# Homework 1 Algorithm Design 2018-19 - Sapienza

Luigi Russo 1699981

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### 1 Cristina and centers

Cristina is interested in a metric space (X, d), where all distances d(x, y) are either 0 (in which case x = y), 1 or 3. Further, all distances are symmetric and obey the triangle inequality, that is d(a,b) + d(b,c) >= d(a,c). Cristina wants to cluster the points of X. Unfortunately, she does not yet know how many clusters she has to use. She therefore will find a permutation  $\Pi(X)$  and use, for every  $k \in \{1, ..., |X|\}$ , the first k elements  $C = \pi(X)_1, ..., \pi(X)_k$  of the permutation as centers.

**Goal:** For any such k, the output should be optimal with respect to following objective function:

$$\max_{x \in X} \min_{c \in C} d(x, c). \tag{1}$$

**Hint:** Use a greedy algorithm. The running time should be no larger than  $O(|X|^2)$ 

## Solution algorithm

This problem can be seen as a variant of the well-known K-centers decision problem. I have designed a greedy algorithm that solves the above problem. It creates (and returns to Cristina) the desired permutation in this way.

- 1. C := []
- 2. pick a random point c1 and add it to the list of centers C.

- 3. for every point  $\mathbf{v} \in \mathbf{V}$  ( $\mathbf{v} \notin C$ ), compute the minimum distance from C to  $\mathbf{v}$
- 4. pick the point c2 with highest distance from the points in C
- 5. add c2 to C and continue the process until |V| = |C|

### Running time

The algorithm adds |X| points to C. How much costs adding a point to C? A point is added to C if, among all the points  $v \notin C$ , it has the maximum distance from the ones in C. At each iteration we update the minimum distance from all points to C, simply considering if the new center can "decrease" the current distance of the point from C. It costs |X-1| for the second center, |X-2| for the third and so on. Since the iterations are |X| and each one costs O(|X|) we have the cost is  $O(|X^2|)$ 

### Proof of correctness

At each iteration the algorithm updates (if possible) the current maximum distance from the list of centers. This leads to a non increasing sequence of max\_values =  $d_1, d_2, ...d_{|X|}$ . Given an optimal solution O, we have to prove that the sequence computed with the greedy algorithm above is such that for every  $i \in 1, 2, ...|X|$ ,  $d_g[i] = d_o[i]$ , where  $d_g[i]$  is the maximum distance from C in the greedy algorithm and  $d_o[i]$  is the maximum distance from C in the optimal solution. Since my greedy algorithm guarantees that for every  $k, d_g[k] \leq 2 * d_o[k]$  and since the only possible values of d are 0, 1 or 3, we have these possible cases:

- $d_o[k] = 0 \Rightarrow 0 \le d_g[k] \le 2 \cdot 0 = 0 \Rightarrow d_g[k] = 0 \text{ OK}$
- $d_o[k] = 1 \Rightarrow 1 \leq d_g[k] \leq 2 \cdot 1 = 2 \Rightarrow d_g[k] = 1$ , OK since 1 is the only value that d can assume s.t.  $1 \leq d \leq 2$
- $d_o[k] = 3 \Rightarrow 3 \le d_g[k] \le 2 \cdot 3 = 6 \Rightarrow d_g[k] = 3$ , OK since 3 is the only value that d can assume s.t.  $3 \le d \le 6$

And this is true for every k.

### 2 Streets and avenues

Use the Vertex Cover for Bipartite graph. This NP problem can be solve in polynomial time with a simple algorithm. First find a matching on the bipartite graph. Then create the set Z = all nodes not reached by the matching. Then add to Z all nodes that can be reached by Z with an alternating path (non-matching and matching!). Then return the set of nodes Z.

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### 5 Federico and MST

Federico is a mathematician who doesn't like stories and wants the exercise to get to the point.

Goal: We are given a weighted graph G(V, E). Let  $e \in E$ .

- 1. Design an algorithm that decides whether or not there exists a minimum spanning tree containing e. For full marks, the algorithm must run in time at most O(|V| + |E|).
- 2. Design an algorithm that computes a minimum spanning tree containing e, if one exists. For full marks, the algorithm must run in time at most O(|E|log|E|). Implement the algorithm with a programming language of your choice.

#### 5.1

Given e = (u,v):

- 1. run a DFS from the endpoint u considering only those edges that have weight less than that of e.
- 2. Two possible cases:
  - (a) If during the DFS there is an edge that leads to node v, then the edge e does not belong to any MST.

(b) If the DFS terminates and case 1 never happens, then there exist some MST that contains e.

### Running time

This is a simple variant of a DFS on a graph: the fact that we "filter" the edges can lead even to a reduction of visited nodes. In the worst case we know that DFS costs O(|V| + |E|) and this is still the upper bound of algorithm 5.1.

#### 5.1.1 Source code

See next sections.

#### 5.1.2 Proof of correctness

I have used the MST cycle property in this way: we know that given a cycle in a graph, the largest edge among the ones that form the cycle cannot belong to a MST. So, the DFS run above tries to connect u and v with edges **strictly** lower than e: if this happens, it means that there exist some cycle in the graph that connects u and v and, of course, such cycle contains the edge e: since all the edges considered during our e-custom DFS are strictly lower than e, we can conclude that e is the largest edge in a cycle of the graph. So, by the MST cycle property, e cannot belong to any MST. Since this condition is necessary and sufficient, this is enough for our proof.

#### 5.2

- 1. Run the algorithm 5.1: if e cannot belong to any MST throw an error.
- 2. Run a *custom* Boruvka, whit all disconnected components, except u and v: we connect them since the beginning adding edge e to the MST.

# Running time

The first part of the algorithm is run the 5.1, whose cost is O(|V| + |E|). In the second part we perform a custom Boruvka, that is actually a Boruvka after a single union operation. This means that we can fix the bound of the

algorithm to the well-known one of Boruvka: O(|E|log|V|). If we assume that the graph is connected this is  $\leq O(|E|log|E|)$ .

#### 5.2.1 Code

I have implemented the exercise in Python language, using networkx library for graph management (add nodes, get adjacent nodes, etc.). The code is attached as ex5.py.

#### 5.2.2 Proof of correctness

The algorithm 5.1 is able to verify that a MST with edge e really exists. Once we know that such MST can be built, we run a custom version of Boruvka algorithm. Since we know that a MST with edge e exists, we also know that u and v belong to the same connected component; moreover, we know that the edge e must be part of the MST and e is the edge (the only one of course in the MST we compute) that has to connect u and v. So we start Boruvka initializing all the nodes (except u and v) as disconnected components: as for u and v, we bind them to the same connected component (with edge e). Now, Boruvka algorithm will run and produce a MST: we can be sure about that because this algorithm is designed to find a MST starting from a forest of disconnected components. **Note:** The algorithm will fail if the graph is not connected actually, but we can assume the graph is always connected, or we can check easily at the end of the algorithm if the current MST is really a tree: the number of edges returned must be n-1.