



UNIVERSITY OF
WATERLOO

Big Data Infrastructure

CS 489/698 Big Data Infrastructure (Winter 2016)

Week 4: Analyzing Text (2/2)

January 28, 2016

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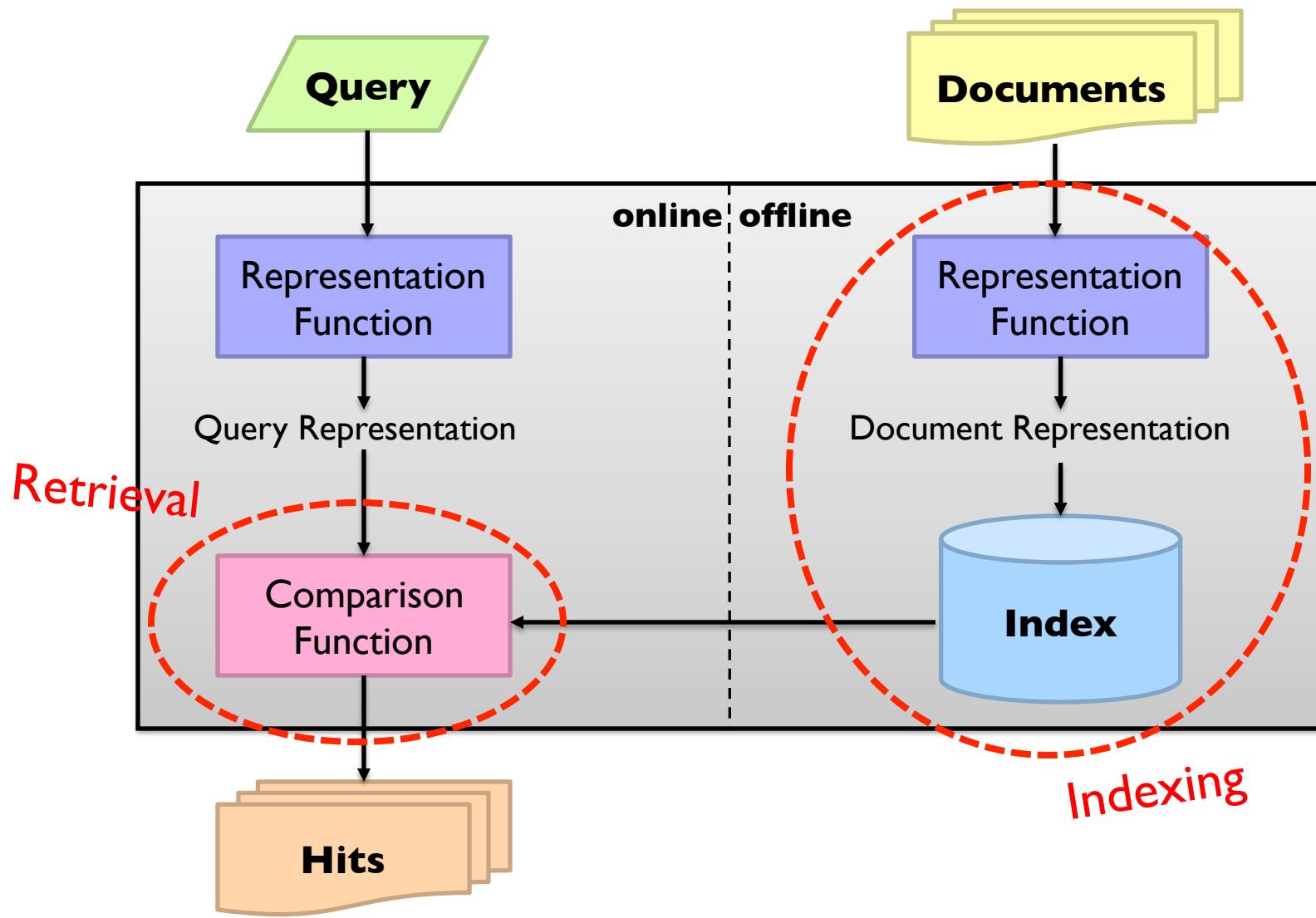
These slides are available at <http://lintool.github.io/bigdata-2016w/>

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Count. Search!

Abstract IR Architecture



Doc 1

one fish, two fish

Doc 2

red fish, blue fish

Doc 3

cat in the hat

Doc 4

green eggs and ham

	1	2	3	4
blue				
cat				
egg				
fish				
green				
ham				
hat				
one				
red				
two				

What goes in each cell?

boolean
count
positions

Doc 1

one fish, two fish

Doc 2

red fish, blue fish

Doc 3

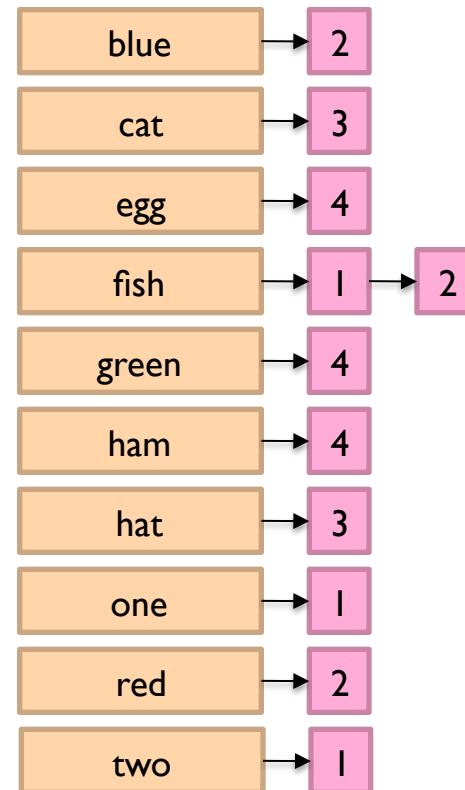
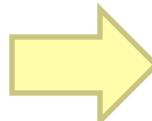
cat in the hat

Doc 4

green eggs and ham

1 2 3 4

blue		1		
cat			1	
egg				1
fish	1	1		
green				1
ham				1
hat			1	
one	1			
red		1		
two	1			



*postings lists
(always in sorted order)*

Doc 1

one fish, two fish

Doc 2

red fish, blue fish

Doc 3

cat in the hat

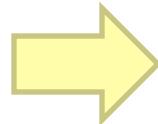
Doc 4

green eggs and ham

tf

1 2 3 4 *df*

blue		1			1
cat			1		1
egg				1	1
fish	2	2			2
green				1	1
ham				1	1
hat			1		1
one	1				1
red		1			1
two	1				1



blue	→	1	→	2	1			
cat	→	1	→	3	1			
egg	→	1	→	4	1			
fish	→	2	→	1	2	→	2	2
green	→	1	→	4	1			
ham	→	1	→	4	1			
hat	→	1	→	3	1			
one	→	1	→	1	1			
red	→	1	→	2	1			
two	→	1	→	1	1			

Doc 1

one fish, two fish

Doc 2

red fish, blue fish

Doc 3

cat in the hat

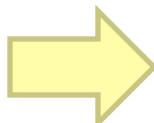
Doc 4

green eggs and ham

tf

1 2 3 4 *df*

blue		1			1
cat			1		1
egg				1	1
fish	2	2			2
green				1	1
ham				1	1
hat			1		1
one	1				1
red		1			1
two	1				1



blue	→	1	→	2	1	[3]
cat	→	1	→	3	1	[!]
egg	→	1	→	4	1	[2]
fish	→	2	→	1	2	[2,4]
green	→	1	→	4	1	[!]
ham	→	1	→	4	1	[3]
hat	→	1	→	3	1	[2]
one	→	1	→	1	1	[!]
red	→	1	→	2	1	[!]
two	→	1	→	1	1	[3]

Inverted Indexing with MapReduce

Map

Doc 1
one fish, two fish

one	
two	
fish	

Doc 2
red fish, blue fish

red	
blue	
fish	

Doc 3
cat in the hat

cat	
hat	

Reduce

Shuffle and Sort: aggregate values by keys

cat	
fish	
one	
red	

blue	
hat	
two	

Inverted Indexing: Pseudo-Code

```
1: class MAPPER
2:   method MAP(docid  $n$ , doc  $d$ )
3:      $H \leftarrow$  new ASSOCIATIVEARRAY            $\triangleright$  histogram to hold term frequencies
4:     for all term  $t \in$  doc  $d$  do       $\triangleright$  processes the doc, e.g., tokenization and stopword removal
5:        $H\{t\} \leftarrow H\{t\} + 1$ 
6:     for all term  $t \in H$  do
7:       EMIT(term  $t$ , posting  $\langle n, H\{t\} \rangle$ )            $\triangleright$  emits individual postings

1: class REDUCER
2:   method REDUCE(term  $t$ , postings [ $\langle n_1, f_1 \rangle \dots$ ])
3:      $P \leftarrow$  new LIST
4:     for all  $\langle n, f \rangle \in$  postings [ $\langle n_1, f_1 \rangle \dots$ ] do
5:        $P.\text{APPEND}(\langle n, f \rangle)$             $\triangleright$  appends postings unsorted
6:      $P.\text{SORT}()$             $\triangleright$  sorts for compression
7:     EMIT(term  $t$ , postingsList  $P$ )
```

Positional Indexes

Map

Doc 1
one fish, two fish

one	[1]	[1]	[1]
two	[1]	[1]	[3]
fish	[1]	[2]	[2,4]

Doc 2
red fish, blue fish

red	[2]	[1]	[1]
blue	[2]	[1]	[3]
fish	[2]	[2]	[2,4]

Doc 3
cat in the hat

cat	[3]	[1]	[1]
hat	[3]	[1]	[2]

Shuffle and Sort: aggregate values by keys

Reduce

cat	[3]	[1]	[1]
fish	[1]	[2]	[2,4]
one	[1]	[1]	[1]
red	[2]	[1]	[1]

blue	[2]	[1]	[3]
hat	[3]	[1]	[2]
two	[1]	[1]	[3]

Inverted Indexing: Pseudo-Code

```
1: class MAPPER
2:   method MAP(docid  $n$ , doc  $d$ )
3:      $H \leftarrow$  new ASSOCIATIVEARRAY            $\triangleright$  histogram to hold term frequencies
4:     for all term  $t \in$  doc  $d$  do       $\triangleright$  processes the doc, e.g., tokenization and stopword removal
5:        $H\{t\} \leftarrow H\{t\} + 1$ 
6:     for all term  $t \in H$  do
7:       EMIT(term  $t$ , posting  $\langle n, H\{t\} \rangle$ )            $\triangleright$  emits individual postings

1: class REDUCER
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6:      $P.\text{SORT}()$             $\triangleright$  sorts for compression
7:     EMIT(term  $t$ , postingsList  $P$ )
```

What's the problem?

A red dashed circle highlights the line `P.SORT()`.

Another Try...

(key)	(values)
fish	1 2 [2,4]
	34 1 [23]
	21 3 [1,8,22]
	35 2 [8,41]
	80 3 [2,9,76]
	9 1 [9]



(keys)	(values)
fish	1 [2,4]
fish	9 [9]
fish	21 [1,8,22]
fish	34 [23]
fish	35 [8,41]
fish	80 [2,9,76]

How is this different?

- Let the framework do the sorting
- Term frequency implicitly stored

Where have we seen this before?

Inverted Indexing: Pseudo-Code

```
1: class MAPPER
2:   method MAP(docid  $n$ , doc  $d$ )
3:      $H \leftarrow$  new ASSOCIATIVEARRAY
4:     for all term  $t \in$  doc  $d$  do                                 $\triangleright$  builds a histogram of term frequencies
5:        $H\{t\} \leftarrow H\{t\} + 1$ 
6:     for all term  $t \in H$  do
7:       EMIT(tuple  $\langle t, n \rangle$ , tf  $H\{t\}$ )            $\triangleright$  emits individual postings, with a tuple as the key

1: class PARTITIONER
2:   method PARTITION(tuple  $\langle t, n \rangle$ , tf  $f$ )
3:     return HASH( $t$ ) mod  $NumOfReducers$             $\triangleright$  keys of same term are sent to same reducer

1: class REDUCER
2:   method INITIALIZE
3:      $t_{prev} \leftarrow \emptyset$ 
4:      $P \leftarrow$  new POSTINGSLIST
5:   method REDUCE(tuple  $\langle t, n \rangle$ , tf  $[f]$ )
6:     if  $t \neq t_{prev} \wedge t_{prev} \neq \emptyset$  then
7:       EMIT(term  $t$ , postings  $P$ )                   $\triangleright$  emits postings list of term  $t_{prev}$ 
8:        $P.\text{RESET}()$ 
9:        $P.\text{APPEND}(\langle n, f \rangle)$                   $\triangleright$  appends postings in sorted order
10:       $t_{prev} \leftarrow t$ 
11:   method CLOSE
12:     EMIT(term  $t$ , postings  $P$ )            $\triangleright$  emits last postings list from this reducer
```

Postings Encoding

Conceptually:



In Practice:

- Don't encode docnos, encode gaps (or d-gaps)
- But it's not obvious that this save space...



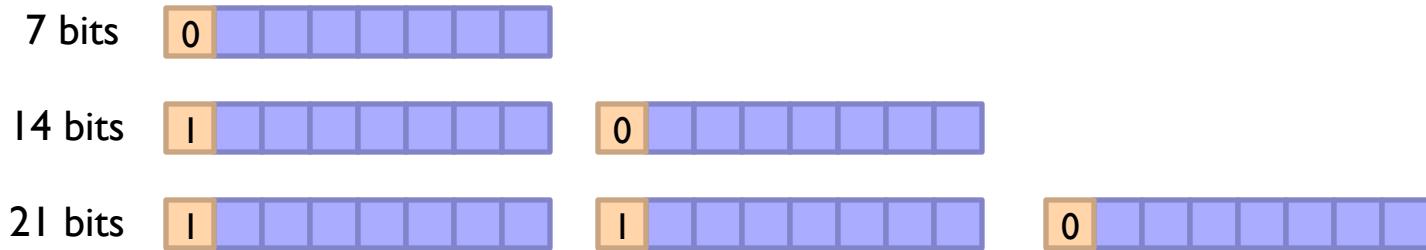
= delta encoding, delta compression, gap compression

Overview of Integer Compression

- Byte-aligned technique
 - VByte
- Bit-aligned
 - Unary codes
 - γ/δ codes
 - Golomb codes (local Bernoulli model)
- Word-aligned
 - Simple family
 - Bit packing family (PForDelta, etc.)

VByte

- Simple idea: use only as many bytes as needed
 - Need to reserve one bit per byte as the “continuation bit”
 - Use remaining bits for encoding value

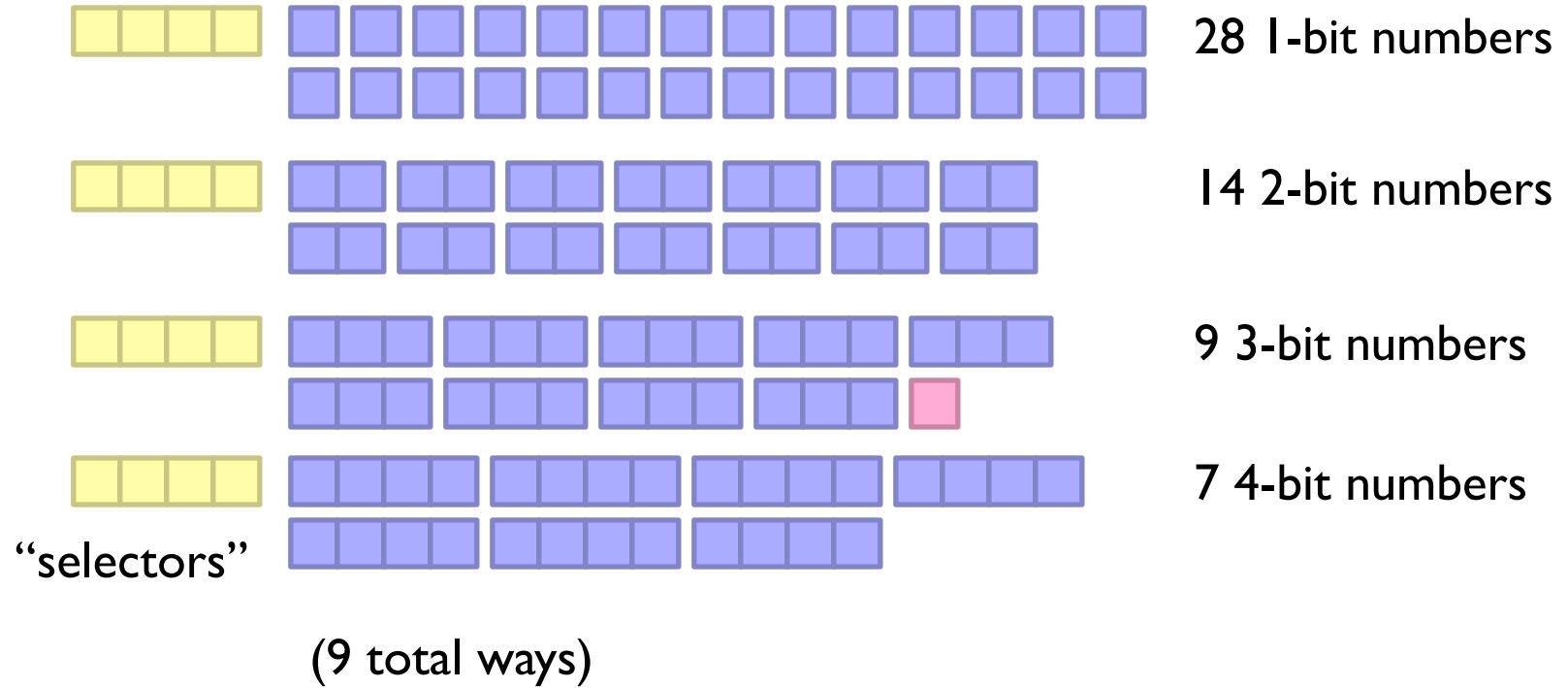


- Works okay, easy to implement...

Beware of branch mispredicts!

Simple-9

- How many different ways can we divide up 28 bits?

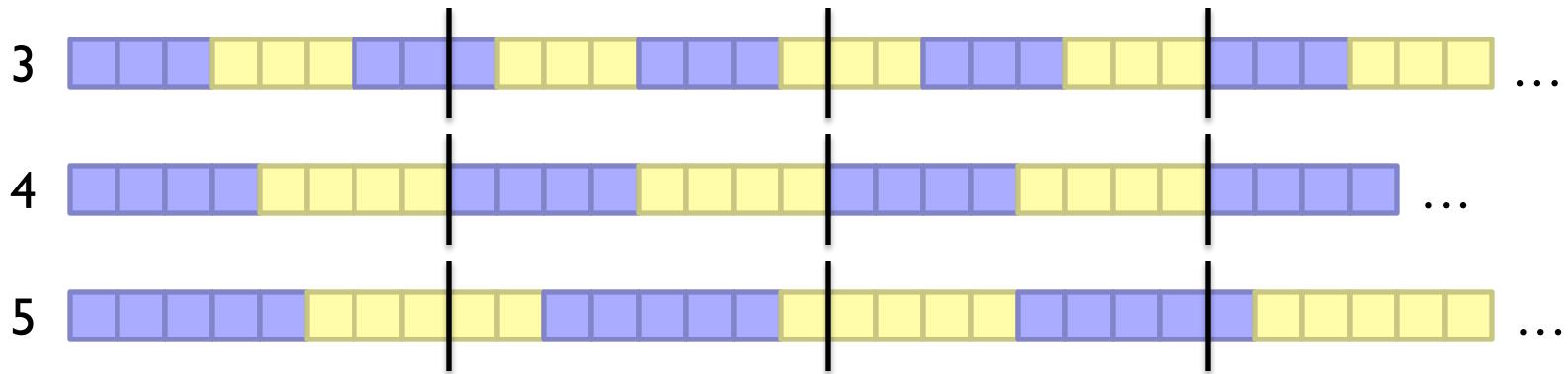


- Efficient decompression with hard-coded decoders
- Simple Family – general idea applies to 64-bit words, etc.

Beware of branch mispredicts?

Bit Packing

- What's the smallest number of bits we need to code a block (=128) of integers?



- Efficient decompression with hard-coded decoders
- PForDelta – bit packing + separate storage of “overflow” bits

Beware of branch mispredicts?

Golomb Codes

- $x \geq 1$, parameter b :
 - $q + 1$ in unary, where $q = \lfloor (x - 1) / b \rfloor$
 - r in binary, where $r = x - qb - 1$, in $\lceil \log b \rceil$ or $\lceil \log b \rceil$ bits
- Example:
 - $b = 3, r = 0, 1, 2$ (0, 10, 11)
 - $b = 6, r = 0, 1, 2, 3, 4, 5$ (00, 01, 100, 101, 110, 111)
 - $x = 9, b = 3: q = 2, r = 2$, code = 110:11
 - $x = 9, b = 6: q = 1, r = 2$, code = 10:100
- Optimal $b \approx 0.69$ (N/df)
 - Different b for every term!

Chicken and Egg?

(key)	(value)
fish	1 [2,4]
fish	9 [9]
fish	21 [1,8,22]
fish	34 [23]
fish	35 [8,41]
fish	80 [2,9,76]

...



Write postings

But wait! How do we set the Golomb parameter b ?

Recall: optimal $b \approx 0.69$ (N/df)

We need the df to set b ...

But we don't know the df until we've seen all postings!

Sound familiar?

Getting the df

- In the mapper:
 - Emit “special” key-value pairs to keep track of df
- In the reducer:
 - Make sure “special” key-value pairs come first: process them to determine df
- Remember: proper partitioning!

Getting the df : Modified Mapper

Doc 1

one fish, two fish

Input document...

(key) (value)

fish	I	[2,4]	Emit normal key-value pairs...
------	---	-------	--------------------------------

one	I	[!]	
-----	---	-----	--

two	I	[3]	
-----	---	-----	--

fish	★	[!]	Emit “special” key-value pairs to keep track of df ...
------	---	-----	--

one	★	[!]	
-----	---	-----	--

two	★	[!]	
-----	---	-----	--

Getting the df : Modified Reducer

(key)	(value)
fish	★ [63] [82] [27] ...

First, compute the df by summing contributions from all “special” key-value pair...

Compute b from df

fish	I	[2,4]
fish	9	[9]
fish	21	[1,8,22]
fish	34	[23]
fish	35	[8,41]
fish	80	[2,9,76]
	...	

Important: properly define sort order to make sure “special” key-value pairs come first!

↓ Write postings

Where have we seen this before?

Inverted Indexing: IP

```
1: class MAPPER
2:   method MAP(docid  $n$ , doc  $d$ )
3:      $H \leftarrow$  new ASSOCIATIVEARRAY
4:     for all term  $t \in$  doc  $d$  do
5:        $H\{t\} \leftarrow H\{t\} + 1$ 
6:     for all term  $t \in H$  do
7:       EMIT(tuple  $\langle t, n \rangle$ , tf  $H\{t\}$ )      ▷ emits individual postings, with a tuple as the key

1: class PARTITIONER
2:   method PARTITION(tuple  $\langle t, n \rangle$ , tf  $f$ )
3:     return HASH( $t$ ) mod NumOfReducers      ▷ keys of same term are sent to same reducer

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6:     if  $t \neq t_{prev} \wedge t_{prev} \neq \emptyset$  then
7:       EMIT(term  $t$ , postings  $P$ )            ▷ emits postings list of term  $t_{prev}$ 
8:        $P.\text{RESET}()$ 
9:        $P.\text{APPEND}(\langle n, f \rangle)$ 
10:       $t_{prev} \leftarrow t$ 
11:   method CLOSE
12:     EMIT(term  $t$ , postings  $P$ )            ▷ emits last postings list from this reducer
```

*What's the assumption?
Is it okay?*

Merging Postings

- Let's define an operation \oplus on postings lists P :

$$\begin{aligned}\text{Postings}(1, 15, 22, 39, 54) \oplus \text{Postings}(2, 46) \\ = \text{Postings}(1, 2, 15, 22, 39, 46, 54)\end{aligned}$$

What exactly is this operation?
What have we created?

- Then we can rewrite our indexing algorithm!
 - flatMap: emit singleton postings
 - reduceByKey: \oplus

What's the issue?

$\text{Postings}_1 \oplus \text{Postings}_2 = \text{Postings}_M$

Solution: apply compression as needed!

Inverted Indexing: LP

Slightly less elegant implementation... but uses same idea

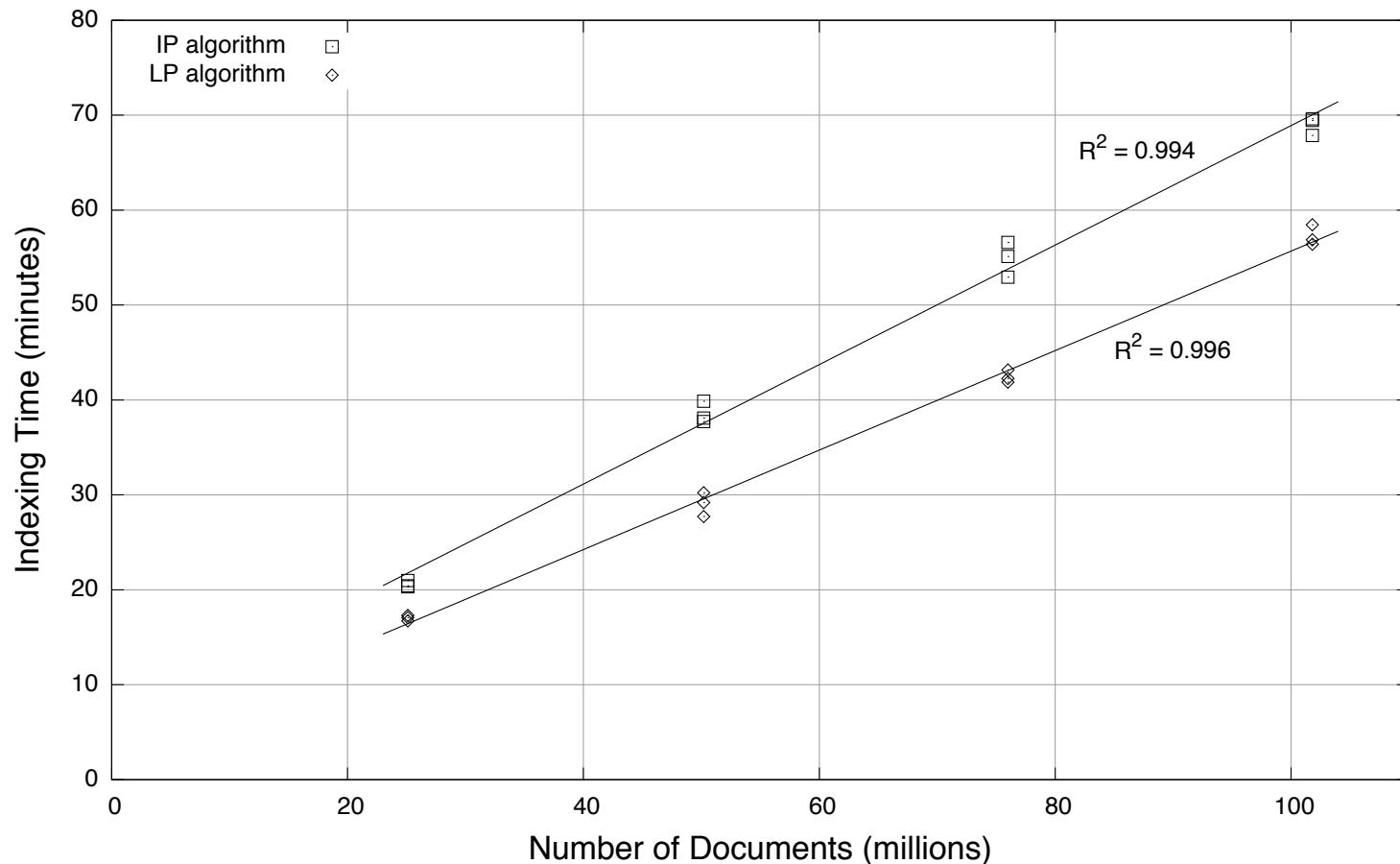
```
1: class MAPPER
2:   method INITIALIZE
3:      $M \leftarrow \text{new ASSOCIATIVEARRAY}$                                  $\triangleright$  holds partial lists of postings
4:   method MAP(docid  $n$ , doc  $d$ )
5:      $H \leftarrow \text{new ASSOCIATIVEARRAY}$                                  $\triangleright$  builds a histogram of term frequencies
6:     for all term  $t \in \text{doc } d$  do
7:        $H\{t\} \leftarrow H\{t\} + 1$ 
8:     for all term  $t \in H$  do
9:        $M\{t\}.\text{ADD}(\text{posting } \langle n, H\{t\} \rangle)$                  $\triangleright$  adds a posting to partial postings lists
10:    if MEMORYFULL() then
11:      FLUSH()
12:    method FLUSH                                 $\triangleright$  flushes partial lists of postings as intermediate output
13:      for all term  $t \in M$  do
14:         $P \leftarrow \text{SORTANDENCODEPOSTINGS}(M\{t\})$ 
15:        EMIT(term  $t$ , postingsList  $P$ )
16:         $M.\text{CLEAR}()$ 
17:    method CLOSE
18:      FLUSH()
```

Inverted Indexing: LP

```
1: class REDUCER
2:   method REDUCE(term  $t$ , postingsLists [ $P_1, P_2, \dots$ ])
3:      $P_f \leftarrow$  new LIST                                 $\triangleright$  temporarily stores partial lists of postings
4:      $R \leftarrow$  new LIST                                 $\triangleright$  stores merged partial lists of postings
5:     for all  $P \in$  postingsLists [ $P_1, P_2, \dots$ ] do
6:        $P_f.\text{ADD}(P)$ 
7:       if MEMORYNEARLYFULL() then
8:          $R.\text{ADD}(\text{MERGELISTS}(P_f))$ 
9:          $P_f.\text{CLEAR}()$ 
10:         $R.\text{ADD}(\text{MERGELISTS}(P_f))$ 
11:        EMIT(term  $t$ , postingsList MERGELISTS( $R$ ))     $\triangleright$  emits fully merged postings list of term  $t$ 
```

IP vs. LP?

Experiments on ClueWeb09 collection: segments 1 + 2
101.8m documents (472 GB compressed, 2.97 TB uncompressed)



Alg.	Time	Intermediate Pairs	Intermediate Size
IP	38.5 min	13×10^9	306×10^9 bytes
LP	29.6 min	614×10^6	85×10^9 bytes

Another Look at LP

Remind you of anything in Spark?

```

1: class MAPPER
2:   method INITIALIZE
3:     M ← new ASSOCIATIVEARRAY
4:   method MAP(docid n, doc d)
5:     H ← new ASSOCIATIVEARRAY
6:     for all term t ∈ doc d do
7:       H{t} ← H{t} + 1
8:     for all term t ∈ H do
9:       M{t}.ADD(posting ⟨n, H{t}⟩)           ▷ adds a posting to partial postings lists
10:    if MEMORYFULL() then
11:      FLUSH()
12:    method FLUSH                      ▷ flushes partial lists of postings as intermediate output
13:      for all term t ∈ M do
14:        P ← SORTANDENCODEPOSTINGS(M{t})
15:        EMIT(term t, postingsList P)
16:      M.CLEAR()
17:    method CLOSE
18:      FLUSH()

1: class REDUCER
2:   method REDUCE(term t, postingsLists [P1, P2, ...])
3:     Pf ← new LIST
4:     R ← new LIST
5:     for all P ∈ postingsLists [P1, P2, ...] do
6:       Pf.ADD(P)
7:       if MEMORYNEARLYFULL() then
8:         R.ADD(MERGELISTS(Pf))
9:         Pf.CLEAR()
10:        R.ADD(MERGELISTS(Pf))
11:        EMIT(term t, postingsList MERGELISTS(R))  ▷ emits fully merged postings list of term t
  
```

▷ holds partial lists of postings

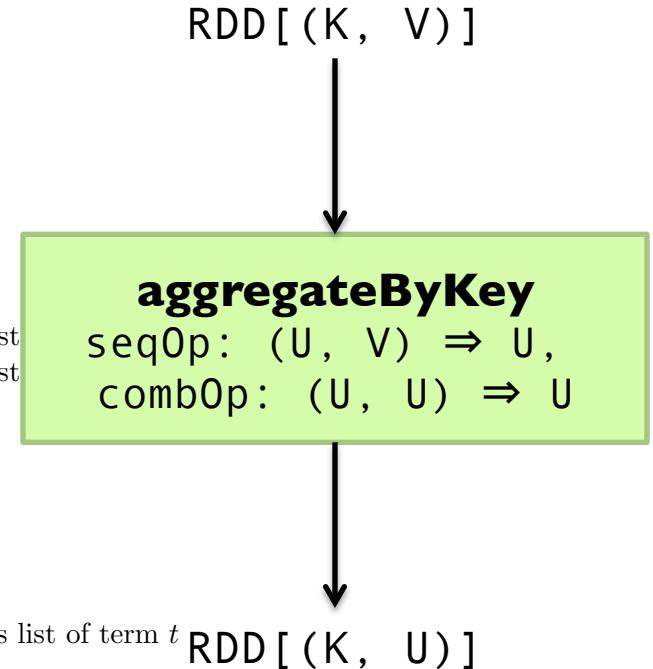
▷ builds a histogram of term frequencies

▷ adds a posting to partial postings lists

▷ flushes partial lists of postings as intermediate output

▷ temporarily stores partial list

▷ stores merged partial list



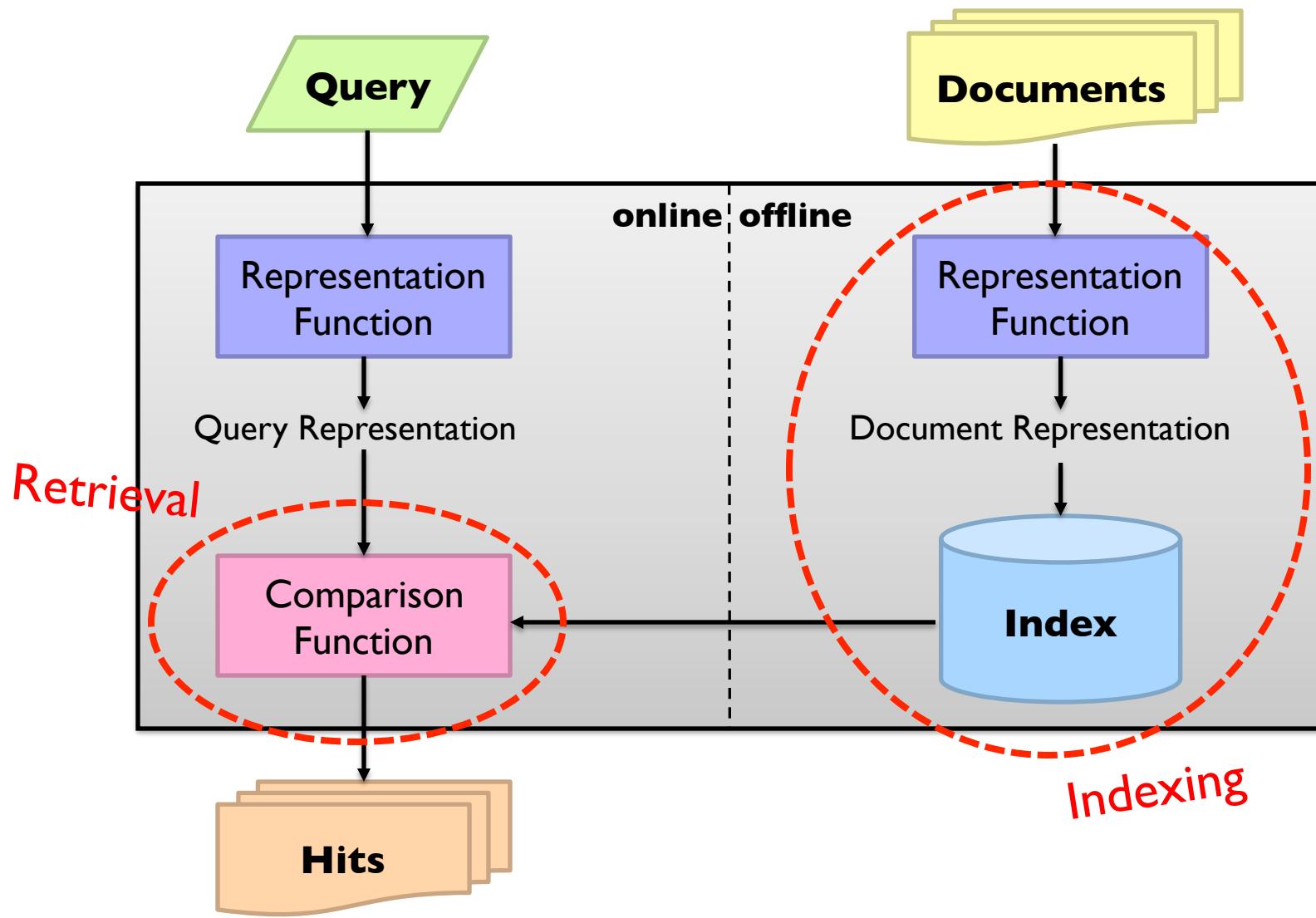
Algorithm design in a nutshell...



Exploit associativity and commutativity
via commutative monoids (if you can)

Exploit framework-based sorting to
sequence computations (if you can't)

Abstract IR Architecture



Doc 1

one fish, two fish

Doc 2

red fish, blue fish

Doc 3

cat in the hat

Doc 4

green eggs and ham

	1	2	3	4
blue		I		
cat			I	
egg				I
fish	I	I		
green				I
ham				I
hat			I	
one	I			
red		I		
two	I			

Indexing: building this structure

Retrieval: manipulating this structure

MapReduce it?

- The indexing problem
 - Scalability is critical
 - Must be relatively fast, but need not be real time
 - Fundamentally a batch operation
 - Incremental updates may or may not be important
 - For the web, crawling is a challenge in itself
- The retrieval problem
 - Must have sub-second response time
 - For the web, only need relatively few results

Perfect for MapReduce!

Uh... not so good...

Assume everything fits in memory on a single machine...
(For now)

Boolean Retrieval

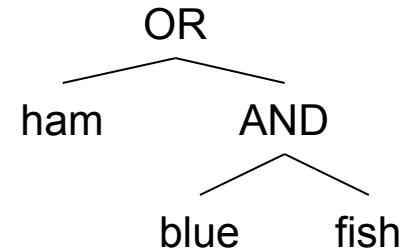
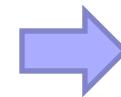
- Users express queries as a Boolean expression
 - AND, OR, NOT
 - Can be arbitrarily nested
- Retrieval is based on the notion of sets
 - Any given query divides the collection into two sets: retrieved, not-retrieved
 - Pure Boolean systems do not define an ordering of the results

Boolean Retrieval

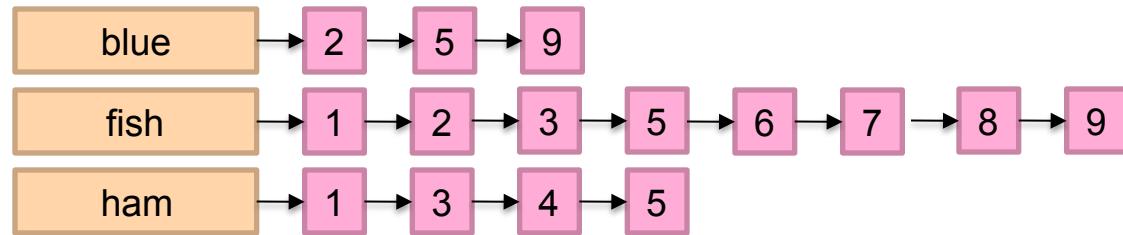
- To execute a Boolean query:

- Build query syntax tree

(blue AND fish) OR ham

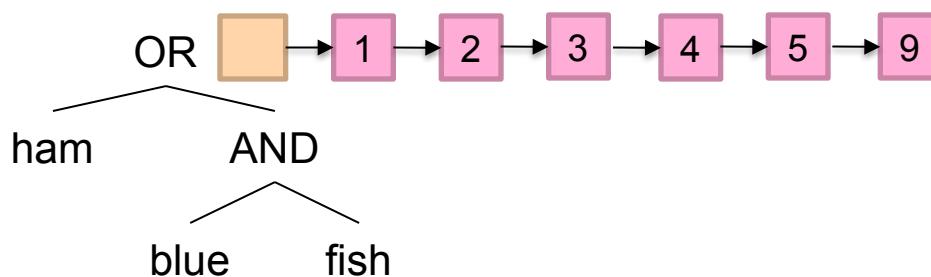
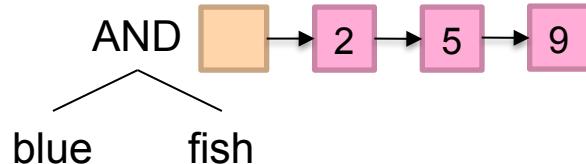
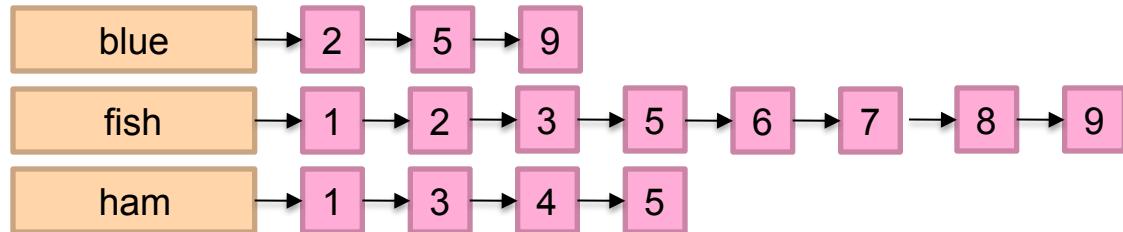
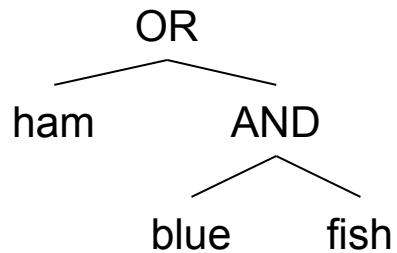


- For each clause, look up postings



- Traverse postings and apply Boolean operator

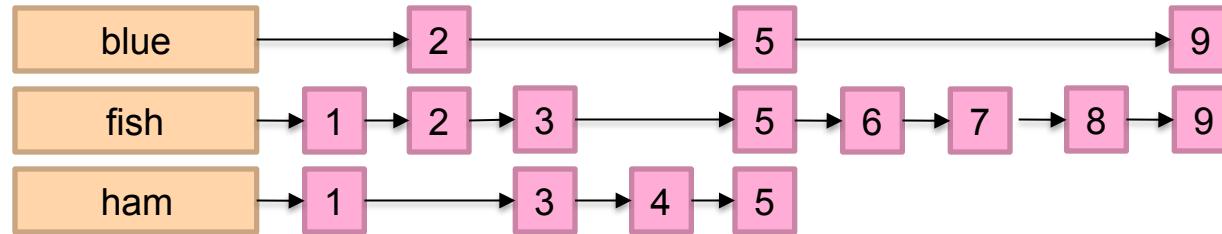
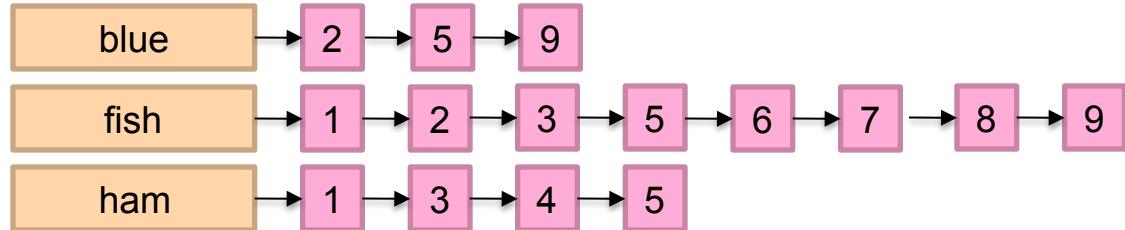
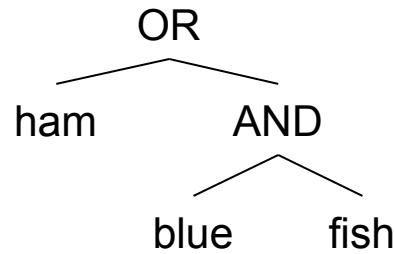
Term-at-a-Time



Efficiency analysis?

What's RPN?

Document-at-a-Time



Tradeoffs?
Efficiency analysis?

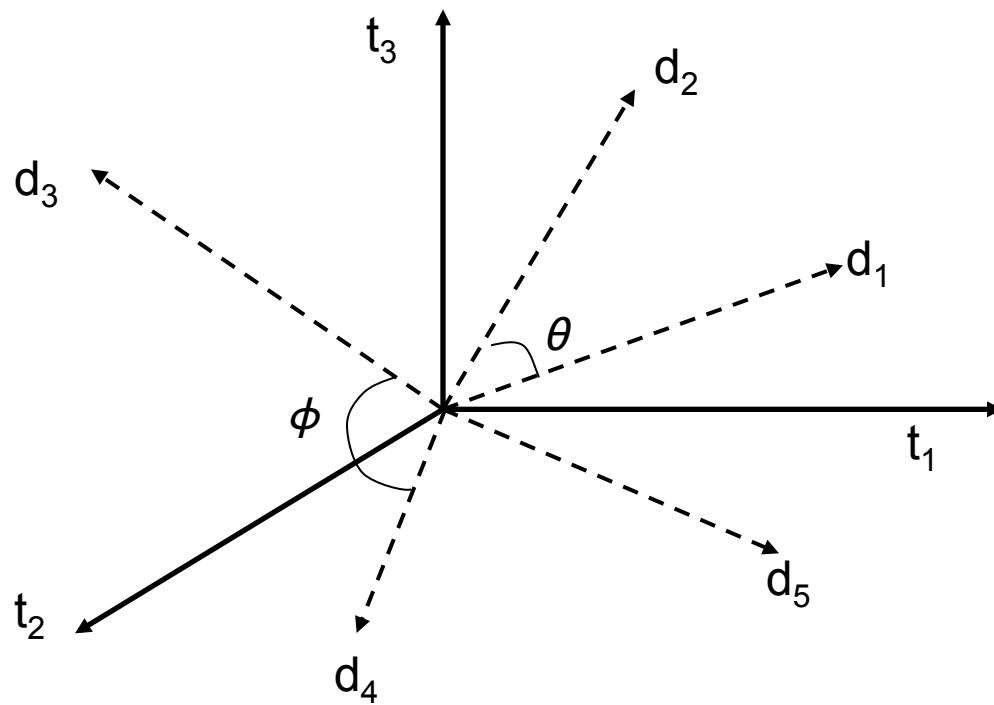
Strengths and Weaknesses

- Strengths
 - Precise, if you know the right strategies
 - Precise, if you have an idea of what you're looking for
 - Implementations are fast and efficient
- Weaknesses
 - Users must learn Boolean logic
 - Boolean logic insufficient to capture the richness of language
 - No control over size of result set: either too many hits or none
 - **When do you stop reading?** All documents in the result set are considered “equally good”
 - **What about partial matches?** Documents that “don’t quite match” the query may be useful also

Ranked Retrieval

- Order documents by how likely they are to be relevant
 - Estimate relevance(q, d_i)
 - Sort documents by relevance
 - Display sorted results
- User model
 - Present hits one screen at a time, best results first
 - At any point, users can decide to stop looking
- How do we estimate relevance?
 - Assume document is relevant if it has a lot of query terms
 - Replace relevance(q, d_i) with sim(q, d_i)
 - Compute similarity of vector representations

Vector Space Model



Assumption: Documents that are “close together” in vector space “talk about” the same things

Therefore, retrieve documents based on how close the document is to the query (i.e., similarity \sim “closeness”)

Similarity Metric

- Use “angle” between the vectors:

$$d_j = [w_{j,1}, w_{j,2}, w_{j,3}, \dots, w_{j,n}]$$
$$d_k = [w_{k,1}, w_{k,2}, w_{k,3}, \dots, w_{k,n}]$$

$$\cos \theta = \frac{d_j \cdot d_k}{|d_j| |d_k|}$$

$$\text{sim}(d_j, d_k) = \frac{d_j \cdot d_k}{|d_j| |d_k|} = \frac{\sum_{i=0}^n w_{j,i} w_{k,i}}{\sqrt{\sum_{i=0}^n w_{j,i}^2} \sqrt{\sum_{i=0}^n w_{k,i}^2}}$$

- Or, more generally, inner products:

$$\text{sim}(d_j, d_k) = d_j \cdot d_k = \sum_{i=0}^n w_{j,i} w_{k,i}$$

Term Weighting

- Term weights consist of two components
 - Local: how important is the term in this document?
 - Global: how important is the term in the collection?
- Here's the intuition:
 - Terms that appear often in a document should get high weights
 - Terms that appear in many documents should get low weights
- How do we capture this mathematically?
 - Term frequency (local)
 - Inverse document frequency (global)

TF.IDF Term Weighting

$$w_{i,j} = \text{tf}_{i,j} \cdot \log \frac{N}{n_i}$$

$w_{i,j}$ weight assigned to term i in document j

$\text{tf}_{i,j}$ number of occurrence of term i in document j

N number of documents in entire collection

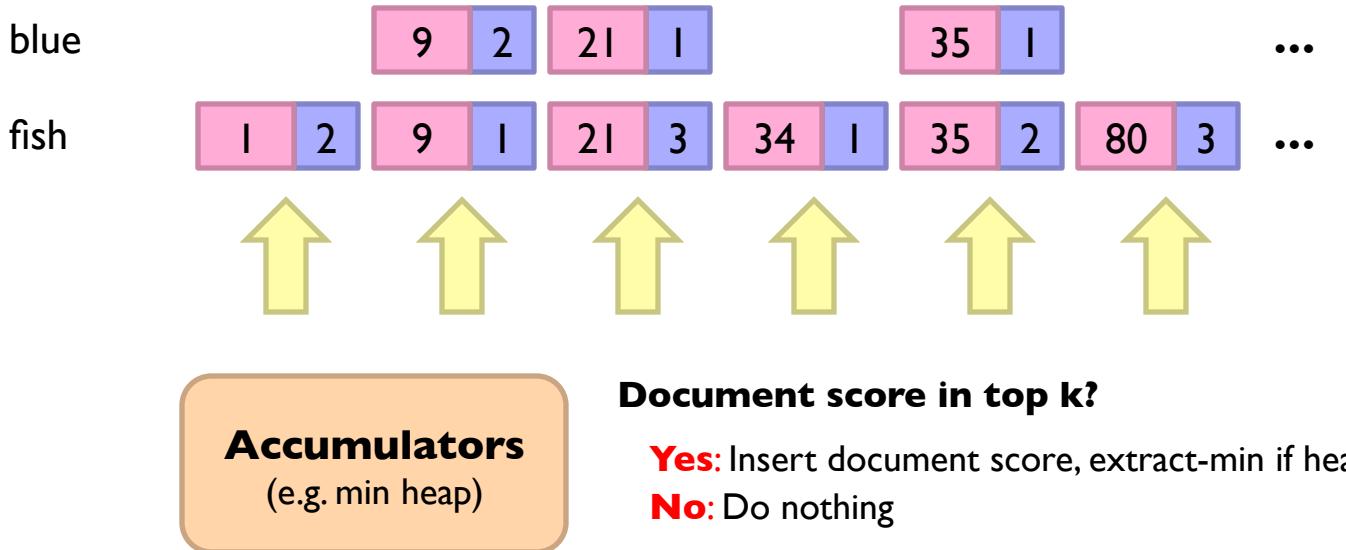
n_i number of documents with term i

Retrieval in a Nutshell

- Look up postings lists corresponding to query terms
- Traverse postings for each query term
- Store partial query-document scores in accumulators
- Select top k results to return

Retrieval: Document-at-a-Time

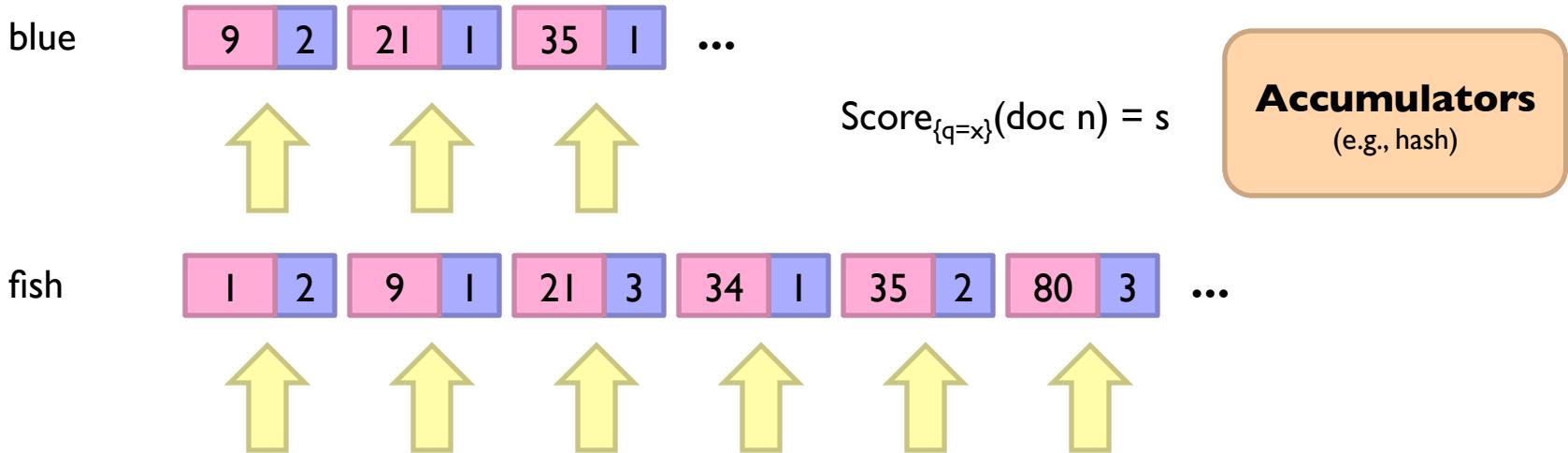
- Evaluate documents one at a time (score all query terms)



- Tradeoffs
 - Small memory footprint (good)
 - Skipping possible to avoid reading all postings (good)
 - More seeks and irregular data accesses (bad)

Retrieval: Term-At-A-Time

- Evaluate documents one query term at a time
 - Usually, starting from most rare term (often with tf-sorted postings)



- Tradeoffs
 - Early termination heuristics (good)
 - Large memory footprint (bad), but filtering heuristics possible

Assume everything fits in memory on a single machine...

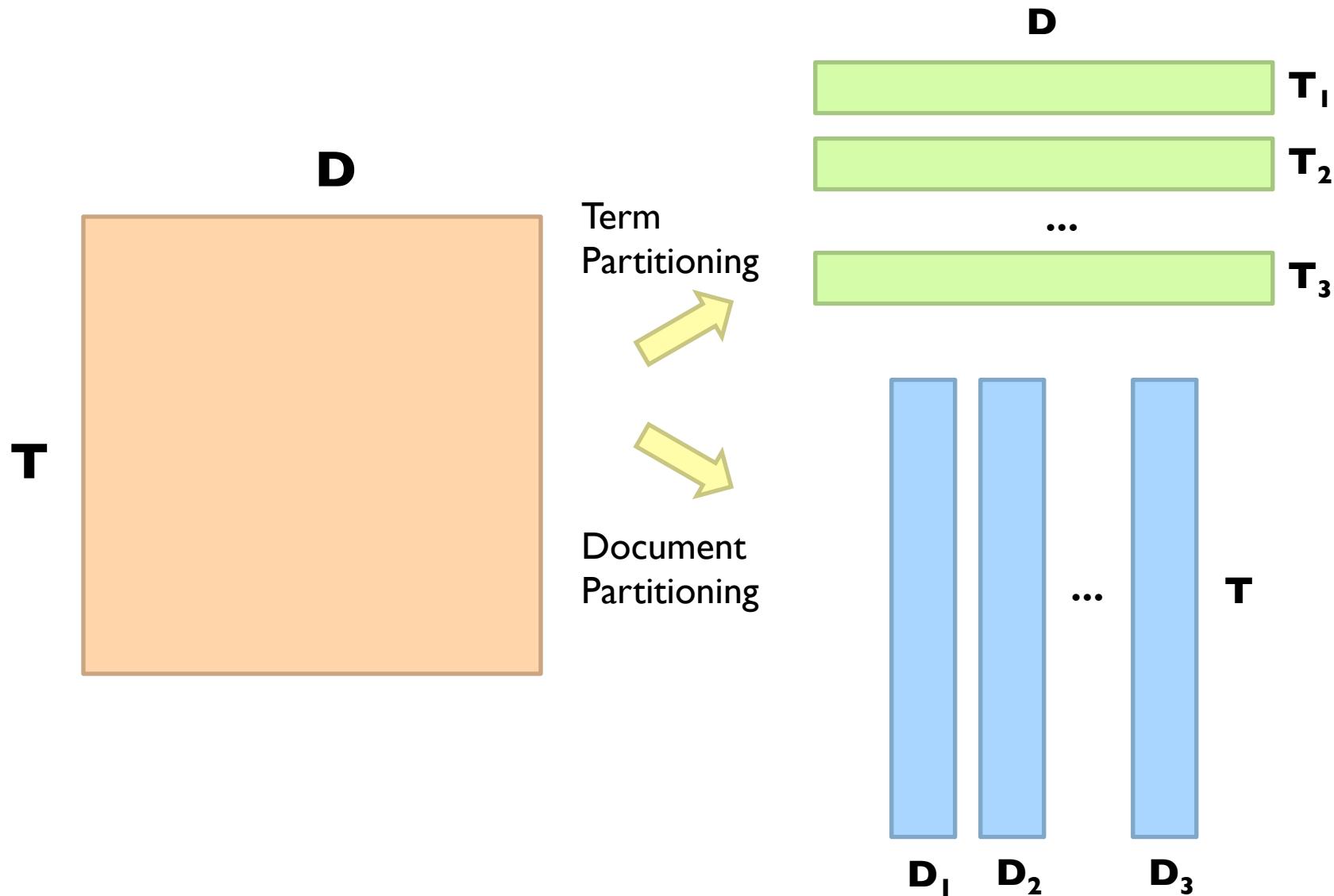
Okay, let's relax this assumption now

Important Ideas

- Partitioning (for scalability)
- Replication (for redundancy)
- Caching (for speed)
- Routing (for load balancing)

The rest is just details!

Term vs. Document Partitioning



FE



brokers

partitions



...



...

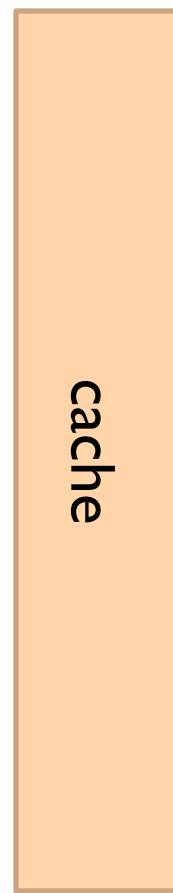


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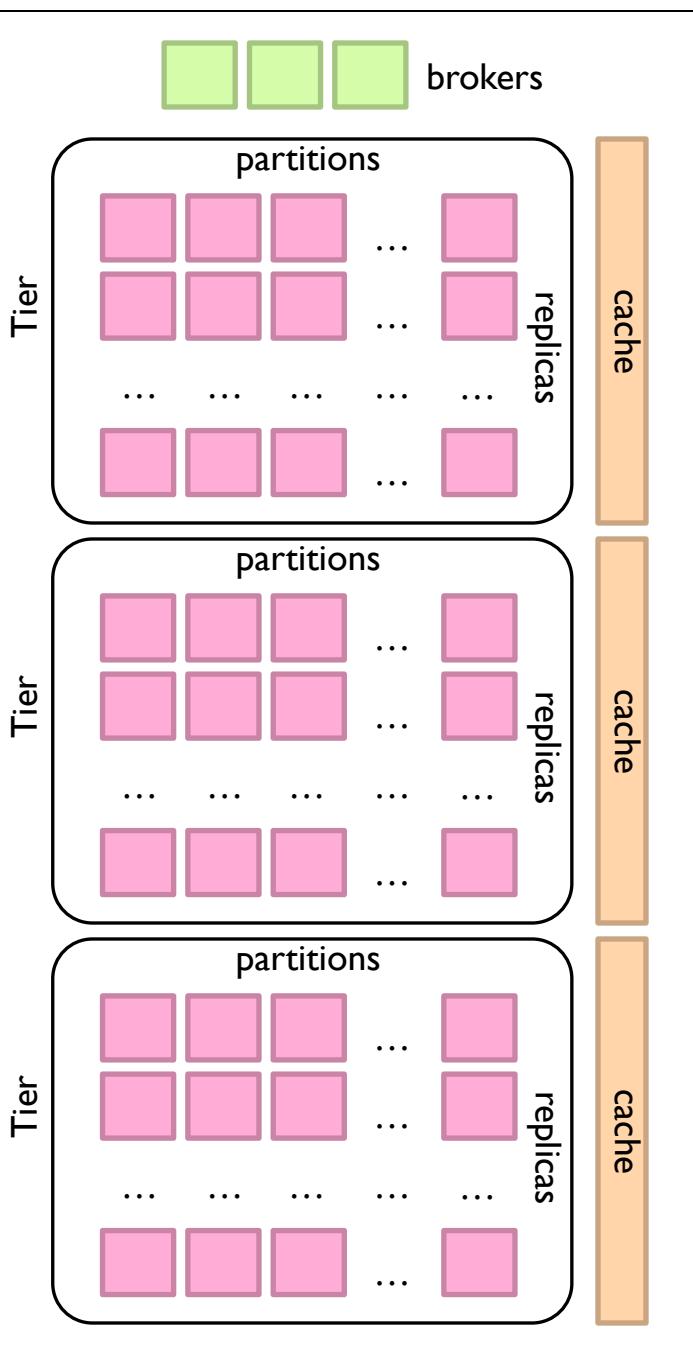
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replicas

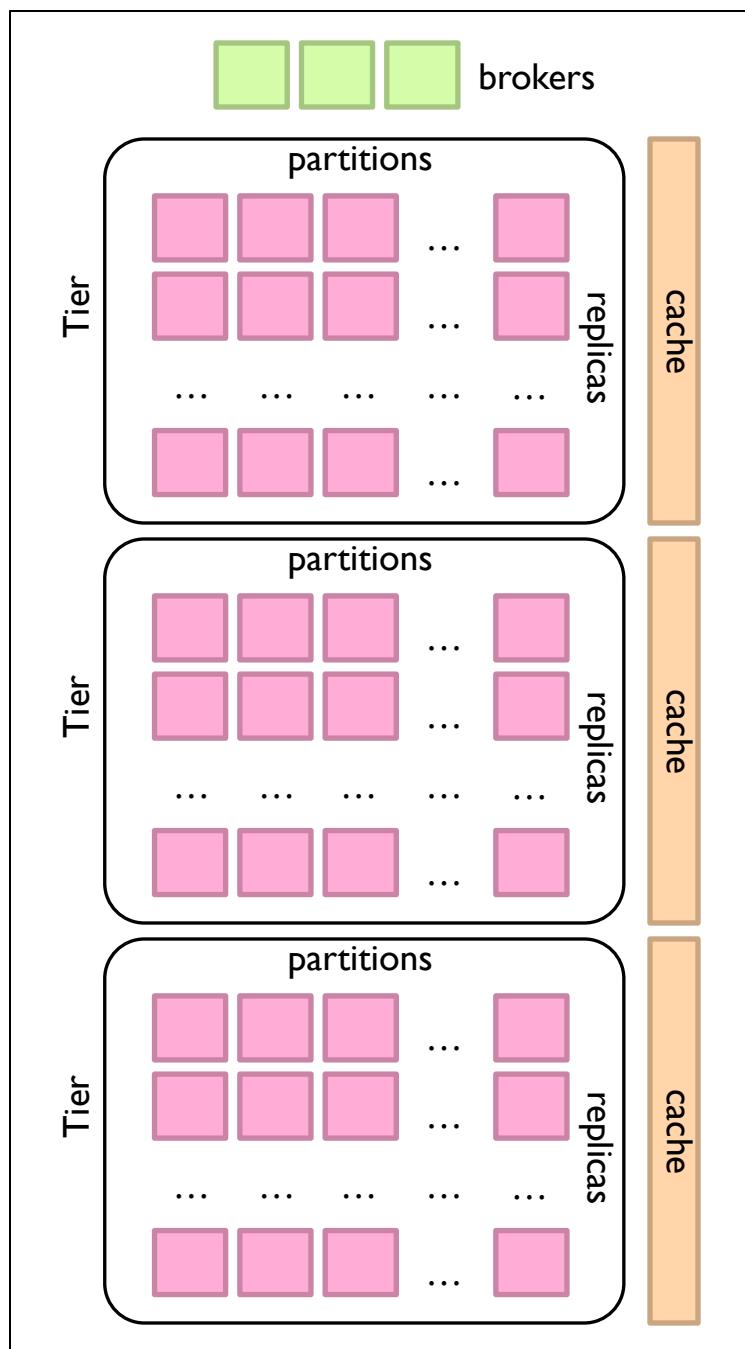


cache

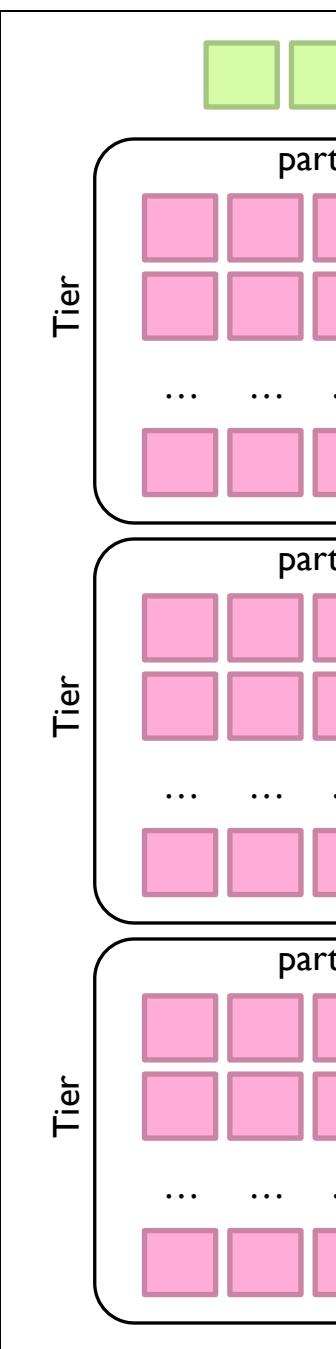
Datacenter



Datacenter

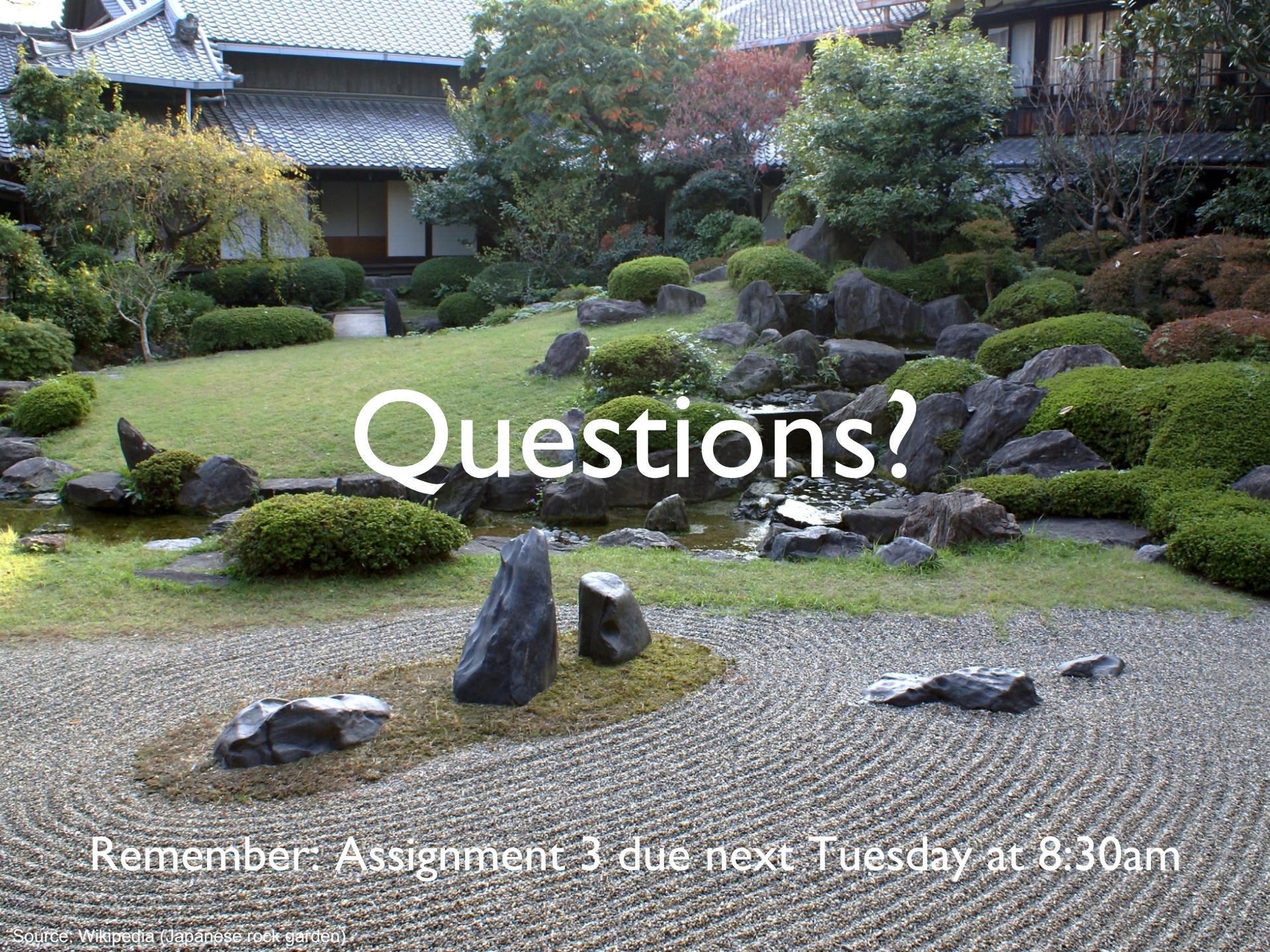


Datacenter



Important Ideas

- Partitioning (for scalability)
- Replication (for redundancy)
- Caching (for speed)
- Routing (for load balancing)

A photograph of a traditional Japanese rock garden. The foreground features a gravel path with raked patterns. Several large, dark, irregular stones are scattered across the garden. In the middle ground, there is a small pond surrounded by rocks and low-lying green plants. The background consists of a building with a tiled roof and more trees and shrubs.

Questions?

Remember: Assignment 3 due next Tuesday at 8:30am