



北京邮电大学软件学院

School Of software Engineering Of BUPT

厚德 博学 敬业 乐群



Operating Systems

Lecture 1 Introduction

JinpengChen

Email: jpchen@bupt.edu.cn



Outline

- ✚ Computer System Overview
- ✚ What is an Operating System?
- ✚ History of Operating Systems
- ✚ The Operating System Zoo
- ✚ Supplement Knowledge



Computer System overview

✚ Two questions?

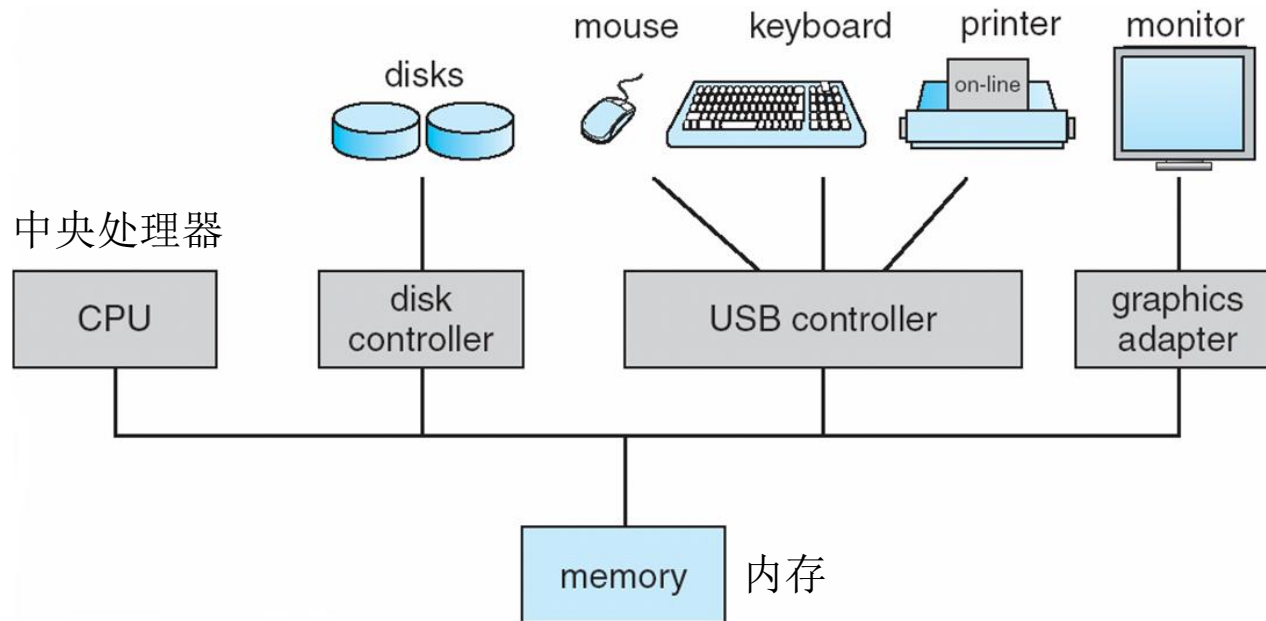
- ✚ In a computer system, what kinds of **hardware devices** are there?
- ✚ How to put the hardware devices into a system? What structure?



Computer System Organization

Computer-system operation

- ❑ One or more CPUs, device **controllers** connect through common bus providing access to shared memory.
- ❑ **Concurrent** execution of CPUs and devices competing for memory cycles.





Computer System Organization

❖ **Computer-system operation**

- ❖ Each device controller is **in charge of** a particular device style
- ❖ Each device controller has **a local buffer**
- ❖ CPU moves data from/to **main memory** to/from **local buffer**
- ❖ I/O is from the device to **local buffer** of controller
- ❖ Device controller informs CPU that it has finished its operation by causing an **interrupt**



Common functions of interrupt

- ❊ Interrupt transfers control to **interrupt service routine** through **interrupt vector**, which contains addresses of all the service routine
- ❊ Interrupt architecture must save the address of the interrupt instruction
- ❊ Incoming interrupt is disabled while another interrupt is being processed to prevent a lost interrupt
- ❊ **Trap:** a trap is a software-generated interrupt caused either by an error or a user request
- ❊ An operating system is interrupt driven



Interrupt handling

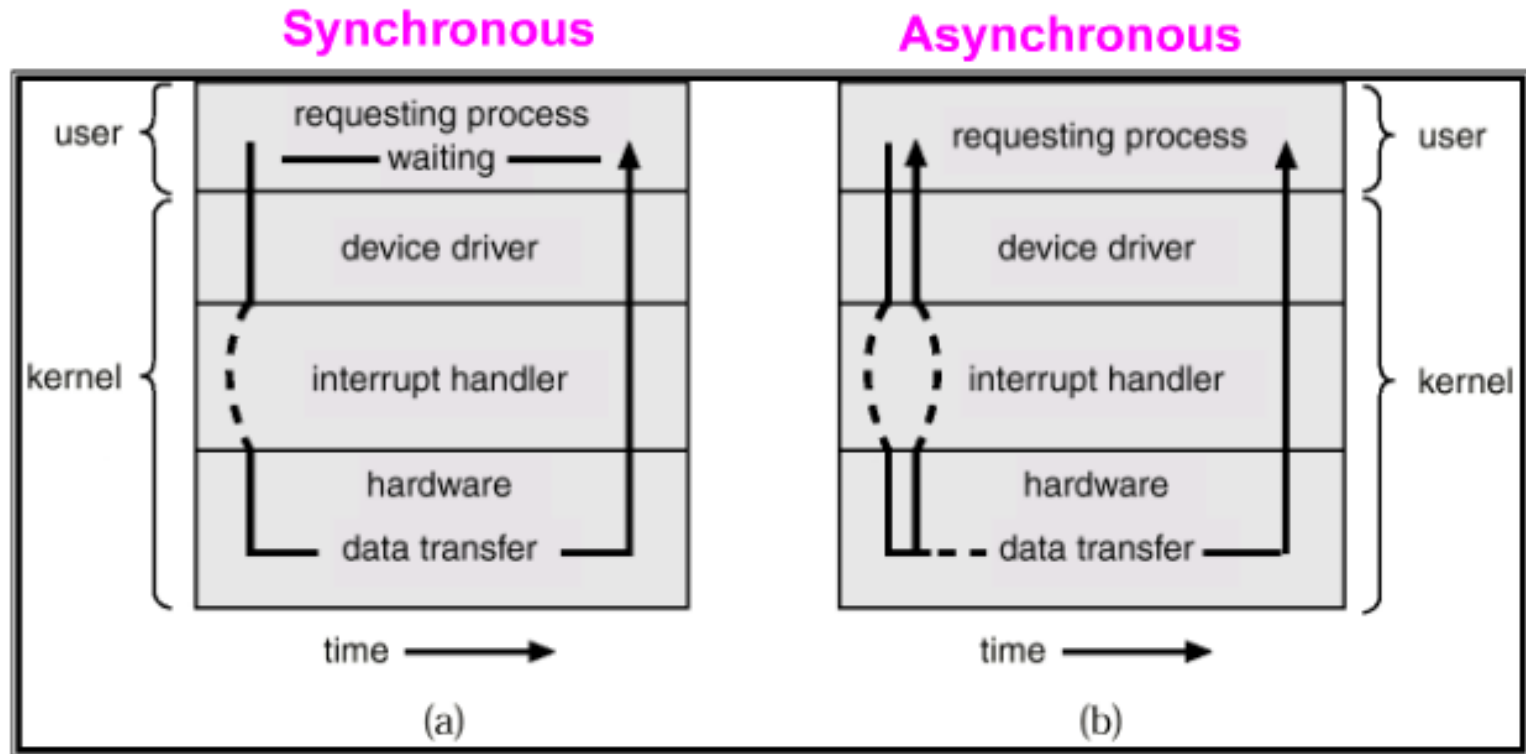
- ❖ OS **preserves** the state of the CPU by storing register and program counter
- ❖ Determines which type of interrupt has occurred:
 - ❖ **Polling**
 - ❖ **Vectored** interrupt system
- ❖ **Separate segments of code** determine what action should be taken for each type of interrupt



I/O structure

- ❖ After I/O starts, control returns to program ,two ways
 - ❖ **Synchronous**: Only upon I/O completion
 - ✓ Wait instruction **idles** the CPU until the next interrupt
 - ✓ Wait loop(contention for memory access)
 - ❖ **Asynchronous**: without waiting for I/O completion
 - ✓ System call --request to the operating system to allow user to wait for I/O completion
 - ✓ Device-status table--contains entry for each I/O device indicating its type, address, and state.
 - ✓ Operating system indexes into I/O device table to determine device status and to modify table entry to include interrupt.

Two I/O methods





Direct memory access

- ✚ Used for high-speed I/O devices able to transmit information at close to memory speeds
- ✚ Device controller transfers **blocks of data** from buffer storage **directly** to **main memory** without CPU intervention
- ✚ **Only one** interrupt is generated per block, rather than the one interrupt per type.



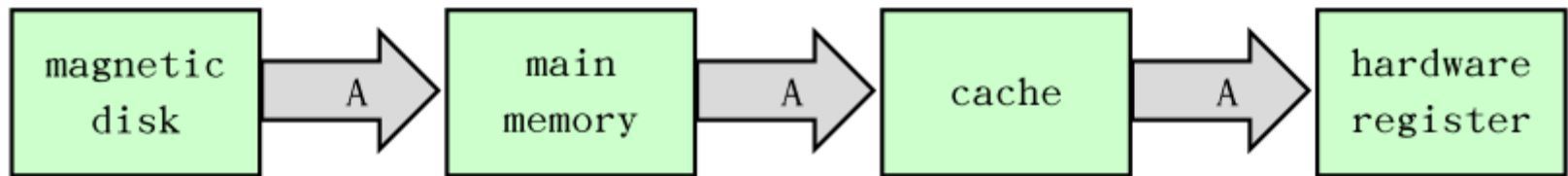
Caching(高速缓冲)

- ⊕ Performed at many levels in a computer (in hardware, operating system, software)
- ⊕ Information in use copied from slower to faster storage temporarily
- ⊕ When accessing, first check in the cache,
 - ⊠ if In: use it directly
 - ⊠ Not in: get from upper storage system, and leave a copy in the cache



Coherency and consistency

- ❖ Coherency Information in use copied from slower to faster storage temporarily
- ❖ Migration of Integer A from Disk to Register



- ❖ The same data may appear in different level of the storage system
- ❖ Multiprocessor environment must provide **cache coherency** in hardware such that all CPUs have the most recently updated value



Performance of Various Levels of Storage

- Movement between levels of storage hierarchy can be explicit or implicit

Level	1	2	3	4
Name	registers	cache	main memory	disk storage
Typical size	<1KB	>16MB	>16GB	>100GB
Implementation technology	custom memory with multiple ports, CMOS	on-chip or off-chip CMOS SRAM	CMOS DRAM	magnetic disk
Access time (ns)	0.25—0.5	0.5—25	80—250	5,000.000
Bandwidth (MB/sec)	20,000—100,000	5000—10,000	1000—5000	20—150
Managed by	compiler	hardware	OS	OS
Backed by	cache	main memory	disk	CD or tape

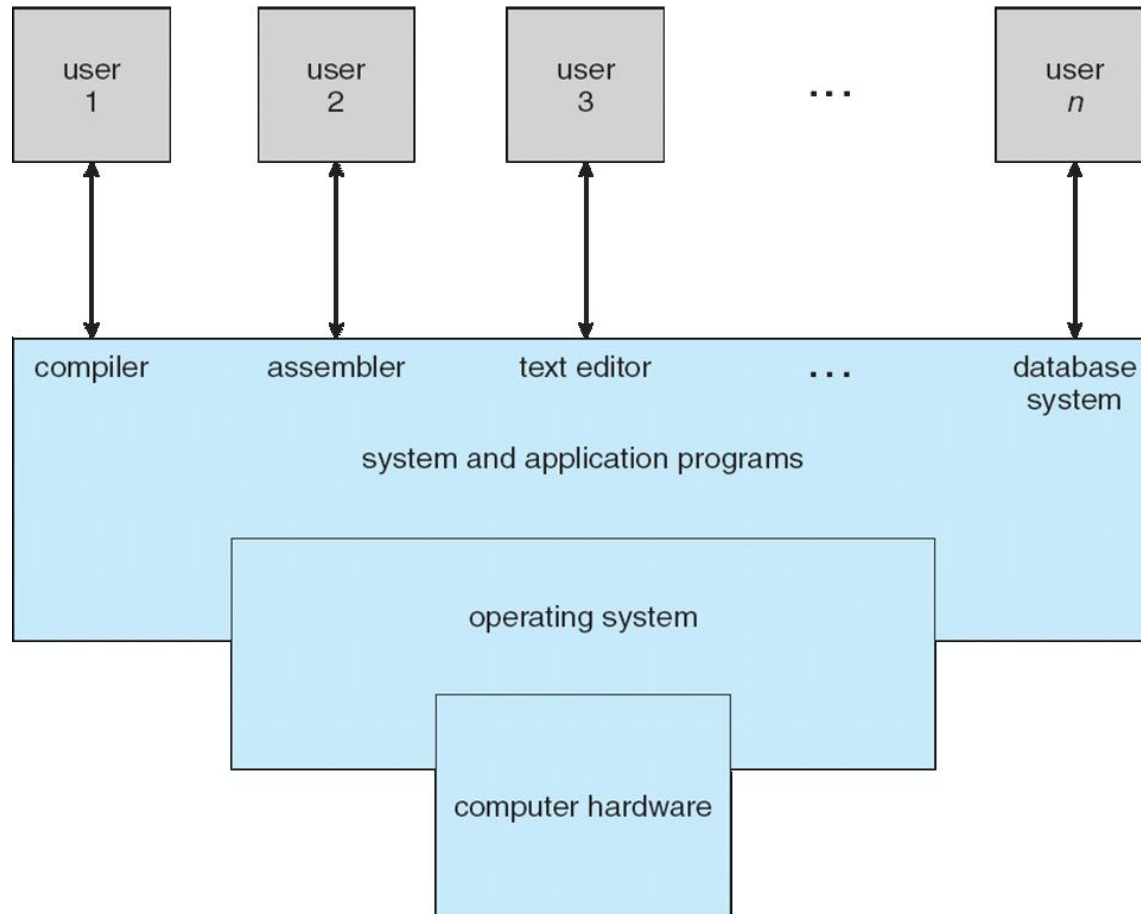


Computer System Components

- ❖ **Computer system can be divided into four components:**
 - ❖ Hardware – provides basic computing resources (CPU, memory, I/O devices).
 - ❖ Operating system – controls and coordinates the use of the hardware among the various application programs for the various users.
 - ❖ Application programs– define the ways in which the system resources are used to solve the computing problems of the users (Word processors, compilers, web browsers, database systems, video games).
 - ❖ Users (people, machines, other computers)



Four Components of a Computer System





Computer System overview





Computer instruction

Computer instruction

- 指令是计算机运行的最小的功能单元，是指挥计算机硬件运行的命令
- 算术运算指令、逻辑运算指令、移位操作指令、数据传送指令、输入输出指令、转移指令等

Instruction format

操作码

操作数1

操作数2

.....

- Example:

模仿 小鸡

加 1 2



Outline

- ⊕ Computer System overview
- ⊕ What is an Operating System?
- ⊕ History of Operating Systems
- ⊕ The Operating System Zoo
- ⊕ Supplement Knowledge



What is an Operating System?

❁ Why operating system?

- ❁ 如果程序员直接对硬件编程.....

❁ 编写一个程序

- ❁ Input: a name, such as Wukong
- ❁ Output: Hello, Wukong!



What is an Operating System?

✿ 如果直接对硬件编程.....

- ✦ 键盘、显示器显示的工作原理?
- ✦ 如何把缓冲区的数据拷贝到内存?
- ✦ 如何在屏幕的某个特定位置显示某个字符?

.....

✿ 怎么办?

- ✦ Adds an intermediary between the computer user and the computer hardware:
 - ✓ Manages the computer hardware
 - ✓ Provides a basis for application programs
- ✦ This intermediary is operating system



What is an Operating System?

✚ There are a lot of definitions.

✚ Do you know?





What is an Operating System?

✚ 不同人眼中的**OS**是不同的

- ✚ OS设计者： 如何管理CPU、内存、I/O设备等系统部件，使之能正常运转
- ✚ 应用程序开发人员： **API应用程序编程接口**

int MessageBox (...);

HWND CreatWindow (...);

int DrawText (...);

BOOL PlaySound (...);

HDC BeginPaint (...);

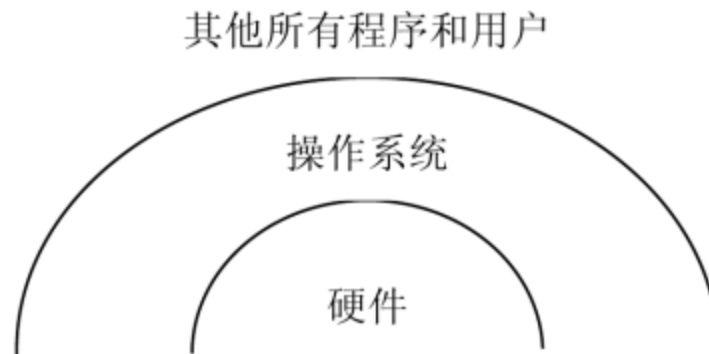
.....



What is an Operating System?

✚ Computer system = hardware + software (+data)

- ✚ Operating System(操作系统, OS) is the first software layer on the physical hardware, and can be viewed as the first expansion of computer hardware system.
- ✚ All applications running in the OSes, more or less, directly or indirectly, call the OS functions. For example, the simplest program “helloworld”.

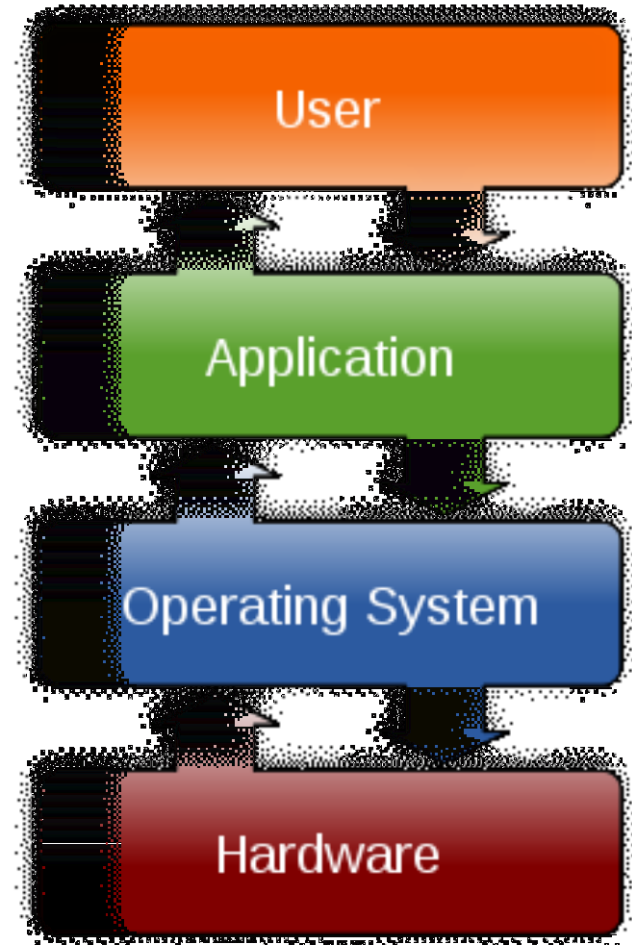




What is an Operating System?

Definition from Wikipedia

- An operating system (OS) is **an interface** between hardware and applications; it is responsible for the management and coordination of activities and the sharing of the limited resources of the computer.





What is an Operating System?

- ✿ 没有一个统一的、适用的定义！
- ✿ An Operating System is a program that
 - ✦ Manages the computer hardware
 - ✦ Provides a basis for application programs
 - ✦ Acts as an intermediary between the computer user and the computer hardware
- ✿ OS is a **resource allocator** that
 - ✦ Manages and allocates all resources （管理和分配资源）
 - ✦ Decides between conflicting requests for efficient and fair resource use
- ✿ OS is a **control program** that
 - ✦ Controls execution of programs to prevent errors and improper use of the computer
 - ✦ controls operations of I/O devices



What is an Operating System?

- Kernel – the one program running at all times (all else being application programs). -- 全时运行的一个程序



Our Traditional Definition

- ✿ A set of programs that control and manage computer hardware and software, and organize computer's workflow so as to make the computer system convenient for users to use reasonably and efficiently.
- ✿ 控制和管理计算机软硬件资源，合理组织计算机工作流程，方便用户合理使用计算机的程序的集合。
- ✿ 操作系统是一组控制和管理计算机软硬件资源、合理地各类作业进行调度以及方便用户的程序的集合



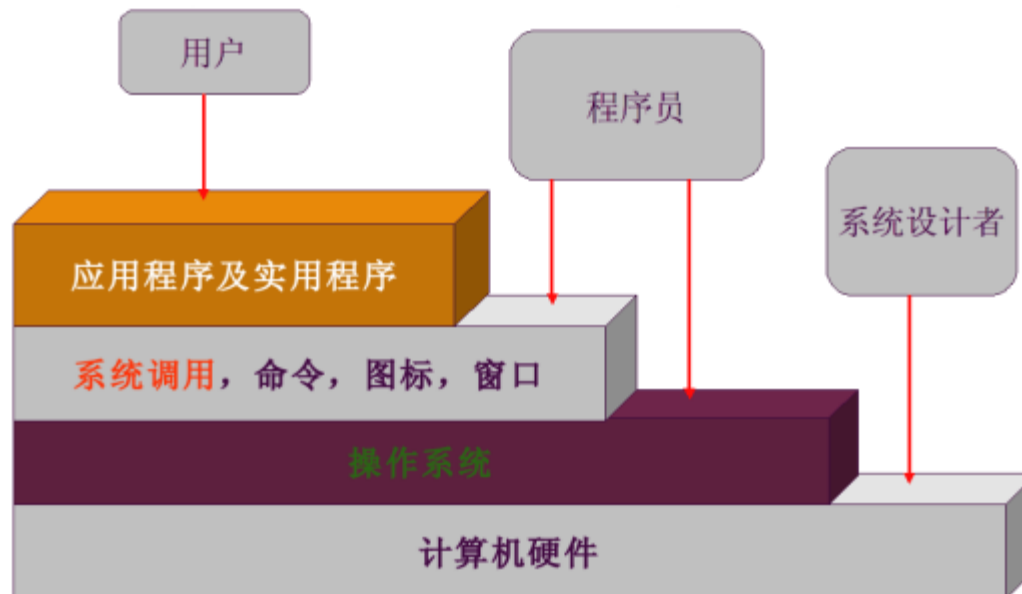
Operating System Goals

- ✿ Operating system purpose: Provide an environment in which a user can execute programs.
- ✿ 在计算机硬件上配置OS的（设计）目标有以下几点
 - ✦ convenience(方便性)
 - ✓ Execute user programs and make solving user problems easier
 - ✓ Make the computer system convenient to use
 - ✦ Effectiveness(有效性)
 - ✓ Use the computer hardware in an efficient manner （提高软硬件资源的利用率）
 - ✦ Extensibility(可扩充性)
 - ✓ 适应软硬件的发展需求
 - ✦ openness(开放性)
 - ✓ 可移植性、互操作性
- ✿ 方便性和有效性是操作系统最重要的两个目标。



Roles of operating system

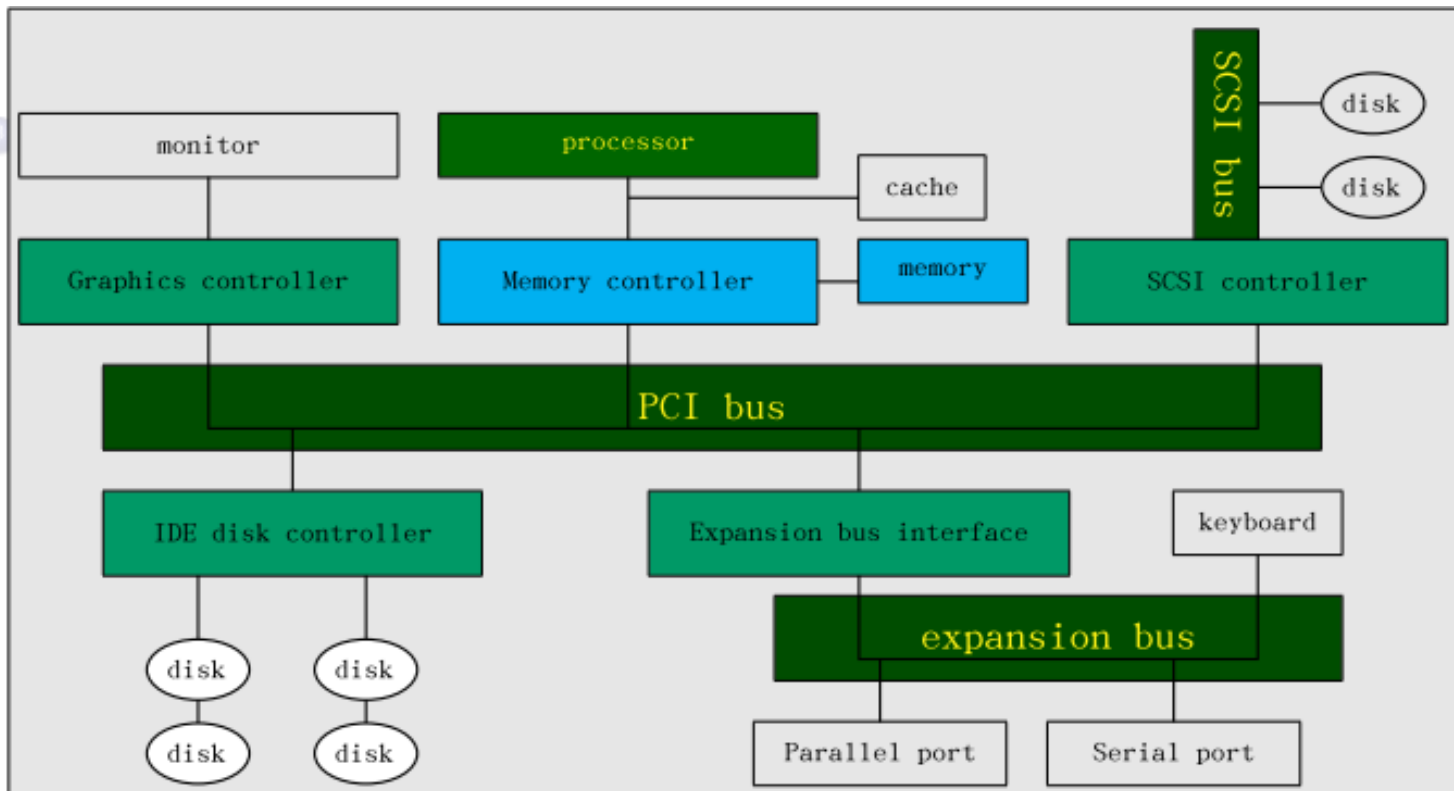
- 对操作系统作用的理解，有不同的观点
 - 用户与计算机硬件系统之间的接口(interface)
 - ✓ 命令接口(Command Line Interface, CLI)、
 - ✓ 图形用户接口(Graphical User Interface, GUI)
 - ✓ 编程接口（系统调用接口(system call)）





Roles of operating system

- 对操作系统作用的理解，有不同的观点
 - 计算机资源的管理者(resource allocator)
 - ✓ 四类资源：处理机、存储器、I/O设备、文件





Roles of operating system

- ✚ 对操作系统作用的理解，有不同的观点
 - ▣ 扩充机器（或虚拟机Virtual Machine）
 - ✓ 虚拟机：覆盖了软件的机器
 - ✓ 层次性



Outline

- ⊕ Computer System overview
- ⊕ What is an Operating System?
- ⊕ History of Operating Systems
- ⊕ The Operating System Zoo
- ⊕ Supplement Knowledge

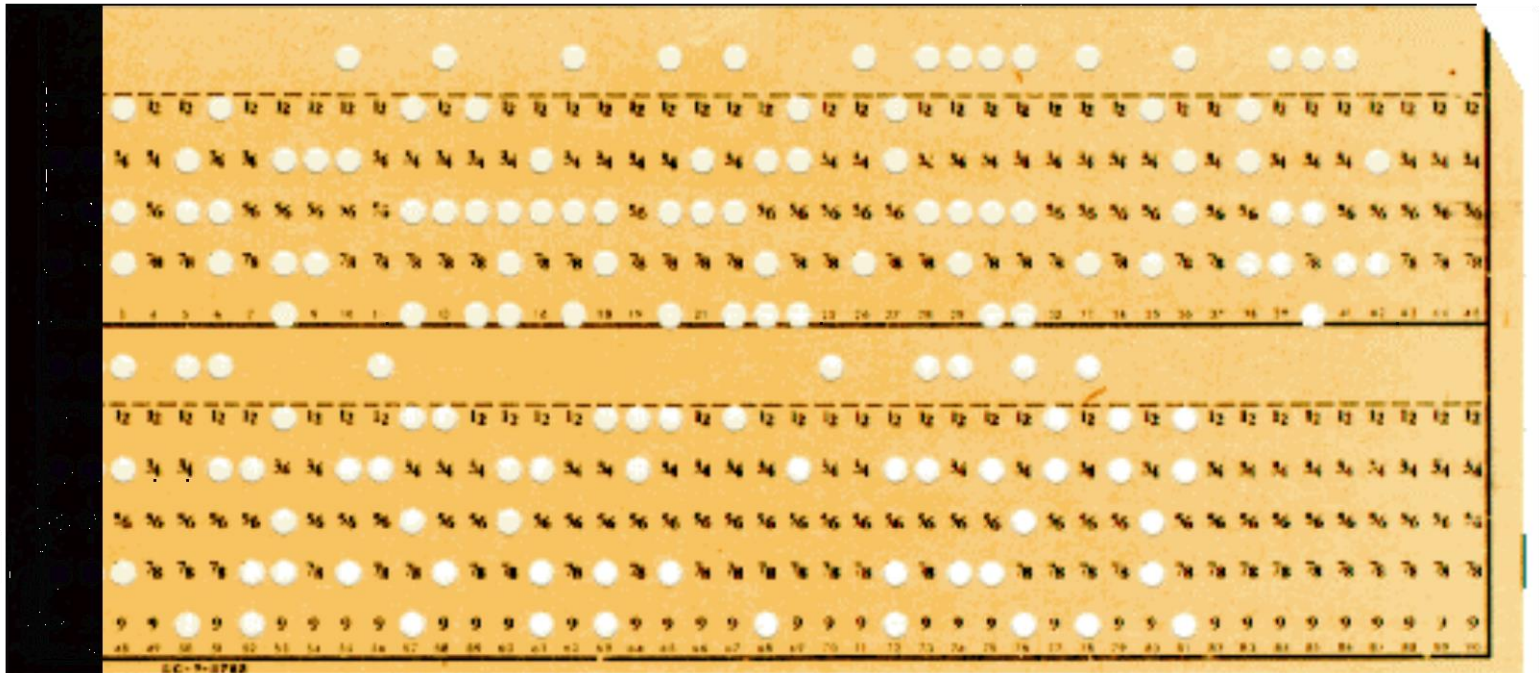


History of Operating Systems

- ❖ Phase 1: 1945-1955 （硬件昂贵，无操作系统）
- ❖ OS: no OS at all ! (programs were entered by setting some switches) ---Manual system （人工操作）
- ❖ Computer designer is: builder, programmer, operator, and “sys admin”
- ❖ A physicist who wanted to calculate the trajectory of a missile would sign up for an hour on the computer in advance.
- ✓ When his time came, he would come into the room, feed in his program from punched cards or paper tape, watch the lights flash, maybe do a little debugging, get a print-out, and leave.



Punched Card





History of Operating Systems

- Phase 2: 1955-1965 (硬件昂贵, 人力便宜)
- Universities started to buy computers (spending millions of dollars)
- OS: batch system
- Programming language: FORTRAN & Assembly
- Computer: Mainframes
- Low CPU utilization -- slow mechanical I/O devices



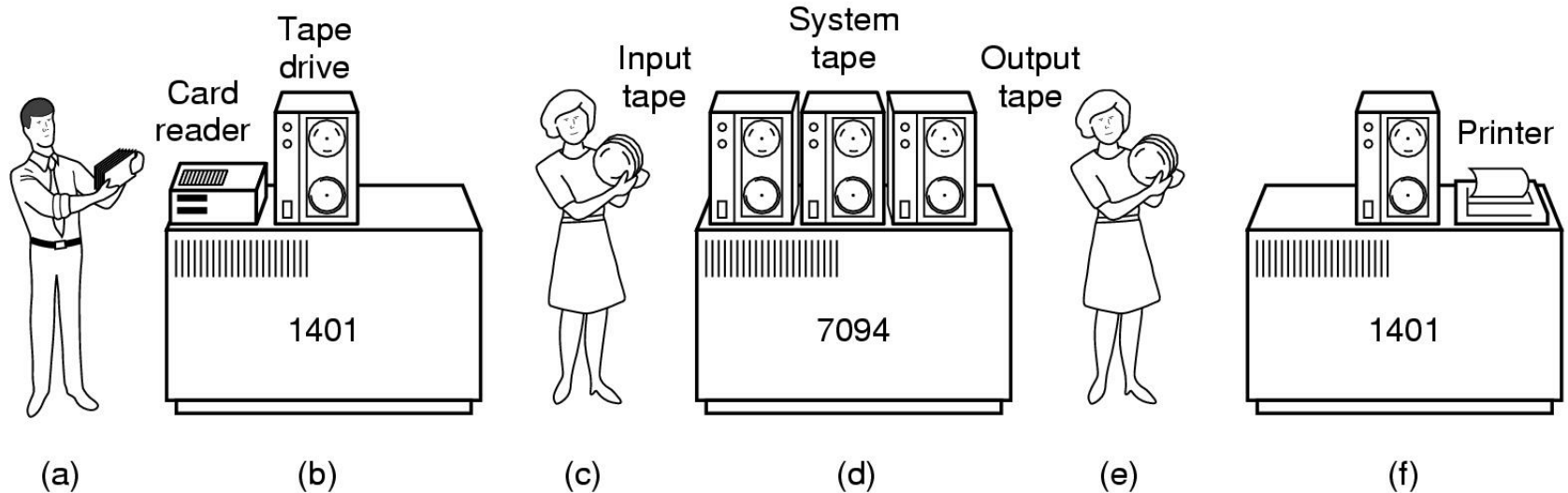
IBM
STRETCH



DEC
PDP-1

Early Batch System

Phase 2: 1955-1965 (硬件昂贵, 人力便宜)



- ❖ bring cards to 1401
- ❖ read cards to tape
- ❖ put tape on 7094 which does computing
- ❖ put tape on 1401 which prints output



Batch Systems

作业=程序+数据+处理步骤

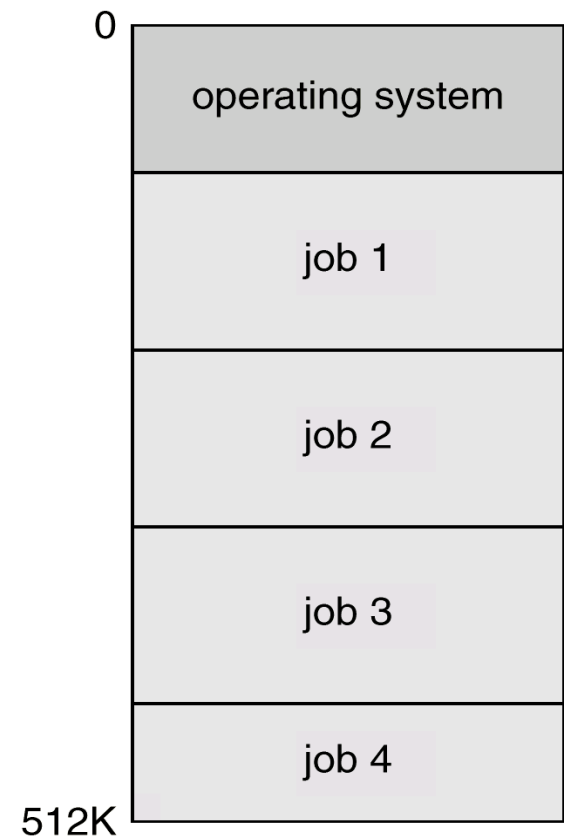
- 🔦 Phase 2: 1955-1965 (硬件昂贵, 人力便宜)
- 🔦 Hire an operator 设置一个操作员
- 🔦 User \neq operator
- 🔦 Reduce setup time by batching similar jobs
批量处理同类作业减少了设置时间
- 🔦 OS's main task: Automatic job sequencing – automatically transfers control from one job to another.
- 🔦 Resident monitor
 - ✓ initial control in monitor 初始化管理程序
 - ✓ control transfers to job 转换控制到作业



History of Operating Systems

Phase 2: 1965-1980 (Multiprogrammed Batch Systems)

- Several jobs are kept in main memory at the same time, and the CPU is multiplexed among them.
- Multiprogramming increases CPU utilization by organizing jobs such that CPU always has one to execute.

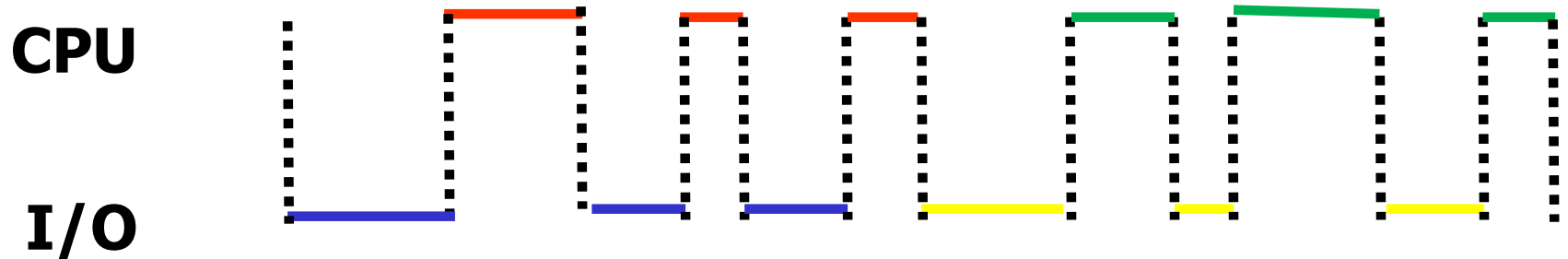




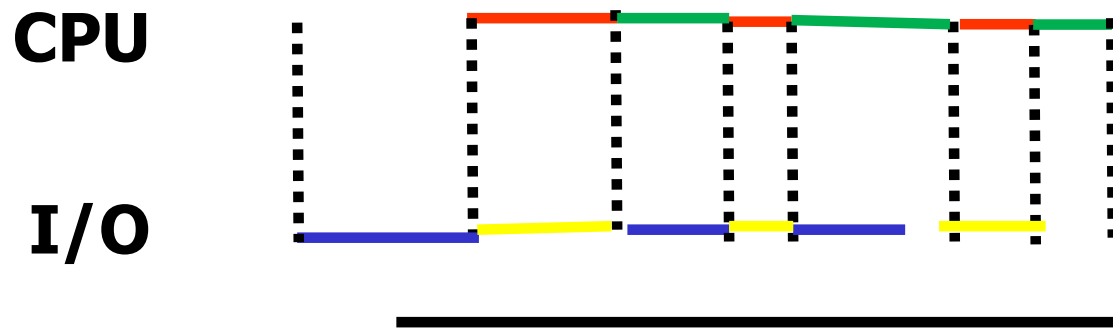
History of Operating Systems

Phase 2: 1965-1980 (Multiprogrammed Batch Systems)

Simple batch system: Job A (blue red) Job B (Yellow green)



Multiprogramming
batch system: Job A (blue red) Job B (Yellow green)





History of Operating Systems

- 🌀 Phase 2: 1965-1980 (Multiprogrammed Batch Systems)
 - ❏ OS Features Needed for Multiprogramming
 - ✓ I/O routine supplied by the system.
 - ✓ Memory management– the system must allocate the memory to several jobs.
 - ✓ CPU scheduling – the system must choose among several jobs ready to run.
 - ✓ Allocation of devices.



History of Operating Systems

🌀 Phase 2: 1965-1980 (Multiprogrammed Batch Systems)

❏ OS Features Needed for Multiprogramming

✓ Improve CPU utilization

7%--100% (in theory)

✓ Improve memory and I/O devices utilization

✓ Increase system throughput

Characteristics of Multiprogrammed Batch Systems:

多道性、无序性、调度性（两级）



History of Operating Systems

🌀 Phase 2: 1965-1980 (Multiprogrammed Batch Systems)

❏ Can you tell me the concept of “Multiprogramming”?

- ✓ 两个/两个以上的作业同时入主存
- ✓ 处于宏观运行状态
- ✓ 共享所有系统资源



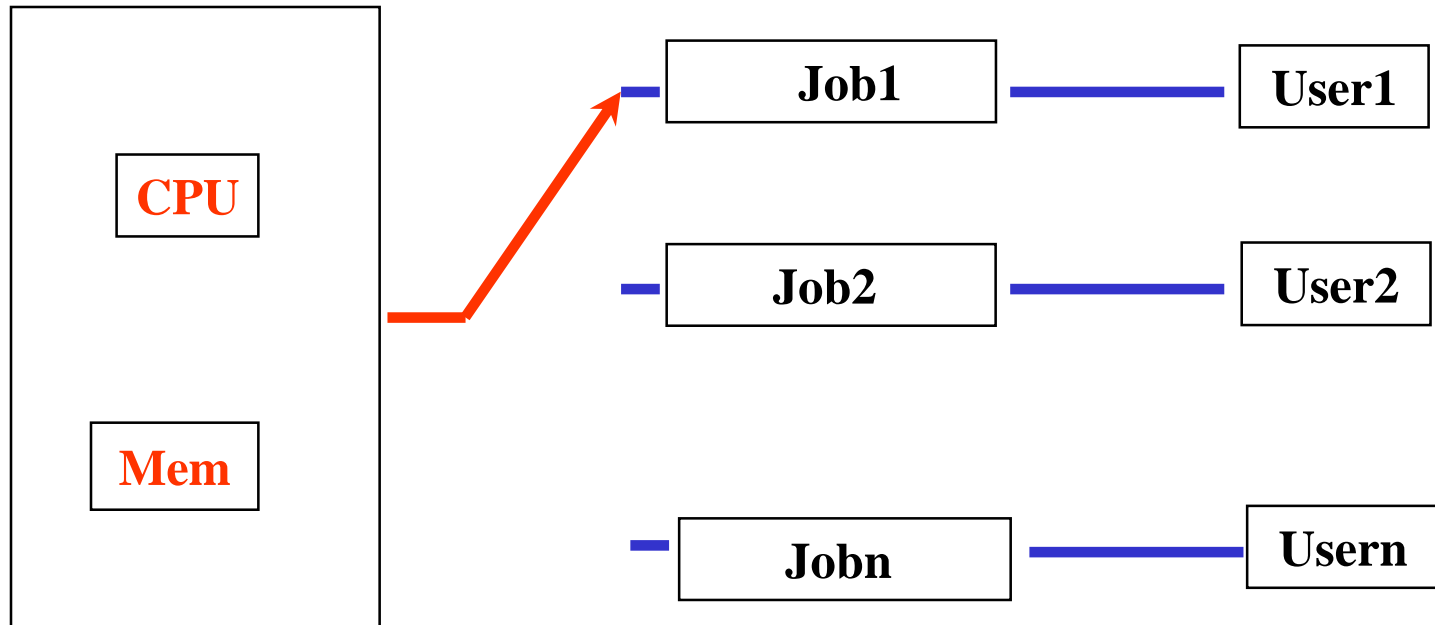
History of Operating Systems

- 🌀 Phase 3: 1975-1980 (Timesharing Systems)
 - ❑ Requirements: User need interaction with computer
 - ✓ Response time < 1 sec
 - ❑ Solutions
 - ✓ Share CPU by time pieces (时间片)
 - ✓ Time-sharing (multitasking)
 - ❑ Users share Main frame
 - ✓ One main frame VS. Multi users & Multi terminal
 - ❑ Time-sharing system is a logical extension of multiprogramming
 - ❑ 经典操作系统: CTSS、MULTICS、UNIX



History of Operating Systems

Phase 3: 1975-1980 (Timesharing Systems)





History of Operating Systems

- 🌀 Phase 4: 1980-now (Multiprogrammed Batch Systems)
 - ❏ personal computers were developed after LSI (Large Scale Integration) circuits were invented.
 - ❏ First Microcomputer:
 - ✓ Intel 8080 CPU + attached 8-inch floppy disk
 - ✓ First disk based OS: CP/M (Control Program for Microcomputers)
 - ❏ Programming languages: C/C++, Java,
 - ❏ OS: DOS, Windows, MacOS, Linux



History of Operating Systems

- 🌀 Phase 4: 1980-now (Multiprogrammed Batch Systems)
 - ❏ Bill Gates suggested IBM that they should look at CP/M (by Gary Kildall)
 - ❏ The biggest mistake of all:
 - ✓ Kindall refused to sign a non-disclosure agreement
 - ✓ IBM went back to Bill Gates and signed a contract with him to write an OS for their new home computer
 - ❏ MS-DOS was based on QDOS, the "Quick and Dirty Operating System" written by Tim Paterson of Seattle Computer Products
 - ❏ QDOS was based on Gary Kildall's CP/M
 - ❏ Microsoft bought the rights to QDOS for \$50,000



Outline

- ⊕ Computer System overview
- ⊕ What is an Operating System?
- ⊕ History of Operating Systems
- ⊕ The Operating System Zoo
- ⊕ Supplement Knowledge



The Operating System Zoo

- ✿ Mainframe operating systems
 - ❏ Room-size computers
 - ❏ High I/O capacity
 - ❏ Offers:
 - ✓ Batched OS(no interaction, such as large reports)
 - ✓ Multiprogrammed OS(large number of small requests)
 - ✓ Time-sharing OS(multiple users sitting in front of clients)



The Operating System Zoo

- ❁ Server operating systems
 - ❁ Offer services like print, file, or web
 - ❁ UNIX, Windows 2000, Linux
- ❁ Multiprocessor operating systems
 - ❁ Parallel computing
- ❁ Personal computer operating systems
 - ❁ Single user with a good GUI, such as Windows 98, Windows 2000, Macintosh OS, Linux



The Operating System Zoo

- ⊕ Real-time operating systems
 - ⊞ E.g. industrial process control systems where each job must be completed in the specified time.
 - ⊞ Hard real-time (nuclear reactor control systems) or soft-real time systems (e.g multimedia systems) depending on the acceptance of missing deadlines
- ⊕ Embedded operating systems
 - ⊞ Real-time systems with some resource constraints like memory, CPU, power.
- ⊕ Smart card operating systems
 - ⊞ Extremely primitive OS running on credit card-sized devices with a CPU.



Mainframe operating systems

✚ The OS

- ✚ was always resident in memory
- ✚ automatically **transferred control** from one job to another

✚ Batching

- ✚ Programmers submitted jobs in a job control language (shell...)
- ✚ Operators batched together jobs with similar needs and run them as a group



The Operating System Zoo

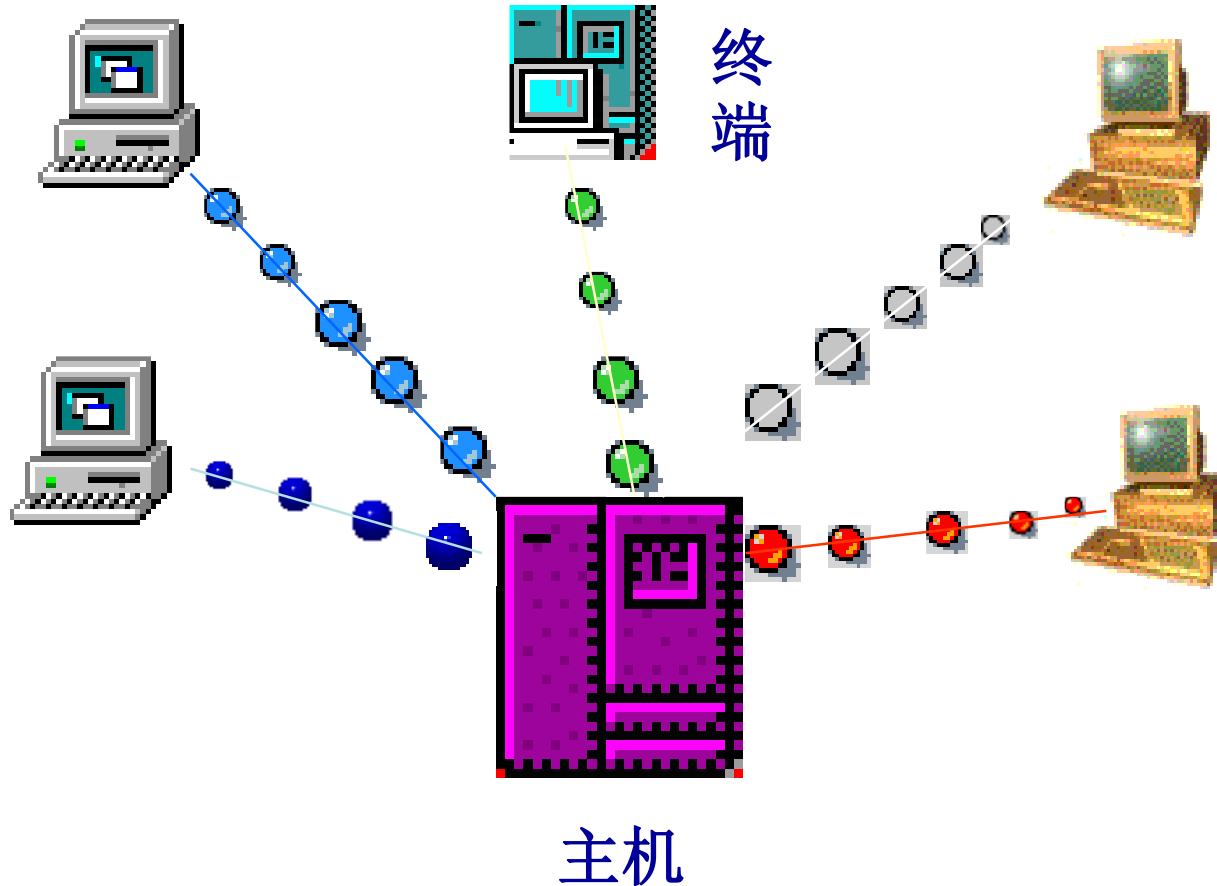
⊕ Time-Sharing Systems

- ❑ The CPU is **multiplexed**多路 among several jobs that are kept in memory and on disk (the CPU is allocated to a job only if the job is in memory).
- ❑ A job is **swapped in and out** of memory to the disk.
- ❑ **On-line communication** between the user and the system is provided; when the operating system finishes the execution of one command, it seeks the next “control statement” not from a card reader, but rather from the user’s keyboard.
- ❑ On-line system must be available for users to access data and code.



The Operating System Zoo

Time-Sharing Systems





The Operating System Zoo

- ⦿ Real-Time Systems (专用系统)
- ⦿ Often used as a control device in a dedicated application such as
 - ✓ controlling scientific experiments,
 - ✓ medical imaging systems,
 - ✓ industrial control systems, and some display systems.
- ⦿ Well-defined fixed-time constraints.
严格的时间限制





The Operating System Zoo

⊕ Real-Time Systems

⊞ Hard real-time system 硬实时系统

- ✓ Secondary storage limited or absent, data stored in short-term memory, or read-only memory (ROM)
- ✓ Conflicts with time-sharing systems, not supported by general-purpose operating systems.

⊞ Soft real-time system 软实时系统

- ✓ Limited utility in industrial control or robotics
- ✓ Useful in applications (multimedia, virtual reality) requiring advanced operating-system features.



The Operating System Zoo

❁ Personal-Computer Systems

- ❁ Personal computers – computer system dedicated to a single user.
- ❁ I/O devices – keyboards, mice, display screens, small printers.
- ❁ User convenience and responsiveness.
- ❁ Can adopt technology developed for larger operating system, often individuals have sole use of computer and do not need advanced CPU utilization or protection features.

可采用大型操作系统研发的技术，个人独占计算机不需要高级CPU使用的保护技术



The Operating System Zoo

🌀 Parallel Systems

- ❑ Multiprocessor systems with more than one CPU in close communication.
- ❑ **Tightly coupled system** 紧耦合系统 – processors share memory and a clock; communication usually takes place through the shared memory.
- ❑ Advantages of parallel system:
 - ✓ Increased **throughput** 吞吐量
 - ✓ Economical
 - ✓ Increased reliability

graceful degradation 故障时性能降低不大

fail-soft systems 软失效系统



The Operating System Zoo

❁ Parallel Systems

❁ Symmetric multiprocessing (SMP) 对称多处理

- ✓ Each processor runs an identical copy of the operating system.
- ✓ Many processes can run at once without performance deterioration.
- ✓ Most modern operating systems support SMP

❁ Asymmetric multiprocessing 非对称多处理器

- ✓ Each processor is assigned a specific task; master processor schedules and allocates work to slave processors.
- ✓ More common in extremely large systems



The Operating System Zoo

❁ Distributed Systems

- ❁ Distribute the computation among several physical processors.
- ❁ Loosely coupled system – each processor has its own local memory; processors communicate with one another through various communications lines, such as high-speed buses or telephone lines.
- ❁ Advantages of distributed systems.
 - ✓ Resources Sharing
 - ✓ Computation speed up
 - ✓ Reliability
 - ✓ Communications



The Operating System Zoo

- ⊕ Distributed Systems
 - ⊞ Network Operating System
 - ✓ provides file sharing
 - ✓ provides communication scheme
 - ✓ runs independently from other computers on the network
 - ⊞ Distributed Operating System
 - ✓ less autonomy between computers
 - ✓ gives the impression there is a single operating system controlling the network.



Outline

- ⊕ Computer System overview
 - ⊕ What is an Operating System?
 - ⊕ History of Operating Systems
 - ⊕ The Operating System Zoo
 - ⊕ Hardware features required by OS
- (Supplement Knowledge)



Hardware features required by OS

- ✚ 任何系统软件都是对硬件系统的延伸，都是建立在硬件基础上的，离不开硬件设施的支持，而操作系统更是直接依赖于硬件，与硬件的关系尤为密切



Hardware features required by OS

- ✚ Hardware protection
- ✚ System call
- ✚ Memory protection
- ✚ Interrupt architecture
- ✚ I/O systems
- ✚ Timer systems



Hardware features required by OS

✿ Hardware protection

- ✿ A properly designed OS must ensure that an incorrect (or malicious) **program cannot cause other programs to execute incorrectly.**

- ✓ When in dead loop
- ✓ When sharing resources
- ✓ When one erroneous program might modify the program or data of another program, or even the OS

✿ Hardware must provide protection

- ✓ **Dual-Mode Operation**
- ✓ I/O protection
- ✓ Memory protection
- ✓ CPU protection



Hardware features required by OS

- ✦ Protected instruction（特权指令- **privileged instruction**）
- ✦ 有些指令只有操作系统才有权使用，比如：
 - ✓ 访问某些硬件资源的指令，这些硬件资源禁止用户程序直接访问；
 - ✓ 对I/O设备的直接访问指令，如磁盘、打印机等；
 - ✓ 对内存管理状态进行操作的指令（页表指针、刷新TLB等）；
 - ✓ 某些特殊的状态位的设置指令；
 - ✓ 停机指令



如何从硬件上实现OS的这个要求?

- 处理器的状态
- 根据运行程序对资源和机器指令的使用权限，把处理器设置为不同的状态；
- 多数系统将处理器工作状态分为管态（**Kernel mode**）和目态（**User mode**）：
 - ✓ 管态：操作系统的管理程序运行时的状态，较高的特权级别，又称为特权态、系统态、内核态
 - ✓ 处理机处于管态时：可以执行所有的指令（包括特权指令）、使用所有的资源，并具有改变处理机状态的能力。
 - ✓ 目态：用户程序运行时的状态，较低的特权优先级，又称为普通态（普态）、用户态
 - ✓ 在此状态下，禁止使用特权指令，不能直接使用系



如何从硬件上实现OS的这个要求?

统资源与改变CPU状态，并且只能访问用户程序所在的存储空间；

✓ 有些系统将处理器状态划分为：核心状态、管理状态和用户程序状态三种。



Examples: x86 系列处理器

- ✓ 386、486、Pentium 系列都支持 4 个处理器特权级别（特权环 R0、R1、R2 和 R3）；
- ✓ 从 R0 到 R3 特权能力一次降低；
- ✓ R0 相当于双状态系统的管态；
- ✓ R3 相当于目态；
- ✓ R1 和 R2 介于两者之间，他们能够运行的指令集合具有包含关系：

$$I_{R3} \subseteq I_{R2} \subseteq I_{R1} \subseteq I_{R0}$$



Examples: x86系列处理器

- ❖ 各个级别有保护性检查（地址校验、I/O限制）
- ❖ 特权级别之间的转换方式不尽相同
- ❖ 四个级别运行不同类别的程序：
 - ✓ R0-运行OS核心代码；
 - ✓ R1-运行关键设备驱动程序和I/O处理例程；
 - ✓ R2-运行其他受保护共享代码，如语言系统运行环境
 - ✓ R3-运行各种用户程序
- ❖ 现有基于x86系列处理器的操作系统，多数UNIX、Linux以及Windows系列大都只用了R0和R3两个特权级别



Question 1

- ❖ CPU怎么来判断当前运行的程序是系统程序还是用户程序？



程序状态字 (PSW)

- ✦ 一个专门的寄存器，用来指示处理机的状态，PSW (Program Status Word)，通常包括：
 - ❑ CPU的工作状态码-指明管态还是目态，用来说明当前在CPU上执行的是操作系统还是一般用户，从而决定其是否可以使用特权指令或拥有其他的特殊权利；
 - ❑ 条件码-反映指令执行后的结果特征；
 - ❑ 中段屏蔽码-指出是否允许中断
 - ❑

Question: 如果CPU只有两个状态：系统态、内核态，用几位来描述CPU状态？



Question 2

✿ CPU状态之间如何转换？



Question 2

- ❖ 管态到目态
通过设置**PSW**（修改程序状态字）来实现
- ❖ 目态到管态
用户程序无法直接修改程序状态字
- ❖ 那么用户程序如何才能去做一些带有“特权”的事情（如**I/O**）呢？
解决方法--



Hardware features required by OS

- ✚ Protected instruction
- ✚ System call
- ✚ Memory protection
- ✚ Interrupt architecture
- ✚ I/O systems
- ✚ Timer systems



System call

- ❖ 用户程序通过特殊的访管指令，来请求操作系统为其提供某种功能的服务，系统调用指令实现的一般过程是
 - ❖ 当CPU执行访管指令时，即引起访管中断；
 - ❖ 处理器保存中断点的程序执行上下文环境（PSW、PC和其他的一些寄存器），CPU切换到管态；
 - ❖ 中断处理程序开始工作，调用相应的系统服务；
 - ❖ 中段处理结束后，恢复被中断程序的上下文环境，CPU恢复为目态，回到中断点继续执行。

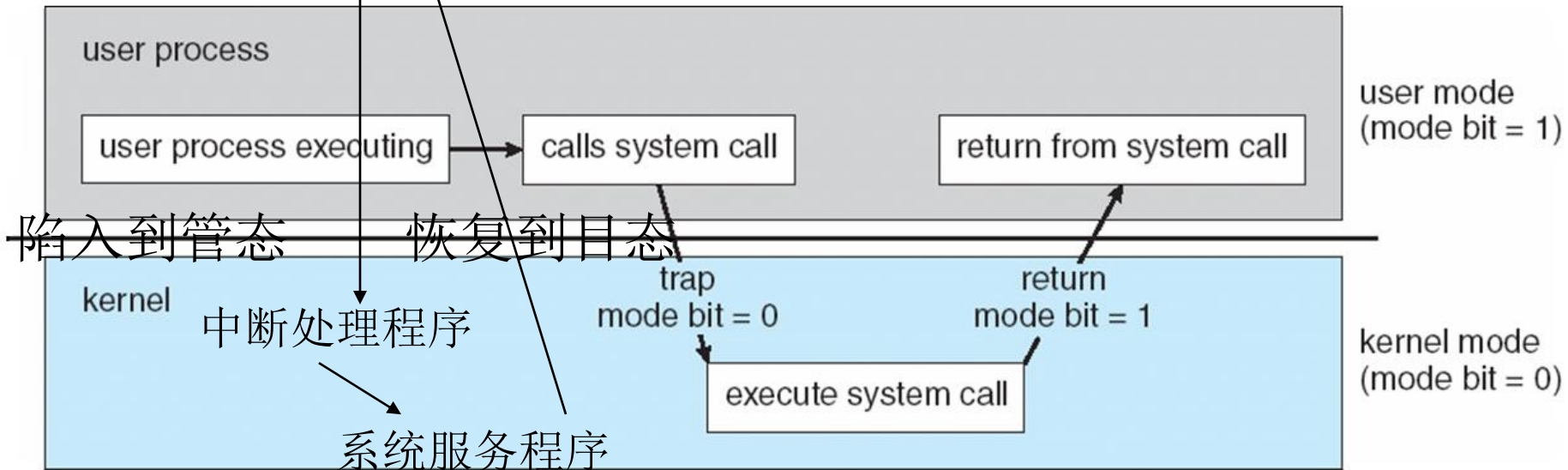


System call

用户程序

系统调用（汇编指令 INT）

目态（用户态）



OS内核

管态（系统态）



Hardware features required by OS

- ✦ Protected instruction
- ✦ System call
- ✦ Memory protection (存储管理讲)
- ✦ Interrupt architecture
- ✦ I/O systems
- ✦ Timer systems



中断机制

- ❁ 用中断对于操作系统的重要性
就像机器中驱动齿轮一样
- ❁ 当有人把操作系统称为是由
“中断驱动”或者“中断事件驱动”



中断机制

- ❖ 什么是中断？
 - ❖ 指的是由于某个事件的发生，改变了正在CPU上执行的指令顺序；
 - ❖ 这种事件对应于CPU芯片内部或者外部的硬件电路所生成的电信号
- ❖ 中断处理的过程：
 - ❖ 当中断事件发生时，CPU暂停正在执行的程序，保留现场后自动转去执行相应事件的处理程序，带处理完成后返回断点，继续执行被打断的程序。



中断类型

- ❖ Intel公司的文档，中断可以分为两大类：同步中断和异步中断
- ❖ 同步中断，指当CPU正在执行指令的时候，由CPU的控制单元所发出的指令，也称为“异常”
- ✓ CPU检测到的异常包括：错误Fault、陷阱Trap、中止Abort，例如：算数溢出、被零除用户态下使用了特权指令等
- ✓ 程序设定的异常，即程序通过int、int3等指令来发出的中断请求，也称为软中断，主要用来实现系统调用服务



中断类型

- 异步中断，指其他的硬件设备在任意时刻发出的中断，简称为“中断”
 - ✓ 可屏蔽中断，即I/O中断，它是当外部设备或通道操作正常结束或发生错误时所发生的的中断。比如，打印机完成、缺纸、读磁盘时驱动器中没有磁盘等
 - ✓ 不可屏蔽中断，比如由掉电、存储器校验错等硬件故障引起的硬件中断
- 每一个中断或者异常都用一个0-255之间的整数来标识，称为中断向量，系统根据中断向量来为每一个中断或异常指定相应的处理程序



中断类型

- ❁ I/O系统，完成计算机系统中信息的输入输出
- ❁ 时钟
 - ❑ 时钟是操作系统运行时必不可少的硬件设施，在操作系统中需要时钟支持的工作有：
 - ❑ 在分时系统中，间隔时钟实现进程间按时间片轮转
 - ❑ 在实时系统中，按要求的间隔输出正确的时间信号给实时的控制设备
 - ❑ 记录用户和系统所需的绝对时间（年月日时分秒）



Hardware features required by OS

- ✦ Protected instruction
- ✦ System call
- ✦ Memory protection (存储管理讲)
- ✦ Interrupt architecture
- ✦ I/O systems
- ✦ Timer systems



End of Chapter 1