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**BUREAU OF ORGANIZED CRIME  
Gang Investigations Division**

11 June 2015

TO: Anthony J. Riccio  
Chief  
Bureau of Organized Crime

Attention: Maureen C. Biggane  
Lieutenant  
Bureau of Organized Crime

FROM: Christopher J. Kennedy  
Commander  
Gang Investigations Division

SUBJECT: **Quote# QUO-04689-V8Y5D0 Purchase one Pen-Link Master Database Server and two day on site training session with hardware installation, configuration confirmation and software updates for Bureau's wire server**

Recently discussions were held with Pen-Link of Nebraska regarding pricing and training issues. As part of their attempt to foster a positive and ongoing relationship with the Chicago Police Department's Bureau of Organized Crime, Pen-Link has offered the following at a reduced rate. The original quote from Pen-Link for only the Training and Configuration was \$10,000.00. Attached to this report you will find a new quote from PEN-Link of Lincoln NE. The quote is for a new server and a two day training session for all of the Police Technicians assigned to the Technical Lab. The two day onsite training and system evaluation visit also includes confirming that newly installed hardware is properly configured, and configuring software updates and upgrades. The visits will also confirm VPN connections to each of the telecom service companies, provide training and demonstrate several troubleshooting techniques. This equipment is used by members of the Gang Investigations Division and the Narcotics Division to conduct PEN registers investigations State ESOs and Federal Title III investigations.

**This equipment, hardware, training and configuration assistance of hardware is covert in nature and knowledge of its existence should be kept within the Bureau of Organized Crime.** A pre-approved categories form is included with this request. The total cost for this hardware, training and configuration assistance is \$14,213.88. The provider will make their availability contingent upon the shutdown of current investigations utilizing the equipment to be upgraded. The anticipated date range is between 5 July 2015 and 30 July 2015. Because these services will be used for narcotics related investigations it is recommended that 1505 funds be used to fund this expense.

**BUREAU OF ORGANIZED CRIME**  
**Gang Investigations Division**

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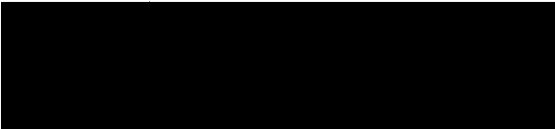
**SUBJECT: Quote# QUO-04689-V8Y5D0 Purchase one Pen-Link Master Database Server and two day on site training session with hardware installation, configuration confirmation and software updates for Bureau's wire server**

*Signature Page Only*

Approved:

  
ENE M. Garter  
Deputy Chief  
Bureau of Organized Crime

  
Anthony J. Riccio  
Chief  
Bureau of Organized Crime

  
Christopher J. Kennedy  
Commander  
Gang Investigations Division

Attachments: Quote QUO-04689-V8Y5D0  
Pre-Approved Categories Sheet

*CJK/jjf*



# PEN·LINK

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**QUOTE**

5944 VanDervoort Drive | Lincoln, NE 68516  
402.421.8857 phone | 402.421.9287 fax

QUO-04689-V8Y5D0

Date: 6/9/2015

**BILL TO:**

**SHIP TO:**

Darren Prouty

9/7/2015

Chicago PD-Chicago-Technical Service Unit

FOB Origin

Ground

Net 30

1	PENLINK MASTER DATABASE SERVER	\$10,607.80	\$10,607.80
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Dell T630 or R730 (Depending on Customer Requirements) | Dual Multi Core Proc. | 64GB RAM | (8) 600GB 10k RPM 2.5 inch Hard Drives, Redundant Power Supply | Perc RAID Controller | Redundant Power Supplies | Dual Embedded Gigabit Ethernet NIC | Rack Chassis w/ Rapid Rails | iDRAC Enterprise | 3-year Next Business Day Support | Windows Server Standard, Symantec AV and Netop Included(Need to add Windows Server Device CALS -- CALS Based on # of workstations)

1	HARDWARE SHIPPING	\$106.08	\$106.08
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\$10,713.88

1	CONSULT	\$3,500.00	\$3,500.00
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LINCOLN Consulting Services: On-Site 2-days- includes travel expenses

\$3,500.00

\$0.00

\$0.00

**\$14,213.88**

New Pen-Link Server with on-site installation. Technician will be on-site for two days to confirm existing hardware is set-up properly, evaluate network and confirm all connections are accurate. Technician is also available to train administration on how to properly set-up Pens and Title III intercepts in LINCOLN as well as miscellaneous topics as time allows.

Pen-Link, Ltd is a U.S. - Based Small Business

DUNS: 195956636 / TIN: 47-0707585 / CAGE: 0K6H9



The following terms and definitions apply throughout this document.

- 1.1. **Pen-Link Software.** Pen-Link Software is software developed and manufactured by Pen-Link, Ltd.
- 1.2. **Pen-Link Customer (also "Customer").** A Pen-Link Customer, or Customer, is any agency or other entity that has one or more current, valid Licenses for Pen-Link Software purchased from or through Pen-Link, Ltd.
- 1.3. **Basic Technical Support Package.** Entitles our customers to normal business hours telephone support at Pen-Link, Ltd.'s published number and/or assistance via e-mail.
- 1.4. **Standard Maintenance and Support.** Standard Maintenance is a Maintenance option that includes Software Updates, Software Upgrades, and Basic Technical Support as defined herein.
- 1.5. **Premium Maintenance and Support.** Premium Maintenance is a Maintenance option that includes Software Updates, Software Upgrades, and Premium Technical Support as defined herein.
- 1.6. **Software Update.** A Software Update is an enhancement—including additions, changes, and bug fixes—to Pen-Link Software that is already in the applicable commercial market. Software Updates occur within the same major version number of an existing software product. For example, replacing Pen-Link v8.1.29.0 with Pen-Link v8.1.30.0 would constitute a Software Update. Such an update is often referred to as a "New Build" of the Pen-Link Software.
- 1.7. **Software Upgrade.** A Software Upgrade is the replacement of an older major version of an existing Pen-Link Software product or products, with a newer major version of a Pen-Link Software product or products, to the extent required to maintain the same operational functionality that was supported by the Pen-Link Software prior to the upgrade. For example, upgrading from Pen-Link Version 7 to Pen-Link Version 8 (where 8 is the newer major version) would constitute a Software Upgrade, so long as the installation of the newer version of the Pen-Link Software supported at least the same operational functionality that the Customer had under Pen-Link version 7. Upgrades do **not** apply to new software products that Pen-Link, Ltd. may release to the commercial market from time to time in the future.
- 1.8. **Basic Technical Support (also "Basic Support").** Basic Technical Support is a Support option that includes telephone-based Technical Support for the Pen-Link Software licensed by the Customer. Basic Technical Support also includes assistance via email or other automated processes such that Pen-Link, Ltd. may deem fit to offer. Basic Technical Support may be obtained by contacting Pen-Link, Ltd. via its published, main telephone number (currently 402-421-8857), its general support email account (support@penlink.com), or its World Wide Web site ([www.penlink.com](http://www.penlink.com)). Basic Technical Support is available Monday through Friday, from 8:00 AM to 5:00 PM Central time, except for holidays.
- 1.9. **Premium Technical Support (also "Premium Support").** Premium Technical Support is a Support option that includes all of the support services offered with Basic Technical Support (Section 1.8), plus Emergency After-Hours support for live communication interception and collection operations. Emergency After-Hours support services may be accessed through methods, including telephone access, that are provided to the customer at the time of purchase. Emergency After-Hours support services are available Monday through Friday, from 5:01 PM - 7:59 AM Central time and all day Saturday & Sunday, including holidays.
- 1.10. **Maintenance and Support Agreement ("Agreement").** This Maintenance and Support Agreement is the Agreement between Pen-Link, Ltd. and the Customer regarding the terms and conditions under which the Maintenance and Support Services described in this document are purchased and provided.

- 2.1. Maintenance is an optional service offered by Pen-Link Ltd. to augment a purchase of Pen-Link Software. Maintenance may be purchased by a Pen-Link Customer along with, or subsequent to, the purchase of Pen-Link Software.
- 2.2. Maintenance is offered only pursuant to a Maintenance and Support Agreement between the Customer and Pen-Link, Ltd.
- 2.3. Pen-Link, Ltd. offers two levels of Maintenance that a Customer may purchase: Standard Maintenance and Premium Maintenance, as defined in Sections 1.4 and 1.5 respectively.



# PEN·LINK

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## QUOTE

2.4. Maintenance applies only to software developed and manufactured by Pen-Link, Ltd. Maintenance does not apply to software developed and manufactured by companies other than Pen-Link, Ltd. Unless otherwise specified in a separate, written agreement between Pen-Link, Ltd. and the Customer, to which Pen-Link, Ltd. is a signatory party, Maintenance does not include updates, upgrades, or bug fixes to, or new releases of, any third-party software or hardware purchased through Pen-Link, Ltd. or with the assistance of Pen-Link, Ltd. Support for third party software and hardware products bundled with Pen-Link, Ltd. licensed Pen-Link Software is available only according to the third-party manufacturer's support policies.

2.5. All Maintenance deliveries are subject to the terms and conditions of the applicable End User License Agreement ("EULA") for the Licensed Software.

3.1. Technical Support ("Support") is an optional service offered by Pen-Link, Ltd. to support a Customer in the authorized use of licensed Pen-Link Software.

3.2. Support is offered only pursuant to a Maintenance and Support Agreement between the Customer and Pen-Link, Ltd.

3.3. Pen-Link, Ltd. offers two levels of Technical Support: Basic Technical Support and Premium Technical Support, as defined in Sections 1.8 and 1.9 respectively.

3.4. Pen-Link, Ltd. will make every reasonable attempt to answer a Customer's Support questions and address a Customer's Support concerns. However, Support is offered on a good faith, diligent effort basis only, and Pen-Link, Ltd. may not be able to resolve every request for Support.

3.5. Technical Support is provided for ongoing, operational use of the licensed Pen-Link Software; Support is not intended to be a substitute for training or professional services necessary for the implementation or system redesign of the licensed Pen-Link Software, which are outside the scope of this agreement. All such services, including without limitation, training, on-site assistance, consultation, custom programming and other software customizations, network design, and database and network administration, may be provided pursuant to separate agreements with and by Pen-Link, Ltd.

3.6. Unless otherwise specified in a separate, written agreement between Pen-Link, Ltd. and the Customer, to which Pen-Link, Ltd. is a signatory party, Support is available only for the current and immediately preceding version of the licensed Pen-Link Software. Support for a previous version of Pen-Link Software is provided up to a maximum of eighteen (18) months after the release of the current version of software, provided that the Customer and Pen-Link, Ltd. are parties to a current Maintenance and Support Agreement.

3.7. Unless otherwise specified in a separate, written agreement between Pen-Link, Ltd. and the Customer, to which Pen-Link, Ltd. is a signatory party, Support does not include any of the following:

3.7.1. Support for database products or so-called "DBMS" or Database Management Systems, including without limitations, setup and alteration and/or configuration of such products, and resolution of errors related directly to such products.

3.7.2. Resolving network, workstation, or other environmental errors not directly related to the licensed Pen-Link Software.

3.7.3. Support for any licensed Pen-Link Software working on or with any version of any database, Database Management System, operating system, or other hardware or software product or system that is not specifically identified as interoperable and compatible with the specific version of the license Pen-Link Software being used.

3.7.4. Support for any "alpha," "beta," or other preproduction release of any software, including Pen-Link Software.

3.7.5. Support for any changes to Pen-Link Software made outside of the product's scope by a customer or by any third party.

3.7.6. Support for any licensed Pen-Link Software that is used for a purpose, or in a manner, for which it was not designed.

4.1. Maintenance and Support Agreements are options made available by Pen-Link, Ltd. for a Customer to purchase.

4.2. Maintenance and Support Agreements are offered on an annual basis.

4.3. Unless otherwise specified in a separate, written agreement between Pen-Link, Ltd. and the Customer, to which Pen-Link, Ltd. is a signatory party, Maintenance and Support Agreements will renew automatically at the end of each annual term, provided that the Customer pays the applicable renewal fees.

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- 4.4. Unless otherwise specified in a separate, written agreement between Pen-Link, Ltd. and the Customer, to which Pen-Link, Ltd. is a signatory party, a Customer's Maintenance and Support Agreement is to be paid at the start of each annual term.
- 4.5. **Payment.** The Customer will be invoiced prior to any annual Maintenance and Support term (initial or renewal terms). The Customer agrees to make payment to Pen-Link, Ltd. no later than thirty (30) days from the date of the invoice, unless otherwise agreed upon in writing. Unless otherwise instructed, the Customer will make payment directly to Pen-Link, Ltd.
- 4.6. Pen-Link, Ltd.'s obligations hereunder are subject to the Customer's timely payment for Maintenance and Support. Failure of the Customer to pay fees in a timely manner for any term of Maintenance and Support may, at the sole discretion of Pen-Link, Ltd., result in the termination or suspension of Maintenance and Support services.
- 4.7. **Lapses and Reinstatement.** If a Customer's Maintenance and Support agreement terminates as a result of expiration or otherwise pursuant to this Agreement, and the Customer decides to reinstate the Agreement, the Customer will be required to pay the applicable Maintenance and Support fees for the lapsed period (the time elapsed between the Agreement expiring and subsequently being reinstated), plus a reinstatement fee equal to 10% of the fees for the lapsed period.
- 4.8. **Taxes.** The Customer is responsible for payment of all applicable taxes, value added taxes, or other taxes (however designated) related to the Maintenance and Support of the Licensed Software, unless otherwise agreed upon and stated in writing.
- 4.9. This Agreement will automatically terminate for each Licensed Pen-Link Software product upon termination of the EULA corresponding to such Pen-Link Software product.
- 4.10. The Customer may terminate this Agreement for Convenience, but the Customer will not be entitled to a refund of any paid fees in such an event.
- 4.11. **Additional Orders.** Orders by the Customer for additional Pen-Link Software products or additional licenses of Pen-Link Software products will increase the Customer's Maintenance and Support fees under this Agreement.

5.1. Pen-Link, Ltd. warrants that the Maintenance and Support services provided to the Customer under this Agreement shall be performed with due care, and in a professional and workmanlike manner. Pen-Link, Ltd. does not otherwise warrant the accuracy or completeness of any services provided pursuant to this Agreement. PEN-LINK, LTD. DISCLAIMS ANY AND ALL OTHER WARRANTIES, EXPRESS, IMPLIED OR OTHERWISE, IN CONNECTION WITH THE SUBJECT OF THIS AGREEMENT. IN NO EVENT, UNDER ANY THEORY OF LAW, SHALL EITHER PARTY AND/OR ITS AFFILIATES BE LIABLE TO THE OTHER FOR ANY INDIRECT, SPECIAL, INCIDENTAL OR CONSEQUENTIAL DAMAGES OR LOST PROFITS AND/OR ITS AFFILIATES ARE ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. PEN-LINK, LTD.'S LIABILITY UNDER THIS AGREEMENT SHALL NOT EXCEED THE PREPAID AND UNUSED PORTION OF THE CUSTOMER'S MAINTENANCE AND SUPPORT FEES PAID TO PEN-LINK, LTD. PEN-LINK, LTD. SPECIFICALLY DISCLAIMS ALL RESPONSIBILITY FOR ANY SERVICES PROVIDED BY ANY PARTNER OR ANY OTHER THIRD PARTY.

5.2. It is the sole responsibility of the Customer to make and maintain adequate backup copies of software and data.

5.3. In no event will Pen-Link, Ltd. be responsible for lost data.

6.1. **Entire Agreement.** This Agreement constitutes the entire Agreement between the Customer and Pen-Link, Ltd. related to the subject matter hereof, and additions or modifications shall be binding upon the parties only if the same shall be in writing and duly executed by the Customer and a duly authorized officer of Pen-Link, Ltd. The Licensed Pen-Link Software is licensed under a separate End User License Agreement (EULA) and professional services, if any, are provided under a separate professional services agreement. The terms and conditions of any Customer purchase order are only binding on Pen-Link, Ltd. if they are agreed to in writing by an authorized Pen-Link, Ltd. officer and in a document other than the purchase order.

6.2. **Waiver.** The waiver or failure of either party to exercise in any respect any right shall not be deemed a waiver of any further or future right.

6.3. **Assignment.** The Customer may assign this Agreement only in connection with a proper and valid assignment of the corresponding EULA to the extent permitted there under; provided that the Customer gives written notice of such assignment to Pen-Link, Ltd. Pen-Link, Ltd. may freely assign this Agreement to a purchaser of that portion of Pen-Link Ltd.'s business to which this Agreement relates, to the surviving corporation in the event of a merger, and to any affiliate or third-party whom Pen-Link authorizes to provide Maintenance and Support for the Licensed Pen-Link Software of the nature contemplated hereby.

**Payment for Goods/Services Procured Outside of Department of  
Procurement or Other formal Contracts -  
*Pre-Approved Categories***

**Books, Subscriptions and Memberships**

- ☐ Educational & Instruction Material
- ☐ Conference Attendance, Seminar Attendance, Meeting Expense
- ☐ License
- ☐ Professional Organization and Association Dues
- ☐ Newspaper, Periodical, Magazine
- ☐ Professional Journal
- ☐ Notary Public
- ☐ Electronic Media Subscriptions

**Financial Obligations**

- ☐ Debt Service
- ☐ Employee Reimbursement
- ☐ Inter-Governmental Payment
- ☐ Loan
- ☐ Grant
- ☐ Reimbursements
- ☐ Salary / Wage (City Employee Pay)
- ☐ Airline Agreements
- ☐ Stipends
- ☐ Taxes
- ☐ Refunds
- ☐ Rent Subsidies
- ☐ Land Acquisition

**Insurance & Risk Management**

- ☐ Premium

**Confidential Investigations**

- ☒ Confidential Investigations

**Legal**

- ☐ Experts
- ☐ Court Reporter
- ☐ Commendation Expense
- ☐ On-Line Legal Research
- ☐ Outside Counsel
- ☐ Information Retrieval
- ☐ Interpreter
- ☐ Hearing Officer
- ☐ Process Server
- ☐ Litigation Support Material
- ☐ Consultant
- ☐ Settlement / Judgment
- ☐ Subpoena
- ☐ Summons

**Public Utilities**

- ☐ Electric
  - ☐ Telephone
  - ☐ Water / Sewer
  - ☐ Other Utility
- 

**Miscellaneous Expenses**

- ☐ Deductibles Associated with Warranties under Contract
- ☐ Express Mail / Postage
- ☐ Messenger / Delivery Service
- ☐ Petty Cash
- ☐ Local Travel / Parking
- ☐ Aldermanic or Board Of Elections
- ☐ Festival, Exhibition and Performance Costs
- ☐ Program Incentives
- ☐ Advertising / Media Purchases