# Distributed Systems Architecture

brought to you by Alexey Grishchenko

Spark Architecture: Shuffle

This is my second article about Apache Spark architecture and today I will be more specific and tell you about the shuffle, one of the most interesting topics in the overall Spark design. The previous part was mostly about general Spark architecture and its memory management. It can be accessed here. The next one is about Spark memory management and it is available here.
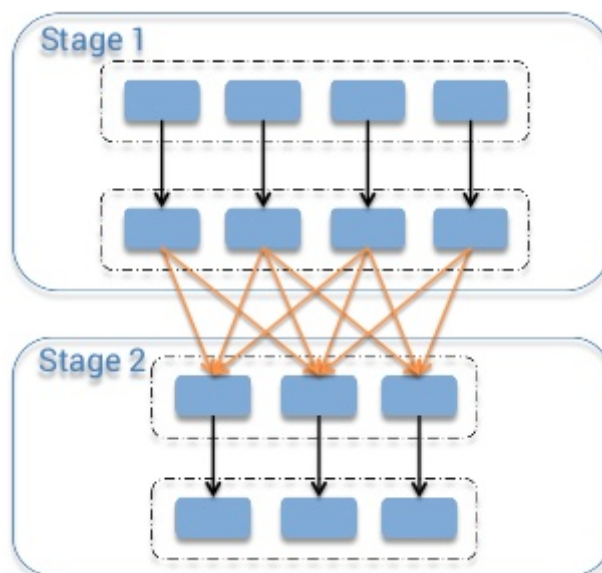
What is the shuffle in general? Imagine that you have a list of phone call detail records in a table and you want to calculate amount of calls happened each day. This way you would set the "day" as your key, and for each record (i.e. for each call) you would emit "1" as a value. After this you would sum up values for each key, which would be an answer to your question – total amount of records for each day. But when you store the data across the cluster, how can you sum up the values for the same key stored on different machines? The only way to do so is to make all the values for the same key be on the same machine, after this you would be able to sum them up.

There are many different tasks that require shuffling of the data across the cluster, for instance table join – to join two tables on the field "id", you must be sure that all the data for the same values of "id" for both of the tables are stored in the same chunks. Imagine the tables with integer keys ranging from 1 to 1'000'000. By storing the data in same chunks I mean that for instance for both tables values of the key 1-100 are stored in a single partition/chunk, this way instead of going through the whole second table for each partition of the first one, we can join partition with partition directly, because we know that the key values 1-100 are stored only in these two partitions. To achieve this both tables should have the same number of partitions, this way their join would require much less computations. So now you can understand how important shuffling is.

Discussing this topic, I would follow the MapReduce naming convention. In the shuffle operation, the task that emits the data in the source executor is "mapper", the task that consumes the data into the target executor is "reducer", and what happens between them is "shuffle".

Shuffling in general has 2 important compression parameters: **spark.shuffle.compress** – whether the engine would compress shuffle outputs or not, and **spark.shuffle.spill.compress** – whether to compress intermediate shuffle spill files or not. Both have the value "true" by default, and both would use **spark.io.compression.codec** codec for compressing the data, which is snappy by default.

As you might know, there are a number of shuffle implementations available in Spark. Which implementation would be used in your particular case is determined by the value of **spark.shuffle.manager** parameter. Three possible options are: hash, sort, tungsten-sort, and the "sort" option is default starting from Spark 1.2.0.

Hash Shuffle

Prior to Spark 1.2.0 this was the default option of shuffle (**spark.shuffle.manager** = *hash*). But it has many drawbacks, mostly caused by the amount of files it creates – each mapper task creates separate file for each separate reducer, resulting in **M * R** total files on the cluster, where **M** is the number of "mappers" and **R** is the number of "reducers". With high amount of mappers and reducers this causes big problems, both with the output buffer size, amount of open files on the filesystem, speed of creating and dropping all these files. Here's a good example of how Yahoo faced all these problems, with 46k mappers and 46k reducers generating 2 billion files on the cluster.

The logic of this shuffler is pretty dumb: it calculates the amount of "reducers" as the amount of partitions on the "reduce" side, creates a separate file for each of them, and looping through the records it needs to output, it calculates target partition for each of them and outputs the record to the corresponding file.

Here is how it looks like:
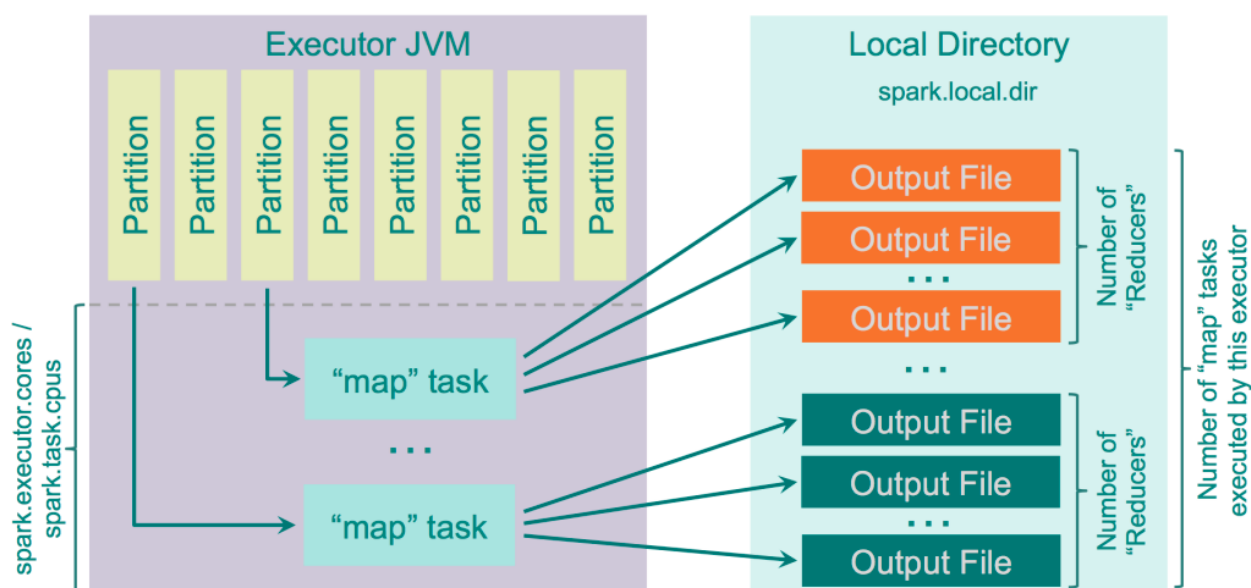
There is an optimization implemented for this shuffler, controlled by the parameter "**spark.shuffle.consolidateFiles**" (default is "false"). When it is set to "true", the "mapper" output files would

be consolidated. If your cluster has **E** executors ("**–num-executors**" for YARN) and each of them has **C** cores ("**spark.executor.cores**" or "**–executor-cores**" for YARN) and each task asks for **T** CPUs (*"spark.task.cpus"*), then the amount of execution slots on the cluster would be **E * C / T**, and the amount of files created during shuffle would be **E * C / T * R**. With 100 executors 10 cores each allocating 1 core for each task and 46000 "reducers" it would allow you to go from 2 billion files down to 46 million files, which is much better in terms of performance. This feature is implemented in a rather straightforward way: instead of creating new file for each of the reducers, it creates a pool of output files. When map task starts outputting the data, it requests a group of **R** files from this pool. When it is finished, it returns this **R** files group back to the pool. As each executor can execute only **C / T** tasks in parallel, it would create only **C / T** groups of output files, each group is of **R** files. After the first **C / T** parallel "map" tasks has finished, each next "map" task would reuse an existing group from this pool.

Here's a general diagram of how it works:
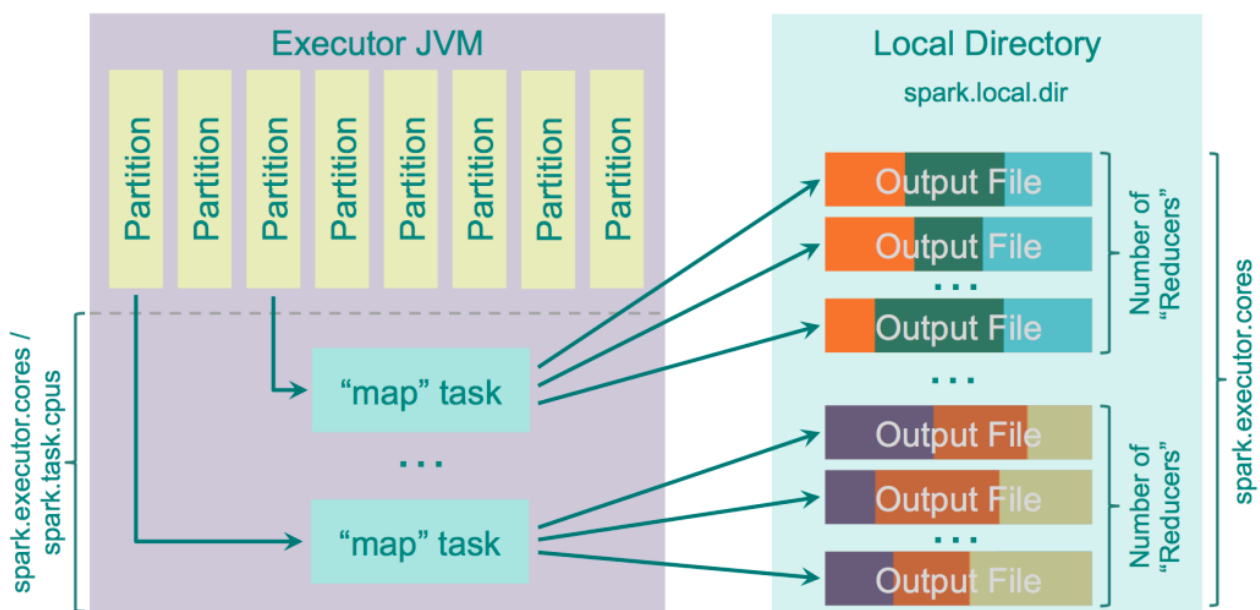
Pros:

1. Fast – no sorting is required at all, no hash table maintained;
2. No memory overhead for sorting the data;
3. No IO overhead – data is written to HDD exactly once and read exactly once.

Cons:

1. When the amount of partitions is big, performance starts to degrade due to big amount of output files
2. Big amount of files written to the filesystem causes IO skew towards random IO, which is in general up to 100x slower than sequential IO

Just for the reference, IO operation slowness at the scale of millions of files on a single filesystem.

And of course, when data is written to files it is serialized and optionally compressed. When it is read, the process is opposite – it is uncompressed and deserialized. Important parameter on the fetch side is "**spark.reducer.maxSizeInFlight**" (48MB by default), which determines the amount of data requested from

the remote executors by each reducer. This size is split equally by 5 parallel requests from different executors to speed up the process. If you would increase this size, your reducers would request the data from "map" task outputs in bigger chunks, which would improve performance, but also increase memory usage by "reducer" processes.

If the record order on the reduce side is not enforced, then the "reducer" will just return an iterator with dependency on the "map" outputs, but if the ordering is required it would fetch all the data and sort it on the "reduce" side with ExternalSorter.

Sort Shuffle

Starting Spark 1.2.0, this is the default shuffle algorithm used by Spark (**spark.shuffle.manager** = *sort*). In general, this is an attempt to implement the shuffle logic similar to the one used by Hadoop MapReduce. With hash shuffle you output one separate file for each of the "reducers", while with sort shuffle you're doing a smarted thing: you output a single file ordered by "reducer" id and indexed, this way you can easily fetch the chunk of the data related to "reducer x" by just getting information about the position of related data block in the file and doing a single fseek before fread. But of course for small amount of "reducers" it is obvious that hashing to separate files would work faster than sorting, so the sort shuffle has a "fallback" plan: when the amount of "reducers" is smaller than "**spark.shuffle.sort.bypassMergeThreshold**" (200 by default) we use the "fallback" plan with hashing the data to separate files and then joining these files together in a single file. This logic is implemented in a separate class BypassMergeSortShuffleWriter.

The funny thing about this implementation is that it sorts the data on the "map" side, but does not merge the results of this sort on "reduce" side – in case the ordering of data is needed it just re-sorts the data. Cloudera has put itself in a fun position with this idea: http://blog.cloudera.com/blog/2015/01/improving-sort-performance-in-apache-spark-its-a-double/. They started a process of implementing the logic that takes advantage of pre-sorted outputs of "mappers" to merge them together on the "reduce" side instead of resorting. As you might know, sorting in Spark on reduce side is done using TimSort, and this is a wonderful sorting algorithm which in fact by itself takes advantage of pre-sorted inputs (by calculating minruns and then merging them together). A bit of math here, you can skip if you'd like to. Complexity of merging **M** sorted arrays of **N** elements each is **O(MNlogM)** when we use the most efficient way to do it, using Min Heap. With TimSort, we make a pass through the data to find MinRuns and then merge them together pair-by-pair. It is obvious that it would identify **M** MinRuns. First **M/2** merges would result in **M/2** sorted groups, next **M/4** merges would give **M/4** sorted groups and so on, so its quite straightforward that the complexity of all these merges would be **O(MNlogM)** in the very end. Same complexity as the direct merge! The difference here is only in constants, and constants depend on implementation. So the patch by Cloudera engineers has been pending on its approval for already one year, and unlikely it would be approved without the push from Cloudera management, because performance impact of this thing is very minimal or even none, you can see this in JIRA ticket discussion. Maybe they would workaround it by introducing separate shuffle implementation instead of "improving" the main one, we'll see this soon.

Fine with this. What if you don't have enough memory to store the whole "map" output? You might need to spill intermediate data to the disk. Parameter **spark.shuffle.spill** is responsible for enabling/disabling spilling, and by default spilling is enabled. If you would disable it and there is not enough memory to store the "map" output, you would simply get OOM error, so be careful with this.

The amount of memory that can be used for storing "map" outputs before spilling them to disk is "JVM Heap Size" * ***spark.shuffle.memoryFraction*** * ***spark.shuffle.safetyFraction***, with default values it is "JVM Heap Size" * 0.2 * 0.8 = "JVM Heap Size" * 0.16. Be aware that if you run many threads within the same executor (setting the ratio of ***spark.executor.cores / spark.task.cpus*** to more than 1), average memory available for storing "map" output for each task would be "JVM Heap Size" * **spark.shuffle.memoryFraction** * **spark.shuffle.safetyFraction** / **spark.executor.cores * spark.task.cpus**, for 2 cores with other defaults it would give 0.08 * "JVM Heap Size".

Spark internally uses AppendOnlyMap structure to store the "map" output data in memory. Interestingly, Spark uses their own Scala implementation of hash table that uses open hashing and stores both keys and values in the same array using quadratic probing. As a hash function they use murmur3_32 from Google Guava library, which is MurmurHash3.

This hash table allows Spark to apply "combiner" logic in place on this table – each new value added for existing key is getting through "combine" logic with existing value, and the output of "combine" is stored as the new value.

When the spilling occurs, it just calls "sorter" on top of the data stored in this AppendOnlyMap, which executes TimSort on top of it, and this data is getting written to disk.

Sorted output is written to the disk when the spilling occurs or when there is no more mapper output, i.e. the data is guaranteed to hit the disk. Whether it will really hit the disk depends on OS settings like file buffer cache, but it is up to OS to decide, Spark just sends it "write" instructions.

Each spill file is written to the disk separately, their merging is performed only when the data is requested by "reducer" and the merging is real-time, i.e. it does not call somewhat "on-disk merger" like it happens in Hadoop MapReduce, it just dynamically collects the data from a number of separate spill files and merges them together using Min Heap implemented by Java PriorityQueue class.

This is how it works:
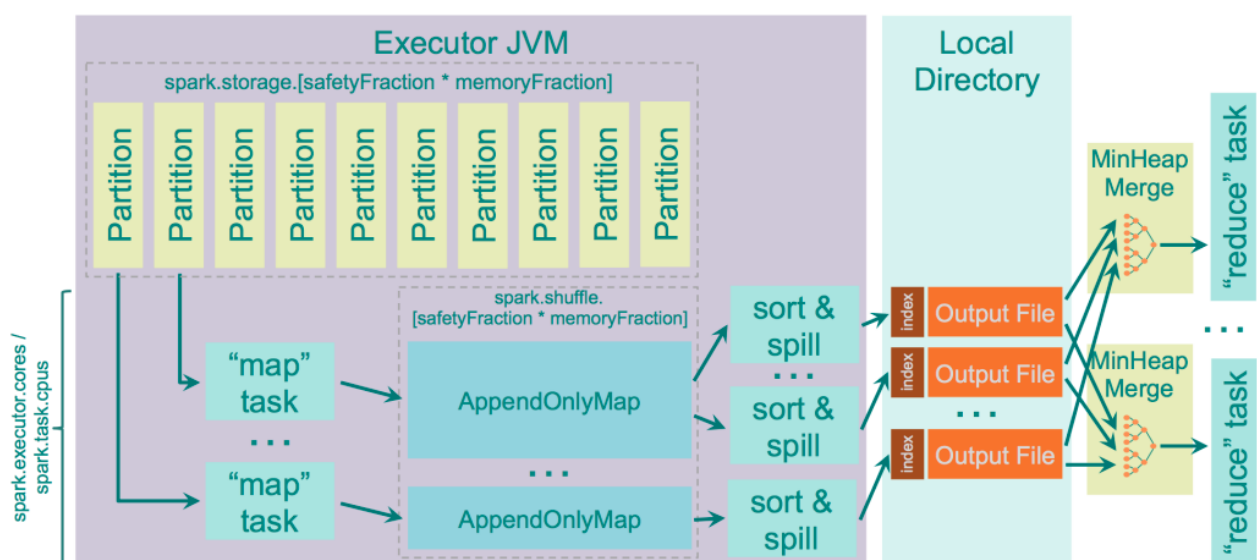
So regarding this shuffle:

Pros:

1. Smaller amount of files created on "map" side
2. Smaller amount of random IO operations, mostly sequential writes and reads

Cons:

1. Sorting is slower than hashing. It might worth tuning the bypassMergeThreshold parameter for your own cluster to find a sweet spot, but in general for most of the clusters it is even too high with its default
2. In case you use SSD drives for the temporary data of Spark shuffles, hash shuffle might work better for you

Unsafe Shuffle or Tungsten Sort

Can be enabled with setting **spark.shuffle.manager** = *tungsten-sort* in Spark 1.4.0+. This code is the part of project "Tungsten". The idea is described here, and it is pretty interesting. The optimizations implemented in this shuffle are:

1. Operate directly on serialized binary data without the need to deserialize it. It uses unsafe (sun.misc.Unsafe) memory copy functions to directly copy the data itself, which works fine for serialized data as in fact it is just a byte array
2. Uses special cache-efficient sorter ShuffleExternalSorter that sorts arrays of compressed record pointers and partition ids. By using only 8 bytes of space per record in the sorting array, it works more efficiently with CPU cache
3. As the records are not deserialized, spilling of the serialized data is performed directly (no deserialize-compare-serialize-spill logic)
4. Extra spill-merging optimizations are automatically applied when the shuffle compression codec supports concatenation of serialized streams (i.e. to merge separate spilled outputs just concatenate them). This is currently supported by Spark's LZF serializer, and only if fast merging is enabled by parameter "**shuffle.unsafe.fastMergeEnabled**"

As a next step of optimization, this algorithm would also introduce off-heap storage buffer.

This shuffle implementation would be used only when all of the following conditions hold:

- The shuffle dependency specifies no aggregation. Applying aggregation means the need to store deserialized value to be able to aggregate new incoming values to it. This way you lose the main advantage of this shuffle with its operations on serialized data
- The shuffle serializer supports relocation of serialized values (this is currently supported by KryoSerializer and Spark SQL's custom serializer)
- The shuffle produces less than 16777216 output partitions
- No individual record is larger than 128 MB in serialized form

Also you must understand that at the moment sorting with this shuffle is performed only by partition id, it means that the optimization with merging pre-sorted data on "reduce" side and taking advantage of pre-

sorted data by TimSort on "reduce" side is no longer possible. Sorting in this operation is performed based on the 8-byte values, each value encodes both link to the serialized data item and the partition number, here is how we get a limitation of 1.6b output partitions.

Here's how it looks like:
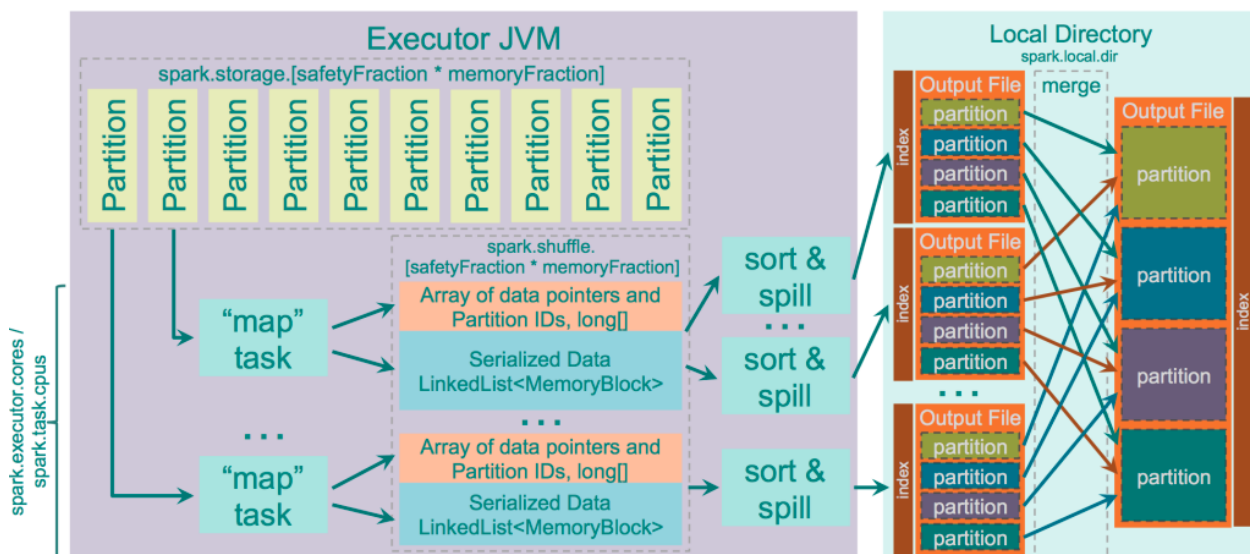
First for each spill of the data it sorts the described pointer array and outputs an indexed partition file, then it merges these partition files together into a single indexed output file.

Pros:

1. Many performance optimizations described above

Cons:

1. Not yet handling data ordering on mapper side
2. Not yet offer off-heap sorting buffer
3. Not yet stable

But in my opinion this sort is a big advancement in the Spark design and I would like to see how this will turn out and what new performance benchmarks Databricks team would offer us to show how cool the performance because with these new features.

This is all what I wanted to say about Spark shuffles. It is a very interesting piece of the code and if you have some time I'd recommend you to read it by yourself.

Share this:

This entry was posted in Hadoop, Spark and tagged apache spark, architecture, hadoop, shuffle, Spark on August 24, 2015 [https://0x0fff.com/spark-architecture-shuffle/] .

31 thoughts on "Spark Architecture: Shuffle"

seleryzhao
August 24, 2015 at 3:38 pm

Is it a typo?
The logic of this shuffler is pretty dumb: it calculates the amount of "reducers" as the amount of partitions on the "reduce" side ====> "map" side?

0x0FFF Post author
August 24, 2015 at 5:05 pm

No, it is right. The amount of reducers might be absolutely any and it is not related to the amount of mappers

Sameer Wadkar
August 26, 2015 at 1:21 pm

It is correct with a slight qualification. It is the max(Partions per Mapper). I wrote about this –
http://www.bigsynapse.com/spark-input-output

You can even control partitions on the Mapper as follows – http://www.bigsynapse.com/spark-input-output

Alex – As usual thanks for the great article. I look forward to your entries.

0x0FFF Post author
August 26, 2015 at 2:54 pm

I think you are referring to the fact that the amount of partitions after "join" operations equal to the max amount of source RDDs partitions (and here is the code, method defaultPartitioner)

In fact, here the question is more general. For most of the transformations in Spark you can manually specify the desired amount of output partitions, and this would be your amount of "reducers". For the same join you can set any number of result partitions, max of source is just the default behavior. For some operations you can even specify your own partitioner, for instance to partition numeric values by range, sort each partition separately, output to separate files and then just concatenate them to get the sorted dataset.

Sameer Wadkar
August 26, 2015 at 4:11 pm

Yes I agree. I was in fact referring to the default behavior which has a better rationale than the default of 1 in Map Reduce (comes from the Conf file but still arbitrary). Also it underscores the fact that the job is aware of the max splits in any given task at the outset.

Devon
August 29, 2015 at 5:42 am

Is this a typo: "The amount of memory that can be used for storing "map" outputs before spilling them to disk is "JVM Heap Size" * spark.shuffle.memoryFraction * spark.shuffle.safetyFraction, with default values it is "JVM Heap Size" * 0.2 * 0.8 = "JVM Heap Size" * 0.16."

Should it not be:

"JVM Heap Size" * spark.shuffle.memoryFraction * (1- spark.shuffle.safetyFraction), with default values it is "JVM Heap Size" * 0.8 * 0.8 = "JVM Heap Size" * 0.64?

Devon
August 29, 2015 at 5:46 am

NVM! noticed this was shuffle.safetyFraction, not storage.memoryFraction

hmdml
September 2, 2015 at 8:52 pm

I understand from your article that when there is two tasks sharing an executor, they'll split the heap memory in two, and have at disposal for RDD storage the amount you've shown (*safety fraction, etc). Do you know where in the source code this separation is made? Is that a strong isolation?

Also, in that scenario, does that mean that one task cannot access some partition that is stored in the other task's heap space? Would there be cases where one would like task A to access some partitions stored in task B's heap share?

0x0FFF Post author

September 3, 2015 at 7:01 am

Tasks are just threads in the same JVM. Threads does not have dedicated heap, they share the same space. So there is completely no isolation. If one task instructs block manager to cache block *X* and there is not enough space for it in RAM, it would just evict LRU block(s) to store the block you asked it to. The memory separation for other tasks like shuffle is simple – the first thread that asked for RAM would get it, if the second one was too late and no more RAM left – it would spill. So in general, any task can access any block from JVM heap

hmdml

September 6, 2015 at 11:37 pm

I see. Makes sense. Thanks!

hmdml

September 2, 2015 at 9:02 pm

– my previous comment implies that each task is assigned/requiring only one core (which can be changed by setting the spark.task.cpus parameter) – I think the division of the executor's heap your mentioning is made on a per task basic, not based on the number of cores available to the executor, but I don't know for sure.

0x0FFF Post author

September 3, 2015 at 7:05 am

This is a good comment. You are right, I've forgotten about the **spark.task.cpus** parameter, and in fact amount of tasks for each executor should be equal to the amount of executor cores divided by the amount of cores required by task. But just to mention, there is completely no use in setting spark.task.cpus to anything other that 1, except by the case when you're doing multi-thread processing in each single task, which again makes no sense as you are working with distributed system and it already parallelizes execution for you.

As for the heap division – see my previous comment, there is no heap division in JVM for separate threads

hmdml
September 6, 2015 at 11:45 pm

I also believe that a system such as Spark is made to handle single threaded chunks of a bigger workload, but it is not obvious that this is going to lead to the best performances.

I made a few experiments with the dumb SparkPi and a wordcount, and I can see that task running time (alone, not considering scheduler delay, GC, etc) is disminishing.

So, at least, from my understanding, scala does a good job at exploiting the number of cores available to it. I actually made a post on SO to gather opinions, but that was not terribly successful. http://stackoverflow.com/questions/32364264/is-my-code-implicitly-concurrent.

0x0FFF Post author
September 8, 2015 at 6:58 am

Why not obvious? Consider an example of running simplest WordCount over 1PB of data on a single machine and on 10000-cores cluster with DAS. I think you would notice the difference.

And this is not because of scala, scala is just a programming language and it does not mean that any program written in scala would run on the cluster. Developers has put substantial efforts to make Spark simple and powerful, allowing you to utilize cluster resources in a best way.

Regarding your SO question yes, your code is implicitly concurrent because you are using RDD, and it is an abstraction introduced to handle simple transformations over data in a concurrent way

hmdml
September 28, 2015 at 2:51 am

I guess my ponder is why would having 10 tasks with cpus.per.tasks = 1 run faster on 10 executors with 1 core, than 5 tasks with cpus.per.tasks = 2 running on 5 executors with 2 cores.

That is not obvious to me, and I believe it is very dependent on the workload one is running (how parallel is the code itself, and what are the requirements – cpu? memory? – of this code).

0x0FFF Post author
September 28, 2015 at 9:30 am

This would completely depend on your workload. If your task is multi-threaded by itself, then it would be worthful to set spark.task.cpus to higher value. But for 99% this does not make sense. But it might be worthful to overcommit cluster CPU resources a bit, but the respective setting should be done in resource manager (for instance, in YARN this is yarn.nodemanager.resource.cpu-vcores)

Ehsan MohyedinKermani
September 8, 2015 at 9:57 pm

Very nice explanations! may I ask your opinion about Spark developer certificate, whether it's worth it or not and how to get prepared for the online exam?

Thanks in advance

0x0FFF Post author
September 9, 2015 at 9:47 am

Thank you. Spark certificate is a good thing, but it really depends on what you want to achieve with this. It can act as additional motivation for you to learn Spark, or it can be used to show your knowledge of Spark in case you don't have practical experience with it. Also it might be useful for consultancy companies as a prove of their competency like "X of our developers hold Apache Spark developer certificates".

How to get prepared: read "Learning Spark" book, read Spark official documentation, follow Databricks training presentations and try things on your own VM

Pingback: Advanced Spark Meetup Recap - Silicon Valley Data Science

Prabhu Joseph
December 9, 2015 at 3:28 pm

@Alex One of the best blog.

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ksxh
December 17, 2015 at 4:14 pm

I am totally lost in the Hash Shuffle. Assuming T=1, at reducer, I will have C groups of output files, where each group contains R files.

At mapper, I have E * C execution slots. How does the shuffle happen from mapper to reduce? Can you elaborate or give an example? Thank you so much.

0x0FFF Post author
December 17, 2015 at 4:28 pm

It is very simple. Each separate file created by "mapper" is created to be read by a single "reducer". So all the mappers would create E*C/T*R files, but each reducer would read only E*C/T, or with T=1 it would read only E*C files. This, of course, if we use hash shuffle with consolidation and the amount of partitions on "mapper" side is greater than E*C

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ksxh
December 19, 2015 at 6:12 am

Thank you, I get it now. I think the label 'spark.executor.cores' on the extreme right side of the hash shuffle diagram can be abit misleading, it should be E*C/T*R?

0x0FFF Post author
December 28, 2015 at 11:47 am

This picture shows a single executor, so its output is not E*C/T*R, but only C/T*R. Right bracket shows that the amount of groups is the number of parallel "map" tasks on this executor, i.e. C/T. And it is not the amount of files, but the amount of groups of file, single group for a single instance of "map" working in parallel, each of them creating R files. So in total it is C/T*R

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malouke
December 28, 2015 at 10:59 am

Thank you for this article
I just want to ask if you have an idea about the problems caused by the spark join in, very large execution time related shuffel?

0x0FFF Post author
December 28, 2015 at 11:53 am

Can you give more details? When you join two very large tables you have to shuffle them across the cluster, and thus you are required to have lots of temporary space and good network. But after all, the more data you shuffle, the worse would be your performance. So the first optimization you usually made is elimination of the shuffle, whenever possible

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Toonny Yang
July 27, 2016 at 10:55 am

hi，Can I transform your posts into chinese and post it on my blog ? I will put this post's link!

0x0FFF Post author
July 27, 2016 at 11:04 am

Yes, you can do so

Manav

August 3, 2016 at 7:43 am

Hey,

So you mention that : "Fine with this. What if you don't have enough memory to store the whole "map" output? You might need to spill intermediate data to the disk."

I was under the impression that in case of shuffle intermediate local files are always created irrespective of whether you have enough memory or not.
What am I missing here ?

Thanks a lot.

0x0FFF Post author
August 3, 2016 at 8:11 am

Yes, they are always created. But with spark.shuffle.spill=true you might have many files created, while with spark.shuffle.spill=false you should always have either 1 file or OOM. Of course, this applies only to Sort Shuffle

Pingback: Project Tungsten: Bringing Apache Spark Closer to Bare Metal – ToyBox