

## **Artificial Intelligence**

**It** is the study of how to make computers do things which, at the moment, people do better. It leads **FOUR** important categories.

- i) Systems that think like humans
- ii) Systems that act like humans
- iii) Systems that think rationally
- iv) Systems that act rationally

### **Acting humanly:**

**The Turing Test approach:** To conduct this test, we need two people and the machine to be evaluated. One person plays the role of the interrogator, who is in a separate room from the computer and the other person. The interrogator can ask questions of either the person or the computer by typing questions and receiving typed responses. However, the interrogator knows them only as A and B and aims to determine which the person is and which is the machine. The goal of the machine is to fool the interrogator into believing that is the person. If the machine succeeds at this, then we will conclude that the machine is acting humanly. But programming a computer to pass the test provides plenty to work on, to possess the following capabilities.

### **Thinking Humanly:**

**The Cognitive modeling approach:** To construct a machine program to think like a human, first it requires the knowledge about the actual workings of human mind. After completing the study about human mind it is possible to express the theory as a computer program. If the program's input/output and timing behavior matches with the human behavior then we can say that the program's mechanism is working like a human mind.

**Example:** General Problem Solver (GPS)

### **Thinking rationally:**

**The laws of thought approach:** The right thinking introduced the concept of logic.

**Example:** Ram is a student of III year CSE. All students are good in III year in CSE. Ram is a good student

### **ACTING RATIONALLY:**

Acting rationally means, to achieve one's goal given one's beliefs. In the previous topic laws of thought approach, correct inference is selected, conclusion is derived, but the agent acts on the conclusion defined the task of acting rationally.

## **AGENT**

An **agent** is anything that can be viewed as **perceiving** its environment through **sensors** and **acting** upon that environment through **effectors**.

A **rational agent** is one that does the right thing.

We use the term **performance measure** for the *how*—the criteria that determine how MEASURE successful an agent is. Obviously, there is not one fixed measure suitable for all agents

**agent program:** a function that implements the agent mapping from percepts to actions. We assume this program will run on some sort of ARCHITECTURE( computing device) . Hence A Agent is a combination of Architecture and Program.

$$\text{agent} = \text{architecture} + \text{program}$$

**The Skeleton of an agent is**

**function** SKELETON-AGENT(*percept*) **returns** *action*

**static:** *memory*, the agent's memory of the world

*memory* <-UPDATE-MEMORY(*memory, percept*)

*action* <-CHOOSE-BEST-ACTION(*memory*)

*memory* <-UPDATE-MEMORY(*memory, action*)

**return** *action*

A Table driven Agent function:

**function** TABLE-DRIVEN-AGENT(*percept*) **returns** *action*

**static:** *percepts*, a sequence, initially empty

*table*, a table, indexed by percept sequences, initially fully specified

append *percept* to the end of *percepts*

*action* <-LOOKUP(*percepts, table*)

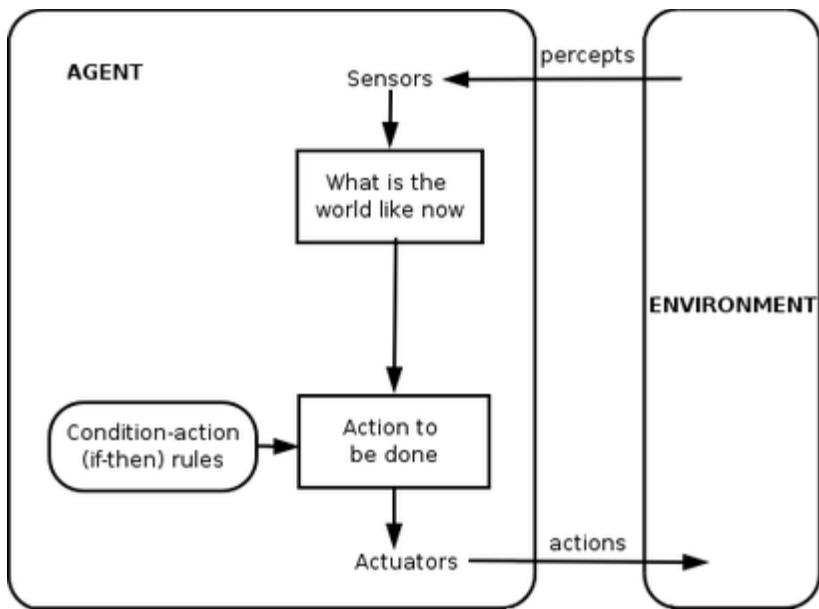
**return** *action*

Four types of agent program:

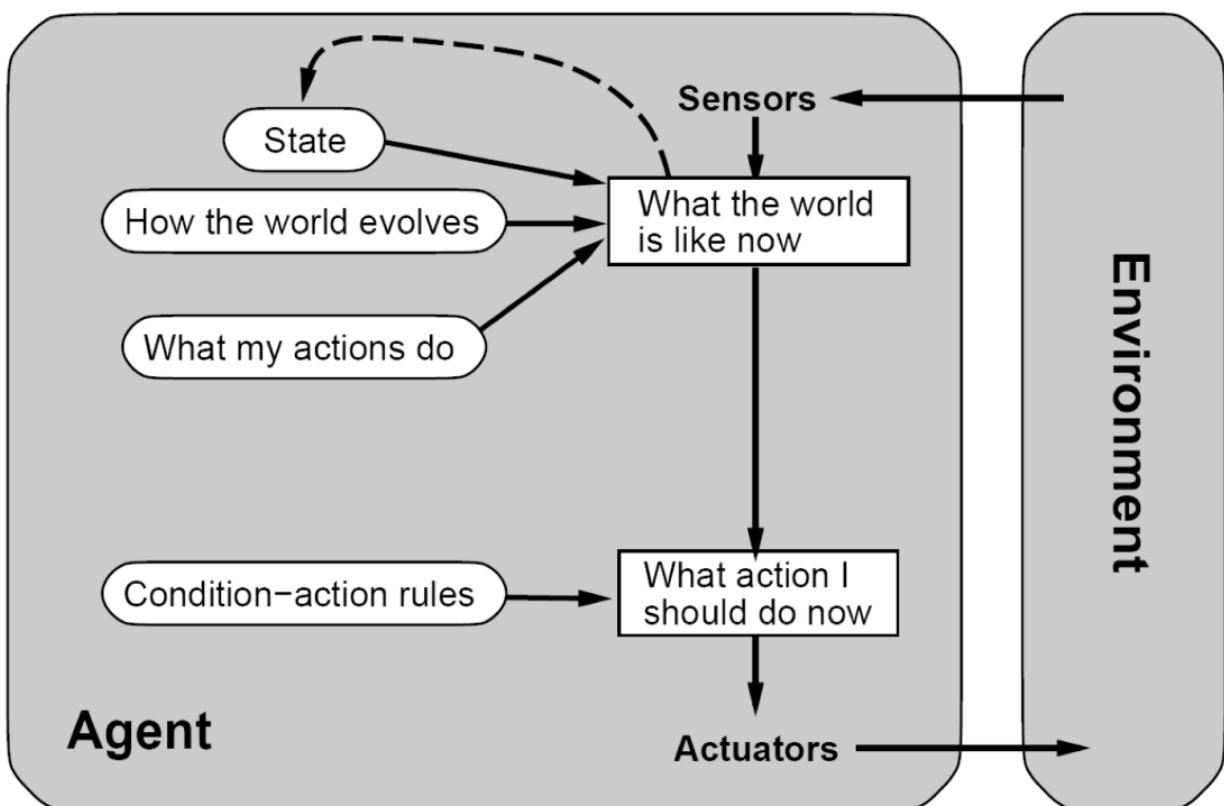
1. Simple reflex agents
2. Agents that keep track of the world
3. Goal-based agents
4. Utility-based agents

**Simple reflex agents:**

Structure of a simple reflex agent in schematic form, showing how the condition-action rules allow the agent to make the connection from percept to action.



```
function SIMPLE-REFLEX-AGENT(percept) returns action
static: rules, a set of condition-action rules
state <-INTERPRET-INPUT(percept)
rule <-RULE-MATCH(state, rules)
action <-RULE-ACTION[rule]
return action
```



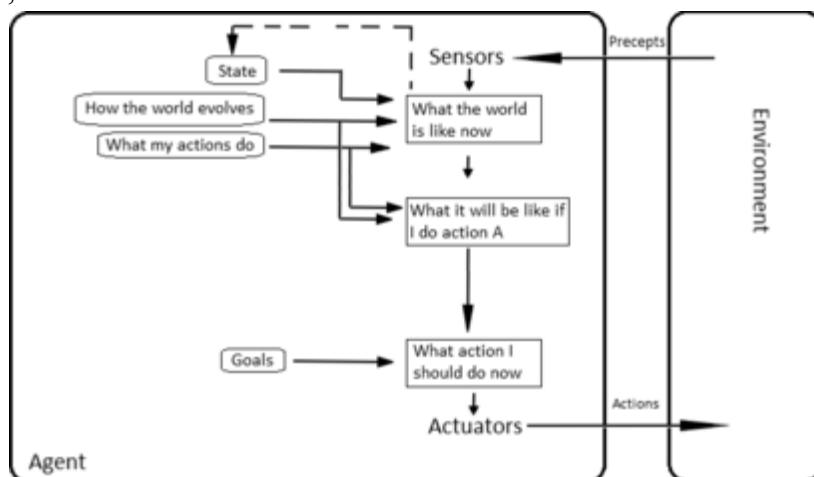
## 2. Agents that keep track of the world(Reflex Model)

The simple reflex agent described before will work only if the correct decision can be made on the basis of the current percept. In order to choose an action sometimes INTERNAL STATE will help to take good decision.

```
function REFLEX-AGENT-WITH-STATE(percept) returns action
static: state, a description of the current world state
rules, a set of condition-action rules
state <-UPDATE-STATE(state, percept)
rule <-RULE-MATCH(state, rules)
action <-RULE-ACTION[rule]
state <-UPDATE-STATE(state, action)
return action
```

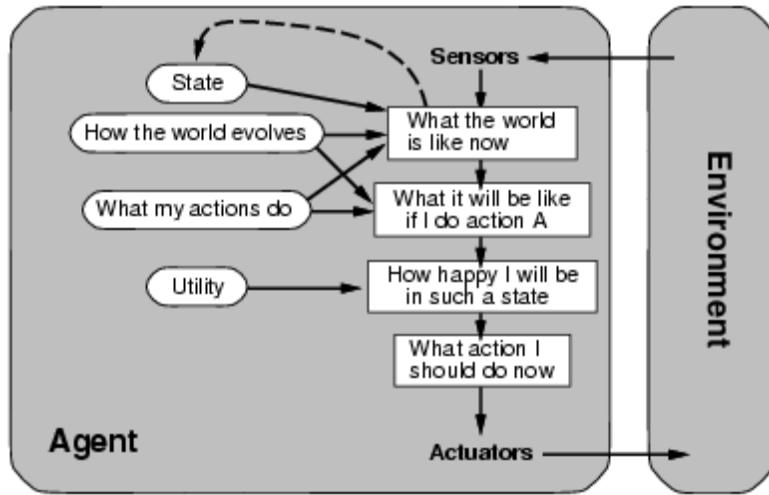
## 3. Goal-based agents

Knowing about the current state of the environment is not always enough to decide what to do. In other words, as well as a current state description, GOAL the agent needs some sort of **goal** information, which describes situations that are desirable.



## 4. Utility Based Model:

Goals alone are not really enough to generate high-quality behavior. For example, there are many action sequences that will get the taxi to its destination, thereby achieving the goal, but some are quicker, safer, more reliable, or cheaper than others. Goals just provide a crude distinction between “happy” and “unhappy” states, whereas a more general performance measure should allow a comparison of different world states. Then it has higher **utility** for the agent



### Search:

Formulate a problem as a state space search by showing the legal problem states, the legal operators, and the initial and goal states .

- A state is defined by the specification of the values of all attributes of interest in the world
- An operator changes one state into the other; it has a precondition which is the value of certain attributes prior to the application of the operator, and a set of effects, which are the attributes altered by the operator
- The initial state is where you start
- The goal state is the partial description of the solution

### State Space Search Notations

The set of notations involved in the state space search is :

- 1) An initial state is the description of the starting configuration of the agent
- 2) An action or an operator takes the agent from one state to another state which is called a successor state. A state can have a number of successor states.
- 3) A plan is a sequence of actions. The cost of a plan is referred to as the path cost.

### Problem formulation & Problem Definition

Problem formulation means choosing a relevant set of states to consider, and a feasible set of operators for moving from one state to another.

*Search* is the process of considering various possible sequences of operators applied to the initial state, and finding out a sequence which culminates in a goal state.

A search problem consists of the following:

- S: the full set of states
- $s_0$  : the initial state
- $A: S \rightarrow S$  is a set of operators
- G is the set of final states. Note that  $G \subseteq S$

The search problem is to find a sequence of actions which transforms the agent from the initial state to a goal state  $g \in G$ .

A simple search procedure is

Do until a solution is found or the state space is exhausted.

1. Check the current state
2. Execute allowable actions to find the successor states.
3. Pick one of the new states.
4. Check if the new state is a solution state

If it is not, the new state becomes the current state and the process is repeated

Basic Search Algorithm is

Let L be a list containing the initial state (L= the fringe)

Loop if L is empty return failure

Node <- select (L)

if Node is a goal

then return Node (the path from initial state to Node)

else generate all successors of Node, and merge the newly generated states into L

End Loop

Factors to measure the search Algorithms:

1. Complete
2. Optimal
3. What is the search cost associated with the time and memory required to find a solution?
  - a. Time complexity
  - b. Space complexity

The different search strategies that we will consider include the following:

1. Blind Search strategies or Uninformed search

- a. Depth first search
- b. Breadth first search
- c. Iterative deepening search
- d. Iterative broadening search

2. Informed Search

3. Constraint Satisfaction Search

Blind search:

Blind search or uninformed search that does not use any extra information about the problem domain. The two common methods of blind search are:

- BFS or Breadth First Search
- DFS or Depth First Search

Search Tree is helpful for the searching the goal node.

The terminologies involved in the search tree is

Root Node: The node from which the search starts.

Leaf Node: A node in the search tree having no children.

Ancestor/Descendant: X is an ancestor of Y is either X is Y's parent or X is an ancestor of the parent of Y. If S is an ancestor of Y, Y is said to be a descendant of X.

Branching factor: the maximum number of children of a non-leaf node in the search tree

Path: A path in the search tree is a complete path if it begins with the start node and ends with a goal node. Otherwise it is a partial path.

## Breadth First Search

Let *Queue* be a list containing the initial state

Loop if *Queue* is empty return failure Node remove-first (*fringe*)

if Node is a goal

then return the path from initial state to Node

else generate all successors of Node, and

(merge the newly generated nodes into *fringe*)

add generated nodes to the back of *Queue*

End Loop

Advantages of Breadth First Search

Finds the path of minimal length to the goal.

Disadvantages of Breadth First Search

Requires the generation and storage of a tree whose size is exponential the the depth of the shallowest goal node

## Depth First Search

Let *Queue* be a list containing the initial state

Loop if *Queue* is empty return failure

Node<-remove-first (*fringe*)

if Node is a goal

then return the path from initial state to Node

else generate all successors of Node,

and merge the newly generated nodes into *Queue*

add generated nodes to the front of *Queue*

End Loop

Depth Limited Search

Let *fringe* be a list containing the initial state

Loop if *fringe* is empty return failure

Node<-remove-first (*fringe*)

if Node is a goal

then return the path from initial state to Node

else if depth of Node = limit return cutoff

else add generated nodes to the front of fringe

End Loop

Depth-First Iterative Deepening (DFID)

*until solution found do*

*DFS with depth cutoff c*

$c = c + 1$

A\* algorithm.

$f(n) = g(n) + h(n)$

where  $g(n)$  = sum of edge costs from start to n

$h(n)$  = estimate of lowest cost path from

$f(n)$  = actual distan

$h(n)$  is said to be admissible if it underestimates the cost reached from  $n$ .

If  $C^*(n)$  is the cost of the cheapest

$h(n) \leq C^*(n)$ . we can prove that if  $h(n)$  is admissible, then the search will find an optimal solution.

### **A\* Algorithm:**

OPEN = nodes on frontier.

CLOSED = expanded nodes.

OPEN={<S,NIL>} while OPEN is not empty place  $<n,p>$

*REMOVE FROM OPEN NODE<n,p>with minimum f(n)*

if  $n$  is a goal node,

return success (path p)

for each edge connecting n & M with cost c

if  $<m, q>$  is on CLOSED and  $\{p/e\}$  is cheaper than  $q$

then remove  $n$  from CLOSED,

put  $<m,\{p/e\}>$  on OPEN

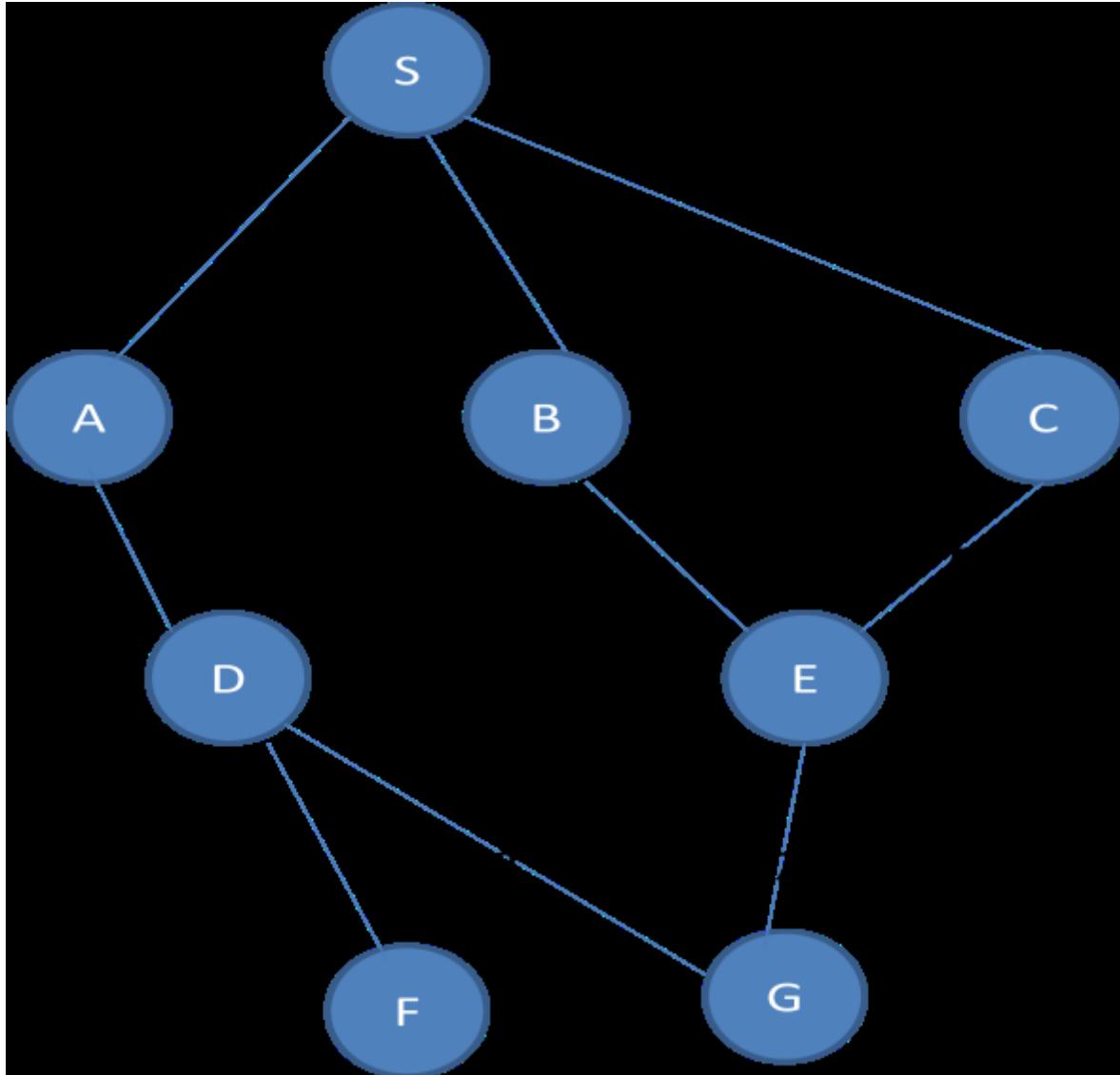
else if  $<m,q>$  is on OPEN and  $\{p/e\}$  is cheaper than  $q$

then replace  $q$  with  $\{p/e\}$

else if  $m$  is not on OPEN

then put  $<m,\{p/e\}>$  on OPEN

return failure



Here the Starting node is S and the goal node is represented as G. Our aim is to reach the goal node G by tracing out the algorithm described

***Status of OPEN and CLOSED list after each step:***

NOTE: OPEN list and CLOSED list will be abbreviated as OL and CL from henceforth.

Whenever a node enters the OL, the value of its parent node and its total cost from the starting node S will be included within simple braces().

*Step 1:*

OL: S ( NULL ; 0) CL: (NULL)

*Step 2:*

OL: A (S,1) , B(S,3) , C(S,10) CL:S

*Step 3:*

OL: B(S,3), C( S,10), D(A,6) CL: S,A

*Step 4:*

OL: C(S,10), D(A,6), E(B,7) CL: S,A,B

*Step 5:*

OL: D(A,6), E(B,7) CL: S,A,B,C

*Step 6:*

OL: E(B,7), F(D,8) CL: S,A,B,C,D

*Step 7:*

OL: F(D,8),G(E,14) CL: S,A,B,C,D,E

*Step 8:*

OL: G(E,14) CL: S,A,B,C,D,E,F

*Step 9:*

OL: -- CL: S,A,B,C,D,E,F,

**Constraint satisfaction problems** or **CSPs** are mathematical problems where one must find states or objects that satisfy a number of *constraints* or criteria. A constraint is a restriction of the feasible solutions in an optimization problem.

A **Constraint Satisfaction Problem** (CSP) is characterized by:

a set of variables  $\{x_1, x_2, \dots, x_n\}$ ,

for each variable  $x_i$  a *domain*  $D_i$  with the possible values for that variable, and

a set of *constraints*, i.e. relations, that are assumed to hold between the values of the variables. [These relations can be given intentionally, i.e. as a formula, or extensionally, i.e. as a set, or procedurally, i.e. with an appropriate generating or recognising function.] We will only consider constraints involving one or two variables.

The constraint satisfaction problem is to find, for each  $i$  from 1 to  $n$ , a value in  $D_i$  for  $x_i$  so that all constraints are satisfied.

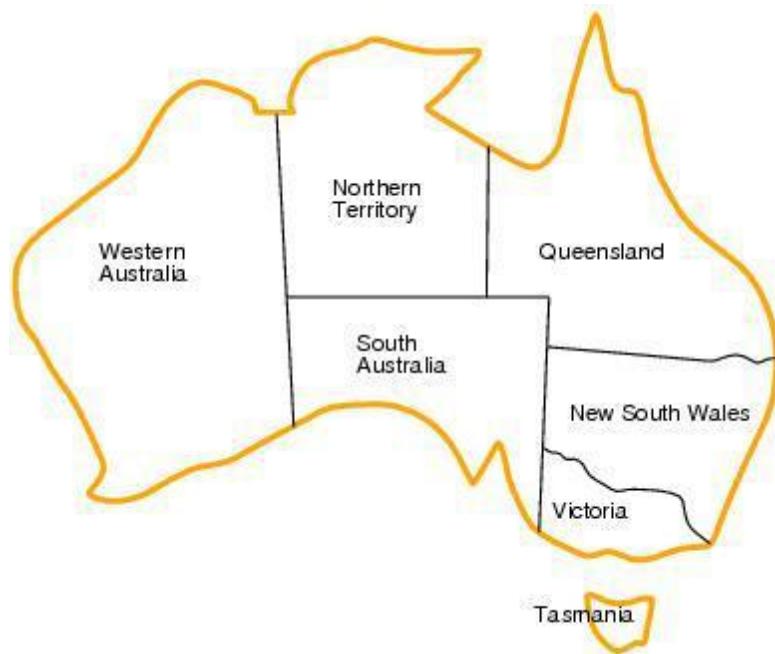
A CSP can easily be stated as a sentence in first order logic, of the form:

$(\exists x_1) \dots (\exists x_n) (D_1(x_1) \wedge \dots \wedge D_n(x_n) \Rightarrow C_1 \wedge \dots \wedge C_m)$

- What is a CSP?
  - Finite set of variables  $V_1, V_2, \dots, V_n$
  - Nonempty domain of possible values for each variable  $DV_1, DV_2, \dots, DV_n$
  - Finite set of constraints  $C_1, C_2, \dots, C_m$
  - Each constraint  $C_i$  limits the values that variables can take,
    - e.g.,  $V_1 \neq V_2$
    - A *state* is defined as an *assignment* of values to some or all variables.
      - *Consistent assignment*
      - assignment does not violate the constraints
    - CSP benefits
    - Standard representation pattern

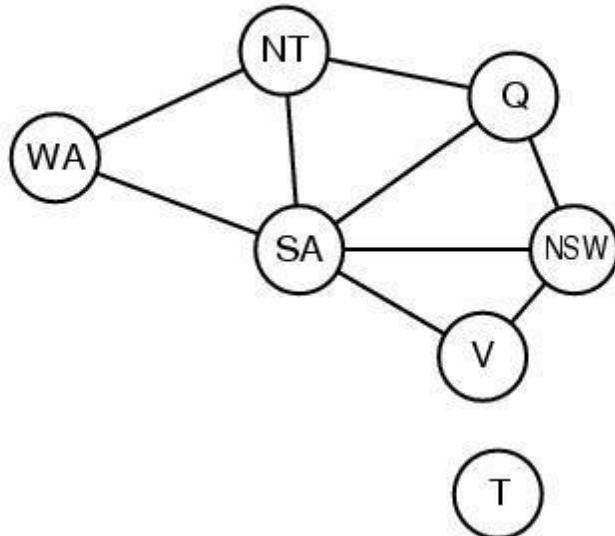
- Generic goal and successor functions
- Generic heuristics (no domain specific expertise).
- An assignment is *complete* when every variable is mentioned.
- A *solution* to a CSP is a complete assignment that satisfies all constraints.

Some CSPs require a solution that maximizes an *objective function*



Variables:  $WA, NT, Q, NSW, V, SA, T$

- Domains:  $Di=\{red,green,blue\}$
- Constraints: adjacent regions must have different colors.
- E.g.  $WA \text{---} NT$
- Solutions are assignments satisfying all constraints, e.g.  
 $\{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green\}$
- Constraint graph:
- nodes are variables
- arcs are binary constraints



- Graph can be used to simplify search

e.g. Tasmania is an independent subproblem

#### Types Of Variables:

Discrete variables

- Finite domains; size  $d$   $O(dn)$  complete assignments.
- E.g. Boolean CSPs: Boolean satisfiability (NP-complete).
- Infinite domains (integers, strings, etc.)
- E.g. job scheduling, variables are start/end days for each job








Continuous variables

- e.g. building an airline schedule or class schedule.

– Linear constraints solvable in polynomial time by LP methods.

Unary constraints involve a single variable.

- e.g. *SA green*

Binary constraints involve pairs of variables.

- e.g. *SA WA*

*CSP as SEARCH:*

- A CSP can easily be expressed as a standard search problem.
- Incremental formulation
  - *Initial State*: the empty assignment {}
  - *Successor function*: Assign a value to any unassigned variable provided that it does not violate a constraint
  - *Goal test*: the current assignment is complete
  - *Path cost*: constant cost for every step

*CSP By BackTracking :*

- Similar to Depth-first search
- Chooses values for one variable at a time and backtracks when a variable has no legal values left to assign.

• Uninformed algorithm **function** BACKTRACKING-SEARCH(*csp*) **return** a solution or failure

**return** RECURSIVE-BACKTRACKING({} , *csp*)

**function** RECURSIVE-BACKTRACKING(*assignment*, *csp*) **return** a solution or failure

**if** *assignment* is complete **then return** *assignment*

**var** SELECT-UNASSIGNED-VARIABLE(VARIABLES[*csp*],*assignment*,*csp*)

**for each** *value* **in** ORDER-DOMAIN-VALUES(*var*, *assignment*, *csp*) **do**

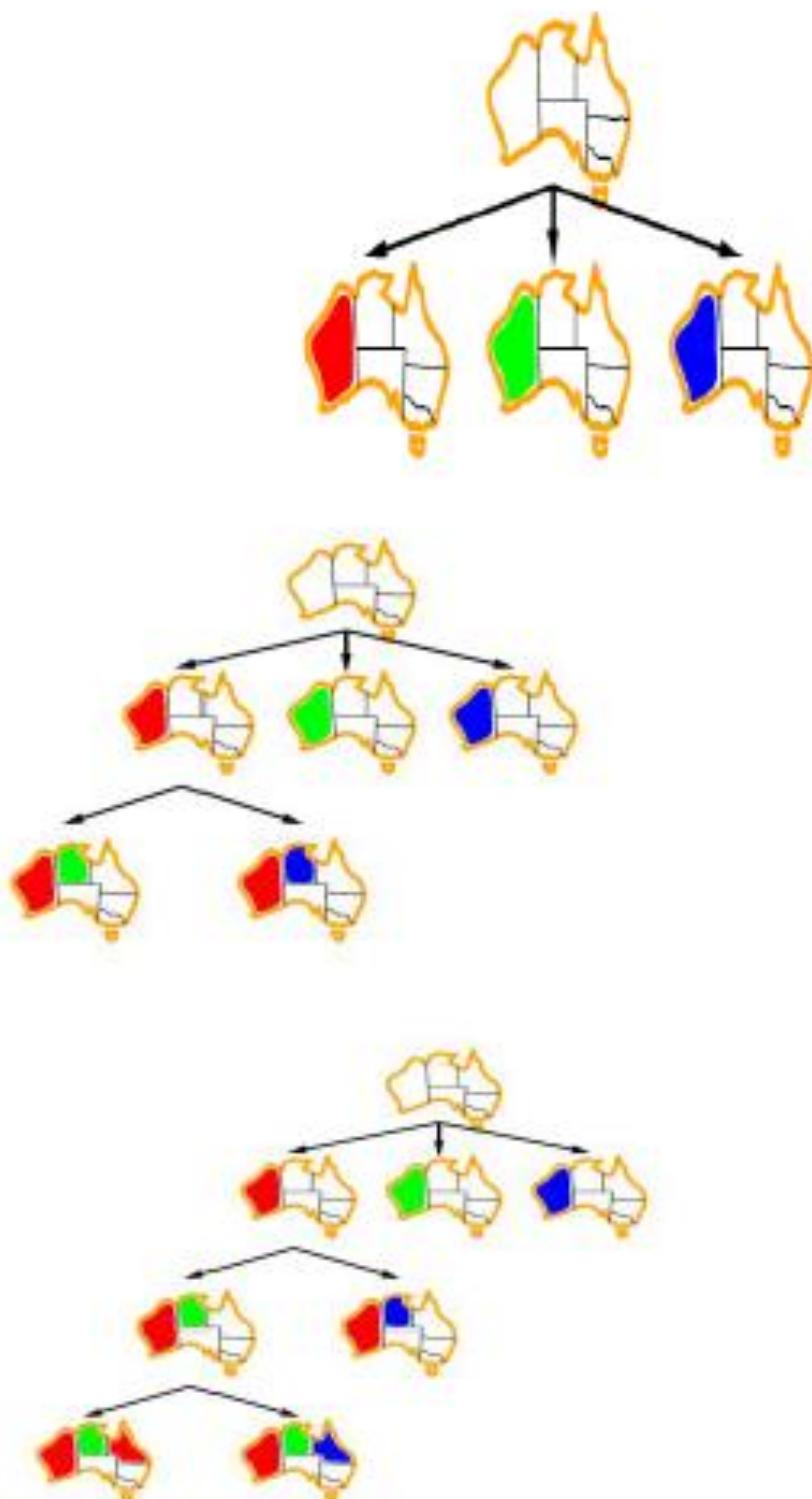
**if** *value* is consistent with *assignment* according to CONSTRAINTS[*csp*] **then** add {*var*=*value*} to *assignment*

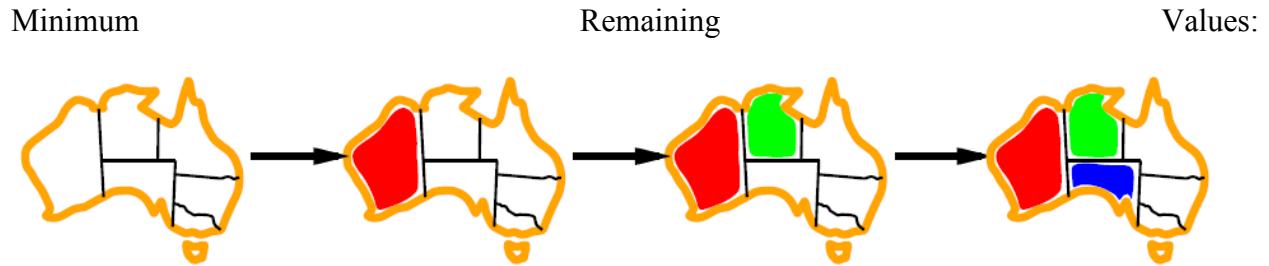
**result** RRECURSIVE-BACTRACKING(*assignment*, *csp*)

**if** *result* failure **then return** *result*

remove {*var*=*value*} from *assignment*

**return** *failure*

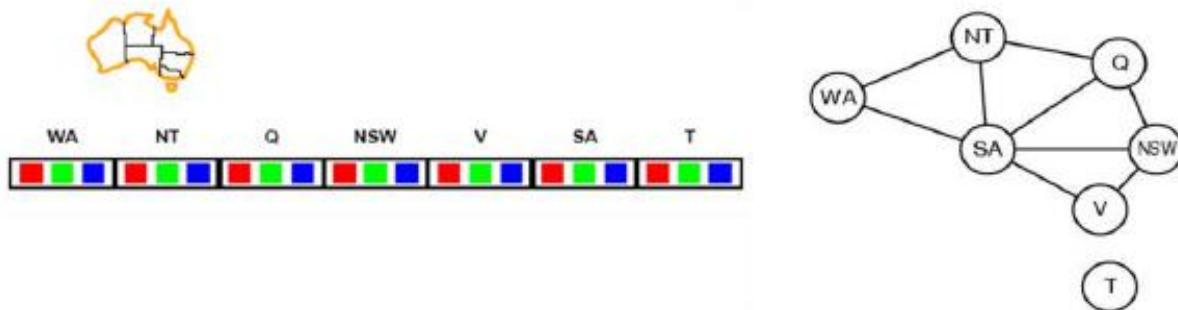




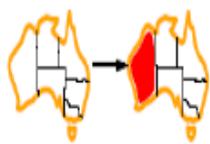
*var* SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp],assignment,csp)

- A.k.a. most constrained variable heuristic
- *Heuristic Rule*: choose variable with the fewest legal moves
  - e.g., will immediately detect failure if X has no legal values

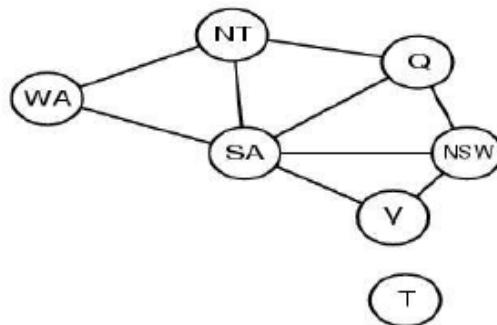
### Forward Checking:



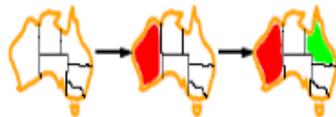
- Can we detect inevitable failure early?
  - And avoid it later?
- *Forward checking idea*: keep track of remaining legal values for unassigned variables.
- Terminate search when any variable has no legal values.



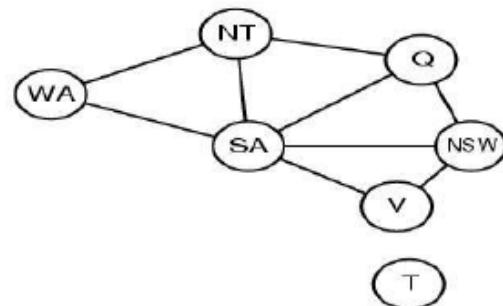
WA	NT	Q	NSW	V	SA	T
Red	Green	Blue	Green	Blue	Green	Blue
Red	Green	Blue	Green	Blue	Green	Blue

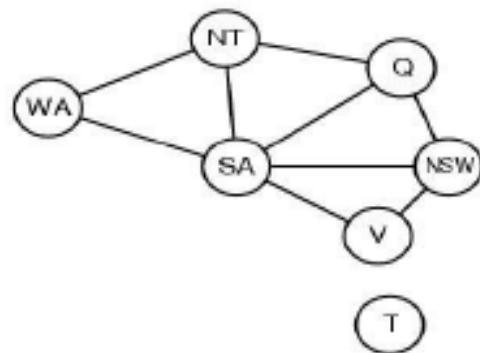
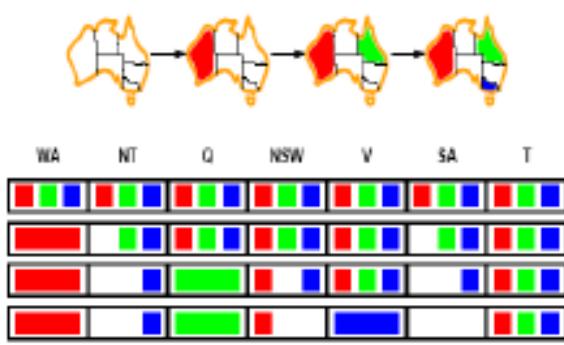


- Assign  $\{WA=\text{red}\}$
- Effects on other variables connected by constraints to WA
  - $NT$  can no longer be red
  - $SA$  can no longer be red



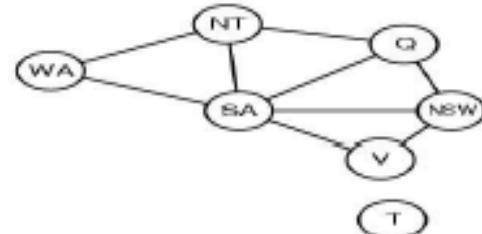
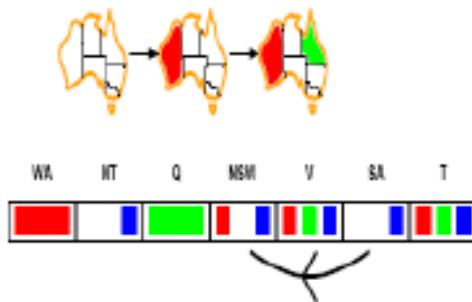
WA	NT	Q	NSW	V	SA	T
Red	Yellow	Blue	Green	Blue	Green	Blue
Red	Yellow	Blue	Green	Blue	Green	Blue
Red	Yellow	Blue	Green	Blue	Green	Blue





- If  $V$  is assigned *blue*
- Effects on other variables connected by constraints with  $WA$ 
  - $NSW$  can no longer be *blue*
  - $SA$  is empty
  - FC has detected that partial assignment is *inconsistent* with the constraints and backtracking can occur.

Arc Consistency:



- An Arc  $X \rightarrow Y$  is consistent if
  - for every value  $x$  of  $X$  there is some value  $y$  consistent with  $x$

(note that this is a directed property)
- Consider state of search after  $WA$  and  $Q$  are assigned:  
 $SA \rightarrow NSW$  is consistent if

## REPRESENTATION OF KNOWLEDGE

Game playing - Knowledge representation, Knowledge representation using Predicate logic, Introduction to predicate calculus, Resolution, Use of predicate calculus, Knowledge representation using other logic-Structured representation of knowledge.

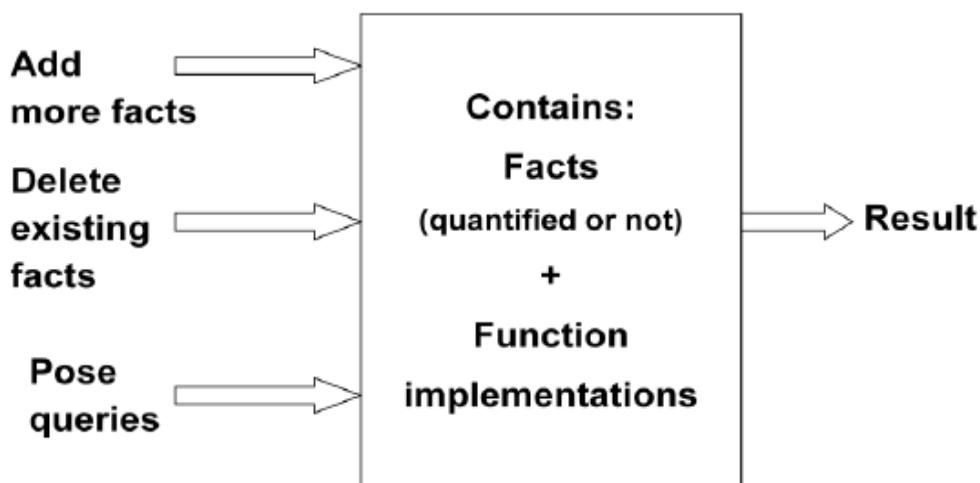
### **Knowledge Representation (KR)**

Given the world

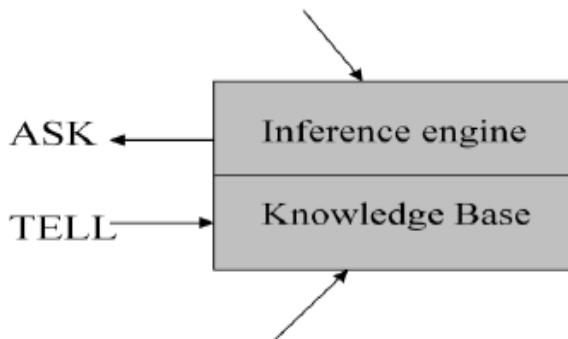
- Express the general facts or beliefs using a language
- Determine what else we should (not) believe

Logic consists of

- A language
  - which tells us how to build up sentences in the language (i.e., *syntax*), and what the sentences mean (i.e., *semantics*)
- An inference procedure
  - which tells us which sentences are valid inferences from other sentences



## Domain independent algorithms



```

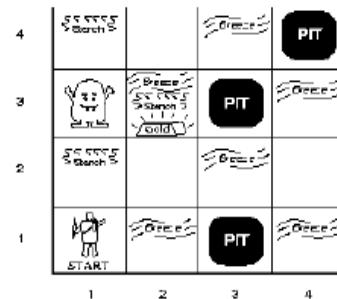
function KB-AGENT(percept) returns an action
  static: KB, a knowledge base
    t, a counter, initially 0, indicating time
  TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
  action  $\leftarrow$  ASK(KB, MAKE-ACTION-QUERY(t))
  TELL(KB, MAKE-ACTION-SENTENCE(action, t))
  t  $\leftarrow$  t + 1
  return action
  
```

Wumpus World Problem:

Percepts Breeze, Glitter, Smell

Actions Left turn, Right turn,  
Forward, Grab, Release, Shoot

Goals Get gold back to start  
without entering pit or wumpus square



### Environment

Squares adjacent to wumpus are smelly

Squares adjacent to pit are breezy

Glitter if and only if gold is in the same square

Shooting kills the wumpus if you are facing it

Shooting uses up the only arrow

Grabbing picks up the gold if in the same square

Releasing drops the gold in the same square

Characteristics of Wumpus World:

- Deterministic? Yes – outcome exactly specified.
- Accessible? No – only local perception.
- Static? Yes – Wumpus and pits do not move.
- Discrete? Yes
- Episodic? (Yes) – because static.

Logics are formal languages for representing information such that conclusions can be drawn

Syntax defines the sentences in the language

Semantics define the “meaning” of sentences;  
i.e., define truth of a sentence in a world

E.g., the language of arithmetic

$x + 2 \geq y$  is a sentence;  $x2 + y >$  is not a sentence

$x + 2 \geq y$  is true iff the number  $x + 2$  is no less than the number  $y$

$x + 2 \geq y$  is true in a world where  $x = 7, y = 1$

$x + 2 \geq y$  is false in a world where  $x = 0, y = 6$

## Propositional logic

The symbols of propositional calculus are

The propositional symbols:

P, Q, R, S, ...

The truth symbols:

true, false

and connectives:

$\wedge$ ,  $\vee$ ,  $\neg$ ,  $\rightarrow$ ,  $\equiv$

Every propositional symbol and truth symbol is a *sentence*.

Examples: true, P, Q, R.

The *negation* of a sentence is a sentence.

Examples:  $\neg P$ ,  $\neg$  false.

The *conjunction*, or *and*, of two sentences is a sentence.

Example:  $P \wedge \neg P$

The *disjunction*, or *or*, of two sentences is a sentence.

Example:  $P \vee \neg P$

The *implication* of one sentence from another is a sentence.

Example:  $P \rightarrow Q$

The *equivalence* of two sentences is a sentence.

Example:  $P \vee Q \equiv R$

Legal sentences are also called well-formed formulas or *WFFs*.

An *interpretation* of a set of propositions is the assignment of a truth value, either T or F to each propositional symbol.

The symbol true is always assigned T, and the symbol false is assigned F.

The truth assignment of *negation*,  $\neg P$ , where  $P$  is any propositional symbol, is  $F$  if the assignment to  $P$  is  $T$ , and is  $T$  if the assignment to  $P$  is  $F$ .

The truth assignment of *conjunction*,  $\wedge$ , is  $T$  only when both conjuncts have truth value  $T$ ; otherwise it is  $F$ .

Other approaches to inference use syntactic operations on sentences, often expressed in standardized forms

Conjunctive Normal Form (CNF—universal)  
conjunction of disjunctions of literals  
clauses

E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$

Disjunctive Normal Form (DNF—universal)  
disjunction of conjunctions of literals  
terms

E.g.,  $(A \wedge B) \vee (A \wedge \neg C) \vee (A \wedge \neg D) \vee (\neg B \wedge \neg C) \vee (\neg B \wedge \neg D)$

Horn Form (restricted)

*conjunction of Horn clauses* (clauses with  $\leq 1$  positive literal)

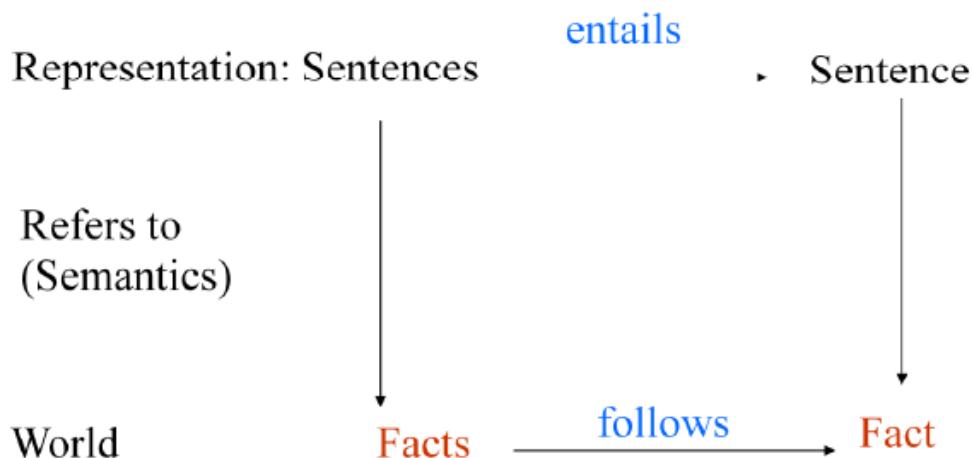
E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$

Often written as set of implications:

$B \Rightarrow A$  and  $(C \wedge D) \Rightarrow B$

**Entailment:** $\text{KB} \models \text{Sen1}$ 

The knowledge Base “KB” entails a Sentence “sen1” if and only if sen1 is true in the domain where the KB is true.

**CNF :**

Other approaches to inference use syntactic operations on sentences, often expressed in standardized forms

**Conjunctive Normal Form (CNF—universal)**

*conjunction of disjunctions of literals clauses*

E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$

**Disjunctive Normal Form (DNF—universal)**

*disjunction of conjunctions of literals terms*

E.g.,  $(A \wedge B) \vee (A \wedge \neg C) \vee (A \wedge \neg D) \vee (\neg B \wedge \neg C) \vee (\neg B \wedge \neg D)$

**Horn Form (restricted)**

*conjunction of Horn clauses (clauses with  $\leq 1$  positive literal)*

E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$

Often written as set of implications:

$B \Rightarrow A$  and  $(C \wedge D) \Rightarrow B$

◊ **Modus Ponens or Implication-Elimination:** (From an implication and the premise of the implication, you can infer the conclusion.)

$$\frac{\alpha \Rightarrow \beta, \quad \alpha}{\beta}$$

◊ **And-Elimination:** (From a conjunction, you can infer any of the conjuncts.)

$$\frac{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}{\alpha_i}$$

◊ **And-Introduction:** (From a list of sentences, you can infer their conjunction.)

$$\frac{\alpha_1, \alpha_2, \dots, \alpha_n}{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}$$

◊ **Or-Introduction:** (From a sentence, you can infer its disjunction with anything else at all.)

$$\frac{\alpha_i}{\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n}$$

◊ **Double-Negation Elimination:** (From a doubly negated sentence, you can infer a positive sentence.)

$$\frac{\neg\neg\alpha}{\alpha}$$

◊ **Unit Resolution:** (From a disjunction, if one of the disjuncts is false, then you can infer the other one is true.)

$$\frac{\alpha \vee \beta, \quad \neg\beta}{\alpha} \quad \text{[Unit]}$$

◊ **Resolution:** (This is the most difficult. Because  $\beta$  cannot be both true and false, one of the other disjuncts must be true in one of the premises. Or equivalently, implication is transitive.)

$$\frac{\alpha \vee \beta, \quad \neg\beta \vee \gamma}{\alpha \vee \gamma} \quad \text{or equivalently} \quad \frac{\neg\alpha \Rightarrow \beta, \quad \beta \Rightarrow \gamma}{\neg\alpha \Rightarrow \gamma}$$

## Resolution

- Resolution is a sound and complete inference procedure
- Reminder: Resolution rule for propositional logic:
  - $P_1 \vee P_2 \vee \dots \vee P_n$
  - $\neg P_1 \vee Q_2 \vee \dots \vee Q_m$
  - Resolvent:  $P_2 \vee \dots \vee P_n \vee Q_2 \vee \dots \vee Q_m$
- Examples
  - $P$  and  $\neg P \vee Q$  : derive  $Q$  (Modus Ponens)
  - $(\neg P \vee Q)$  and  $(\neg Q \vee R)$  : derive  $\neg P \vee R$
  - $P$  and  $\neg P$  : derive False [contradiction!]
  - $(P \vee Q)$  and  $(\neg P \vee \neg Q)$  : derive True

```

function PL-RESOLUTION( $KB, \alpha$ ) returns true or false
  clauses  $\leftarrow$  the set of clauses in the CNF representation of  $KB \wedge \neg \alpha$ 
  new  $\leftarrow \{ \}$ 
  loop do
    for each  $C_i, C_j$  in clauses do
      resolvents  $\leftarrow$  PL-RESOLVE( $C_i, C_j$ )
      if resolvents contains the empty clause then return true
      new  $\leftarrow$  new  $\cup$  resolvents
    if new  $\subseteq$  clauses then return false
    clauses  $\leftarrow$  clauses  $\cup$  new
  
```

**FOL:**

Whereas propositional logic assumes the world contains facts, first-order logic (like natural language) assumes the world contains

Objects: people, houses, numbers, colors, baseball games, war

Relations: red, round, prime, brother of, bigger than, part of, comes between,

Syntax of FOL: Basic elements

- Constants      John, 2, DIT,
- Predicates     Brother,  $>$ ,
- Functions      Sqrt, LeftLegOf,
- Variables      x, y, a, b,
- Connectives     $\neg, \Rightarrow, \wedge, \vee, \Leftrightarrow$
- Equality         $=$
- Quantifiers     $\forall, \exists$

**Atomic sentences:**

Atomic sentence =  $\text{predicate} (\text{term}_1, \dots, \text{term}_n)$     or  $\text{term}_1 = \text{term}_2$

Term            =       $\text{function} (\text{term}_1, \dots, \text{term}_n)$     or *constant or variable*

Example:

*Brother(John, RichardTheLionheart)  $>$  (Length(LeftLegOf(Richard)), Length(LeftLegOf(John)))*

**Complex sentences:**

Complex sentences are made from atomic sentences using connectives

$\neg S, S_1 \wedge S_2, S_1 \vee S_2, S_1 \Rightarrow S_2, S_1 \Leftrightarrow S_2,$

Example:

*Sibling(John, Richard)  $\Rightarrow$  Sibling(Richard, John)*

$\geq(1,2) \vee \leq(1,2)$

$\geq(1,2) \wedge \neg \geq(1,2)$

**Universal quantification:**

- $\forall <\text{variables}> <\text{sentence}>$

Everyone at SVCET is smart:

$$\forall x \text{At}(x, \text{SVCET}) \Rightarrow \text{Smart}(x)$$

$\forall x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being each possible object in the model

**Existential quantification:**

- $\exists <\text{variables}> <\text{sentence}>$

Someone at SVCET is smart:

$$\exists x \text{At}(x, \text{SVCET}) \wedge \text{Smart}(x)$$

$\exists x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being some possible object in the model

**Quantifier duality:**

each can be expressed using the other

$$\forall x \text{Likes}(x, \text{IceCream}) \quad \text{is same as} \quad \neg \exists x \neg \text{Likes}(x, \text{IceCream})$$

$$\exists x \text{Likes}(x, \text{Coffee}) \quad \text{is same as} \quad \neg \forall x \neg \text{Likes}(x, \text{Coffee})$$

**Equality:**

- $term_1 = term_2$  is true under a given interpretation if and only if  $term_1$  and  $term_2$  refer to the same object

Example definition of *Sibling* in terms of *Parent*:

$$\forall x,y \text{ Sibling}(x,y) \Leftrightarrow [\neg(x = y) \wedge \exists m,f \neg(m = f) \wedge \text{Parent}(m,x) \wedge \text{Parent}(f,x) \wedge \text{Parent}(m,y) \wedge \text{Parent}(f,y)]$$

The kinship domain Using FOL:

- Brothers are siblings  
 $\forall x,y \text{ Brother}(x,y) \Leftrightarrow \text{Sibling}(x,y)$
- One's mother is one's female parent  
 $\forall m,c \text{ Mother}(c) = m \Leftrightarrow (\text{Female}(m) \wedge \text{Parent}(m,c))$
- "Sibling" is symmetric  
 $\forall x,y \text{ Sibling}(x,y) \Leftrightarrow \text{Sibling}(y,x)$

**Knowledge engineering in FOL**

1. Identify the task
2. Assemble the relevant knowledge
3. Decide on a vocabulary of predicates, functions, and constants
4. Encode general knowledge about the domain
5. Encode a description of the specific problem instance
6. Pose queries to the inference procedure and get answers
7. Debug the knowledge base

**HORN CLAUSE:**

- A Horn clause is a sentence of the form:  
 $(\forall x) P_1(x) \wedge P_2(x) \wedge \dots \wedge P_n(x) \rightarrow Q(x)$

John likes to eat everything.

$$\forall X \text{ food}(X) \rightarrow \text{likes}(\text{john},X)$$

John likes at least one dish Jane likes.

$$\exists F \text{ food}(F) \wedge \text{likes}(\text{jane}, F) \wedge \text{likes}(\text{john}, F)$$

Everybody likes some food.

$$\forall X \exists F \text{ food}(F) \wedge \text{likes}(X,F)$$

There is a food that everyone likes.

$$\exists F \forall X \text{ food}(F) \wedge \text{likes}(X,F)$$

Whenever someone eats a spicy dish, they're happy.

$$\forall X \exists F \text{ food}(F) \wedge \text{spicy}(F) \wedge \text{eats}(X,F) \rightarrow \text{happy}(X)$$

John's meals are spicy.

$$\forall X \text{ meal-of}(John,X) \rightarrow \text{spicy}(X)$$

Every city has a dogcatcher who has been bitten by every dog in town.

$$\forall T \exists C \forall D \text{ city}(C) \rightarrow (\text{dogcatcher}(C,T) \wedge (\text{dog}(D) \wedge \text{lives-in}(D,T) \rightarrow \text{bit}(D,C)))$$

For every set x, there is a set y, such that the cardinality of y is greater than the cardinality of x.

$$\forall X \exists Y \exists U \exists V \text{ set}(X) \rightarrow (\text{set}(Y) \wedge \text{cardinality}(X,U) \wedge \text{cardinality}(Y,V) \wedge \text{greater-than}(V,U))$$

### Generalized Modus Ponens:

$$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$$

---


$$\underline{p_1', p_2', \dots, p_n', (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}$$

$$\text{Subst}(\theta, q)$$

Example:

$p_1'$ is <i>King(John)</i>	$p_1$ is <i>King(x)</i>
$p_2'$ is <i>Greedy(y)</i>	$p_2$ is <i>Greedy(x)</i>
$\theta$ is $\{x/John, y/John\}$	$q$ is <i>Evil(x)</i>
$\text{Subst}(\theta, q)$ is <i>Evil(John)</i>	

- Implicit assumption that all variables universally quantified
- GMP is sound  
Only derives sentences that are logically entailed
- GMP is complete for a KB consisting of definite clauses  
Complete: derives all sentences that entailed
- Definite clause: disjunction of literals of which exactly 1 is positive,  
e.g.,  $\text{King}(x) \text{ AND } \text{Greedy}(x) \rightarrow \text{Evil}(x)$   
 $\text{NOT}(\text{King}(x)) \text{ OR } \text{NOT}(\text{Greedy}(x)) \text{ OR } \text{Evil}(x)$

- Forward-chaining
  - Uses GMP to add new atomic sentences
  - Useful for systems that make inferences as information streams in
  - Requires KB to be in form of first-order definite clauses
- Backward-chaining
  - Works backwards from a query to try to construct a proof
  - Can suffer from repeated states and incompleteness
  - Useful for query-driven inference
- Resolution-based inference (FOL)
  - Refutation-complete for general KB
    - Can be used to confirm or refute a sentence  $p$  (but not to generate all entailed sentences)
  - Requires FOL KB to be reduced to CNF
  - Uses generalized version of propositional inference rule

### Unification:

- Unification is a “**pattern-matching**” procedure
  - Takes two atomic sentences, called literals, as input
  - Returns “Failure” if they do not match and a substitution list,  $\theta$ , if they do
- That is,  $\text{unify}(p, q) = \theta$  means  $\text{subst}(\theta, p) = \text{subst}(\theta, q)$  for two atomic sentences,  $p$  and  $q$
- $\theta$  is called the **most general unifier** (mgu)
- All variables in the given two literals are implicitly universally quantified
- To make literals match, replace (universally quantified) variables by terms

### Unification Algorithm:

```

procedure unify(p, q, θ)
    Scan p and q left-to-right and find the first corresponding terms where p and q
    “disagree” (i.e., p and q not equal)
    If there is no disagreement, return θ (success!)
        Let r and s be the terms in p and q, respectively, where disagreement first occurs
        If variable(r) then {
            Let θ = union(θ, {r/s})
            Return unify(subst(θ, p), subst(θ, q), θ)
        }
        else if variable(s) then {
            Let θ = union(θ, {s/r})
            Return unify(subst(θ, p), subst(θ, q), θ)
        }
        else return “Failure”
    end

```

## Forward Chaining Algorithm

```

function FOL-FC-ASK( $KB, \alpha$ ) returns a substitution or false
  repeat until  $new$  is empty
     $new \leftarrow \{\}$ 
    for each sentence  $r$  in  $KB$  do
       $(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)$ 
      for each  $\theta$  such that  $(p_1 \wedge \dots \wedge p_n)\theta = (p'_1 \wedge \dots \wedge p'_n)\theta$ 
        for some  $p'_1, \dots, p'_n$  in  $KB$ 
         $q' \leftarrow \text{SUBST}(\theta, q)$ 
        if  $q'$  is not a renaming of a sentence already in  $KB$  or  $new$  then do
          add  $q'$  to  $new$ 
           $\phi \leftarrow \text{UNIFY}(q', \alpha)$ 
          if  $\phi$  is not fail then return  $\phi$ 
        add  $new$  to  $KB$ 
    return false
  
```

### EXAMPLE :

- The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

it is a crime for an American to sell weapons to hostile nations:

$$\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x,y,z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$

Nono ... has some missiles,

i.e.,  $\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$ :  
 $\text{Owns}(\text{Nono}, M_1) \text{ and } \text{Missile}(M_1)$

All of its missiles were sold to it by Colonel West

$$\text{Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono})$$

Missiles are weapons:

$$\text{Missile}(x) \Rightarrow \text{Weapon}(x)$$

An enemy of America counts as "hostile":

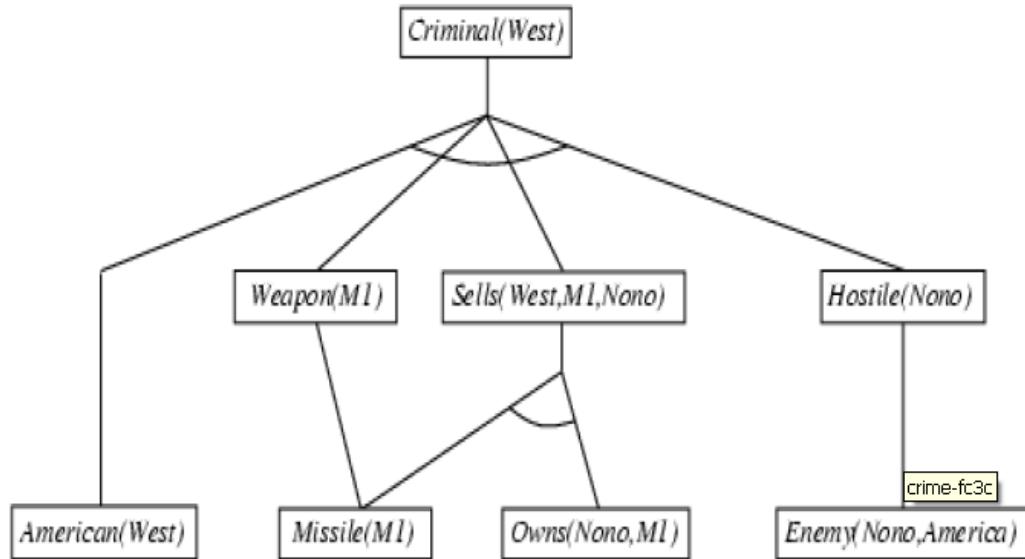
$$\text{Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$$

West, who is American ...

$$\text{American}(\text{West})$$

The country Nono, an enemy of America ...

$$\text{Enemy}(\text{Nono}, \text{America})$$



### **Backward chaining:**

- Backward-chaining deduction using GMP is also complete for KBs containing only Horn clauses
- Proofs start with the goal query, find rules with that conclusion, and then prove each of the antecedents in the implication
- Keep going until you reach premises
- Avoid loops: check if new subgoal is already on the goal stack
- Avoid repeated work: check if new subgoal
  - Has already been proved true
  - Has already failed

it is a crime for an American to sell weapons to hostile nations:

$$\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x,y,z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$

Nono ... has some missiles,

$$\exists x \text{ Owns}(\text{Nono},x) \wedge \text{Missile}(x)$$

*Owes(Nono,M1) and Missle(M1)*

all of its missiles were sold to it by Colonel West

$$\text{Missile}(x) \wedge \text{Owes}(\text{Nono},x) \Rightarrow \text{Sells}(\text{West},x,\text{Nono})$$

Missiles are weapons:

$$\text{Missile}(x) \Rightarrow \text{Weapon}(x)$$

An enemy of America counts as "hostile":

$$\text{Enemy}(x,\text{America}) \Rightarrow \text{Hostile}(x)$$

West, who is American  
*American(West)*

## The country Nono, an enemy of America ... *Enemy(Nono,America)*

Can be converted to CNF

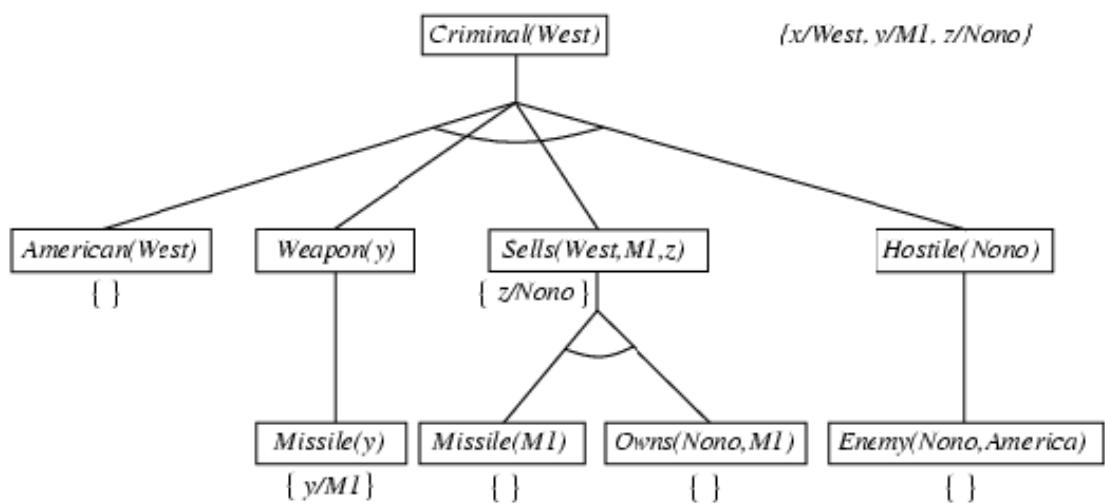
Query: Criminal(West)?

## First Order Logic: (FOL)

```

function FOL-BC-ASK( $KB, goals, \theta$ ) returns a set of substitutions
  inputs:  $KB$ , a knowledge base
             $goals$ , a list of conjuncts forming a query
             $\theta$ , the current substitution, initially the empty substitution  $\{ \}$ 
  local variables:  $ans$ , a set of substitutions, initially empty
  if  $goals$  is empty then return  $\{\theta\}$ 
   $q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(goals))$ 
  for each  $r$  in  $KB$  where STANDARDIZE-APART( $r$ ) =  $(p_1 \wedge \dots \wedge p_n \Rightarrow q)$ 
    and  $\theta' \leftarrow \text{UNIFY}(q, q')$  succeeds
     $ans \leftarrow \text{FOL-BC-ASK}(KB, [p_1, \dots, p_n | REST(goals)], \text{COMPOSE}(\theta, \theta')) \cup ans$ 
  return  $ans$ 

```



### Resolution in first-order logic

- Given sentences
 
$$P_1 \vee \dots \vee P_n$$

$$Q_1 \vee \dots \vee Q_m$$
- in *conjunctive normal form*:
  - each  $P_i$  and  $Q_i$  is a literal, i.e., a positive or negated predicate symbol with its terms,
- if  $P_j$  and  $\neg Q_k$  unify with substitution list  $\theta$ , then derive the resolvent sentence
- $\text{subst}(\theta, P_1 \vee \dots \vee P_{j-1} \vee P_{j+1} \dots P_n \vee Q_1 \vee \dots Q_{k-1} \vee Q_{k+1} \vee \dots \vee Q_m)$

#### Example

- |                    |  |
|--------------------|--|
| – from clause      | $P(x, f(a)) \vee P(x, f(y)) \vee Q(y)$ |
| – and clause       | $\neg P(z, f(a)) \vee \neg Q(z)$       |
| – derive resolvent | $P(z, f(y)) \vee Q(y) \vee \neg Q(z)$  |
| – using            | $\theta = \{x/z\}$                     |

#### Converting sentences to CNF

1. Eliminate all  $\leftrightarrow$  connectives

$$(P \leftrightarrow Q) \Rightarrow ((P \rightarrow Q) \wedge (Q \rightarrow P))$$

2. Eliminate all  $\rightarrow$  connectives

$$(P \rightarrow Q) \Rightarrow (\neg P \vee Q)$$

3. Reduce the scope of each negation symbol to a single predicate

$$\begin{aligned}\neg \neg P &\Rightarrow P \\ \neg(P \vee Q) &\Rightarrow \neg P \wedge \neg Q \\ \neg(P \wedge Q) &\Rightarrow \neg P \vee \neg Q \\ \neg(\forall x)P &\Rightarrow (\exists x)\neg P \\ \neg(\exists x)P &\Rightarrow (\forall x)\neg P\end{aligned}$$

4. Standardize variables: rename all variables so that each quantifier has its own unique variable name

#### Converting sentences to clausal form Skolem constants and functions

5. Eliminate existential quantification by introducing Skolem constants/functions

$$(\exists x)P(x) \Rightarrow P(c)$$

c is a Skolem constant (a brand-new constant symbol that is not used in any other sentence)

$$(\forall x)(\exists y)P(x,y) \Rightarrow (\forall x)P(x, f(x))$$

since  $\exists$  is within the scope of a universally quantified variable, use a Skolem function f to construct a new value that depends on the universally quantified variable

f must be a brand-new function name not occurring in any other sentence in the KB.

E.g.,  $(\forall x)(\exists y)\text{loves}(x,y) \Rightarrow (\forall x)\text{loves}(x,f(x))$

In this case, f(x) specifies the person that x loves

6. Remove universal quantifiers by (1) moving them all to the left end; (2) making the scope of each the entire sentence; and (3) dropping the “prefix” part

Ex:  $(\forall x)P(x) \Rightarrow P(x)$

7. Put into conjunctive normal form (conjunction of disjunctions) using distributive and associative laws

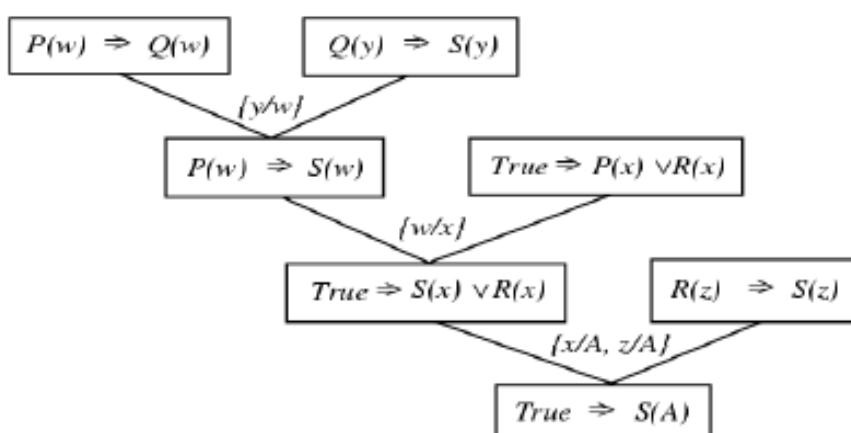
$(P \wedge Q) \vee R \Rightarrow (P \vee R) \wedge (Q \vee R)$

$(P \vee Q) \vee R \Rightarrow (P \vee Q \vee R)$

8. Split conjuncts into separate clauses

9. Standardize variables so each clause contains only variable names that do not occur in any other clause

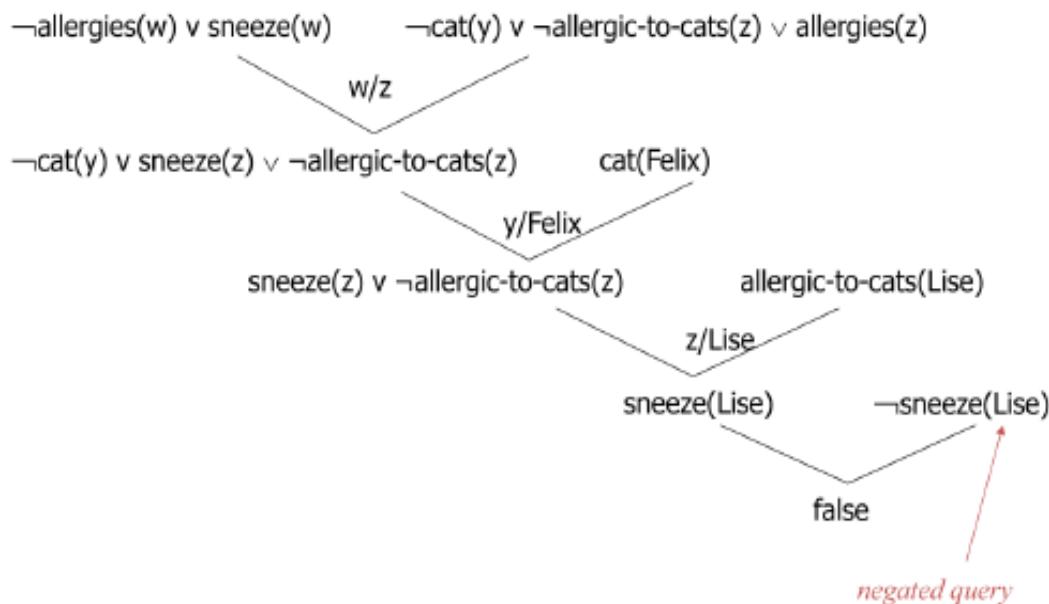
#### Resolution Proof



#### Resolution by refutation:

- Given a consistent set of axioms KB and goal sentence Q, show that  $\text{KB} \models Q$
- Proof by contradiction: Add  $\neg Q$  to KB and try to prove false.  
i.e.,  $(\text{KB} \vdash Q) \leftrightarrow (\text{KB} \vee \neg Q \vdash \text{False})$
- Resolution is refutation complete: it can establish that a given sentence Q is entailed by KB, but can't (in general) be used to generate all logical consequences of a set of sentences
- Also, it cannot be used to prove that Q is not entailed by KB.
- Resolution won't always give an answer since entailment is only semidecidable  
And you can't just run two proofs in parallel, one trying to prove Q and the other trying to prove  $\neg Q$ , since KB might not entail either one

### Refutation resolution proof tree



- How to convert FOL sentences to conjunctive normal form (a.k.a. CNF, clause form): normalization and skolemization
- How to unify two argument lists, i.e., how to find their most general unifier (mgu) q: unification
- How to determine which two clauses in KB should be resolved next (among all resolvable pairs of clauses) : resolution (search) strategy

it is a crime for an American to sell weapons to hostile nations:

$$\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x,y,z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$

Nono ... has some missiles,

$$\exists x \text{ Owns}(\text{Nono},x) \wedge \text{Missile}(x)$$

*Owes(Nono,M<sub>1</sub>) and Missile(M<sub>1</sub>)*

all of its missiles were sold to it by Colonel West

$$\text{Missile}(x) \wedge \text{Owns}(\text{Nono},x) \Rightarrow \text{Sells}(\text{West},x,\text{Nono})$$

Missiles are weapons:

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An enemy of America counts as "hostile":

$$\text{Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$$

West, who is American

$$\text{American}(\text{West})$$

The country Nono, an enemy of America ...

$$\text{Enemy}(\text{Nono}, \text{America})$$

Can be converted to CNF

Query: Criminal(West)?



## STRUCTURED REPRESENTATION OF KNOWLEDGE

Representing knowledge using logical formalism, like predicate logic, has several advantages. They can be combined with powerful inference mechanisms like resolution, which makes reasoning with facts easy. But using logical formalism complex structures of the world, objects and their relationships, events, sequences of events etc. can not be described easily.

A good system for the representation of structured knowledge in a particular domain should possess the following four properties:

- (i) **Representational Adequacy**:- The ability to represent all kinds of knowledge that are needed in that domain.
- (ii) **Inferential Adequacy** :- The ability to manipulate the represented structure and infer new structures.
- (iii) **Inferential Efficiency**:- The ability to incorporate additional information into the knowledge structure that will aid the inference mechanisms.
- (iv) **Acquisitional Efficiency** :- The ability to acquire new information easily, either by direct insertion or by program control.

The techniques that have been developed in AI systems to accomplish these objectives fall under two categories:

1. **Declarative Methods**:- In these knowledge is represented as static collection of facts which are manipulated by general procedures. Here the facts need to be stored only once and they can be used in any number of ways. Facts can be easily added to declarative systems without changing the general procedures.
2. **Procedural Method**:- In these knowledge is represented as procedures. Default reasoning and probabilistic reasoning are examples of procedural methods. In these, heuristic knowledge of “How to do things efficiently” can be easily represented.

In practice most of the knowledge representation employ a combination of both. Most of the knowledge representation structures have been developed to handle programs that handle natural

language input. One of the reasons that knowledge structures are so important is that they provide a way to represent information about commonly occurring patterns of things . such descriptions are sometimes called schema. One definition of schema is

“Schema refers to an active organization of the past reactions, or of past experience, which must always be supposed to be operating in any well adapted organic response”.

By using schemas, people as well as programs can exploit the fact that the real world is not random. There are several types of schemas that have proved useful in AI programs. They include

- (i) **Frames:-** Used to describe a collection of attributes that a given object
  - a. possesses (eg: description of a chair).
- (ii) **Scripts:-** Used to describe common sequence of events
  - (eg:- a restaurant scene).
- (iii) **Stereotypes :-** Used to described characteristics of people.
- (iv) **Rule models:-** Used to describe common features shared among a set of rules in a production system.

Frames and scripts are used very extensively in a variety of AI programs. Before selecting any specific knowledge representation structure, the following issues have to be considered.

- (i) The basis properties of objects , if any, which are common to every problem domain must be identified and handled appropriately.
- (ii) The entire knowledge should be represented as a good set of primitives.
- (iii) Mechanisms must be devised to access relevant parts in a large knowledge base.

## Planning

The task of coming up with a sequence of action to achieve a goal is called Planning

It consists of

States - Conjunction of Literals

Action - It depends on

- Pre Condition

- Effects

Two Types of Planning

Classical Planning

Non Classical Planning

Classical Planning : The environment which are fully observable, deterministic, finite, static & discrete

Non Classical Planning : The environment which is Partially observable or stochastic environment

The straight forward approach is state space search. Description of action in Planning Problem specify both preconditions & effects but in state space it is possible to search in either forward from the initial state or backward from the goal. Explicit goal & action representation to drive heuristic automatically

#### Forward & Backward Search

##### Forward Search

Planning with Forward State space search called Progression Planning

- The initial state of the Search is the initial state from the Planning Problem

In general, each state will be a set of positive ground literals. literals which are not appearing are False.

- (ii) The actions that are applicable to a state are all those whose preconditions are satisfied
- (iii) The Goal test checks whether the state satisfies the goal of the Planning Problem
- (iv) The step cost of each action is typically 1. Although it would be easy to allow different costs for different action
  - \* Forward Search does not address the irrelevant action problem

Algorithm

Forward Search

Progress (state, goal, Action, Path)

if state satisfies goal

return Path;

else a = choose (Action)

Preconditions (a) satisfied in state

if no such a, then return false

else return

Progress (apply (a, state), goals,

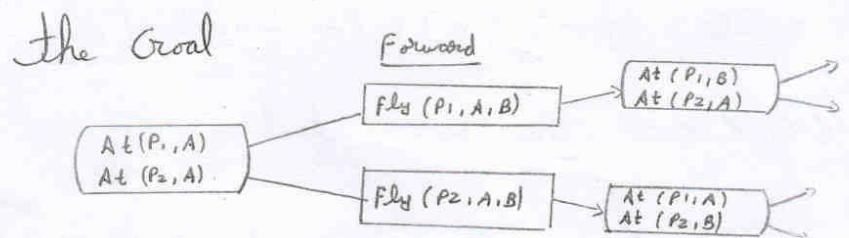
actions Concatenate (Path, a)

Backward Search

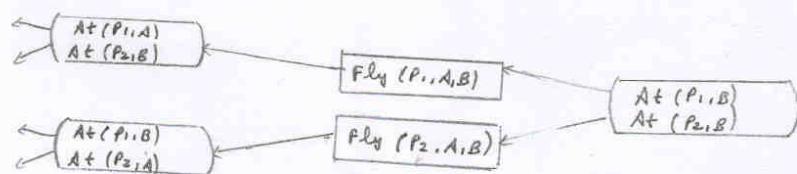
Backward Search can be difficult  
to implement when the Goal States  
are described by a set of constraints

rather than being listed explicitly.

The advantage of backward search is to consider only relevant action. An action is relevant to a conjunctive goal if it achieves one of the conjunction of the goal



Backward



Algorithm for Backward.

Regress (IS, Current Goal, Actions, Path)

if IS satisfies current goals, then  
return Path

else  $a = \text{choose action}$  s.t Some effects of  
a satisfies one of current goal

if no such a, then return failure

if some effect of a contradicts some current  
goal;

then return failure

$$CG' = CG - \text{efforts}[a] + \text{Pre Condition}[a]$$

if  $CG < CG'$  then return failure

Reg ws (IS, CG', action, concatenate(a, Path))

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## GRAPHPLAN:

3.7

The graphplan algorithm repeatedly adds a level to a planning graph with EXPAND-GRAPH. Once all the goals show up as non-mutual in the graph, Graphplan calls Extract-Solution to search for a plan that solves the problem. If that fails, it expands another level and tries again, terminating with failure when there is no reason to go on.

## Algorithm:

```
function GRAPHPLAN(problem) returns solution or failure
    graph <- Initial-Planning-Graph(problem)
    goals <- Conjecture(problem.Goal)
    for tL=0 to oo do
        if goals all non-mutual in St of graph then
            solution <- Extract-Solution(graph, goals, Numlevels
                (graph), nogoods)
            if solution ≠ failure then return solution
            if graph and nogoods have both level off
            then return failure
            graph <- Expand-Graph(graph, problem)
```

## Example:

```
Init (Tire(Flat) ∧ Tire(Space) ∧ AT(Flat, Axle) ∧ AT(Space, Trunk))
Goal (AT(Space, Axle))
Action (Remove(obj, loc),
       PRECOND: AT(obj, loc)
       EFFECT: ¬ AT(obj, loc) ∧ AT(obj, Ground))
Action (PutOn(t, Axle),
       PRECOND: Tire(t) ∧ AT(t, Ground) ∧ ¬ AT(Flat, Axle)
       EFFECT: ¬ AT(t, Ground) ∧ AT(t, Axle))
Action (LeaveOvernight,
       PRECOND:
       EFFECT: ¬ AT(Space, Ground) ∧ ¬ AT(Space, Axle) ∧
              ¬ AT(Space, Trunk) ∧ ¬ AT(Flat, Ground) ∧
              ¬ AT(Flat, Axle) ∧ ¬ AT(Flat, Trunk))
```

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In the above problem we have the full component of interactions, it is worthwhile to look at some of the examples of mutex relations and their causes:

#### (i) Inconsistent Effects:

Remove(Spare, Trunk) is mutex with leave(Overnight) because one has effect At(Spare, Ground) and other has its negation.

#### (ii) Interference:

Remove(Flat, Axle) is mutex with leave(Overnight) because one has the precondition At(Flat, Axle) and other

#### (iii) Competing Needs:

PutOn(Spare, Axle) is mutex with Remove(Flat, Axle) because one has At(Flat, Axle) as a precondition and other has its negation.

#### (iv) Inconsistent Support:

At(Spare, Axle) is mutex with At(Flat, Axle) because only way of achieving At(Spare, Axle) is by PutOn(Spare, Axle) and that is mutex with the persistence action that is the only way of achieving At(Flat, Axle). Thus mutex relations detect immediate conflict that arises from trying to put two objects in same place at same time.

#### Extract Solution:

We can formulate extract solution as a Boolean constraint satisfaction problem (CSP) where variables are actions at each levels, the values are in or out of the plan and the constraints are the mutexes and the need to satisfy each goal and precondition.

Alternatively we can define Extract-Solution as a backward search problem, where each state in search contains a pointer to a level in planning graph and a set of unsatisfied goals.

### Termination of graphplan:

Graphplan will in fact terminate and return failure when there is no solution. We can terminate with failure because there is no possibility of a subsequent change that could add a solution.

The properties are:

(i) Literals increase monotonically:

Once a literal appears at a given level, it will appear at all subsequent levels. This is because of the persistence actions; once a literal shows up, persistence action cause it to stay forever.

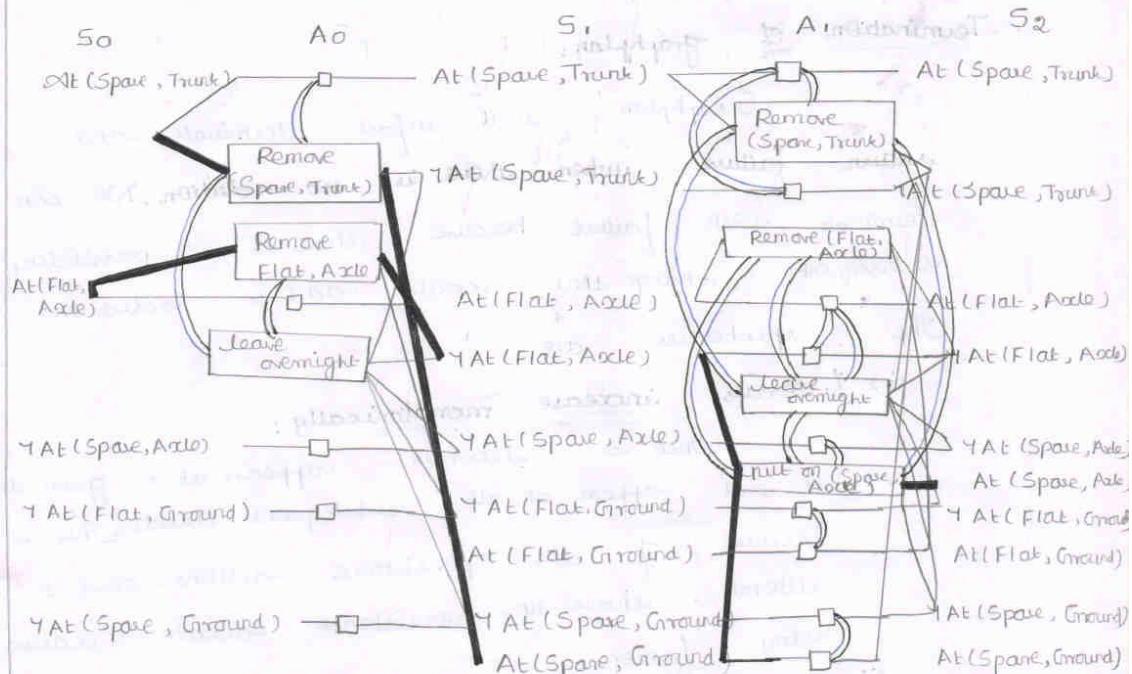
(ii) Actions increase monotonically:

Once an action appears at a given level, it will appear at all subsequent levels. This is a consequence of monotonic increase of literals.

(iii) Mutens decrease monotonically:

If two actions are muten at given level A<sub>i</sub>, then they will also be muten for all previous levels at which they both appear.

The following diagram describes about the planning graph for space tire problem after expansion to level  $S_2$ .



- Mutex lines are shown as ~~bold~~ lines.
- Solution is indicated by **Bold lines & outlines**.

### Planning graph for chemistry estimation:

A planning graph, once constructed is a rich source of information about problem. First if any goal literal fails to appear in final level of graph then problem is unsolvable.

Second we can estimate cost of achieving any goal literal  $g_i$  from states as the level at which  $g_i$  first appears in planning graph constructed from initial state  $s$ . We call this as level cost of  $g_i$ .

### Approaches:

The following are the three approaches to estimate the cost of a conjunction of goals.

- Max level
- Level sum
- Set-level

#### (i) Max level:

The max-level heuristic simply takes maximum level cost of any of goals, this is admissible but not necessarily accurate.

#### (ii) Level Sum:

In level sum heuristic, the following subgoals are to be independent assumption, returns the sum of level cost of goals, this can be inadmissible but works well in practice for problems that are largely decomposable.

#### (iii) Set level:

The set-level heuristic finds the levels at which all literals in the conjunction goal appear in the planning graph without any pair of them being mutually exclusive.

## Hierarchical Task Networks (or) HTN Planning :-

⇒ In Hierarchical Task Networks (or) HTN planning ; it has some primitive actions. The following are the primitive actions in HTN planning.

- \* Full Observability and Determinism
- \* Availability of set of actions
- \* Standard precondition - effect Schemas.

⇒ The Hierarchical Decomposition is implemented in this planning, is to reduce the complexity .

⇒ At each level of hierarchy, a computational task is reduced to a small number of activities at the next lower level .

⇒ The computational task of arranging these activities is low .

⇒ Hierarchical Task Network (HTN) planning uses a refinement of actions through decomposition

### Types of HTN Planning :-

There are two types of HTN planning - They are,

- \* Pure HTN Planning .
- \* Hybrid HTN Planning .

### Hierarchical Planning :-

- ⇒ Hierarchical Planning is a plan at higher level of abstraction
- ⇒ Here, the planning can occur both before and during the execution of plan.
- ⇒ In Hierarchical Planning, particular action will remain at an abstract level prior to the execution phase.
- ⇒ In Hierarchical Planning, we concentrate on the aspect of Hierarchical Decomposition.

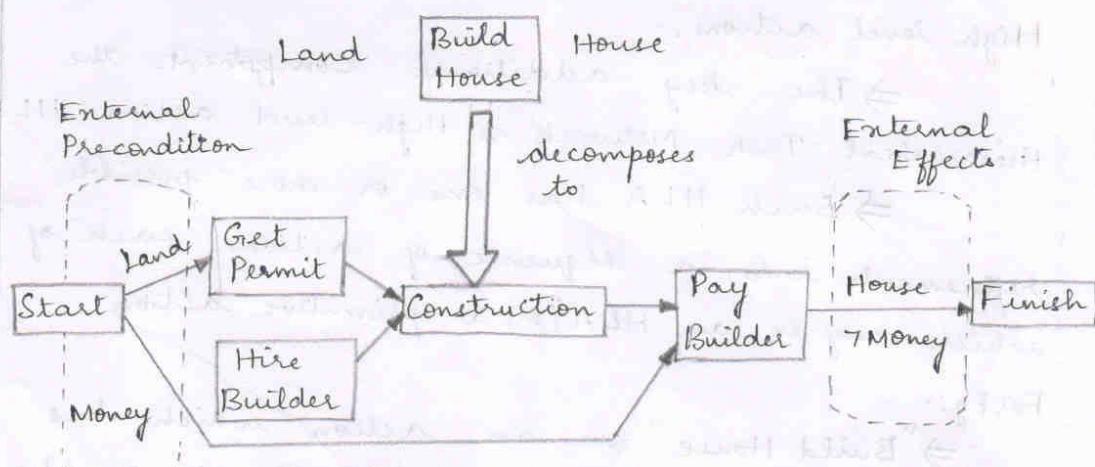
### Hierarchical Decomposition :-

- ⇒ Hierarchical Decomposition is an idea that pervades almost all attempts to manage complexity.
- Eg:- armies operate on hierarchy of units, complex software is created from a hierarchy of subroutines or object classes.
- ⇒ The basic formalism we adopt to understand hierarchical Decomposition comes from the area of Hierarchical Task Networks (HTN) planning.

Start action supplies all  
preconditions of actions,  
not supplied by other actions } = External  
Preconditions

Finish action has all effects  
of actions not present in  
other actions } = External  
Effects

### Example: "Building a House"



Action (BuyLand, PRECOND: Money, EFFECT: Land ∧ ~ Money)

Action (GetLoan, PRECOND: Goodcredit, EFFECT: Money ∧ Mortgage)

Action (BuildHouse, PRECOND: Land, EFFECT: House)

Action (GetPermit, PRECOND: Land, EFFECT: Permit)

Action (HireBuilder, PRECOND: Contract)

Action (Construction, PRECOND: Permit ∧ Contract, EFFECT:  
House Built ∧ ~ Permit)

Action (Pay Builder, PRECOND : Money  $\wedge$  House Built,  
EFFECT :  $\neg$  Money  $\wedge$  House  $\wedge$   $\neg$  (Contract))

Decompose (Build House, Plan ::  
STEPS { S1 : Get Permit, S2 : Hire Builder, S3 : Construction,  
S4 : Pay Builder } )

ORDERINGS : { Start  $<$  S1  $<$  S3  $<$  S4  $<$  Finish, Start  $<$  S2  $<$  S3 }

LINKS { Start  $\xrightarrow{\text{Land}}$  S1, Start  $\xrightarrow{\text{Money}}$  S4,  
S1  $\xrightarrow{\text{Permit}}$  S3, S2  $\xrightarrow{\text{Contract}}$  S3, S3  $\xrightarrow{\text{House Built}}$  S4  
S4  $\xrightarrow{\text{house}}$  Finish, S4  $\xrightarrow{\text{7 Money}}$  Finish }

High level actions:-

⇒ The key additional concept in the Hierarchical Task Network is High-level action (HLA).  
⇒ Each HLA has one or more possible refinements into a sequence of actions, each of which may be an HLA (or) a primitive action

For Eg:-

"Build House" is an action, which has many refinements such as Get Permit, Hire Builder, Construction, Pay Builder in the example mentioned above.

⇒ High level Plan achieves the goal from a given state, if atleast one of its implementations achieves the goal from that state

                 X                  X

### Partially Ordered Planning:

⇒ Partially ordered plan is a set of actions and a set of constraints of the form Before ( $a_i, a_j$ ), saying that one action occurs before another.

⇒ Partially ordered plans are created by a search through the space of plans rather than through the state space.

⇒ It starts with the empty plan consisting of just the initial state and Goal.

⇒ It has no actions in between.

Planning to be possible in four components :-  
 ⇒ The partially Ordered planning could be possible by using the following four components.

#### 1. Action :

\* It makes up the step, by using the two dummy actions Start, Stop.

#### 2. Ordering Constraint :-

\* The Ordering Constraint in the Partially Ordered Planning is represented as below.

A  $\lambda$  B (A before B)

\* The constraint states that, A must be executed before B. But, not necessarily immediately

### 3. Casual Link :-

3.17

\* Casual Link in Partially Ordered Planning is represented as below.

$$A \xrightarrow{P} B \quad (A \text{ achieves } P \text{ for } B)$$

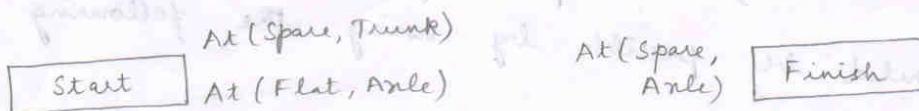
### 4. Open precondition :-

\* A set of preconditions are open, if they are not achieved by some actions in the plan.

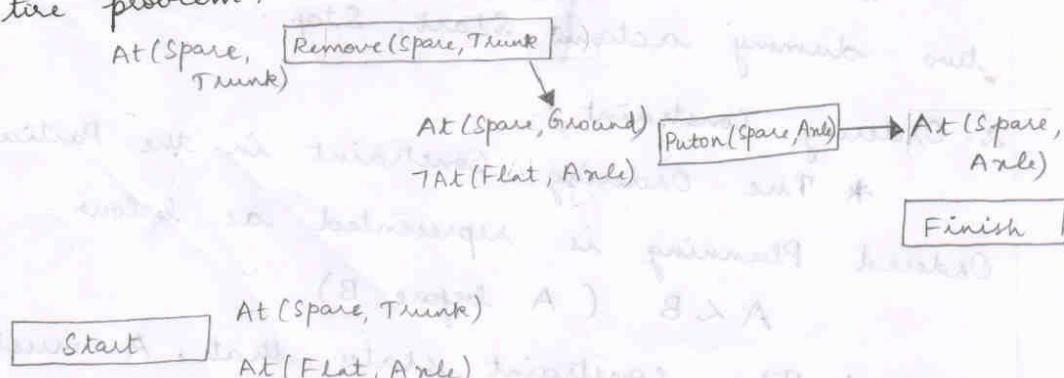
#### Example:-

Let us consider the spare tire problem for an example,

(i) The tire problem expressed as an empty plan:

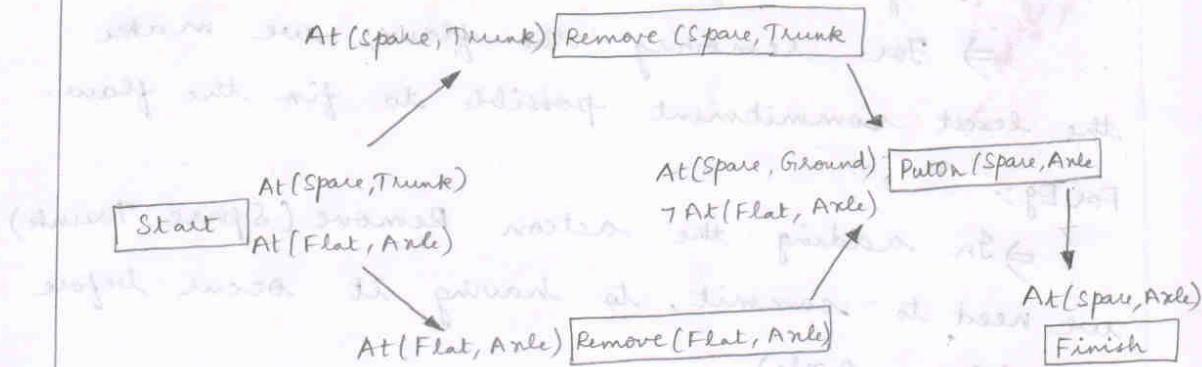


(ii) An incomplete partially ordered plan for the tire problem:



(Boxes represent Actions and arrows indicate that one action must occur before another)

(iii) A complete partially-ordered solution :- 3:18



Finding Solution for a problem using Partially Ordered Planning :-

1. Detecting Flaw:
  - The Search procedure looks for a flaw in the plan, and makes an addition to the plan to correct the flaw.
  - A flaw is anything that keeps the partial plan from being a solution.

For Eg:-

- One flaw present in the empty plane (i)
- One action achieves  $At(Spare, Axle)$ , i.e., no action achieves  $At(Spare, Axle)$ .
- One way to correct the flaw is to insert into the plan, the action  $PutOn(Spare, Axle)$ .
- It also introduces some new flaws, "The preconditions of the new action are not achieved"

⇒ The Search keeps adding to the plan until all flaws are resolved. 3/19

(ii) Removing the flaw:-

⇒ For removing the flaw, we make the least commitment possible to fix the flaw.

For Eg :-

⇒ In adding the action Remove(Spare, Trunk) we need to commit, to having it occur before PutOn(Spare, Axle)

⇒ But, we make no other commitment that places it before or after other action.

(iii) Backtracking (if necessary) :-

⇒ If again, there exists any flaw, move to the Step II (Detecting Flaw)

⇒ If no flaw exists, then return the solution of Partially Ordered Planning.

(i) wolf stays at river bank  
(dx1, stay2) & wolf waters on river at a wolf's distance from river bank  
(dx1, stay2) wolf waters with wolf not at the bank, wolf were across riverbank also to be consider for who waters even with p. watercross

16/3/15

## Artificial Intelligence.

3.20

Planning and acting in non-deterministic domains :-

- \* In an uncertain environment, agent must use its percepts to discover what is happening while the plan is being executed.
- \* Agents have to deal with both incomplete and incorrect informations.
- \* Incompleteness arises because the world is partially observable, non-deterministic.
- \* Incorrectness arises because the world does not necessarily match my model of the world.
- \* The possibility of having complete or correct knowledge represents / depends on how much indeterminacy is there in the world.
- \* Bounded Indeterminacy - Actions can have unpredictable effects.
- \* Unbounded Indeterminacy - The set of possible preconditions or effects either is unknown or too large to be enumerated completely.

Four planning methods for handling indeterminacy :-

1. Sensorless Planning.
  2. Conditional Planning
  3. Execution monitoring & replanning
  4. Continuous Planning
- Bounded Indeterminacy      Unbounded Indeterminacy

Sensorless Planning :-

- \* Also called as Conformant Planning.
- \* It constructs standard, sequential plans that are to be executed without perceptions.
- \* This planning algorithm must ensure that the plan achieves the goal in all possible circumstances.
- \* This relies on coercion.

#### \* Coercion :-

The idea that the world can be forced into a given state even when the agent has only partial information about the current state.

\* Sensorless planning is often inapplicable due to coercion.

#### Conditional Planning :-

\* Also called as contingency planning.

\* It constructs a conditional plan with different branches for the different contingencies that could arise.

\* The agent finds out which part of the plan to execute by including sensing actions in the plan to test for the appropriate conditions.

\* The agent plans first and executes the plan that was produced.

#### Execution Monitoring and Replanning :-

\* In this planning, the agent can use any of the planning preceding techniques to construct a plan.

\* It uses execution monitoring to judge whether the plan has a provision for actual current situations or to be revised again.

\* Replanning occurs when something goes wrong.

#### Continuous Planning :-

\* Continuous planning is designed to persist over a lifetime.

\* It can handle unexpected circumstances in the environment, even if these occurs in the middle of the constructing a plan.

\* It also handles abandonment of goals and the creation of additional goals by goal formulations.

Critical path Method (CPM) :-

→ To minimize a makespan, find the earliest start time for all the action consistent with the ordering constraint. [ordering constraints as a directed graph related to their action].

CPM :- CPM is a graph to determine the possible start and end times of each action.

→ A path through a graph representing a partial - ordered plan in a linear ordered, action beginning with 'start' and ending with 'Finish'.

→ In partial ordered structure : a plan is a set of action and set of constraints of a form Before ( $a_i, a_j$ ). It cause some ambiguity, to overcome an ambiguity and minimize the makespan by using critical path method.

Critical Path :- Critical path is a path, whose total duration is longest, the path is said to be critical because it determines the duration of the entire plan.

→ Delaying the start of any action on the critical plan slow down the whole plan. Critical path have a window size of time where they executed.

Slack :- The window is specified in terms of an earliest possible start time (ES) and latest possible start time (LS). The quantity  $LS - ES$  is known as slack of an action. ES and LS times for action on the critical path constitute a schedule for the problem.

The definition for ES and LS and also as the  
outline of dynamic programming algorithm to compute them.

$$ES(\text{Start}) = 0$$

$$ES(B) = \max_{A < B} ES(A) + \text{Duration}(A)$$

$$LS(\text{Finish}) = ES(\text{Finish})$$

$$LS(A) = \min_{B > A} LS(B) - \text{Duration}(A).$$

Example :-

Jobs ( {AddEngine1 < AddWheels1 < Inspect1},

{AddEngine2 < AddWheels2 < Inspect2} )

Resources ( EngineHoists(1), WheelStation(1), Inspectors(2),

LugNuts(500))

Action (AddEngine1, DURATION: 30,

USE : EngineHoists(1))

Action (AddEngine2, DURATION: 60,

USE : EngineHoists(1))

Action (AddWheels1, Duration: 15),

USE : WheelStation(1))

Action (AddWheels2, DURATION: 15,

USE : WheelStations(1))

Action (Inspect1, Duration: 10,

USE : Inspectors(1))

\* The complexity of critical path algorithm

is just  $O(N^b)$  where  $N$  is the number of actions and  $b$  is the maximum branching factor into or out of an action.

4

4.1

## 1. Bayesian Network and Explain Inference by Enumeration.

### Baye's Rule.

The Product rule can be written in two forms

$$P(a \wedge b) = P(a|b) P(b)$$

$$P(a \wedge b) = P(b|a) P(a)$$

Equating two right hands & divided by  $P(a)$

$$\Rightarrow P(b|a) = \frac{P(a|b) P(b)}{P(a)}$$

This equation is known as Baye's rule (or)

### Baye's Theorem.

### Bayesian Network: (used to solve large no. of Variable)

A Data structure called bayesian Network to represent the dependencies among variable. It can represent essentially any full joint probability distribution & in many cases can do very concisely.

It is directed graph in which each node is annotated with quantitative probability information.

The Full specifications are

\* Each node corresponds to a random variable, which may be discrete (or) continuous.

\* A set of directed links (or) arrows connects pair of nodes. If there is an arrow from node  $X$  to node  $Y$ ,  $X$  is said to be parent of  $Y$ . The graph has no directed cycles. (DAG)

\* Each node  $x_i$ , has a conditional probability distribution  $P(x_i | \text{Parents}(x_i))$  that quantifies the effect of the parents on the node.

Inference by enumeration 4.2

It is one of the exact inference in

Bayesian Network.

Any conditional Probability can be computed by summing terms from the full joint distribution.

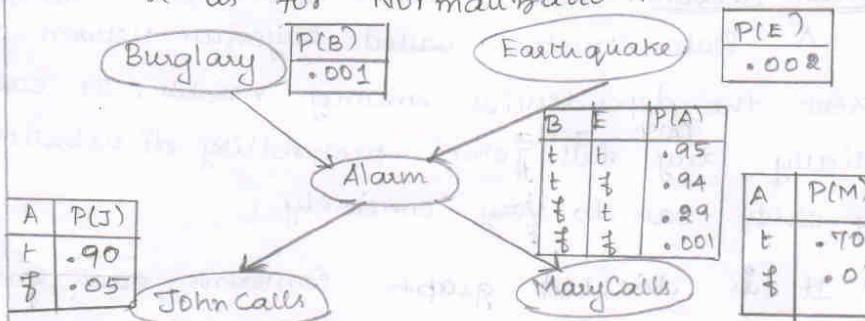
$$P(x|e) = \alpha P(x, e) = \alpha \sum_y P(x, e, y)$$

X denotes the query variable.

E denotes the set of evidence variable.

Y denotes the hidden variable.

$\alpha$  is for Normalization.



A query can be answered using a Bayesian network by computing sums of products of conditional probabilities from the network.

Consider the query  $P(\text{Burglary} | \text{JohnCalls} = \text{true}, \text{MaryCalls} = \text{true})$ .

The hidden variables in this query are Earthquake & Alarm.

Using Initial Letters to shorten the expression. 43

$$\Rightarrow P(B|j,m) = \alpha P(B,j,m) = \alpha \sum_e \sum_a P(B,j,m,e,a)$$

Gives us an expression in terms of CPT entries.

$\Rightarrow$  we do this just for Burglary = true;

$$P(b|j,m) = \alpha \sum_e \sum_a p(b) P(e) P(a|b,e) P(j|a) P(m|a)$$

To compute this expression, we have to add four terms, each computed by multiplying 5 no.'s.

Complexity of the algorithm for a network with 'n' Boolean Variables is  $O(n^2)$

From Observation  $P(b)$  term is a constant so we move that outside the summations over a and e.  $P(e)$  moved outside the summation over a.

$$P(b|j,m) = \alpha P(b) \sum_e P(e) \sum_a P(a|b,e) P(j|a) P(m|a)$$

~~TO evaluate this by looping & Multiplying CPT entries.~~

~~The Structure will be~~

Algorithm.

function ENUMERATION-ASK( $X, e, bn$ ) returns a distribution over  $X$

Inputs:  $X$ , the query variable

$e$ , observed values for variables E

$bn$ , a Bayes net with variables  $\{X\} \cup E \cup Y$

$\text{@}(x) \leftarrow$  a distribution over  $X$ , initially empty /\* y hidden Variable \*/

for each value  $x_i$  of  $x$  do      44

$Q(x_i) \leftarrow \text{ENUMERATE\_ALL}(bn, \text{VARS}, \varphi_{xi})$

where  $\bar{x}_i$  is the extended with  $x = x_i$ )

return NORMALIZE( $Q(x)$ )

function ENUMERATE-ALL(vars, e) returns a real number

if EMPTY?(vars) then return 1.0

$y \leftarrow \text{FIRST}(vars)$

if  $y$  has value  $y$  in  $e$

then return  $P(y | \text{parents}(y)) \times \text{ENUMERATE-}A$

else return  $\sum_y P(y | \text{parents}(y)) \times \text{ENUMERATE-ALL}(\text{REST}(\text{vars}), e_y)$

where  $e_y$  is  $e$  extended with  $y = y$ .

### 1) Bayesian Network:

A Bayesian network is a directed graph in which each node is annotated with quantitative probability information. The full specification is as follows:

- \* Each node corresponds to a random variable, which may be discrete or continuous.
- \* A set of directed links (or) arrows connects pair of nodes.

\* Each node  $x_i$ , has a conditional probability distribution.

full joint distribution:

viewed as a piece of "syntax", &

A Bayesian network is a directed acyclic graph with some numeric parameters attached to each node.

A generic entry in the joint distribution is the probability of a conjunction of particular assignments to each variable, such as  $P(x_1 = x_1 \wedge \dots \wedge x_n = x_n)$ .

$$P(x_1, \dots, x_n) = \prod_{i=1}^n P(x_i | \text{parents}(x_i))$$

where  $\text{parents}(x_i)$  denotes the values of parents( $x_i$ ) that appear in  $x_1, \dots, x_n$ . Thus each entry in the joint distribution is represented by the product of the appropriate elements of the conditional probability table (CPT) in the Bayesian Network.

$$\begin{aligned} P(j, m, a, \gamma_b, \gamma_e) &= P(j|a) P(m|a) P(a|\gamma_b \wedge \gamma_e) P(\gamma_b) P(\gamma_e) \\ &= 0.90 \times 0.70 \times 0.001 \times 0.999 \times 0.998 \\ &= 0.000628. \end{aligned}$$

The full joint distribution can be used to answer any query about the domain.

A method for constructing Bayesian networks:

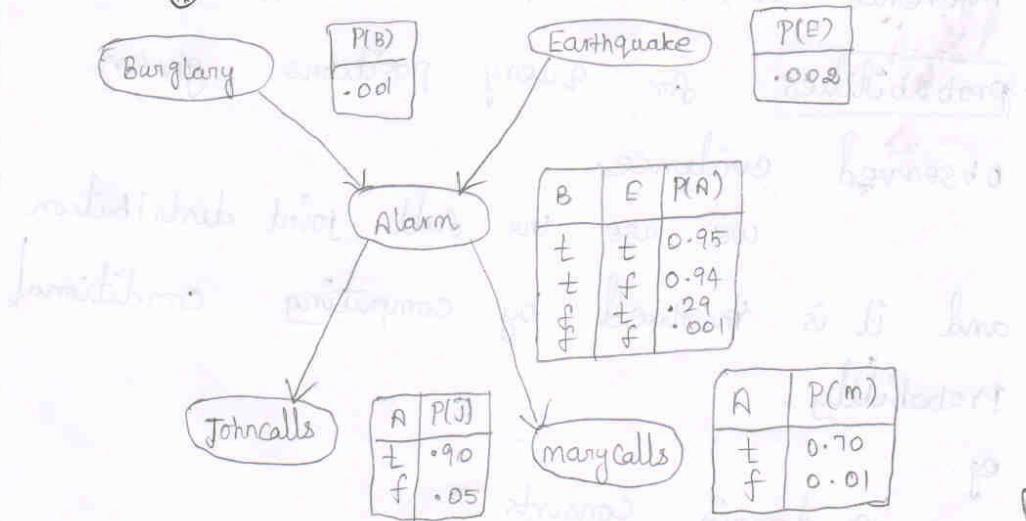
$$P(x_1, \dots, x_n) = P(x_n | x_{n-1}, \dots, x_1) P(x_{n-1}, \dots, x_1)$$

Then we repeat the process, reducing each conjunctive probability to a conditional probability and a smaller conjunction. We end up with big product:

$$\begin{aligned} P(x_1, \dots, x_n) &= P(x_n | x_{n-1}, \dots, x_1) P(x_{n-1} | x_{n-2}, \dots, x_1) \\ &\quad \dots P(x_2 | x_1) P(x_1) \end{aligned}$$

$$= \prod_{i=1}^n P(x_i | x_{i-1}, \dots, x_1).$$

This identity is called the chain rule.



The conditional distributions can be used for discrete variables, other representations including those suitable for continuous variables, conditional probability table to representing Bayesian network is a correct representation of the domain only if each node is conditionally independent of its other predecessors in the ordering:

\* Nodes

\* Links

+ Nodes + Edges + Closets = (Nodes + Edges) \*

Paths + Clos.

Inference using full joint distribution: 4s

we introduce a method for probabilistic inference ie) A computation of posterior probabilities for query positions given observed evidence.

we use the full joint distribution and it is induced by computing conditional probability.

eg:

A domain consists

\* Toothache

\* Cavity

\* Catch

		tooth ache		7toothache	
		Catch	7catch	Catch	7catch
Cavity	toothache	0.108	0.012	0.072	0.008
	7toothache	0.016	0.064	0.144	0.576

There are 6 possible words Cavity v toothache

$$P(\text{Cavity} \vee \text{toothache}) = 0.108 + 0.012 + 0.072 + 0.008 + 0.016 + 0.064$$

$$= 0.28$$

One particularly common task is to extract the distribution over some subset of variable or single variable.

eg:

marginal probability (or) unconditional probability

$$P(\text{cavity}) = 0.108 + 0.102 + 0.072 + 0.008 = 0.3$$

This is called marginalization. It is also

Can be written in the form of

$$P(y) = \sum_{z \in Z} P(y, z)$$

$$P(\text{cavity}) = \sum_{z \in \{\text{catch, toothache}\}} P(\text{cavity}, z)$$

A variant of this rule involves conditional probability instead of joint probabilities, using the product rule

$$P(y) = \sum_z P(y|z) P(z)$$

This is called conditioning. and it provide a soln for all kind of probabilities.

$$P(\text{Cavity} | \text{toothache}) = \frac{P(\text{Cavity} \wedge \text{toothache})}{P(\text{toothache})}$$

$$= \frac{0.108 + 0.012}{0.108 + 0.012 + 0.016 + 0.064}$$

$$= 0.6$$

we can also compute there is no cavity given a toothache.

$$P(\neg \text{Cavity} | \text{toothache}) = \frac{P(\neg \text{Cavity} \wedge \text{toothache})}{P(\text{toothache})}$$

$$= \frac{0.106 + 0.064}{0.108 + 0.012 + 0.016 + 0.064}$$

$$= 0.4$$

## Bayesian networks:

4.11

To represent the dependencies among variables, a data structure called Bayesian networks.

A Bayesian network is a directed graph in which each node is annotated with quantitative probability information.

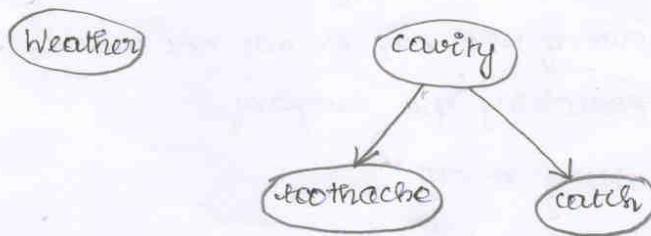
1. Each node corresponds to a random variable, which may be discrete or continuous.

2. A set of directed links or arrows connects pairs of nodes.

If there is an arrow from node X to node Y, X is said to be a parent of Y. The graph has no directed cycles is a directed acyclic graph or DAG.

3. Each node  $x_i$  has a conditional probability distribution  $P(x_i | \text{Parents}(x_i))$  that quantifies the effect of the parents on the node.

Eg for simple Bayesian network:



Consider a simple world, consists of variables

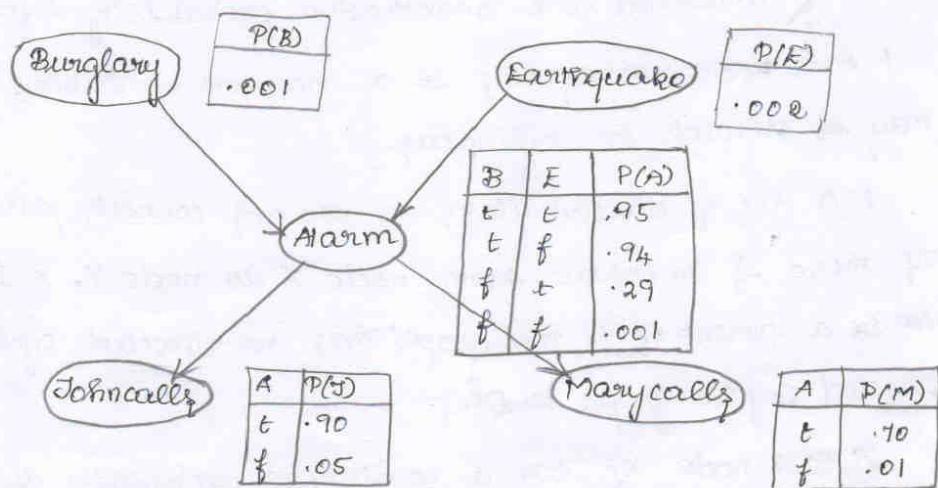
Toothache, cavity, catch and weather. Weather is independent of other three variables. Toothache and catch are conditionally independent that could be observed by there is no link between them.

16

- conditional independence of toothache and cavity catch,  
given cavity is indicated by the absence of a link between  
toothache and catch.

A.12

Eg: for typical Bayesian network:



John nearly always calls when he hears the alarm, but sometimes confuses the telephone ringing with the alarm and calls then, too. Mary, on the other hand, likes rather loud music and often misses the alarm together. Given the evidence of who has or has not called, we have to estimate the probability of a burglary.

Conditional probability or CPT:

This form of table can be used for discrete variables, other representations, including those suitable for continuous variables.

Discrete random variables can be represented in the form of table known as conditional probability table.

Representing the full joint distribution:

4.13

A generic entry in the joint distribution is the probability of a conjunction of particular assignments to each variable, such as  $P(x_1 = x_1 \wedge \dots \wedge x_n = x_n)$ . The formula for this entry is

$$P(x_1, \dots, x_n) = \prod_{i=1}^n \theta(x_i | \text{parents}_i(x_i)) \rightarrow ①$$

where  $\text{parents}_i(x_i)$  denotes the value of  $\text{Parents}_i(x_i)$  that appear in  $x_1, \dots, x_n$ . Thus each entry in the joint distribution is represented by the product of the appropriate element of the conditional probability tables (CPTs) in Bayesian network.

It is easy to prove that the parameters  $\theta(x_i | \text{parents}_i(x_i))$  are exactly the conditional probabilities  $P(x_i | \text{parents}_i(x_i))$  implied by joint distribution.

$$P(x_1, \dots, x_n) = \prod_{i=1}^n P(x_i | \text{parents}_i(x_i)). \rightarrow ②$$

Calculate the probability that the alarm has sounded, but neither a burglary nor an earthquake has occurred, and both John and mary call.

$$\begin{aligned} P(j, m, a, \neg b, \neg e) &= P(j|a) P(m|a) P(a|\neg b \wedge \neg e) P(\neg b) P(\neg e) \\ &= 0.90 \times 0.70 \times 0.001 \times 0.999 \times 0.998. \\ &= 0.000628. \end{aligned}$$

If a Bayesian network is a representation of the joint distribution, then it too can be used to answer any query, by summing all the relevant joint entries.

A method for constructing Bayesian network:

$$P(x_1, \dots, x_n) = P(x_n | x_{n-1}, \dots, x_1) P(x_{n-1} | \dots, x_1).$$

Repeating the process, reducing each conjunctive probability to a smaller conjunction.

$$\begin{aligned} P(x_1, \dots, x_n) &= P(x_n | x_{n-1}, \dots, x_1) P(x_{n-1} | x_{n-2}, x_1) \dots \\ &\quad P(x_2 | x_1) P(x_1) \\ &= \prod_{i=1}^n P(x_i | x_{i-1}, \dots, x_1). \end{aligned}$$

This identity is called chain rule. It holds for any set of random variables. Comparing it with eqn ②, that the specification of the joint distribution is equivalent to the general assertion that, for every variable  $x_i$ , in network,

$$P(x_i | x_{i-1}, \dots, x_1) = P(x_i | \text{Parents}(x_i)) \rightarrow ③.$$

Condition:

i) Nodes: Determining the set of variables that are required to model the domain.

ii) Links: There will be a conditional probability between successor and predecessor nodes.

## Bayesian Network :

A data structure to represent the dependencies among variables is called Bayesian Network.

A Bayesian Network is a directed graph in which each node is annotated with quantitative Probability information. The full specification are as follows:

1. Each node corresponds to a random variable, may be discrete or continuous.
2. A set of directed links or arrows connects pair of nodes. If there is an arrow from  $x$  to node  $y$  then it is said to be  $x$  is a parent of  $y$ . The graph has no directed cycles called directed acyclic Graph (DAG)
3. Each node  $x_i$  has a conditional Probability distribution  $P(x_i | \text{Parent}(x_i))$  that quantifies the effect of the Parents on the node.

## Approximate Inference in Bayesian Networks :

- Approximate Inference in Bayesian networks describes Randomized Sampling Algorithms called Monte Carlo algorithm.
- Algorithm provides approximate answers whose accuracy depends on the number of samples generated.
- Two families of Algorithms are direct Sampling and Markov chain planning.

Likelihood weighting :

4.16

Likelihood weighting avoids the inefficiency of a rejection sampling by generating only events that are consistent with the evidence  $e$ .

function LIKELIHOOD-WEIGHTING ( $x, e, bn, N$ ) returns  
an estimate of  $P(x|e)$

inputs :  $x$ , the query variable

$e$ , observed values for variables  $E$

$bn$ , a bayesian network specifying joint distribution  $P(x_1, \dots, x_n)$

$N$ , the total number of samples to be generated.

local variables:  $w$ , a vector of weighted counts for each value of  $x$ , initially zero

for  $j=1$  to  $N$  do

$x, w \leftarrow$  WEIGHTED-SAMPLE ( $bn, e$ )

$w[x] \leftarrow w[x] + w$  where  $x$  is the value of  $x$  in  $x$

return NORMALIZE ( $w$ )

function WEIGHTED-SAMPLE ( $bn, e$ ) returns an event and a weight

$w \leftarrow 1; x \leftarrow$  an event with  $n$  elements initialized from  $e$

for each variable  $x_i$  in  $x_1, \dots, x_n$  do

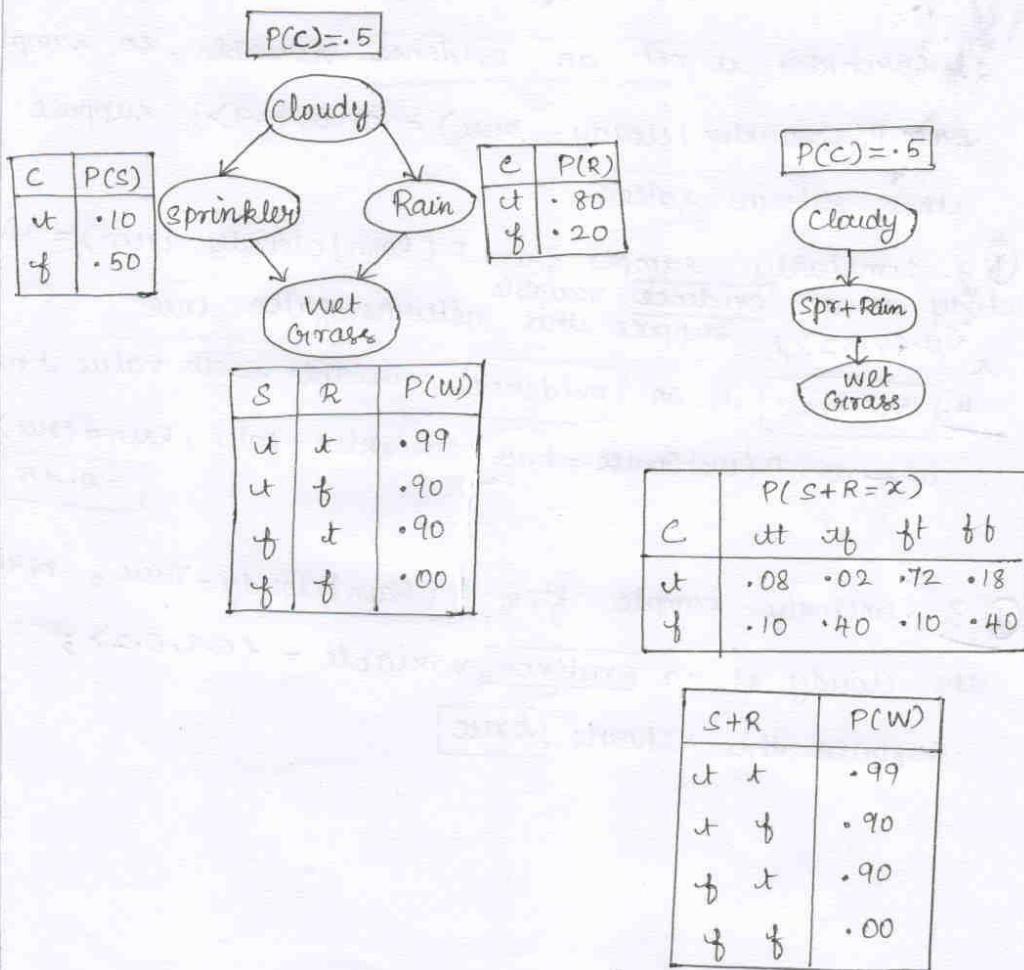
if  $x_i$  is an evidence variable with  $x_i$  in  $e$

then  $w \leftarrow w \times P(x_i = x_i | \text{parents}(x_i))$

else  $x[i] \leftarrow$  a random sample from  $P(x_i | \text{parents}(x_i))$

return  $x, w$

LIKELIHOOD - WEIGHTING fixes the value for the evidence variables E and samples only the nonevidence variables.



Let us apply the Algorithm to the Network

with the Query

$P(\text{Rain} | \text{cloudy} = \text{true}, \text{WetGrass} = \text{true})$  and the ordering  $\text{cloudy}, \text{sprinkler}, \text{Rain}, \text{WetGrass}$ .

First, the weight w is set to 1.0. The following are the events:

A.18

1. cloudy is an evidence variable with value true;

then

$$w \leftarrow w \times P(\text{cloudy} = \text{true}) = 0.5$$

2. sprinkler is not an evidence variable, so sample from  $P(\text{sprinkler} | \text{cloudy} = \text{true}) = \langle 1.0, 0.9 \rangle$ ; suppose this returns false

④ 3. similarly sample from  $P(\text{Rain} | \text{cloudy} = \text{true})$ , here cloudy is an evidence variable  $\langle 0.8, 0.2 \rangle$ ; suppose this returns true

4. WetGrass is an evidence variable with value true.

$\therefore w \leftarrow w \times P(\text{WetGrass} = \text{true} | \text{sprinkler} = \text{false}, \text{Rain} = \text{true}) = 0.45$

⑤ 3. similarly sample from  $P(\text{Rain} | \text{cloudy} = \text{true})$ , here the cloudy is an evidence variable  $= \langle 0.8, 0.2 \rangle$ ; suppose this returns true

### I Bayesian Network:

- A Bayesian network is a directed graph in which each node is annotated with quantitative probability information. The full specification is as follows.

- \* Each node corresponds to a random variable which may be discrete or continuous.
- \* A set of directed links or arrows connects pairs of nodes.
- \* Each node  $x_i$  has a conditional probability distribution.

### Variable elimination algorithm:

The elimination algorithm can be improved substantially by eliminating repeated calculation. This idea is simple to do to calculation once and save the result and this is a form of dynamic programming.

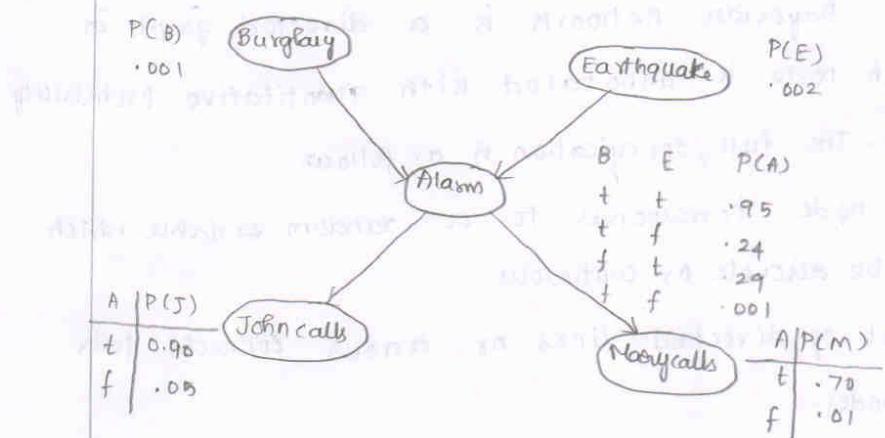
The variable elimination algorithm is the simplest elimination works by evaluating expression from right to left.

such as the equation

$$P(b|j,m) = \alpha P(b) \sum_e P(e) \sum_a P(a|b,e) P(j|a) P(m|a)$$

4.20

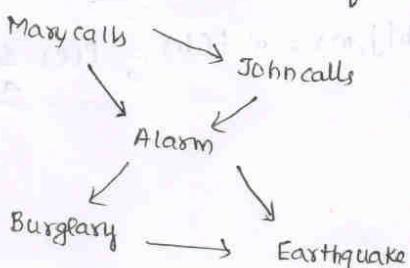
Example :



A Bayesian network for this domain is appears above. The network structure shows the burglarly and earthquake directly affect the probability of the alarm's going off. But whether John and Mary call depends only on the alarm.

The network that represent our assumptions that they do not perceive burglaries directly they do not notice minor earthquake and they do not confer before calling.

The network structure depends on order of introduction in each network we have introduced nodes in top-to bottom order



4.2)

Let us illustrate this process for the burglar network. Then we evaluate the expression as.

$$P(B|j,m) = \alpha P(B) \underset{F_1}{\leq} P(e) \underset{F_2}{\leq} P(a|B,e) \underset{F_3}{P(j|a)} \underset{F_4}{P(m|a)} \underset{F_5}{P(j|m)}$$

consider  $f_4$  &  $f_5$

$$f_4(A) = \frac{P(j|a)}{P(j|a)} = \begin{pmatrix} 0.90 \\ 0.05 \end{pmatrix}$$

$$f_5(A) = \frac{P(m|a)}{P(m|a)} = \begin{pmatrix} 0.70 \\ 0.01 \end{pmatrix}$$

$$P(a|B,e) = 0.95$$

And the above expression written as,

$$P(B|j,m) = \alpha f_1(B) \times \underset{e}{\leq} f_2(E) \times \underset{a}{\leq} f_3(A,B,E) \times f_4(A) \times f_5(A)$$

First we sum out  $A$  from the product of  $f_3, f_4$  and  $f_5$ . This gives new factor  $f_6(B,E)$  ranges over  $B \& E$

$$f_6(B,E) = \underset{a}{\leq} f_3(A,B,E) \times f_4(A) \times f_5(A)$$

Now we are left with the expression

$$P(B|j,m) = \alpha f_1(B) \times \underset{e}{\leq} f_2(E) \times f_6(B,E)$$

Now we sum  $f_2$  and  $f_6$  we get  $f_7$

$$f_7(B) = \underset{e}{\leq} f_2(E) \times f_6(B,E)$$

$$\therefore P(B,j|m) = \alpha f_1(B) \times f_7(B)$$

Examining this sequence, we see the two basic computational operations are required - they are point wise product of a pair of factors and summing out a variable from a product of factors.

**Algorithm :**

Variable elimination algorithm for inference in Bayesian network.

function ELIMINATION-ASK ( $x, e, bn$ ) returns a distribution over  $x$ .

inputs :  $x$ , the query variable

$e$ , observed values for variable  $E$

$bn$ , a Bayesian network specifying joint distribution

$P(x_1 \dots x_n)$

factors  $\leftarrow [ ]$

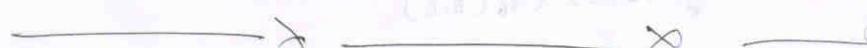
For each var in ORDER ( $bn$ , VARS) do

Factors  $\leftarrow [\text{MAKE-FACTOR} (\text{var}, e) | \text{factors}]$

If var is a hidden variable then

factors  $\leftarrow \text{SUM-OUT} (\text{var}, \text{factors})$

return NORMALIZE (POINTWISE-PRODUCT (factors))



## 1) Approximate Inference in Bayesian Networks:-

\* Bayesian Network is a data structure that represents the dependencies among the variables.

\* A Bayesian network is a directed graph in which each node is annotated with quantitative probability information.

\* In a Bayesian network,  
i) Each node corresponds to a random variable, which may be discrete or continuous.

ii) The arrow connects the pair of nodes. If there is an arrow from node  $X$  to node  $Y$ , then  $X$  is said to be the parent of  $Y$ . The graph has no directed cycles.

iii) Each node  $X_i$  has a conditional probability distribution  $P(X_i | \text{Parents}(X_i))$  that quantifies the effect of the parents of on the node.

\* Approximate Inference provides approximate answers whose accuracy depends on the number of samples generated.

\* Four mechanisms used for approximate inference in Bayesian Networks are (i) Direct Sampling, (ii) Rejection Sampling, (iii) Markov chain Sampling, (iv) Likelihood weighting

### Direct Sampling Methods:-

\* The primitive element in any sampling algorithm is the generation of samples from a known

probability distribution.

\* The simplest kind of random sampling process for Bayesian networks generates events from a network that has no evidence associated with it.

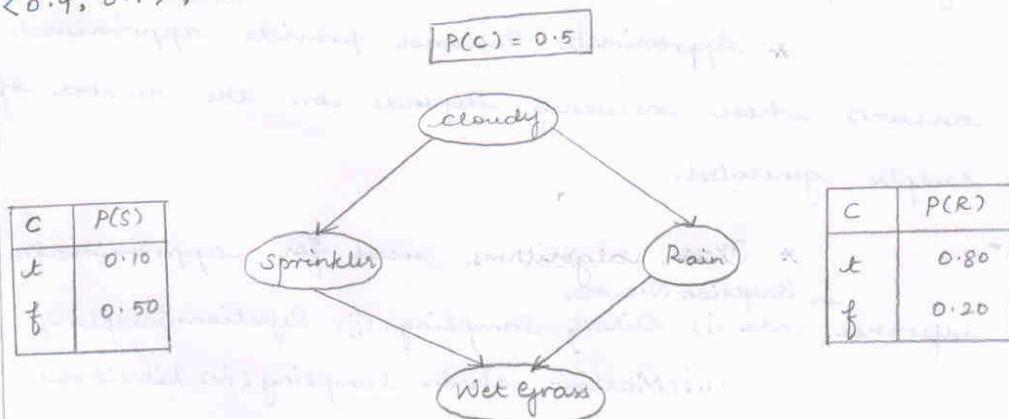
\* The idea is to sample each variable in the topological order.

\* For example, consider the following ordering

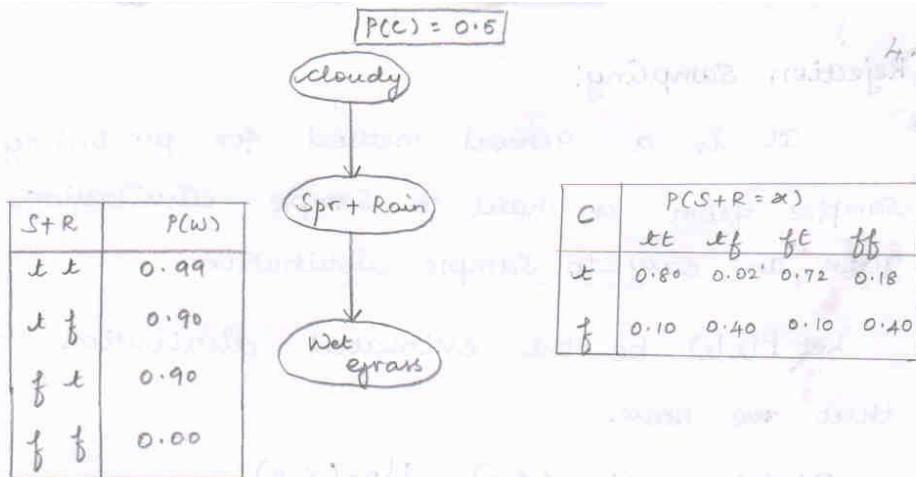
[cloudy, Sprinkler, Rain, Wetgrass]

The given samples are,

- i Sample from  $P(\text{cloudy}) = \langle 0.5, 0.5 \rangle$ , value is true.
- ii Sample from  $P(\text{Sprinkler} | \text{cloudy} = \text{true}) = \langle 0.1, 0.9 \rangle$ , value is false.
- iii Sample from  $P(\text{Rain} | \text{cloudy} = \text{true}) = \langle 0.8, 0.2 \rangle$ , value is false.
- iv Sample from  $P(\text{Wetgrass} | \text{Sprinkler} = \text{false}, \text{Rain} = \text{true}) = \langle 0.9, 0.1 \rangle$ , value is true.



S	R	$P(W)$
t	t	0.99
t	f	0.90
f	t	0.90
f	f	0.00



\* To find the  $P(\text{Wet grass} | \text{Rain, Sprinkler})$

~~Here, the evidence case Rain and Sprinkler.~~

~~The hidden variable is Cloudy.~~

\* In this case, prior sample returns the event  
[True, False, True, True]

### SAMPLING ALGORITHM :-

function PRIOR - SAMPLE( $b_n$ ) returns an event sampled from the prior specified by  $b_n$ .

inputs :  $b_n$ , a Bayesian network specifying joint distribution

$$P(x_1, \dots, x_n)$$

$x \leftarrow$  an event with  $n$  elements.

for each variable  $x_i$  in  $x_1, \dots, x_n$  do

$x[i] \leftarrow$  a random sample from  $P(x_i | \text{Parents}(x_i))$

return  $x$

## Rejection Sampling:

A-26

It is a general method for producing samples from a hard-to-sample distribution given an easy-to-sample distribution.

Let  $P(x|e)$  be the estimated distribution from that we have

$$P(x|e) = \alpha N_{ps}(x, e) = \frac{N_{ps}(x, e)}{N_{ps}(e)}$$

e - evident true.

$$\Rightarrow P(x|e) \approx \frac{P(x, e)}{P(e)} = P(x|e)$$

Let us assume that we have 100 samples.  
to find  $P(\text{Rain} | \text{Sprinkler} = \text{true})$

Suppose out of 100 samples 73 have Sprinkler = False & are Rejected. 27 have Sprinkler = true.

Out of 27, 8 have Rain = true & 19 have Rain = false

$$\therefore P(\text{Rain} | \text{sprinkler} = \text{true}) \approx \text{NORMALIZE}(8, 19) \approx \frac{8}{27} = \langle 0.296, 0.704 \rangle$$

Algorithm.

function REJECTION-SAMPLING( $x, e, b_n, N$ ) returns

P.NO - 542.

1. Why we move to clustering algorithm in Exact Inference in Bayesian networks?

### Bayesian Networks:

Bayesian Networks is a data structure to represent the dependencies among variables.

Bayesian Networks can represent ~~essentially~~ <sup>by</sup> full joint probability distribution.

A Bayesian Network is a directed graph, it contains the following information.

- \* Each node corresponds to a random variable which may be discrete or continuous.

- \* A set of directed link or arrows connected pairs of nodes.

- \* Each node  $x_i$  has a conditional probability distribution  $P(x_i | \text{Parents}(x_i))$  that quantifies the effect of the parents on the node.

### Exact Inference in Bayesian Networks:

The basic task for any probabilistic inference system is to compute the posterior probability distribution for a set of query variables, given a some assignment of values to a set of evidence variables. It takes one queries at a time.

$X$  denotes the query variable,  $E$  denotes the set of evidence variables  $E_1 \dots E_m$  and  $\sigma$  is a particular observed event,  $Y$  will denotes the non-evidence, nonquery variables  $y_1 \dots y_l$  called as hidden variables.

Exact algorithm for computing posterior probabilities and will consider the complexity of this task.

It covers methods for approximate inference.

Here we discuss one of the method. That is clustering method.

## Clustering algorithm:

### Drawback of the complexity of exact inference:

It avoids the repeated computations as well as dropping irrelevant variables. The time and space requirements of variable elimination are dominated by the size of the largest factor constructed during the operations of the algorithm.

Eg: There is at most one undirected path between any two nodes in the network. It is called as singly connected networks (or) polytrees. The particular property of this problem is

[The time and space complexity of exact inference in polytrees is linear in the size of the network. Here, the size is defined as the number of CPT entries. The complexity will also be linear in the number of nodes]

For multiply connected networks, variable elimination can have exponential time and space complexity in the worst case.

So we move to the clustering algorithm.

clustering algorithm:  $\rightarrow$  multiple path to single path by combining nodes.

The variable elimination algorithm is simple and efficient for answering individual queries.

If we want to compute posterior probabilities for all the variables in a network, it can be less efficient.

For example in a polytree Network.

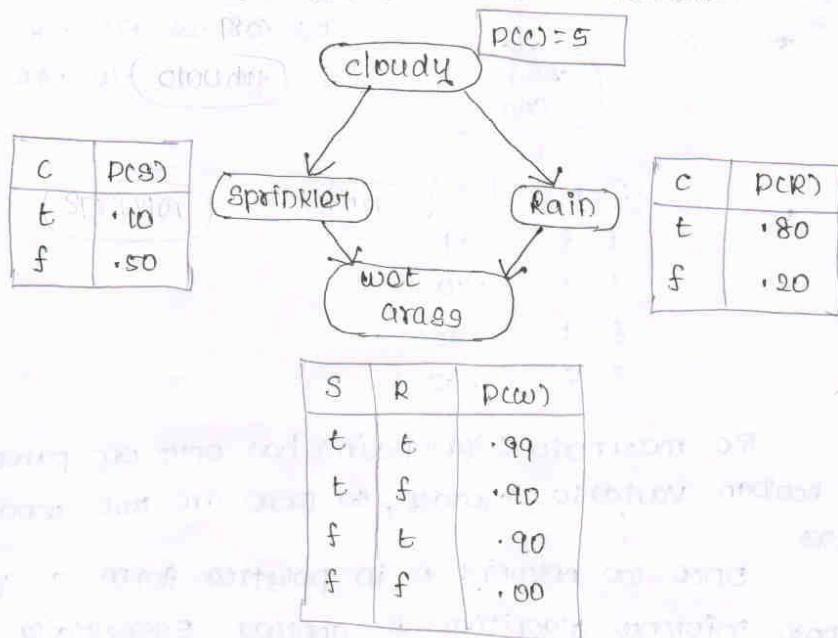
- one would need to issue  $O(n)$  queries  
costing  $O(n)$  each, for a total of  $O(n^2)$  time.

Using clustering algorithm it is known as joint tree algorithm.

The time can be reduced to  $O(n)$ , this algorithm is widely used in commercial Bayesian network tools.

The basic idea of clustering is to join individual nodes of the network to form cluster nodes, that the resulting network is a polytree network.

For example, multiply connected network.



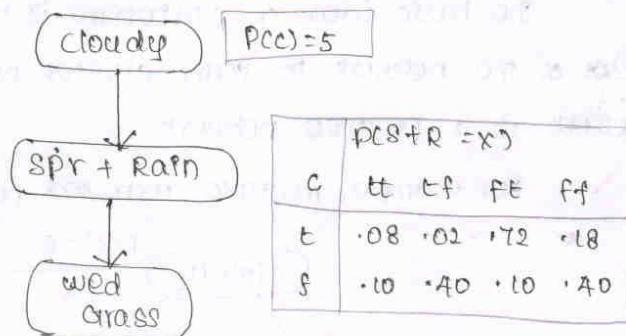
It is a multiply connected network with conditional probability tables. Here two variables are used as Boolean variables to check the conditions if it is true or false.

Then we convert this conditional probability tables to the clustering equivalent of the multiply connected network by it converted into a polytree by combining the Sprinkler and Rain node into a cluster node called as Sprinkler + Rain.

Sprinkler and Rain are called as two Boolean nodes, the two Boolean nodes are replaced by a one meganode called as Sprinkler + Rain.

If takes on four values as TT, TF, FT and FF  
 The clustered equivalent of the multiply connected network. Is given below.

A-30



S+R	P(cu)
t f	.99
t f	.90
f t	.90
f f	.00

The mega node ('Spr + Rain') has only one parent, the Boolean variable 'Cloudy', so there are two conditioning causes.

Once the network is in polytree form, a special-purpose inference algorithm is applied. Essentially the algorithm is a form of constraint propagation where the constraints ensure that neighboring clusters agree on the posterior probability of any variables that they have a common.

This algorithm is able to compute posterior probabilities for all the non-evidence nodes in the network in time  $O(n)$ , where  $n$  is the size of the modified network.

The NP-hardness of the problem has not disappeared, if a network requires exponential time and space with variable elimination.

The clustering network require exponential time and space to construct.

(5)

5.1

### Decision tree :

A decision tree represents a function that takes a input as vector of attribute values and returns a decision a single output value. It can be discrete or continuous. Input will be classified as true or false.

As an example we will build a decision tree to decide whether to wait for a table at a restaurant. The aim here is to learn a definition for the goal predicate `willwait`.

### Attributes :

1. Alternate : whether there is suitable alternate restaurant nearby.
2. Bar : it has a comfortable bar area to wait in
3. Fri/Sat : true on Fridays and Saturdays.
4. Hungry : whether we are hungry
5. Patrons : How many people are in restaurant
6. Price : the restaurant price range
7. Raining : whether it is raining outside
8. Reservation : whether we made a reservation
9. Type : the kind of restaurant (French, Italian, Thai, burger)
10. Wait Estimate : the wait estimated by the host.

Expressiveness of decision trees :

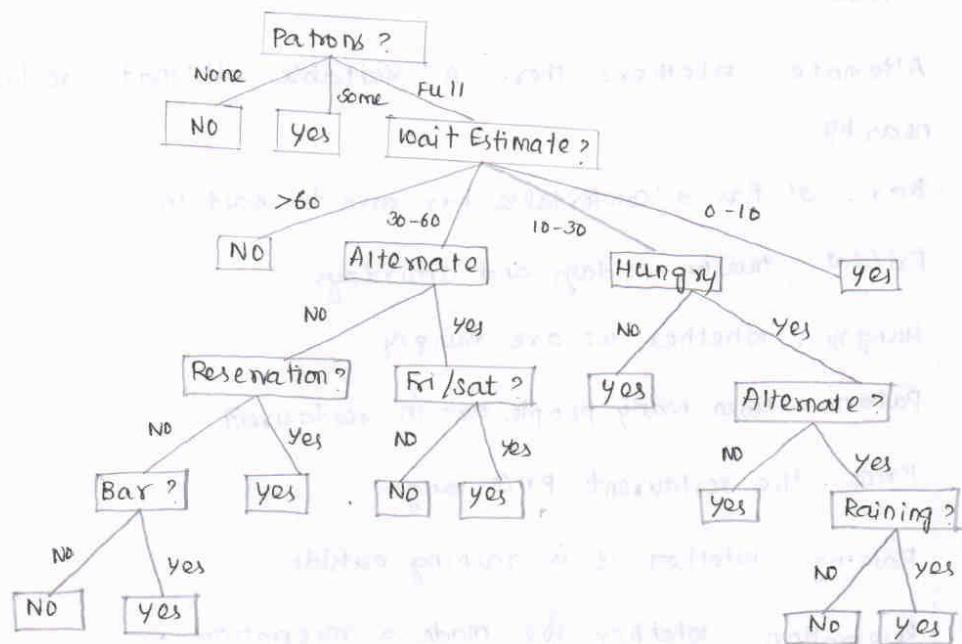
A boolean decision tree is logically equivalent to the assertion that the goal attribute is true if and only if the input attribute satisfy one of the paths leading to a leaf with value true in propositional logic

$$\text{Goal} \Leftrightarrow (\text{Path}_1 \vee \text{Path}_2 \dots)$$

Each path is a conjunction of attribute value tests required to follow that path.

Decision tree :

A decision tree for deciding whether to wait for a table.



The boolean decision tree consists of an  $(x, y)$  pair where  $x$  is a vector of values for the input attributes and  $y$  is a single boolean output value.

## Inference :

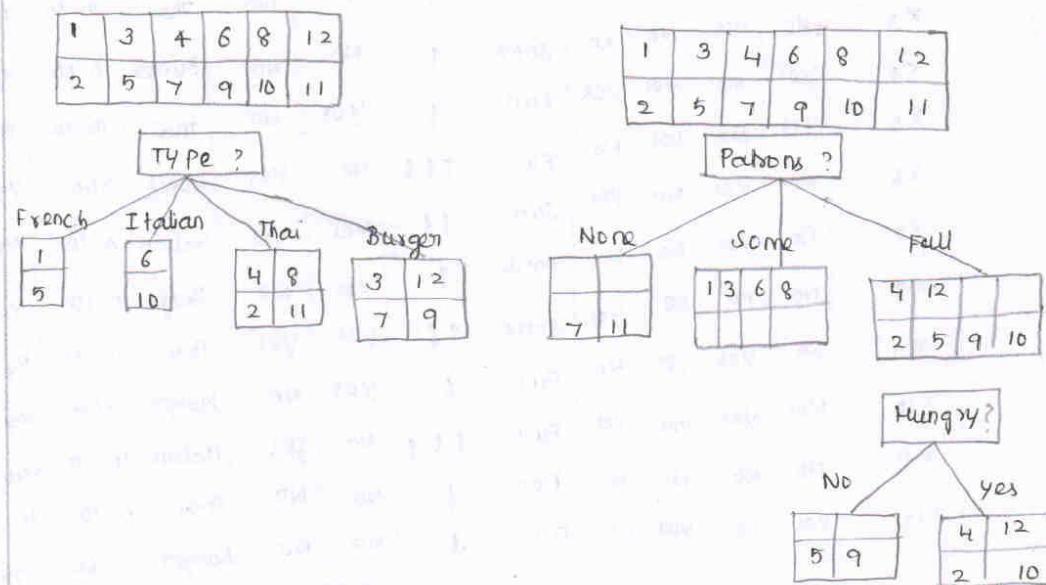
Example	Input Attribute											Global willwait
	Alt	Bar	Fri	Hun	Pat	Price	Rain	Res	Type	Est		
$x_1$	Yes	No	No	yes	some	\$\$\$	No	yes	French	0-10	$y_1 = \text{yes}$	
$x_2$	Yes	No	No	yes	full	\$	No	No	Thai	30-60	$y_2 = \text{No}$	
$x_3$	No	yes	No	No	some	\$	No	No	Burger	0-10	$y_3 = \text{yes}$	
$x_4$	Yes	No	yes	yes	full	\$	yes	No	Thai	10-30	$y_4 = \text{yes}$	
$x_5$	yes	No	yes	No	full	\$\$\$	No	yes	French	>60	$y_5 = \text{No}$	
$x_6$	No	yes	No	yes	some	\$\$	yes	yes	Italian	0-10	$y_6 = \text{yes}$	
$x_7$	No	yes	No	No	none	\$	yes	yes	Burger	0-10	$y_7 = \text{No}$	
$x_8$	No	No	No	yes	some	\$\$	yes	No	Thai	0-10	$y_8 = \text{yes}$	
$x_9$	No	yes	yes	No	full	\$	yes	yes	French	10-30	$y_9 = \text{no}$	
$x_{10}$	yes	yes	yes	yes	full	\$\$\$	No	yes	Burger	>60	$y_{10} = \text{no}$	
$x_{11}$	No	No	No	No	none	\$	No	No	Italian	10-30	$y_{11} = \text{no}$	
$x_{12}$	yes	yes	yes	yes	full	\$	No	No	Thai	0-10	$y_{12} = \text{yes}$	

There are four cases to consider for these recursive branches:

1. If the remaining examples are all positive or negative we can answer yes or no.
2. If there are some positive and negative examples then choose the best attribute to split them.
3. If there are no examples left it means that no example has been observed for this combination of attribute value and return a default value

4. If there are no attribute left but both positive and negative examples it means that the example have exactly the same description but different classification

Splitting the example by testing an attributes:



Algorithm :

Function DECISION-TREE LEARNING(examples, attributes, default) returns a tree

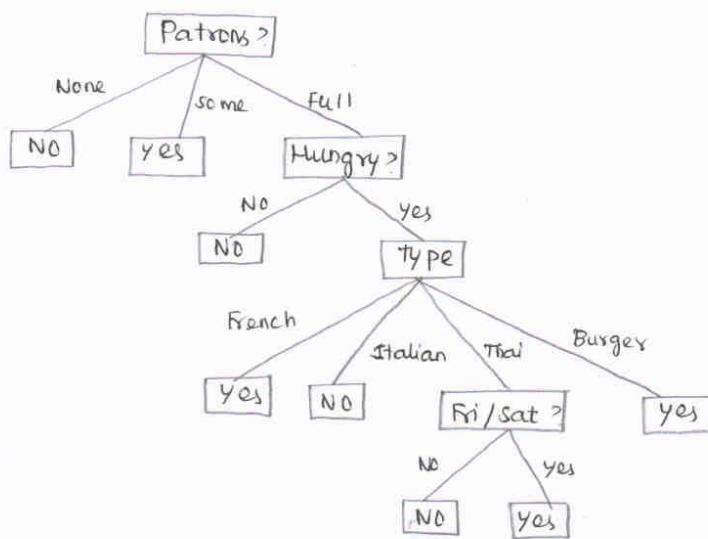
if examples is empty then return default (parent\_examples)  
 else if all examples have the same classification then return the classification.

else if attribute is empty then return majority\_value(examples)  
 else

Best  $\leftarrow$  choose\_attributes(attributes, examples)

tree  $\leftarrow$  a new decision tree with root test Best  
 M  $\leftarrow$  Majority-value (example;  
 for each value  $v_k$  of Best do  
 exs  $\leftarrow \{e : e \in \text{examples and } e.\text{Best} = v_k\}$   
 subtree  $\leftarrow$  Decision Tree Learning (exs, attributes - Best, examples)  
 add a branch to tree with label (Best =  $v_k$ ) and subtree subtree  
 return tree

The decision tree induced from the 12-example training set :



## Backpropagation Learning: 2011-2012-2013-2014 Topical Topic 5-6

### Neural Network:

A neural network is a collection of units connected together, the properties of the network are determined by its topology and the properties of the "neurons". It & implements a linear classifier of the kind described in the preceding section.

### Neural network structures:

Neural networks are composed of nodes (or) units connected by directed links.

A link from unit  $i$  to unit  $j$  serve to propagate the activation  $a_i$  from  $i$  to  $j$ . Each link also has a numeric weight  $w_{i,j}$  associated with it.

which determines the strength and sign of the connection. Each unit  $j$  first computes a weighted sum of its inputs.

There are two fundamentally distinct ways.

**feed-forward networks** has connections only one in one direction - it forms directed acyclic graph. A feed-forward network represents a function of its current input. It has no internal state other than the weights.

**Recurrent Network** has its outputs back into its own inputs.

It have one or more layers of hidden units that are learning problems with a single output variable. hidden units have not connected to the outputs of the network.

### Single-layer feed-forward neural networks:

All the inputs connected directly to the output is called a **single-layer neural network** (or) a **perception network**.

It depending on the type of the activation function used, it processes will be either the perceptron learning rule or gradient descent rule for the logistic regression.

**Disadv:** the solution problem is easily represented as a decision tree, but is not be linearly separable.

## Multilayer feed-forward neural networks:

It connecting large numbers of units into networks of arbitrary depth, the problem was that nobody knew how to train such networks.

It composed of nested nonlinear soft threshold functions; neural networks as a tool for doing nonlinear regression.

for any particular network structure, it is harder to characterize exactly which functions can be represented and which ones cannot.

## Learning in multilayer networks:

The interaction among the learning problems when the network has multiple outputs.

The major complication comes from the addition of hidden layers to the network. The error at the output layer is clear, the error at the hidden layers.

The training data do not say what value the hidden nodes should have, it turns out that we can back propagate.

The error from the output layer to the hidden layer, the back propagation process emerges directly from a derivation of the overall error gradient.

At the output layer, the weight update rule is identical, the weight update rule becomes.

$$w_{j,k} \leftarrow w_{j,k} + \alpha \cdot x_j \cdot \Delta_k$$

To update the connections b/w the input unit and the hidden units, we need to define the quantity to the error term from for the output nodes.

The  $\Delta_k$  values are divided according to the strength of the connection b/w the hidden node and the output node are propagated back to provide the  $\Delta_j$  values for the hidden layer.

The propagation rule for the  $\Delta$  value, 5.8

$$\Delta_j = g'(m_j) \sum_k w_{jk} \Delta_k.$$

- The weight-update rule for the weights b/w the input and hidden layer is essentially identical to the update rule for the output layer.

$$w_{ij} \leftarrow w_{ij} + \alpha \times a_i \times \Delta_j$$

The back propagation process can be summarized as follows:-

compute the  $\Delta$  values for the output units, using the observed error.

Starting with output layer, repeat the following for each layer in the network, until the earliest hidden layer is reached

⇒ Propagate the  $\Delta$  values back to the previous layer.

⇒ Update the weights between the two layers.

Algorithm:

The algorithm ⇒ back propagation algorithm for learning in multilayer networks.

Refer page No: 745 ⇒ figure 24.