



VERITY INVESTMENTS INC.

403(b) TRANSFER REQUEST

Participant Information

Name: _____ SSN: _____ DOB: _____

Mailing Address: _____

Employer Plan Sponsor: _____

Authorization for Transfer

Please attach an account statement if possible to ensure accuracy in processing.

Current Custodian: _____ Phone: _____

Mailing Address: _____

Liquidate / Surrender: ☐ Immediately ☐ On Specified Date: _____

Account / Policy #: _____ ☐ Full ☐ Partial: \$ _____ or _____ %

Required Distribution Information

Current Custodian - If required minimum distributions have begun, please complete:

Method of Distribution: ☐ Recalculation of Life Expectancy

☐ Elapsed Yrs: _____ Remaining Years

Has participant chosen Joint Life Expectancy with a Designated Beneficiary? Yes ☐ No ☐

If yes, please complete

the following:

Name of Beneficiary _____

Date of Birth _____

Spouse? ☐ Yes

☐ No

Assets transferred may not include any required minimum distributions under IRC Section 401(a)(9) for the current year.

Participant Signature: _____ Date: _____

Acceptance by Verity Investments, Inc.

Verity Investments, Inc. will accept -- under IRS Revenue Ruling 90-24 -- the transfer described above. Please liquidate, and transfer on a custodian-to-custodian basis all or part of the designated account as instructed above.

Verity Investments, Inc.

Authorized Signature: _____ Date: _____

Authorized Transfer Agent for the Employer

Payment / Forwarding Instructions

Please make checks payable to:

Verity Investments, Inc.
FBO 'Plan Participant'

Mail to:
(Please include a copy of this request)

Verity Investments, Inc.
3100 Tower Boulevard
808 University Tower
Durham, NC 27707

Phone: 800.247.6717
Fax: 919.489.8939
compliance@verityinvest.com



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Payment Allocation Instructions

For mutual funds, be certain to indicate the share class in addition to the full fund name.

Complete Fund Name

Acct. Number (leave blank if unknown)

_____	\$ _____	or _____	% _____
_____	\$ _____	or _____	% _____
_____	\$ _____	or _____	% _____
_____	\$ _____	or _____	% _____
_____	\$ _____	or _____	% _____
_____	\$ _____	or _____	% _____