



# Flu Shot Learning: Predict H1N1 and Seasonal Flu Vaccines



Big Data Analytics  
A.A. 2020/21

**MaLuCS**

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## **INTRODUCTION**

Why do we need an explanation?

## **FEATURE SELECTION**

Selecting the most important features for our problem

## **GLOBAL EXPLANATIONS**

Global explanation of our dataset

## **LOCAL EXPLANATIONS**

Local explanations of some instances

## **COMPARISONS**

Comparison between explanation of similar instances

## **CONCLUSIONS**

01

02

03

04

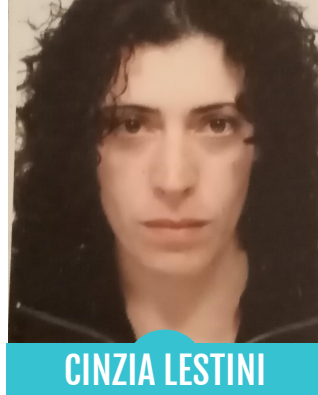
05

06

# MaLuCS TEAM



Master Degree in  
Computer Science



Master Degree  
Data Science and  
Business  
Informatics



Master Degree in  
Computer Science



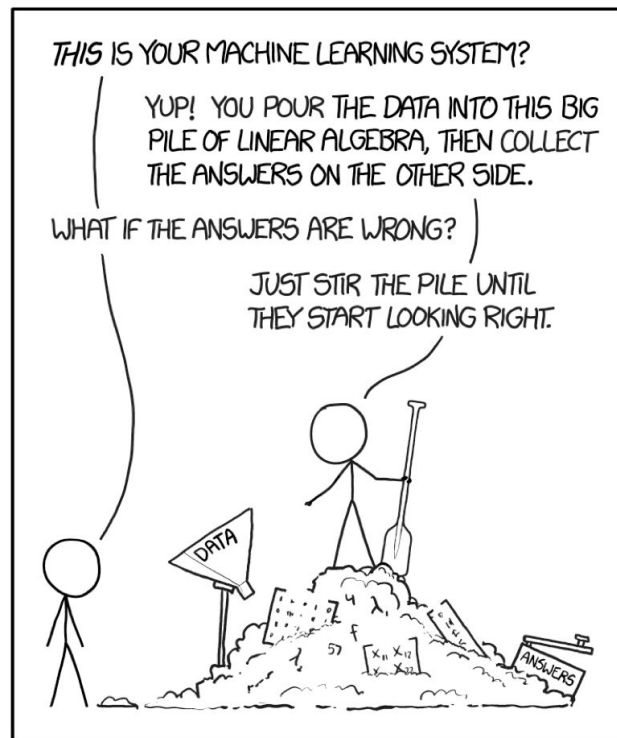
Master Degree in  
Computer Science



# INTRODUCTION

# WHY IS IMPORTANT TO UNDERSTAND HOW OUR MODELS WORK?

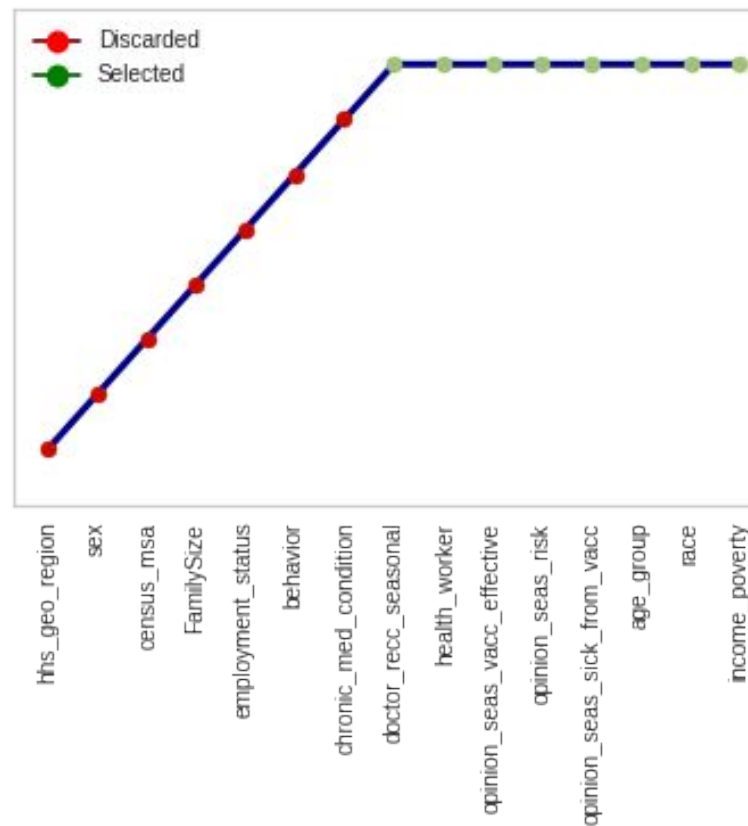
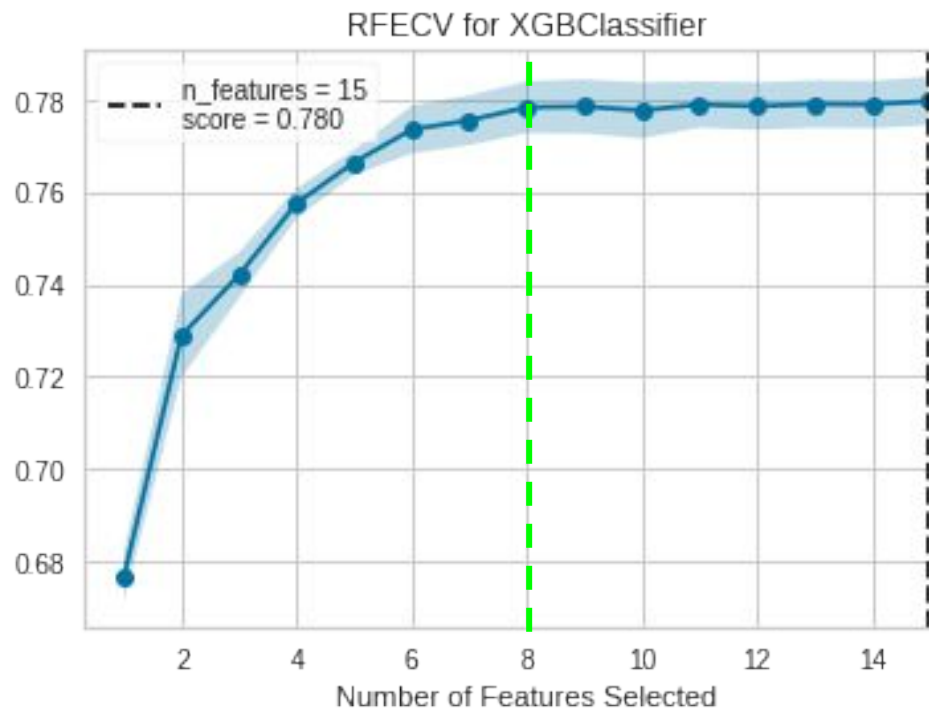
- We can understand whether our models have bias (for example racial bias)
- We can explain to a possible customer why a certain model makes a prediction
- We can understand how each feature affect the final prediction of the model
- In this specific case we can understand why a person is more likely to receive the vaccine



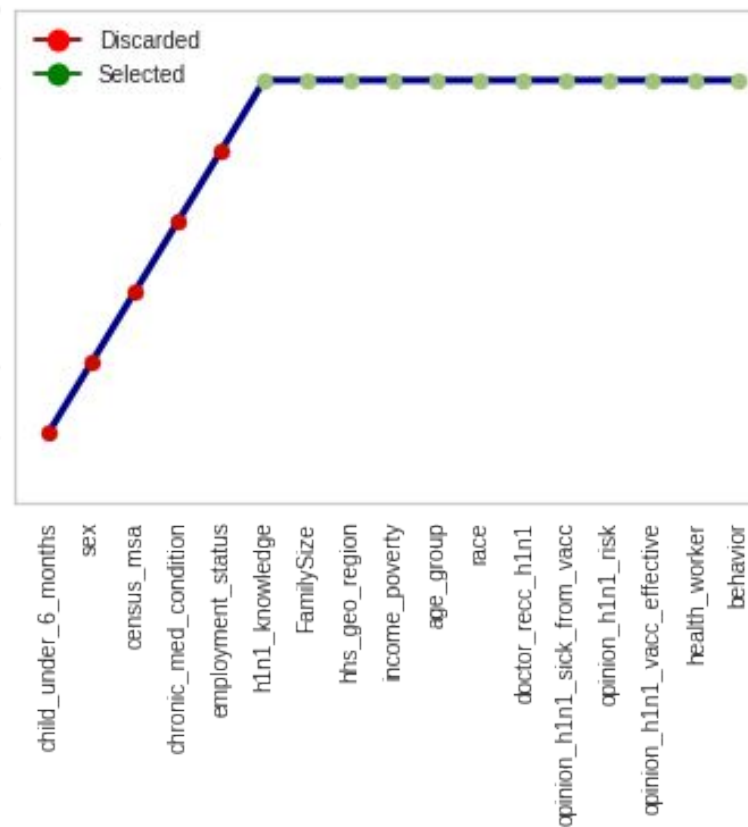
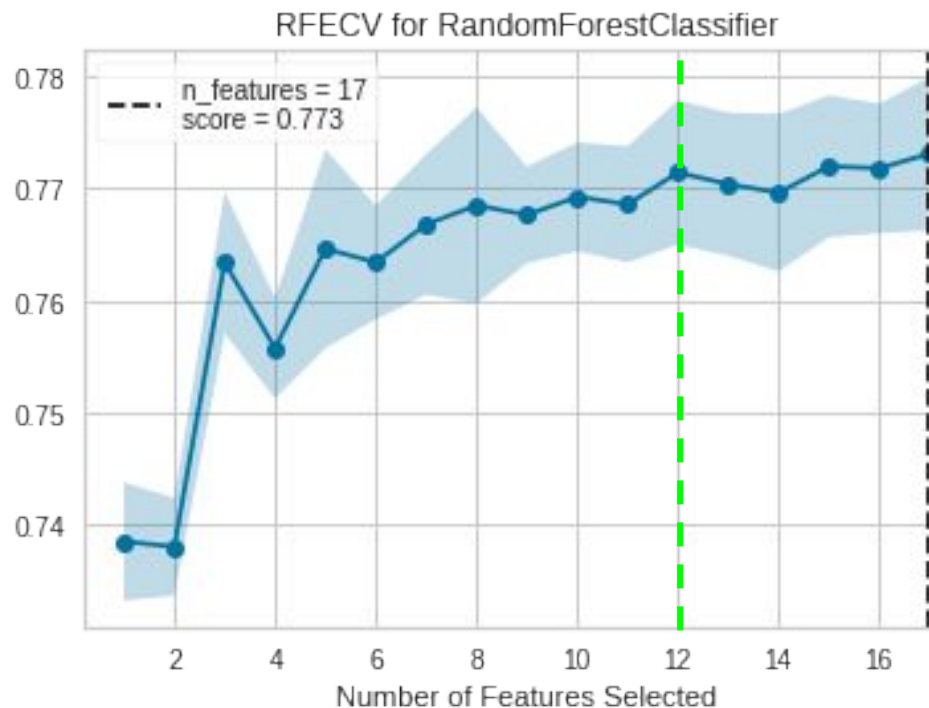


## FEATURE SELECTION

# FEATURES SELECTION: Seasonal flu XGBoost

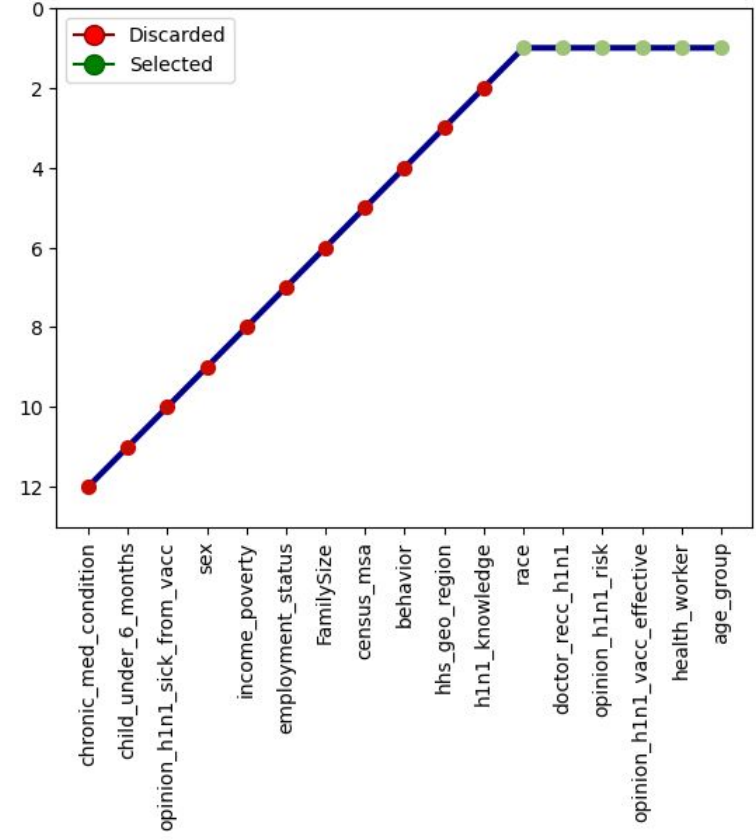
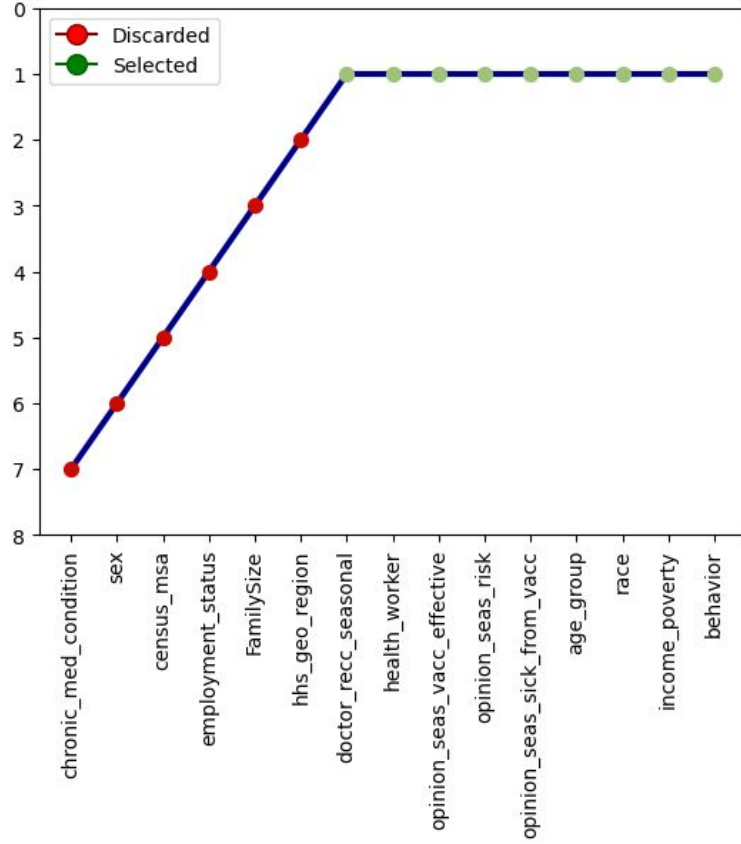


# FEATURES SELECTION: H1N1 Random Forest





# FEATURES SELECTION: Seasonal flu and H1N1 Decision Tree





## GLOBAL EXPLANATIONS

# GLOBAL EXPLAINER

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Model agnostic  
interpretation

**SKATER**



SHapley Additive  
exPlanations

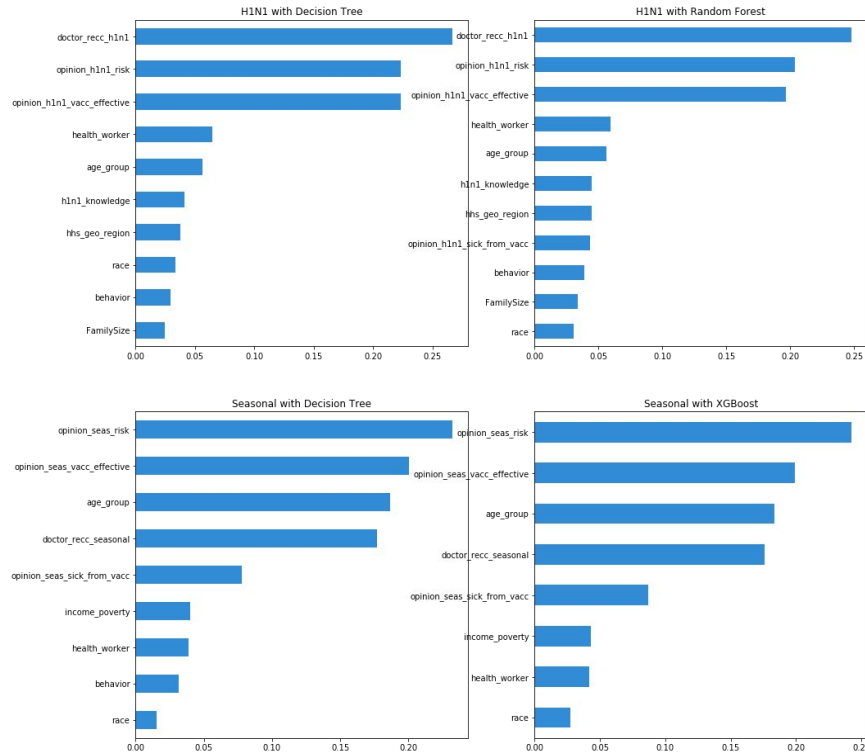
**SHAP**

- Feature Importances
- Partial Dependence Plots
- Visualizing boundaries
- Surrogate Tree-based Models

# Skater

## Interpretation Techniques

# Features Importance



## H1N1 Vaccine

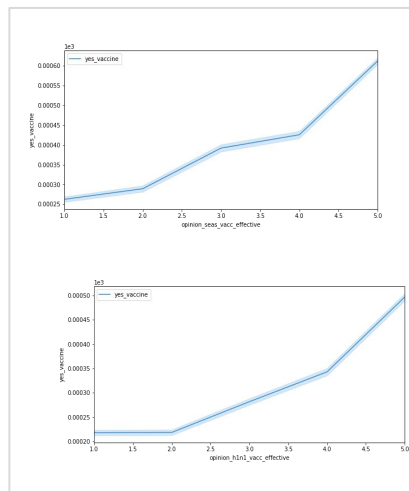
"doctor\_rec\_h1n1"  
"Opinion\_h1n1\_risk"  
"opinion\_h1n1\_effective"

## Seasonal Vaccine

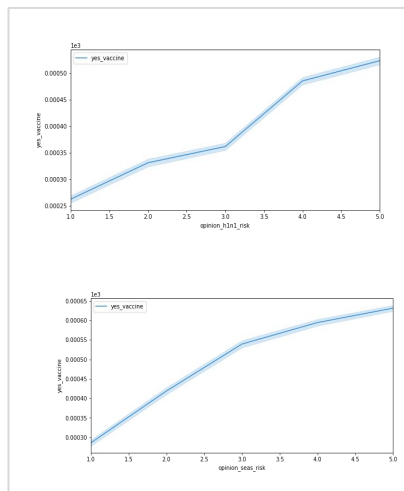
"Opinion\_seas\_risk"  
"opinion\_seas\_vacc\_effective"  
"age\_grop"

# Partial Dependence plot – Similarity

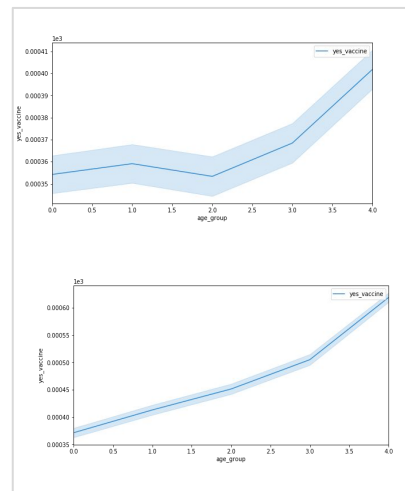
## Effectiveness



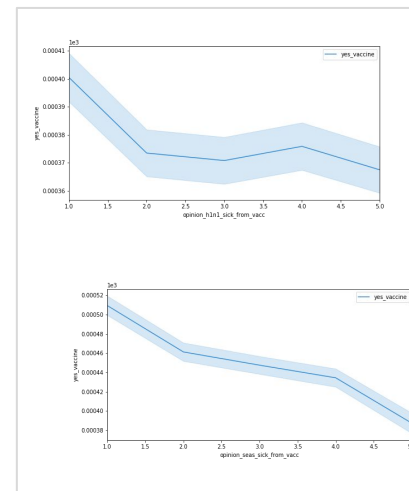
## Flu Risk



## Age

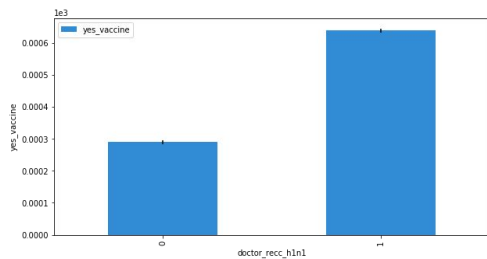


## Sick with vaccine

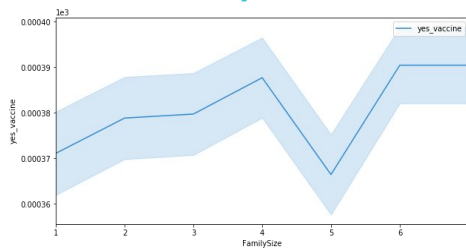


# Partial Dependence plot – Others

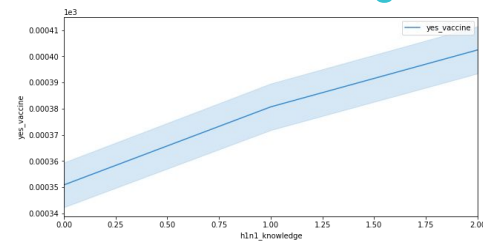
Doctor  
recommended h1n1



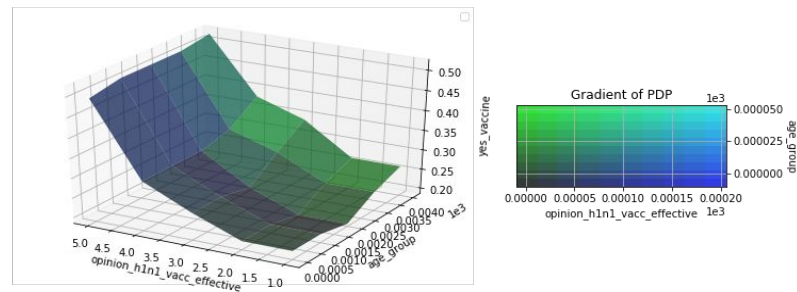
Family Size



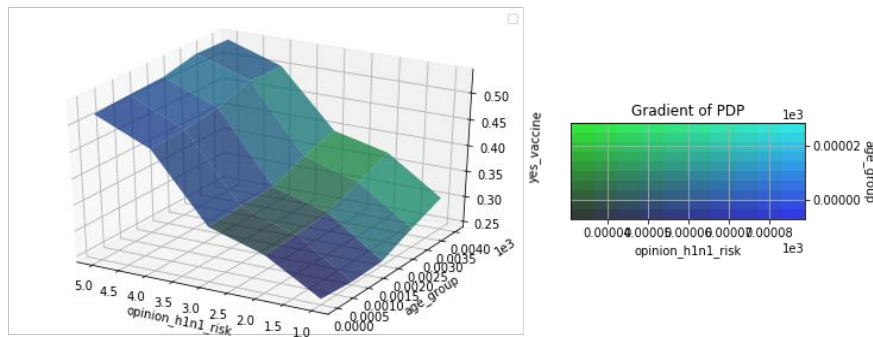
H1n1 knowledge



# 2D Partial Dependence Plot



TEST

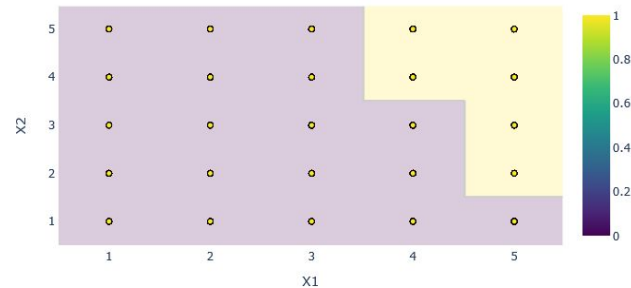




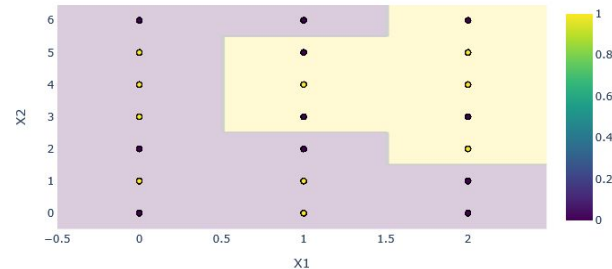
# Visualizing Boundaries

Visualizing boundaries we can see the border of the decision between class 0 and 1

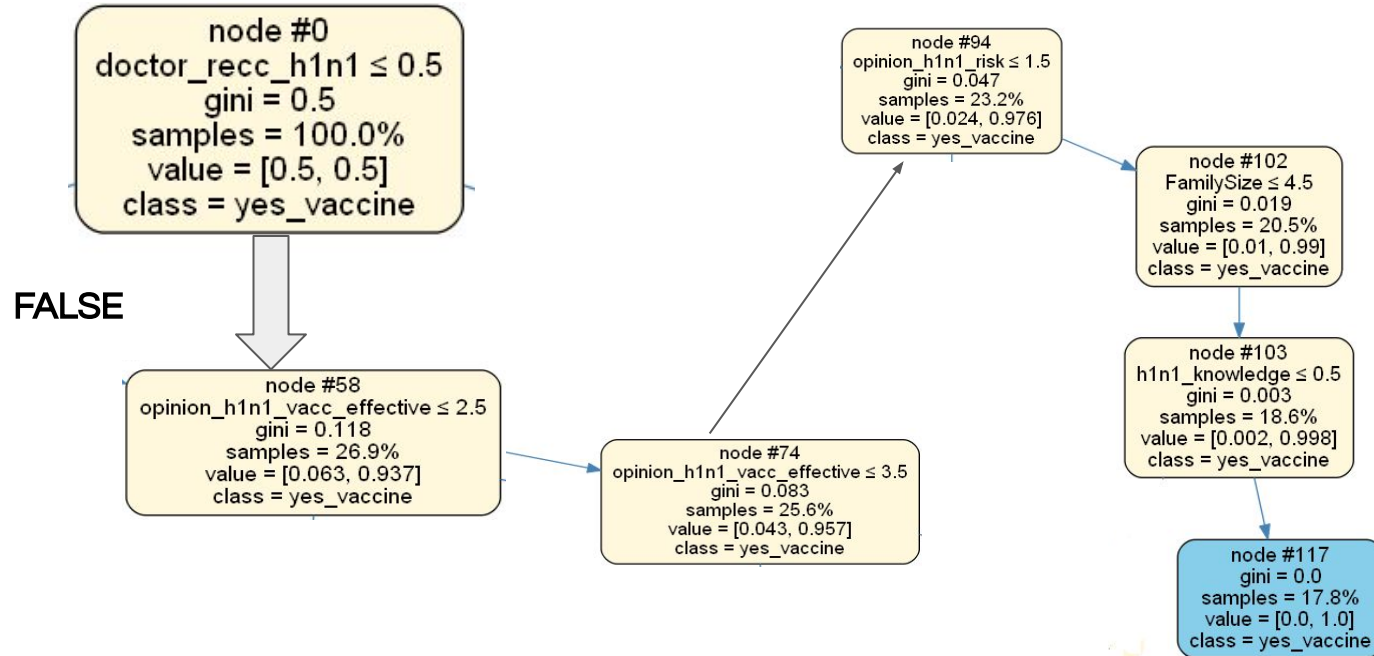
X1= opinion\_h1n1\_vacc\_effective and X2= opinion\_h1n1\_risk



X1= income\_poverty and X2= behavior



# Surrogate Tree Models

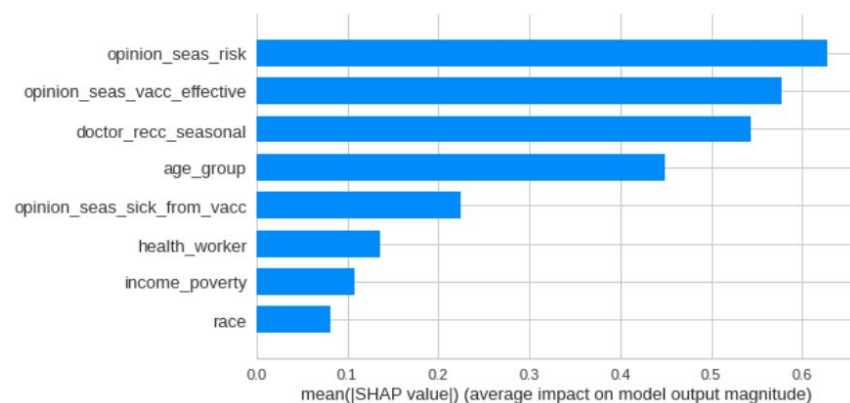
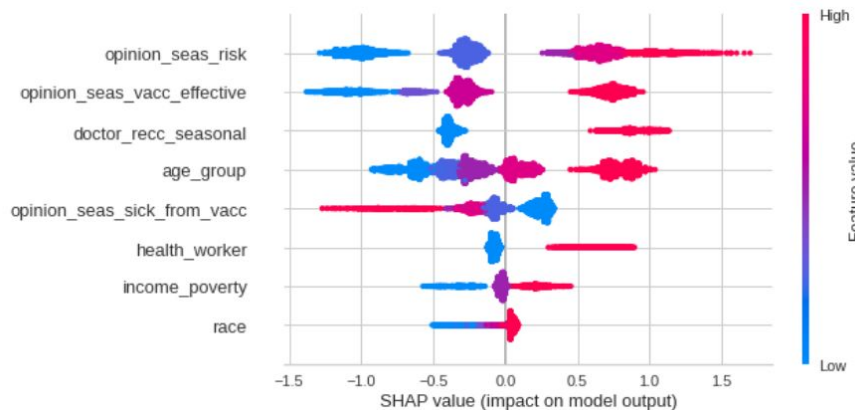
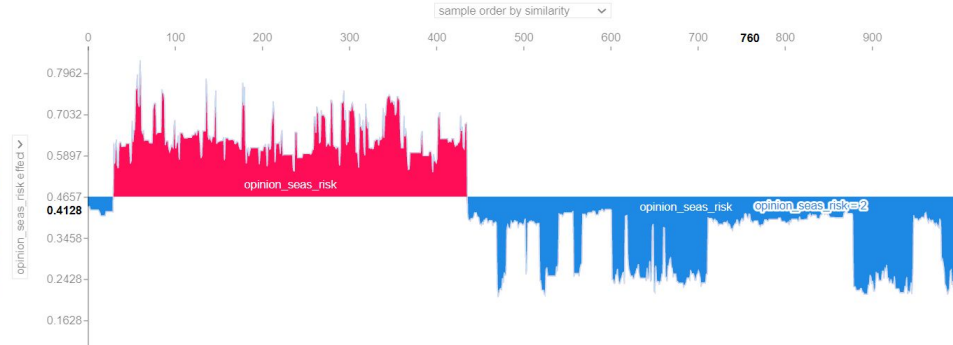
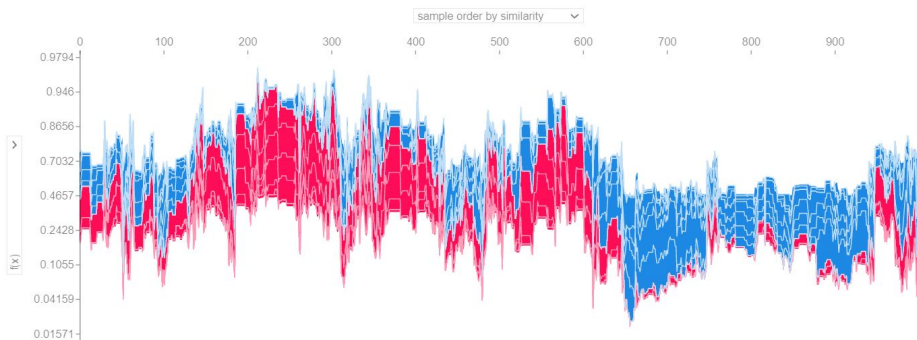


SHapley Additive exPlanations

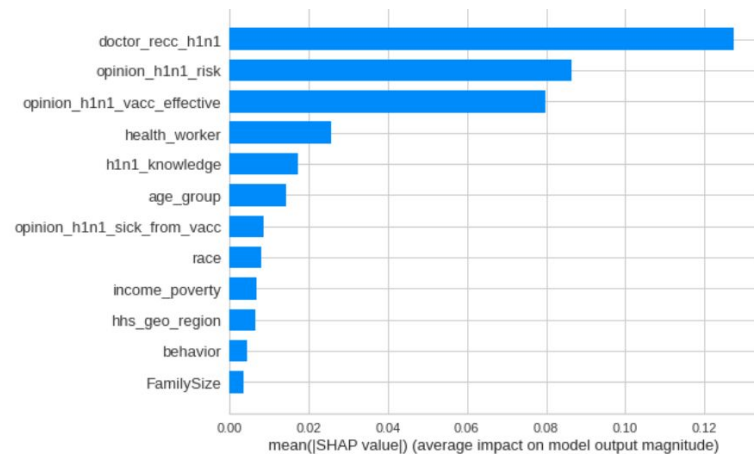
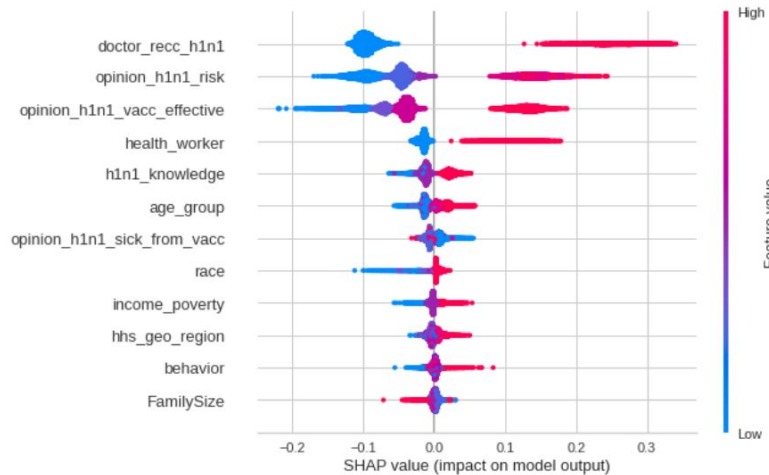
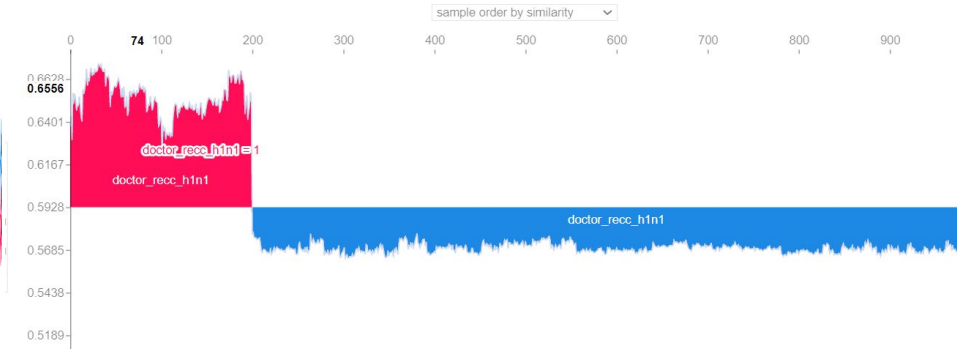
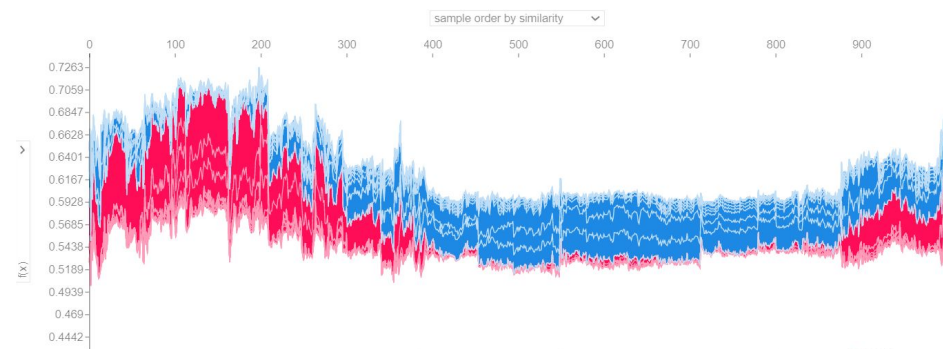
SHAP  
GLOBAL

Interpretation  
Techniques

# SHAP GLOBAL: Seasonal flu XGBoost



# SHAP GLOBAL: H1N1 Random Forest



# SHAP GLOBAL: Seasonal flu and H1N1 features influence

- ❑ opinion\_seas\_risk : 3|4|5 -> 1 and 1|2 -> 0
- ❑ opinion\_seas\_vacc\_effective: 5 -> 1 and 1|2|3|4 -> 0
- ❑ doctor\_recc\_seasonal: 0 -> 0 and 1 -> 1
- ❑ age\_group: <= "45 - 54 Years" -> 0 and >= "55 - 64 Years" -> 1
- ❑ opinion\_seas\_sick\_from\_vacc: > 1 -> 0 and 1 -> 1
- ❑ health\_worker: 0 -> 0 and 1 -> 1
- ❑ income\_poverty: "> \$75000" -> 1 and <= "\$75000 Above Poverty" -> 0
- ❑ race: White -> 1 and Other/Multiple|Black|Hispanic -> 0
- ❑ doctor\_recc\_h1n1: 0 -> 0 and 1 -> 1
- ❑ opinion\_h1n1\_risk : 3|4|5 -> 1 and 1|2 -> 0
- ❑ opinion\_h1n1\_vacc\_effective: 5 -> 1 and 1|2|3|4 -> 0
- ❑ health\_worker: 0 -> 0 and 1 -> 1
- ❑ h1n1\_knowledge: 0|1 -> 0 and 2 -> 1
- ❑ age\_group: <= "45 - 54 Years" -> 0 and >= "55 - 64 Years" -> 1
- ❑ opinion\_h1n1\_sick\_from\_vacc: 2|3|5 -> 0 and 1|4 -> 1
- ❑ race: White -> 1 | Other/Multiple , Black|Hispanic -> 0
- ❑ income\_poverty: "> \$75000" -> 1 and <= "\$75000 Above Poverty" -> 0
- ❑ hhs\_geo\_region, behavior and FamilySize not discriminant

| opinion_*_vacc_effective=4 | Seasonal | H1N1 |
|----------------------------|----------|------|
| Not Vaccinated             | 7538     | 9937 |
| Vaccinated                 | 4553     | 2137 |



## LOCAL EXPLANATIONS

# LOCAL EXPLAINER

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We used Lime to generate a visualization of the most important features for the classification

**LIME**



We used Shap to generate a visualization of the most important features for the classification

**SHAP**



We used Lore to generate rules that can explain an instance.

**LORE**



# SHAP

The local Shap plot shows how the expected probability of classification for a record shifts from its base value for the influence of different features.

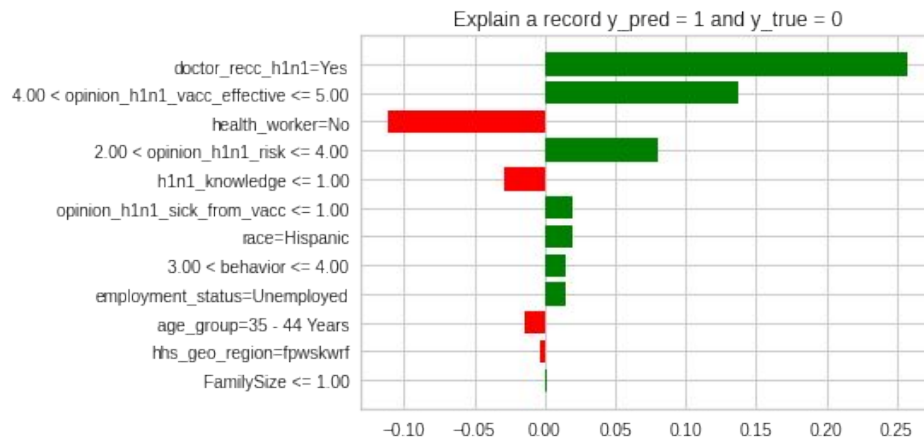
Here we consider an instance with a good classification output (No Vaccine) .



# LIME

The LIME's output is a list of explanations, reflecting the contribution of each feature to the prediction of a data sample.

This provides local interpretability, and it also allows us to determine which feature changes will have the most impact on the prediction.



# LORE

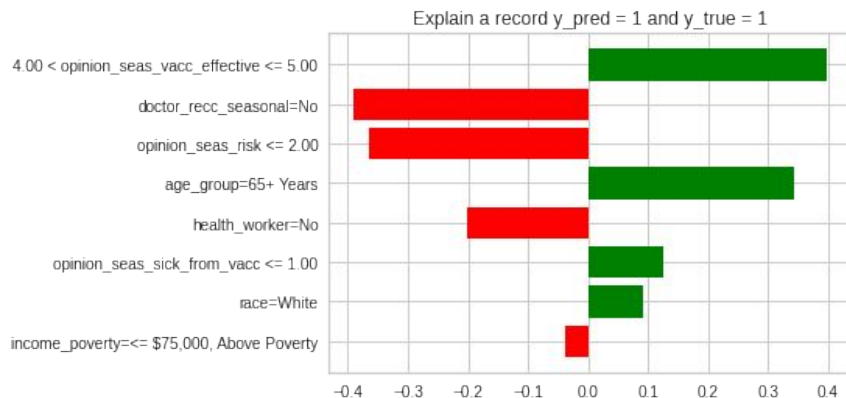
Lore gave us a set of rules and a set of counterfactuals that could lead the model to a different classification.

Here we consider a misclassified instance (Vaccine instead of No Vaccine)

- **Rules** = {doctor\_recc\_seasonal <= 0.50, race != Black  
age\_group != 18 - 34 Years  
opinion\_seas\_risk > 1.50  
opinion\_seas\_sick\_from\_vacc <= 1.50  
opinion\_seas\_vacc\_effective > 3.50}  
--> { **seasonal\_vaccine: 1** }
- **Counterfactuals** = { { race = Black },  
{ age\_group = 18 - 34 Years },  
{ opinion\_seas\_risk <= 1.50 },  
{ opinion\_seas\_sick\_from\_vacc > 1.50 },  
{ opinion\_seas\_vacc\_effective <= 3.50 } }

# EXPLANATION COMPARISON – SEASONAL DATASET

## LIME



## LORE

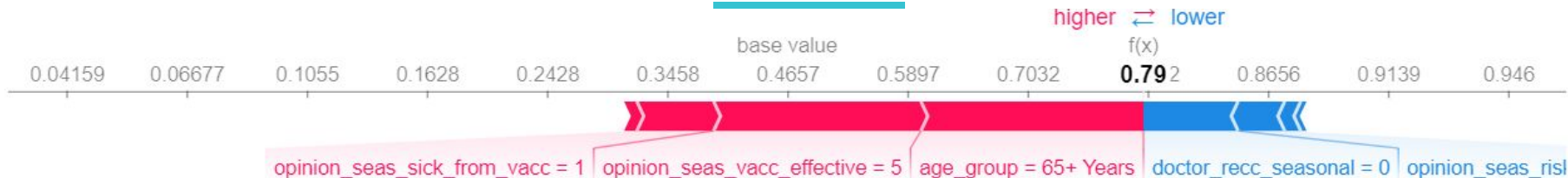
### Rules:

- $\text{Age\_group} \neq 18\text{-}34 \text{ Years}$
- $\text{Opinion\_seas\_vacc\_effective} > 4.50$
- $\text{Opinion\_seas\_sick\_from\_vacc} \leq 4.50$

### Counterfactual:

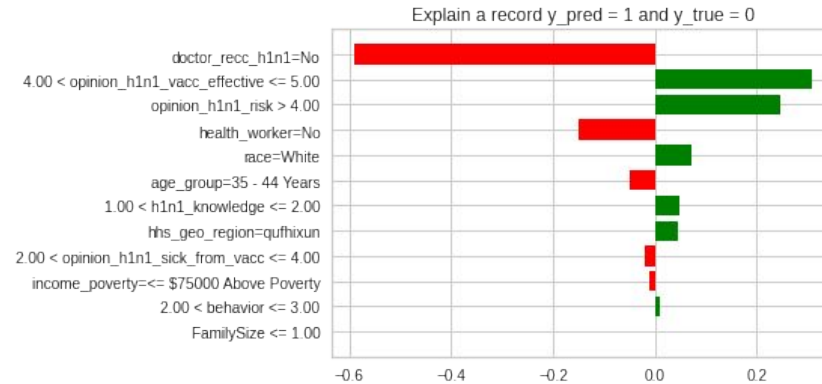
- $\text{Opinion\_seas\_sick\_from\_vacc} > 4.50$
- $\text{Opinion\_seas\_vacc\_effective} \leq 4.50$

## SHAP

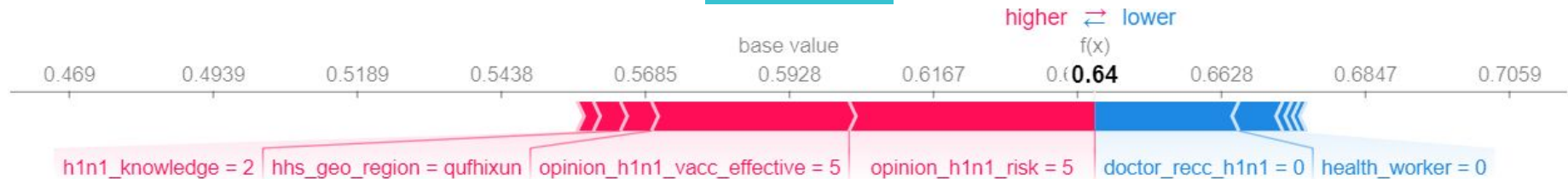



# EXPLANATION COMPARISON – H1N1 DATASET

## LIME

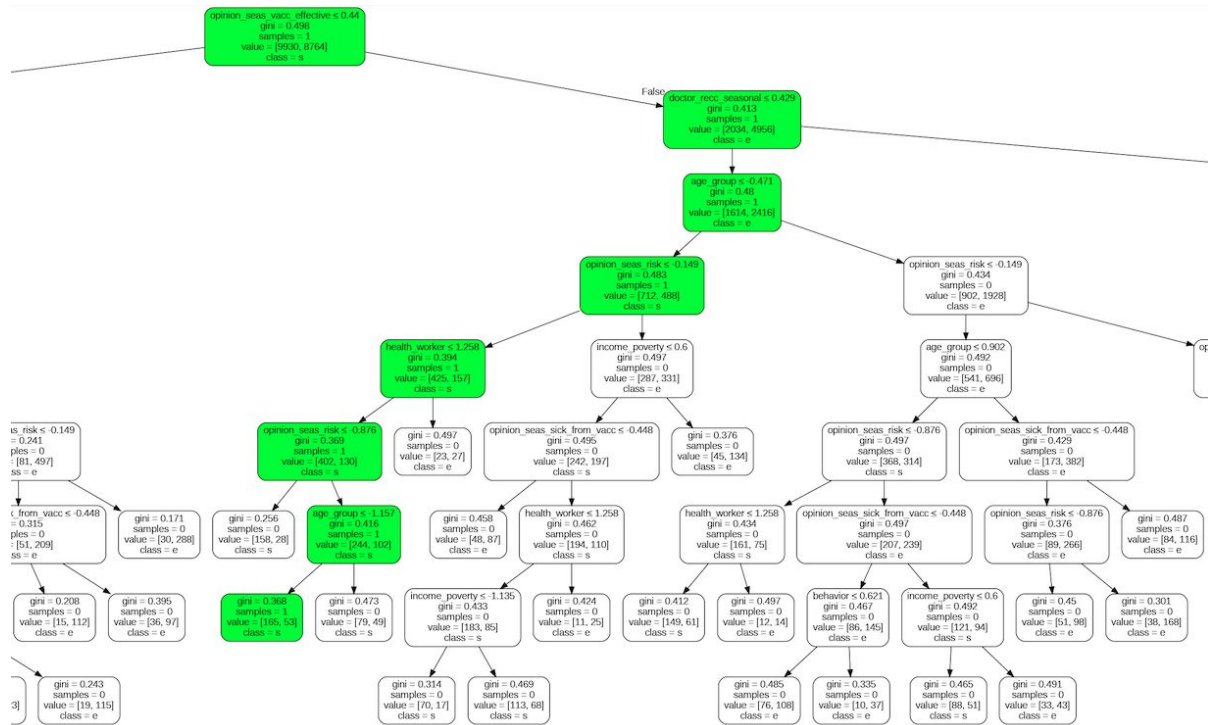


## SHAP





This is an example of the Decision Tree reasoning to classify a "vaccinated" person

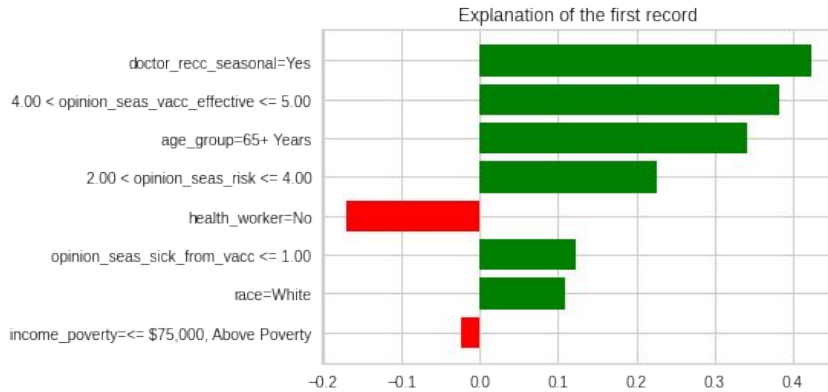




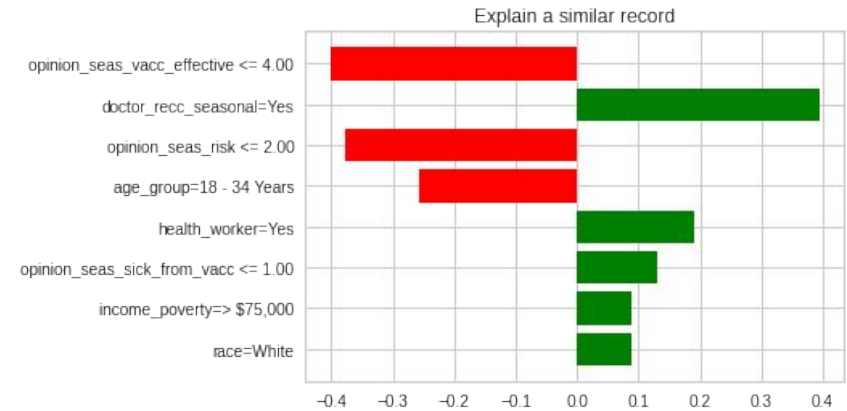
**COMPARISONS**

# TARGET INSTANCE vs MOST SIMILAR INSTANCE

## TARGET INSTANCE



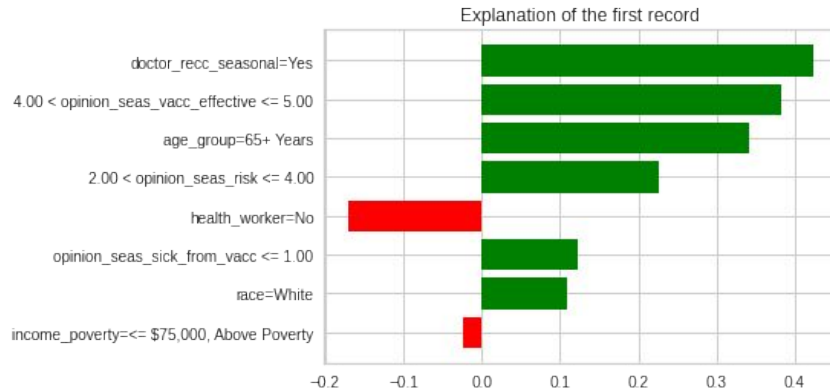
## MOST SIMILAR INSTANCE



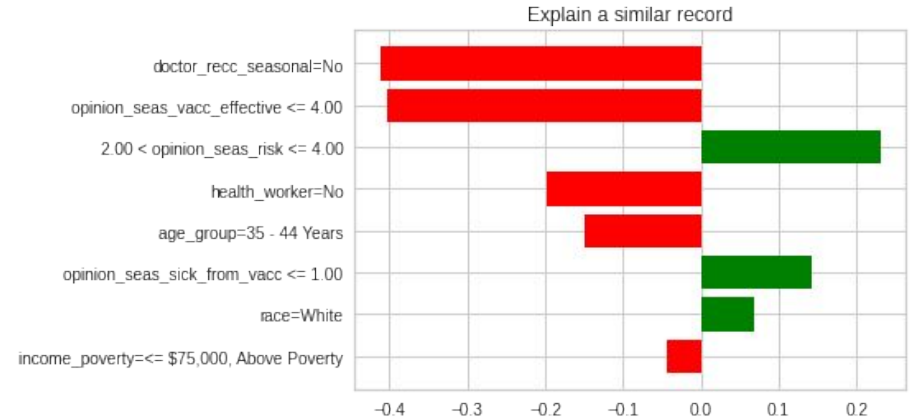


# TARGET INSTANCE vs 2° MOST SIMILAR INSTANCE

## TARGET INSTANCE



## MOST SIMILAR INSTANCE





## CONCLUSIONS

# WHAT WE CAN LEARN FROM THIS MILESTONE?



From our research, young people are less inclined to vaccinate.

**TARGET OF AN  
AWARENESS CAMPAIGN**



We can consider these research to predict who is more likely to receive a vaccine.

**PREDICTIONS FOR  
NEXT VACCINATION  
CAMPAIGNS**



We can extract informations about the subset of populations that are more at risk of not receiving the vaccine

**MOST AT RISK  
POPULATION GROUP**

# CONCLUSIONS

The third Milestone led us to an in depth knowledge of the models we used during the previous Milestone.

We were able to understand what features are more important for classification purposes.

Considering the importance of these features we were also able to understand whether our model had some bias or not.



# THANKS!

Do you have any questions?

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