

# Linear time algorithm for construction of suffix arrays (suffix sorting)

CS176 Lecture 5

# Suffix arrays

**T = bississippi\$**

$T[A_T[i].. T ]$	$A_T[i]$
\$	12
bississippi\$	1
i\$	11
ippi\$	8
issippi\$	5
ississippi\$	2
pi\$	10
ppi\$	9
sippi\$	7
sissippi\$	4
ssippi\$	6
ssissippi\$	3

**Suffix array of a string T ( $A_T$ ) :**

$A_T[i]$  is the start position in T of the i-th lexicographically smallest suffix of T

$P=is$		$T[A_T[i].. T ]$	$A_T[i]$
	$L=1$	\$	12
		bississippi\$	1
		i\$	11
		ippi\$	8
		issippi\$	5
	$M=\text{ceil}((L+R)/2)$	ississippi\$	2
		pi\$	10
		ppi\$	9
		sippi\$	7
		sissippi\$	4
		ssippi\$	6
	$R= S $	ssissippi\$	3

$=T[A_T[M]..|S|]$

Binary search for the first position in  $A_T$  that includes  $P$  as a prefix:  
 If  $P$  matches a prefix of  $T[A_T[M]..|T|]$  or if it is smaller than  $T[A_T[M]..|T|]$   
 Go to the **L** direction; otherwise, go to the **R** direction.

P=is

		$T[A_T[i].. T ]$	$A_T[i]$
L	→	\$	12
		bississippi\$	1
M	→	i\$	11
		ippi\$	8
		issippi\$	5
R	→	ississippi\$	2
		pi\$	10
		ppi\$	9
		sippi\$	7
		sissippi\$	4
		ssippi\$	6
		ssissippi\$	3

P=is

		$T[A_T[i].. T ]$	$A_T[i]$
		\$	12
		bississippi\$	1
L	→	i\$	11
		ippi\$	8
M	→	issippi\$	5
R	→	ississippi\$	2
		pi\$	10
		ppi\$	9
		sippi\$	7
		sissippi\$	4
		ssippi\$	6
		ssissippi\$	3

P=is

		$T[A_T[i].. T ]$	$A_T[i]$
		\$	12
		bississippi\$	1
L	→	i\$	11
M	→	ippi\$	8
R	→	issippi\$	5
		ississippi\$	2
		pi\$	10
		ppi\$	9
		sippi\$	7
		sissippi\$	4
		ssippi\$	6
		ssissippi\$	3

P=is

L  
R=M



$T[A_T[i]..|T|]$

$A_T[i]$

\$	12
bississippi\$	1
i\$	11
ippi\$	8
issippi\$	5
ississippi\$	2
pi\$	10
ppi\$	9
sippi\$	7
sissippi\$	4
ssippi\$	6
ssissippi\$	3



P=is

R=L=M



$T[A_T[i].. T ]$	$A_T[i]$
\$	12
bississippi\$	1
i\$	11
ippi\$	8
issippi\$	5
ississippi\$	2
pi\$	10
ppi\$	9
sippi\$	7
sissippi\$	4
ssippi\$	6
ssissippi\$	3

For k matches of P in T - search running time is  $O(\log(|T|)|P| + k)$

-- In practice, the actual number of character comparisons in each iteration of the binary each may be small, leading to an effective  $O(\log(|T|) + |P| + k)$  running time

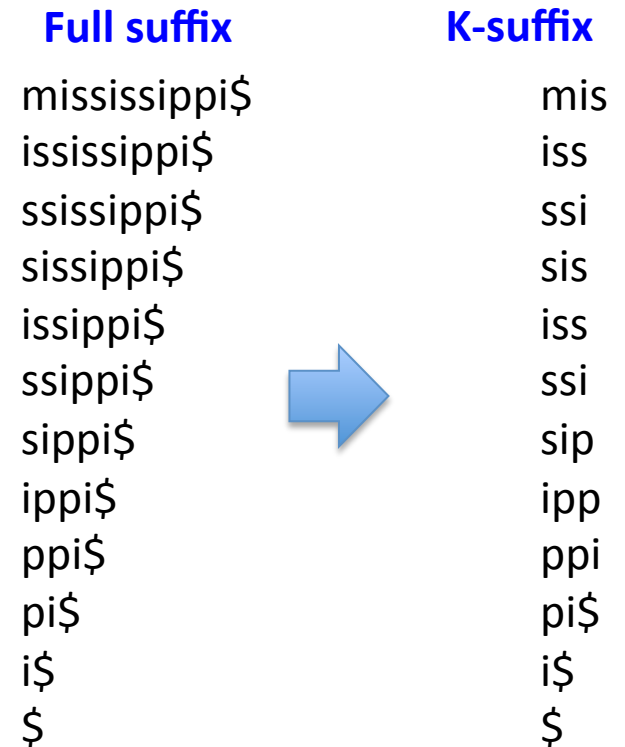
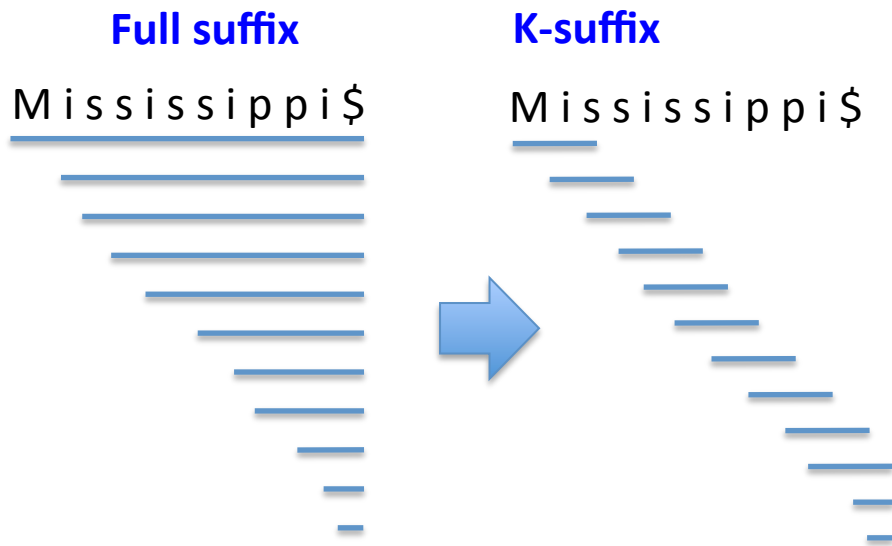
-- Theoretically a  $O(\log(|T|) + |P| + k)$  can be obtained using the LCP-based speedup

- Constructing a suffix array:
  - Naïve merge sort :  $O(n^2 \log(n))$
  - Naïve Radix sort :  $O(n^2)$
  - Suffix tree:  $O(n)$  but with large space requirement
- Direct suffix sorting with linear complexity was an **open problem** for a while. Resolved ~2005
- **Today:** KS algorithm (Kärkkäinen and Sanders 2006). A (no so naïve) combination of merge sort and radix sort, leading to linear time/space suffix sorting.

- Intuition (#1):

It would save a lot of time if we could get away with considering **only** the first  $k$  characters of each suffix (why?)

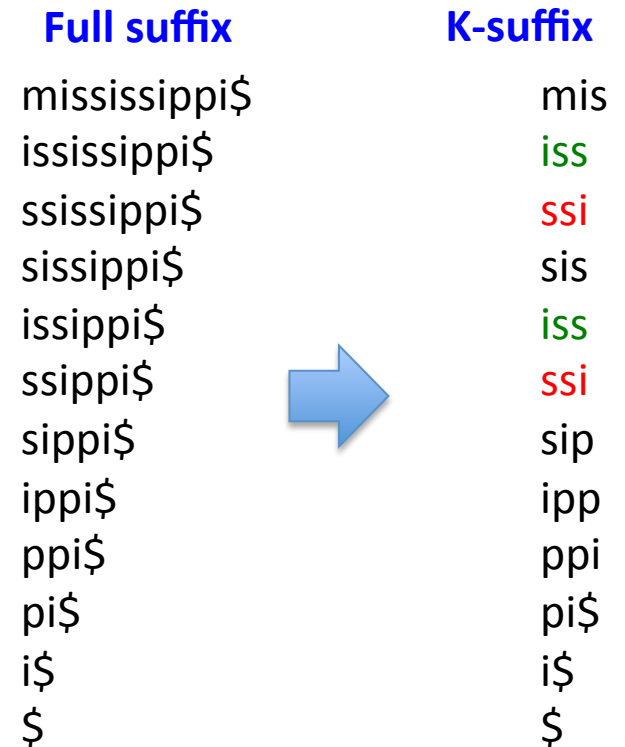
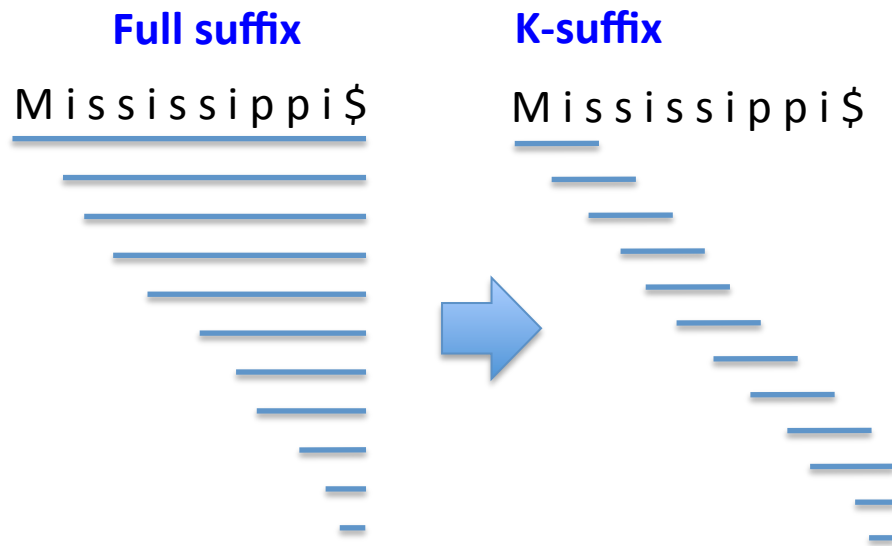
Radix sort:  $O(k|T|) = O(|T|)$



- Intuition (#1):

It would save a lot of time if we could get away with considering **only** the first  $k$  characters of each suffix (why?)

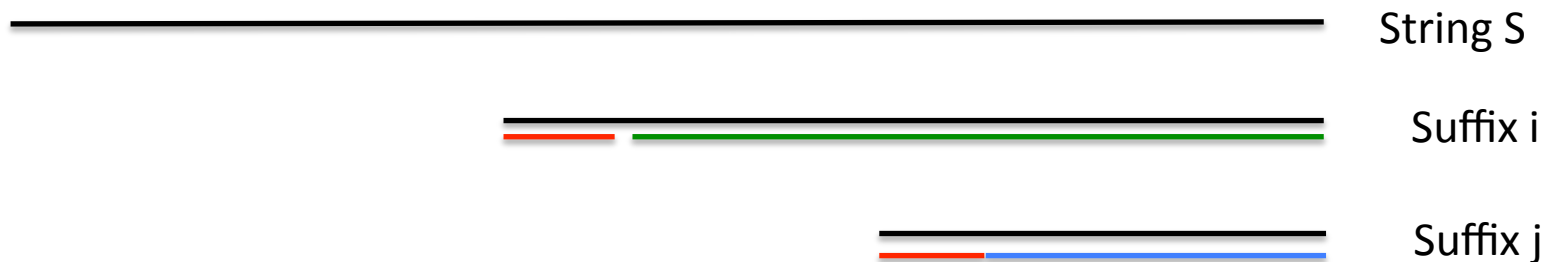
Radix sort:  $O(k|T|) = O(|T|)$



How to handle ambiguities?

- Intuition (#2):

The lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same prefix depends on the rank of their subsequent suffixes (i.e., indices  $i+1, i+2...$  and  $j+1, j+2...$ )



— = —

— < —

$$\Rightarrow S[i \dots |S|] < S[j \dots |S|]$$

- Intuition (#2):

The lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same prefix depends on the rank of their subsequent suffixes (i.e., indices  $i+1$ ,  $i+2...$  and  $j+1$ ,  $j+2...$ )

mississippi\$  
 ississippi\$  
 ssissippi\$  
 sissippi\$  
 issippi\$  
 ssippi\$  
 sippi\$  
 ippi\$  
 ppi\$  
 pi\$  
 i\$  
 \$



mis  
 iss  
 ssi  
 sis  
 iss  
 ssi  
 sip  
 ipp  
 ppi  
 pi\$  
 i\$  
 \$

ississippi\$

Suffix  $i$  ( $i=2$ )

issippi\$

Suffix  $j$  ( $j=5$ )

iss = iss

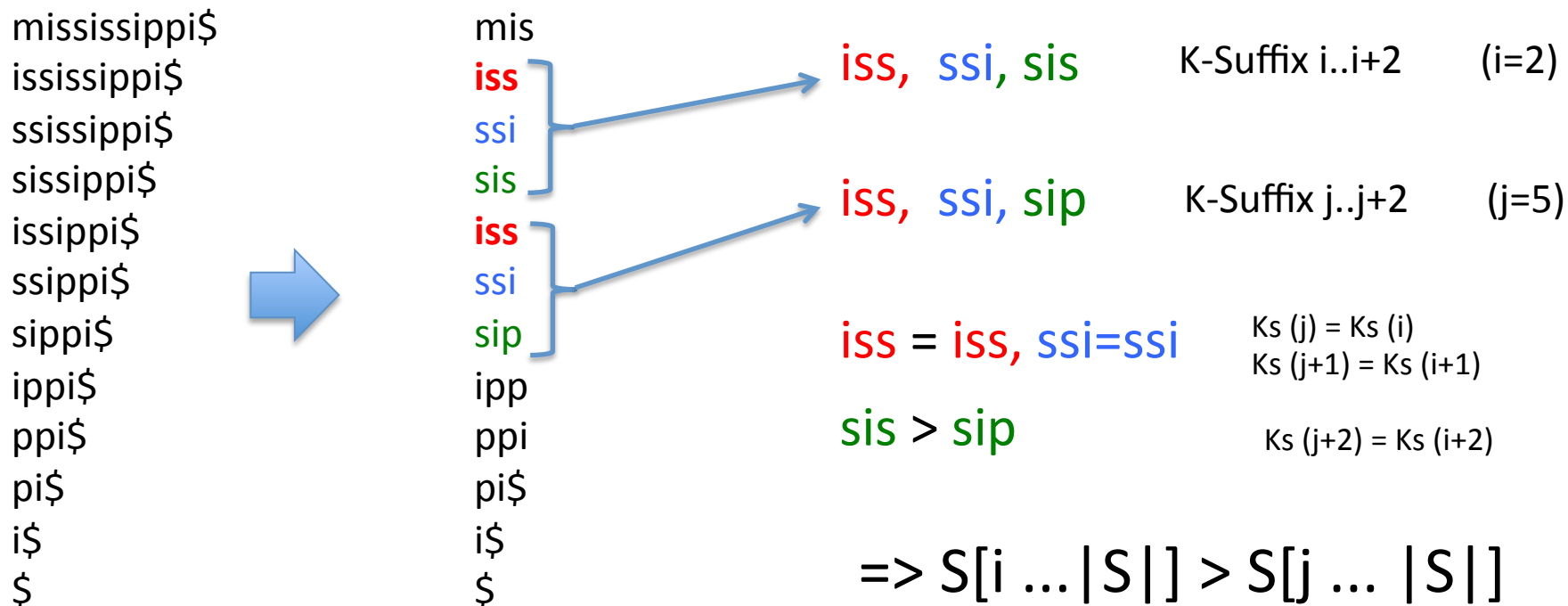
issippi\$ > ippi\$

$\Rightarrow S[i \dots |S|] > S[j \dots |S|]$

- Intuition (#2):

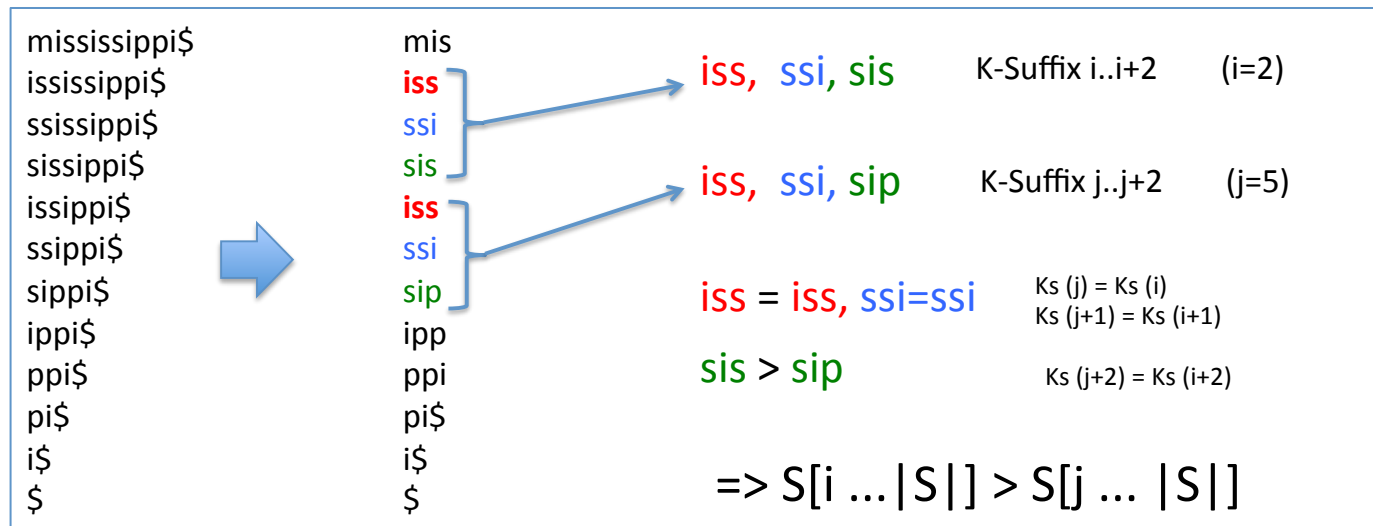
The lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same prefix depends on the rank of their subsequent suffixes (i.e., indices  $i+1$ ,  $i+2...$  and  $j+1$ ,  $j+2...$ )

→ Can be used for tie breaking between k-suffixes:



## First attempt at linear complexity:

- K-suffixes can be sorted in **linear time**  
(but with possible ties)
- Tie breaking between K-suffixes can be done by looking at the lexicographic ranks of their subsequent  $K-1$  K-suffixes  
(unless the subsequent suffixes are also tied, in which case we will have to continue our search for tie break recursively)





- **First attempt at linear complexity (ALG1):**

- 1.** Sort the suffixes by the first  $k(=3)$  characters using Radix sorting  
(this will only take linear time)
- 2.** If there are no ambiguities, we are done!

Otherwise:

- 3.** Replace each character by the rank of the respective suffix (as computed in step 1)
- 4.** Repeat the process (i.e., go to step #1) with the new string

- First attempt at linear complexity:

Full suffix		K-suffix		Lex-Rank
mississippi\$		mis		6
ississippi\$		iss		4
ssissippi\$		ssi		11
sissippi\$		sis		10
issippi\$		iss		4
ssippi\$		ssi		11
sippi\$	→	sip	→	9
ippi\$		ipp		3
ppi\$		ppi		8
pi\$		pi\$		7
i\$		i\$		2
\$		\$		1

- First attempt at linear complexity:

Full suffix		K-suffix		Lex-Rank		New string
mississippi\$		mis		6		
ississippi\$		i <i>ss</i>		<i>4</i>		
ssissippi\$		<i>ssi</i>		<i>11</i>		
sissippi\$		sis		10		
issippi\$		i <i>ss</i>		<i>4</i>		
ssippi\$		<i>ssi</i>		<i>11</i>		
sippi\$	→	sip	→	9	→	6, 4, 11, 10, 4, 11, 9, 3, 8, 7, 2, 1
ippi\$		ipp		3		
ppi\$		ppi		8		
pi\$		pi\$		7		
i\$		i\$		2		
\$		\$		1		

- First attempt at linear complexity:

Full suffix		K-suffix		Lex-Rank		$A_T$
6, 4, 11, 10, 4, 11, 9, 3, 8, 7, 2, 1		6, 4, 11		6 mississippi\$		12
4, 11, 10, 4, 11, 9, 3, 8, 7, 2, 1		4, 11, 10		5 ississippi\$		11
11, 10, 4, 11, 9, 3, 8, 7, 2, 1		11, 10, 4		12 ssissippi\$		8
10, 4, 11, 9, 3, 8, 7, 2, 1		10, 4, 11		10 sissippi\$		5
4, 11, 9, 3, 8, 7, 2, 1		4, 11, 9		4 issippi\$		2
11, 9, 3, 8, 7, 2, 1		11, 9, 3		11 ssippi\$		1
9, 3, 8, 7, 2, 1		9, 3, 8		9 sippi\$		10
3, 8, 7, 2, 1		3, 8, 7		3 ippi\$		9
8, 7, 2, 1		8, 7, 2		8 ppi\$		7
7, 2, 1		7, 2, 1		7 pi\$		4
2, 1		2, 1		2 i\$		6
1		1		1 \$		3

**No ambiguities!**

- First attempt at linear complexity:
- Running time?
  - Each iteration takes  $O(n)$
  - #Iterations:  $O(n)$
  - $O(n^2)$  time ...
    - (how does the worst case look like?)
    - (how would an “easy” case look like?)

- Intuition (#3):


Divide and conquer: solve a smaller instance of the problem and extend it to a full solution in linear time. Apply it in a recursive manner.


- Intuition (#3):

Divide and conquer: solve a smaller instance of the problem and extend it to a full solution in linear time. Apply it in a recursive manner.

Let  $T$  be the running time and  $q > 1$  a constant

If  $T(n) = T(n/q) + O(n)$  and  $T(1)=1$

  
Smaller instance  
(divide)

  
Extension to full solution  
(merge)

Then: 
$$T(n) = n \sum_{i=0}^n \frac{1}{q^i} = O(n)$$

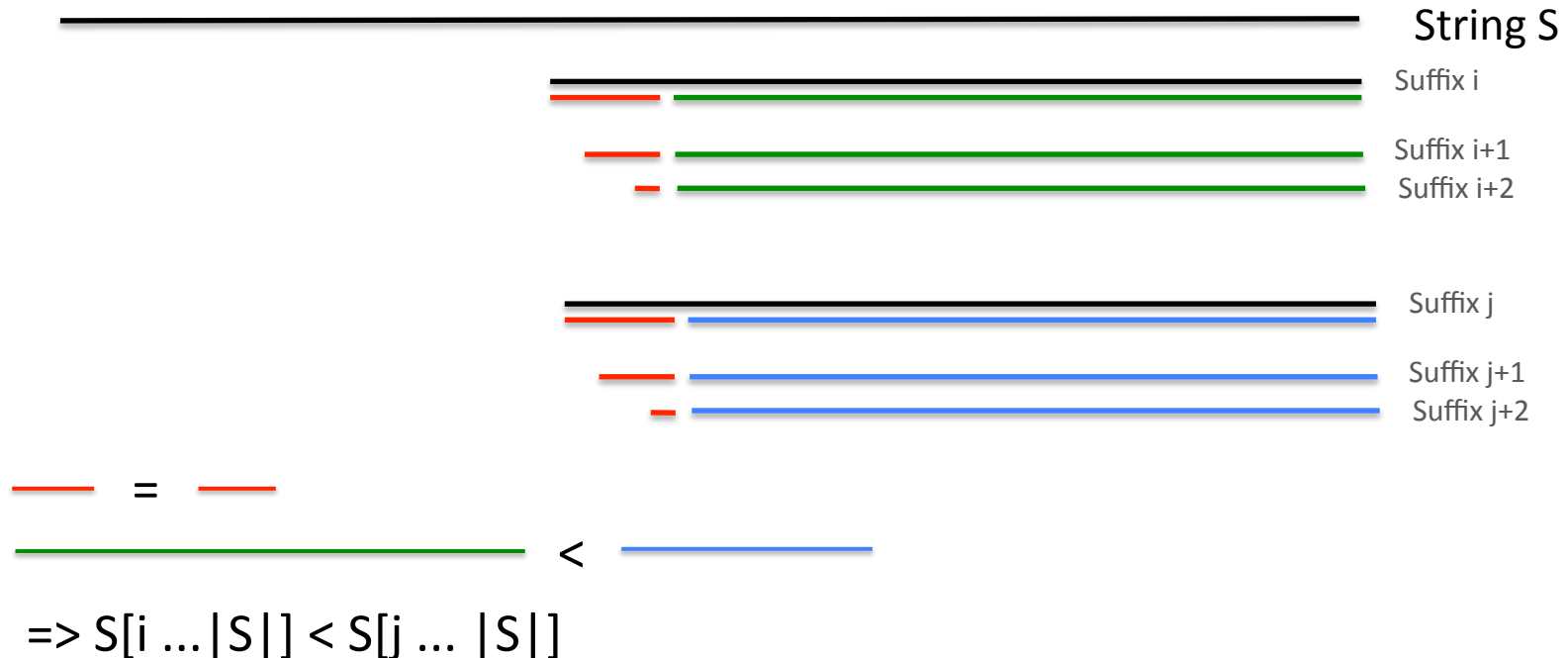
- Intuition (#3): Divide and conquer

For the purpose of tie breaking, the k-suffix representation is somewhat **redundant**. To resolve the lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same k-prefix it is enough (for  $k=3$ ) to know the “true” rank of **only one** representative from  $\{i+1, i+2\}$  and **one** from  $\{j+1, j+2\}$



- Intuition (#3): Divide and conquer

For the purpose of tie breaking, the k-suffix representation is somewhat **redundant**. To resolve the lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same  $k$ -prefix it is enough (for  $k=3$ ) to know the “true” rank of **only one** representative from  $\{i+1, i+2\}$  and **one** from  $\{j+1, j+2\}$



- Divide and conquer strategy:  
Knowing the “true” ranks of two thirds of the suffixes (evenly distributed) is sufficient to annotate the remaining third!

**Split:** For every triplet of suffixes (at indices  $[i, i+1, i+2]$ ) take only two of them (total of  $2/3$  of suffixes) and sort as in ALG1.

**Merge:** Use the sorting results to add the remaining third of the suffixes and output the joint sorted list

- Second (and last) attempt at linear complexity:

**KS-algorithm**(string **S**)

1. Divide the suffixes into two groups:  
Index group "A":  $\{i \mid \text{such that } i \bmod 3 \neq 0\}$   
Index group "B":  $\{i \mid \text{such that } i \bmod 3 = 0\}$
2. Sort the suffixes in group A using **ALG2**
3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

**KS-algorithm**(string **S**)

1. Divide the suffixes into two groups:  
Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$   
Index group "B": { $i$ } such that  $i \bmod 3 = 0$
2. Sort the suffixes in group A using **ALG2**
3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

**ALG2** (string **S**, group of suffix indices **A**)

1. Sort the suffixes in the group by the first  $k(=3)$  characters using Radix sorting
2. If there are no ambiguities: return the sorted order
3. Otherwise:
  1. Generate a modified string  $S'$  by substituting each suffix in A with its rank (based on the first 3 characters)
  2. Recursively apply the **KS** algorithm on the modified string  $S'$  and return the results

### **KS-algorithm(string S)**

1. Divide the suffixes into two groups:  
Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$   
Index group "B": { $i$ } such that  $i \bmod 3 = 0$
2. Sort the suffixes in group A using **ALG2**
3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

### **ALG3 (string S, rank-sorted group A, un-sorted group B)**


1. Represent each index  $i$  in B by the pair  
 $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from A
2. Radix sort the array of pairs // Now the suffixes in B are sorted as well
3. Merge A and B // Simply traverse A and B in parallel  
To determine the relative order between two suffixes  $i$  in A and  $j$  in B:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in A then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in A; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

### **KS-algorithm**(string **S**)

1. Divide the suffixes into two groups:  
Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$   
Index group "B": { $i$ } such that  $i \bmod 3 = 0$
2. Sort the suffixes in group A using **ALG2**
3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

- Time:

Recursion      Radix sort



– Step 2:  $T(n) = T(2n/3) + O(n)$   
 $\Rightarrow T(n) = O(n)$

- Step 3:  $O(n)$  [for radix sort of group B and merge of groups A and B]
- Overall:  **$O(n)$**

### KS-algorithm(string S)

1. Divide the suffixes into two groups:

Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$

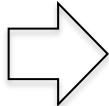
Index group "B": { $i$ } such that  $i \bmod 3 = 0$

2. Sort the suffixes in group A using **ALG2**

3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

S= mississippi\$

Index	Suffix	Group
1	mississippi\$	A
2	ississippi\$	A
3	ssissippi\$	B
4	sissippi\$	A
5	issippi\$	A
6	ssippi\$	B
7	sippi\$	A
8	ippi\$	A
9	ppi\$	B
10	pi\$	A
11	i\$	A
12	\$	B



**ALG2** (string **S**, group of suffix indices **A**)

1. Sort the suffixes in the group by the first  $k(=3)$  characters using Radix sorting
2. If there are no ambiguities: return the sorted order
3. Otherwise:
  1. Generate a modified string  $S$  by substituting each suffix in  $A$  with its rank (based on the first 3 characters)
  2. Return **KS**( $S$ )

$S = \text{mississippi\$}$

$A = \{1, 2, 4, 5, 7, 8, 10, 11\}$

**Group A suffixes**

**K-suffix**

**Lex-Rank**

**New string**

mississippi\$

mis

5

ississippi\$

iss

3

sissippi\$

sis

8

issippi\$

iss

3

5

3

8

3

7

2

6

1

sippi\$

sip

7

ippi\$

ipp

2

pi\$

pi\$

6

i\$

i\$

1



**KS-algorithm(string S)**

1. Divide the suffixes into two groups:

Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$

Index group "B": { $i$ } such that  $i \bmod 3 = 0$

2. Sort the suffixes in group A using **ALG2**

3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

S= 5 3 8 4 7 2 6 1

Index	Suffix	Group
1	5 3 8 3 7 2 6 1	A
2	3 8 3 7 2 6 1	A
3	8 3 7 2 6 1	B
4	3 7 2 6 1	A
5	7 2 6 1	A
6	2 6 1	B
7	6 1	A
8	1	A

**ALG2** (string **S**, group of suffix indices **A**)

1. Sort the suffixes in the group by the first  $k(=3)$  characters using Radix sorting
2. If there are no ambiguities: return the sorted order
3. Otherwise:
  1. Generate a modified string  $S$  by substituting each suffix in  $A$  with its rank (based on the first 3 characters)
  2. Return **KS**( $S$ )

**S**= 5 3 8 4 7 2 6 1  
**A**= {1,2,4,5,7,8}

Group A suffixes	K-suffix	Lex-Rank
5 3 8 3 7 2 6 1	5 3 8	4
3 8 3 7 2 6 1	3 8 3	3
3 7 2 6 1	3 7 2	2
7 2 6 1	7 2 6	6
6 1	6 1	5
1	1	1

**No ambiguities!**

**KS-algorithm(string S)**

1. Divide the suffixes into two groups:

Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$

Index group "B": { $i$ } such that  $i \bmod 3 = 0$

2. Sort the suffixes in group A using **ALG2**

3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

S= 5 3 8 4 7 2 6 1

Index	Suffix	Group	Rank (A)
1	5 3 8 3 7 2 6 1	A	4
2	3 8 3 7 2 6 1	A	3
3	8 3 7 2 6 1	B	--
4	3 7 2 6 1	A	2
5	7 2 6 1	A	6
6	2 6 1	B	--
7	6 1	A	5
8	1	A	1

**ALG3** (string **S**, rank-sorted group **A**, un-sorted group **B**)

1. Represent each index  $i$  in **B** by the pair  $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from **A**
2. Radix sort the array of pairs // Now the suffixes in **B** are sorted as well
3. Merge **A** and **B** // Simply traverse **A** and **B** in parallel  
To determine the relative order between two suffixes  $i$  in **A** and  $j$  in **B**:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in **A** then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in **A**; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

**S** = 5 3 8 4 7 2 6 1  
**A** = {1,2,4,5,7,8}  
**B** = {3,6}

Index	Suffix	Group	Rank (A)	Pair representation of B	Order (B)
1	5 3 8 3 7 2 6 1	A	4	--	--
2	3 8 3 7 2 6 1	A	3	--	--
3	8 3 7 2 6 1	B	--	$\langle 8, 2 \rangle$	2
4	3 7 2 6 1	A	2	--	--
5	7 2 6 1	A	6	--	--
6	2 6 1	B	--	$\langle 2, 5 \rangle$	1
7	6 1	A	5	--	--
8	1	A	1	--	--

**ALG3** (string **S**, rank-sorted group **A**, un-sorted group **B**)

1. Represent each index  $i$  in **B** by the pair  
 $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from **A**
2. Radix sort the array of pairs // Now the suffixes in **B** are sorted as well
3. Merge **A** and **B** // Simply traverse **A** and **B** in parallel  
To determine the relative order between two suffixes  
 $i$  in **A** and  $j$  in **B**:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in **A** then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in **A**; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

**S** = 5 3 8 4 7 2 6 1  
**A** = {1,2,4,5,7,8}  
**B** = {3,6}

Sorted **A**: {1, 37261, 3837261, 53837261, 61, 7261}

Sorted **B**: {261, 837261}

All cases are easy (can be determined by first character, as in line 3.1 in code)

Sorted: {1, 261, 37261, 3837261, 53837261, 61, 7261, 837261}

**ALG3** (string S, rank-sorted group A, un-sorted group B)

1. Represent each index  $i$  in B by the pair  
 $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from A
2. Radix sort the array of pairs // Now the suffixes in B are sorted as well
3. Merge A and B // Simply traverse A and B in parallel  
To determine the relative order between two suffixes  $i$  in A and  $j$  in B:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in A then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in A; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

S= 5 3 8 4 7 2 6 1  
A= {1,2,4,5,7,8}  
B= {3,6}

Index	Suffix	Group	Rank (both sets)
1	5 3 8 3 7 2 6 1	A	5
2	3 8 3 7 2 6 1	A	4
3	8 3 7 2 6 1	B	8
4	3 7 2 6 1	A	3
5	7 2 6 1	A	7
6	2 6 1	B	2
7	6 1	A	6
8	1	A	1

**KS-algorithm(string S)**

1. Divide the suffixes into two groups:

Index group "A": { $i$ } such that  $i \bmod 3 \neq 0$

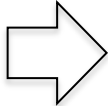
Index group "B": { $i$ } such that  $i \bmod 3 = 0$

2. Sort the suffixes in group A using **ALG2**

3. Merge the suffixes in group B into the sorted list of group A using **ALG3**

S= mississippi\$

Index	Suffix	Group	Rank (A)
1	mississippi\$	A	5
2	ississippi\$	A	4
3	ssissippi\$	B	--
4	sissippi\$	A	8
5	issippi\$	A	3
6	ssippi\$	B	--
7	sippi\$	A	7
8	ippi\$	A	2
9	ppi\$	B	--
10	pi\$	A	6
11	i\$	A	1
12	\$	B	--



**ALG3** (string **S**, rank-sorted group **A**, un-sorted group **B**)

1. Represent each index  $i$  in **B** by the pair  $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from **A**
2. Radix sort the array of pairs // Now the suffixes in **B** are sorted as well
3. Merge **A** and **B** // Simply traverse **A** and **B** in parallel  
To determine the relative order between two suffixes  $i$  in **A** and  $j$  in **B**:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in **A** then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in **A**; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

**S**= mississippi\$  
**A**= {1,2,4,5,7,8,10,11}  
**B**= {3,6,9,12}

Index	Suffix	Group	Rank (A)	Pair representation of B	Order (B)
1	mississippi\$	A	5	--	--
2	ississippi\$	A	4	--	--
3	ssissippi\$	B	--	$\langle s, 8 \rangle$	4
4	sissippi\$	A	8	--	--
5	issippi\$	A	3	--	--
6	ssippi\$	B	--	$\langle s, 7 \rangle$	3
7	sippi\$	A	7	--	--
8	ippi\$	A	2	--	--
9	ppi\$	B	--	$\langle p, 6 \rangle$	2
10	pi\$	A	6	--	--
11	i\$	A	1	--	--
12	\$	B	--	$\langle \$, \emptyset \rangle$	1



**ALG3** (string **S**, rank-sorted group **A**, un-sorted group **B**)

1. Represent each index  $i$  in **B** by the pair  $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from **A**
2. Radix sort the array of pairs // Now the suffixes in **B** are sorted as well
3. Merge **A** and **B** // Simply traverse **A** and **B** in parallel  
To determine the relative order between two suffixes  $i$  in **A** and  $j$  in **B**:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in **A** then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in **A**; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

**S** = mississippi\$  
**A** = {1,2,4,5,7,8,10,11}  
**B** = {3,6,9,12}

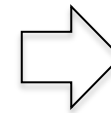
Index	Suffix	Group	Rank (A)	Order (B)	Order (Merged)
1	mississippi\$	A	→ 5	--	6 (rationale: $m < p$ )
2	ississippi\$	A	→ 4	--	5 (rationale: $i < p$ )
3	ssissippi\$	B	--	4 ←	12 (rationale: A is exhausted)
4	sissippi\$	A	→ 8	--	10 ( $\text{rank}[i+1]=3 < \text{rank}[j+1]=7$ )
5	issippi\$	A	→ 3	--	4 (rationale: $i < p$ )
6	ssippi\$	B	--	3 ←	11 (rationale: A is exhausted)
7	sippi\$	A	→ 7	--	9 ( $\text{rank}[i+1]=2 < \text{rank}[j+1]=7$ )
8	ippi\$	A	→ 2	--	3 (rationale: $i < p$ )
9	ppi\$	B	--	2 ←	8 (rationale: $p < s$ )
10	pi\$	A	→ 6	--	7 ( $\text{rank}[i+1]=1 < \text{rank}[j+1]=6$ )
11	i\$	A	→ 1	--	2 (rationale: $i < p$ )
12	\$	B	--	1 ←	1 (rationale: $\$ < i$ )

**ALG3** (string **S**, rank-sorted group **A**, un-sorted group **B**)

1. Represent each index  $i$  in **B** by the pair  $\langle S[i], \text{rank}[i+1] \rangle$  // the rank information is taken from **A**
2. Radix sort the array of pairs // Now the suffixes in **B** are sorted as well
3. Merge **A** and **B** // Simply traverse **A** and **B** in parallel  
To determine the relative order between two suffixes  $i$  in **A** and  $j$  in **B**:
  1. If  $S[i] \neq S[j]$ , order them accordingly // Easy case: First character is different
  2. Otherwise: // Consider the immediately subsequent suffixes
    1. If  $i+1$  is in **A** then compare  $\text{rank}[i+1]$  with  $\text{rank}[j+1]$  // Note that by definition  $j+1$  must be in **A**; so we are using two "comparable" ranks
    2. Otherwise, lexicographically compare  $\langle S[i+1], \text{rank}[i+2] \rangle$  and  $\langle S[j+1], \text{rank}[j+2] \rangle$

**S**= mississippi\$  
**A**= {1,2,4,5,7,8,10,11}  
**B**= {3,6,9,12}

Index	Suffix	Group	Rank (A)	Order (B)	Order (Merged)
1	mississippi\$	A	5	--	6
2	ississippi\$	A	4	--	5
3	ssissippi\$	B	--	4	12
4	sissippi\$	A	8	--	10
5	issippi\$	A	3	--	4
6	ssippi\$	B	--	3	11
7	sippi\$	A	7	--	9
8	ippi\$	A	2	--	3
9	ppi\$	B	--	2	8
10	pi\$	A	6	--	7
11	i\$	A	1	--	2
12	\$	B	--	1	1



**A<sub>T</sub>**

12  
11  
8  
5  
2  
1  
10  
9  
7  
4  
6  
3

- **Intuition (#1):** It would save a lot of time if we could get away with considering only the first  $k$  characters of each suffix
- **Intuition (#2):** The lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same prefix depends on the rank of their subsequent suffixes (e.g.,  $i+1$ ,  $i+2$  and  $j+1$ ,  $j+2$ )
- **Intuition (#3):** The  $k$ -suffix representation is **redundant**. To resolve the lexicographic ordering between two suffixes  $i$ , and  $j$  that have the same prefix it is enough (for  $k=3$ ) to know the rank of one representative from  $\{i+1, i+2\}$  and one from  $\{j+1, j+2\}$
- **Algorithm** (divide and conquer):
  - Split:** For every triplet  $\{i, i+1, i+2\}$  take only two of them and sort 3-suffixes (total of  $2/3$  of suffixes); Repeat recursively to resolve ambiguities
  - Merge:** Use the sorting results to add the remaining third of the suffixes to the sorted list