

# **A Proof Search Implementation in Python for Justification Logic**

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## **Abstract**

Justification Logic as part of the larger field modal logic provides some means to give more information about a proof. Information and researches about this topic are currently still rather limited.

However the thesis presented here does not concern itself with the theoretical details of Justification Logics but focus on a proof search approach for this specific logic. The implementation is done in the language Python.

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Motivation . . . . .	1
1.2	Goal . . . . .	1
1.3	Overview . . . . .	2
<b>2</b>	<b>Justification Logic</b>	<b>3</b>
2.1	Background . . . . .	3
2.2	Rules and Definitions . . . . .	3
<b>3</b>	<b>A Divide and Conquer Algorithm</b>	<b>5</b>
3.1	The Core Idea . . . . .	5
3.2	Divide . . . . .	6
3.2.1	Atomize a Justification Formula . . . . .	6
3.2.2	Get the Must Terms . . . . .	8
3.3	Conquer . . . . .	9
3.3.1	Matching with CS-List . . . . .	9
3.3.2	Merging Conditions to Configurations . . . . .	10
3.3.3	Analyzing the Results . . . . .	11
<b>4</b>	<b>Implementation</b>	<b>12</b>
4.1	Model Overview . . . . .	12
4.1.1	Operation Syntax Tree . . . . .	12
4.1.2	Classes . . . . .	13
4.2	Selected Methods . . . . .	14
4.2.1	atomize . . . . .	14
4.2.2	musts . . . . .	15
4.2.3	unify . . . . .	16
4.2.4	simplify . . . . .	17
4.2.5	conquer . . . . .	17
4.3	Tests . . . . .	18
<b>5</b>	<b>Example</b>	<b>20</b>
5.1	Initialization . . . . .	20
5.2	Walking in Trees: Atomize . . . . .	20
5.2.1	Sumsplit . . . . .	20
5.2.2	Bangs . . . . .	21
5.3	Looking up the Musts . . . . .	22
5.3.1	Musts . . . . .	22

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5.3.2	Using the CS-List . . . . .	23
5.4	Constructing the Final Result . . . . .	24
5.4.1	Merging Conditions . . . . .	24
5.4.2	Meaning of the Result . . . . .	25
<b>6</b>	<b>Results</b>	<b>27</b>
6.1	Application . . . . .	27
6.2	Enhancement . . . . .	27
	<b>Bibliography</b>	<b>27</b>

# Chapter 1

## Introduction

### 1.1 Motivation

Justification Logic is not very common and finding example of how it works it difficult. This implementation provides the possibility to search simple examples for their provability thus providing an easier approach to Justification Logic.

### 1.2 Goal

The initial goal of this project was to extend the existing proof search engine Z3 [Microsoft Research] to also handle Justification Logic. Among the interface languages provided was Python, a language that interested me for quiet some time already. Deeper investigation into Z3 revealed that to make it handle also Justification Logic the given interface in Python would not work. Instead it would have to be integrated into the core of the program which is written in C. The expenses it would require enough understanding of Z3 to do the this integration would be far too costly and as a consequence would leaf me with very little resources left for the intended implementation.

So instead of extending Z3 from Microsoft Research the actual goal was altered into implementing a stand-alone proof search for Justification Logic. That meant the implementation would be easier since it did not depend on anything else anymore. Conversely a lot of the functionality that was hoped to get from Z3 would have to be implemented as well or discarded entirely. The decision to abandon Z3 entirely was made after I had already started implementation with some prototypes in python. As a consequence Python remained the choice of language even though there might had been more suitable languages for this task.

It was agreed that the program should satisfy to following conditions:

**Input** The formula to be proven as well as a list of formulas needed for the proof is given as string. It may be presumed that all input is exactly formatted in the way expected. The input will not be checked for syntax error or general typing mistakes by the program.

**Output** *True* if the formula is provable and *False* otherwise.  
Ideally there would be a second output in case the formula is provable

giving a proof.

## 1.3 Overview

The next chapter starts with a short introduction to Justification Logic. It will go only just deep enough into the theory to gain sufficient understanding of the given task.

The third chapter introduces the algorithm used in the implementation on a abstract level. This thesis concerns itself more with the practical side of implementation and not the theoretical side of mathematical logic theory. There will be little to no proof here, but instead focus on examples to illustrate how the algorithm works.

The forth chapter provides a selected insight into the classes and methods of the source code. For a thorough study it is however recommended to take a look at the source code itself as this chapter only covers the essentials.

The fifth chapter combines the previous two chapters by going through an example from start to end.

Finally the last chapter will discuss the result of the work and give some ideas about how the work of a Justification Logic proof search implementation could be improved.

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## Chapter 2

# Justification Logic

The theory of justification logic as it is used here requires little knowledge of the wide field of modal logic apart from very basic about logic theory. For the purpose of this proof search a few basic rules and definitions are sufficient to provide the needed knowledge.

The theory presented here is based mainly on the work of Goetschi [2005] as well as the older reference Paper and also from the homepage Stanford. This definitions and rules given here are not complete to the justification logic. Priority was given to those informations which are vital for the implementation. So however briefly and incomplete the theory is presented here full reference can be found in the named sources.

### 2.1 Background

Justification Logic has its origins from the field of modal logic. In model logic  $\Box A$  means that  $A$  is *known* or that we have *proof* of  $A$ . In justification logic the equivalent would be  $t : A$  where  $t$  is a *proof term* of  $A$ . This gives us the notion that *knowledge* or *proofs* may come from different sources. Justification logic lets us connect different *proofs* with a few simple operations and thus gives us a better description of the proof. To quote XY: It may be said that where in model logic the knowledge is implicit it is explicit in justification logic<sup>1</sup>.

Get who  
and make  
a proper  
quotation.

### 2.2 Rules and Definitions

The language of justification logic is given here in a more traditional form with *falsum* and *implication* as primary propositional connectives. Although for the work done with this implementation only the implication is used and the falsum has been ignored.<sup>2</sup> Also not all available syntactic objects are introduces here but only those implemented.

**Definition 1.** *Apart from formulas, the language of justification logics have another type of syntactic objects called justification terms, or simply terms given*

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<sup>1</sup>Goetschi [2005]

<sup>2</sup>cite here! S. 17

by the following grammar:

$$t ::= c_i^j | x_i | \perp | (t \cdot t) | (t + t) | !t$$

where  $i$  and  $j$  range over positive natural numbers,  $c_i^j$  denotes a (justification) constant of level  $j$ , and  $x_i$  denotes a (justification) variable.

The binary operations  $\cdot$  and  $+$  are called application and sum. The unary operation  $!$  is called positive introspection.

Use BNF package

**Rules.** Application, sum and positive introspection respectively.

$$C1 \quad t : (F \rightarrow G), s : F \vdash t \cdot s : G$$

$$C2 \quad t : F \vdash (t + s) : F, \quad s : F \vdash (t + s) : F$$

$$C3 \quad t : F \vdash !t : t : F$$

Formulas are constructed from propositional letters and boolean constants in the usual way with an additional clause: if  $F$  is a formula and  $t$  a term, then  $t : F$  is also a formula.

**Definition 2.** Justification formulas are given by the grammar:

$$A ::= P_i | (A \rightarrow A) | (t : A)$$

where  $P_i$  denotes a proposition, as in the modal language, and  $t$  is a justification term in the justification language.

This is almost all we need for the proof search of a (justification) formula. The last definition gives us a reference for the proof constants.

**Definition 3.** A constant specification, CS, is a finite set of formulas of the form  $c : A$  where  $c$  is a proof constant and  $A$  is a axiom of Justification language.

The axioms mention in this definition are C1-C3 in addition to  $t : F \rightarrow F$  and the Axioms of the classical propositional logic in the language of LP.



## Chapter 3

# A Divide and Conquer Algorithm

### 3.1 The Core Idea

In my earliest attempts the methods of my algorithm had the tendency to explode with the number of `if-else` and `switch` statements. Also they were always very deep nested. It was sheer impossible to keep track of what had to be done where under which circumstances and whenever I thought I had it I found more cases that needed special care of. What I really needed was a strategy. I started experiencing with the proof terms of the justification formula, trying to take it somehow apart and restructure the formula in a way that would make handling it easier. I was looking for something like the conjunctive normal form (CNF) and the way how it is used in proof search calculus<sup>1</sup>. Indeed I found a way that allows me to *divide* a justification formula in disjunctive formulas where proving only one of them is also proof for the whole justification formula. The main advantage gained from dividing the justification formula is that the resulting formula have far less variety in the manner of their operations and thus are easier to further analyze.

The comprehension that my approach follows a classic *Divide and Conquer* approach came to me only later when I started *conquering*. The algorithm presented here may not be a model of *Divide and Conquer* but similarities cannot be denied. For that reason I have structured this chapter accordingly.

There are two major steps in the divide part of this algorithm. First the justification formula itself will be split into several smaller pieces and adjusted. Second each of those smaller pieces called *atoms* is also being taken apart so that only their proof constant with a corresponding proof term containing variables remains. The pair of proof constant and proof term will be called *must*<sup>2</sup>.

The conquer step first handles the *musts* of one atom, trying to find a valid match for every *must* in the constant specification list and then evaluates from

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<sup>1</sup>*Proof Search Calculus* as it is introduced in Goossens et al. [1993]

<sup>2</sup>They are called *musts* in the algorithm because we have to find a match for every single one of them or else the atom is not provable.

the results of each *atom* the provability of the originally given justification formula.

## 3.2 Divide

The aim of this first step is to split it into smaller pieces and standardize them to make it easier to get the *musts*.

### 3.2.1 Atomize a Justification Formula

**Definition 4** (atomic). *A formula or term is called **atomic** if it fulfills the following conditions:*

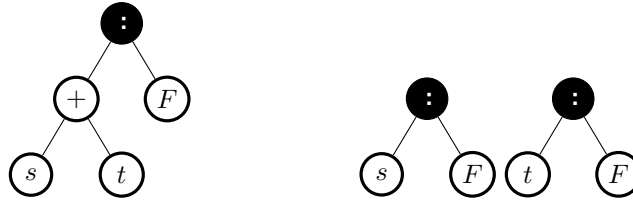
- *The term contains no sum operations.*
- *A introspection operation can neither be the top operation of a term nor be the left operand of a application operation.*

To make the content presented here more understandable the following example will illustrate the steps taken.<sup>3</sup>

#### Sumsplit

From the sum rule of justification logic in 2.2 it follows that checking for provability in a formula where the top operation is a sum is equal to checking either operand of the sum and if any of it is provable so is the original formula.

$$(s + t) : F \Rightarrow s : F \vee t : F \quad (3.1)$$



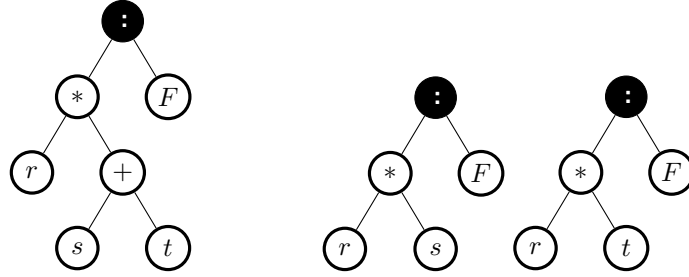
Caption

This is also true for formulas where sum is not the top operation. Here  $X$  denotes an arbitrary *justification term*.

$$(r * (s + t)) : F \Rightarrow r : X \rightarrow F \wedge (s + t) : X \quad (3.2)$$

$$\Rightarrow (r : X \rightarrow F \wedge s : X) \vee (r : X \rightarrow F \wedge t : X) \quad (3.3)$$

<sup>3</sup>It is on purpose that the *justification term* is by far more complicated than statement  $b : F$  that follows the *justification term*. As far as this algorithm goes the complexity of the statement is of no further consequence and thus is kept as simple as possible to allow a easier overview.



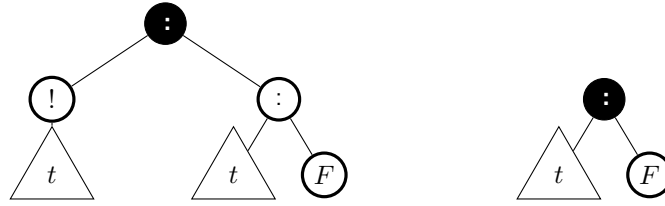
Caption

### Simplify Introspection

In this step we try to get rid of any introspection operation that is the first operation of a formula. Either the introspection can be removed and the formula simplified or else the formula is not provable at all and can be discarded.

Derived from the application rule in 2.2 we get the following:

$$!t : (t : F) \Rightarrow t : F \quad (3.4)$$



Caption

Speaking in the manner of a syntax tree it needs to be checked, if the child of the introspection operation is identical with the left child of the right child of the root. In that case the formula can be simplified to right child of the root only. Else there is no way to resolve the introspection operation and the formula has to be discarded.

### Remove Contradicting Introspection

This last step in atomizing the formula proved to be on of the hardest to realize. Only countless examples support the claim that the introspection operation must not be the direct left child of a application operation. In coming to that conclusion it has been helpful that no sum operation could make the situation more complex. Because of this and also the fact that a introspection operation is never the top operation in a formula it is guarantied that a introspection operation must be either a right child or a left child of a application operation.

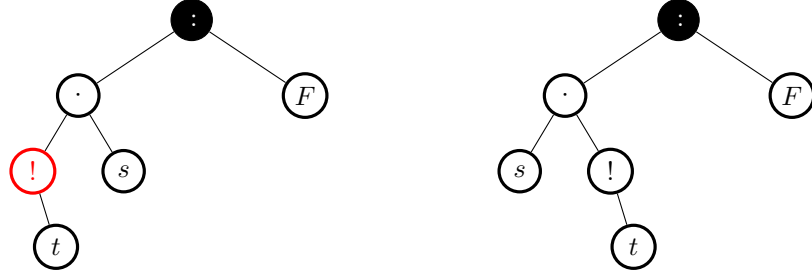
$$((!s) \cdot t) : F \Rightarrow \exists X_1 : (!s) : (X_1 \rightarrow F) \wedge t : X_1 \quad (3.5)$$

$$(!s) : (X_1 \rightarrow F) \Rightarrow \exists X_2 : (!s) : (X_1 \rightarrow F) = (!s) : (s : X_2) \quad (3.6)$$

The last line gives a contradiction since there is no possible  $X_2$  that would fulfill the condition of  $X_1 \rightarrow F = s : X_2$ .

Make this look more like the ones following below.

**Assertion (Tree Version).** *A introspection operation that is the direct left child of a application operation causes the whole term to be invalid (unprovable), given that the term is without sum operations and no introspection operation at the top.*



**Figure 3.1:** The left tree show a introspection that gives a contradiction whereas the right tree is valid.

This concludes the *atomization* of one formula to many simple formulas which can be checked for provability individually. An atomized formula now consists only of application operations and valid introspection operations. The next section will show what further steps are needed to check one atomized formula for its provability.

### 3.2.2 Get the Must Terms

To check a justification formula for its provability we need to look up the justification constant from the formula in the constant specification list (from now on called *cs-list* for brevity) and compare the justification term with the term we find there.

The operation rules which were presented in chapter 2.2 gives us the instruction how we can take a formula apart to look the individual proof term up in the *cs-list*. The rule for the sum operation was already used in the previous steps for the **sumsplit** in 3.2.1.

Each application operation in a term adds one variable and a introspection operation replaces an existing variable<sup>4</sup> with a new combined with a proof constant.

So for a term like this  $(a \cdot (!b)) \cdot c : F$  the following will be evaluated:

$$\begin{aligned} (a \cdot (!b)) \cdot b : F \\ \Rightarrow a \cdot (!b) : X_1 \rightarrow F \\ \Rightarrow b : X_1 \end{aligned} \tag{3.7}$$

$$\begin{aligned} (a \cdot (!b)) : X_1 \rightarrow F \\ \Rightarrow a : X_2 \rightarrow (X_1 \rightarrow F) \\ \Rightarrow !b : X_2 \end{aligned} \tag{3.8}$$

<sup>4</sup>The variables we get from constructing the *musts* are called *X-wilds* in the source code in contrast to the variables that might occur in the *cs-list* which are referred to *Y-wilds* respectively.

$$\begin{aligned} !b : X_2 \\ \Rightarrow X_2 = b : X_3 \end{aligned} \tag{3.9}$$

$X_2$  will be replaced by  $b : X_3$  so our final *musts* for  $(a * (!b)) * c : F$  looks like this:

$$[(a, ((b : X_3) \rightarrow (X_1 \rightarrow F))), (b, X_1), (b, X_3)] \tag{3.10}$$

As can be seen in this example a proof constant may have more than one term that needs to be looked up in the cs-list.

### 3.3 Conquer

Once that the *musts* have been obtained we can search the cs-list for terms that match it. Since a *must* usually consists of variables that are not determined it is possible that we get more than one match per proof term. Also since the cs-list allows terms that contain variables as well this imposes further conditions on the possible choice of the term of a proof term. All those possibilities and conditions are collected when comparing the *musts* with the cs-list.

Then in the second and most important step in the conquer part those conditions will be merged. It will be checked if there is a possible combination from the given options such as the atomized formula is provable. It is then only a small step to collect the results of all other atoms of the original formula to determine the provability of the original formula.

Throughout this section the words *conditions* and *configurations* is being used. Since they mean something very similar but not the same the definition of the usage of those words is presented below:

**Definition 5** (condition). *A condition is either on a  $X$  variable or on a  $Y$  variable. A condition can be a constant, a variable or a term containing constants and/or variables. One variable may have several conditions which may contradict each other at certain stages. A condition may not contain the variable on which the condition is in its term.*

*A set of conditions is per proof constant of a must at first but it may also be a set for several proof constant after the conditions have been merged.*

**Definition 6** (configuration). *A configuration is a special case of a condition. It is also on a  $X$  or  $Y$  variable but a configuration contains only constants and no more other variables. There can only be one configuration per variable as it would contradict any other configuration.*

#### 3.3.1 Matching with CS-List

Central for the whole conquer part is the procedure of comparing two formulas and giving a useful result. This is needed when we first try to match our *musts* with what we find in the cs-list and later again when we assemble the different conditions and merge them together.

For one atom we have now several *musts*, each of these *musts* corresponds to a proof constant and holds a term usually made up from at least one variable. On the other hand the terms we find within the cs-list are not only terms with constants but also axioms that can contain variables as well. This means that

the result of a comparison of such two formulas are conditions that apply to certain variables.

If we compare the term  $(X_2 \rightarrow (X_1 \rightarrow F))$  of a *must* with the term  $(Y_1 \rightarrow (Y_2 \rightarrow Y_1))$  from the cs-list for example, we get the following conditions:

$$\begin{aligned} X_1 &: \{Y_2\} \\ X_2 &: \{Y_1\} \\ Y_1 &: \{X_2, F\} \\ Y_2 &: \{X_1\} \end{aligned}$$

Which can be shorted without losing any informations to<sup>5</sup>:

$$\begin{aligned} X_1 &: \{Y_1\} \\ X_2 &: \{F\} \\ Y_2 &: \{F\} \end{aligned}$$

For every entry in the cs-list that we compare to our *must* gives us a set of conditions for the occurring variables. Each set represents a possible proof for one *must*, but since all *musts* have to be proofed and since they contain variables that also occur in other *musts* the sets of conditions of all *musts* of a atom have to be merged together.

### 3.3.2 Merging Conditions to Configurations

Suppose we have *musts*  $m_1, m_2, \dots, m_n$  for a certain atom. From the previous step each of these  $m_i$  has at least<sup>6</sup> one set of conditions for its variables, possibly more. Our aim is to find one set of conditions for each *must* such that when we put all those conditions together we have not contradiction. This gives us the final configuration of the  $X$  variables<sup>7</sup>.

Let us say we have the *musts*  $m_k$  and  $m_{k+1}$  and the following sets of conditions: For  $m_k$  we find only one set, for  $m_{k+1}$  we shall have two.

$$\begin{aligned} m_k &: [\{X_1 : \{(A \rightarrow X_3)\}, X_2 : \{A\}\}] \\ m_{k+1} &: [\{X_1 : \{(X_2 \rightarrow B)\}, X_4 : \{X_3\}\}, \\ &\quad \{X_1 : \{X_2\}, X_4 : \{B\}\}] \end{aligned}$$

We see that the first set of  $m_j$  is compatible with the set of  $m_i$  and the second set of  $m_j$  is not.

To archive the same result with the algorithm the two conditions are first simply joined, ignoring possible contradictions, giving us two new sets of conditions.

<sup>5</sup>This is only one of many options to shorten the conditions, another option would be  $Y_1 : \{X_2, F\}, Y_2 : \{X_1\}$ .

<sup>6</sup>If there is no entry in the cs-list that matches the criteria of a *must* it makes the whole atom unprovable.

<sup>7</sup>We are only concerned for the *X-wilds* but we still need to tag the *Y-wilds* along.

$$\{X_1 : \{(A \rightarrow X_3), (X_2 \rightarrow B)\}, X_2 : \{A\}\}, X_4 : \{X_3\}\}, \\ \{X_1 : \{(A \rightarrow X_3), X_2\}, X_2 : \{A\}\}, X_4 : \{B\}\}$$

For the first set of condition we get from the join, resolving the conditions for  $X_1$  gives us  $X_2 : A$  which also fits with the condition for  $X_2$  that is already present. Further  $X_3 : B$  gives us also  $X_4 : B$ . If the variables that we find in  $m_i$  and  $m_j$  are all that occur in all other *musts* of the atom we have found a configuration for the variables, thus proving the atom.

$$\{X_1 : \{(A \rightarrow B)\}, X_2 : \{A\}\}, X_3 : \{B\}, X_4 : \{B\}\}$$

In the second set resolving the conditions does not work out. From  $X_1$  we get that  $X_2 : (A \rightarrow X_3)$  which is not compatible with the existing condition on  $X_2$  that states  $X_2 : A$ . Consequently the second set is discarded. If the first set had failed as well there would be no proof for this atom.

### 3.3.3 Analyzing the Results

In the end we get for each atom from the original formula a set of possible configurations. A set may contain several configurations, meaning that the variables of this atom can be configured differently, it may contain only one configuration, meaning that there is only one possible configuration or there may be none at all, meaning that there are no valid configurations for the variables of this atom thus making it unprovable.

Sine proofing one atom of a formula proves the whole formula, the last step taken by the algorithm is to check if at least one atom is provable. In theory the algorithm could stop as soon as it finds the first provable atom, but in this implementation it checks all the atoms and aside from giving a simple **True** or **False** it provides also the configuration(s) of the variables for all provable atoms.

This concludes not only the merge step but the whole divide and conquer chapter. I personally have found it rather easy to understand the individual steps but difficult to not get lost in the overall view. For that reason chapter 5 will cover one single example designed to show all aspects of the algorithm and run it through to understand it better.

## Chapter 4

# Implementation

### 4.1 Model Overview

Finding a good model design for this algorithm was rather hard. I ended up with a few model classes in a traditional sense and the inevitable helper class that contains a bunch of static methods. I think for a very clean design all of the source code would best be put in one, or at most two classes and be shipped as a single module. As can be seen in the simplified UML presented below there is no real interaction between the classes. They mainly serve the purpose to hide complicated code and provide a certain level of abstraction. For that reason all, or most of it could be included in the master class **ProofSearch**. But I find it more agreeable to browse through different files of code than to have it all clustered up in one big file.

I believe that the reason for this situation of design is the fact that the code altogether represents a single algorithm and thus it is not as intuitive to model as other things where the domain implies more straight forward objects with corresponding responsibilities and relations.

On the other hand it shall be pointed out that it surely would be possible to structure this project in a more object-oriented style. But doing so would probably cost more time and effort than what eventually would be won by it.

#### 4.1.1 Operation Syntax Tree

One of the earliest challenges was a useful representation of a formula with which I could work decently. Interestingly enough a binary tree came only later into my mind, after I tested various libraries from Python. There were libraries that seemed very useful at first as they were math-specific. Analyzing formulas that contained  $*$  or  $+$  were fairly easy but as  $:$  and  $!$  are not very common operations I could not customize the tested libraries enough to handle those as well.

So it happened while I was searching yet for another library that I tumbled over the possibility to use binary trees to represent the syntax of a mathematical formula. Remembering a lot of what I learned in the lecture about Datastructure and Algorithms I realized that this is the best choice for me. A binary tree gives me not only a way to represent a formula in a way that interprets the order of operations but with the knowledge about trees it became suddenly very easy to



also manipulate such a formula for example by deleting or swapping subtrees and still keep a valid operation.

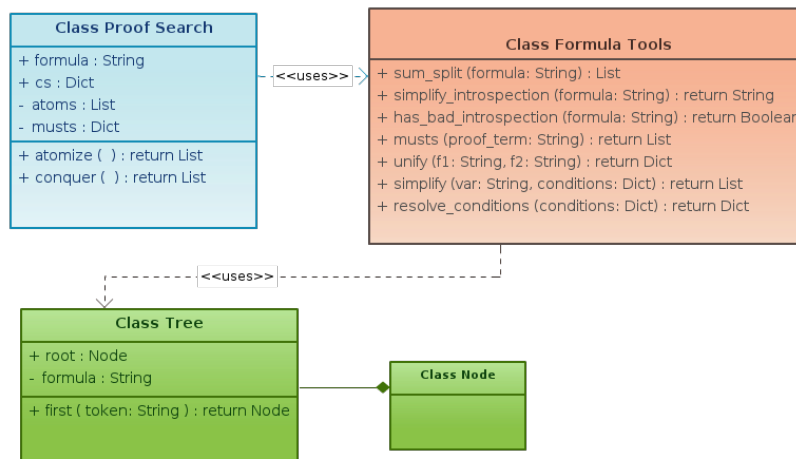
I decided to implement my own tree for that purpose. It might be argued that a lot of work could be saved if I used available syntax trees but for one thing I relished the idea of implementing a tree structure that I would use myself and thus finally use what I have learned in lecture ages ago and second I would have to make custom changes to a finished solution anyway and those changes are probably more work than the implementation of a binary tree which is rather simple.

I tried to keep the tree as simple as possible, giving the nodes only a value, not needing a unique key. The greatest challenge given by implementing a syntax tree was to handle the unary operator `!`. As braces serve to determine the depth of a tree and a binary operation tells you when to start climbing up again, it required so extra case handling for the `!` operator. From the point on when the tree was working, it was not only important to the algorithm, but could also be used to check if the input was written correctly. Therefore most of the tests that test the string handling of a tree are the result of formulas used somewhere else but which needed syntax spell checking.

#### 4.1.2 Classes

##### Tree and Node

As seen in the previous section I use a binary tree to represent, search and manipulate formulas. The class **Tree** and also the associated class **Node** are a standard implementation of a syntax tree made to precisely fit my purpose.



**Figure 4.1:** Simplified UML graphic of the classes used for implementing the algorithm. The list of methods and attributes is by no means complete and should simply give an idea of the construction.

### ProofSearch

This class can be considered as the main class of this project. It takes the user input, evaluates the justification formula and finally returns if the formula is provable or not. If it is, the output will also provide a proof.

### FormulaTools

The name of the class reveals already its usage. This class doesn't quite deserve to be called such since it does not describe any model but simply serves as a box of tools. Tools which perform functions that are not solely related with the model **Tree** and **Node** or with **ProofSearch**. It is something like a go-in-between for the those. Removing this class would result in a lot of static methods for both the **Tree** and the **ProofSearch** class and for many of them it would not even be clear where to.

But it is still possible to describe it as a model its responsibilities. Its main concern lays in handling formula and any task given for one or a set of formulas. In contrast to that the **Tree** is only concerned with the formula that makes up its structure and the **ProofSearch** that does not actually handle formulas but evaluates the result a action on a formula gives.

## 4.2 Selected Methods

In this section I want to show and explain some of the more complicated methods that are important and make up the heart of the algorithm. The source code of the methods presented here are excerpts only and occasional their in line comments where shortened or to keep the snippets as short as possible and to avoid unnecessary repetition of information since the code comments are of course very similar to the description presented in this chapter. The aim of this section is to provide a insight of the source code without the need to read through all of it.

Still the source code attached is provided with a full documentation, not only containing method description but also rich in line comments, examples and explanations.

### 4.2.1 atomize, ProofSearch

The method **atomize** can be seen as the whole *divide* step of the algorithm. Although I was tempted to name it as such I did not change it although it would have fitted very nicely with the corresponding method **conquer** which will be presented here as well. I felt that the name *atomize* carries more meaning than *divide* and after all the *divide* and *conquer* approach is more a general one and does not fit a hundred percent for this algorithm.

The method is straight forward, doing what has been described before in chapter 3: It splits the formula for each  $+$  found and then tries to simplify all subformulas that start with a  $!$ . Subformulas that are not resolvable<sup>1</sup> are removed, leaving those that we call *atoms*.

---

<sup>1</sup>Such would be formulas that start with a  $!$  but cannot be simplified or formulas that contain a  $!$  on the left side of a  $*$ .

```

...
if proof_term.root.is_leaf():
    consts.append((str(proof_term), str(subformula)))
elif proof_term.root.token == '*':
    left = subtree(proof_term.root.left)
    right = subtree(proof_term.root.right)
    todo.append(Tree('(%s:(X%s->%s))' %
                    (str(left), str(v_count), str(subformula))))
    todo.append(Tree('(%s:X%s)' %
                    (str(right), str(v_count))))
    v_count += 1
elif proof_term.root.token == '!':
    left = subtree(f.root.left.right)
    s = '(%s:X%s)' % (str(left), str(v_count))
    todo.append(Tree(s))
    assignments.append((str(subformula), s))

```

Figure 4.2: Excerpt *atomize* from *ProofSearch*.

#### 4.2.2 musts, FormulaTools

The method *musts* expects a given proof term to be *atomized* already as it only distinguishes between *!* and *\** operations. The algorithm takes the formula apart from top to bottom, generating new, smaller terms for every operation it takes apart until the remaining proof term is only a proof constant. Since the resolve of a *\** operation needs a new *X-wild* and the resolve of a *!* operation replaces an existing *X-wild* with a new, the current *i* for a new *X-wild*  $X_i$  is stored and increased in *v\_count*.

```

...
if proof_term.root.is_leaf():
    consts.append((str(proof_term), str(subformula)))
elif proof_term.root.token == '*':
    left = subtree(proof_term.root.left)
    right = subtree(proof_term.root.right)
    todo.append(Tree('(%s:(X%s->%s))' %
                    (str(left), str(v_count), str(subformula))))
    todo.append(Tree('(%s:X%s)' %
                    (str(right), str(v_count))))
    v_count += 1
elif proof_term.root.token == '!':
    left = subtree(f.root.left.right)
    s = '(%s:X%s)' % (str(left), str(v_count))
    todo.append(Tree(s))
    assignments.append((str(subformula), s))
    v_count += 1

```

Figure 4.3: Excerpt *musts* from *FormulaTools*

If for example the current justification term would be  $((a * (!b)) : F)$ , it would be taken apart to the two subformulas  $(a : (X_i \rightarrow F))$  and  $((!b) : (X_i))$ .

Because of the *atomization* in the steps before, it is guaranteed that every *!* is a (right) child of a *\** and since every *\** creates a new  $X_i$ , a term here that starts with a *\** is always on a  $X_i$ .

Since from  $!b : X_i$  follows  $\exists X_j \text{ s.t. } !b : (b : X_j)$ , all  $X_i$  that occurred up to now must be replaced by  $(b : X_j)$ . In the end we will have only proof constants

remaining.

### 4.2.3 unify, FormulaTools

I spend probably most of my implementing time on this method, or rather on many its predecessor. It used to be a lot longer and more complicated because it differentiated various cases if a formula would contain one or another kind of variable. With this final implementation no difference is made between handling *X-wild* or *Y-wild* variables on that level. Only much later when all results are put together will those variables be handled accordingly.

The method takes two formulas<sup>2</sup> and compares them on the basis of their tree structure. If roots of both trees are operations and matching, the children of both Nodes are pushed on a stack to be further compared later on. If either one of the trees being compared consists only of a leaf that does not match the other node we either have found a contradiction or a *condition*. In the first case `None` will be return the method is stopped. In the other case that we find a node with a variable for one tree it will be formed into a *condition* for that variable, where the variable is the key and whatever we find in the other tree at this place is the value.

All those conditions are stored as tuples in a set and are returned in form of a dictionary, where all conditions for one variable can be accessed by the variable itself as key. At the current state conditions that apply to a variable may be contradictory, but it the responsibility of this method only to collect those and not to valuate them. This will done by the method `simplify` and in a further extension also in the method `resolve.conditions`.

```
...
while stack:
    f1, f2 = stack.pop()
    # If the root node is the same (either operation or constant)
    if f1.root.token == f2.root.token:
        # If the its a operator, go on. If it's a constant we're done.
        if f1.root.token in ['->', ':']:
            stack.append(
                (subtree(f1.root.left), subtree(f2.root.left)))
            stack.append(
                (subtree(f1.root.right), subtree(f2.root.right)))
        # If the root is not the same, either it is a mismatch,
        # or there is at least one variable.
    elif f1.is_wild() or f2.is_wild():
        result.append((str(f1), str(f2)))
    else:
        return None
return condition_list_to_dict(result)
```

**Figure 4.4:** Excerpt *unify* from *FormulaTools*.

<sup>2</sup>It is expected that the only occurring operations are  $\rightarrow$  and  $:$ . It should be rather easy to extend the code at this point to accept also other operations but from what I can expect as input this is not necessary here.

#### 4.2.4 simplify, FormulaTools

The aim of this method is that after it has run there is only one condition term left for the variable it takes as input and this variable does not occur anywhere else except as key to its condition. For example, if we had  $[(A \rightarrow B), X_2, (Y_1 \rightarrow Y_2)]$  as conditions for the variable  $X_1$  and  $[(X_1)]$  as condition for  $X_2$  after running the method we would get  $[(A \rightarrow B)]$  for  $X_1$ ,  $[(A \rightarrow B), (Y_1 \rightarrow Y_2)]$  for  $X_2$  and also  $[(A)]$  for the new found variable  $Y_1$  and  $[(B)]$  for the new found variable  $Y_2$ . Thus we have eliminated all occurrences of the variable  $X_1$  and as a consequence of this we found new variables that were not present before.

Implementing this method proved harder then first expected since I didn't anticipated the role of the new found variables at first. The method `resolve_conditions` handles the order in which this method is called on each variable. It simply pushes the new found variables on top of its stack to make sure they are not forgotten. Because `resolve_conditions` needs to know the new variables `simplify` makes changes to the condition set in place and instead of returning the conditions, it returns a list with all newfound variables.

```
...
# Unify each with another: Gives new conditions.
# If any match returns None, we have a contradiction and stop.
new_conditions = defaultdict(set)
for f1, f2 in itertools.combinations(fs, 2):
    conditions_unify = unify(f1, f2)
    if conditions_unify is None:
        return None
    new_conditions.update(conditions_unify)

# Keep one of the (X1, Fi) and replace all X1
# in the Fis of the other Variables.
# X1 will only occur as the chosen one.
chosen = fs.pop()
for key in conditions:
    conditions[key] = set(
        item.replace(var, chosen) for item in conditions[key])

# Add the chose one and the new conditions to old conditions.
# Collect new variables to return.
conditions[var].update([chosen])
new_vars = []
for key in new_conditions:
    if not conditions[key]:
        new_vars.append(key)
    conditions[key].update(new_conditions[key])
...
```

Figure 4.5: Excerpt *simplify* from *FormulaTools*.

#### 4.2.5 conquer, ProofSearch

Although the method `conquer` is the one returning the final result, the actual work is done by the method `conquer_one_atom`. As the name suggests it checks the provability of one atom only. `conquer` then simply summarizes the result of each atom and give a readable output.

`conquer_one_atom` is structured in two main loops. In the first loop it collects all possible configurations for each of the *musts* of the atom. If for any *must* no valid configuration can be found the method will terminate because one invalid *must* makes the whole atom unprovable.

```
...
# Collect all conditions for each must.
# Example: a - proof_constant, X1->F - condition_term
for proof_constant, condition_term in self.musts[atom]:

    proofs_for_atom = []

    for cs_term in self.cs[proof_constant]:
        configuration = match_with_cs_term(
                                                    cs_term, condition_term)

        if configuration is not None:
            proofs_for_atom.append(configuration)

    if proofs_for_atom:
        all_conditions[(proof_constant, condition_term)]
            = proofs_for_atom
    else:
        return None
...
```

**Figure 4.6:** First excerpt *conquer\_one\_atom* from *FormulaTools*.

The second loop then tries to find one (or more) overall configuration(s) that is compatible with at least one of the configurations per *must*. If at some stage there is no entry left in `merged_conditions`, it means that the conditions posed by the new encountered configuration of the *musts* are not compatible with the old ones and thus the atom is not provable.

```
...
merged_conditions = []
for must in self.musts[atom]:
    merged_conditions = merge_conditions(
        all_conditions[must], merged_conditions)

    if merged_conditions is None:
        return None
...
```

**Figure 4.7:** Second excerpt *conquer\_one\_atom* from *FormulaTools*.

## 4.3 Tests

The simple unittests I have written for this algorithm have been most important to the success of it. They served me in two ways: First to check if my code would behave and actually do what I expected it to do and second when I suddenly stumbled across a example or a situation where I did not know what I would expect I would simply write a test for it and see what happens, thus helping me

to understand it better. Of course blessing of the tests is also a curse as it is because of the tests that I found so many mistakes that I've made and forcing me to do it again and again.

As can be seen when looking at the source code not all all methods are tested on a same quality level. Methods that I deemed simple usually have only one or two tests. An example for that is `summarize` from `ProofSearch`, this methods simply rearranges the elements of a dictionary and returns a nice readable output that summarized the content of the original input. In contrast to this methods are methods like the `conquer` methods and the `divide` method from `ProofSearch` or the `to_s` from `Tree` which basically tests if the parsing between `String` and `Tree` works correctly.

To name some numbers, there are currently<sup>3</sup> a total of 66 tests. Almost halve of which are found in `ProofSearch`.

---

<sup>3</sup>Even though the program is finished it is still possible that I add more tests to get rid of any doubts, so the numbers are not fix.

## Chapter 5

# Example

### 5.1 Initialization

In this chapter I would like to walk through one example and covering as many special cases as possible. As such, the justification term we will look at is rather complicated. But this example will also show how nicely this will be broken down in more simpler formulas.

$$f = (((!(a + c)) + ((a + (!a)) * (b * (!c))))) : (c : F)) \quad (5.1)$$

The cs-list used for this example will only be relevant later on but still be presented here as reference:

$$\begin{aligned} cs = \{ \\ & a : [(H \rightarrow (c : F)), ((E \rightarrow (c : D)) \rightarrow (c : F)), (E \rightarrow (c : D))], \\ & b : [(((c : F) \rightarrow H), ((c : D) \rightarrow (a : F)), ((H \rightarrow G) \rightarrow H), (Y_1 \rightarrow (Y_2 \rightarrow Y_1))), \\ & c : [(c : F), G, D, (G \rightarrow F)] \\ & \} \end{aligned} \quad (5.2)$$

The data presented here is in the same form as it would be entered into the program. Therefore the cs-list is rather a *python* dictionary than a simple tuple-list and there are more brackets explicitly written then required by convention.

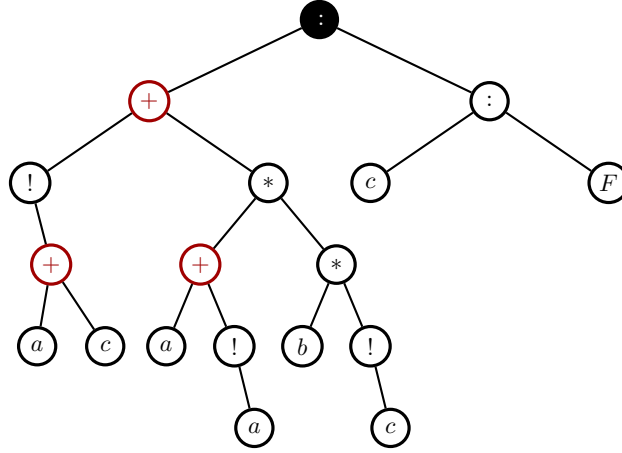
### 5.2 Walking in Trees: Atomize

The given formula  $f$  will be transformed into a syntax tree using *parse\_formula* of *Tree*. If the formula is provided when the *ProofSearch* object is initialized the formula will automatically be atomized without having to call this method separately.

#### 5.2.1 Sumsplit

$$(((!(a + c)) + ((a + (!a)) * (b * (!c))))) : (c : F))$$





**Figure 5.1:** Syntax tree of given formula  $f$  before it is atomized.

The *sum\_split* from *Tree* will give us the following terms in form of a list.

$$((!a) : (c : F)) \quad (5.3)$$

$$((!c) : (c : F)) \quad (5.4)$$

$$((a * (b * (!c))) : (c : F)) \quad (5.5)$$

$$(((!a) * (b * (!c))) : (c : F)) \quad (5.6)$$

### 5.2.2 Bangs

Looking at each of the terms individually we will now further look at them to discard any that have a *bad bang*, meaning a bang that is the left child of a multiplication or if there are terms with bang which can be simplified.

#### Term 5.3

In this term we find a bang which is valid, since it is not a left child of a multiplication, but trying to simplify the term shows us that it cannot be resolved thus letting us discard is term.

$$((\textcolor{brown}{!}a) : (c : F))$$

#### Term 5.4

As before the bang within the term is valid but in contrast to the previous example the term here can be simplified, giving us our first *atom* for formula  $f$ .

$$\begin{aligned} ((\textcolor{brown}{!}c) : (c : F)) &\Rightarrow \\ a_1 &:= (c : F) \end{aligned} \quad (5.7)$$

**Term 5.5**

In this term we find the bang operation neither a left child of a multiplication nor as top operation of the proof term and thus there is nothing to do.

$$\begin{aligned} ((a * (b * (!c))) : (c : F)) &\Rightarrow \\ a_2 := ((a * (b * (!c))) : (c : F)) \end{aligned} \quad (5.8)$$

**Term 5.6**

Finally this term has two bangs of which the first is the left child of a multiplication and thus makes the term invalid. The second bang would be valid, but the first term causes the whole term to be discarded.

$$(((!a) * (b * (!c))) : (c : F))$$

This completes the *atomize* step for the formula  $f$  giving us two *atoms*. Showing that at least one of those is provable is enough to show that  $f$  is provable.

**5.3 Looking up the Musts**

$$f = (((!(a + c)) + ((a + (!a)) * (b * (!c)))) : (c : F)) \quad (5.1)$$

For our formula  $f$  we have found the two atoms 5.7 and 5.8. The next steps will be determining the *musts* if needed, matching them against the cs-list and finally merge the possible configurations together to determine if one of the musts is provable.

$$a_1 = (c : F) \quad (5.7)$$

$$a_2 = ((a * (b * (!c))) : (c : F)) \quad (5.8)$$

**5.3.1 Musts****Atom  $a_1$  (5.7)**

Since  $a_1$  consists already only of one proof constant with the correspond term to it there is nothing further to to here.

$$a_1 : \text{ musts} = [(c, F)] \quad (5.9)$$

**Atom  $a_2$  (5.8)**

For  $a_2$  we need to take the proof term apart bit by bit. The first operation we will take apart is a multiplication. Extracting proof constants from a multiplication proof term will always us give a *X-wild*. Whenever a new *X-wilds* appears the  $i$  of  $X_i$  will simply be increased by 1.

$$\begin{aligned}
((a * (b * (!c))) : (c : F)) &\Rightarrow \\
a : (X_1 \rightarrow (c : F)) & \\
(b * (!c)) : X_1 &
\end{aligned}$$

The proof constant  $a$  has been isolated but  $(b * (!c))$  still needs further taking apart. We repeat the step from above and introduce yet another  $X$ -wild.

$$\begin{aligned}
(b * (!c)) : X_1 &\Rightarrow \\
b : (X_2 \rightarrow X_1) & \\
(!c) : X_2 &
\end{aligned}$$

Now  $b$  has been isolated as well, leaving only  $(!c)$ . Having a bang in a situation like this results in a new  $X$ -wild in combination with the proof term which will replace a previous  $X$ -wild.

$$\begin{aligned}
(!c) : X_2 &\Rightarrow \\
X_2 &= (c : X_3)
\end{aligned}$$

This finally gives us all the *musts* for  $a_2$ . As can be seen below the  $X$ -wild  $X_2$  has been replaced by  $(c : X_3)$ .

$$a_2 : \text{ musts} = [(a, (X_1 \rightarrow (c : F))), (b, ((c : X_3) \rightarrow X_1)), (c, X_3)] \quad (5.10)$$

It should be noted here that a proof constant may be in more than one of the *musts* for one *atom*.

From the previous steps we have now two atoms with their *musts* which we will check for provability.

### 5.3.2 Using the CS-List

As can be seen immediately when looking at the cs-list the atom  $a_1 : (c : F)$  is not provable. The atom itself is already the *must* that we need to check for in the cs-list. Since there is no entry  $F$  in cs for the proof constant  $c$  the atom is not provable and we are done.

The last remaining atom  $a_2$  needs a little bit more work to. First we will select and compare all *musts* of  $a_2$  with the corresponding entries in cs and then we need to find a configuration for the variables of the *musts*, that will fit all *musts*.

$$\begin{aligned}
cs = \{ & \\
& a : [(H \rightarrow (c : F)), ((E \rightarrow (c : D)) \rightarrow (c : F)), (E \rightarrow (c : D))], \\
& b : [((c : F) \rightarrow H), ((c : D) \rightarrow (a : F)), ((H \rightarrow G) \rightarrow H), (Y_1 \rightarrow (Y_2 \rightarrow Y_1))], \\
& c : [(c : F), G, D, (G \rightarrow F)] \\
& \} \quad (5.2)
\end{aligned}$$

**Proof Constant  $a$** 

Comparing  $(X_1 \rightarrow (c : F))$  with all entries in  $cs$  for the proof constant  $a$  will give us the following two condition set which are only on the variable  $X_1$ .

$$(H \rightarrow (c : F)) \Rightarrow \{X_1 : H\} \quad (5.11)$$

$$((E \rightarrow (c : D)) \rightarrow (c : F)) \Rightarrow \{X_1 : (E \rightarrow (c : D))\} \quad (5.12)$$

**Proof Constant  $b$** 

For the proof constant  $b$  with *must* term  $((c : X_3) \rightarrow X_1)$  we get:

$$((c : F) \rightarrow H) \Rightarrow \{X_1 : F, \quad X_3 : H\} \quad (5.13)$$

$$((c : D) \rightarrow (a : F)) \Rightarrow \{X_1 : (a : F), \quad X_3 : D\} \quad (5.14)$$

$$((Y_1 \rightarrow (Y_2 \rightarrow Y_1)) \Rightarrow \{X_1 : (Y_2 \rightarrow Y_1), \quad Y_1 : (c : X_3)\} \quad (5.15)$$

We note that for the last condition set we now have also another kind of variable aside from those given by the *must* term. For the moment both kind of variables are treaded exactly the same.

**Proof Constant  $c$** 

Since the *must* term for proof constant  $c$  is simply  $X_3$  we get the following conditions

$$(c : F) \Rightarrow \{X_3 : (c : F)\} \quad (5.16)$$

$$G \Rightarrow \{X_3 : G\} \quad (5.17)$$

$$D \Rightarrow \{X_3 : D\} \quad (5.18)$$

$$(G \rightarrow F) \Rightarrow \{X_3 : (G \rightarrow F)\} \quad (5.19)$$

**5.4 Constructing the Final Result****5.4.1 Merging Conditions**

Our aim is that we pick one line from each proof constant and that this merged conditions give us a configuration for the  $X$ -variables. For example we could pick from each the top line, but it is obvious that this is not a solution since  $X_3$  can only be either  $H$  or  $(c : F)$  but not both.

It is clear that not every line of  $a$  can be successfully merged with every line of  $b$ . We see that we can only take those that have the same term for  $X_3$  or there is a  $Y$ -variable. In fact only the two bottom row are compatible, since no entry from  $b$  fits  $X_1 : H$  from  $a$  and only  $(Y_2 \rightarrow Y_1)$  can be matched with  $(E \rightarrow (c : D))$ .

$$a \cap b : \{X_1 : (E \rightarrow (c : D)), \quad X_1 : (Y_2 \rightarrow Y_1), \quad Y_1 : (c : X_3)\} \quad (5.20)$$

As we see above there are now two conditions that apply to the variable  $X_1$ . Before we move on and try to merge this set of conditions with one of the lines of  $c$  we will resolve the current conditions as far as possible.

Comparing the conditions on  $X_1$  we find that  $Y_2 : E$  and  $Y_1 : (c : D)$ . Since we have already a condition for  $Y_1$  that condition is now compared with the new we got from  $X_1$  and we will get  $X_3 : D$ . Thus all our variables are now configured:

$$\{X_1 : (E \rightarrow (c : D)), \quad X_3 : D, \quad Y_1 : (c : D), \quad Y_2 : E\} \quad (5.21)$$

As a consequence of merging line (5.12) from  $a$  with line (5.15) from  $b$  there is no choice left for the variable and the final result depends on finding a line from proof constant  $c$  that matches the value for  $X_3$  and as it happens this is the case for line (5.18).

### 5.4.2 Meaning of the Result

Since we found a valid configuration for the atom  $a_1$  (5.7)) we have shown that the formula  $f$  (5.1)) is provable. But at this point I would like to show what finding the  $X$ -variables has to do with showing the provability of  $f$ .

From our previous step we have a configuration for every variable. We are however only interested in the  $X$ -variables and do not care further about the  $Y$ -variables. So we know that  $X_1 = (E \rightarrow (c : D))$  and  $X_3 = D$ . If we replace that in the *musts* for all of the proof constants we get the following:

$$\begin{aligned} a_2 : \quad & [(a : ((E \rightarrow (c : D)) \rightarrow (c : F))), \\ & (b : ((c : D) \rightarrow (E \rightarrow (c : D)))), \\ & (c : D)] \end{aligned} \quad (5.22)$$

As can be seen these entries can all be found precisely like that in the cs-list. Also from those we can reconstruct the term of  $a_2$ :

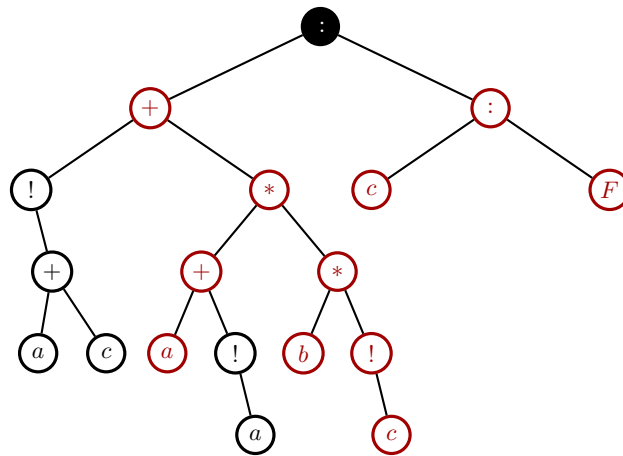
$$(c : D) \quad (5.23)$$

$$(!c) : (c : D) \quad (5.24)$$

$$(b * (!c)) : (E \rightarrow (c : D)) \quad (5.25)$$

$$(a * (b * (!c))) : (c : F) \quad (5.26)$$

And with (5.26)) for  $a_2$  we have again with what we started right after the atomization step in (5.5). In the graph below the path with the tree of the atom  $a_2$  is highlighted.



**Figure 5.2:** Syntax tree of formula  $f$  with atom  $a_2$  highlighted.

This concludes this chapter where I tried to show as much as possible with an example that is as short and simple as possible and still fits the purpose.

## Chapter 6

# Results

Todo

### 6.1 Application

Until very recently this step (merging and matchin) had a very different approach, which unfortunately proved to hold more than one mistake. Some could be fixed but one remained that could not be fixed with the original approach so after I though I would be done with coding I had to reimplement this whole part again. But in exchange it is no operating as it is supposed to.

### 6.2 Enhancement

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Microsoft Research. Z3, high performance theorem prover. URL <http://z3.codeplex.com/>.

Artis Paper.

Plato Stanford.



# Todo list

write last chapter before you summarize it. . . . .	2
Get who and make a proper quotation. . . . .	3
Use BNF package . . . . .	4
Caption . . . . .	6
Caption . . . . .	7
Caption . . . . .	7
Make this look more like the ones following below. . . . .	7
Todo . . . . .	27