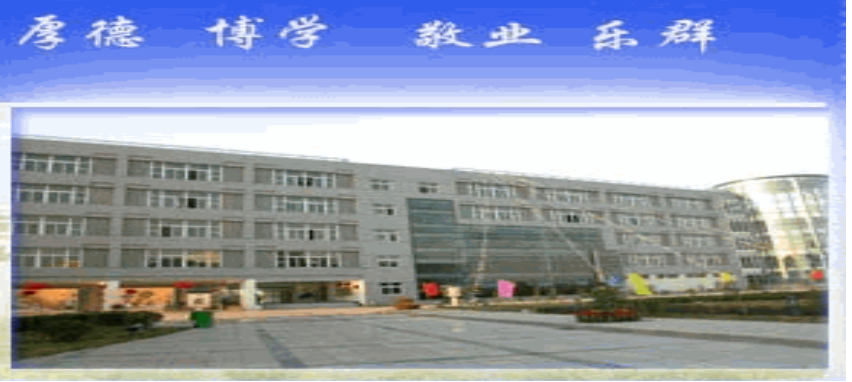




北京邮电大学软件学院

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# *Operating Systems*

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## **Lecture 10 File system implementation**

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# *Catalog Description*

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- ✦ File-System Structure
- ✦ File-System Implementation
- ✦ Directory Implementation
- ✦ Allocation Methods
- ✦ Free-Space Management
- ✦ Efficiency and Performance
- ✦ Recovery



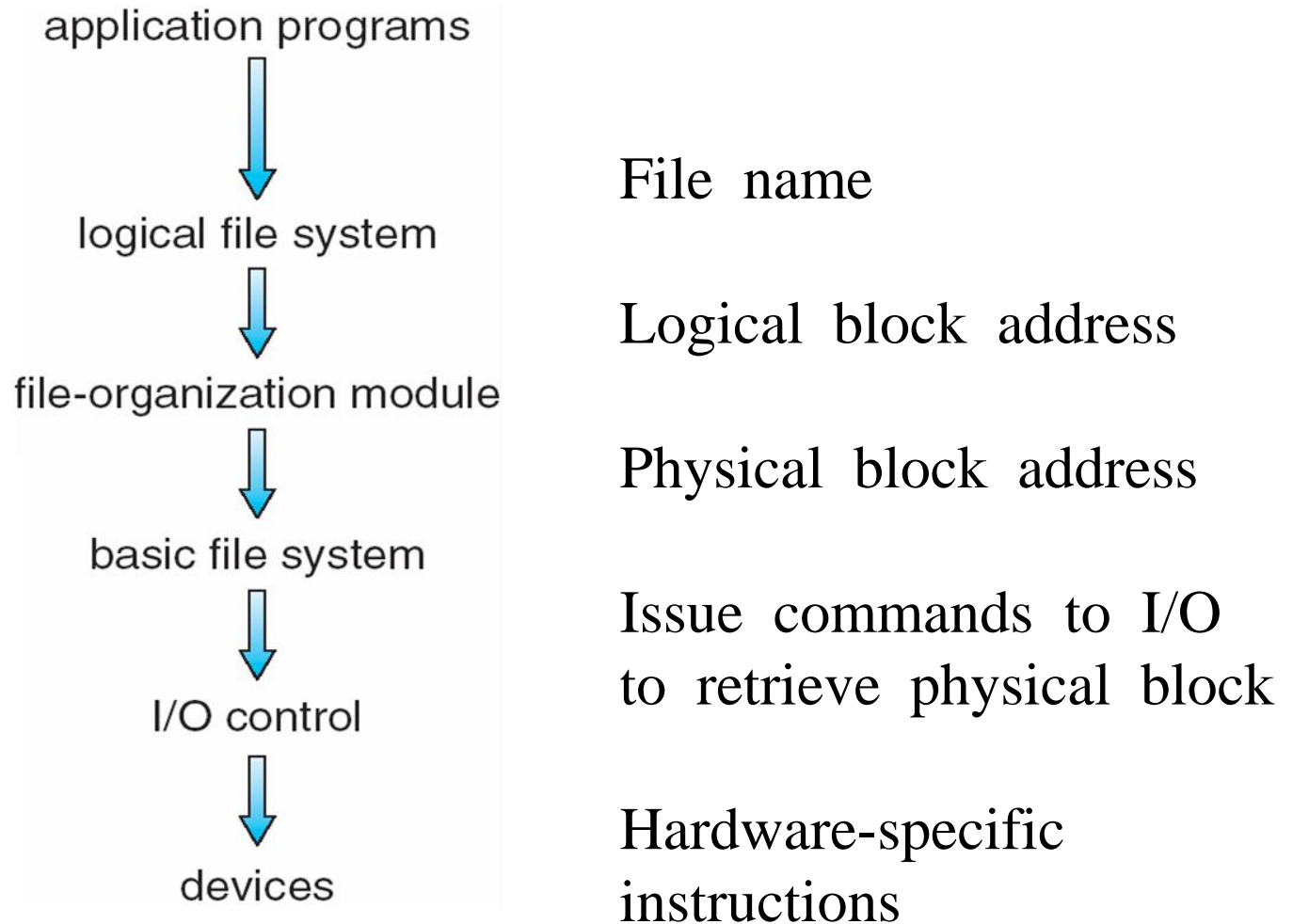
# *File-System Structure*

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- ✿ File structure
  - ✦ Logical storage unit
  - ✦ Collection of related Information
- ✿ FS resides on secondary storage(disks)
  - ✦ Provides efficient and convenient access to disk by allowing data to be stored, located retrieved easily
- ✿ File system organized into layers
- ✿ File control block – storage structure consisting of information about a file



# *Layered File System*





# *Catalog Description*

---

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- ✿ Efficiency and Performance
- ✿ Recovery



# *FS Implementation*

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- ✿ Structures and operations used to implement file system operation, OS- & FS-dependent
  - ▣ On-disk structures
  - ▣ In-memory structures



# *FS Implementation*

## ✚ On-disk structures

- ✚ **Boot control block**: contains info needed by system to boot OS from that volume
  - ✓ To boot an OS from the partition (volume)
  - ✓ If empty, no OS is contained on the partition
- ✚ **Volume control block**: contains volume details
- ✚ **Directory structure** organizes the files
- ✚ Per-file **File Control Block (FCB)** contains many details about the file

file permissions
file dates (create, access, write)
file owner, group, ACL
file size
file data blocks or pointers to file data blocks

A  
typical  
file  
control  
block



# *FS Implementation*

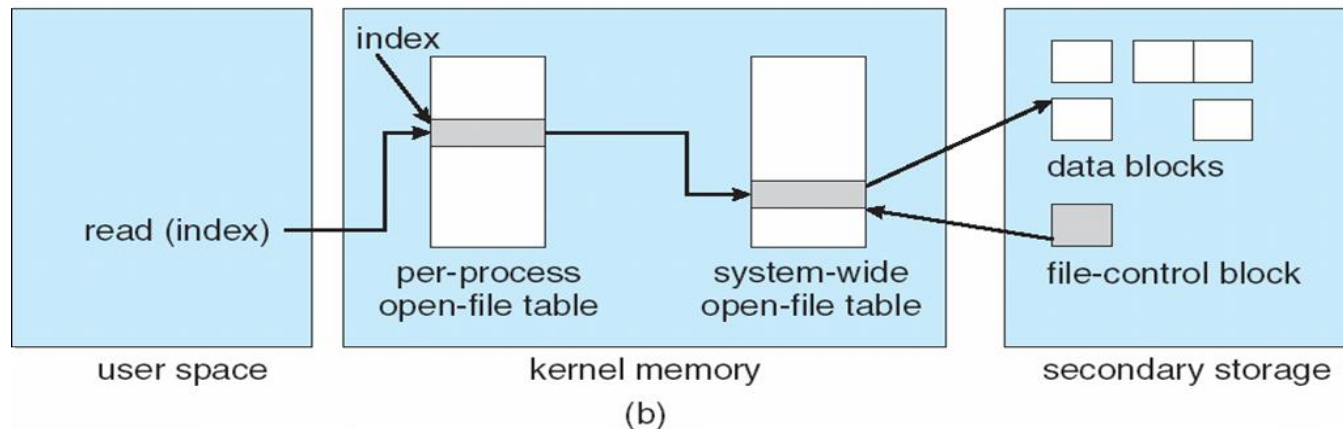
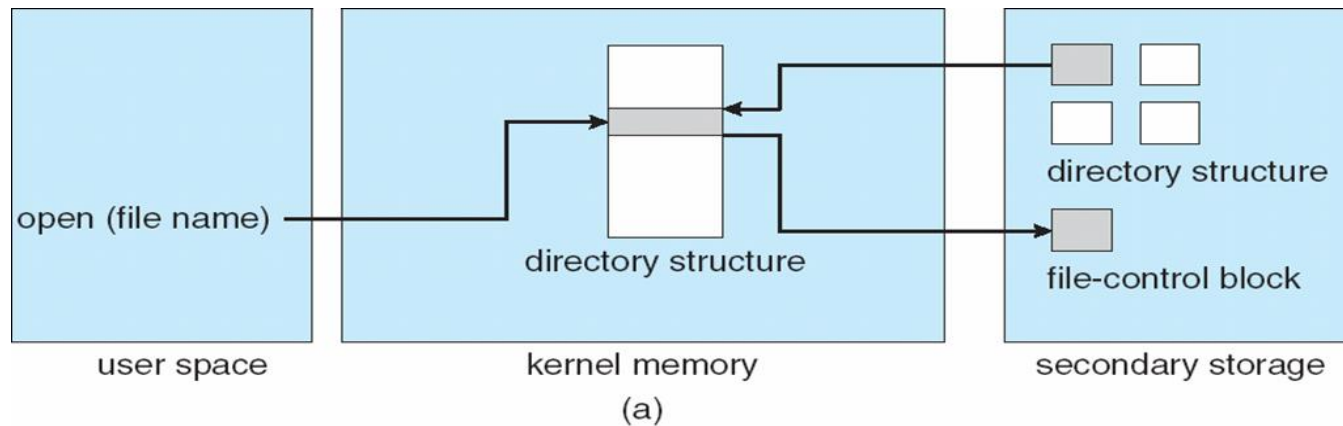
---

- ❁ In-memory structures: for both FS management and performance improvement via caching
  - ❏ Data are loaded at mount time and discarded at dismount
  - ❏ Structures include:
    - ✓ system-wide open-file table
    - ✓ per-process open-file table
    - ✓ ...
- ❁ The following figure illustrates the necessary file system structures provided by the operating systems





# *In-Memory File System Structures*



(a) refers to opening a file.

(b) refers to reading a file.



# *Catalog Description*

---

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# Directory Implementation

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- ❁ **Linear list** of file names with pointer to the data blocks.
  - ❁ Simple to program
  - ❁ Time-consuming to execute
- ❁ **Hash Table** – linear list with hash data structure.
  - ❁ Decreases directory search time
  - ❁ Collisions – situations where two file names hash to the same location



# *Catalog Description*

---

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# Allocation Methods (分配方法)

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- ❁ An allocation method refers to how disk blocks are allocated for files so that disk space is utilized effectively & files can be accessed quickly
  - ❁ Contiguous allocation (连续分配)
  - ❁ Linked allocation (链接分配)
  - ❁ Indexed allocation (索引分配)
  - ❁ Combined (组合方式)



# Contiguous Allocation

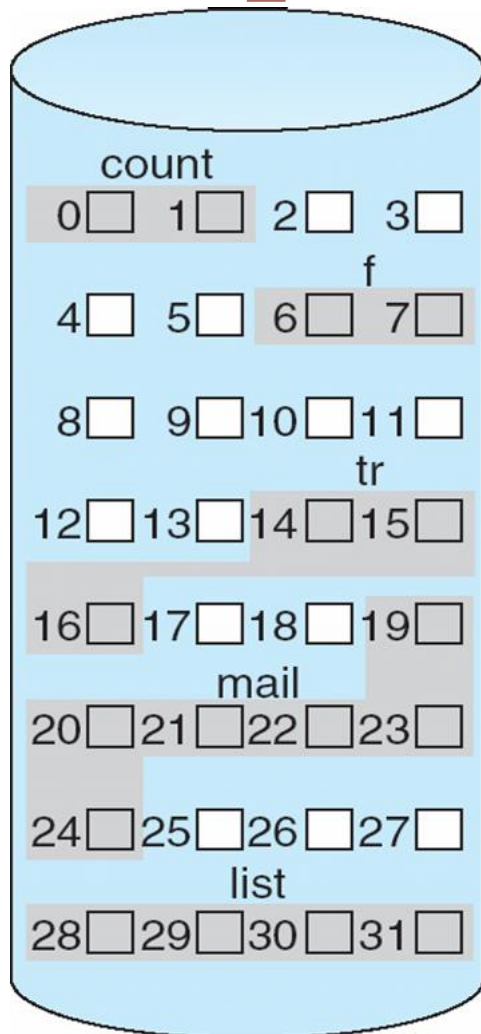
## (连续分配)

---

- Each file occupies a set of **contiguous** blocks on the disk
- Simple – each directory entry only needs
  - starting location (block #)
  - & length (number of blocks)
- Mapping from logical to physical
$$\text{LogicalAddress}/512 = Q \dots R$$
$$\text{Block to be accessed} = Q + \text{starting address}$$
$$\text{Displacement into block} = R$$



# Contiguous Allocation of Disk Space



directory

file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2



# Contiguous Allocation

## (连续分配)

### Advantages:

- Support both random & sequential access
  - ✓ Start block:  $b$ ;  
Logical block number:  $i$   
 $\Rightarrow$  physical block number:  $b + i$
  - ✓ Fast access speed, because of short head movement

### Disadvantages:

- External fragmentation
  - ✓ Wasteful of space (dynamic storage-allocation problem).
  - ✓ Files cannot grow,  
or File size must be known in advance.





# Contiguous Allocation

## (连续分配)

---

### ✚ Extent-Based Systems

- ✚ Many newer file systems (I.e. Veritas File System) use a modified contiguous allocation scheme
- ✚ Extent-based file systems allocate disk blocks in extents
- ✚ An extent is a contiguous block of disks
  - ✓ Extents are allocated for file allocation
  - ✓ A file consists of one or more extents.



# *Linked Allocation (链接分配)*

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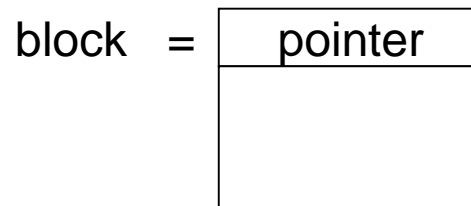
- Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.
- Two types
  - Implicit (隐式链接)
  - Explicit (显式链接)



# Linked Allocation (链接分配)

## Implicit (隐式链接)

- ❑ Directory contains a pointer to the first block & last block of the file.
- ❑ Each block contains a pointer to the next block.

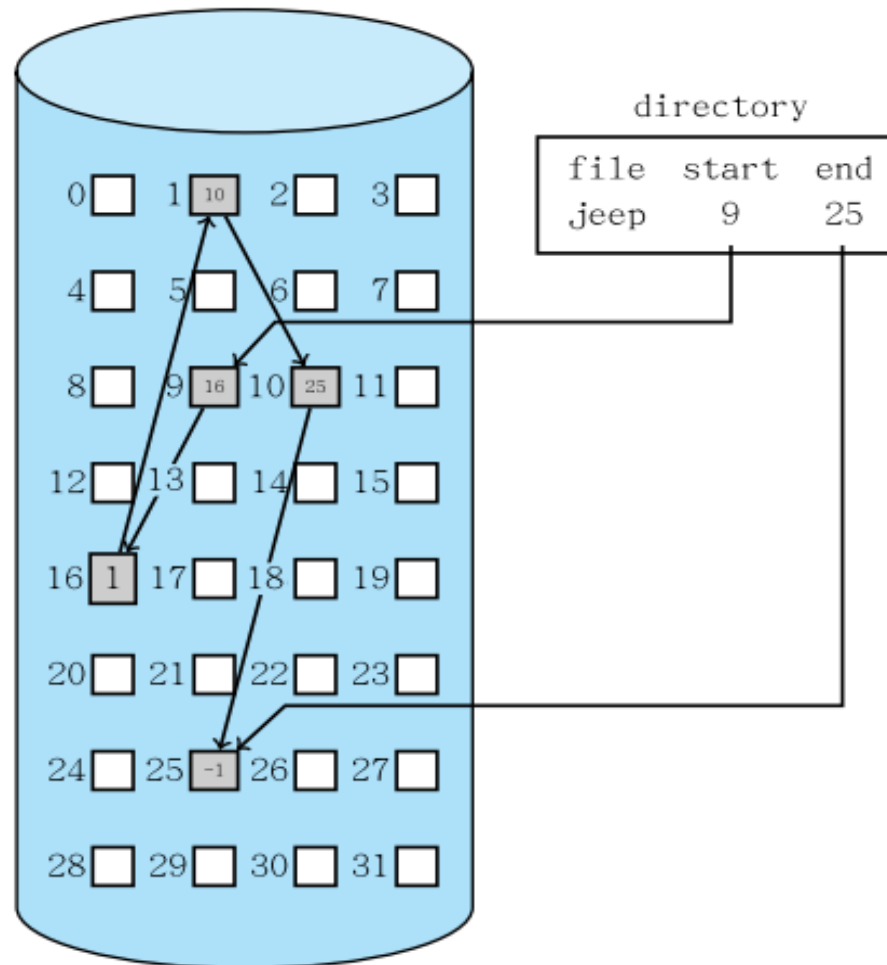


- ❑ Allocate as needed, link together
  - ✓ Simple -- only need starting address
  - ✓ Free-space management system -- no waste of space



# Linked Allocation (链接分配)

## ✚ Implicit (隐式链接)





# Linked Allocation (链接分配)

---

## ✚ Implicit (隐式链接)

### ❏ Disadvantage:

- ✓ No random access
- ✓ Link pointers need disk space  
E. g. : 512 per block, 4 per pointer  $\Rightarrow 0.78\%$

Solution: clusters

$\Rightarrow$  disk throughput  $\uparrow$   
But internal fragmentation  $\uparrow$



# Linked Allocation (链接分配)

## ✚ Implicit (隐式链接)

### ▣ Mapping:

Suppose

- ✓ block size = 512B,
- ✓ block pointer size = 1B, using the first byte of a block
- ✓ Logical addr in the file to be accessed = A

we have

- ✓ Data size for each block =  $512 - 1 = 511$
- ✓  $A/511 = Q \dots R$

then

- ✓ Block to be accessed is the Qth block in the linked chain of blocks representing the file.
- ✓ Displacement into block =  $R + 1$

### ▣ How to reduce searching time?



# Linked Allocation (链接分配)

---

## Explicit linked allocation:

### File Allocation Table, FAT

Disk-space allocation is used by MS-DOS and OS/2

### A section of disk at the beginning of each partition is set aside to contain the FAT

✓ The FAT has one entry for each disk block and is indexed by block number

✓ The entry contains

(1) the index of the next block in the file

(2) end-of-file, for the last block entry

(3) 0, for unused block

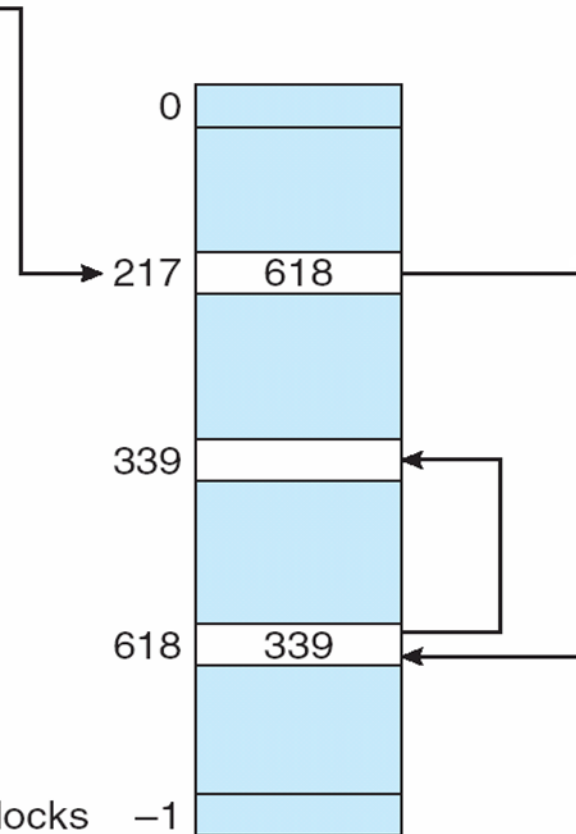
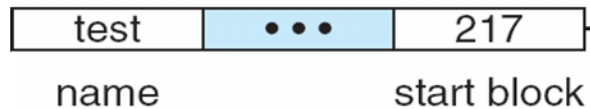
### Directory entry contains the first block number



# Linked Allocation (链接分配)

## File-Allocation Table

directory entry



no. of disk blocks

FAT





# Linked Allocation (链接分配)

## Explicit linked allocation:

- Now random-access time is improved, but is still not very efficient
- May result in a significant disk head seeks.

Solution: Cached FAT

- How to compute FAT size?

Suppose

✓ Disk space = 80 GB, Block size = 4 KB

Then

$$\begin{aligned}\text{Total block number} &= 80 \times 2^{30} / 2^{12} = 5 \times 2^{22} \\ 4 \times 2^{22} &= 2^{24} < 5 \times 2^{22} < 8 \times 2^{22} = 2^{25}\end{aligned}$$

✓ Length of each FAT entry? (25bits? 28bits? 32bits?)

✓ Length of FAT?  $(5 \times 2^{22} \times 4B = 80MB = 80GB/2^{10})$



# Indexed Allocation (索引分配)

---

- Indexed Allocation (索引分配): Brings all pointers together into one location -- the index block.
- Each file has its own index block
- Directory entry contains the index block address
- Each index block: An array of pointers (an index table)
  - ✓ Logical block number  $i$   
= the  $i^{\text{th}}$  pointer



# Indexed Allocation (索引分配)

---

- Advantage:

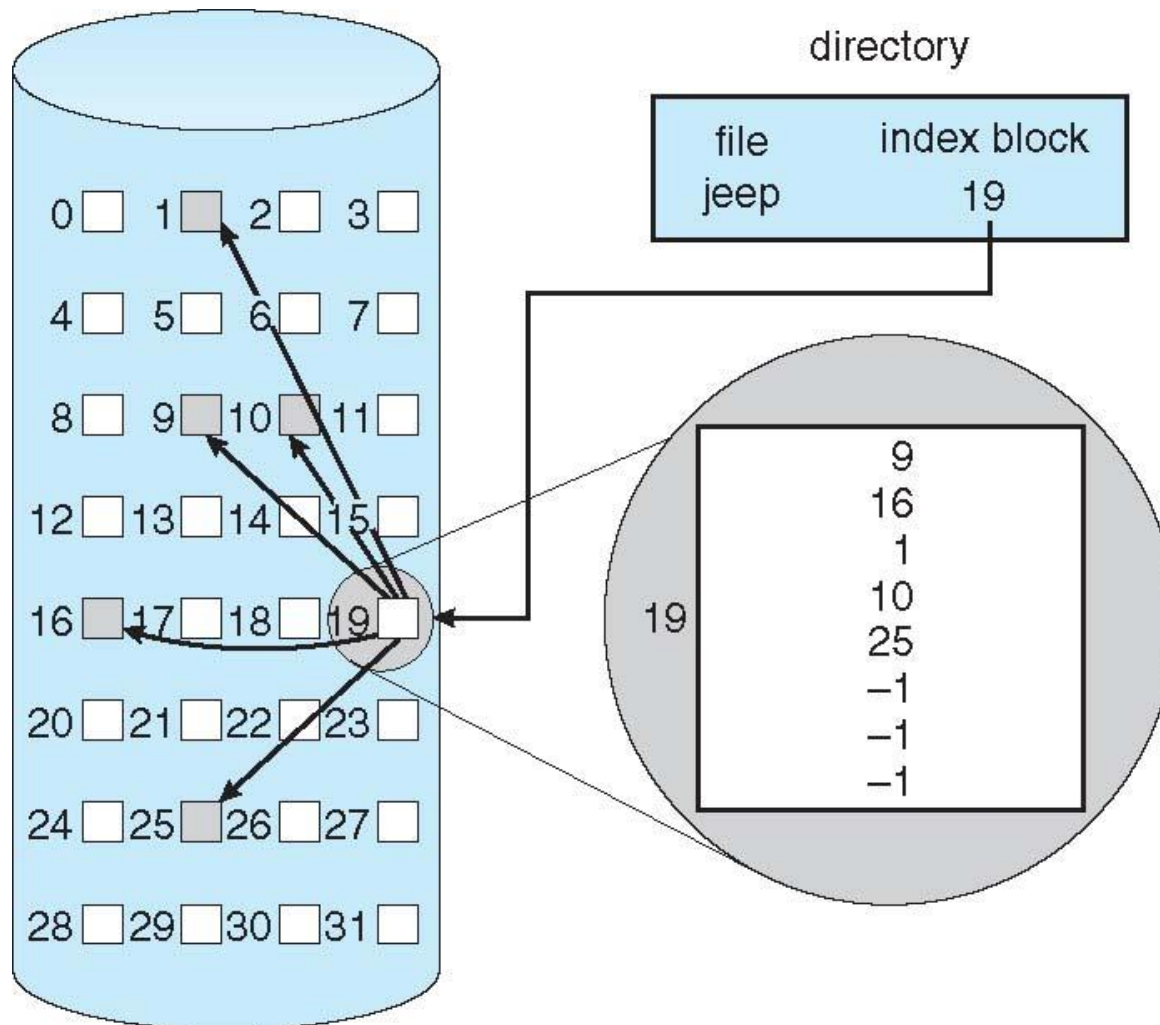
- ❏ Random access
- ❏ Dynamic access without external fragmentation

- Disadvantage:

- ❏ have overhead of index block.
- ❏ File size limitation, since one index block can contains limited pointers



# Example of Indexed Allocation





# Indexed Allocation (索引分配)

---

✿ Mapping from logical to physical

✿ Suppose

(1) Block size = 1KB

(2) Index size = 4B

Then for logical address LA, we have  $LA/1K = Q...R$

(3) Q = the index of the pointer

(4) R = displacement into block

We also have  $\text{Max file size} = 2^{10} / 4 \times 1\text{KB} = 256\text{KB}$



# *Indexed Allocation (索引分配)*

---

- How to support a file of unbounded length?
  - multi-level index scheme
  - combined scheme



# Indexed Allocation (索引分配)

## ❁ multi-level index scheme

### ❁ Mapping

Suppose

(1) Block size = 1KB

(2) Index or link pointer size = 4B

❁ Example: **Two-level index** (maximum file size is?)

We have

$$LA / (1K \times 1K/4) = Q1 \dots R1$$

(1)  $Q1$  = index into outer-index

(2)  $R1$  is used as follows:

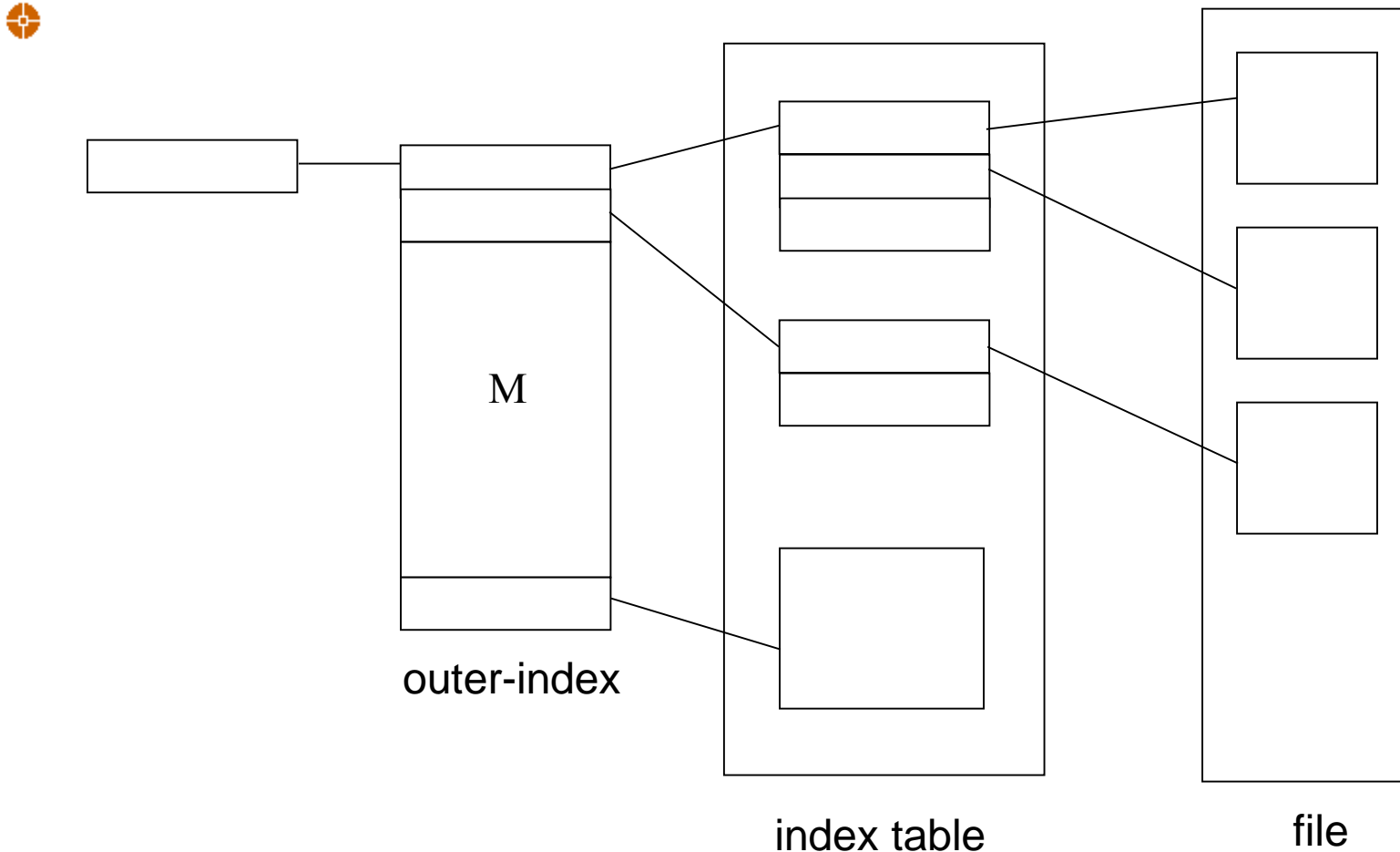
$$R1 / 1KB = Q2 \dots R2$$

(3)  $Q2$  = displacement into block of index table

(4)  $R2$  = displacement into block of file



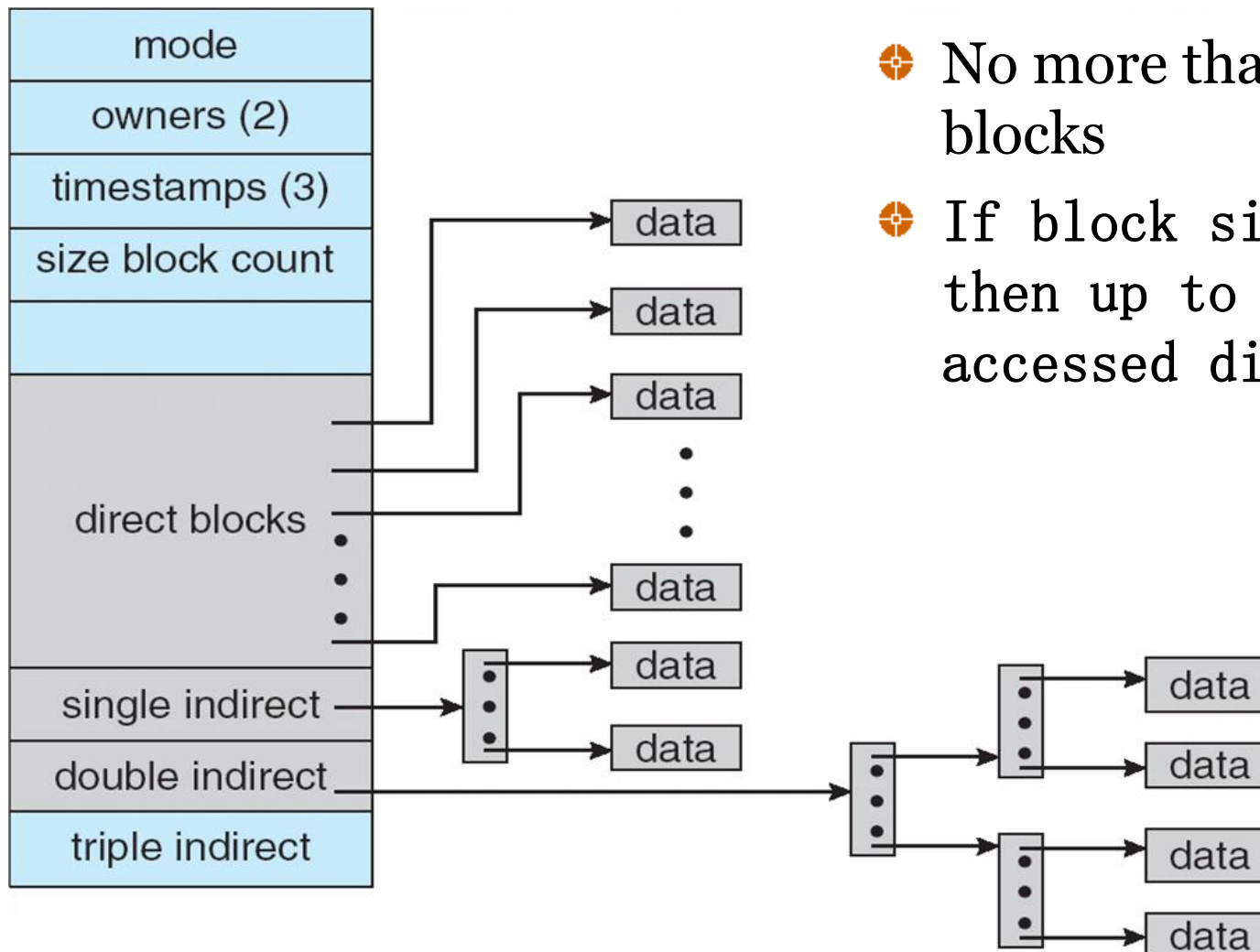
# *Indexed Allocation – Mapping*







# Combined Scheme (组合方式): UNIX (4K bytes per block)



- ✧ No more than 12 direct blocks
- ✧ If block size is 4KB, then up to 48KB can be accessed directly



# Combined Scheme (组合方式): UNIX (4K bytes per block)

---

- if 4KB per block, and 4B per entry

Maximum file size = ?

$$\text{Direct blocks} = 12 \times 4\text{KB} = 48\text{KB}$$

$$\text{Number of entries per block} = 4\text{KB}/4\text{B} = 1\text{K}$$

$$\text{Single indirect} = 1\text{K} \times 4\text{KB} = 4\text{MB}$$

$$\text{Double indirect} = 1\text{K} \times 4\text{MB} = 4\text{GB}$$

$$\text{Triple indirect} = 1\text{K} \times 4\text{GB} = 4\text{TB}$$



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# *Free-Space Management*

---

- ✿ Disk Space: limited
- ✿ Free space management: To keep track of free disk space
- ✿ Algorithms
  - ✦ Bit vector
  - ✦ Linked list
  - ✦ Counting



# Free-Space Management

## Bit vector

Free-space list is implemented as a bit map or bit vector

✓ 1 bit for each block

1=free;

0=allocated

✓ Example:

a disk where blocks 2,3,4,5,8,9,10,11,12,13,17,18,25,26,27 are free and the rest blocks are allocated. The bitmap would be

0011 1100 1111 1100 0110 0000 0111 0000 0...

✓ Bit map length.

For n blocks, if the base unit is word, and the size of word is 16 bits, then bit map length =  $(n + 15)/16$

U16 bitMap[bitMapLength];



# Free-Space Management

## Bit vector

How to find the first free block or  $n$  consecutive free blocks on the disk?

✓ To find the first free block:

Suppose: base unit = word (16 bits) or other

(1) find the first non-0 word

(2) find the first 1 bit in the first non-0 word

✓ If first  $K$  words is 0, &  $(K + 1)$ th word  $> 0$ , the first  $(K + 1)$ th word's first 1 bit has offset  $L$ , then

first free block number  $N = K \times 16 + L$



# *Free-Space Management*

---

## ❁ Bit vector

### ❁ Simple

### ❁ Must be kept on disk

Bit map **requires extra space**,

Example:

block size =  $2^{12}$  bytes

disk size =  $2^{30}$  bytes (1 gigabyte)

$n = 2^{30} / 2^{12} = 2^{18}$  bits (or 32K bytes)

### ❁ Easy to get contiguous files



# Free-Space Management

---

## ✿ Bit vector

✦ **Efficient** to get the first free block or  $n$  consecutive free blocks, **if we can always store the vector in memory.**

✓ But **copy in memory and disk may differ.**

E. g.  $\text{bit}[i] = 1$  in memory &  $\text{bit}[i] = 0$  on disk

✓ Solution:

Set  $\text{bit}[i] = 1$  in memory.

Allocate  $\text{block}[i]$

Set  $\text{bit}[i] = 1$  in disk





# *Free-Space Management*

---

- ❁ Linked Free Space List on Disk
  - ❁ Link together all the free disk blocks
    - ✓ First free block
    - ✓ Next pointer
  - ❁ Cannot get contiguous space easily
  - ❁ No waste of space



# *Free-Space Management*

---

## ✿ Counting

### ✦ Assume:

Several contiguous blocks may be allocated or freed simultaneously

- ✦ Each entry = first free block number & a counter (number of free blocks)
- ✦ Shorter than linked list at most time, generally counter  $> 1$



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# *Efficiency (空间)*

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- ✿ Efficiency in usage of disk space is dependent on:
  - ✦ Disk allocation and directory algorithms
  - ✦ **Various approaches**
    - ✓ Variable cluster size
    - ✓ Types of data kept in file's directory entry
    - ✓ Large pointers provides larger file length, but cost more disk space



# Performance (时间)

---

## ❁ Performance: other ways

- ❁ **disk cache** - on disk controllers, large enough to store entire tracks at a time.
- ❁ **buffer cache** - separate section of main memory for frequently used blocks
- ❁ **free-behind and read-ahead** - techniques to optimize sequential access
- ❁ improve PC performance by dedicating section of memory as virtual disk, or **RAM disk**



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# Recovery

## Consistency checking (一致性检查)

- ❑ **compares** data in directory structure with data blocks on disk, and **tries to fix** inconsistencies
- ❑ UNIX: fsck
- ❑ MS-DOS: chkdsk

## Backup & restore

- ❑ Use system programs to **back up** data from disk to another storage device (floppy disk, magnetic tape, other magnetic disk, optical)
- ❑ Recover lost file or disk by **restoring** data from backup
- ❑ A typical backup schedule may be:
  - Day1: full backup;
  - Day2: incremental backup;
  - ...
  - DayN: incremental backup. Then go back to Day1.



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# End of Chapter 10