Math 2603 - Lecture 25 Section 13.2 Coloring Graphs

Bo Lin

November 20th, 2019



Coloring of graphs

Motivating example: final exam schedule

Remark

Most of you enrolled in multiple courses, and you need to take the final exams at different times.

Motivating example: final exam schedule

Remark

Most of you enrolled in multiple courses, and you need to take the final exams at different times.

Example

Suppose the curriculum of 4 students are shown in the table below:

Name	Courses
Alice	Calculus, Discrete Math
Bob	Algebra, Calculus, Number Theory
Carol	Discrete Math, Number Theory, Topology
Dave	Algebra, Topology

Motivating example: final exam schedule

Remark

Most of you enrolled in multiple courses, and you need to take the final exams at different times.

Example

Suppose the curriculum of 4 students are shown in the table below:

Name	Courses
Alice	Calculus, Discrete Math
Bob	Algebra, Calculus, Number Theory
Carol	Discrete Math, Number Theory, Topology
Dave	Algebra, Topology

How many different time slots it takes to arrange the final exams of the courses?

Remark

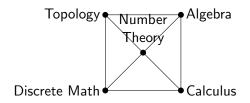
If there exists a student who enrolled in the two courses, then they must have different time of final exams.

Remark

If there exists a student who enrolled in the two courses, then they must have different time of final exams. "Having time conflict" is essentially a relation on the courses, so we can draw a graph to describe it:

Remark

If there exists a student who enrolled in the two courses, then they must have different time of final exams. "Having time conflict" is essentially a relation on the courses, so we can draw a graph to describe it:



Remark

Now we can combine the courses that are not connected to the same time slot.

Remark

Now we can combine the courses that are not connected to the same time slot.

Solution

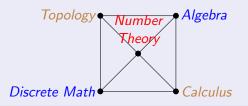
Since some student has 3 courses, we need at least 3 time slots.

Remark

Now we can combine the courses that are not connected to the same time slot.

Solution

Since some student has 3 courses, we need at least 3 time slots. 3 is enough, as illustrated by the colors.



Remark

There are other situations where we want distinct colors for neighbors -

Remark

There are other situations where we want distinct colors for neighbors - maps.

Remark

There are other situations where we want distinct colors for neighbors - maps. If we treat different regions in a map as vertices, and two vertices are connected if and only if the regions are adjacent on the map, then we want to color the regions such that any two adjacent vertices have different colors.

Remark

There are other situations where we want distinct colors for neighbors - maps. If we treat different regions in a map as vertices, and two vertices are connected if and only if the regions are adjacent on the map, then we want to color the regions such that any two adjacent vertices have different colors.

Remark

Then we have the idea of coloring of graphs, and we systematically introduce it.

Definition

Definition

A coloring of a graph is an assignment of colors to the vertices so that adjacent vertices have different colors. An n-coloring is a coloring with n colors. The chromatic number of a graph \mathcal{G} , denoted $\chi(\mathcal{G})$, is the minimal value of n for which an n-coloring of \mathcal{G} exists.

Definition

Definition

A coloring of a graph is an assignment of colors to the vertices so that adjacent vertices have different colors. An n-coloring is a coloring with n colors. The chromatic number of a graph \mathcal{G} , denoted $\chi(\mathcal{G})$, is the minimal value of n for which an n-coloring of \mathcal{G} exists.

Remark

In the final exam case, our graph admits a 3 coloring, and the chromatic number of that graph is 3.

Definition

Definition

A coloring of a graph is an assignment of colors to the vertices so that adjacent vertices have different colors. An n-coloring is a coloring with n colors. The chromatic number of a graph \mathcal{G} , denoted $\chi(\mathcal{G})$, is the minimal value of n for which an n-coloring of \mathcal{G} exists.

Remark

In the final exam case, our graph admits a 3 coloring, and the chromatic number of that graph is 3.

Remark

Keep in mind that we always color the vertices, not the edges (which is a different story to tell).

Example: complete graphs

Example

What is $\chi(\mathcal{K}_n)$ for positive integer $n \geq 3$?

Example: complete graphs

Example

What is $\chi(\mathcal{K}_n)$ for positive integer $n \geq 3$?

Solution

On one hand, n colors are enough - just color all vertices with distinct colors:

Example: complete graphs

Example

What is $\chi(\mathcal{K}_n)$ for positive integer $n \geq 3$?

Solution

On one hand, n colors are enough - just color all vertices with distinct colors; one the other hand, if we have less than n colors, by Pigeonhole Principle, there exist two vertices with the same color, a contradiction! So $\chi(\mathcal{K}_n) = n$.

Example: bipartite graphs

Example

What are the chromatic numbers of bipartite graphs with at least one edge?

Example: bipartite graphs

Example

What are the chromatic numbers of bipartite graphs with at least one edge?

Solution

First, 2 colors are enough, just assign different colors to the different parts of the vertices. Then the endpoints of any edge have distinct colors.

Example: bipartite graphs

Example

What are the chromatic numbers of bipartite graphs with at least one edge?

Solution

First, 2 colors are enough, just assign different colors to the different parts of the vertices. Then the endpoints of any edge have distinct colors. Since there is at least one edge, 1 color may not work. So the chromatic number is 2.

Example

Let C_n be the cycle with n vertices, what is $\chi(C_n)$?

Example

Let C_n be the cycle with n vertices, what is $\chi(C_n)$?

Solution

Apparently we need any least 2 colors. If there are exactly 2 colors, then any pair of adjacent vertices must have distinct colors, so the coloring is "alternating".

Example

Let C_n be the cycle with n vertices, what is $\chi(C_n)$?

Solution

Apparently we need any least 2 colors. If there are exactly 2 colors, then any pair of adjacent vertices must have distinct colors, so the coloring is "alternating". This works when n is even, but not when n is odd, and in that case we need one more color.

Example

Let C_n be the cycle with n vertices, what is $\chi(C_n)$?

Solution

Apparently we need any least 2 colors. If there are exactly 2 colors, then any pair of adjacent vertices must have distinct colors, so the coloring is "alternating". This works when n is even, but not when n is odd, and in that case we need one more color. Hence

$$\chi(\mathcal{C}_n) = \begin{cases} 2, & \text{if } 2 \mid n; \\ 3, & \text{if } 2 \nmid n; \end{cases}.$$

An upper bound of $\chi(\mathcal{G})$

Theorem

Let $\Delta(\mathcal{G})$ be the maximum of the degrees of the vertices of a graph \mathcal{G} . Then $\chi(\mathcal{G}) \leq 1 + \Delta(\mathcal{G})$.

An upper bound of $\chi(\mathcal{G})$

Theorem

Let $\Delta(\mathcal{G})$ be the maximum of the degrees of the vertices of a graph \mathcal{G} . Then $\chi(\mathcal{G}) \leq 1 + \Delta(\mathcal{G})$.

Remark

The intuition is: for any vertex, it is adjacent to at most $\Delta(\mathcal{G})$ other vertices. In the worst case, its neighbors use up $\Delta(\mathcal{G})$ colors. Hence if there are more than this number of colors, we can always find an unused color for the chosen vertex.

Proof.

We apply induction on the number of vertices in \mathcal{G} . The basis step is trivial. Suppose the statement is true when \mathcal{G} has k vertices $(k \in \mathbb{N})$ and suppose now \mathcal{G} has (k+1) vertices.

Proof.

We apply induction on the number of vertices in $\mathcal G$. The basis step is trivial. Suppose the statement is true when $\mathcal G$ has k vertices $(k\in\mathbb N)$ and suppose now $\mathcal G$ has (k+1) vertices. Take any vertex v and let $\mathcal G'$ be the graph obtained from $\mathcal G$ by deleting v and all edges incident to it.

Proof.

We apply induction on the number of vertices in $\mathcal G$. The basis step is trivial. Suppose the statement is true when $\mathcal G$ has k vertices $(k\in\mathbb N)$ and suppose now $\mathcal G$ has (k+1) vertices. Take any vertex v and let $\mathcal G'$ be the graph obtained from $\mathcal G$ by deleting v and all edges incident to it. Note that $\Delta(\mathcal G') \leq \Delta(\mathcal G)$ and $\mathcal G'$ has k vertices. By inductive hypothesis, we have $\chi(\mathcal G') \leq 1 + \Delta(\mathcal G') \leq 1 + \Delta(\mathcal G)$. So we can find a $(1+\Delta(\mathcal G))$ -coloring of $\mathcal G'$.

Proof.

We apply induction on the number of vertices in $\mathcal G$. The basis step is trivial. Suppose the statement is true when $\mathcal G$ has k vertices $(k\in\mathbb N)$ and suppose now $\mathcal G$ has (k+1) vertices. Take any vertex v and let $\mathcal G'$ be the graph obtained from $\mathcal G$ by deleting v and all edges incident to it. Note that $\Delta(\mathcal G') \leq \Delta(\mathcal G)$ and $\mathcal G'$ has k vertices. By inductive hypothesis, we have $\chi(\mathcal G') \leq 1 + \Delta(\mathcal G') \leq 1 + \Delta(\mathcal G)$. So we can find a $(1+\Delta(\mathcal G))$ -coloring of $\mathcal G'$. Now there are at most $\Delta(\mathcal G)$ neighbors of v and they use at most this number of colors, so we can always find another color for v, and thus we obtain a $(1+\Delta(\mathcal G))$ -coloring for $\mathcal G$.

The Four Color Theorem

The problem

Problem

What are the chromatic numbers of graphs coming from maps in general?

The problem

Problem

What are the chromatic numbers of graphs coming from maps in general?

Remark

It may take at least 4 colors: there is a subgraph K_4 of Luxembourg, Belgium, France, and Germany.



The conjecture

Remark

But it seems very challenging to construct an example that takes at least 5 colors.

The conjecture

Remark

But it seems very challenging to construct an example that takes at least 5 colors.

Remark

The graphs corresponding to maps are all planar. So we have the following conjecture.

The conjecture

Remark

But it seems very challenging to construct an example that takes at least 5 colors.

Remark

The graphs corresponding to maps are all planar. So we have the following conjecture.

Conjecture

If G is a planar graph, then $\chi(G) \leq 4$.

A weaker result

Theorem (Kempe & Heawood)

If G is a planar graph, then $\chi(G) \leq 5$.

A weaker result

Theorem (Kempe & Heawood)

If G is a planar graph, then $\chi(G) \leq 5$.

Lemma

If G is a planar graph, then there exists a vertex of G whose degree is at most 5.

A weaker result

Theorem (Kempe & Heawood)

If G is a planar graph, then $\chi(G) \leq 5$.

Lemma

If G is a planar graph, then there exists a vertex of G whose degree is at most 5.

Proof of lemma.

Otherwise, each degree is at least 6, by Euler's formula, $2E=\sum_v \deg(v)\geq 6V$, and $E\geq 3V$, a contradiction to the inequality $E\leq 3V-6$.

Proof.

We apply induction on the number of vertices. Basis step is trivial. For the inductive step, by the lemma, we can chose a vertex v, whose degree is at most 5.

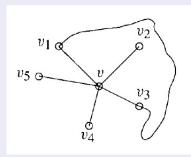
Proof.

We apply induction on the number of vertices. Basis step is trivial. For the inductive step, by the lemma, we can chose a vertex v, whose degree is at most 5. If we delete v and the edges incident to it, the remaining graph has chromatic number at most 5, by the inductive hypothesis. If $\deg(v) < 5$, or the neighbors of v take at most 4 colors in the coloring of the other graph, we can assign a new color to v.

Proof.

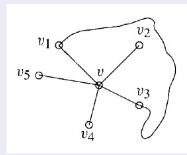
We apply induction on the number of vertices. Basis step is trivial. For the inductive step, by the lemma, we can chose a vertex v, whose degree is at most 5. If we delete v and the edges incident to it, the remaining graph has chromatic number at most 5, by the inductive hypothesis. If $\deg(v) < 5$, or the neighbors of v take at most 4 colors in the coloring of the other graph, we can assign a new color to v. Otherwise it must be the following case: v has v neighbors v and v and they have all distinct colors. (to be continued)

Proof.



Finally we want to adjust the colors of the v_i to spare a color for v. If there is no path from v_1 and v_3 only containing colors 1 and 3, we can do it.

Proof.



Finally we want to adjust the colors of the v_i to spare a color for v. If there is no path from v_1 and v_3 only containing colors 1 and 3, we can do it. If there is such a path, then it separates v_2 and v_4 , and thus no such a path connecting v_2 and v_4 , and we can still do the adjustment.

Remark

In 1970s, scholars advanced towards the conjecture that it suffices to verify a finite number of cases, that the statement is true in all these cases. But the final step is still very challenging.

Remark

In 1970s, scholars advanced towards the conjecture that it suffices to verify a finite number of cases, that the statement is true in all these cases. But the final step is still very challenging. In 1976, after 1,200 computer hours, Appel and Haken 's program justified the statement. It is the first major mathematical result obtained with the aid of computers.

Remark

In 1970s, scholars advanced towards the conjecture that it suffices to verify a finite number of cases, that the statement is true in all these cases. But the final step is still very challenging. In 1976, after 1,200 computer hours, Appel and Haken 's program justified the statement. It is the first major mathematical result obtained with the aid of computers.

Theorem (Four color theorem)

If G is a planar graph, then $\chi(G) \leq 4$.

Remark

In 1970s, scholars advanced towards the conjecture that it suffices to verify a finite number of cases, that the statement is true in all these cases. But the final step is still very challenging. In 1976, after 1,200 computer hours, Appel and Haken 's program justified the statement. It is the first major mathematical result obtained with the aid of computers.

Theorem (Four color theorem)

If G is a planar graph, then $\chi(G) \leq 4$.

Remark

Later scholars made progress to reduce the number of cases, but it's still open to find a manual proof of the theorem.

Chromatic polynomials

Definition

Remark

If a coloring of graph exists, we can ask: given the number of colors, how many coloring are there?

Definition

Remark

If a coloring of graph exists, we can ask: given the number of colors, how many coloring are there?

Definition

Given a graph G, and $k \in \mathbb{N}$, let P(G, k) be the number of different k-colorings with k labeled colors.

Definition

Remark

If a coloring of graph exists, we can ask: given the number of colors, how many coloring are there?

Definition

Given a graph G, and $k \in \mathbb{N}$, let P(G, k) be the number of different k-colorings with k labeled colors.

Remark

When $k < \chi(\mathcal{G})$, $P(\mathcal{G}, k) = 0$.

Example: complete graphs

For complete graphs, the numbers $P(\mathcal{G}, k)$ are easy to compute.

Example: complete graphs

For complete graphs, the numbers $P(\mathcal{G},k)$ are easy to compute.

Proposition

$$P(\mathcal{K}_n, k) = P(k, n) = k(k-1)(k-2)\cdots(k-n+1).$$

Example: complete graphs

For complete graphs, the numbers $P(\mathcal{G}, k)$ are easy to compute.

Proposition

$$P(\mathcal{K}_n, k) = P(k, n) = k(k-1)(k-2)\cdots(k-n+1).$$

Proof.

Every coloring of \mathcal{K}_n involves an order sequence of n distinct colors. So the number is the number of n-permutations among k elements.

It turns out that $P(\mathcal{G}, k)$ has very good properties.

It turns out that $P(\mathcal{G},k)$ has very good properties.

Proposition

For each graph \mathcal{G} , there exists a polynomial f(x) with variable x such that for all $k \in \mathbb{N}$, we have $P(\mathcal{G}, k) = f(k)$. This polynomial f(x) is called the **chromatic polynomial** of \mathcal{G} and is denoted $P(\mathcal{G}, x)$.

It turns out that $P(\mathcal{G}, k)$ has very good properties.

Proposition

For each graph \mathcal{G} , there exists a polynomial f(x) with variable x such that for all $k \in \mathbb{N}$, we have $P(\mathcal{G}, k) = f(k)$. This polynomial f(x) is called the **chromatic polynomial** of \mathcal{G} and is denoted $P(\mathcal{G}, x)$.

Proposition

 $P(\mathcal{G},x)$ has degree $|V(\mathcal{G})|$, leading coefficient 1, and integer coefficients.

It turns out that P(G, k) has very good properties.

Proposition

For each graph \mathcal{G} , there exists a polynomial f(x) with variable x such that for all $k \in \mathbb{N}$, we have $P(\mathcal{G}, k) = f(k)$. This polynomial f(x) is called the **chromatic polynomial** of \mathcal{G} and is denoted $P(\mathcal{G}, x)$.

Proposition

 $P(\mathcal{G},x)$ has degree $|V(\mathcal{G})|$, leading coefficient 1, and integer coefficients.

Remark

 $P(\mathcal{G},x)$ could be recursively computed from the ones of graphs homeomorphic to it.

Homework Assignment # 14 - today

Section 13.2 Exercise 2, 4(d)(f), 7, 12, 17.