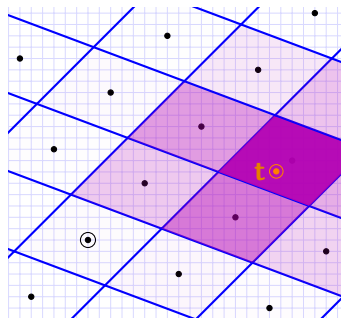


Countermeasures : Randomized Round'off

Round'off:

$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:

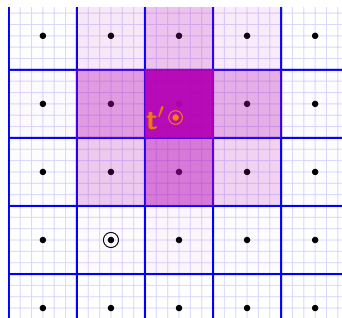


$\times \mathbf{B}^{-1}$

\rightarrow

\leftarrow

$\times \mathbf{B}$

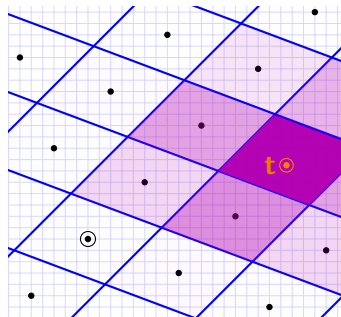


Countermeasures : Randomized Round'off

Round'off:

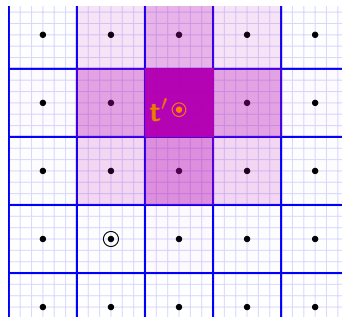
$$\mathbf{t}' = \mathbf{B}^{-1} \cdot \mathbf{t}; \quad \mathbf{v}' = \lfloor \mathbf{t}' \rfloor; \quad \mathbf{v} = \mathbf{B} \cdot \mathbf{v}'$$

Idea: Hide the parallelepiped by “blurring”:



$\times \mathbf{B}^{-1}$
 \rightarrow

\leftarrow
 $\times \mathbf{B}$



Gaussian sampling

Using the appropriate randomized rounding (Gaussian-sampling) the distribution $\mathbf{s} - \mathbf{m}$ can be made Gaussian:



With more effort, the ellipsoid can be transformed into a ball, that leaks no information about the secret basis.

- [Klein 2000, Gentry Peikert Vaikuthanathan 2008]: for a randomization of the Nearest Plane algorithm
- [Peikert 2010] for a randomization of the Round'off algorithm