



Making 5G NR a reality

Leading the technology innovations for
a unified, more capable 5G air interface

Qualcomm Technologies, Inc.
September, 2016



Transforming our world

through intelligent
connected platforms



Last 30 years
Interconnecting people



Next 30 years
Interconnecting their worlds

Utilizing unparalleled systems leadership in connectivity and compute

Mobile fueled the last 30 years—interconnecting people



A unifying connectivity fabric

Always-available, secure cloud access



Enhanced mobile
broadband



Mission-critical
services



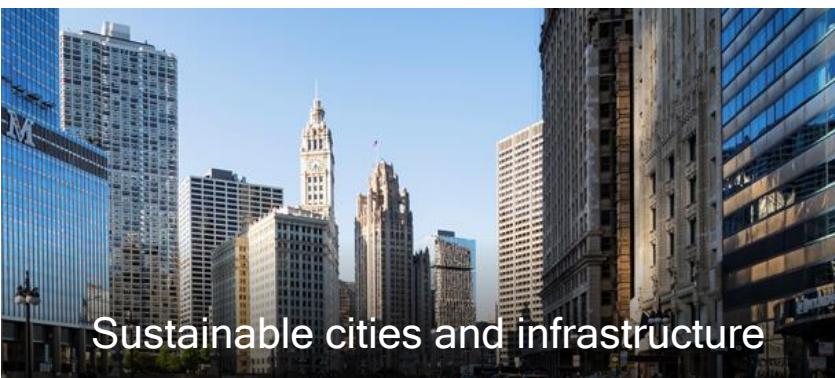
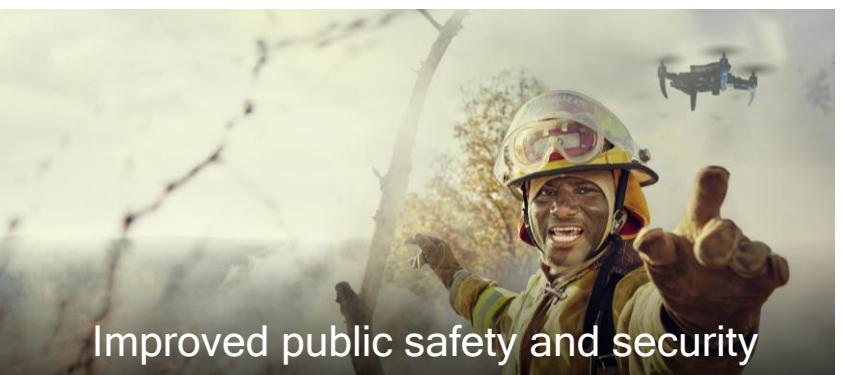
Massive Internet
of Things

Unifying connectivity platform for future innovation

Convergence of spectrum types/bands, diverse services, and deployments,
with new technologies to enable a robust, future-proof 5G platform

5G will redefine a wide range of industries

A platform for new connected services - existing, emerging and unforeseen



Immersive entertainment and experiences

Safer, more autonomous transportation

Reliable access to remote healthcare

Improved public safety and security

Smarter agriculture

More efficient use of energy/utilities

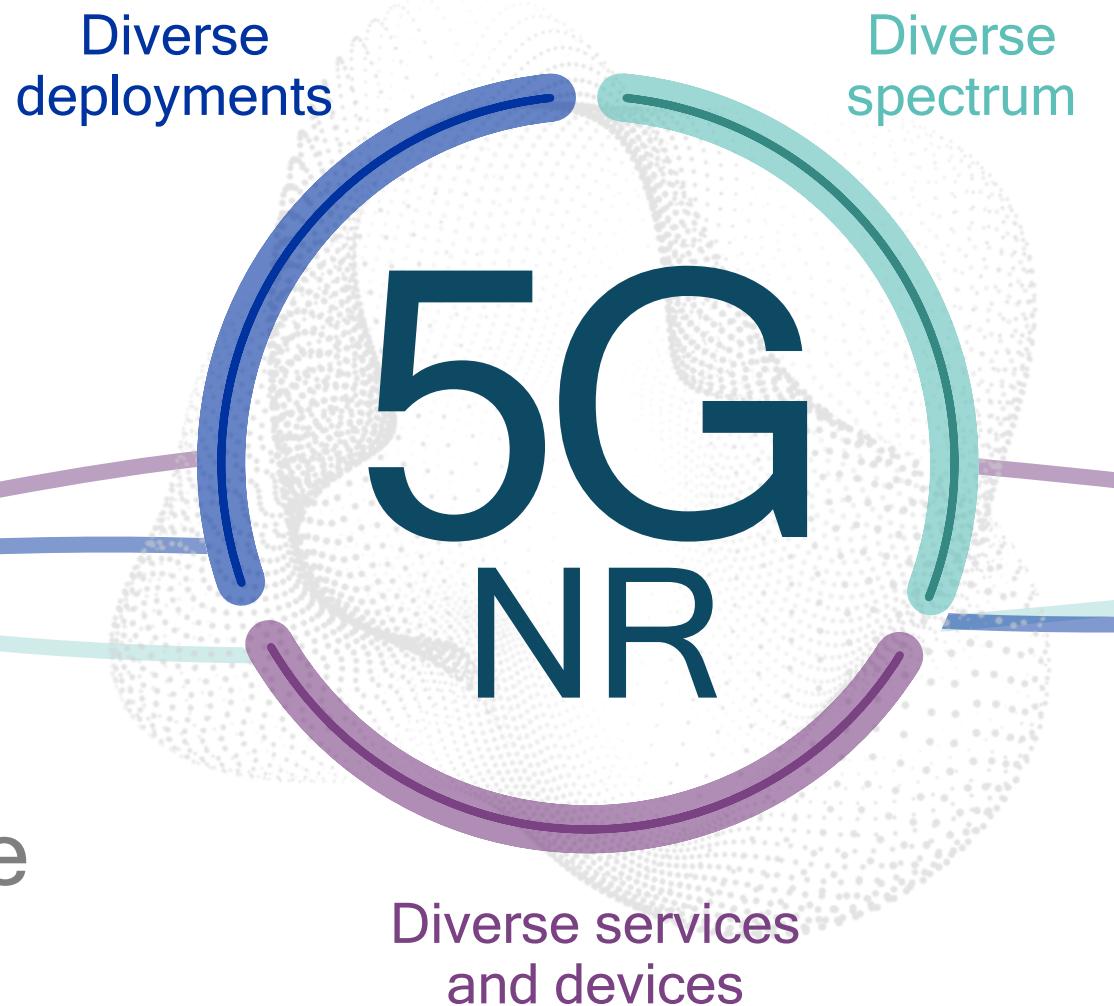
More autonomous manufacturing

Sustainable cities and infrastructure

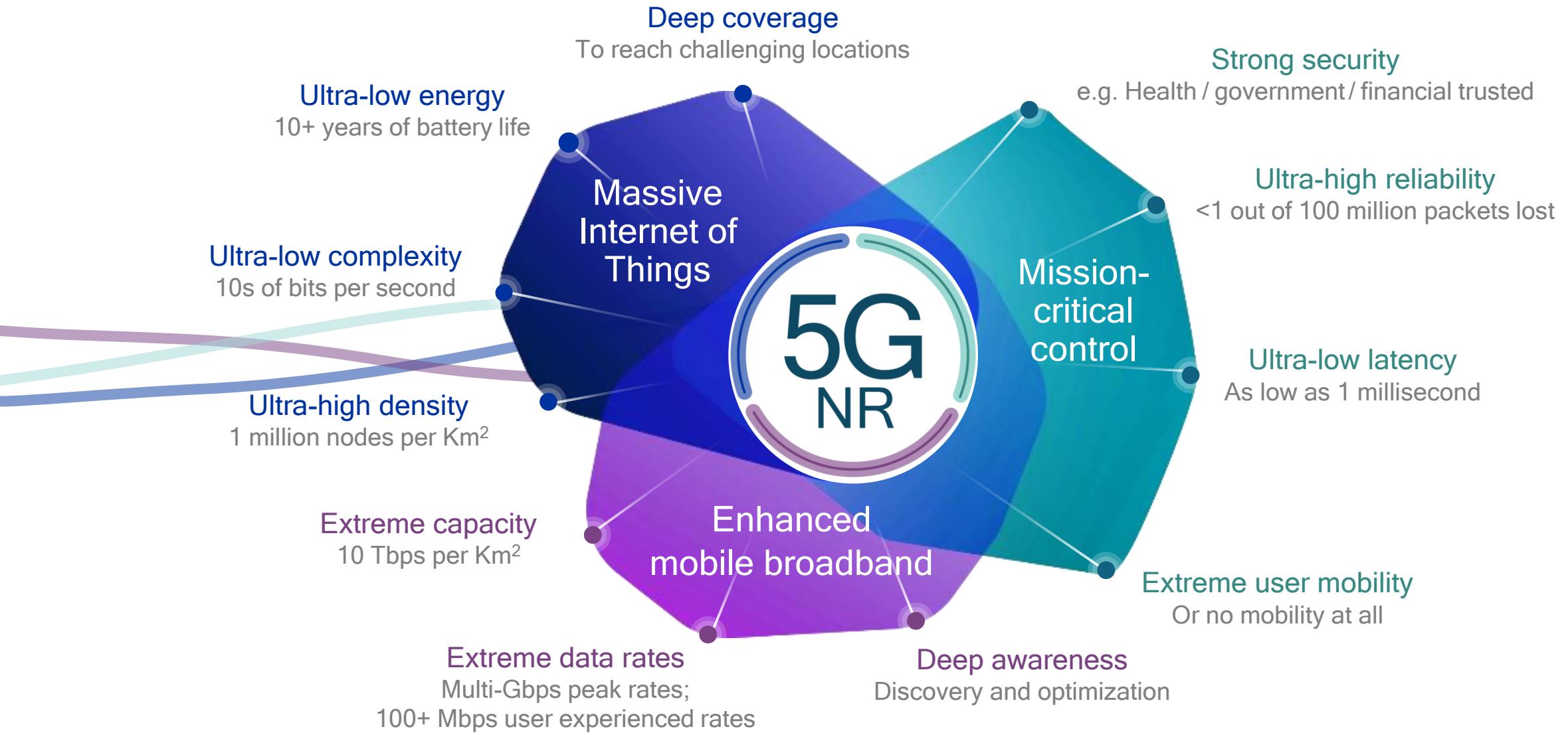
Digitized logistics and retail

Designing 5G New Radio (NR)

An OFDM-based unified,
more capable air interface



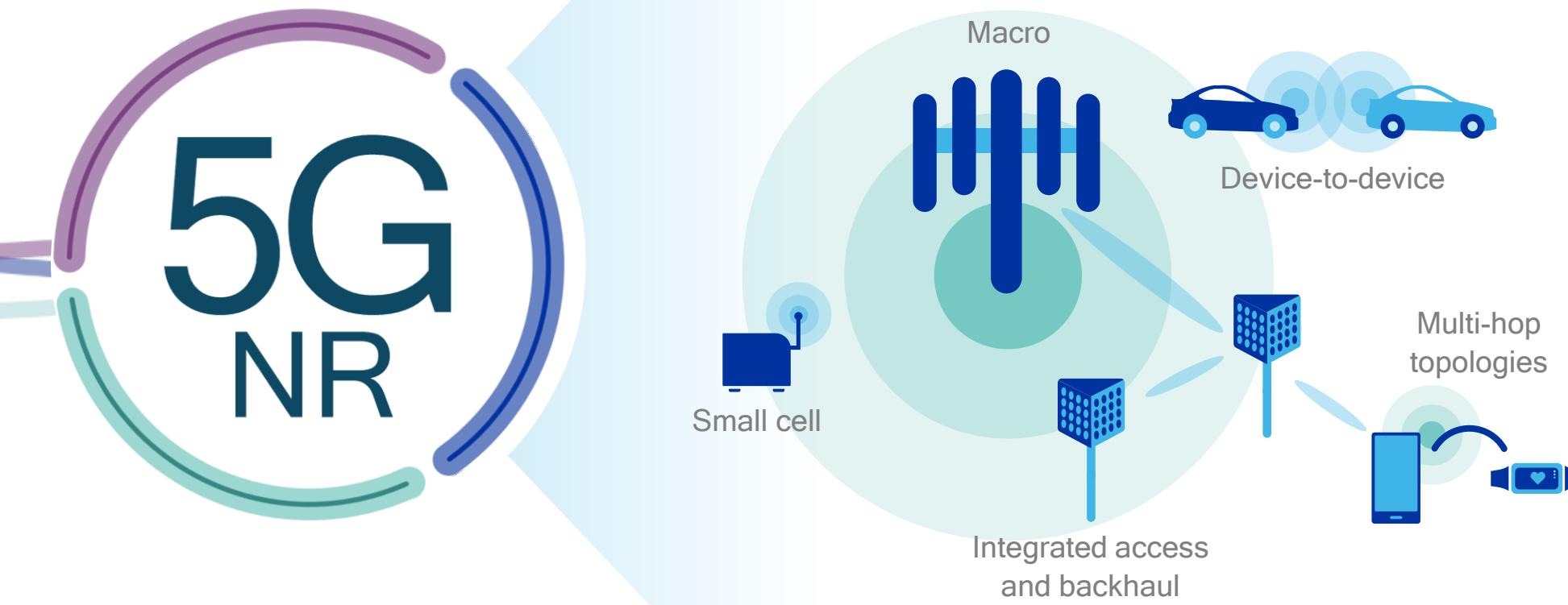
Scalability to address diverse service and devices



Getting the most out of every bit of diverse spectrum



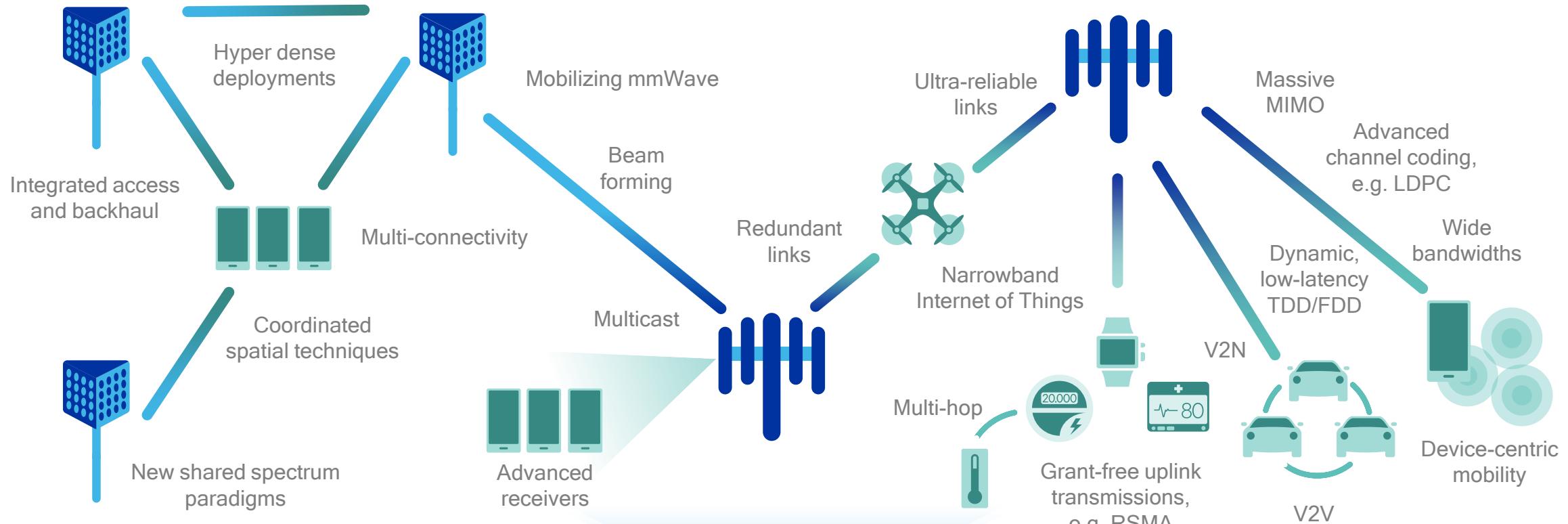
Adaptable to diverse deployments and topologies



5G will be deployed and managed by a variety of entities

Mobile operator networks provide ubiquitous coverage—the backbone of 5G

Pioneering new technologies to meet 5G NR requirements



New levels of capability and efficiency

10x
experienced throughput

10x
decrease in end-to-end latency

10x
connection density

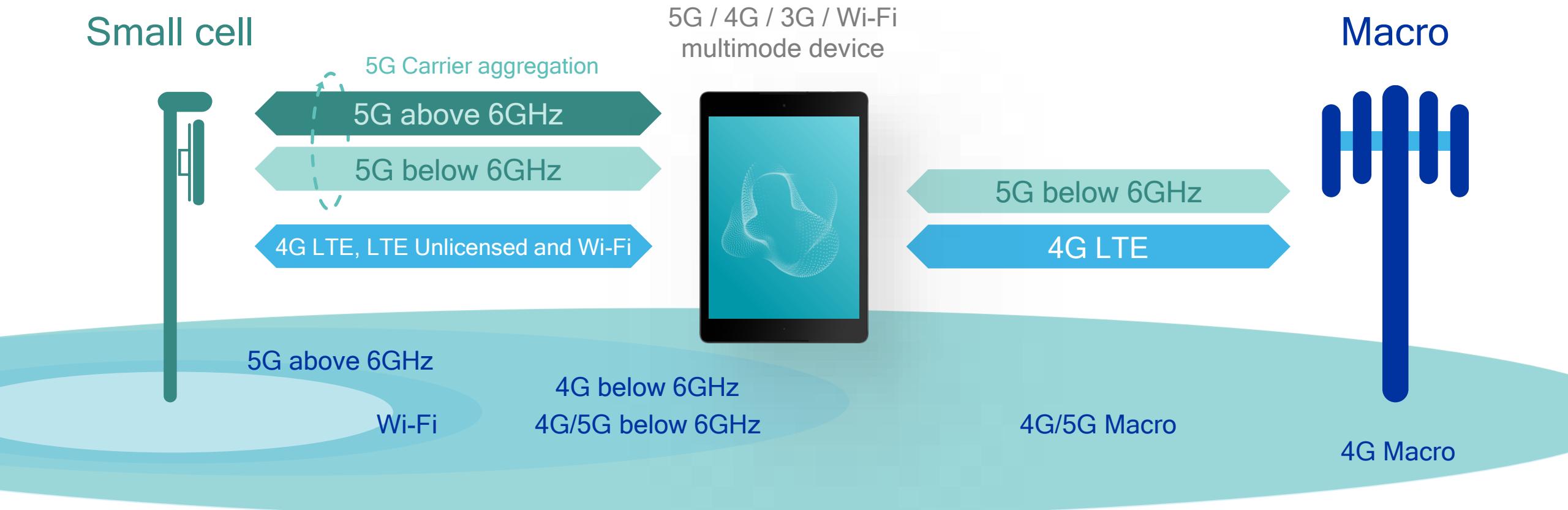
3x
spectrum efficiency

100x
traffic capacity

100x
network efficiency

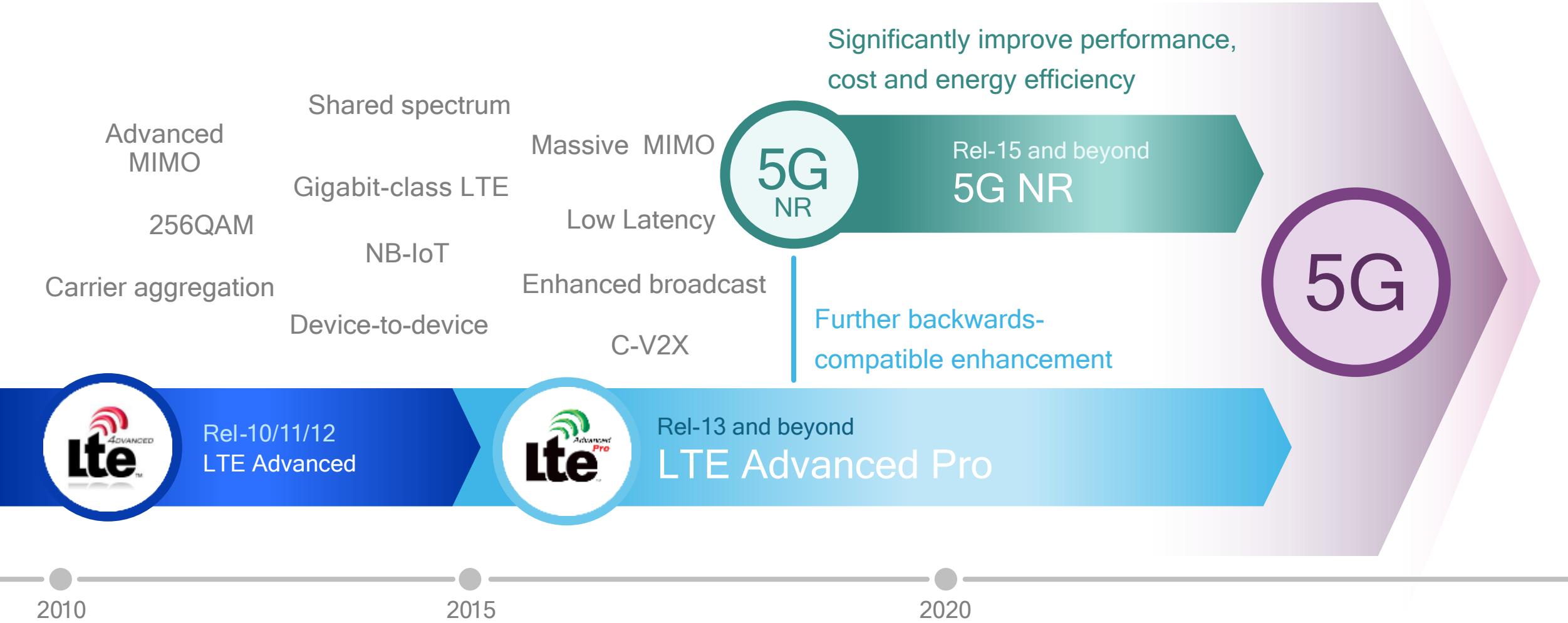
Simplifying 5G deployments with multi-connectivity

Fully leveraging 4G LTE and Wi-Fi investments for a seamless user experience

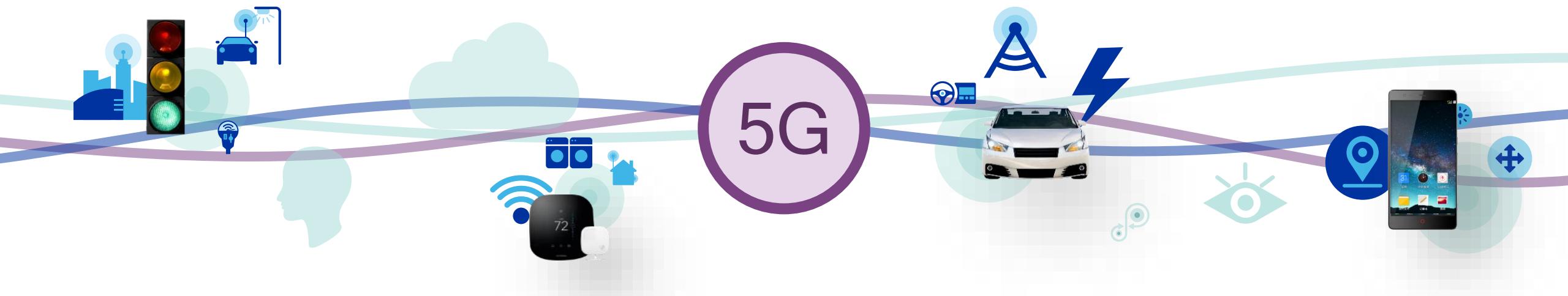


5G NR radio access designed to utilize LTE anchor for mobility management (non-standalone) or operate stand-alone with new multi-access 5G NextGen Core Network (NGCN)

The path to 5G includes a strong LTE foundation



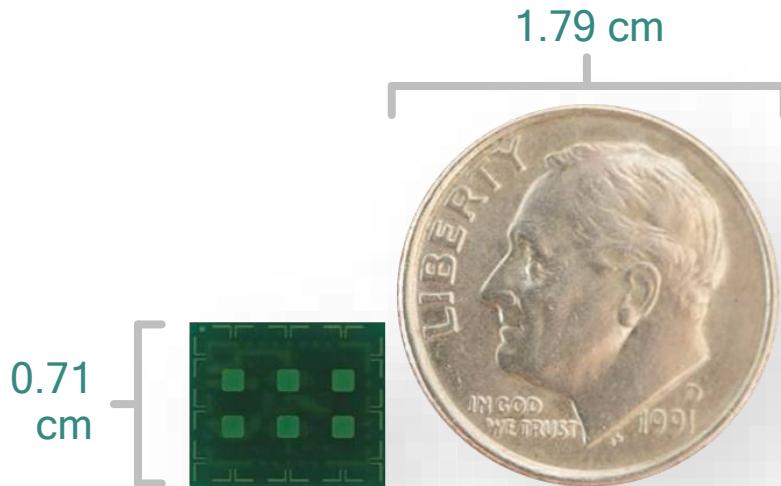
Anyone can talk about 5G. We are creating it.



We are driving technology innovations to mobilize mmWave

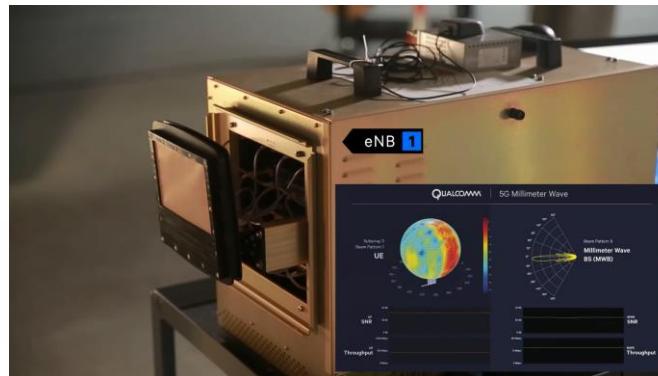
Working with operators on trials & early deployments starting late 2017/early 2018¹

802.11ad 60 GHz chipset
commercial for mobile devices



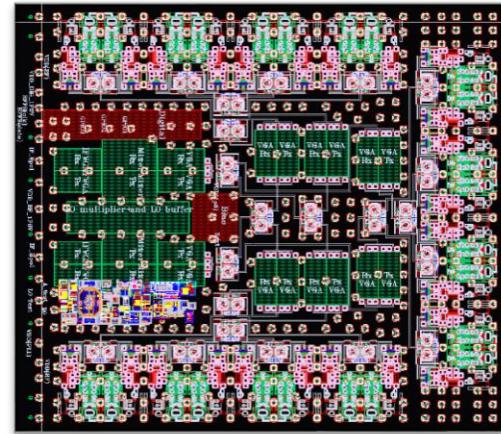
Qualcomm® VIVE™ 802.11ad
60 GHz technology with
a 32-antenna array

5G mmWave prototype
system and trial platform



End-to-end system operating at
28 GHz demonstrating NLOS
operation and robust mobility

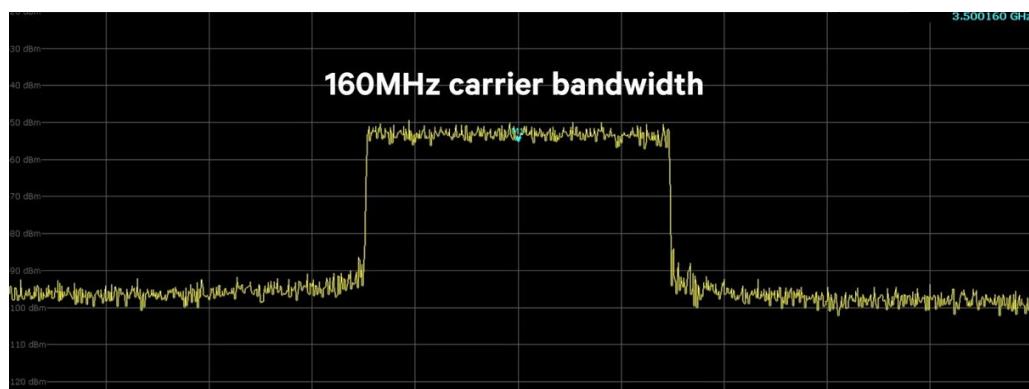
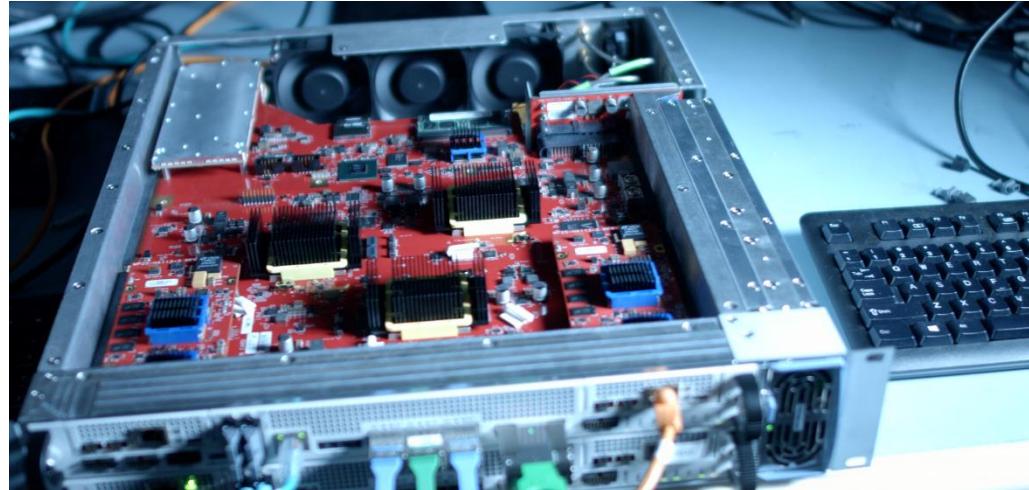
28 GHz mmWave
RFIC development



With integrated PA, LNA,
phase shifter, power splitters
for beamforming

Bringing new level of performance for sub-6 GHz

5G NR sub-6 GHz prototype system and trial platform



Operating in sub-6 GHz spectrum bands

Allows for flexible deployments with ubiquitous network coverage and a wide range of use cases

Achieving multi-Gbps at low latency

Showcases innovative Qualcomm 5G designs to efficiently achieve multi-gigabit per second data rates and low latency

Driving standardization on 5G NR

OFDM-based designs implemented on the prototype system are being utilized to drive 3GPP standardization

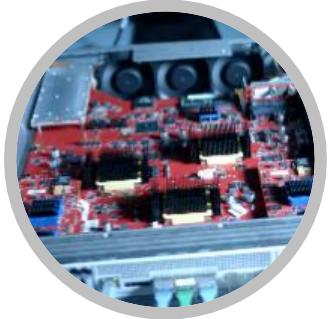
Will enable impactful 5G NR trials

Designed to flexibly track 3GPP standardization and be utilized as a trial platform for impactful and timely 5G NR trials

Watch the demo video at: <https://www.qualcomm.com/videos/5g-nr-sub-6ghz-prototype-system>

We are accelerating the path to 5G NR

Best-in-class 5G prototype systems and testbeds



5G standards, technology and research leadership



Impactful trials and early deployments with network operators



Modem and RFFE leadership to solve 5G complexity



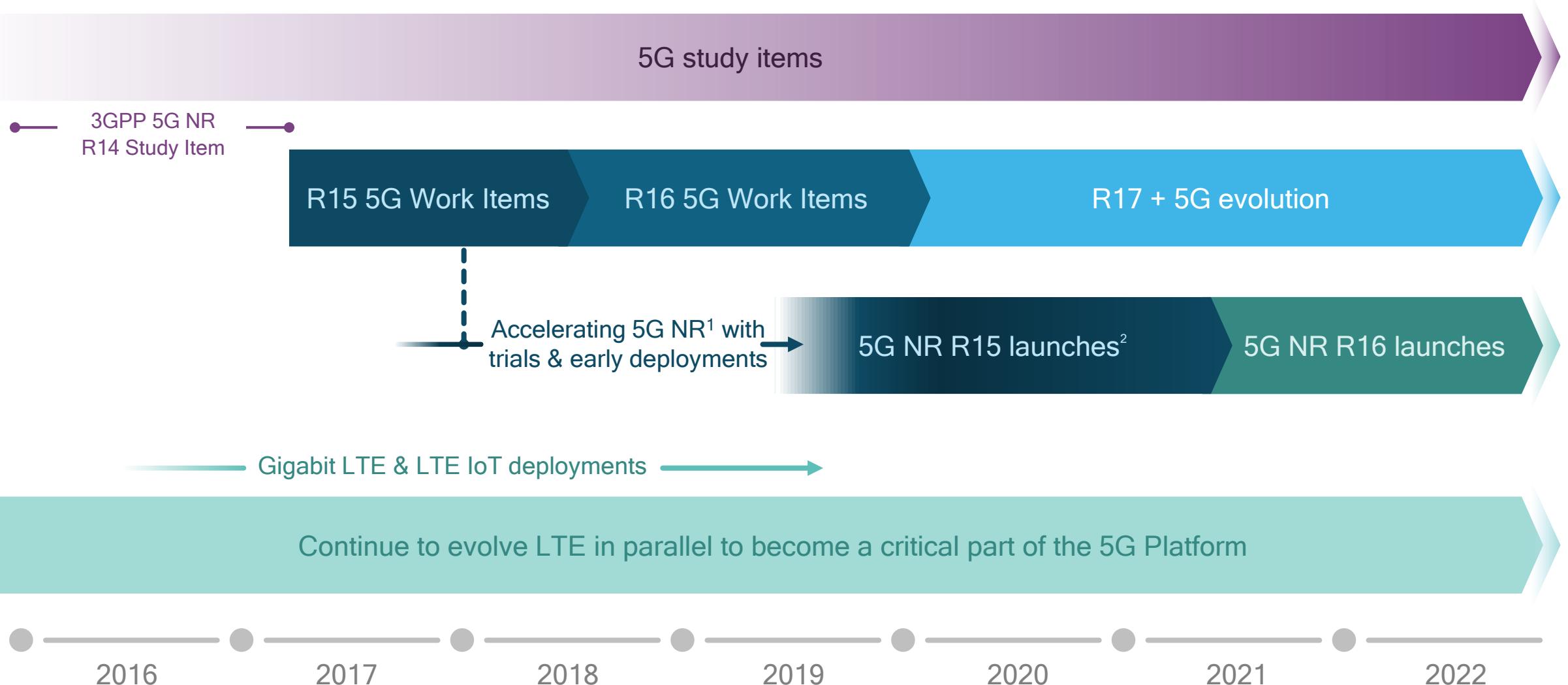
Test, demonstrate and verify our innovative 5G designs to contribute to and drive standardization

Such as advanced channel coding, self-contained subframe, mobilizing mmWave, ...

Over-the-air interoperability testing leveraging prototype systems and our leading global network experience

Roadmap to 5G significantly more complex and faster moving—builds upon our rich history of industry firsts

5G NR standardization progressing for 2019 launches

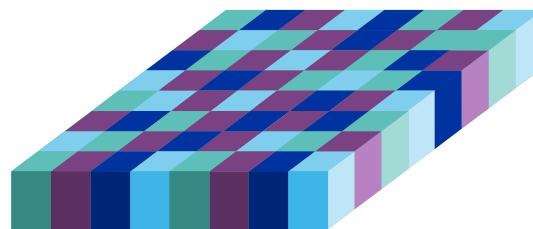


5G NR R15¹ will establish the 5G foundation

For enhanced mobile broadband and beyond

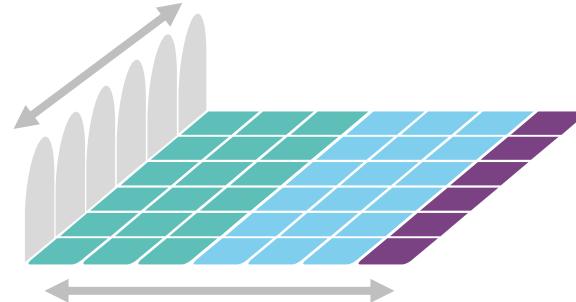
Optimized OFDM-based waveforms

With scalable numerology and TTI, plus optimized multiple access for different use cases



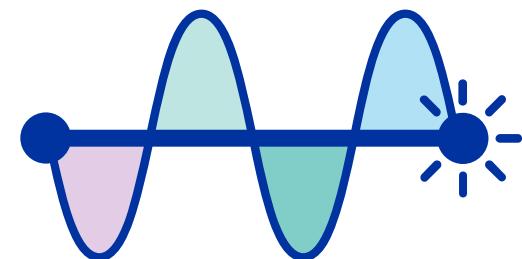
A common, flexible framework

To efficiently multiplex services and features with a dynamic, low-latency TDD/FDD design



Advanced wireless technologies

Such as massive MIMO, robust mmWave, advanced channel coding, and device-centric mobility



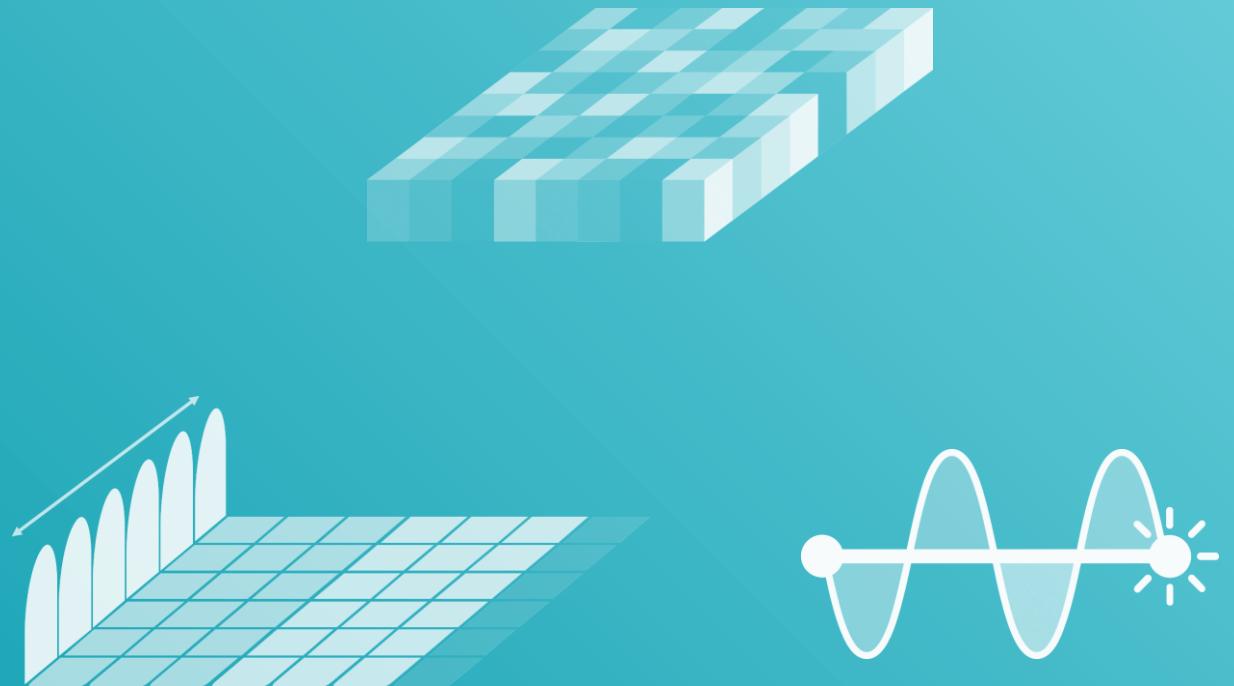
Unified design across spectrum types and bands

For licensed and shared/unlicensed spectrum bands both below 6 GHz and above 6 GHz²

¹ 3GPP R16+ will bring continued eMBB evolution, plus new features for massive IoT and mission-critical; ² 3GPP R15 focused on spectrum bands up to ~40 GHz; R16+ will bring support for bands up to ~100 GHz

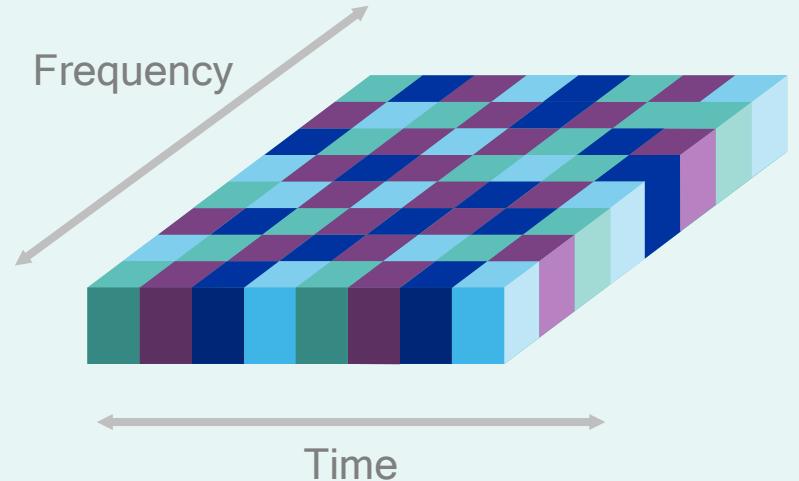
Designing 5G NR

Leading the technology innovations for
a unified, more capable 5G air interface



OFDM family is the right choice for 5G mobile broadband and beyond

Adapted for scaling to an extreme variations of 5G requirements



Spectral efficiency

Efficient framework for MIMO spatial multiplexing



Low complexity

Low complexity receivers even when scaling to wide bandwidths



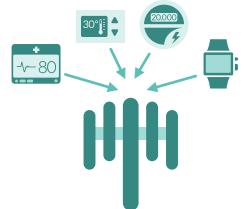
Frequency localization

Windowing can effectively minimize in-band and out-of-band emissions



Lower power consumption

Single-carrier OFDM well suited for efficient uplink transmissions



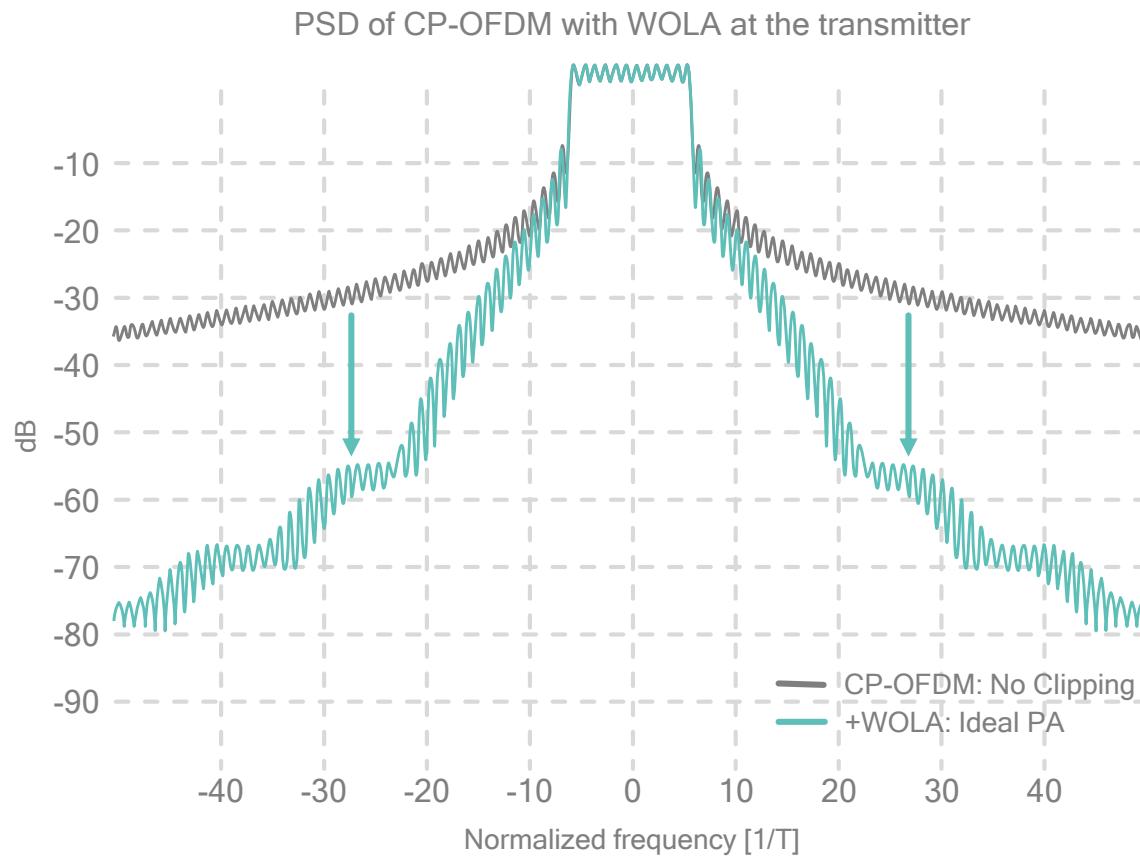
Asynchronous multiplexing

Co-exist with optimized waveforms and multiple access for wide area IoT

Efficient service multiplexing with windowed OFDM

OFDM with WOLA¹ windowing

Substantially increases frequency localization

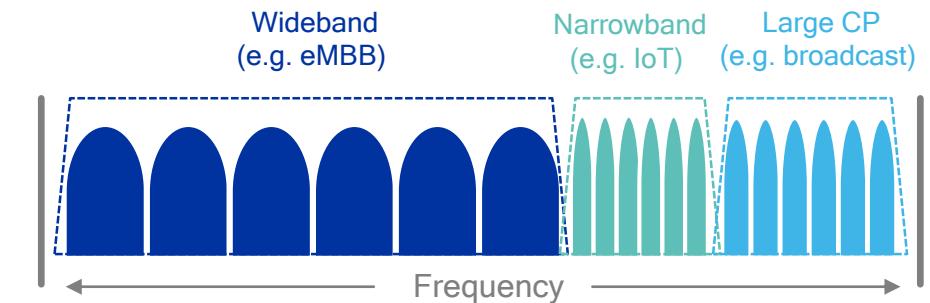


¹ Weighted Overlap Add

Source: Qualcomm Research, assuming 12 contiguous data tones, 60 symbols per run, 1000 runs. CP length is set to be roughly 10% of the OFDM symbol length. For Tx-WOLA, raised-cosine edge with rolloff $\alpha \approx 0.078$ is used.

Key for 5G service multiplexing

Mitigate interference between flexible sub-carriers



OFDM with WOLA windowing

Effectively reduces in-band and out-of-band emissions

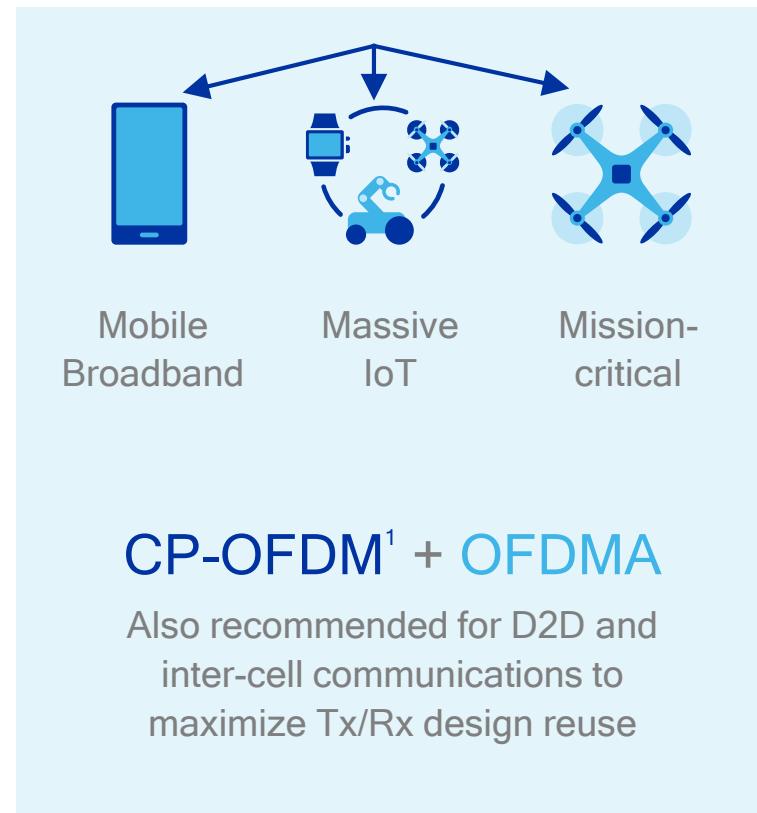
Windowed OFDM proven in LTE system today

Alternative OFDM-approaches, such as FBMC and UFMC, add complexity with marginal benefits

Optimizing for diverse services and deployments

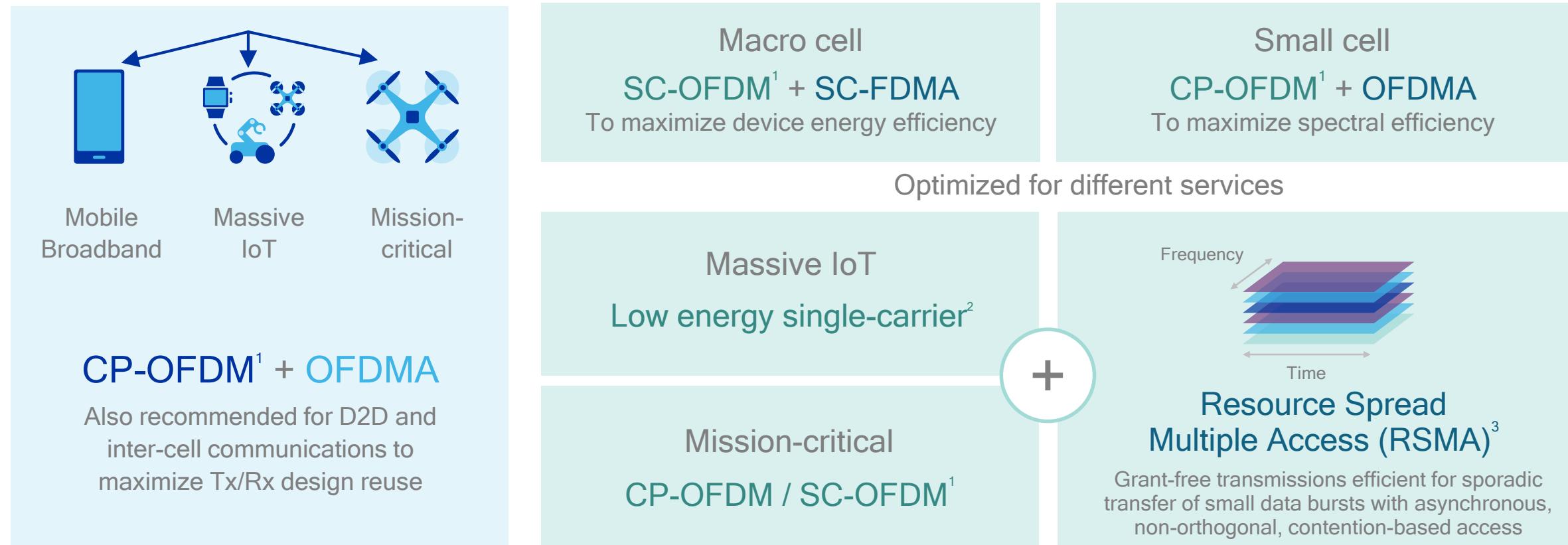
5G NR Downlink

Unified downlink design



5G NR Uplink

Optimized for different deployments



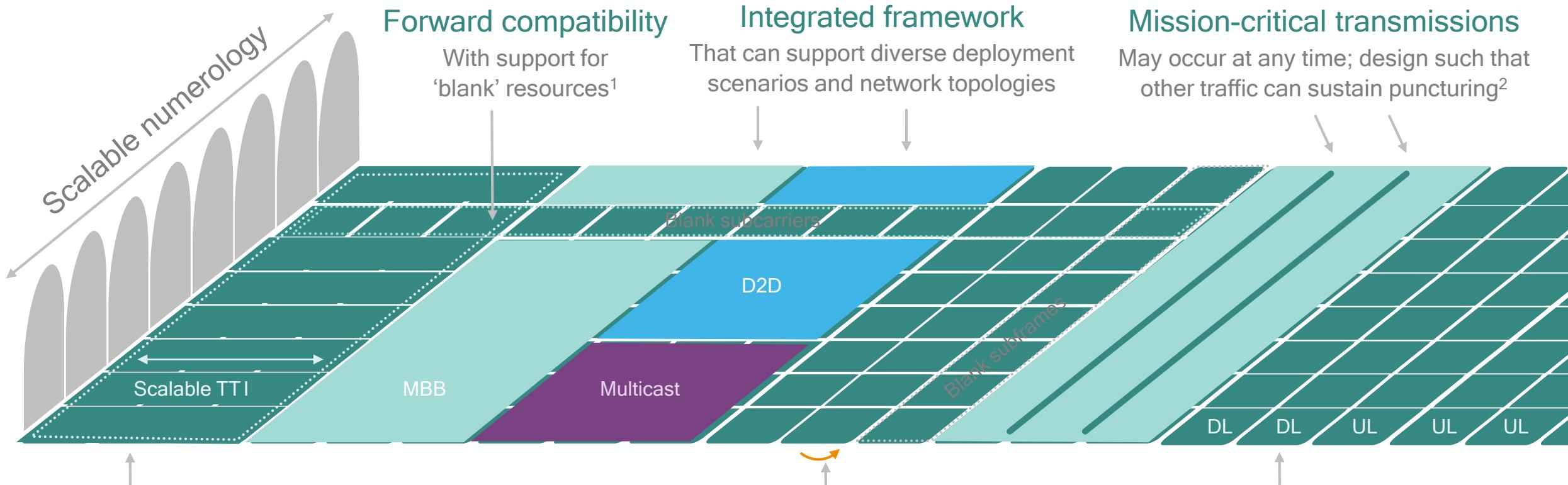
Download Qualcomm Research whitepaper for detailed analysis:

<https://www.qualcomm.com/documents/5g-research-waveform-and-multiple-access-techniques>



A flexible framework with forward compatibility

Efficiently multiplex envisioned and future 5G services on the same frequency

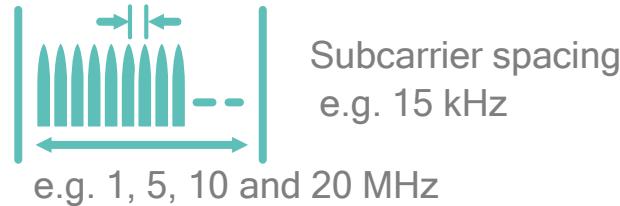


¹ Blank resources may still be utilized, but are designed in a way to not limit future feature introductions; ² Nominal 5G access to be designed such that it is capable to sustain puncturing from mission-critical transmission or bursty interference

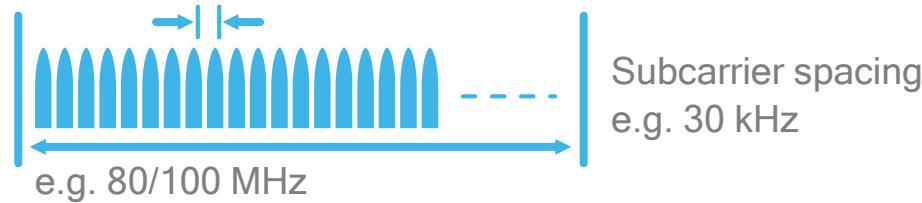
Scalable numerology with scaling of subcarrier spacing

Efficiently address diverse spectrum, deployments and services

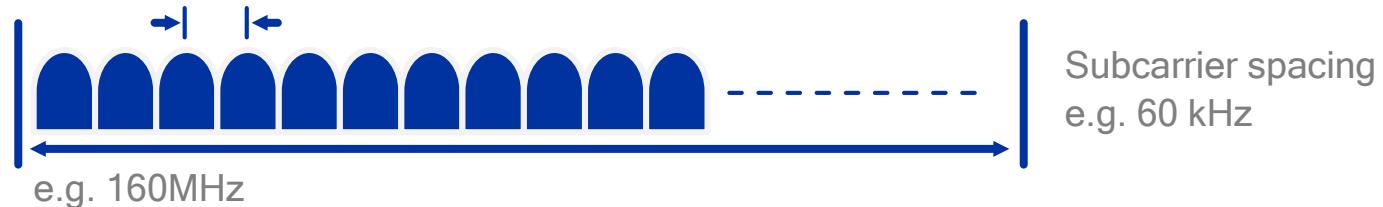
Outdoor and macro coverage
FDD/TDD < 3 GHz



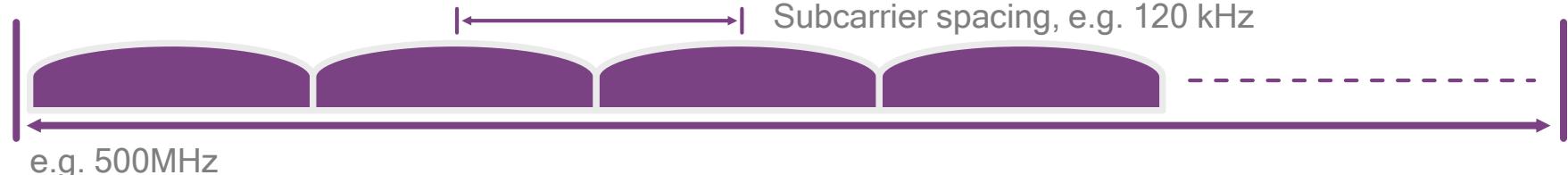
Outdoor and small cell
TDD > 3 GHz



Indoor wideband
TDD e.g. 5 GHz (Unlicensed)



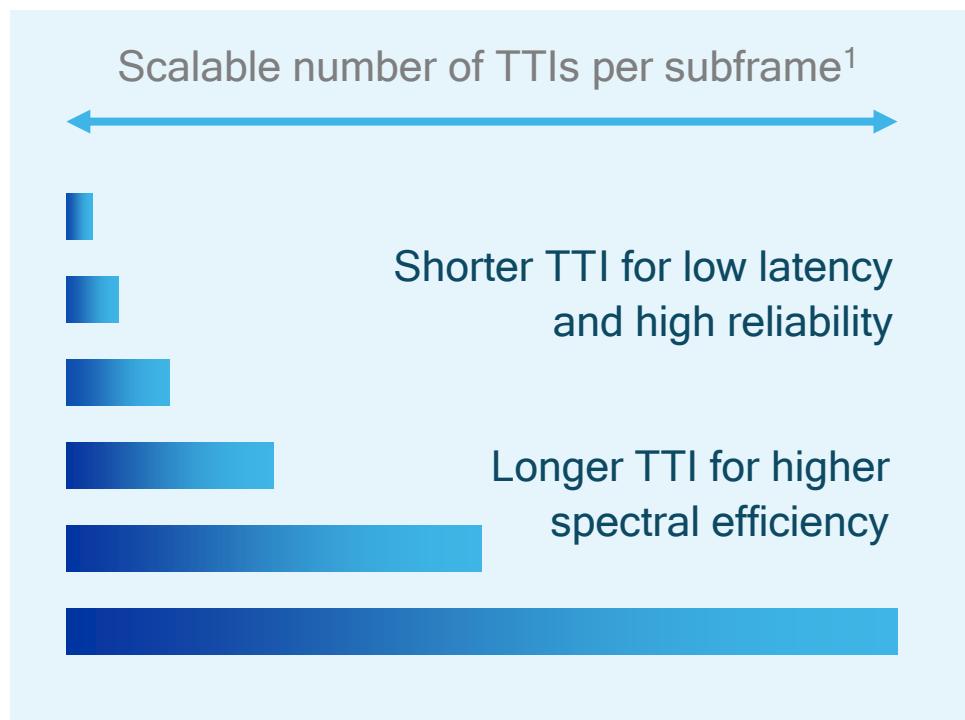
mmWave
TDD e.g. 28 GHz



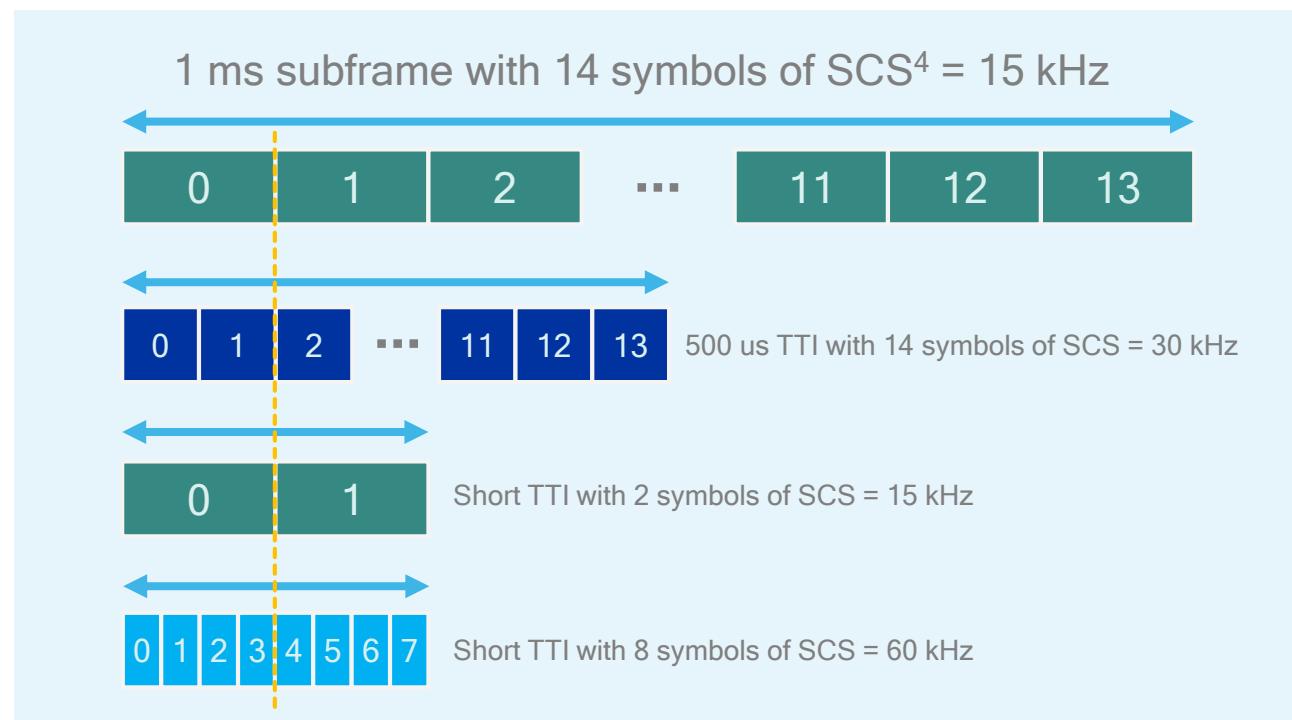
Example usage models and channel bandwidths

Scalable Transmission Time Interval (TTI)

Scalable TTI for diverse latency and QoS requirements



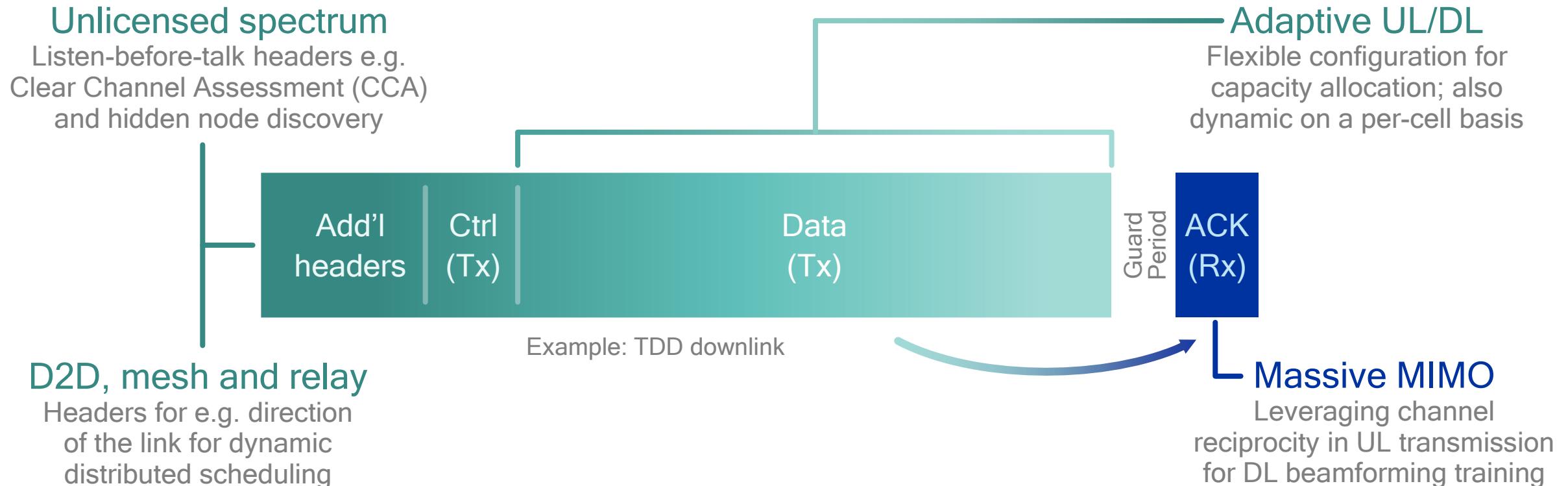
Efficient multiplexing of long & short TTIs to allow transmissions to start on symbol boundaries^{2,3}



¹ Further bundling of TTIs possible; ² Symbols across numerologies align at symbol boundaries; ³ TTI spans integer number of symbols; ⁴ Subcarrier spacing

Self-contained integrated subframe design

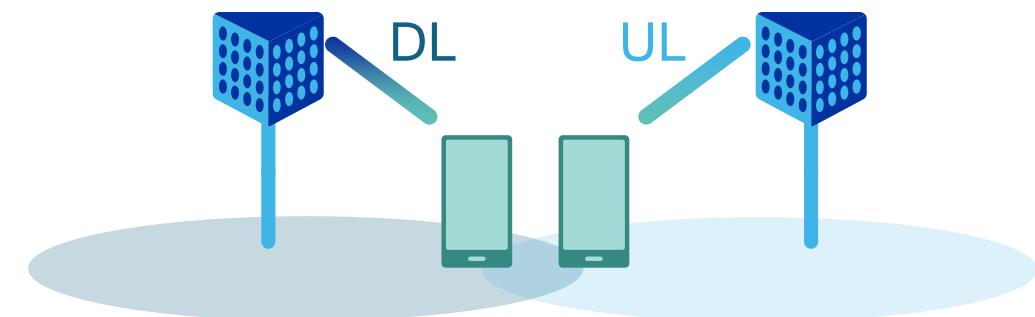
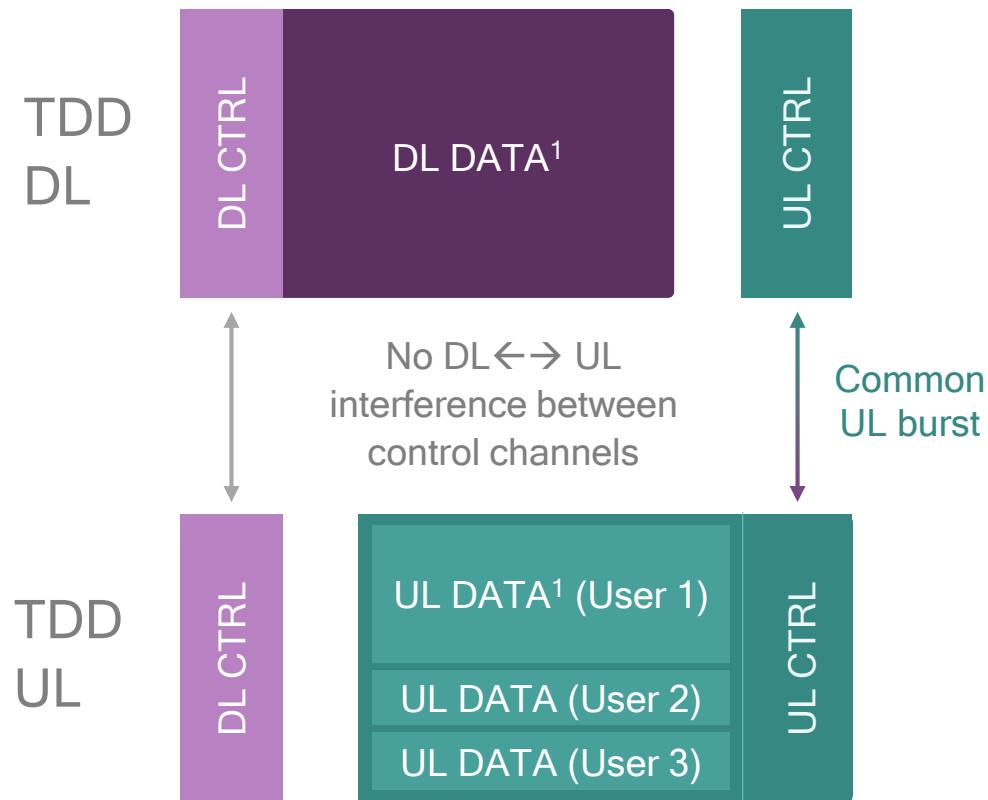
UL/DL scheduling info, data and acknowledgement in the same sub-frame



Faster, more flexible TDD switching and turn around,
plus support for new deployment scenarios and forward compatibility

New self-contained TDD design enables new use cases

Eliminates control channel interference to allow for robust, dynamic DL/UL switching



- Allows for robust, dynamic DL/UL switching driven by different loading and traffic types
- Enables integrated access and backhaul co-channel deployments for mmWave

¹ Can also be control information

5G NR design innovations across diverse services

Massive IoT

- Low complexity narrowband
- Low power modes for deep sleep
- Efficient signaling
- Grant-free uplink transmissions
- Optimized link budget
- Managed multi-hop mesh



Enhanced Mobile Broadband

- Wider bandwidths
- Mobilizing mmWave
- Shared spectrum
- Device-centric mobility

Mission-Critical Control

- Low-latency with bounded delay
- Efficient multiplexing with nominal traffic
- Grant-free uplink transmissions
- Simultaneous redundant links
- Reliable device-to-device links
- Optimized PHY/pilot/HARQ

- Dynamic, low-latency TDD/FDD
- Massive MIMO
- Advanced channel coding
- Native HetNet and multicast support

5G

Enhancing mobile
broadband

Extreme throughput
Ultra-low latency
Uniform experience

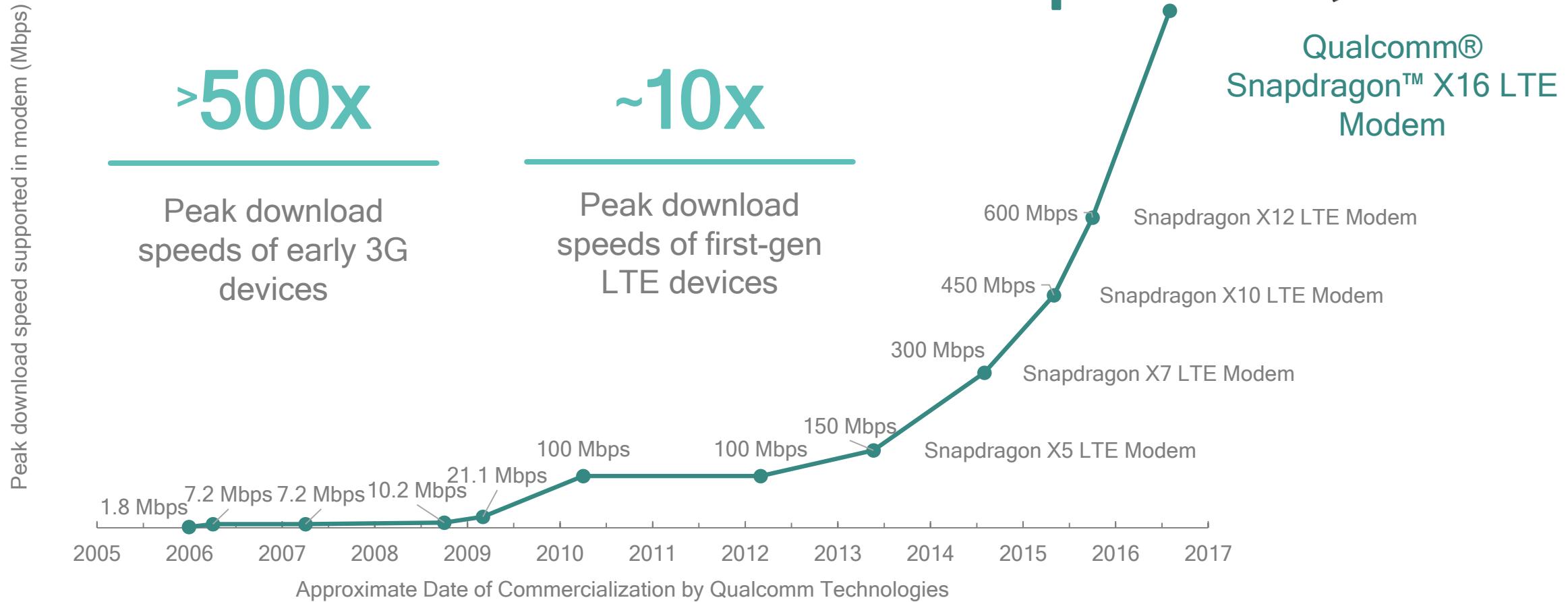


Breaking the Gigabit barrier in LTE today

The first real glimpse of our 5G future



1 Gbps



Continuing to evolve LTE for enhanced mobile broadband

Pioneering 5G technologies and ensuring a consistent user experience as 5G rolls out



Gbps+ peak rates
More uniform experience
Better coverage
Significantly lower latencies

Carrier Aggregation evolution—wider bandwidths

Aggregating more carriers, diverse spectrum types and across different cells

LTE in unlicensed spectrum

Make the best use of the vast amounts of unlicensed spectrum available

TDD/FDD evolution—faster, more flexible

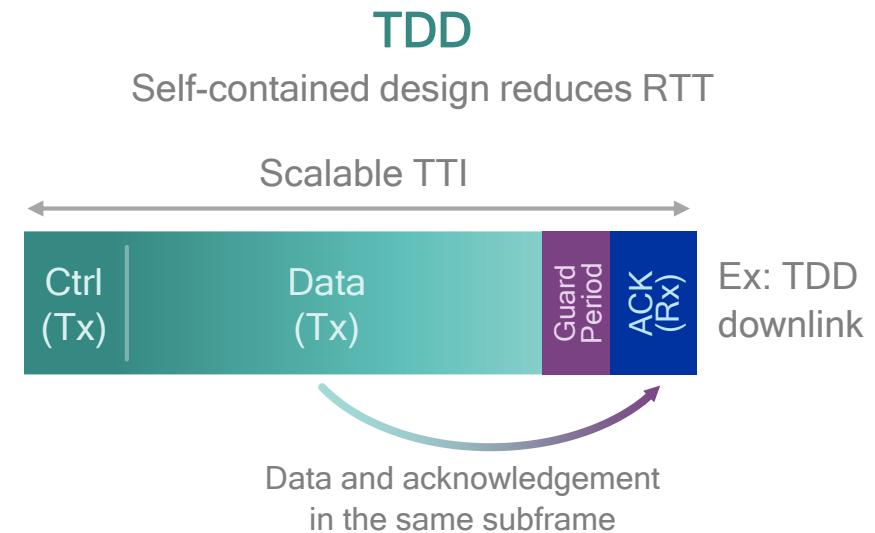
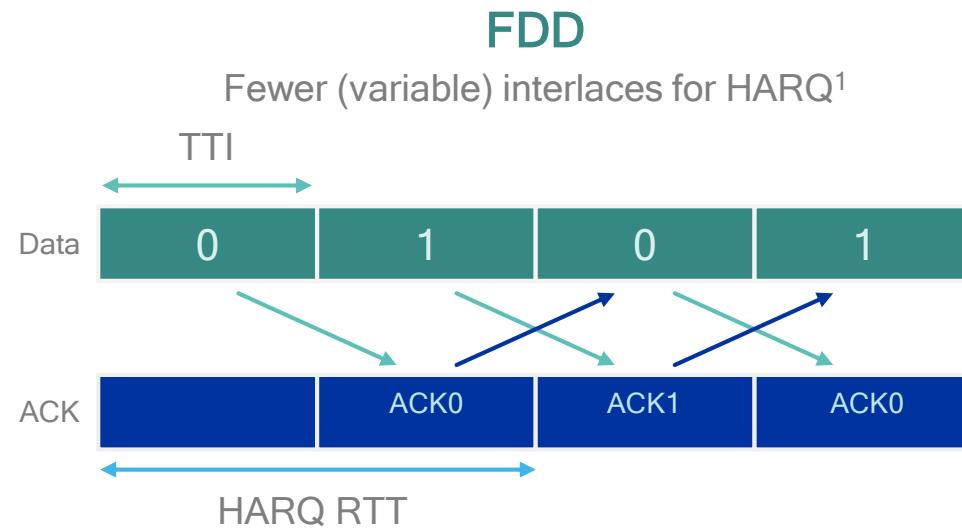
Enable significantly lower latency, adaptive UL/DL configuration, and more

Many more antennas—path to massive MIMO

Exploit 3D beamforming (FD-MIMO) to increase capacity and coverage

Designing 5G NR for significantly lower latency

10x lower latency than today's LTE networks



Improved performance by addressing TCP/UDP throughput limitations

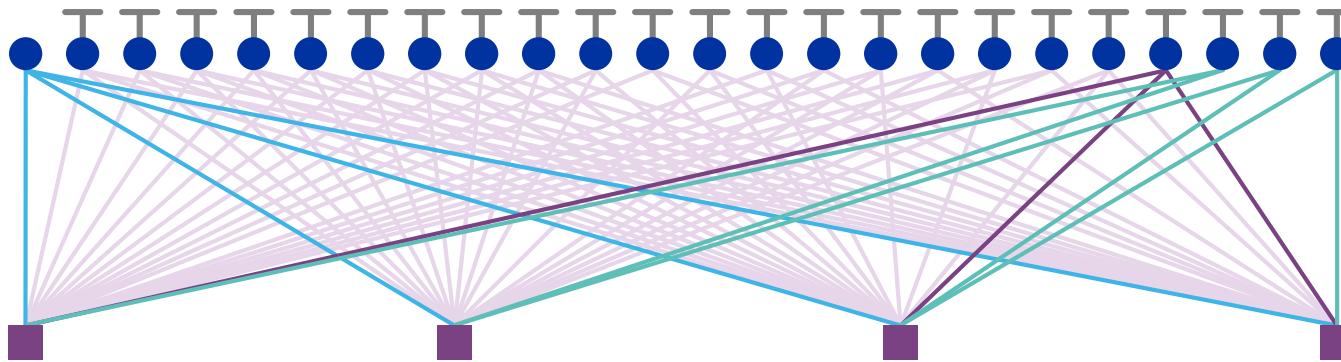
Better user experience for real-time applications such as Video-over-IP applications

Address new latency-critical apps such as command-and-control of drones

¹ Compared to LTE's 8 HARQ interlaces

Delivering advanced 5G NR channel coding

ME-LDPC¹ codes more efficient than today's LTE Turbo codes at higher data rates



Example ME-LDPC Basegraph

High Efficiency

Significant gains over LTE Turbo
- particularly for large block sizes
suitable for MBB

Low Complexity

Easily parallelizable decoder
scales to achieve high
throughput at low complexity

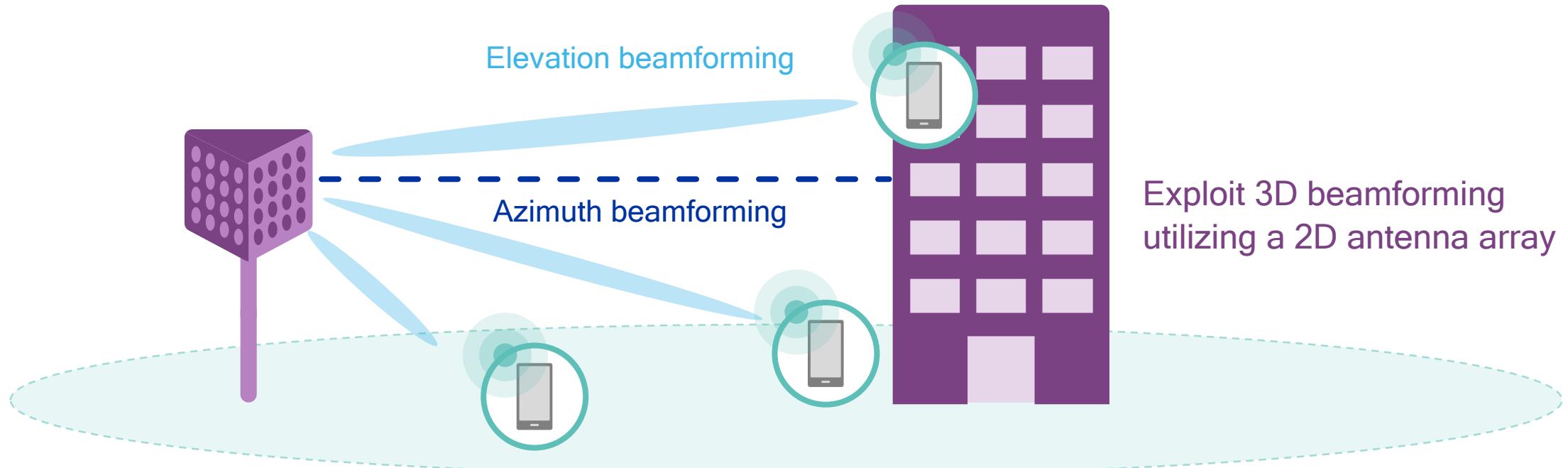
Low Latency

Efficient encoding/decoding
enables shorter TTI

Also exploring alternative channel coding for mission-critical and massive IoT traffic²

Many more antennas to increase coverage and capacity

Evolving towards Massive MIMO



LTE Today

Fixed codebook for up to 8-antenna elements with azimuth beamforming only

LTE Rel. 13 (FD-MIMO)

2D codebook support for 8-, 12- and 16-antenna elements with Reference Signal enhancements for beamforming

5G NR Rel. 15 (Massive MIMO)

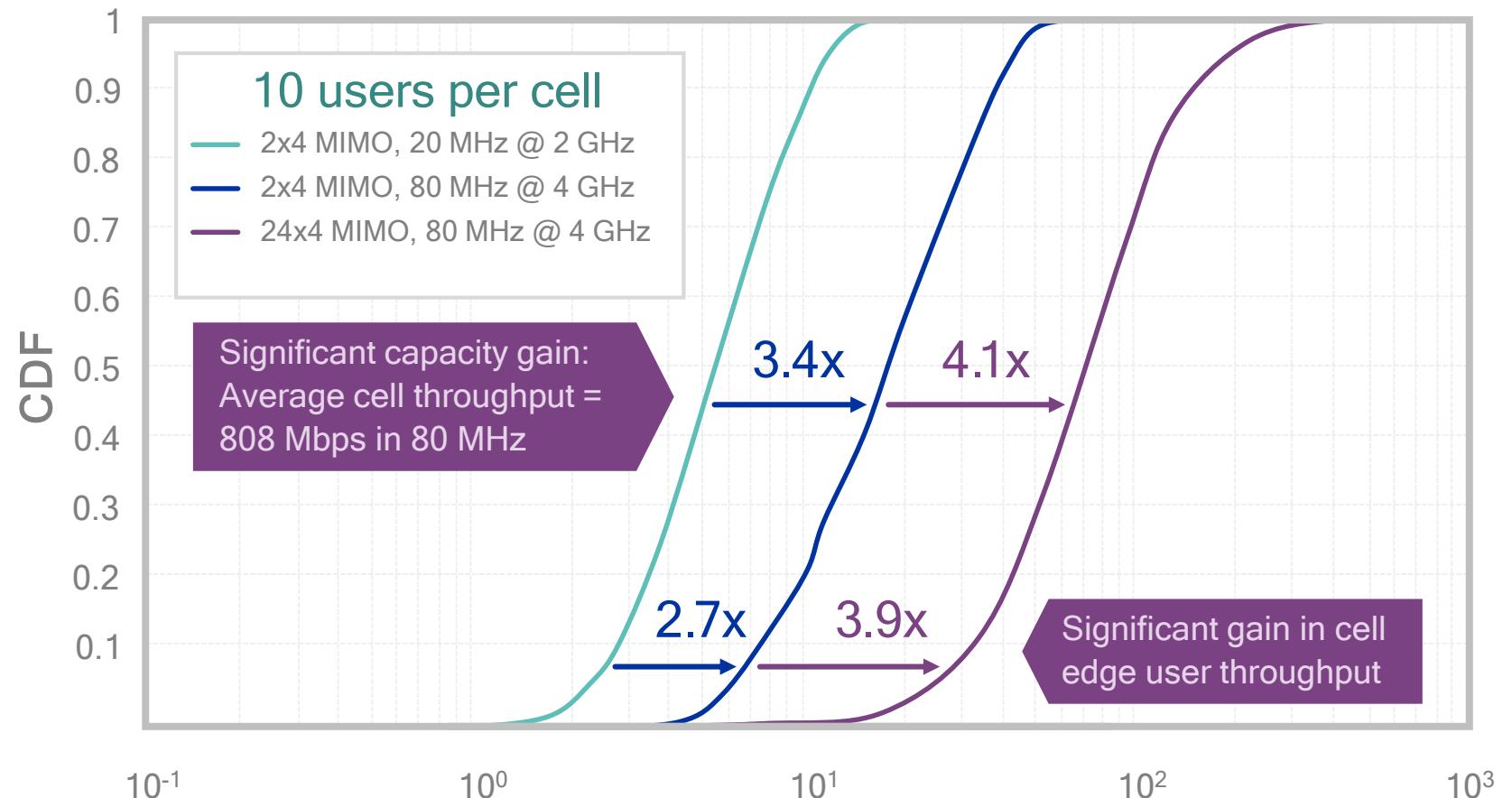
Support even larger # of antenna elements (up to 256) with new features, e.g. hybrid beamforming, distributed MIMO

Massive MIMO is a key enabler for higher spectrum bands

Allows reuse of existing sites and same transmit power at e.g. 4 GHz



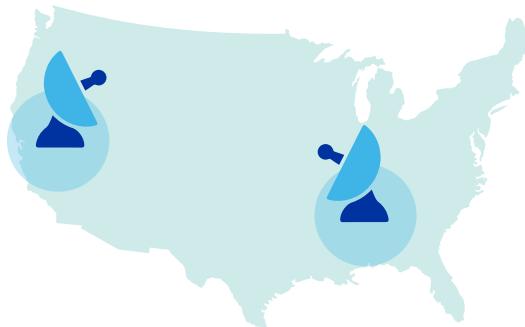
- 1.7 km inter-site distance
- 46 dBm transmit power



Shared/unlicensed spectrum is important for 5G

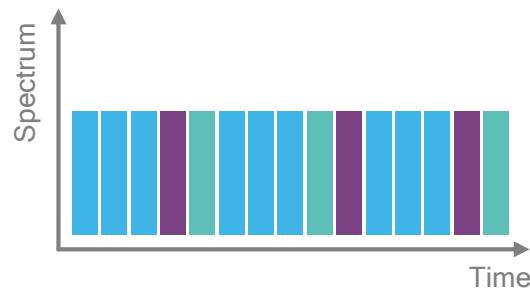
Unlocking more spectrum

Shared spectrum can unlock spectrum that is lightly used by incumbents



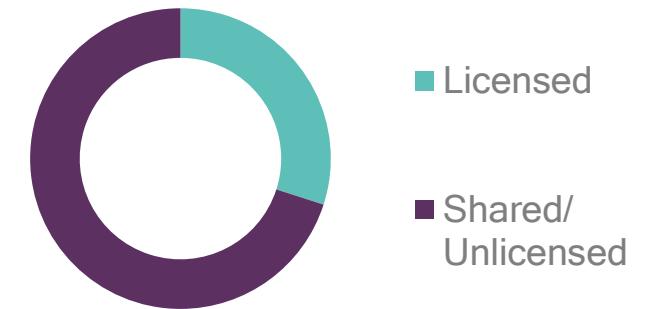
High spectrum utilization

Spectrum sharing has the potential to increase spectrum utilization



A lot of spectrum may be shared/unlicensed

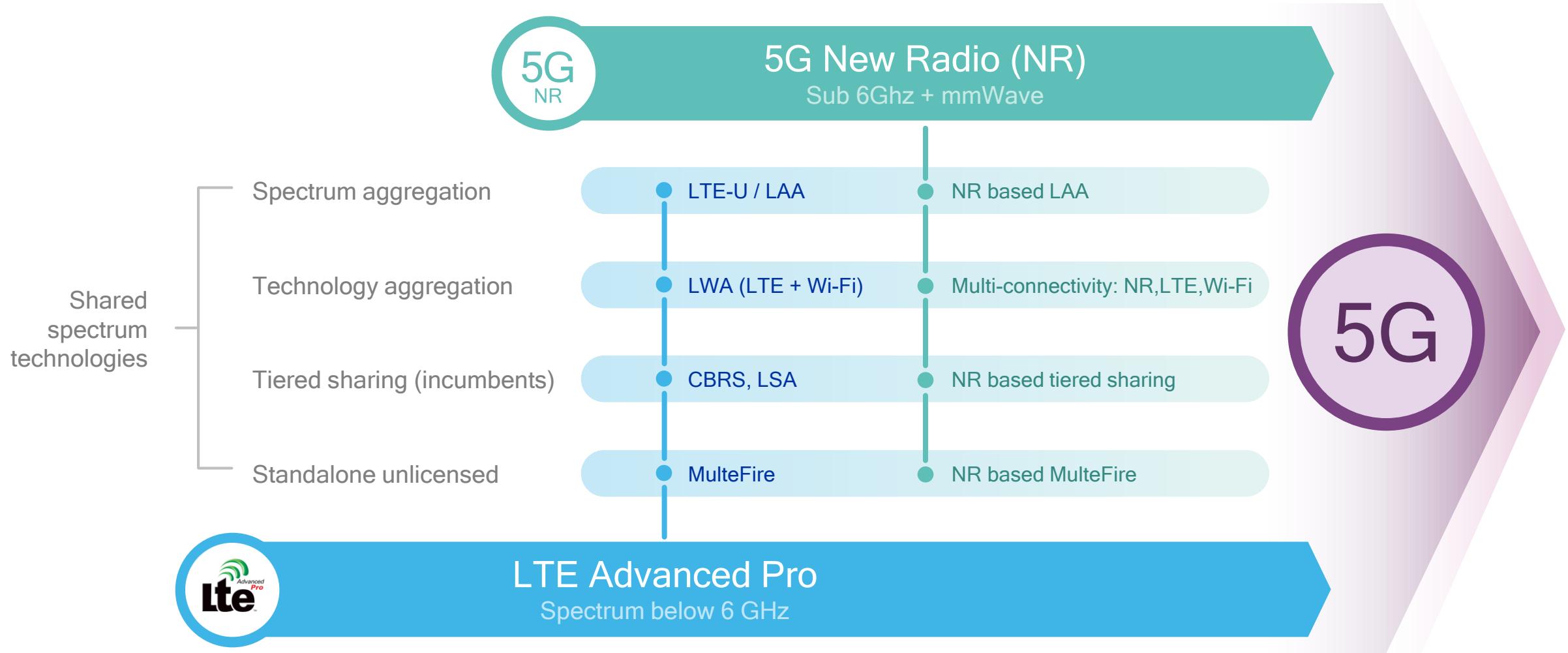
FCC recent decision on high-band spectrum included a significant portion of shared/unlicensed¹



¹) FCC ruling FCC 16-89 on 7/14/2016 allocated 3.25 MHz of licensed spectrum and 7.6 MHz of shared/unlicensed spectrum.

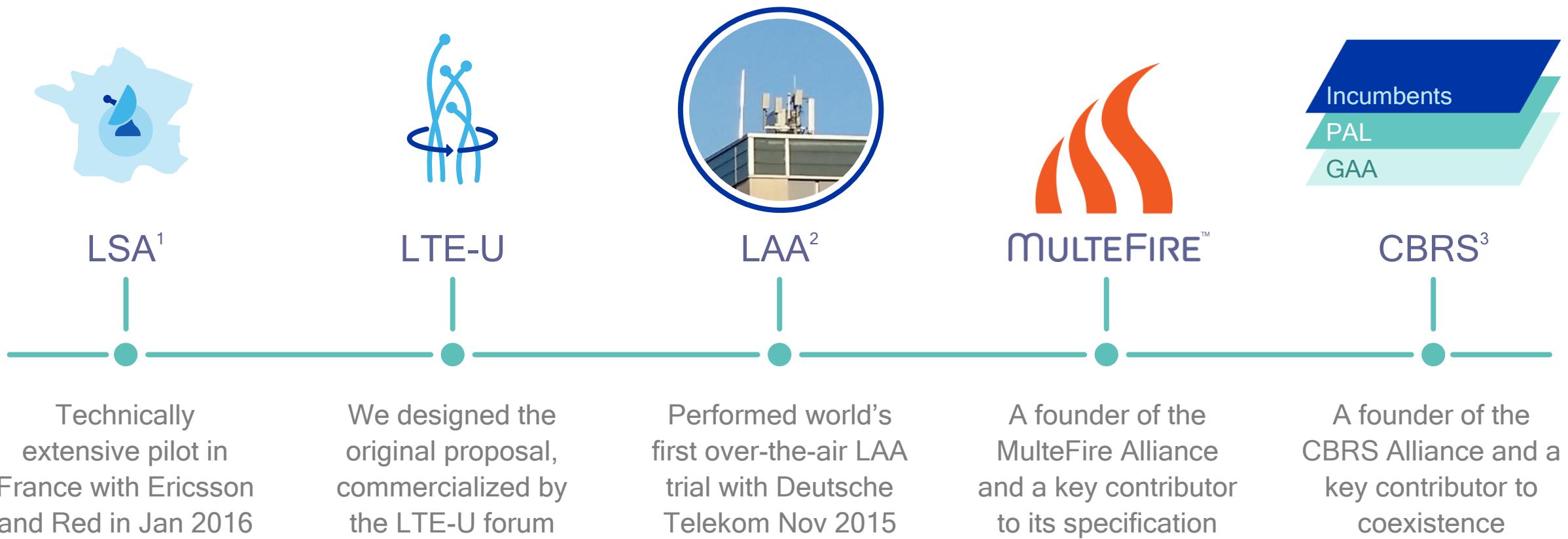
We are pioneering 5G shared spectrum today

Building on LTE-U/LAA, LWA, CBRS/LSA and MulteFire¹



¹) Licensed-Assisted Access (LAA), LTE Wi-Fi Link Aggregation (LWA), Citizen Broadband Radio Service (CBRS), Licensed Shared Access (LSA)

Pioneered shared/unlicensed spectrum in 4G LTE



1) Licensed Shared Access (LSA); 2) Licensed-Assisted Access (LAA); 3) Citizen Broadband Radio Service (CBRS), Priority Access Licenses (PAL), General Authorized Access (GAA)

Realizing the mmWave opportunity for mobile broadband

Extreme bandwidth opportunity

- Extreme bandwidths capable of Multi-Gbps data rates
- Flexible deployments (integrated access/backhaul)
- High capacity with dense spatial reuse

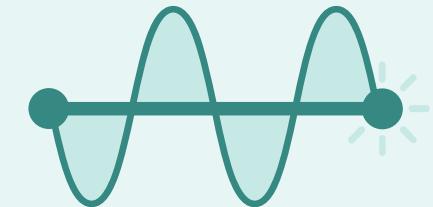
Mobilizing mmWave challenge

- Robustness due to high path loss and susceptibility to blockage
- Device cost/power and RF challenges at mmWave frequencies



Smart beamforming and beam tracking

Increase coverage and minimize interference



Tight interworking with sub 6 GHz

Increase robustness, faster system acquisition

Optimized mmWave design for mobile

To meet cost, power and thermal constraints

Learn more at: www.qualcomm.com/documents/promise-5g-mmwave-how-do-we-make-it-mobile

Mobilizing mmWave—live demonstration of our prototype

Millimeter Wave UE



Millimeter wave base station



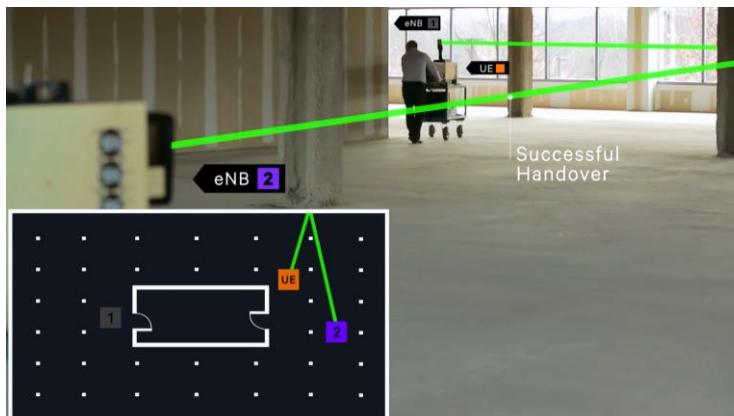
Beamforming and scanning



Non-line-of-sight through reflection



Handover



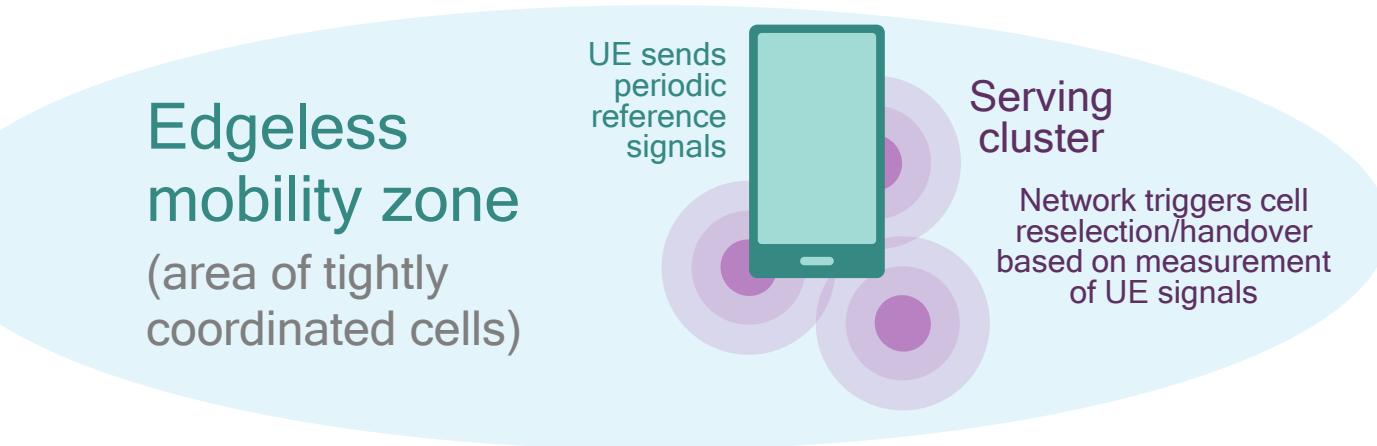
Outdoor



Learn more at: www.qualcomm.com/videos/mobilizing-mmwave-5g

Device-centric mobility management in 5G NR

Control plane improvements to improve energy and overhead efficiency

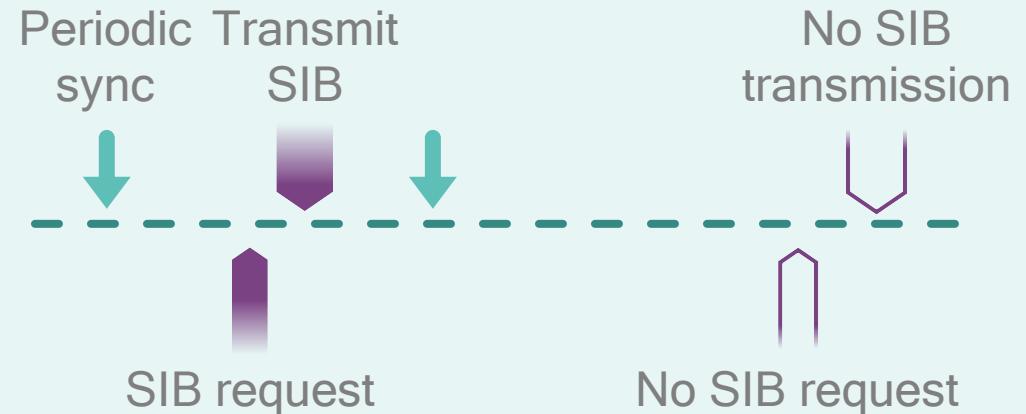


Lightweight mobility for device energy savings

- Apply COMP-like¹ concepts to the control plane
- Intra-zone mobility transparent to the device

Less broadcast for network energy savings

- Low periodic beacon for initial discovery of device(s)
- On-demand system info (SIB) when devices present²



¹ Coordinated MultiPoint is an LTE Advanced feature to send and receive data to and from a UE from several access nodes to ensure the optimum performance is achieved even at cell edges;

² Minimum system information is broadcast periodically, other system information available on demand; may dynamically revert to broadcast system info when needed, e.g. system info changes

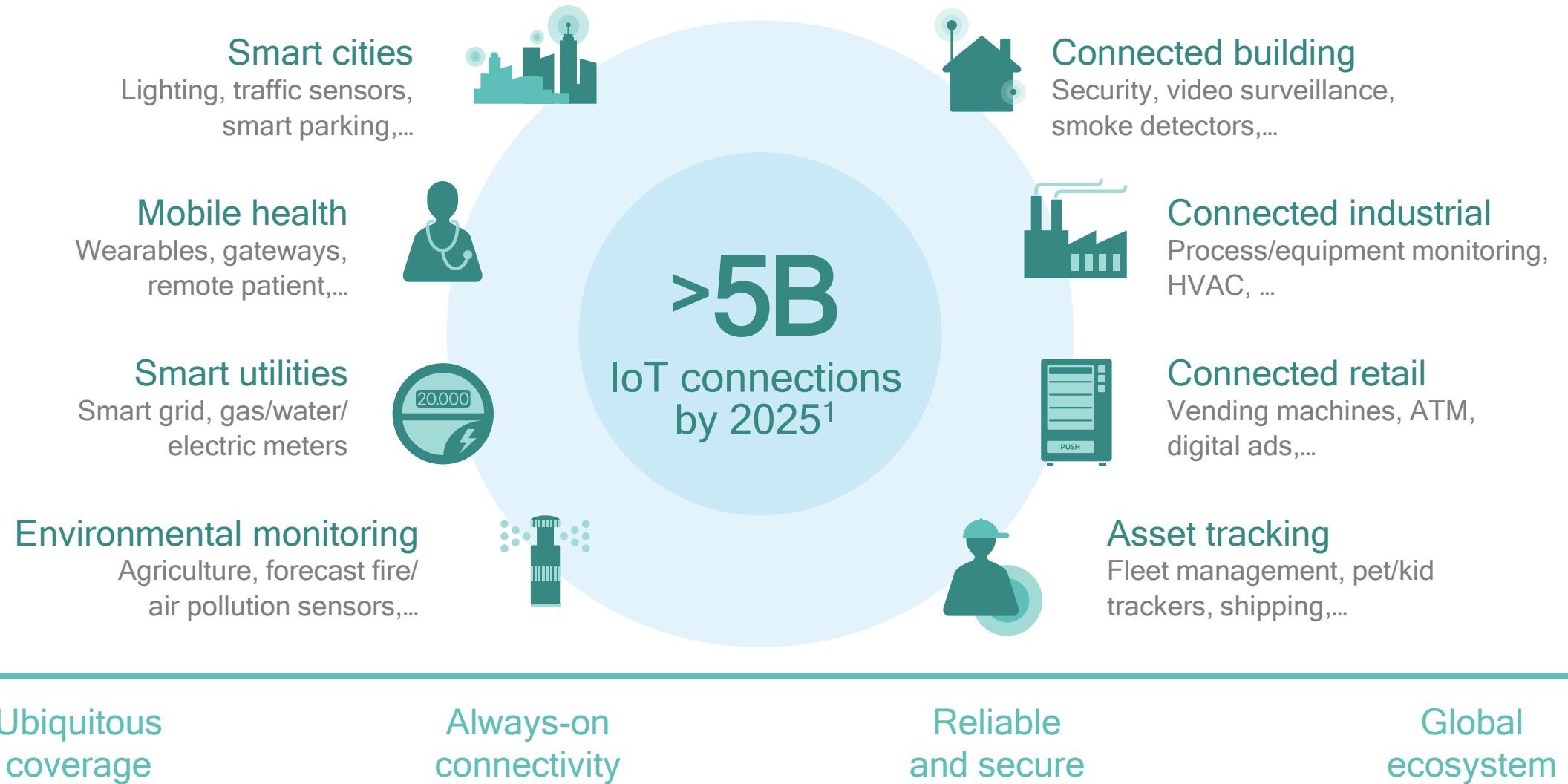
The background of the slide features a large construction site at dusk or night. Several tall construction cranes are silhouetted against a dark sky. In the center, a modern skyscraper with a glass facade is under construction, its structure illuminated from within. The overall atmosphere is industrial and futuristic.

5G

Connecting massive
Internet of Things

Power efficient
Low complexity
Long range

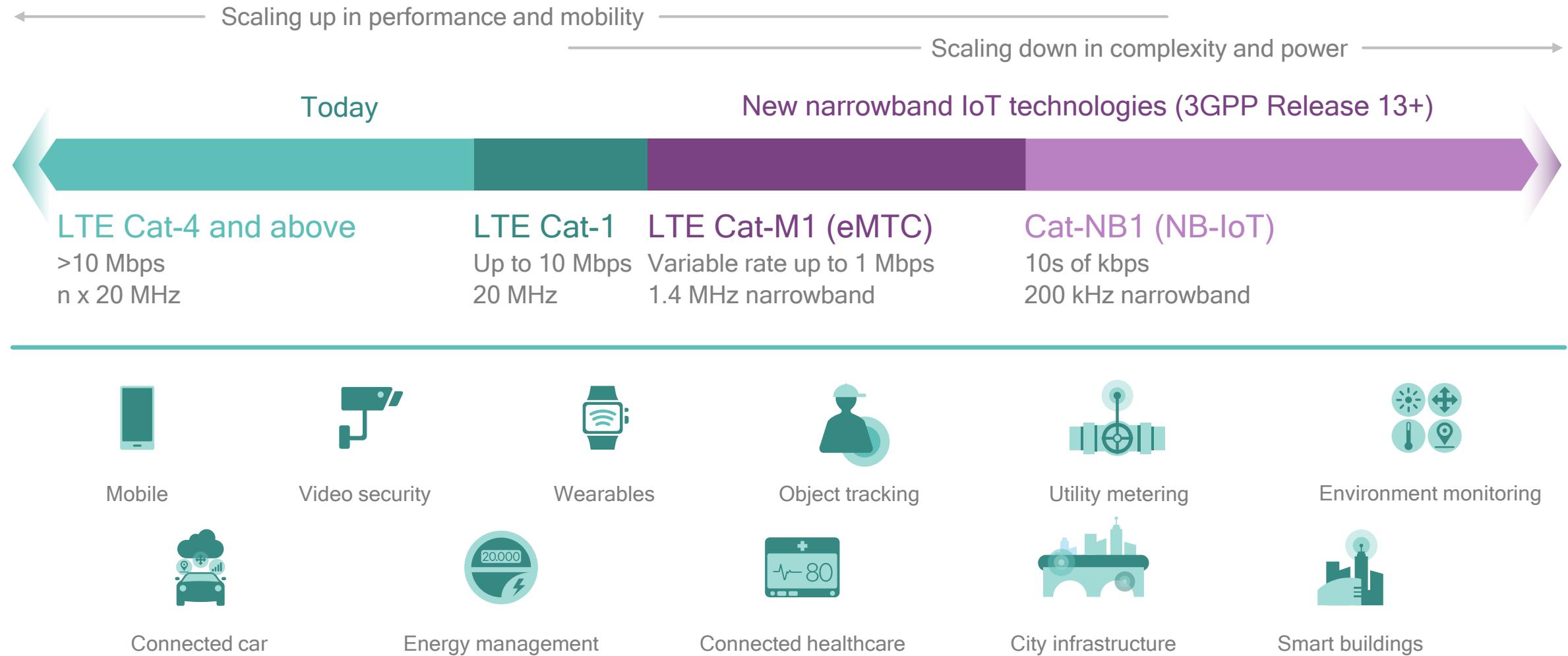
Cellular technologies enable a wide range of IoT services



¹ Including Cellular & LPWA M2M connections, Machina Research, June, 2016

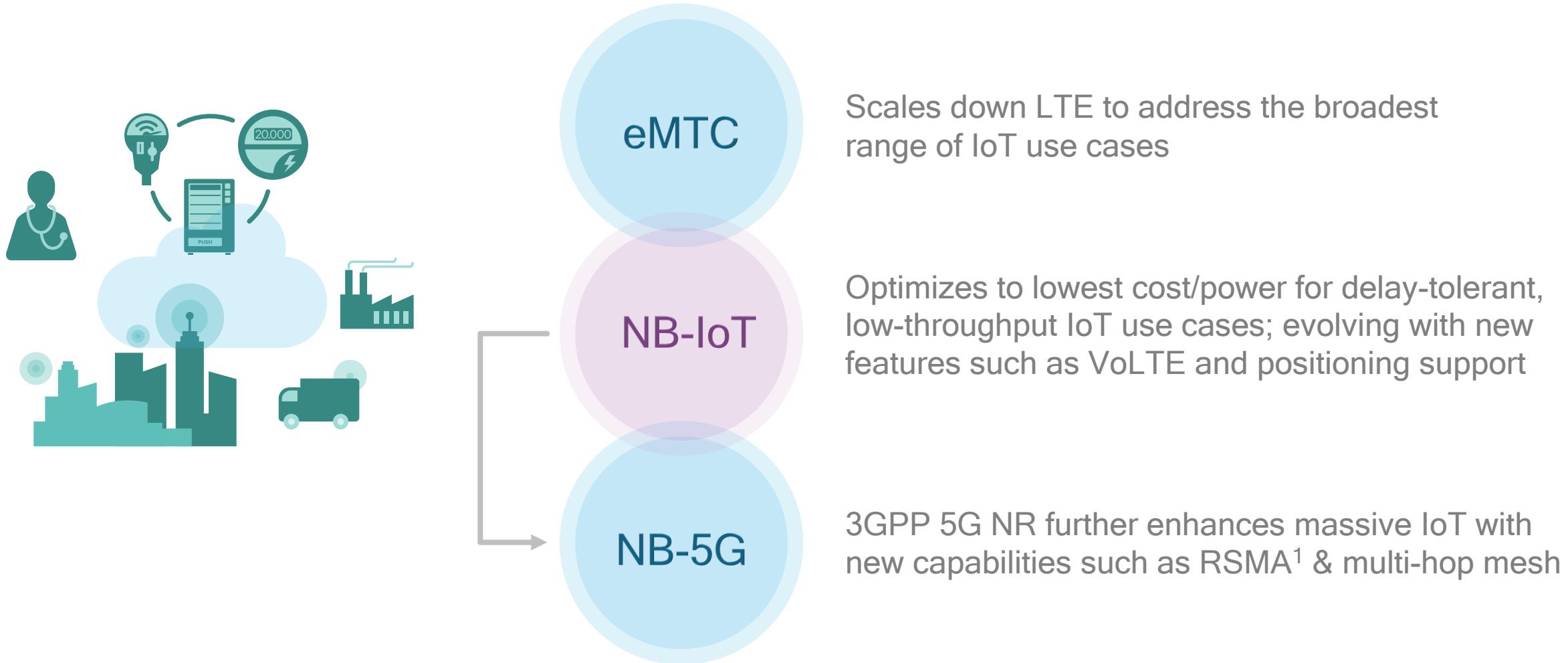
We are evolving LTE for the Internet of Things

Paving the path to Narrowband 5G for massive IoT



5G NR will bring new capabilities for the massive IoT

NB-IoT continuing to evolve beyond Release 13—foundation of Narrowband 5G



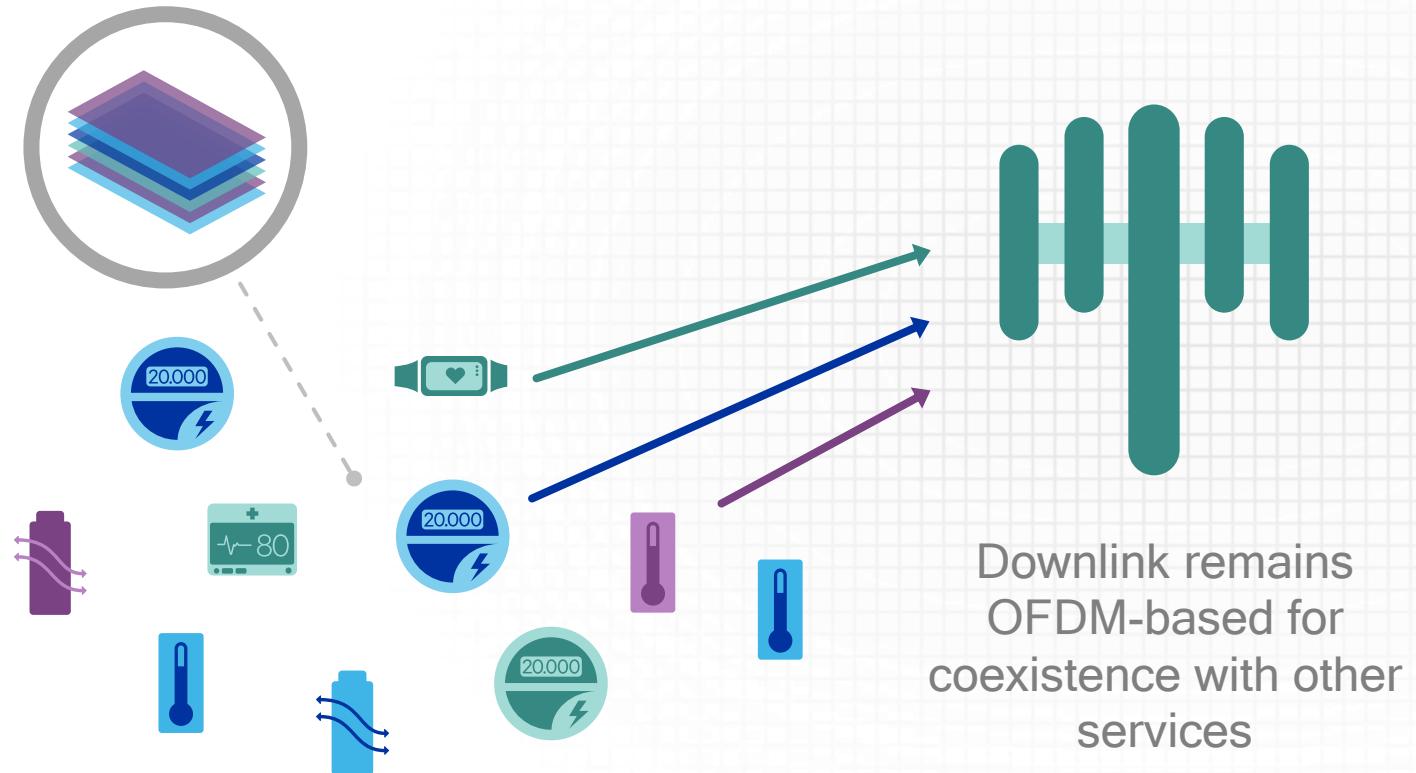
¹ Resource Spread Multiple Access

Non-orthogonal RSMA for efficient IoT communications

Characterized by small data bursts in uplink where signaling overhead is a key issue

Grant-free transmission of small data exchanges

- Eliminates signaling overhead for assigning dedicated resources
- Allows devices to transmit data asynchronously
- Capable of supporting full mobility

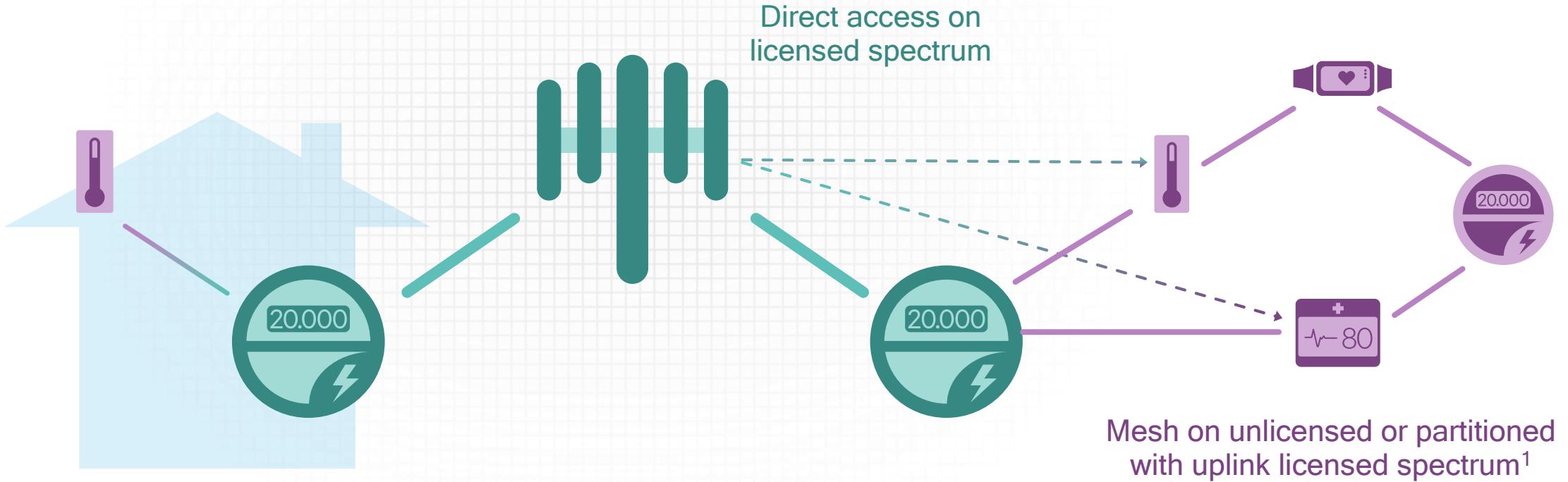


Increased
battery life

Scalability to
massive # of things

Better link
budget

Support for multi-hop mesh with WAN management



Problem: Uplink coverage

Due to low power devices and challenging placements, in e.g. basement

Solution: Managed uplink mesh

Uplink data relayed via nearby devices—uplink mesh but direct downlink.

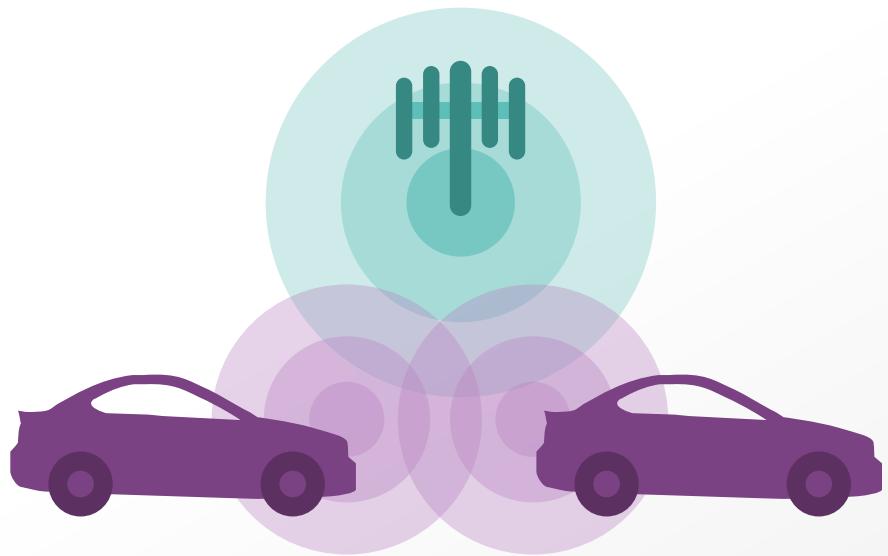
5G

Enabling mission-critical
services

High reliability
Ultra-low latency
High availability

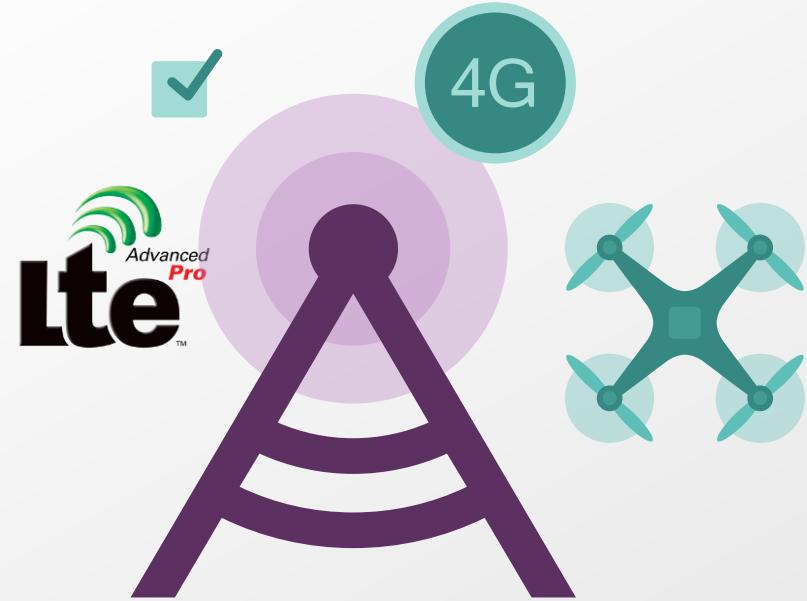


We are pioneering mission-critical services with LTE today



Cellular Vehicle-to-Everything (C-V2X)

Actively driving C-V2X 3GPP Release 14 Work Item and beyond, building upon our leadership in LTE Direct and LTE Broadcast

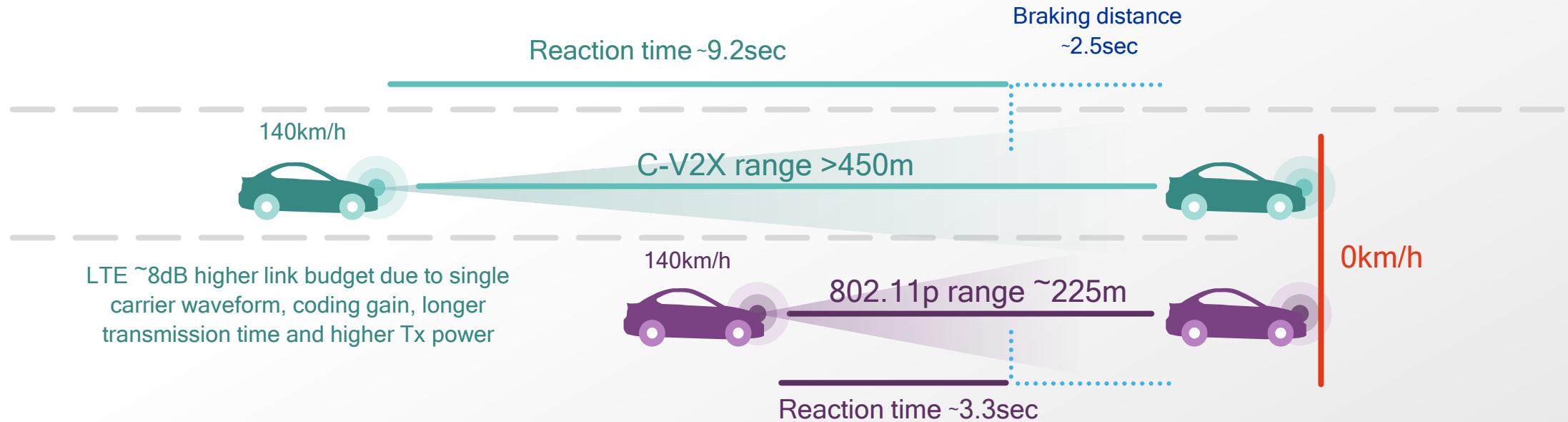


Cellular drone communications

Testing drone operation on commercial 4G LTE networks at FAA-authorized UAS Flight Center, representing “real world” conditions

Pioneering C-V2X with rich roadmap to 5G

C-V2X increases reaction time over 802.11p/DSRC for improved safety use cases



Safer driving experience

Increased driver reaction time

Support for high speeds

Relative speeds up to 500km/h

Increased situational awareness

Gather data from further ahead



Testing drone operation over commercial LTE networks

To optimize LTE networks and advance 5G for mission critical services

Controlled Airspace Class B

- FAA-authorized test environment
- Representing real world” conditions with mix of commercial, residential and rural

Early findings

- Drones at altitude are served by multiple base stations
- Drones demonstrated seamless handovers with zero link failures

Opportunities for optimization

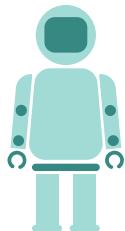
- Interference management
- Handover optimization
- LTE Drone Specific Requirements

5G NR will enable new mission-critical control services

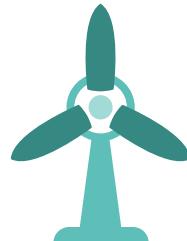
A platform for tomorrow's more autonomous world



Autonomous
vehicles



Robotics



Energy/
Smart grid



Aviation



Industrial
automation



Medical

1ms e2e latency

Faster, more flexible frame structure; also new non-orthogonal uplink access

Ultra-high reliability

Ultra-reliable transmissions that can be time multiplexed with nominal traffic through puncturing

Ultra-high availability

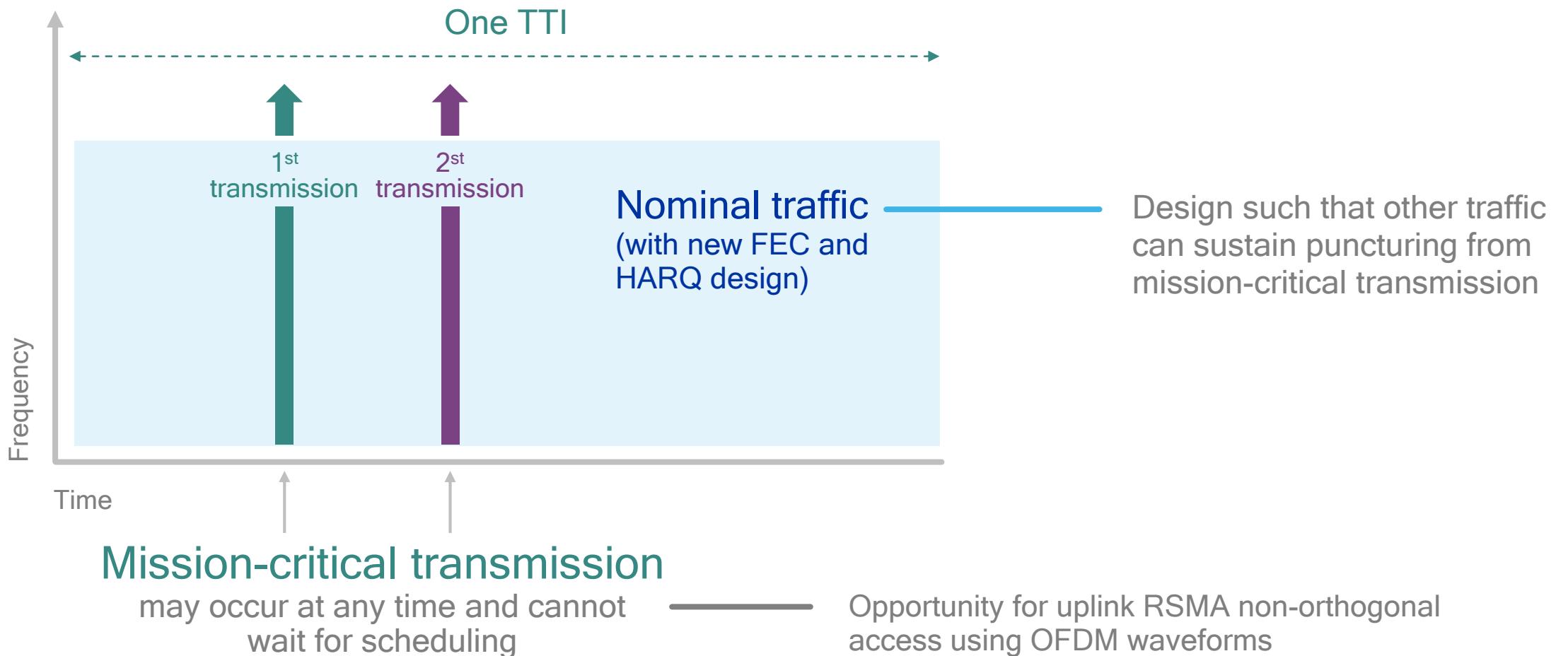
Simultaneous links to both 5G and LTE for failure tolerance and extreme mobility

Strong e2e security

Security enhancements to air interface, core network, & service layer across verticals¹

Efficient mission-critical multiplexing with other services

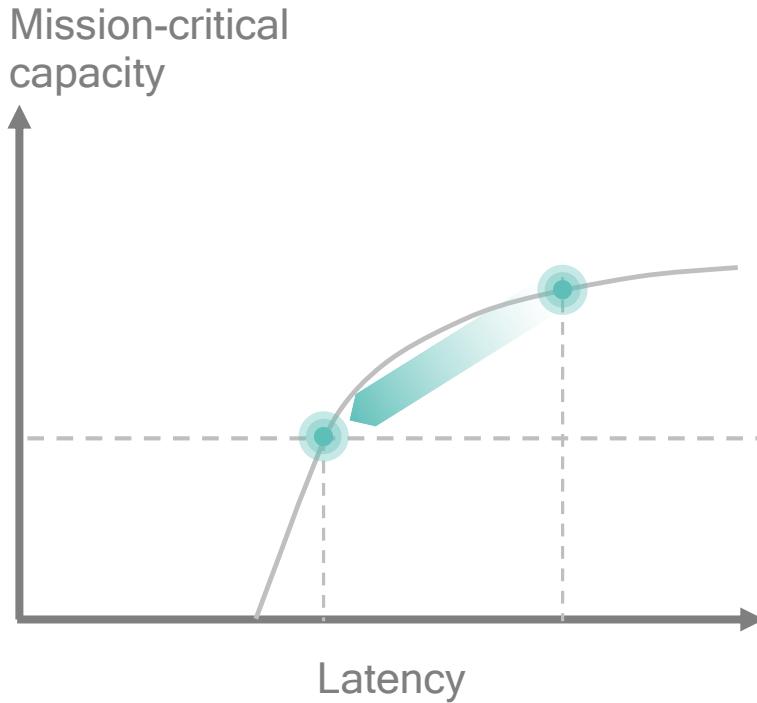
A more flexible design as compared to dedicated mission-critical resources (e.g. FDM)



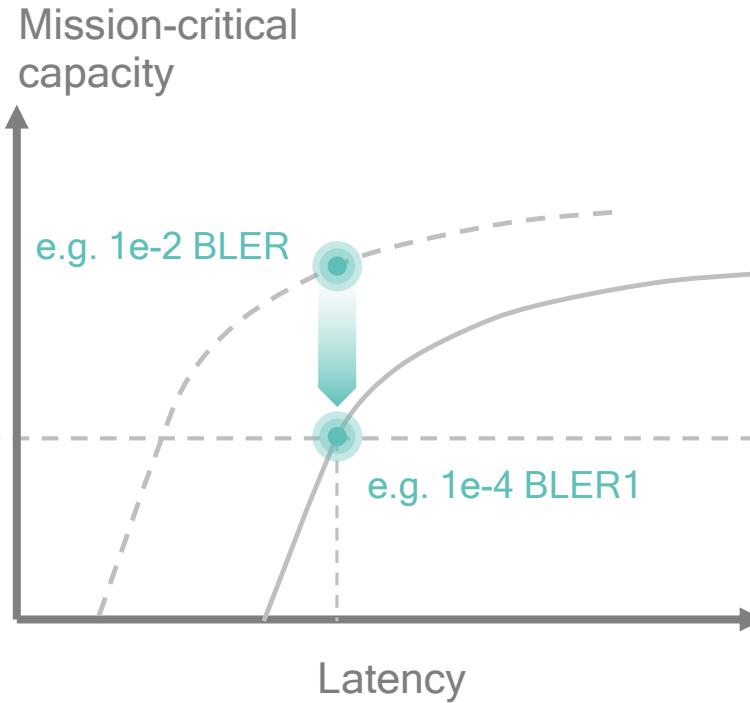
New 5G design allows for optimal trade-offs

E.g. leveraging wider bandwidths to offset mission-critical capacity reductions

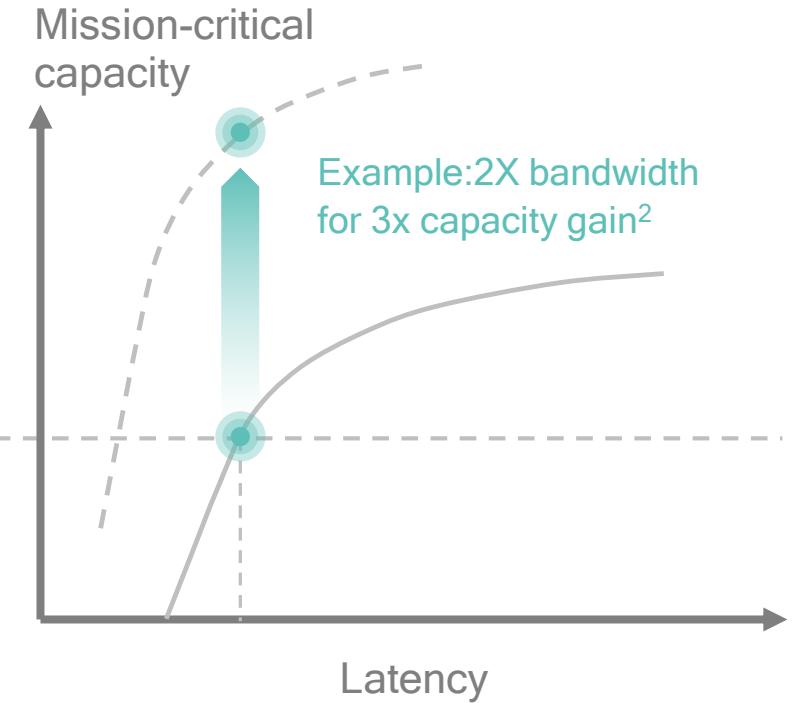
Latency vs. capacity...



Reliability vs. capacity...



But wider bandwidth can offset reductions



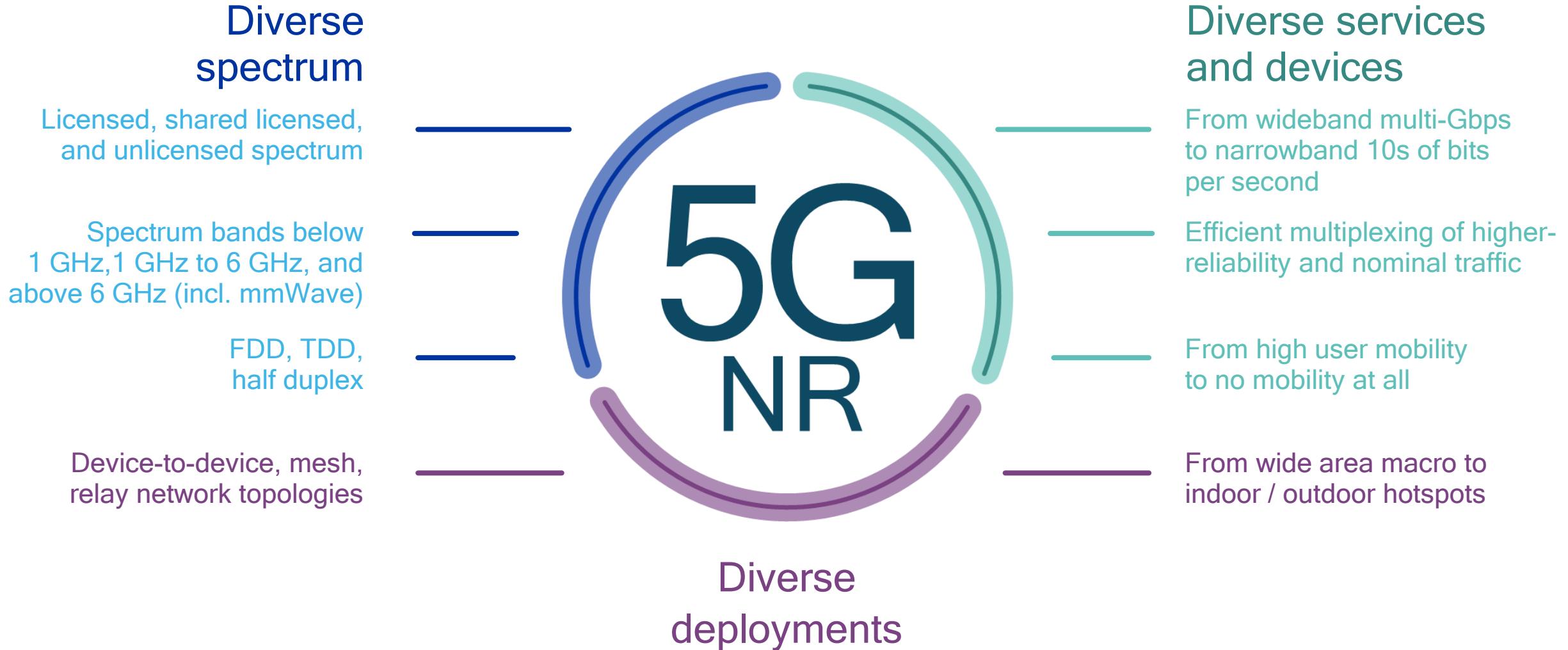
¹ Low BLER Block Error Rate, required to achieve high-reliability with a hard delay bound ² All data based on Qualcomm simulations with approximate graphs and linear scales. 3x gain when increasing from 10Mhz to 20Mhz for 1e-4 BLER.

As we did in 3G and 4G,
Qualcomm is leading
the world to 5G

Making 5G NR a reality

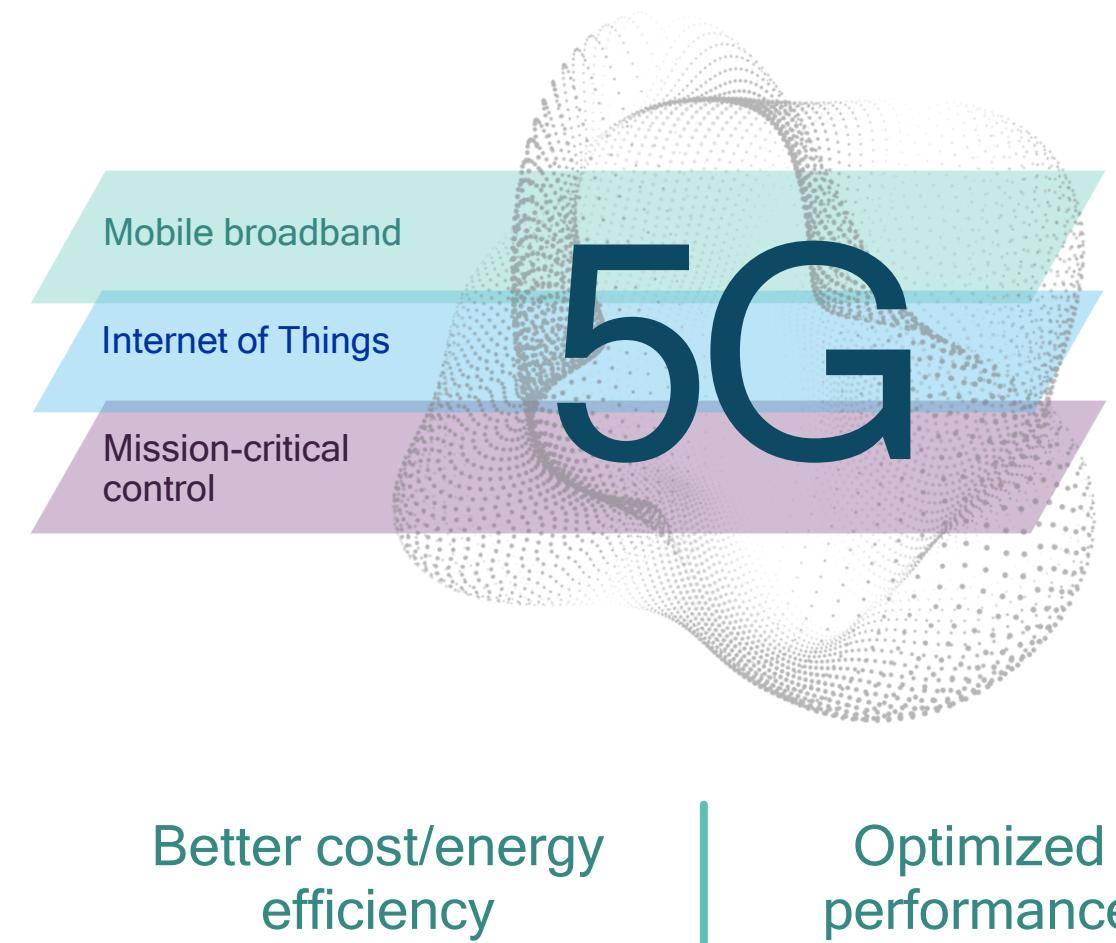


We are designing a unified, more capable 5G air interface



Also designing a flexible 5G network architecture

Leveraging virtualized network functions to create optimized network slices



- Configurable end-to-end connectivity per vertical
- Modular, specialized network functions per services
- Flexible subscription models
- Dynamic control and user planes with more functionality at the edge

Pioneering new 5G technologies today

With our leadership and expertise in LTE and Wi-Fi



Breaking the gigabit barrier

1000X

Solving the 1000x data challenge



Enabling new spectrum paradigms



Mobilizing mmWave spectrum bands



Bringing new ways to connect



Optimizing for the Internet of Things



Pioneering new 5G technologies today

With our leadership and expertise in LTE and Wi-Fi



Breaking the gigabit barrier

Qualcomm® Snapdragon™ X16 LTE modem industry's first Gigabit Class LTE modem (4x CA, LAA, 4x4 MIMO, 256-QAM)



Solving the 1000x data challenge

Technologies for hyper-densification, e.g. Qualcomm UltraSON™ self-organization and converged LTE / Wi-Fi solutions



Enabling new spectrum paradigms

New technologies such as LSA for sharing with incumbents, LTE-U, LWA, LAA, MulteFire™ for over-the-air sharing



Mobilizing mmWave spectrum bands

Qualcomm® VIVE 802.11ad 60 GHz chipset commercial for mobile devices with a 32-antenna array element



Bringing new ways to connect

LTE Direct and LTE Broadcast (including digital TV), and new standard for Cellular V2X (C-V2X) communications



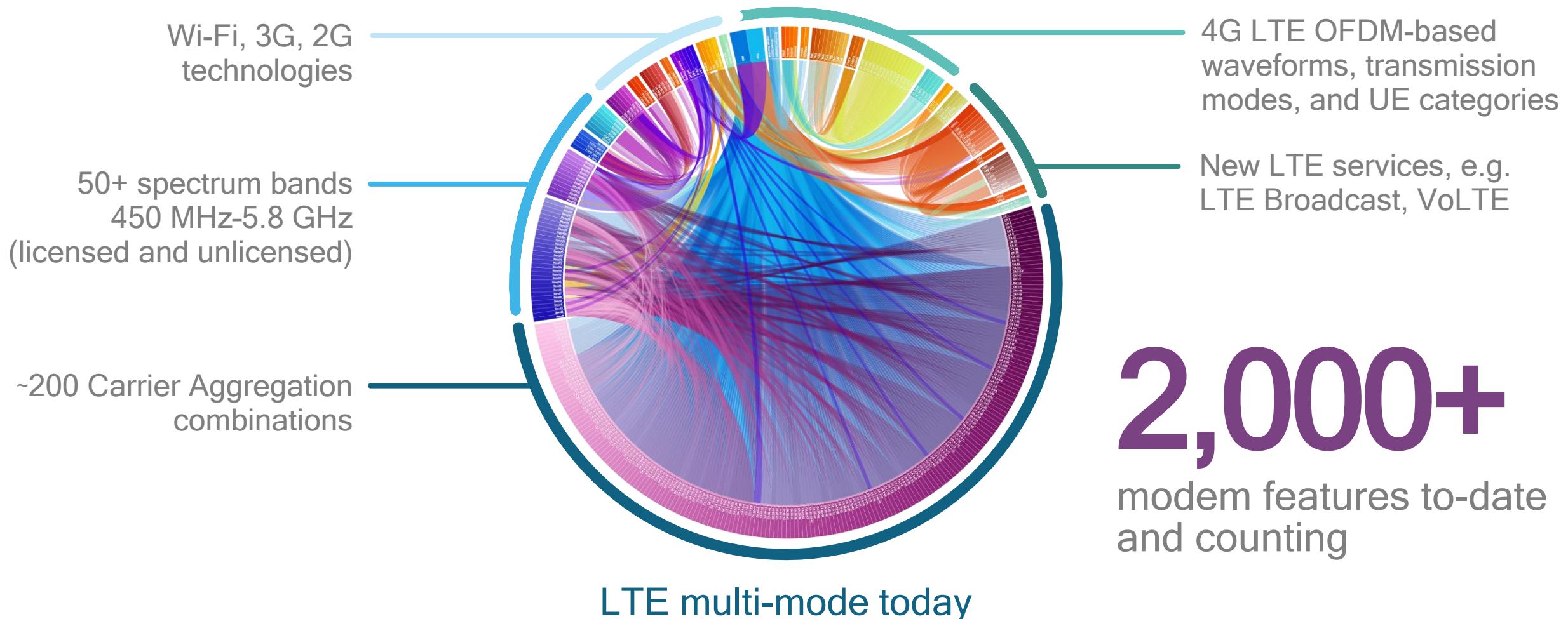
Optimizing for the Internet of Things

New LTE IoT technologies (eMTC, NB-IoT), and optimizing technologies for cellular drone communications



Our modem and RF leadership is critical to 5G

Roadmap to 5G is significantly more complex and faster moving

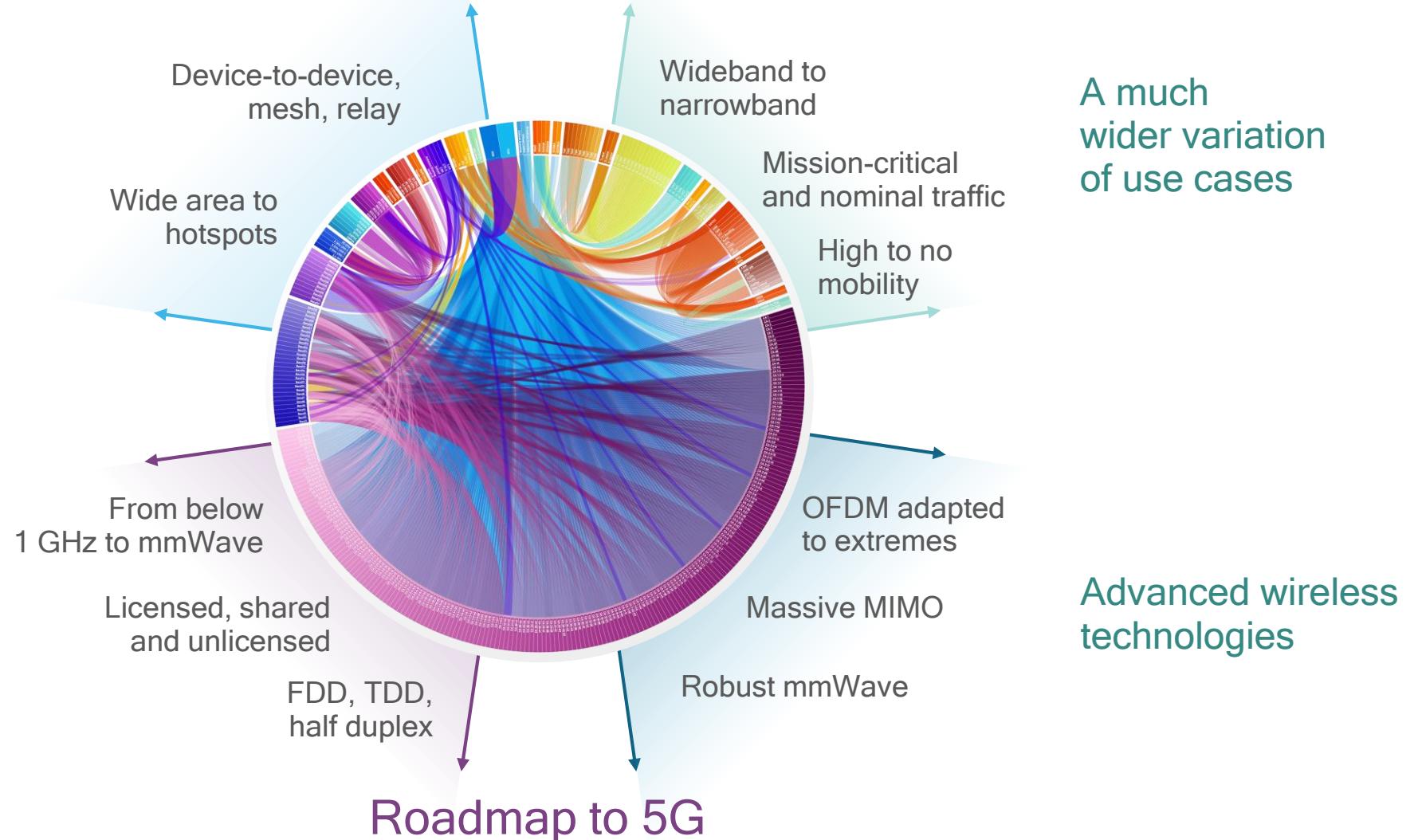


Our modem and RF leadership is critical to 5G

Roadmap to 5G is significantly more complex and faster moving

More diverse deployment scenarios

Many more spectrum bands/types



A much wider variation of use cases

Advanced wireless technologies

Qualcomm Research 5G NR prototype systems

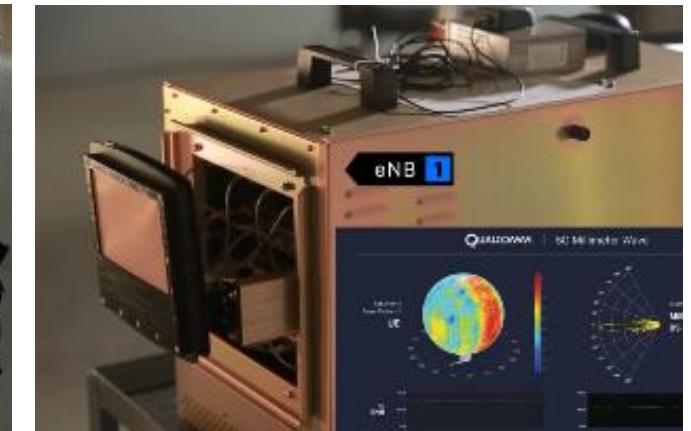
Testbed for 5G designs to drive standardization and timely commercialization

Sub-6 GHz for flexible deployments across a wide range of use cases



End-to-end system operating sub-6 GHz and showcasing innovations to efficiently achieve large bandwidths capable of multi-Gbps rates at low latency

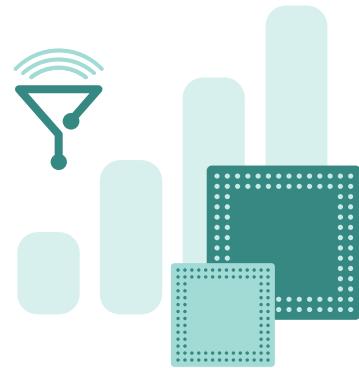
Robust mmWave for extreme mobile broadband



End-to-end system operating at 28 GHz, demonstrating beam forming and scanning to address non-line-of-sight scenarios, improve indoor/outdoor range, and provide robust mobility

Anyone can talk about 5G. We are creating it.

Investing in 5G for many years—building upon our leadership foundation



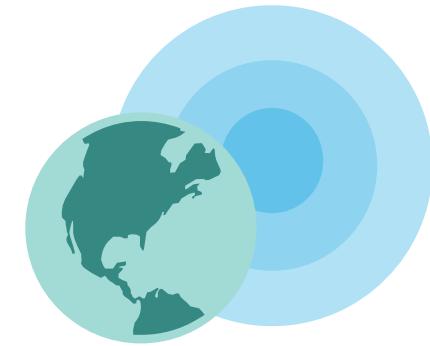
Wireless/OFDM technology and chipset leadership

Pioneering new 5G technologies to
meet extreme requirements



End-to-end system approach with advanced prototypes

Driving 5G from standardization to
commercialization



Leading global network experience and scale

Providing the experience and
scale that 5G demands

Learn more at www.qualcomm.com/5G

Questions? - Connect with Us



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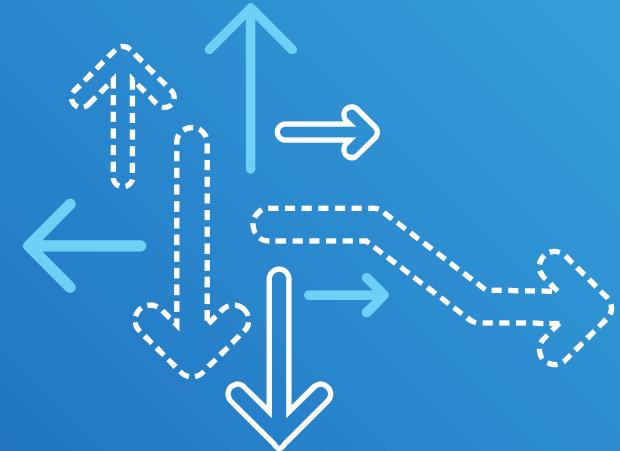
@Qualcomm_tech



<http://www.youtube.com/playlist?list=PL8AD95E4F585237C1&feature=plcp>



<http://www.slideshare.net/qualcommwirelessevolution>



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