

Physics

An overview

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Main article

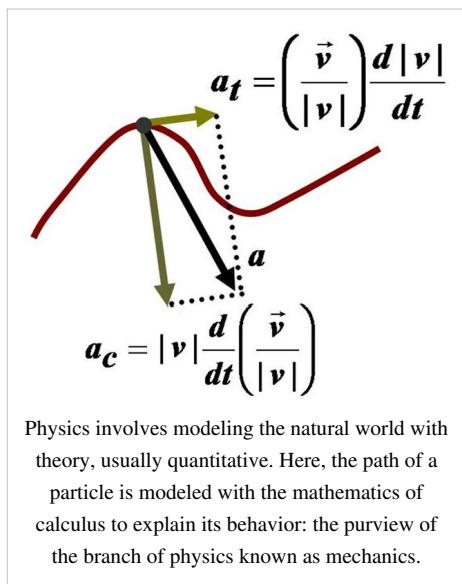
Physics

Physics (from Ancient Greek: φύσις *physis* "nature") is a natural science that involves the study of matter^[1] and its motion through spacetime, as well as all related concepts, including energy and force.^[2] More broadly, it is the general analysis of nature, conducted in order to understand how the universe behaves.^{[3] [4] [5]}

Physics is one of the oldest academic disciplines, perhaps the oldest through its inclusion of astronomy.^[6] Over the last two millennia, physics was a part of natural philosophy along with chemistry, certain branches of mathematics, and biology, but during the Scientific Revolution in the 16th century, the natural sciences emerged as unique research programs in their own right.^[7] Certain research areas are interdisciplinary, such as mathematical physics and quantum chemistry, which means that the boundaries of physics are not rigidly defined. In the nineteenth and twentieth centuries physicalism emerged as a major unifying feature of the philosophy of science as physics provides fundamental explanations for every observed natural phenomenon. New ideas in physics often explain the fundamental mechanisms of other sciences, while opening to new research areas in mathematics and philosophy.

Physics is also significant and influential through advances in its understanding that have translated into new technologies. For example, advances in the understanding of electromagnetism or nuclear physics led directly to the development of new products which have dramatically transformed modern-day society, such as television, computers, domestic appliances, and nuclear weapons; advances in thermodynamics led to the development of industrialization; and advances in mechanics inspired the development of calculus.

Scope and aims



Physics covers a wide range of phenomena, from elementary particles (such as quarks, neutrinos and electrons) to the largest superclusters of galaxies. Included in these phenomena are the most basic objects composing all other things. Therefore physics is sometimes called the "fundamental science".^[8] Physics aims to describe the various phenomenon that occur in nature in terms of simpler phenomena. Thus, physics aims to both connect the things observable to humans to root causes, and then connect these causes together.

For example, the ancient Chinese observed that certain rocks (lodestone) were attracted to one another by some invisible force. This effect was later called magnetism, and was first rigorously studied in the 17th century. A little earlier than the Chinese, the ancient Greeks knew of other objects such as amber, that when rubbed with fur would cause a similar invisible attraction between the two. This was also first studied rigorously in the 17th century, and came to be called

electricity. Thus, physics had come to understand two observations of nature in terms of some root cause (electricity and magnetism). However, further work in the 19th century revealed that these two forces were just two different aspects of one force – electromagnetism. This process of "unifying" forces continues today, and electromagnetism and the weak nuclear force are now considered to be two aspects of the electroweak interaction. Physics hopes to

find an ultimate reason (Theory of Everything) for why nature is as it is (see section *Current research* below for more information).

Scientific method

Physicists use a scientific method to test the validity of a physical theory, using a methodical approach to compare the implications of the theory in question with the associated conclusions drawn from experiments and observations conducted to test it. Experiments and observations are to be collected and matched with the predictions and hypotheses made by a theory, thus aiding in the determination of the validity/invalidity of the theory.

Theories which are very well supported by data and have never failed any competent empirical test are often called scientific laws, or natural laws. Of course, all theories, including those called scientific laws, can always be replaced by more accurate, generalized statements if a disagreement of theory with observed data is ever found.^[9]

Theory and experiment

Theorists seek to develop mathematical models that both agree with existing experiments and successfully predict future results, while experimentalists devise and perform experiments to test theoretical predictions and explore new phenomena. Although theory and experiment are developed separately, they are strongly dependent upon each other. Progress in physics frequently comes about when experimentalists make a discovery that existing theories cannot explain, or when new theories generate experimentally testable predictions, which inspire new experiments.

Physicists who work at the interplay of theory and experiment are called phenomenologists. Phenomenologists look at the complex phenomena observed in experiment and work to relate them to fundamental theory.

Theoretical physics has historically taken inspiration from philosophy; electromagnetism was unified this way.^[10] Beyond the known universe, the field of theoretical physics also deals with hypothetical issues,^[11] such as parallel universes, a multiverse, and higher dimensions. Theorists invoke these ideas in hopes of solving particular problems with existing theories. They then explore the consequences of these ideas and work toward making testable predictions.

Experimental physics informs, and is informed by, engineering and technology. Experimental physicists involved in basic research design and perform experiments with equipment such as particle accelerators and lasers, whereas those involved in applied research often work in industry, developing technologies such as magnetic resonance imaging (MRI) and transistors. Feynman has noted that experimentalists may seek areas which are not well explored by theorists.^[12]



The astronaut and Earth are both in free-fall



Lightning is an electric current

Relation to other sciences and to mathematics



This parabola-shaped lava flow illustrates Galileo's law of falling bodies as well as blackbody radiation – the temperature is discernible from the color of the blackbody.

In the *Assayer* (1622), Galileo noted that mathematics is the language in which Nature expresses its laws.^[13] Most experimental results in physics are numerical measurements, and theories in physics use mathematics to give numerical results to match these measurements.

Physics relies upon mathematics to provide the logical framework in which physical laws may be precisely formulated and predictions quantified. Whenever analytic solutions of equations are not feasible, numerical analysis and simulations may be utilized. Thus, scientific computation is an integral part of physics, and the field of computational physics is an active area of research.

A key difference between physics and mathematics is that since physics is ultimately concerned with descriptions of the material world,

it tests its theories by comparing the predictions of its theories with data procured from observations and experimentation, whereas mathematics is concerned with abstract patterns, not limited by those observed in the real world. The distinction, however, is not always clear-cut. There is a large area of research intermediate between physics and mathematics, known as mathematical physics.

Physics is also intimately related to many other sciences, as well as applied fields like engineering and medicine. The principles of physics find applications throughout the other natural sciences as some phenomena studied in physics, such as the conservation of energy, are common to *all* material systems. Other phenomena, such as superconductivity, stem from these laws, but are not laws themselves because they only appear in some systems.

Physics is often said to be the "fundamental science" (chemistry is sometimes included), because each of the other disciplines (biology, chemistry, geology, material science, engineering, medicine etc.) deals with particular types of material systems that obey the laws of physics.^[8] For example, chemistry is the science of collections of matter (such as gases and liquids formed of atoms and molecules) and the processes known as chemical reactions that result in the change of chemical substances.

The structure, reactivity, and properties of a chemical compound are determined by the properties of the underlying molecules, which may be well-described by areas of physics such as quantum mechanics, or quantum chemistry, thermodynamics, and electromagnetism.

Philosophical implications

Physics in many ways stems from ancient Greek philosophy. From Thales' first attempt to characterize matter, to Democritus' deduction that matter ought to reduce to an invariant state, the Ptolemaic astronomy of a crystalline firmament, and Aristotle's book *Physics*, different Greek philosophers advanced their own theories of nature. Well into the 18th century, physics was known as natural philosophy.

By the 19th century physics was realized as a positive science and a distinct discipline separate from philosophy and the other sciences. Physics, as with the rest of science, relies on philosophy of science to give an adequate description of the scientific method.^[14] The scientific method employs a priori reasoning as well as a posteriori reasoning and the use of Bayesian inference to measure the validity of a given theory.^[15]

The development of physics has answered many questions of early philosophers, but has also raised new questions. Study of the philosophical issues surrounding physics, the philosophy of physics, involves issues such as the nature of space and time, determinism, and metaphysical outlooks such as empiricism, naturalism and realism.^[16]

Many physicists have written about the philosophical implications of their work, for instance Laplace, who championed causal determinism,^[17] and Erwin Schrödinger, who wrote on quantum mechanics.^[18] The

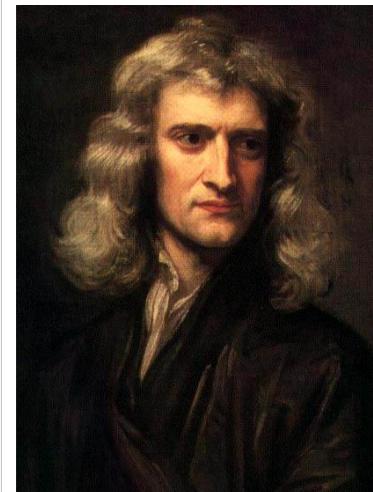
mathematical physicist Roger Penrose has been called a Platonist by Stephen Hawking,^[19] a view Penrose discusses in his book, *The Road to Reality*.^[20] Hawking refers to himself as an "unashamed reductionist" and takes issue with Penrose's views.^[21]

History

Since antiquity, people have tried to understand the behavior of the natural world. One great mystery was the predictable behavior of celestial objects such as the Sun and the Moon. Several theories were proposed, the majority of which were disproved.

The philosopher Thales (ca. 624–546 BC) first refused to accept various supernatural, religious or mythological explanations for natural phenomena, proclaiming that every event had a natural cause. Early physical theories were largely couched in philosophical terms, and never verified by systematic experimental testing as is popular today. Many of the commonly accepted works of Ptolemy and Aristotle are not always found to match everyday observations.

Even so, many ancient philosophers and astronomers gave correct descriptions in atomism and astronomy. Leucippus (first half of 5th century BC) first proposed atomism, while Archimedes derived many correct quantitative descriptions of mechanics, statics and hydrostatics, including an explanation for the principle of the lever. The Middle Ages saw the emergence of an experimental physics taking shape among medieval Muslim physicists, the most famous being Alhazen, followed by modern physics largely taking shape among early modern European physicists, the most famous being Isaac Newton, who built on the works of Galileo Galilei and Johannes Kepler. In the 20th century, the work of Albert Einstein marked a new direction in physics that continues to the present day.



Isaac Newton (1643–1727)

Core theories

While physics deals with a wide variety of systems, certain theories are used by all physicists. Each of these theories were experimentally tested numerous times and found correct as an approximation of nature (within a certain domain of validity). For instance, the theory of classical mechanics accurately describes the motion of objects, provided they are much larger than atoms and moving at much less than the speed of light. These theories continue to be areas of active research, and a remarkable aspect of classical mechanics known as chaos was discovered in the 20th century, three centuries after the original formulation of classical mechanics by Isaac Newton (1642–1727).

These central theories are important tools for research into more specialized topics, and any physicist, regardless of his or her specialization, is expected to be literate in them. These include classical mechanics, quantum mechanics, thermodynamics and statistical mechanics, electromagnetism, and special relativity.

Research fields

Contemporary research in physics can be broadly divided into condensed matter physics; atomic, molecular, and optical physics; particle physics; astrophysics; geophysics and biophysics. Some physics departments also support research in Physics education.

Since the twentieth century, the individual fields of physics have become increasingly specialized, and today most physicists work in a single field for their entire careers. "Universalists" such as Albert Einstein (1879–1955) and Lev Landau (1908–1968), who worked in multiple fields of physics, are now very rare.^[22]

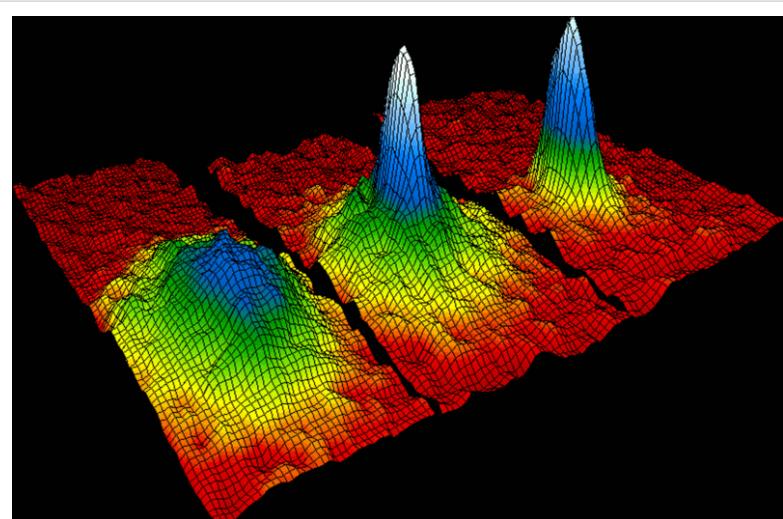
Condensed matter

Condensed matter physics is the field of physics that deals with the macroscopic physical properties of matter. In particular, it is concerned with the "condensed" phases that appear whenever the number of constituents in a system is extremely large and the interactions between the constituents are strong.

The most familiar examples of condensed phases are solids and liquids, which arise from the bonding and electromagnetic force between atoms. More exotic condensed phases include the superfluid and the Bose–Einstein condensate found in certain atomic systems at very low temperature, the superconducting phase exhibited by conduction electrons in certain materials, and the ferromagnetic and antiferromagnetic phases of spins on atomic lattices.

Condensed matter physics is by far the largest field of contemporary physics. Historically, condensed matter physics grew out of solid-state physics, which is now considered one of its main subfields. The term *condensed matter physics* was apparently coined by Philip Anderson when he renamed his research group — previously *solid-state theory* — in 1967.

In 1978, the Division of Solid State Physics at the American Physical Society was renamed as the Division of Condensed Matter Physics.^[23] Condensed matter physics has a large overlap with chemistry, materials science, nanotechnology and engineering.



Velocity-distribution data of a gas of rubidium atoms, confirming the discovery of a new phase of matter, the Bose–Einstein condensate

Atomic, molecular, and optical physics

Atomic, molecular, and optical physics (AMO) is the study of matter-matter and light-matter interactions on the scale of single atoms or structures containing a few atoms. The three areas are grouped together because of their interrelationships, the similarity of methods used, and the commonality of the energy scales that are relevant. All three areas include both classical and quantum treatments; they can treat their subject from a microscopic view (in contrast to a macroscopic view).

Atomic physics studies the electron shells of atoms. Current research focuses on activities in quantum control, cooling and trapping of atoms and ions, low-temperature collision dynamics, the collective behavior of atoms in weakly interacting gases (Bose–Einstein Condensates and dilute Fermi degenerate systems), precision measurements of fundamental constants, and the effects of electron correlation on structure and dynamics. Atomic physics is

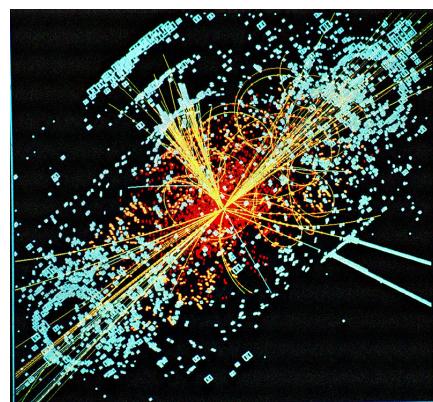
influenced by the nucleus (see, e.g., hyperfine splitting), but intra-nuclear phenomenon such as fission and fusion are considered part of high energy physics.

Molecular physics focuses on multi-atomic structures and their internal and external interactions with matter and light. Optical physics is distinct from optics in that it tends to focus not on the control of classical light fields by macroscopic objects, but on the fundamental properties of optical fields and their interactions with matter in the microscopic realm.

High energy/particle physics

Particle physics is the study of the elementary constituents of matter and energy, and the interactions between them. It may also be called "high energy physics", because many elementary particles do not occur naturally, but are created only during high energy collisions of other particles, as can be detected in particle accelerators.

Currently, the interactions of elementary particles are described by the Standard Model. The model accounts for the 12 known particles of matter (quarks and leptons) that interact via the strong, weak, and electromagnetic fundamental forces. Dynamics are described in terms of matter particles exchanging gauge bosons (gluons, W and Z bosons, and photons, respectively). The Standard Model also predicts a particle known as the Higgs boson, the existence of which has not yet been verified; as of 2010, searches for it are underway in the Tevatron at Fermilab and in the Large Hadron Collider at CERN.



A simulated event in the CMS detector of the Large Hadron Collider, featuring a possible appearance of the Higgs boson.

Astrophysics



The deepest visible-light image of the universe, the Hubble Ultra Deep Field

Astrophysics and astronomy are the application of the theories and methods of physics to the study of stellar structure, stellar evolution, the origin of the solar system, and related problems of cosmology. Because astrophysics is a broad subject, astrophysicists typically apply many disciplines of physics, including mechanics, electromagnetism, statistical mechanics, thermodynamics, quantum mechanics, relativity, nuclear and particle physics, and atomic and molecular physics.

The discovery by Karl Jansky in 1931 that radio signals were emitted by celestial bodies initiated the science of radio astronomy. Most recently, the frontiers of astronomy have been expanded by space exploration. Perturbations and interference from the earth's atmosphere make space-based observations necessary for infrared, ultraviolet, gamma-ray, and X-ray astronomy.

Physical cosmology is the study of the formation and evolution of the universe on its largest scales. Albert Einstein's theory of relativity plays a central role in all modern cosmological theories. In the early 20th century, Hubble's discovery that the universe was expanding, as shown by the Hubble diagram, prompted rival explanations known as

the steady state universe and the Big Bang.

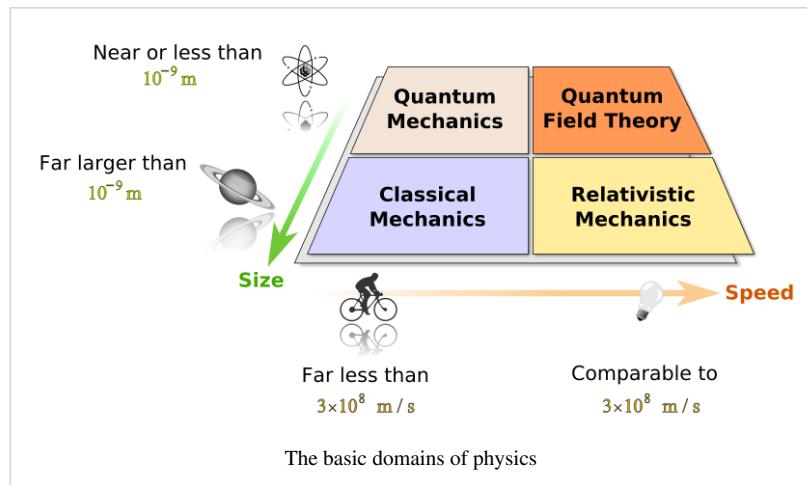
The Big Bang was confirmed by the success of Big Bang nucleosynthesis and the discovery of the cosmic microwave background in 1964. The Big Bang model rests on two theoretical pillars: Albert Einstein's general relativity and the cosmological principle. Cosmologists have recently established the Λ CDM model of the evolution of the universe, which includes cosmic inflation, dark energy and dark matter.

Numerous possibilities and discoveries are anticipated to emerge from new data from the Fermi Gamma-ray Space Telescope over the upcoming decade and vastly revise or clarify existing models of the Universe.^[24] [25] In particular, the potential for a tremendous discovery surrounding dark matter is possible over the next several years.^[26] Fermi will search for evidence that dark matter is composed of weakly interacting massive particles, complementing similar experiments with the Large Hadron Collider and other underground detectors.

IBEX is already yielding new astrophysical discoveries: "No one knows what is creating the ENA (energetic neutral atoms) ribbon" along the termination shock of the solar wind, "but everyone agrees that it means the textbook picture of the heliosphere — in which the solar system's enveloping pocket filled with the solar wind's charged particles is plowing through the onrushing 'galactic wind' of the interstellar medium in the shape of a comet — is wrong."^[27]

Fundamental physics

While physics aims to discover universal laws, its theories lie in explicit domains of applicability. Loosely speaking, the laws of classical physics accurately describe systems whose important length scales are greater than the atomic scale and whose motions are much slower than the speed of light. Outside of this domain, observations do not match their predictions. Albert Einstein contributed the framework of special relativity, which replaced notions of absolute time and space with spacetime and allowed an accurate description of systems whose components have speeds approaching the speed of light. Max Planck, Erwin Schrödinger, and others introduced quantum mechanics, a probabilistic notion of particles and interactions that allowed an accurate description of atomic and subatomic scales. Later, quantum field theory unified quantum mechanics and special relativity. General relativity allowed for a dynamical, curved spacetime, with which highly massive systems and the large-scale structure of the universe can be well described. General relativity has not yet been unified with the other fundamental descriptions; several candidates theories of quantum gravity are being developed.



Application and influence

Applied physics is a general term for physics research which is intended for a particular use. An applied physics curriculum usually contains a few classes in an applied discipline, like geology or electrical engineering. It usually differs from engineering in that an applied physicist may not be designing something in particular, but rather is using physics or conducting physics research with the aim of developing new technologies or solving a problem.

The approach is similar to that of applied mathematics. Applied physicists can also be interested in the use of physics for scientific research. For instance, people working on accelerator physics might seek to build better particle detectors for research in theoretical physics.

Physics is used heavily in engineering. For example, Statics, a subfield of mechanics, is used in the building of bridges and other structures. The understanding and use of acoustics results in better concert halls; similarly, the use of optics creates better optical devices. An understanding of physics makes for more realistic flight simulators, video games, and movies, and is often critical in forensic investigations.

With the standard consensus that the laws of physics are universal and do not change with time, physics can be used to study things that would ordinarily be mired in uncertainty. For example, in the study of the origin of the Earth, one can reasonably model Earth's mass, temperature, and rate of rotation, over time. It also allows for simulations in engineering which drastically speed up the development of a new technology.

But there is also considerable interdisciplinarity in the physicist's methods, and so many other important fields are influenced by physics: e.g. presently the fields of econophysics plays an important role, as well as sociophysics.

Current research

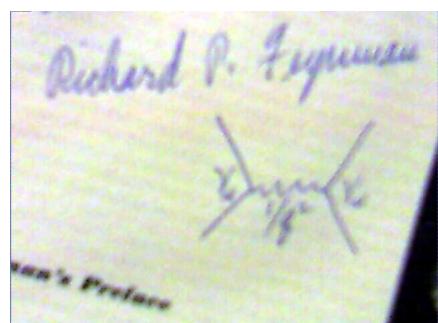
Research in physics is continually progressing on a large number of fronts.

In condensed matter physics, an important unsolved theoretical problem is that of high-temperature superconductivity. Many condensed matter experiments are aiming to fabricate workable spintronics and quantum computers.

In particle physics, the first pieces of experimental evidence for physics beyond the Standard Model have begun to appear. Foremost among these are indications that neutrinos have non-zero mass. These experimental results appear to have solved the long-standing solar neutrino problem, and the physics of massive neutrinos remains an area of active theoretical and experimental research. Particle accelerators have begun probing energy scales in the TeV range, in which experimentalists are hoping to find evidence for the Higgs boson and supersymmetric particles.^[28]



Archimedes' screw uses simple machines to lift liquids.



Feynman diagram signed by R. P. Feynman

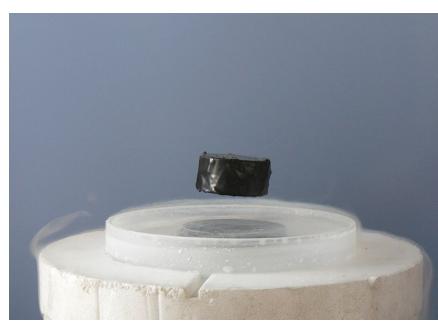
Theoretical attempts to unify quantum mechanics and general relativity into a single theory of quantum gravity, a program ongoing for over half a century, have not yet been decisively resolved. The current leading candidates are M-theory, superstring theory and loop quantum gravity.

Many astronomical and cosmological phenomena have yet to be satisfactorily explained, including the existence of ultra-high energy cosmic rays, the baryon asymmetry, the acceleration of the universe and the anomalous rotation rates of galaxies.

Although much progress has been made in high-energy, quantum, and astronomical physics, many everyday phenomena involving complexity, chaos, or turbulence are still poorly understood. Complex problems that seem like they could be solved by a clever application of dynamics and mechanics remain unsolved; examples include the formation of sandpiles, nodes in trickling water, the shape of water droplets, mechanisms of surface tension catastrophes, and self-sorting in shaken heterogeneous collections.

These complex phenomena have received growing attention since the 1970s for several reasons, including the availability of modern mathematical methods and computers, which enabled complex systems to be modeled in new ways. Complex physics has become part of increasingly interdisciplinary research, as exemplified by the study of turbulence in aerodynamics and the observation of pattern formation in biological systems. In 1932, Horace Lamb said:^[29]

I am an old man now, and when I die and go to heaven there are two matters on which I hope for enlightenment. One is quantum electrodynamics, and the other is the turbulent motion of fluids. And about the former I am rather optimistic.



A typical event described by physics: a magnet levitating above a superconductor demonstrates the Meissner effect.

References

- [1] Richard Feynman begins his *Lectures* with the atomic hypothesis, as his most compact statement of all scientific knowledge: "If, in some cataclysm, all of scientific knowledge were to be destroyed, and only one sentence passed on to the next generations ..., what statement would contain the most information in the fewest words? I believe it is ... that *all things are made up of atoms – little particles that move around in perpetual motion, attracting each other when they are a little distance apart, but repelling upon being squeezed into one another.* ..." R.P. Feynman, R.B. Leighton, M. Sands (1963). *The Feynman Lectures on Physics*. 1. p. I-2. ISBN 0-201-02116-1.
- [2] J.C. Maxwell (1878). *Matter and Motion* (http://books.google.com/?id=noRgWP0_UZ8C&printsec=titlepage&dq=matter+and+motion). D. Van Nostrand. p. 9. ISBN 0486668959. . "Physical science is that department of knowledge which relates to the order of nature, or, in other words, to the regular succession of events."
- [3] H.D. Young, R.A. Freedman (2004). *University Physics with Modern Physics* (11th ed.). Addison Wesley. p. 2. "Physics is an *experimental* science. Physicists observe the phenomena of nature and try to find patterns and principles that relate these phenomena. These patterns are called physical theories or, when they are very well established and of broad use, physical laws or principles."
- [4] S. Holzner (2006). *Physics for Dummies* (<http://www.amazon.com/gp/reader/0764554336>). Wiley. p. 7. ISBN 0470618418. . "Physics is the study of your world and the world and universe around you."
- [5] Note: The term 'universe' is defined as everything that physically exists: the entirety of space and time, all forms of matter, energy and momentum, and the physical laws and constants that govern them. However, the term 'universe' may also be used in slightly different contextual senses, denoting concepts such as the cosmos or the philosophical world.
- [6] Evidence exists that the earliest civilizations dating back to beyond 3000 BCE, such as the Sumerians, Ancient Egyptians, and the Indus Valley Civilization, all had a predictive knowledge and a very basic understanding of the motions of the Sun, Moon, and stars.
- [7] Francis Bacon's 1620 *Novum Organum* was critical in the development of scientific method.
- [8] *The Feynman Lectures on Physics* Volume I. Feynman, Leighton and Sands. ISBN 0-201-02115-3 See Chapter 3 : "The Relation of Physics to Other Sciences" for a general discussion. For the philosophical issue of whether other sciences can be "reduced" to physics, see reductionism and special sciences).
- [9] Some principles, such as Newton's laws of motion, are still generally called "laws" even though they are now known to be limiting cases of newer theories. Thus, for example, in Thomas Brody (1993, Luis de la Peña and Peter Hodgson, eds.) *The Philosophy Behind Physics* ISBN 0-387-55914-0, pp 18–24 (Chapter 2), explains the 'epistemic cycle' in which a student of physics discovers that physics is not a finished product but is instead the process of creating [that product].

- [10] See, for example, the influence of Kant and Ritter on Oersted.
- [11] Concepts which are denoted *hypothetical* can change with time. For example, the atom of nineteenth century physics was denigrated by some, including Ernst Mach's critique of Ludwig Boltzmann's formulation of statistical mechanics. By the end of World War II, the atom was no longer deemed hypothetical.
- [12] Feynman, Richard (1965). *The Character of Physical Law*. ISBN 0262560038. p.157: "In fact experimenters have a certain individual character. They ... very often do their experiments in a region in which people know the theorist has not made any guesses."
- [13] "Philosophy is written in that great book which ever lies before our eyes. I mean the universe, but we cannot understand it if we do not first learn the language and grasp the symbols in which it is written. This book is written in the mathematical language, and the symbols are triangles, circles and other geometrical figures, without whose help it is humanly impossible to comprehend a single word of it, and without which one wanders in vain through a dark labyrinth." – Galileo (1623), *The Assayer*, as quoted by G. Toraldo Di Francia (1976), *The Investigation of the Physical World* ISBN 0-521-29925-X p.10
- [14] Rosenberg, Alex (2006). *Philosophy of Science*. Routledge. ISBN 0-415-34317-8. See Chapter 1 for a discussion on the necessity of philosophy of science.
- [15] Peter Godfrey-Smith (2003), Chapter 14 "Bayesianism and Modern Theories of Evidence" *Theory and Reality: an introduction to the philosophy of science* ISBN 0-226-30063-3
- [16] Peter Godfrey-Smith (2003), Chapter 15 "Empiricism, Naturalism, and Scientific Realism?" *Theory and Reality: an introduction to the philosophy of science* ISBN 0-226-30063-3
- [17] See Laplace, Pierre Simon, *A Philosophical Essay on Probabilities*, translated from the 6th French edition by Frederick Wilson Truscott and Frederick Lincoln Emory, Dover Publications (New York, 1951)
- [18] See "The Interpretation of Quantum Mechanics" Ox Bow Press (1995) ISBN 1-881987-09-4. and "My View of the World" Ox Bow Press (1983) ISBN 0-918024-30-7.
- [19] Stephen Hawking and Roger Penrose (1996), *The Nature of Space and Time* ISBN 0-691-05084-8 p.4 "I think that Roger is a Platonist at heart but he must answer for himself."
- [20] Roger Penrose, *The Road to Reality* ISBN 0-679-45443-8
- [21] Penrose, Roger; Abner Shimony, Nancy Cartwright, Stephen Hawking (1997). *The Large, the Small and the Human Mind*. Cambridge University Press. ISBN 0-521-78572-3.
- [22] Yet, universalism is encouraged in the culture of physics. For example, the World Wide Web, which was innovated at CERN by Tim Berners-Lee, was created in service to the computer infrastructure of CERN, and was/is intended for use by physicists worldwide. The same might be said for arXiv.org
- [23] "Division of Condensed Matter Physics Governance History" (http://dcmp.bc.edu/page.php?name=governance_history). . Retrieved 13 February 2007.
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- [27] Richard A. Kerr (16 October 2009). "Tying Up the Solar System With a Ribbon of Charged Particles" (<http://www.sciencemag.org/cgi/content/summary/sci;326/5951/350-a?maxtoshow=&HITS=10&hits=10&RESULTFORMAT=&fulltext=IBEX&searchid=1&FIRSTINDEX=0&issue=5951&resourcetype=HWCIT>). *Science* **326** (5951): pp. 350–351. . Retrieved 27 November 2009.
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Further reading

Popular reading

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External links

General

- HyperPhysics website (<http://hyperphysics.phy-astr.gsu.edu/Hbase/hframe.html>) – HyperPhysics, a physics and astronomy mind-map from Georgia State University
- PhysicsCentral (<http://www.physicscentral.com/>) – Web portal run by the American Physical Society (<http://www.aps.org/>)
- Physics.org (<http://www.physics.org/>) – Web portal run by the Institute of Physics (<http://www.iop.org/>)
- *The Skeptic's Guide to Physics* (<http://musr.physics.ubc.ca/~jess/hr/skept/>)
- Usenet Physics FAQ (<http://math.ucr.edu/home/baez/physics/>) – A FAQ compiled by sci.physics and other physics newsgroups
- Website of the Nobel Prize in physics (http://nobelprize.org/nobel_prizes/physics/)
- World of Physics (<http://scienceworld.wolfram.com/physics/>) – An online encyclopedic dictionary of physics
- *Nature: Physics* (<http://www.nature.com/naturephysics>)
- Physics (<http://physics.aps.org/>) announced July 17, 2008 by the American Physical Society
- Physics/Publications (<http://www.dmoz.org//Science/Physics/Publications//>) at the Open Directory Project
- Physicsworld.com (<http://physicsworld.com>) - News website from Institute of Physics Publishing (<http://publishing.iop.org/>)
- Physics Central (<http://physlib.com/>) - includes articles on astronomy, particle physics, and mathematics.
- The Vega Science Trust (<http://www.vega.org.uk/>) - science videos, including physics
- Video: Physics "Lightning" Tour with Justin Morgan (<http://www.archive.org/details/JustinMorganPhysicsLightningTour/>)
- 52-part video course: The Mechanical Universe...and Beyond (<http://www.learner.org/resources/series42.html>) Note: also available at 01 - Introduction Physics (<http://video.google.com/videoplay?docid=>) at Google

Videos (Adobe Flash video)

- Encyclopedia of Physics (http://www.scholarpedia.org/article/Encyclopedia_of_physics) at Scholarpedia
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Organizations

- AIP.org (<http://www.aip.org/index.html>) – Website of the American Institute of Physics
- APS.org (<http://www.aps.org>) – Website of the American Physical Society
- IOP.org (<http://www.iop.org>) – Website of the Institute of Physics
- PlanetPhysics.org (<http://planetphysics.org/>)
- Royal Society (<http://www.royalsoc.ac.uk>) – Although not exclusively a physics institution, it has a strong history of physics
- SPS National (<http://www.spsnational.org>) – Website of the Society of Physics Students

Introduction

Theoretical physics

Theoretical physics is a branch of physics which employs mathematical models and abstractions of physics to rationalize, explain and predict natural phenomena. The importance of mathematics in theoretical physics is sometimes emphasized by expression "mathematical physics"^[1].

The advancement of science depends in general on the interplay between experimental studies and theory. In some cases, theoretical physics adheres to standards of mathematical rigor while giving little weight to experiments and observations. For example, while developing special relativity, Albert Einstein was concerned with the Lorentz transformation which left Maxwell's equations invariant, but was apparently uninterested in the Michelson-Morley experiment on Earth's drift through a luminiferous ether. On the other hand, Einstein was awarded the Nobel Prize for explaining the photoelectric effect, previously an experimental result lacking a theoretical formulation.

Overview

A **physical theory** is a model of physical events. It is judged by the extent to which its predictions agree with empirical observations. The quality of a physical theory is also judged on its ability to make new predictions which can be verified by new observations. A physical theory differs from a mathematical theorem in that while both are based on some form of axioms, judgment of mathematical applicability is not based on agreement with any experimental results.

$$\text{Ric} = k g$$

The equations for an Einstein manifold, used in general relativity to describe the curvature of spacetime

A physical theory involves one or more relationships between various measurable quantities. Archimedes realized that a ship floats by displacing its mass of water, Pythagoras understood the relation between the length of a vibrating string and the musical tone it produces, and how to calculate the length of a rectangle's diagonal. Other examples include entropy as a measure of the uncertainty regarding the positions and motions of unseen particles and the quantum mechanical idea that (action and) energy are not continuously variable.

Sometimes the vision provided by pure mathematical systems can provide clues to how a physical system might be modeled; e.g., the notion, due to Riemann and others, that space itself might be curved.

Theoretical advances may consist in setting aside old, incorrect paradigms (e.g., Burning consists of evolving phlogiston, or Astronomical bodies revolve around the Earth) or may be an alternative model that provides answers that are more accurate or that can be more widely applied.

Physical theories become accepted if they are able to make correct predictions and no (or few) incorrect ones. The theory should have, at least as a secondary objective, a certain economy and elegance (compare to mathematical beauty), a notion sometimes called "Occam's razor" after the 13th-century English philosopher William of Occam (or Ockham), in which the simpler of two theories that describe the same matter just as adequately is preferred. (But conceptual simplicity may mean mathematical complexity.) They are also more likely to be accepted if they connect a wide range of phenomena. Testing the consequences of a theory is part of the scientific method.

Physical theories can be grouped into three categories: *mainstream theories*, *proposed theories* and *fringe theories*.

History

Theoretical physics began at least 2,300 years ago, under the Pre-socratic philosophy, and continued by Plato and Aristotle, whose views held sway for a millennium. In medieval times, during the rise of the universities, the only acknowledged intellectual disciplines were theology, mathematics, medicine, and law. As the concepts of matter, energy, space, time and causality slowly began to acquire the form we know today, other sciences spun off from the rubric of natural philosophy. During the Middle Ages and Renaissance, the concept of experimental science, the counterpoint to theory, began with scholars such as Ibn al-Haytham and Francis Bacon. The modern era of theory began perhaps with the Copernican paradigm shift in astronomy, soon followed by Johannes Kepler's expressions for planetary orbits, which summarized the meticulous observations of Tycho Brahe.

The great push toward the modern concept of explanation started with Galileo, one of the few physicists who was both a consummate theoretician and a great experimentalist. The analytic geometry and mechanics of Descartes were incorporated into the calculus and mechanics of Isaac Newton, another theoretician/experimentalist of the highest order. Joseph-Louis Lagrange, Leonhard Euler and William Rowan Hamilton would extend the theory of classical mechanics considerably. Each of these individuals picked up the interactive intertwining of mathematics and physics begun two millennia earlier by Pythagoras.

Among the great conceptual achievements of the 19th and 20th centuries were the consolidation of the idea of energy by the inclusion of heat, then electricity and magnetism and light, and finally mass. The laws of thermodynamics, and most importantly the introduction of the singular concept of entropy began to provide a macroscopic explanation for the properties of matter.

The pillars of modern physics, and perhaps the most revolutionary theories in the history of physics, have been relativity theory and quantum mechanics. Newtonian mechanics was subsumed under special relativity and Newton's gravity was given a kinematic explanation by general relativity. Quantum mechanics led to an understanding of blackbody radiation and of anomalies in the specific heats of solids — and finally to an understanding of the internal structures of atoms and molecules.

All of these achievements depended on the theoretical physics as a moving force both to suggest experiments and to consolidate results — often by ingenious application of existing mathematics, or, as in the case of Descartes and Newton (with Leibniz), by inventing new mathematics. Fourier's studies of heat conduction led to a new branch of mathematics: infinite, orthogonal series.

Modern theoretical physics attempts to unify theories and explain phenomena in further attempts to understand the Universe, from the cosmological to the elementary particle scale. Where experimentation cannot be done, theoretical physics still tries to advance through the use of mathematical models. Some of their most prominent and well thought out advancements in this field include:

Prominent theoretical physicists

Famous *theoretical physicists* include

- Galileo Galilei (1564–1642)
 - Christiaan Huygens (1629–1695)
 - Isaac Newton (1643–1727)
 - Leonhard Euler (1707–1783)
 - Joseph Louis Lagrange (1736–1813)
 - Pierre-Simon Laplace (1749–1827)
 - Joseph Fourier (1768–1830)
 - Nicolas Léonard Sadi Carnot (1796–1842)
 - William Rowan Hamilton (1805–1865)
 - Rudolf Clausius (1822–1888)
-

- James Clerk Maxwell (1831–1879)
- J. Willard Gibbs (1839–1903)
- Ludwig Boltzmann (1844–1906)
- Hendrik A. Lorentz (1853–1928)
- Henri Poincaré (1854–1912)
- Nikola Tesla (1856–1943)
- Max Planck (1858–1947)
- Albert Einstein (1879–1955)
- Emmy Noether (1882–1935)
- Max Born (1882–1970)
- Niels Bohr (1885–1962)
- Erwin Schrödinger (1887–1961)
- Louis de Broglie (1892–1987)
- Satyendra Nath Bose (1894–1974)
- Wolfgang Pauli (1900–1958)
- Enrico Fermi (1901–1954)
- Werner Heisenberg (1901–1976)
- Paul Dirac (1902–1984)
- Eugene Wigner (1902–1995)
- Robert Oppenheimer (1904–1967)
- Sin-Itiro Tomonaga (1906–1979)
- Hideki Yukawa (1907–1981)
- John Bardeen (1908–1991)
- Lev Landau (1908–1967)
- Anatoly Vlasov (1908–1975)
- Nikolay Bogolyubov (1909–1992)
- Subrahmanyan Chandrasekhar (1910–1995)
- Richard Feynman (1918–1988)
- Julian Schwinger (1918–1994)
- Feza Gursey (1921–1992)
- Chen Ning Yang (1922–)
- Freeman Dyson (1923–)
- Gunnar Källén (1926–1968)
- Abdus Salam (1926–1996)
- Murray Gell-Mann (1929–)
- Riazuddin (1930–)
- Roger Penrose (1931–)
- George Sudarshan (1931–)
- Sheldon Glashow (1932–)
- Tom W. B. Kibble (1932–)
- Steven Weinberg (1933–)
- Gerald Guralnik (1936–)
- C. R. Hagen (1937–)
- Leonard Susskind (1940–)
- Michael Berry (1941–)
- Stephen Hawking (1942–)
- Alexander Polyakov (1945–)

- Gerardus 't Hooft (1946–)
- Jacob Bekenstein (1947–)
- Bertrand Halperin (1950–)
- Robert Laughlin (1950–)
- Edward Witten (1951–)
- Lee Smolin (1955-)
- Brian Greene (1963-)

Mainstream theories

Mainstream theories (sometimes referred to as *central theories*) are the body of knowledge of both factual and scientific views and possess a usual scientific quality of the tests of repeatability, consistency with existing well-established science and experimentation. There do exist mainstream theories that are generally accepted theories based solely upon their effects explaining a wide variety of data, although the detection, explanation and possible composition are subjects of debate.

Examples

- Black hole thermodynamics
- Classical mechanics
- Condensed matter physics
- Conservation of energy
- Dark Energy
- Dark matter
- Dynamics
- Electromagnetism
- Field theory
- Fluid dynamics
- General relativity
- Molecular modeling
- Particle physics
- Physical cosmology
- Quantum chromodynamics
- Quantum computers
- Quantum electrochemistry
- Quantum electrodynamics
- Quantum field theory
- Quantum information theory
- Quantum mechanics
- Solid mechanics
- Solid state physics or Condensed Matter Physics and the electronic structure of materials
- Special relativity
- Standard Model
- Statistical mechanics
- Thermodynamics

Proposed theories

The **proposed theories** of physics are usually relatively new theories which deal with the study of physics which include scientific approaches, means for determining the validity of models and new types of reasoning used to arrive at the theory. However, some proposed theories include theories that have been around for decades and have eluded methods of discovery and testing. Proposed theories can include fringe theories in the process of becoming established (and, sometimes, gaining wider acceptance). Proposed theories usually have not been tested.

Examples

- Causal Sets
- Dark energy or Einstein's Cosmological Constant
- Einstein-Rosen Bridge
- Emergence
- Grand unification theory
- Loop quantum gravity
- M-theory
- String theory
- Supersymmetry
- Theory of everything
- Unparticle physics

Thought experiments vs real experiments

"Thought" experiments are situations created in ones mind, asking a question akin to "Suppose you are in this situation. Assuming such is true, what would follow?". They are usually created to investigate phenomena that are not readily experienced in every-day situations. Famous examples of such thought experiments are Schrodinger's cat, the EPR thought experiment, simple illustrations of time dilation, and so on. These usually lead to real experiments designed to verify that the conclusion (and therefore the assumptions) of the thought experiments are correct. The EPR thought experiment lead to the Bell inequalities, which were then tested to various degrees of rigor, leading to the acceptance of the current formulation of quantum mechanics and probabilism as a working hypotheses.

Notes

[1] Sometimes *mathematical physics* and *theoretical physics* are used synonymously to refer to the latter.

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External links

- Timeline of Theoretical Physics (<http://superstringtheory.com/history/history3.html>)
 - MIT Center for Theoretical Physics (<http://ctp.lns.mit.edu/index.html>)
 - Electronic Journal of Theoretical Physics (EJTP) (<http://www.ejtp.com>)
-

- How to Become a Theoretical Physicist by a Nobel Laureate (<http://www.phys.uu.nl/~thooft/theorist.html>)
- Theory of longitudinal and transversal angular momentums (<http://www.odomann.com>)

Experimental physics

Within the field of physics, **experimental physics** is the category of disciplines and sub-disciplines concerned with the observation of physical phenomena in order to gather data about the universe. Methods vary from discipline to discipline, from simple experiments and observations, such as the Cavendish experiment, to more complicated ones, such as the Large Hadron Collider.

Overview

Experimental physics regroup all the disciplines of physics that are concerned with data-acquisition, data-acquisition methods, and the detailed conceptualization (beyond simple thought experiments) and realization of laboratory experiments. It is often put in contrast with theoretical physics, which is more concerned with predicting and explaining the physical behaviour of nature than the acquisition of knowledge about it.

Although experimental and theoretical physics are concerned with different aspects of nature, they both share the same goal of understanding it and have a symbiotic relation. The former provides data about the universe, which can then be analyzed in order to be understood, while the latter provides explanations for the data and thus offers insight on how to better acquire data and on how to set up experiments. Theoretical physics can also offer insight on what data is needed in order to gain a better understanding of the universe, and on what experiments to devise in order to obtain it.

History

As a distinct field, experimental physics was established in early modern Europe, during what is known as the Scientific Revolution, by physicists such as Galileo Galilei, Christiaan Huygens, Johannes Kepler, Blaise Pascal and Sir Isaac Newton. In the early 17th century, Galileo made extensive use of experimentation to validate physical theories, which is the key idea in the modern scientific method. Galileo formulated and successfully tested several results in dynamics, in particular the law of inertia, which later became the first law in Newton's laws of motion. In Galileo's *Two New Sciences*, a dialogue between the characters Simplicio and Salviati discuss the motion of a ship (as a moving frame) and how that ship's cargo is indifferent to its motion. Huygens used the motion of a boat along a Dutch canal to illustrate an early form of the conservation of momentum.

Experimental physics is considered to have culminated with the publication of the *Philosophiae Naturalis Principia Mathematica* in 1687 by Sir Isaac Newton (1643–1727). In 1687, Newton published the *Principia*, detailing two comprehensive and successful physical theories: Newton's laws of motion, from which arise classical mechanics; and Newton's law of universal gravitation, which describes the fundamental force of gravity. Both theories agreed well with experiment. The *Principia* also included several theories in fluid dynamics.

From the late 17th century onward, thermodynamics was developed by physicist and chemist Boyle, Young, and many others. In 1733, Bernoulli used statistical arguments with classical mechanics to derive thermodynamic results, initiating the field of statistical mechanics. In 1798, Thompson demonstrated the conversion of mechanical work into heat, and in 1847 Joule stated the law of conservation of energy, in the form of heat as well as mechanical energy. Ludwig Boltzmann, in the nineteenth century, is responsible for the modern form of statistical mechanics.

Besides classical mechanics and thermodynamics, another great field of experimental inquiry within physics was the nature of electricity. Observations in the 17th and eighteenth century by scientists such as Robert Boyle, Stephen Gray, and Benjamin Franklin created a foundation for later work. These observations also established our basic

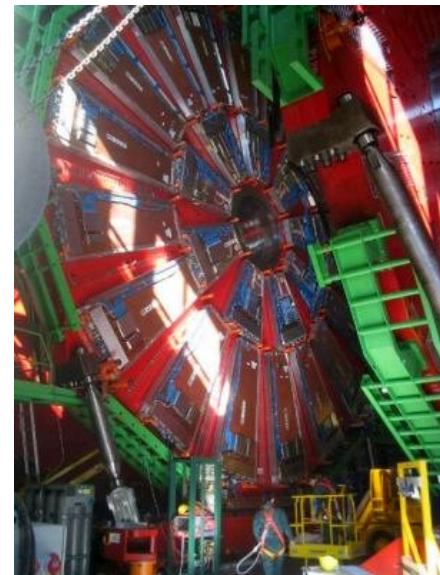
understanding of electrical charge and current. By 1808 John Dalton had discovered that atoms of different elements have different weights and proposed the modern theory of the atom.

It was Hans Christian Ørsted who first proposed the connection between electricity and magnetism after observing the deflection of a compass needle by a nearby electric current. By the early 1830s Michael Faraday had demonstrated that magnetic fields and electricity could generate each other. In 1864 James Clerk Maxwell presented to the Royal Society a set of equations that described this relationship between electricity and magnetism. Maxwell's equations also predicted correctly that light is an electromagnetic wave. Starting with astronomy, the principles of natural philosophy crystallized into fundamental laws of physics which were enunciated and improved in the succeeding centuries. By the 19th century, the sciences had segmented into multiple fields with specialized researchers and the field of physics, although logically pre-eminent, no longer could claim sole ownership of the entire field of scientific research.

Current experiments

Some examples of prominent experimental physics projects are:

- Relativistic Heavy Ion Collider which collides heavy ions such as gold ions (it is the first heavy ion collider) and protons, it is located at Brookhaven National Laboratory, on Long Island, USA.
- HERA, which collides electrons or positrons and protons, and is part of DESY, located in Hamburg, Germany.
- LHC, or the Large Hadron Collider, which completed construction in 2008 but suffered a series of setbacks. The LHC began operations in 2008, but was shut down for maintenance until the summer of 2009. It is the world's most energetic collider upon completion, it is located at CERN, on the French-Swiss border near Geneva. The collider became fully operational March 29, 2010 a year and a half later than originally planned.^[1]
- JWST, or the James Webb Space Telescope, is planned for launch in 2013. It will be the successor to the Hubble Space Telescope. It will survey the sky in the infrared region. The main goals of the JWST will be in order to understand the initial stages of the universe, galaxy formation as well as the formations of stars and planets, and the origins of life.



Part of the LHC at CERN, an experimental endeavor

Method

Experimental physics uses two main methods of experimental research, controlled experiments, and natural experiments. Controlled experiments are often used in laboratories as laboratories can offer a controlled environment. Natural experiments are used, for example, in astrophysics when observing celestial objects where control of the variables in effect is impossible.

Famous experiments

Famous *experiments* include:

- 2-degree-Field Galaxy Redshift Survey
- 2-Micron All-Sky Survey (2MASS)
- Bell test experiments
- BOOMERanG experiment

- Camera obscura experiments
- Cavendish experiment
- Cosmic Background Explorer
- Cowan–Reines neutrino experiment
- Davisson–Germer experiment
- Double-slit experiment
- Foucault pendulum
- Franck–Hertz experiment
- Geiger–Marsden experiment
- Gravity Probe A
- Gravity Probe B
- Homestake experiment
- Oil drop experiment
- Michelson–Morley experiment
- Sloan Digital Sky Survey
- Stern–Gerlach experiment
- Wilkinson Microwave Anisotropy Probe

Experimental techniques

Some well-known experimental techniques include:

- Crystallography
- Ellipsometry
- Faraday cage
- Interferometry
- Raman spectroscopy
- Signal processing
- Spectroscopy
- X-ray spectroscopy

Prominent experimental physicists

Famous *experimental physicists* include:

- Alhacen (965–1039)
- Carl David Anderson (1905–1991)
- John Bardeen (1908–1991)
- Antoine Henri Becquerel (1852–1908)
- Gerd Binnig (1947–Present)
- Abū Rayhān al-Bīrūnī (973–1043)
- Patrick Blackett (Baron Blackett) (1897–1974)
- Nicolaas Bloembergen (1920–Present)
- Jagadish Chandra Bose (1858–1937)
- William Henry Bragg (1862–1942)
- William Lawrence Bragg (1890–1971)
- Walter Houser Brattain (1902–1987)
- Karl Ferdinand Braun (1850–1918)
- James Chadwick (1891–1974)
- Owen Chamberlain (1920–2006)

- Pavel Alekseyevich Cherenkov (1904–1990)
- Steven Chu (1948–Present)
- John Cockcroft (1897–1967)
- Marie Curie (1867–1934)
- Clinton Davisson (1881–1958)
- Charles Drummond Ellis (1895–1980)
- Michael Faraday (1791–1867)
- Enrico Fermi (1901–1954)
- Galileo Galilei (1564–1642)
- Al-Khazini (fl. 1115-1130)
- Max von Laue (1879–1960)
- Ernest Orlando Lawrence (1901–1958)
- Ernst Mach (1838–1916)
- Albert Abraham Michelson (1852–1931)
- Robert Andrews Millikan (1868–1953)
- Ukichiro Nakaya (1900–1962)
- Isaac Newton (1643–1727)
- Chandrasekhara Venkata Raman (1888–1970)
- John William Strutt (3rd Baron Rayleigh) (1842–1919)
- Wilhelm Conrad Röntgen (1845–1923)
- Ernest Rutherford (1871–1937)
- William Bradford Shockley (1910–1989)
- Nikola Tesla (1856–1943)
- Joseph John Thomson (1856–1940)

Timelines

See the timelines below for listings of physics experiments.

- Timeline of classical mechanics
- Timeline of electromagnetism and classical optics
- Timeline of gravitational physics and relativity
- Timeline of nuclear fusion
- Timeline of other background radiation fields
- Timeline of particle physics technology
- Timeline of atomic and subatomic physics
- Timeline of states of matter and phase transitions
- Timeline of thermodynamics, statistical mechanics, and random processes
- Timeline of particle discoveries

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Philosophy of physics

In philosophy, the **philosophy of physics** studies the fundamental philosophical questions underlying modern physics, the study of matter and energy and how they interact. The philosophy of physics begins by reflecting on the basic metaphysical and epistemological questions posed by physics: causality, determinism, and the nature of physical law. It then turns to questions raised by important topics in contemporary physics:

- Physical cosmology: space, time, the origin and ultimate fate of the universe;
- Thermodynamics and statistical mechanics: energy, work, randomness, information;
- Quantum mechanics: the rival interpretations thereof, and its counterintuitive conclusions.

Centuries ago, the study of causality, and of the fundamental nature of space, time, matter, and the universe were part of metaphysics. Today the philosophy of physics is essentially a part of the philosophy of science.

Philosophy of space and time

Time

Time is a fundamental quantity (that is, a quantity which cannot be defined in terms of other quantities, because at present we don't know anything more basic than time). Thus time is defined via measurement - by its standard time interval. Currently, the standard time interval (called "conventional second," or simply "second") is defined as 9,192,631,770 oscillations of a hyperfine transition in the 133 caesium atom. (ISO 31-1). What time "is" and how it works follows from the above definition. Physicists use theory to predict how time is measured. Time then can be combined mathematically with the fundamental quantities of space and mass to derive concepts such as velocity, momentum, energy, and fields.

Both Newton and Galileo,^[1] as well as most people up until the 20th century, thought that time was the same for everyone everywhere. Our modern conception of time is based on Einstein's theory of relativity and Hermann Minkowski's spacetime, in which rates of time at separate places run differently, and space and time are merged into spacetime. Time may be quantized, with the theoretical smallest time being the Planck time. Einstein's general relativity as well as the redshift of the light from receding distant galaxies indicate that the entire Universe and possibly space-time itself began about 13.7 billion years ago in the big bang. Whether and how the universe will ever end are open questions.



Time, in many philosophies, is seen as change.

Time travel

Some theories, most notably special and general relativity, suggest that suitable geometries of spacetime, or certain types of motion in space, may allow time travel into the past and future. Concepts that aid such understanding include the closed timelike curve.

Albert Einstein's special theory of relativity (and, by extension, the general theory) predicts time dilation that could be interpreted as time travel. The theory states that, relative to a stationary observer, time appears to pass more slowly for faster-moving bodies: for example, a moving clock will appear to run slow; as a clock approaches the speed of light its hands will appear to nearly stop moving. The effects of this sort of time dilation are discussed further in the popular "twin paradox".

A second, similar type of time travel is permitted by general relativity. In this type a distant observer sees time passing more slowly for a clock at the bottom of a deep gravity well, and a clock lowered into a deep gravity well and pulled back up will indicate that less time has passed compared to a stationary clock that stayed with the distant observer.

These effects are to some degree similar to hibernation, or cooling of live objects (which slow down the rates of chemical processes in the subject) almost indefinitely suspending their life thus resulting in "time travel" toward the future, but never backward. They do not violate causality. This is not typical of the "time travel" featured in science fiction (where causality is violated at will), and there is little doubt surrounding its existence. "Time travel" will hereafter refer to travel with some degree of freedom into the past *or* future of proper time.

Many in the scientific community believe that time travel is highly unlikely, because it violates causality - logic of cause-effect sequence. What happens if you try to go back in time and kill yourself (or your grandfather, leading to

the grandfather paradox)? Also, there are no experimental evidences of time travel. Stephen Hawking once suggested that the absence of tourists from the future constitutes a strong argument against the existence of time travel—a variant of the Fermi paradox, with time travelers instead of alien visitors.

Space

Space is one of the few fundamental quantities in physics, meaning that it cannot be defined via other quantities because there is nothing more fundamental known at present. Thus, similar to the definition of other fundamental quantities (like time and mass), space is defined via measurement. Currently, the standard space interval, called a standard meter or simply meter, is defined as the distance traveled by light in a vacuum during a time interval of $1/299792458$ of a second (exact). This definition coupled with the present definition of time (see above) makes our space-time to be Minkowski space and makes special relativity theory to be absolutely correct by definition.

In classical physics, space is a three-dimensional Euclidean space where any position can be described using three coordinates. Special and general relativity uses spacetime rather than space; spacetime is modeled as a four-dimensional space (with the time axis being imaginary in special relativity and real in general relativity, and currently there are many theories which use more than 4-dimensional spaces, both real and complex).

Before Einstein's work on relativistic physics, time and space were viewed as independent dimensions. Einstein's work has shown that due to relativity of motion our space and time can be mathematically combined into one symmetric object - spacetime, in which the time axis (multiplied by ic) is indistinguishable from space axes. (Distances in space or in time separately are not invariant versus Lorentz coordinate transformations, but distances in such so called Minkowski spacetime are - which justifies the name).

Philosophy of quantum mechanics

Quantum mechanics has provided much controversy in philosophical interpretations. As it developed its theories began to contradict many of the accepted philosophies. However, all its mathematical predictions coincide with observations.

In most cases accepted philosophies are based on the everyday experience of the average human - which is extremely limited as it does not include observation of ultra-small systems, or motion with high speeds, or experimenting with high energies, strong gravity, etc. Thus, common-sense "theories", "intuitions" or "feelings" cannot be relied upon when it comes to descriptions or explanations of the behavior of many systems and objects in nature.

Determinism

The 18th century saw many advances in the domain of science. After Newton, most scientists agreed on the presupposition that the universe is governed by strict natural laws that can be discovered and formalized by means of scientific observation and experiment. This position is known as determinism. However, determinism precludes the possibility of free will. That is, if the universe, and thus the entire world, is governed by strict and universal laws, then that means that human beings are also governed by natural law in their own actions. In other words, it means that there is no such thing as human freedom (except as defined in compatibilism). Conversely, if we accept that human beings do have (libertarian or incompatibilist) free will, then we must accept that the world is not entirely governed by natural law. Some have argued that if the world is not entirely governed by natural law, then the task of science is rendered impossible. However, the development of quantum mechanics gave thinkers alternatives to these strictly bound possibilities, proposing a model for a universe that follows general rules but never had a predetermined future.

Uncertainty principle

The Uncertainty Principle is a mathematical principle that follows from the quantum mechanical definition of the operators of momentum and position (namely, the lack of commutativity between them) and that explains the behavior of the universe at atomic and subatomic scales.

The Uncertainty Principle was developed as an answer to the question: How does one measure the location of an electron around a nucleus if an electron is a wave? When quantum mechanics was developed, it was seen to be a relation between the classical and quantum descriptions of a system using wave mechanics.

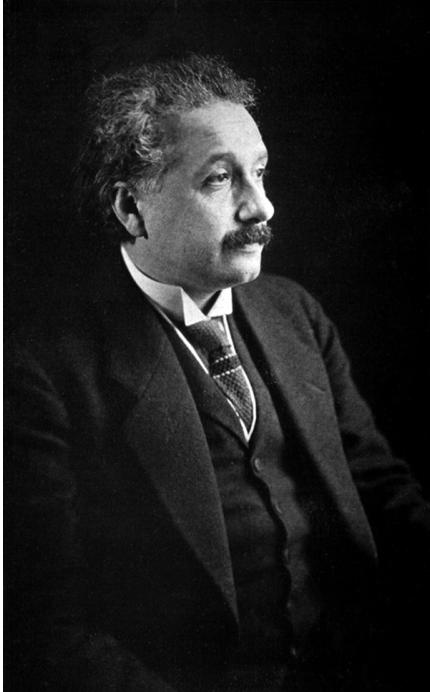
In March 1926, working in Niels Bohr's institute, Werner Heisenberg formulated the principle of uncertainty thereby laying the foundation of what became known as the Copenhagen interpretation of quantum mechanics. Heisenberg had been studying the papers of Paul Dirac and Jordan. He discovered a problem with measurement of basic variables in the equations. His analysis showed that uncertainties, or imprecisions, always turned up if one tried to measure the position and the momentum of a particle at the same time. Heisenberg concluded that these uncertainties or imprecisions in the measurements were not the fault of the experimenter, but fundamental in nature and are inherent mathematical properties of operators in quantum mechanics arising from definitions of these operators.^[2]

The term Copenhagen interpretation of quantum mechanics was often used interchangeably with and as a synonym for Heisenberg's Uncertainty Principle by detractors (such as Einstein and the physicist Alfred Lande) who believed in determinism and saw the common features of the Bohr-Heisenberg theories as a threat. Within the Copenhagen interpretation of quantum mechanics the uncertainty principle was taken to mean that on an elementary level, the physical universe does not exist in a deterministic form, but rather as a collection of probabilities, or possible outcomes. For example, the pattern (probability distribution) produced by millions of photons passing through a diffraction slit can be calculated using quantum mechanics, but the exact path of each photon cannot be predicted by any known method. The Copenhagen interpretation holds that it cannot be predicted by any method, not even with theoretically infinitely precise measurements.

Complementarity

The idea of complementarity is critical in quantum mechanics. It says that light can behave both like a particle and like a wave. When the double slit experiment was performed, light acted in some cases as a wave, and some cases as a particle. Physicists had no convincing theory to explain this until Bohr and complementarity came along. Quantum mechanics allows things that are completely opposite intuitively to each other to exist without problem.

Einstein on the importance of the philosophy of physics



Einstein was interested in the philosophical implications of his theory.

Albert Einstein was extremely interested in the philosophical conclusions of his work, and the following two quotes set out some of the reasons why he felt this way.

"I fully agree with you about the significance and educational value of methodology as well as history and philosophy of science. So many people today - and even professional scientists - seem to me like somebody who has seen thousands of trees but has never seen a forest. A knowledge of the historic and philosophical background gives that kind of independence from prejudices of his generation from which most scientists are suffering. This independence created by philosophical insight is - in my opinion - the mark of distinction between a mere artisan or specialist and a real seeker after truth." Einstein, letter to Robert A. Thornton, 7 December 1944. EA 61-574.

"How does it happen that a properly endowed natural scientist comes to concern himself with epistemology? Is there no more valuable work in his specialty? I hear many of my colleagues saying, and I sense it from many more, that they feel this way. I cannot share this sentiment. ...

Concepts that have proven useful in ordering things easily achieve such an authority over us that we forget their earthly origins and accept them as unalterable givens. Thus they come to be stamped as 'necessities of thought,' 'a priori givens,' etc.

"The path of scientific advance is often made impassable for a long time through such errors. For that reason, it is by no means an idle game if we become practiced in analyzing the long-commonplace concepts and exhibiting [revealing, exposing? -Ed.] those circumstances upon which their justification and usefulness depend, how they have grown up, individually, out of the givens of experience. By this means, their all-too-great authority will be broken." Einstein, 1916, "Memorial notice for Ernst Mach," *Physikalische Zeitschrift* 17: 101-02.

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External links

- Stanford Encyclopedia of Philosophy (<http://plato.stanford.edu/>):
- " Absolute and Relational Theories of Space and Motion (<http://plato.stanford.edu/entries/spacetime-theories/>)" -- Nick Huggett and Carl Hoefer.
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- " The Unity of Science (<http://plato.stanford.edu/entries/scientific-unity/>)" -- Jordi Cat.
- Occam's sword. (http://www.wikinfo.org/index.php/Occam's_sword)

History

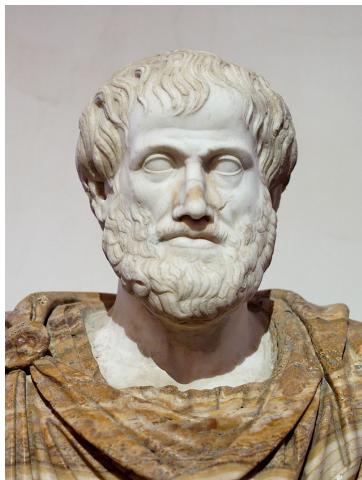
History of physics

As forms of science historically developed out of philosophy, **physics** (from Greek: φύσις *physis* "nature") was originally referred to as natural philosophy, a term describing a field of study concerned with "the workings of nature".

Early history

Elements of what became physics were drawn primarily from the fields of astronomy, optics, and mechanics, which were methodologically united through the study of geometry. These mathematical disciplines began in Antiquity with the Babylonians and with Hellenistic writers such as Archimedes and Ptolemy. Meanwhile, philosophy, including what was called "physics", focused on explanatory (rather than descriptive) schemes, largely developed around the Aristotelian idea of the four types of "causes".

The move towards a rational understanding of nature began at least since the Archaic Period in Greece (650 BCE – 480 BCE) with the Pre-Socratic philosophers. The philosopher Thales (7th and 6 centuries BCE), dubbed "the Father of Science" for refusing to accept various supernatural, religious or mythological explanations for natural phenomena, proclaimed that every event had a natural cause.^[1] Leucippus (first half of 5th century BCE), developed the theory of atomism – the idea that everything is composed entirely of various imperishable, indivisible elements called atoms. This was elaborated in great detail by Democritus.



Aristotle (384–322 BCE)

Aristotle (Greek: Ἀριστοτέλης, *Aristotélēs*) (384 BCE – 322 BCE), a student of Plato, promoted the concept that observation of physical phenomena could ultimately lead to the discovery of the natural laws governing them. He wrote the first work which refers to that line of study as "Physics" (Aristotle's Physics). During the classical period in Greece (6th, 5th and 4th centuries BCE) and in Hellenistic times, natural philosophy slowly developed into an exciting and contentious field of study.

Early in Classical Greece, that the earth is a sphere ("round"), was generally known by all, and around 240 BCE, Eratosthenes (276 BCE – 194 BCE) accurately estimated its circumference. In contrast to Aristotle's geocentric views, Aristarchus of Samos (Greek: Ἀρίσταρχος; 310 BCE – ca. 230 BCE) presented an explicit argument for a heliocentric model of the solar system, placing the Sun, not the Earth, at the centre. Seleucus of Seleucia, a follower of the heliocentric theory of Aristarchus, stated that the Earth rotated around

its own axis, which in turn revolved around the Sun. Though the arguments he used were lost, Plutarch stated that Seleucus was the first to prove the heliocentric system through reasoning.

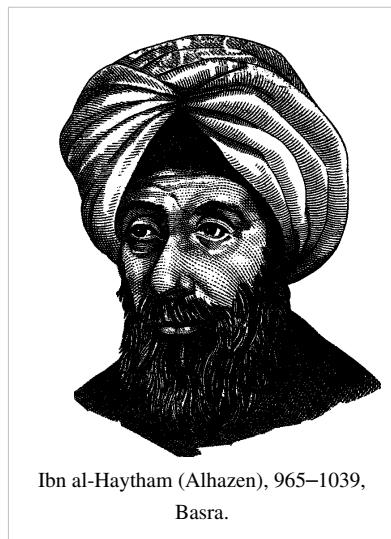
In the 3rd century BCE, the Greek mathematician Archimedes laid the foundations of hydrostatics, statics and the explanation of the principle of the lever. In his work *On Floating Bodies*, around 250 BCE, Archimedes develops the law of buoyancy, also known as Archimedes' Principle. The astronomer Ptolemy wrote the Almagest, a comprehensive astronomical text that formed the basis of much later science.

Much of the accumulated knowledge of the ancient world was lost. Even of the works of the better known thinkers, few fragments survived. Although he wrote at least fourteen books, almost nothing of Hipparchus' direct work survived. Of the 150 reputed Aristotelian works, only 30 exist, and some of those are "little more than lecture notes". The Islamic Abbasid caliphs gathered many classic works of antiquity and had them translated into Arabic. Islamic philosophers such as Al-Kindi (Alkindus), Al-Farabi (Alpharabius), Avicenna (Ibn Sina) and Averroes (Ibn Rushd) reinterpreted Greek thought in the context of their religion. Important contributions were made by Ibn al-Haytham and Abū Rayhān Bīrūnī^[2] ^[3] before eventually passing on to Western Europe where they were studied by scholars such as Roger Bacon and Witelo.

Awareness of ancient works re-entered the West through translations from Arabic to Latin. Their re-introduction, combined with Judeo-Islamic theological commentaries, had a great influence on Medieval philosophers such as Thomas Aquinas. Scholastic European scholars, who sought to reconcile the philosophy of the ancient classical philosophers with Christian theology, proclaimed Aristotle the greatest thinker of the ancient world. In cases where they didn't directly contradict the Bible, Aristotelian physics became the foundation for the physical explanations of the European Churches.

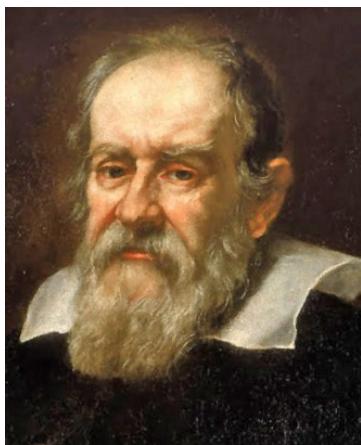
Based on Aristotelian physics, Scholastic physics described things as moving according to their essential nature. Celestial objects were described as moving in circles, because perfect circular motion was considered an innate property of objects that existed in the uncorrupted realm of the celestial spheres. The theory of impetus, the ancestor to the concepts of inertia and momentum, was developed along similar lines by medieval philosophers such as John Philoponus and Jean Buridan. Motions below the lunar sphere were seen as imperfect, and thus could not be expected to exhibit consistent motion. More idealized motion in the "sublunar" realm could only be achieved through artifice, and prior to the 17th century, many did not view artificial experiments as a valid means of learning about the natural world. Physical explanations in the sublunar realm revolved around tendencies. Stones contained the element earth, and earthy objects tended to move in a straight line toward the centre of the earth (and the universe in the Aristotelian geocentric view) unless otherwise prevented from doing so.

Important physical and mathematical traditions also existed in ancient Chinese and Indian sciences. In Indian philosophy, Kanada of the Vaisheshika school proposed the theory of atomism during the 1st millennium BCE,^[4] ^[5] and it was further elaborated on by the Buddhist atomists Dharmakirti and Dignāga during the 1st millennium CE.^[6] In Indian astronomy, Aryabhata's *Aryabhatiya* (499 CE) proposed the Earth's rotation, while Nilakantha Somayaji (1444–1544) of the Kerala school of astronomy and mathematics proposed a semi-heliocentric model resembling the Tychonic system. In Chinese philosophy, Mozi (c. 470–390 BCE) proposed a concept similar to inertia, while in optics, Shen Kuo (1031–1095 CE) independently developed a camera obscura.^[7] The study of magnetism in China dates back to the 4th century BCE (in the *Book of the Devil Valley Master*),^[8] eventually leading to the invention of the compass.



Ibn al-Haytham (Alhazen), 965–1039,
Basra.

Galileo Galilei and the rise of physico-mathematics



Galileo Galilei (1564–1642)

In the 17th century, natural philosophers began to mount a sustained attack on the Scholastic philosophical program, and supposed that mathematical descriptive schemes adopted from such fields as mechanics and astronomy could actually yield universally valid characterizations of motion. The Tuscan mathematician Galileo Galilei was the central figure in the shift to this perspective. As a mathematician, Galileo's role in the university culture of his era was subordinated to the three major topics of study: law, medicine, and theology (which was closely allied to philosophy). Galileo, however, felt that the descriptive content of the technical disciplines warranted philosophical interest, particularly because mathematical analysis of astronomical observations—notably the radical analysis offered by astronomer Nicolaus Copernicus concerning the relative motions of the sun, earth, moon, and planets—indicated that philosophers' statements about the nature of the universe could be shown to be in error. Galileo also performed mechanical experiments, and insisted that motion itself—regardless of whether that motion was natural or artificial—had universally consistent characteristics that could be described mathematically.

Galileo used his 1609 telescopic discovery of the moons of Jupiter, as published in his *Sidereus Nuncius* in 1610, to procure a position in the Medici court with the dual title of mathematician and philosopher. As a court philosopher, he was expected to engage in debates with philosophers in the Aristotelian tradition, and received a large audience for his own publications, such as *The Assayer* and *Discourses and Mathematical Demonstrations Concerning Two New Sciences*, which was published abroad after he was placed under house arrest for his publication of *Dialogue Concerning the Two Chief World Systems* in 1632.^[9] [10]

Galileo's interest in the mechanical experimentation and mathematical description in motion established a new natural philosophical tradition focused on experimentation. This tradition, combining with the non-mathematical emphasis on the collection of "experimental histories" by philosophical reformists such as William Gilbert and Francis Bacon, drew a significant following in the years leading up to and following Galileo's death, including Evangelista Torricelli and the participants in the Accademia del Cimento in Italy; Marin Mersenne and Blaise Pascal in France; Christiaan Huygens in the Netherlands; and Robert Hooke and Robert Boyle in England.

The Cartesian philosophy of motion

The French philosopher René Descartes was well-connected to, and influential within, the experimental philosophy networks. Descartes had a more ambitious agenda, however, which was geared toward replacing the Scholastic philosophical tradition altogether. Questioning the reality interpreted through the senses, Descartes sought to re-establish philosophical explanatory schemes by reducing all perceived phenomena to being attributable to the motion of an invisible sea of "corpuscles". (Notably, he reserved human thought and God from his scheme, holding these to be separate from the physical universe). In proposing this philosophical framework, Descartes supposed that different kinds of motion, such as that of planets versus that of terrestrial objects, were not fundamentally different, but were merely different manifestations of an endless chain of corpuscular

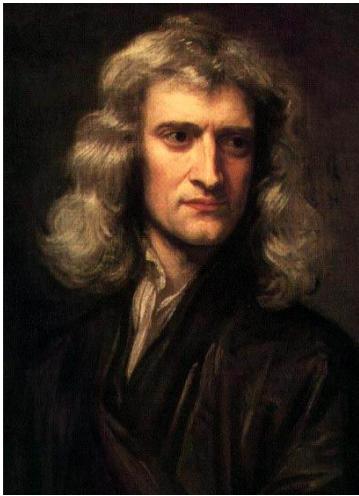


René Descartes (1596–1650)

motions obeying universal principles. Particularly influential were his explanation for circular astronomical motions in terms of the vortex motion of corpuscles in space (Descartes argued, in accord with the beliefs, if not the methods, of the Scholastics, that a vacuum could not exist), and his explanation of gravity in terms of corpuscles pushing objects downward.^{[11] [12] [13]}

Descartes, like Galileo, was convinced of the importance of mathematical explanation, and he and his followers were key figures in the development of mathematics and geometry in the 17th century. Cartesian mathematical descriptions of motion held that all mathematical formulations had to be justifiable in terms of direct physical action, a position held by Huygens and the German philosopher Gottfried Leibniz, who, while following in the Cartesian tradition, developed his own philosophical alternative to Scholasticism, which he outlined in his 1714 work, *The Monadology*.

Newtonian motion versus Cartesian motion



Sir Isaac Newton, (1643–1727)

In the late 17th and early 18th centuries, the Cartesian mechanical tradition was challenged by another philosophical tradition established by the Cambridge University mathematician Isaac Newton. Where Descartes held that all motions should be explained with respect to the immediate force exerted by corpuscles, Newton chose to describe universal motion with reference to a set of fundamental mathematical principles: his three laws of motion and the law of gravitation, which he introduced in his 1687 work *Mathematical Principles of Natural Philosophy*. Using these principles, Newton removed the idea that objects followed paths determined by natural shapes (such as Kepler's idea that planets moved naturally in ellipses), and instead demonstrated that not only regularly observed paths, but all the future motions of any body could be deduced mathematically based on knowledge of their existing motion, their mass, and the forces acting upon them.

However, observed celestial motions did not precisely conform to a Newtonian treatment, and Newton, who was also deeply interested in

theology, imagined that God intervened to ensure the continued stability of the solar system.

Newton's principles (but not his mathematical treatments) proved controversial with Continental philosophers, who found his lack of metaphysical explanation for movement and gravitation philosophically unacceptable. Beginning around 1700, a bitter rift opened between the Continental and British philosophical traditions, which were stoked by heated, ongoing, and viciously personal disputes between the followers of Newton and Leibniz concerning priority over the analytical techniques of infinitesimal calculus, which each had developed independently. Initially, the Cartesian and Leibnizian traditions prevailed on the Continent (leading to the dominance of the Leibnizian calculus notation everywhere except Britain). Newton himself remained privately disturbed at the lack of a philosophical understanding of gravitation, while insisting in his writings that none was necessary to infer its reality. As the 18th century progressed, Continental natural philosophers increasingly accepted the Newtonians' willingness to forgo ontological metaphysical explanations for mathematically described motions.^{[14] [15] [16]}



Gottfried Leibniz, (1646–1716)

Rational mechanics in the 18th century



Leonhard Euler, (1707–1783)

The mathematical analytical traditions established by Newton and Leibniz flourished during the 18th century as more mathematicians learned calculus and elaborated upon its initial formulation. The application of mathematical analysis to problems of motion was known as rational mechanics, or mixed mathematics (and was later termed classical mechanics). This work primarily revolved around celestial mechanics, although other applications were also developed, such as the Swiss mathematician Daniel Bernoulli's treatment of fluid dynamics, which he introduced in his 1738 work *Hydrodynamica*.^[17]

Rational mechanics dealt primarily with the development of elaborate mathematical treatments of observed motions, using Newtonian principles as a basis, and emphasized improving the tractability of complex calculations and developing of legitimate means of analytical approximation. A representative contemporary textbook was published by Johann Baptiste Horvath. By the end of the century analytical treatments were rigorous

enough to verify the stability of the solar system solely on the basis of Newton's laws without reference to divine intervention—even as deterministic treatments of systems as simple as the three body problem in gravitation remained intractable.^[18]

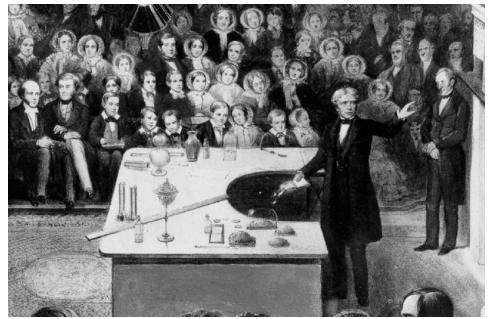
British work, carried on by mathematicians such as Brook Taylor and Colin Maclaurin, fell behind Continental developments as the century progressed. Meanwhile, work flourished at scientific academies on the Continent, led by such mathematicians as Daniel Bernoulli, Leonhard Euler, Joseph-Louis Lagrange, Pierre-Simon Laplace, and Adrien-Marie Legendre. At the end of the century, the members of the French Academy of Sciences had attained clear dominance in the field.^{[19] [20] [21] [22]}

Physical experimentation in the 18th and early 19th centuries

At the same time, the experimental tradition established by Galileo and his followers persisted. The Royal Society and the French Academy of Sciences were major centers for the performance and reporting of experimental work, and Newton was himself an influential experimenter, particularly in the field of optics, where he was recognized for his prism experiments dividing white light into its constituent spectrum of colors, as published in his 1704 book *Opticks* (which also advocated a particulate interpretation of light). Experiments in mechanics, optics, magnetism, static electricity, chemistry, and physiology were not clearly distinguished from each other during the 18th century, but significant differences in explanatory schemes and, thus, experiment design were emerging. Chemical experimenters, for instance, defied attempts to enforce a scheme of abstract Newtonian forces onto chemical affiliations, and instead focused on the isolation and classification of chemical substances and reactions.^[23]

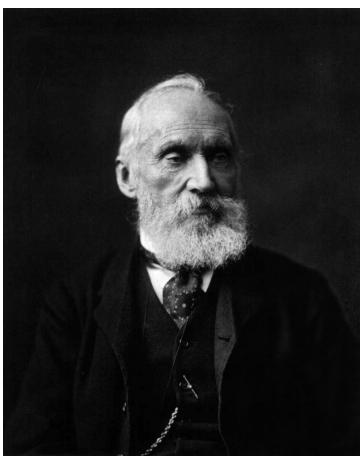
Nevertheless, the separate fields remained tied together, most clearly through the theories of weightless "imponderable fluids", such as heat ("caloric"), electricity, and phlogiston (which was rapidly overthrown as a concept following Lavoisier's identification of oxygen gas late in the century). Assuming that these concepts were real fluids, their flow could be traced through a mechanical apparatus or chemical reactions. This tradition of experimentation led to the development of new kinds of experimental apparatus, such as the Leyden Jar and the Voltaic Pile; and new kinds of measuring instruments, such as the calorimeter, and improved versions of old ones, such as the thermometer. Experiments also produced new concepts, such as the University of Glasgow experimenter Joseph Black's notion of latent heat and Philadelphia intellectual Benjamin Franklin's characterization of electrical fluid as flowing between places of excess and deficit (a concept later reinterpreted in terms of positive and negative charges).

While it was recognized early in the 18th century that finding absolute theories of electrostatic and magnetic force akin to Newton's principles of motion would be an important achievement, none were forthcoming. This impossibility only slowly disappeared as experimental practice became more widespread and more refined in the early years of the 19th century in places such as the newly established Royal Institution in London, where John Dalton argued for an atomistic interpretation of chemistry, Thomas Young argued for the interpretation of light as a wave, and Michael Faraday established the phenomenon of electromagnetic induction. Meanwhile, the analytical methods of rational mechanics began to be applied to experimental phenomena, most influentially with the French mathematician Joseph Fourier's analytical treatment of the flow of heat, as published in 1822.^[24] [25] [26]



Michael Faraday (1791–1867) delivering the 1856 Christmas Lecture at the Royal Institution.

Thermodynamics, statistical mechanics, and electromagnetic theory

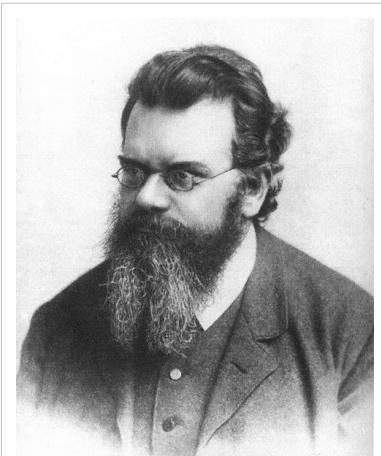


William Thomson (1824–1907), later Lord Kelvin

The establishment of a mathematical physics of energy between the 1850s and the 1870s expanded substantially on the physics of prior eras and challenged traditional ideas about how the physical world worked. While Pierre-Simon Laplace's work on celestial mechanics solidified a deterministically mechanistic view of objects obeying fundamental and totally reversible laws, the study of energy and particularly the flow of heat, threw this view of the universe into question. Drawing upon the engineering theory of Lazare and Sadi Carnot, and Émile Clapeyron; the experimentation of James Prescott Joule on the interchangeability of mechanical, chemical, thermal, and electrical forms of work; and his own Cambridge mathematical tripos training in mathematical analysis; the Glasgow physicist William Thomson and his circle of associates established a new mathematical physics relating to the exchange of different forms of energy and energy's overall conservation (what is still accepted as the "first law of thermodynamics").

Their work was soon allied with the theories of similar work by the German physician Julius Robert von Mayer and physicist and physiologist Hermann von Helmholtz on the conservation of forces.

Taking his mathematical cues from the heat flow work of Joseph Fourier (and his own religious and geological convictions), Thomson believed that the dissipation of energy with time (what is accepted as the “second law of thermodynamics”) represented a fundamental principle of physics, which was expounded in Thomson and Peter Guthrie Tait’s influential work *Treatise on Natural Philosophy*. However, other interpretations of what Thomson called thermodynamics were established through the work of the German physicist Rudolf Clausius. His statistical mechanics, which was elaborated upon by Ludwig Boltzmann and the British physicist James Clerk Maxwell, held that energy (including heat) was a measure of the speed of particles. Interrelating the statistical likelihood of certain states of organization of these particles with the energy of those states, Clausius reinterpreted the dissipation of energy to be the statistical tendency of molecular configurations to pass toward increasingly likely, increasingly disorganized states (coining the term “entropy” to describe the disorganization of a state). The statistical versus absolute interpretations of the second law of thermodynamics set up a dispute that would last for several decades (producing arguments such as “Maxwell’s demon”), and that would not be held to be definitively resolved until the behavior of atoms was firmly established in the early 20th century.^[27] [28]



Ludwig Boltzmann (1844–1906)

Meanwhile, the new physics of energy transformed the analysis of electromagnetic phenomena, particularly through the introduction of the concept of the field and the publication of Maxwell’s 1873 *Treatise on Electricity and Magnetism*, which also drew upon theoretical work by German theoreticians such as Carl Friedrich Gauss and Wilhelm Weber. The encapsulation of heat in particulate motion, and the addition of electromagnetic forces to Newtonian dynamics established an enormously robust theoretical underpinning to physical observations. The prediction that light represented a transmission of energy in wave form through a “luminiferous ether”, and the seeming confirmation of that prediction with Helmholtz student Heinrich Hertz’s 1888 detection of electromagnetic radiation, was a major triumph for physical theory and raised the possibility that even more fundamental theories based on the field could soon be developed.^[29] [30] [31] [32]

Research on the transmission of electromagnetic waves began soon after, with the experiments conducted by physicists such as Nikola Tesla, Jagadish Chandra Bose and Guglielmo Marconi during the 1890s leading to the invention of radio.

The emergence of a new physics circa 1900



Marie Skłodowska Curie (1867–1934)

The triumph of Maxwell's theories was undermined by inadequacies that had already begun to appear. The Michelson-Morley experiment failed to detect a shift in the speed of light, which would have been expected as the earth moved at different angles with respect to the ether. The possibility explored by Hendrik Lorentz, that the ether could compress matter, thereby rendering it undetectable, presented problems of its own as a compressed electron (detected in 1897 by British experimentalist J. J. Thomson) would prove unstable. Meanwhile, other experimenters began to detect unexpected forms of radiation: Wilhelm Röntgen caused a sensation with his discovery of x-rays in 1895; in 1896 Henri Becquerel discovered that certain kinds of matter emit radiation on their own accord. Marie and Pierre Curie coined the term "radioactivity" to describe this property of matter, and isolated the radioactive elements radium and polonium. Ernest Rutherford and Frederick Soddy identified two of Becquerel's forms of radiation with electrons and the element helium. In 1911 Rutherford established that the bulk of mass in

atoms are concentrated in positively charged nuclei with orbiting electrons, which was a theoretically unstable configuration. Studies of radiation and radioactive decay continued to be a preeminent focus for physical and chemical research through the 1930s, when the discovery of nuclear fission opened the way to the practical exploitation of what came to be called "atomic" energy.

Radical new physical theories also began to emerge in this same period. In 1905 Albert Einstein, then a Bern patent clerk, argued that the speed of light was a constant in all inertial reference frames and that electromagnetic laws should remain valid independent of reference frame—assertions which rendered the ether "superfluous" to physical theory, and that held that observations of time and length varied relative to how the observer was moving with respect to the object being measured (what came to be called the "special theory of relativity"). It also followed that mass and energy were interchangeable quantities according to the equation $E=mc^2$. In another paper published the same year, Einstein asserted that electromagnetic radiation was transmitted in discrete quantities ("quanta"), according to a constant that the theoretical physicist Max Planck had posited in 1900 to arrive at an accurate theory for the distribution of blackbody radiation—an assumption that explained the strange properties of the photoelectric effect. The Danish physicist Niels Bohr used this same constant in 1913 to explain the stability of Rutherford's atom as well as the frequencies of light emitted by hydrogen gas.



Albert Einstein (1879–1955)

The radical years: general relativity and quantum mechanics

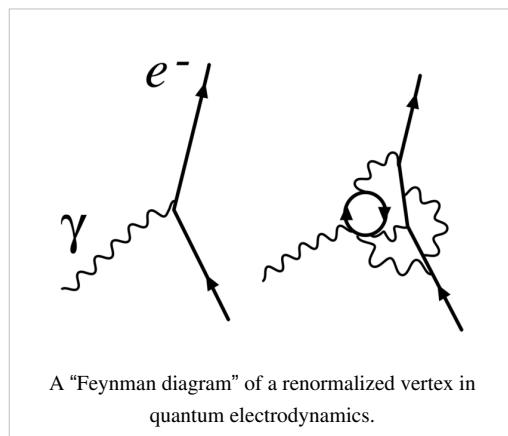
The gradual acceptance of Einstein's theories of relativity and the quantized nature of light transmission, and of Niels Bohr's model of the atom created as many problems as they solved, leading to a full-scale effort to reestablish physics on new fundamental principles. Expanding relativity to cases of accelerating reference frames (the "general theory of relativity") in the 1910s, Einstein posited an equivalence between the inertial force of acceleration and the force of gravity, leading to the conclusion that space is curved and finite in size, and the prediction of such phenomena as gravitational lensing and the distortion of time in gravitational fields.

The quantized theory of the atom gave way to a full-scale quantum mechanics in the 1920s. The quantum theory (which previously relied in the “correspondence” at large scales between the quantized world of the atom and the continuities of the “classical” world) was accepted when the Compton Effect established that light carries momentum and can scatter off particles, and when Louis de Broglie asserted that matter can be seen as behaving as a wave in much the same way as electromagnetic waves behave like particles (wave-particle duality). New principles of a “quantum” rather than a “classical” mechanics, formulated in matrix-form by Werner Heisenberg, Max Born, and Pascual Jordan in 1925, were based on the probabilistic relationship between discrete “states” and denied the possibility of causality. Erwin Schrödinger established an equivalent theory based on waves in 1926; but Heisenberg’s 1927 “uncertainty principle” (indicating the impossibility of precisely and simultaneously measuring position and momentum) and the “Copenhagen interpretation” of quantum mechanics (named after Bohr’s home city) continued to deny the possibility of fundamental causality, though opponents such as Einstein would assert that “God does not play dice with the universe”.^[33] Also in the 1920s, Satyendra Nath Bose’s work on photons and quantum mechanics provided the foundation for Bose-Einstein statistics, the theory of the Bose-Einstein condensate, and the discovery of the boson.



Niels Bohr (1885–1962)

Constructing a new fundamental physics

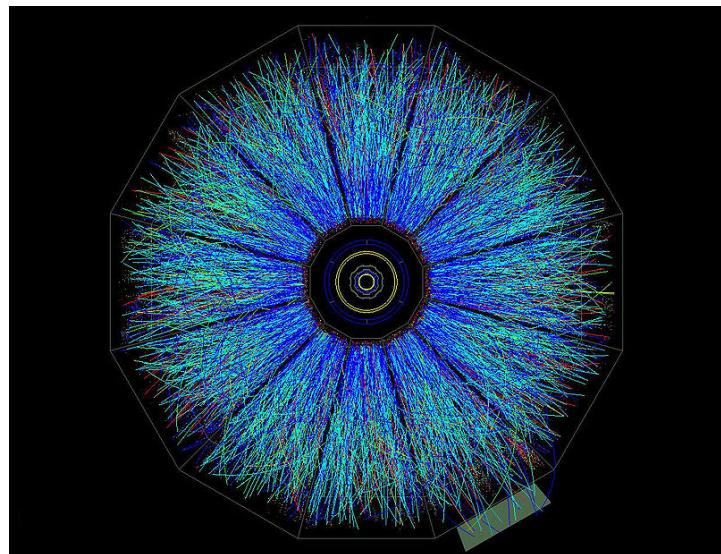


A “Feynman diagram” of a renormalized vertex in quantum electrodynamics.

As the philosophically inclined continued to debate the fundamental nature of the universe, quantum theories continued to be produced, beginning with Paul Dirac’s formulation of a relativistic quantum theory in 1928. However, attempts to quantize electromagnetic theory entirely were stymied throughout the 1930s by theoretical formulations yielding infinite energies. This situation was not considered adequately resolved until after World War II ended, when Julian Schwinger, Richard Feynman, and Sin-Itiro Tomonaga independently posited the technique of “renormalization”, which allowed for an establishment of a robust quantum electrodynamics (Q.E.D.).^[34]

Meanwhile, new theories of fundamental particles proliferated with the rise of the idea of the quantization of fields through “exchange forces” regulated by an exchange of short-lived “virtual” particles, which were allowed to exist according to the laws governing the uncertainties inherent in the quantum world. Notably, Hideki Yukawa proposed that the positive charges of the nucleus were kept together courtesy of a powerful but short-range force mediated by a particle intermediate in mass between the size of an electron and a proton. This particle, called the “pion”, was identified in 1947, but it was part of a slew of particle discoveries beginning with the neutron, the “positron” (a positively charged “antimatter” version of the electron), and the “muon” (a heavier relative to the electron) in the 1930s, and continuing after the war with a wide variety of other particles detected in various kinds of apparatus: cloud chambers, nuclear emulsions, bubble chambers, and coincidence counters. At first these particles were found primarily by the ionized trails left by cosmic rays, but were increasingly produced in newer and more powerful particle accelerators.^[35]

The interaction of these particles by “scattering” and “decay” provided a key to new fundamental quantum theories. Murray Gell-Mann and Yuval Ne’eman brought some order to these new particles by classifying them according to certain qualities, beginning with what Gell-Mann referred to as the “Eightfold Way”, but proceeding into several different “octets” and “decuplets” which could predict new particles, most famously the Ω^- , which was detected at Brookhaven National Laboratory in 1964, and which gave rise to the “quark” model of hadron composition. While the quark model at first seemed inadequate to describe strong nuclear forces, allowing the temporary rise of competing theories such as the S-Matrix, the establishment of quantum chromodynamics in the 1970s finalized a set of fundamental and exchange particles, which allowed for the establishment of a “standard model” based on the mathematics of gauge invariance, which successfully described all forces except for gravity, and which remains generally accepted within the domain to which it is designed to be applied.^[33]



Thousands of particles explode from the collision point of two relativistic (100 GeV per ion) gold ions in the STAR detector of the Relativistic Heavy Ion Collider; an experiment done in order to investigate the properties of a quark gluon plasma such as the one thought to exist in the ultrahot first few microseconds after the big bang

The “standard model” groups the electroweak interaction theory and quantum chromodynamics into a structure denoted by the gauge group $SU(3)\times SU(2)\times U(1)$. The formulation of the unification of the electromagnetic and weak interactions in the standard model is due to Abdus Salam, Steven Weinberg and, subsequently, Sheldon Glashow. After the discovery, made at CERN, of the existence of neutral weak currents,^{[36] [37] [38] [39]} mediated by the Z boson foreseen in the standard model, the physicists Salam, Glashow and Weinberg received the 1979 Nobel Prize in Physics for their electroweak theory.^[40]

While accelerators have confirmed most aspects of the standard model by detecting expected particle interactions at various collision energies, no theory reconciling the general theory of relativity with the standard model has yet been found, although “string theory” has provided one promising avenue forward. Since the 1970s, fundamental particle physics has provided insights into early universe cosmology, particularly the “big bang” theory proposed as a consequence of Einstein’s general theory. However, starting from the 1990s, astronomical observations have also provided new challenges, such as the need for new explanations of galactic stability (the problem of dark matter), and accelerating expansion of the universe (the problem of dark energy).

The physical sciences

With increased accessibility to and elaboration upon advanced analytical techniques in the 19th century, physics was defined as much, if not more, by those techniques than by the search for universal principles of motion and energy, and the fundamental nature of matter. Fields such as acoustics, geophysics, astrophysics, aerodynamics, plasma physics, low-temperature physics, and solid-state physics joined optics, fluid dynamics, electromagnetism, and mechanics as areas of physical research. In the 20th century, physics also became closely allied with such fields as electrical, aerospace, and materials engineering, and physicists began to work in government and industrial laboratories as much as in academic settings. Following World War II, the population of physicists increased

dramatically, and came to be centered on the United States, while, in more recent decades, physics has become a more international pursuit than at any time in its previous history.

Time Line

| Name | Living time | Contribution |
|------------|-------------|--|
| Aristotle | BC384–322 | Physicae Auscultationes |
| Archimedes | BC287–212 | On Floating Bodies |
| Ptolemaeus | AD90–168 | Almagest, Geography, Apotelesmatika |
| Copernicus | 1473–1543 | 1543 On the Revolutions of the Celestial Spheres |
| Galilei | 1564–1642 | 1632 Dialogue Concerning the Two Chief World Systems |
| Descartes | 1596–1650 | 1641 Meditations on First Philosophy |
| Newton | 1643–1727 | 1687 Mathematical Principles of Natural Philosophy |
| Faraday | 1791–1867 | 1839, 1844, Experimental Researches in Electricity, vols. i. and ii. |
| Maxwell | 1831–1879 | 1873 Treatise on Electricity and Magnetism |
| Einstein | 1879–1955 | 1905 On the Electrodynamics of Moving Bodies |

Notes

[1] Singer, C. *A Short History of Science to the 19th century*. Streeter Press, 2008. p. 35.

[2] Glick, Livesey & Wallis (2005, pp. 89–90)

[3] Mariam Rozhanskaya and I. S. Levinova (1996), "Statics", p. 642, in Rashed & Morelon (1996, pp. 614–642):

"Using a whole body of mathematical methods (not only those inherited from the antique theory of ratios and infinitesimal techniques, but also the methods of the contemporary algebra and fine calculation techniques), Arabic scientists raised statics to a new, higher level. The classical results of Archimedes in the theory of the centre of gravity were generalized and applied to three-dimensional bodies, the theory of ponderable lever was founded and the 'science of gravity' was created and later further developed in medieval Europe. The phenomena of statics were studied by using the dynamic approach so that two trends – statics and dynamics – turned out to be inter-related within a single science, mechanics."

"The combination of the dynamic approach with Archimedean hydrostatics gave birth to a direction in science which may be called medieval hydrodynamics."

"Archimedean statics formed the basis for creating the fundamentals of the science on specific weight. Numerous fine experimental methods were developed for determining the specific weight, which were based, in particular, on the theory of balances and weighing. The classical works of al-Biruni and al-Khazini can by right be considered as the beginning of the application of experimental methods in medieval science."

"Arabic statics was an essential link in the progress of world science. It played an important part in the prehistory of classical mechanics in medieval Europe. Without it classical mechanics proper could probably not have been created."

[4] Chattpadhyaya 1986, pp. 169–70

[5] Radhakrishnan 2006, p. 202

[6] (Stcherbatsky 1962 (1930). Vol. 1. P. 19)

[7] Joseph Needham, Volume 4, Part 1, 98.

[8] Li Shu-hua, "Origine de la Boussole 11. Aimant et Boussole," *Isis*, Vol. 45, No. 2. (Jul., 1954), p.175

[9] Drake (1978)

- [10] Biagioli (1993)
- [11] Shea (1991)
- [12] Garber (1992)
- [13] Gaukroger (2002)
- [14] Hall (1980)
- [15] Bertolini Meli (1993)
- [16] Guicciardini (1999)
- [17] Darrigol (2005)
- [18] Bos (1980)
- [19] Greenberg (1986)
- [20] Guicciardini (1989)
- [21] Guicciardini (1999)
- [22] Garber (1999)
- [23] Ben-Chaim (2004)
- [24] Heilbron (1979)
- [25] Buchwald (1989)
- [26] Golinski (1999)
- [27] Smith & Wise (1989)
- [28] Smith (1998)
- [29] Buchwald (1985)
- [30] Jungnickel and McCormach (1986)
- [31] Hunt (1991)
- [32] Buchwald (1994)
- [33] Kragh (1999)
- [34] Schweber (1994)
- [35] Galison (1997)
- [36] F. J. Hasert *et al.* *Phys. Lett.* **46B** 121 (1973).
- [37] F. J. Hasert *et al.* *Phys. Lett.* **46B** 138 (1973).
- [38] F. J. Hasert *et al.* *Nucl. Phys.* **B73** 1(1974).
- [39] *The discovery of the weak neutral currents* (<http://cerncourier.com/cws/article/cern/29168>), CERN courier, 2004-10-04, , retrieved 2008-05-08
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Nobel Prize in Physics

The Nobel Prize in Physics

The **Nobel Prize in Physics** (Swedish: *Nobelpriset i fysik*) is awarded once a year by the Royal Swedish Academy of Sciences. It is one of the five Nobel Prizes established by the will of Alfred Nobel in 1895 and awarded since 1901; the others are the Nobel Prize in Chemistry, Nobel Prize in Literature, Nobel Peace Prize, and Nobel Prize in Physiology or Medicine. The first Nobel Prize in Physics was awarded to Wilhelm Conrad Röntgen, a German, "in recognition of the extraordinary services he has rendered by the discovery of the remarkable rays (or x-rays)." This award is administered by the Nobel Foundation and widely regarded as the most prestigious award that a scientist can receive in physics. It is presented in Stockholm at an annual ceremony on December 10, the anniversary of Nobel's death.

Background

Alfred Nobel requested in his last will and testament that his money be used to create a series of prizes for those who confer the "greatest benefit on mankind" in physics, chemistry, peace, physiology or medicine, and literature.^[1] ^[2] Though Nobel wrote several wills during his lifetime, the last was written a little over a year before he died, and signed at the Swedish-Norwegian Club in Paris on 27 November 1895.^[3] ^[4] Nobel bequeathed 94% of his total assets, 31 million Swedish *kronor* (US\$186 million in 2008), to establish and endow the five Nobel Prizes.^[5] Due to the level of scepticism surrounding the will it was not until April 26, 1897 that it was approved by the Storting (the Norwegian Parliament).^[6] ^[7] The executors of his will were Ragnar Sohlman and Rudolf Lilljequist, who formed the Nobel Foundation to take care of Nobel's fortune and organise the prizes.

The members of the Norwegian Nobel Committee who were to award the Peace Prize were appointed shortly after the will was approved. The prize-awarding organisations followed: the Karolinska Institutet on June 7, the Swedish Academy on June 9, and the Royal Swedish Academy of Sciences on June 11.^[8] ^[9] The Nobel Foundation then reached an agreement on guidelines for how the Nobel Prize should be awarded. In 1900, the Nobel Foundation's newly created statutes were promulgated by King Oscar II.^[7] ^[10] ^[11] According to Nobel's will, The Royal Swedish Academy of sciences were to award the Prize in Physics.^[11]



Wilhelm Röntgen (1845–1923), the first recipient of the Nobel Prize in Physics.

Nomination and selection

A maximum of three Nobel laureates and two different works may be selected for the Nobel Prize in Physics.^[12] Compared with some other Nobel Prizes, the nomination and selection process for the prize in Physics is long and rigorous. This is a key reason it has grown in importance over the years to become the most important prize in Physics.^[13]

The Nobel laureates are selected by the Nobel Committee for Physics, a Nobel Committee that consists of five members elected by The Royal Swedish Academy of Sciences. In the first stage, several thousand people are asked to nominate candidates. These names are scrutinized and discussed by experts until the choice is made.

Forms are sent to about three thousand individuals to invite them to submit nominations. The names of the nominees are never publicly announced, and neither are they told that they have been considered for the prize. Nomination records are sealed for fifty years. In practice, some nominees do become known. It is also common for publicists to make such a claim, founded or not.

The nominations are screened by committee, and a list is produced of approximately two hundred preliminary candidates. This list is forwarded to selected experts in the field. They narrow it down to approximately fifteen names. The committee submits a report with recommendations to the appropriate institution.

While posthumous nominations are not permitted, awards can be made if the individual died in the months between the decision of the prize committee (typically in October) and the ceremony in December. Prior to 1974, posthumous awards were permitted if the recipient had died after being nominated.^[14]

The Nobel Prize in Physics requires that the significance of achievements being recognized is "tested by time." In practice it means that the lag between the discovery and the award is typically on the order of 20 years and can be much longer. For example, half of the 1983 Nobel Prize in Physics was awarded to Subrahmanyan Chandrasekhar for his work on stellar structure and evolution that was done during the 1930s. As a downside of this approach, not all scientists live long enough for their work to be recognized. Some important scientific discoveries are never considered for a prize, as the discoverers may have died by the time the impact of their work is appreciated.^[15] ^[16]
^[17]

Prizes

A Physics Nobel Prize laureate, earns a gold medal, a diploma bearing a citation, and a sum of money.^[18] The amount of money awarded depends on the income of the Nobel Foundation that year.^[19] If a prize is awarded to more than one laureate, the money is either split evenly among them or, for three laureates, it may be divided into a half and two quarters.^[20] If a prize is awarded jointly to two or more laureates, the money is split among them.^[20]

Medals

The Nobel Prize medals, minted by Myntverket^[21] in Sweden and the Mint of Norway since 1902, are registered trademarks of the Nobel Foundation. Each medal has an image of Alfred Nobel in left profile on the obverse (front side of the medal). The Nobel Prize medals for Physics, Chemistry, Physiology or Medicine, and Literature have identical obverses, showing the image of Alfred Nobel and the years of his birth and death (1833–1896). Nobel's portrait also appears on the obverse of the Nobel Peace Prize medal and the Medal for the Prize in Economics, but with a slightly different design.^[22] ^[23] The image on the reverse of a medal varies according to the institution awarding the prize. The reverse sides of the Nobel Prize medals for Chemistry and Physics share the same design.^[24]

Diplomas

Nobel laureates receive a diploma directly from the hands of the King of Sweden. Each diploma is uniquely designed by the prize-awarding institutions for the laureate that receives it.^[25] The diploma contains a picture and text which states the name of the laureate and normally a citation of why they received the prize.^[25]

Award money

The laureate is also given a sum of money when they receive the Nobel Prize in the form of a document confirming the amount awarded; in 2009, the monetary award was 10 million SEK (US\$1.4 million).^[19] The amount may differ depending on how much money the Nobel Foundation can award that year. If there are two winners in a particular category, the award grant is divided equally between the recipients. If there are three, the awarding committee has the option of dividing the grant equally, or awarding one-half to one recipient and one-quarter to each of the others.^{[26] [27] [28] [29]}

Ceremony

The committee and institution serving as the selection board for the prize typically announce the names of the laureates in October. The prize is then awarded at formal ceremonies held annually on December 10, the anniversary of Nobel's death. "The highlight of the Nobel Prize Award Ceremony in Stockholm is when each Nobel Laureate steps forward to receive the prize from the hands of His Majesty the King of Sweden. ... Under the eyes of a watching world, the Nobel Laureate receives three things: a diploma, a medal and a document confirming the prize amount" ("What the Nobel Laureates Receive").

The Nobel Banquet is held every year in Stockholm City Hall in connection with the Nobel Prize.^[30]

Notes

- [1] "History – Historic Figures: Alfred Nobel (1833–1896)" (http://www.bbc.co.uk/history/historic_figures/nobel_alfred.shtml). BBC.. Retrieved 2010-01-15.
- [2] "Guide to Nobel Prize" (<http://www.britannica.com/nobelprize/article-9056008>). Britannica.com. . Retrieved 2010-01-15.
- [3] Ragnar Sohlman: 1983, Page 7
- [4] von Euler, U.S. (6 June 1981). "The Nobel Foundation and its Role for Modern Day Science" (<http://resources.metapress.com/pdf-preview.axd?code=xu7j67w616m06488&size=largest>) (PDF). *Die Naturwissenschaften* (Springer-Verlag). . Retrieved 21 January 2010.
- [5] "The Will of Alfred Nobel" (http://nobelprize.org/alfred_nobel/will/index.html, *nobelprize.org*. Retrieved 6 November 2007.
- [6] "The Nobel Foundation – History" (<http://nobelprize.org/nobelfoundation/history/lemmel/index.html>). Nobelprize.org. . Retrieved 2010-01-15.
- [7] Agneta Wallin Levinovitz: 2001, Page 13
- [8] "Nobel Prize History —" (<http://www.infoplease.com/spot/nobel-prize-history.html>). Infoplease.com. 1999-10-13. . Retrieved 2010-01-15.
- [9] Encyclopædia Britannica. "Nobel Foundation (Scandinavian organisation) – Britannica Online Encyclopedia" (<http://www.britannica.com/EBchecked/topic/416852/Nobel-Foundation>). Britannica.com. . Retrieved 2010-01-15.
- [10] AFP, "Alfred Nobel's last will and testament" (<http://www.thelocal.se/14776/20091005/>), *The Local*(5 October 2009): accessed 20 January 2010.
- [11] "Nobel Prize (<http://www.britannica.com/EBchecked/topic/416856/Nobel-Prize>)" (2007), in *Encyclopædia Britannica*, accessed 15 January 2009, from *Encyclopædia Britannica Online*:

After Nobel's death, the Nobel Foundation was set up to carry out the provisions of his will and to administer his funds. In his will, he had stipulated that four different institutions—three Swedish and one Norwegian—should award the prizes. From Stockholm, the Royal Swedish Academy of Sciences confers the prizes for physics, chemistry, and economics, the Karolinska Institute confers the prize for physiology or medicine, and the Swedish Academy confers the prize for literature. The Norwegian Nobel Committee based in Oslo confers the prize for peace. The Nobel Foundation is the legal owner and functional administrator of the funds and serves as the joint administrative body of the prize-awarding institutions, but it is not concerned with the prize deliberations or decisions, which rest

exclusively with the four institutions.

- [12] "What the Nobel Laureates Receive" (http://nobelprize.org/award_ceremonies/prize.html). Retrieved November 1, 2007. Archived (http://web.archive.org/web/20071030033639/http://nobelprize.org/award_ceremonies/prize.html) October 30, 2007 at the Wayback Machine.
 - [13] "The Nobel Prize Selection Process" (<http://www.britannica.com/eb/art-18050>), *Encyclopaedia Britannica*, accessed November 5, 2007 (Flowchart).
 - [14] FAQ nobelprize.org (<http://nobelprize.org/contact/faq/index.html>)
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- Each Nobel Prize consists of a gold medal, a diploma bearing a citation, and a sum of money, the amount of which depends on the income of the Nobel Foundation. (A sum of \$1,300,000 accompanied each prize in 2005.) A Nobel Prize is either given entirely to one person, divided equally between two persons, or shared by three persons. In the latter case, each of the three persons can receive a one-third share of the prize or two together can receive a one-half share.
- [21] "Medalj – ett traditionellt hantverk" (<http://www.myntverket.se/products.asp?lang=sv&page=3>) (in Swedish). Myntverket. . Retrieved 2007-12-15.
 - [22] "The Nobel Prize for Peace" (http://digitalcollections.library.oregonstate.edu/cdm4/item_viewer.php?CISOROOT=/pawardsmedals&CISOPTR=47), "Linus Pauling: Awards, Honors, and Medals", *Linus Pauling and The Nature of the Chemical Bond: A Documentary History*, the Valley Library, Oregon State University. Retrieved 7 December 2007.
 - [23] "The Nobel Medals" (<http://www.ceptualinstitute.com/galleria/awards/nobel/nobelmedals.html>). Ceptualinstitute.com. . Retrieved 2010-01-15.
 - [24] "Nobel Prize for Chemistry. Front and back images of the medal. 1954" (<http://osulibrary.oregonstate.edu/specialcollections/coll/pauling/bond/pictures/nobel-chemistry-medal.html>), "Source: Photo by Eric Arnold. Ava Helen and Linus Pauling Papers. Honors and Awards, 1954h2.1", "All Documents and Media: Pictures and Illustrations", *Linus Pauling and The Nature of the Chemical Bond: A Documentary History*, the Valley Library, Oregon State University. Retrieved 7 December 2007.
 - [25] "The Nobel Prize Diplomas" (http://nobelprize.org/nobel_prizes/diplomas/). Nobelprize.org. . Retrieved 2010-01-15.
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 - [30] Nobel Prize Foundation Website (http://nobelprize.org/award_ceremonies/banquet/menus/soderlind/index.html)

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Physics Nobel Prize shares 1901-2009 by citizenship at the time of the award (<http://www.idsia.ch/~juergen/phys.html>) and by country of birth (<http://www.idsia.ch/~juergen/physnat.html>).

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- "What the Nobel Laureates Receive" (http://nobelprize.org/award_ceremonies/prize.html). *nobelprize.org*. Copyright © Nobel Web AB 2007.

External links

- "All Nobel Laureates in Physics" (http://nobelprize.org/nobel_prizes/physics/laureates/) - Index webpage on the official site of the Nobel Foundation.
- "The Nobel Prize Award Ceremonies" (http://nobelprize.org/award_ceremonies/) – Official hyperlinked webpage of the Nobel Foundation.
- "The Nobel Prize in Physics" (http://www.nobelprize.org/nobel_prizes/physics/) - Official webpage of the Nobel Foundation.
- "The Nobel Prize Medal for Physics and Chemistry" (http://nobelprize.org/nobel_prizes/physics/medal.html) – Official webpage of the Nobel Foundation.

Branches of physics

Classical physics

What "classical physics" refers to depends on the context. When discussing special relativity, it refers to the Newtonian physics which preceded relativity, i.e. the branches of physics based on principles developed before the rise of relativity and quantum mechanics. When discussing general relativity, it refers to the result of modifying Newtonian physics to incorporate special relativity. When discussing quantum mechanics, it refers to non-quantum physics, including special relativity, and general relativity. In other words, it is the physics preceding the physics of interest in one's discussion.

Overview

Scope

Among the branches of theory included in classical physics are:

- Classical mechanics
 - Newton's laws of motion
 - Classical Lagrangian and Hamiltonian formalisms
- Classical electrodynamics (Maxwell's Equations)
- Classical thermodynamics
- Special relativity and General relativity
- Classical chaos theory and nonlinear dynamics

Differences

In contrast to classical physics, *modern physics* is a slightly looser term which may refer to just quantum physics or to 20th and 21st century physics in general and so *always* includes quantum theory and *may* include relativity.

A *physical system on the classical level* is a physical system in which the laws of classical physics are valid. There are no restrictions on the application of classical principles, but, practically, the scale of classical physics is the level of isolated atoms and molecules on upwards, including the macroscopic and astronomical realm. Inside the atom and among atoms in a molecule, the laws of classical physics break down and generally do not provide a correct description.

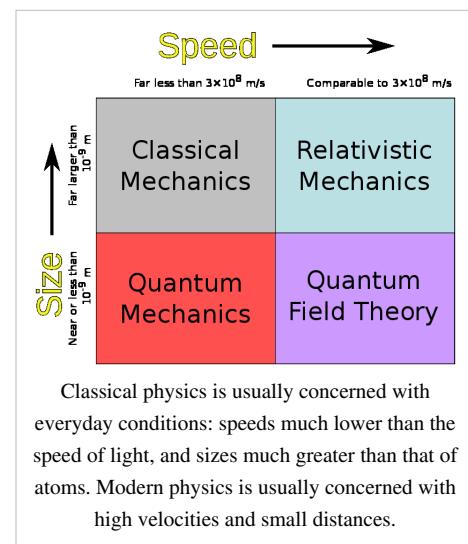
Moreover, the classical theory of electromagnetic radiation is somewhat limited in its ability to provide correct descriptions, since quantum effects are observable in more everyday circumstances than quantum effects of matter. Unlike quantum physics, classical physics is generally characterized by the principle of complete determinism (although the Many-worlds interpretation of quantum mechanics is in a sense deterministic).

Mathematically, classical physics equations are ones in which Planck's constant does not appear. According to the correspondence principle and Ehrenfest's theorem as a system becomes larger or more massive (action \gg Planck's constant) the classical dynamics tends to emerge, with some exceptions, such as superfluidity. This is why we can usually ignore quantum mechanics when dealing with everyday objects; instead the classical description will suffice. However, one of the most vigorous on-going fields of research in physics is classical-quantum correspondence. This field of research is concerned with the discovery of how the laws of quantum physics give rise to classical physics in the limit of the large scales of the classical level.

Modern physics

The term **modern physics** refers to the post-Newtonian conception of physics. The term implies that classical descriptions of phenomena are lacking, and that an accurate, "modern", description of reality requires theories to incorporate elements of quantum mechanics or Einsteinian relativity, or both. In general, the term is used to refer to any branch of physics either developed in the early 20th century and onwards, or branches greatly influenced by early 20th century physics.

Modern physics often involves extreme conditions; quantum effects usually involve distances comparable to atoms (roughly 10^{-9} m), while relativistic effects usually involve velocities comparable to the speed of light (roughly 10^8 m/s). Small velocities and large distances is usually the realm of classical mechanics.

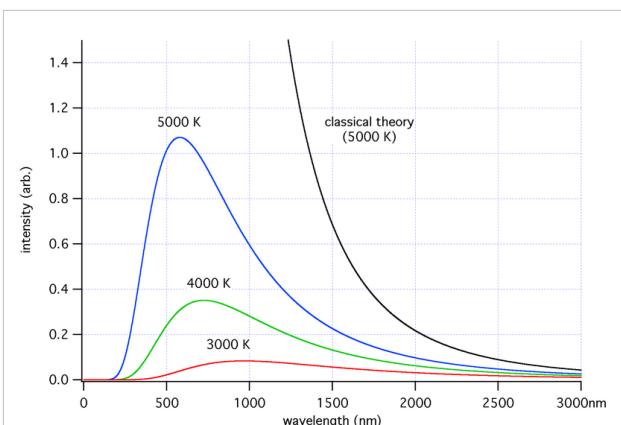


Overview

In a literal sense, the term *modern physics*, means up-to-date physics. In this sense, a significant portion of so-called *classical physics* is modern. However, since roughly 1890, new discoveries have caused significant paradigm shifts; the advent of quantum mechanics (QM), and of Einsteinian relativity (ER). Physics that incorporates elements of either QM or ER (or both) is said to be *modern physics*. It is in this latter sense that the term is generally used.

Modern physics is often encountered when dealing with extreme conditions. Quantum mechanical effects tend to appear when dealing with "lows" (low temperatures, small distances), while relativistic effects tend to appear when dealing with "highs" (high velocities, large distances), the "middles" being classical behaviour. For example, when analyzing the behavior of a gas at room temperature, most phenomena will involve the (classical) Maxwell–Boltzmann distribution. However near absolute zero, the Maxwell–Boltzmann distribution fails to account for the observed behaviour of the gas, and the (modern) Fermi–Dirac or Bose–Einstein distributions have to be used instead.

Very often, it is possible to find – or "retrieve" – the classical behaviour from the modern description by analyzing the modern description at low speeds and large distances (by taking a limit, or by making an approximation). When doing so, the result is called the *classical limit*.



Classical physics (Rayleigh–Jeans law, black line) failed to explain black body radiation – the so-called ultraviolet catastrophe. The quantum description (Planck's law, colored lines) is said to be *modern physics*.

The term "modern physics," taken literally, means of course, the *sum total* of knowledge under the head of present-day physics. In this sense, the physics of 1890 is still modern; very few statements made in a good physics text of 1890 would need to be deleted today as untrue...

On the other hand... there have been enormous advances in physics, and some of these advances have brought into question, or have directly contradicted, certain theories that had seemed to be strongly supported by the experimental evidence.

For example, few, if any physicists in 1890 questioned the wave theory of light. Its triumphs over the old corpuscular theory seemed to be final and complete, particularly after the brilliant experiments of Hertz, in 1887, which demonstrated, beyond doubt, the fundamental soundness of Maxwell's electromagnetic theory of light. And yet... these very experiments of Hertz brought to light a new phenomenon—the photoelectric effect—which played an important part in establishing the quantum theory. The latter theory... is diametrically opposed to the wave theory of light; indeed, the reconciliation of these two theories... was one of the great problems of the first quarter of the twentieth century.

—F.K Richtmyer, E.H. Kennard, T. Lauritsen, *Introduction to Modern Physics*, 5th edition (1955)^[1]

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Hallmarks of modern physics

These are generally considered to be the topics regarded as the "core" of the foundation of modern physics:

- Atomic theory and the evolution of the atomic model in general
- Black body radiation
- Franck–Hertz experiment
- Geiger–Marsden experiment (Rutherford's experiment)
- Gravitational lensing
- Michelson–Morley experiment
- Photoelectric effect
- Quantum thermodynamics
- Radioactive phenomena in general
- Perihelion precession of Mercury
- Stern–Gerlach experiment
- Wave–particle duality

References

[1] F.K Richtmyer, E.H Kennard, T. Lauritsen (1955). *Introduction to Modern Physics* (5th ed.). New York: McGraw-Hill. p. 1. LCCN 55-6862.

Further reading

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- P. Tipler, R. Llewellyn (2002). *Modern Physics* (4th ed.). W. H. Freeman. ISBN 0-7167-4345-0.

Outline of physics

Physics (Greek: φύσις – φύσις meaning "nature") is a natural science; it is the study of matter^[1] and its motion through spacetime and all that derives from these, such as energy and force.^[2] More broadly, it is the general analysis of nature, conducted in order to understand how the world and universe behave.^{[3] [4]}

Physics is one of the oldest academic disciplines, or perhaps the oldest through its inclusion of astronomy.^[5] Over the last two millennia, physics had been considered synonymous with philosophy, chemistry, and certain branches of mathematics and biology, but during the Scientific Revolution in the 16th century, it emerged to become a unique modern science in its own right.^[6] However, in some subject areas such as in mathematical physics and quantum chemistry, the boundaries of physics remain difficult to distinguish.

The following outline is provided as an overview of and topical guide to physics:

Essence

Physics started with a philosophical commitment to simplicity. It should not be considered a difficult subject (although it is deep); one can learn classical physics on a playground, which describes the motion of balls, swings, slides and merry-go-rounds.

Note: the Theory column below contains links to articles with infoboxes at the top of their respective pages which list the major concepts.

| Theory | Major subtopics | Concepts |
|--|---|---|
| Classical mechanics | Newton's laws of motion, Lagrangian mechanics, Hamiltonian mechanics, Kinematics, Statics, Dynamics, Chaos theory, Acoustics, Fluid dynamics, Continuum mechanics | Density, Dimension, Gravity, Space, Time, Motion, Length, Position, Velocity, Acceleration, Mass, Momentum, Force, Energy, Angular momentum, Torque, Conservation law, Harmonic oscillator, Wave, Work, Power |
| Electromagnetism | Electrostatics, Electrodynamics, Electricity, Magnetism, Maxwell's equations, Optics | Capacitance, Electric charge, Electric current, Electrical conductivity, Electric field, Electric permittivity, Electrical resistance, Electromagnetic field, Electromagnetic induction, Electromagnetic radiation, Gaussian surface, Magnetic field, Magnetic flux, Magnetic monopole, Magnetic permeability |
| Theory of relativity | Special relativity, General relativity, Einstein field equations | Covariance, Einstein manifold, Equivalence principle, Four-momentum, Four-vector, General principle of relativity, Geodesic motion, Gravity, Gravitoelectromagnetism, Inertial frame of reference, Invariance, Length contraction, Lorentzian manifold, Lorentz transformation, Metric, Minkowski diagram, Minkowski space, Principle of Relativity, Proper length, Proper time, Reference frame, Rest energy, Rest mass, Relativity of simultaneity, Spacetime, Special principle of relativity, Speed of light, Stress-energy tensor, Time dilation, Twin paradox, World line |
| Thermodynamics and Statistical mechanics | Heat engine, Kinetic theory | Boltzmann's constant, Conjugate variables, Enthalpy, Entropy, Equation of state, Equipartition theorem, First Law of Thermodynamics, Free energy, Heat, Ideal gas law, Internal energy, Irreversible process, Partition function, Pressure, Reversible process, Second Law of Thermodynamics, Spontaneous process, State function, Statistical ensemble, Temperature, Thermodynamic equilibrium, Thermodynamic potential, Thermodynamic processes, Thermodynamic state, Thermodynamic system, Third Law of Thermodynamics, Viscosity, Zeroth Law of Thermodynamics |
| Quantum mechanics | Path integral formulation, Scattering theory, Schrödinger equation, Quantum field theory, Quantum statistical mechanics | Adiabatic approximation, Correspondence principle, Free particle, Hamiltonian, Hilbert space, Identical particles, Matrix Mechanics, Planck's constant, Operators, Quanta, Quantization, Quantum entanglement, Quantum harmonic oscillator, Quantum number, Quantum tunneling, Schrödinger's cat, Dirac equation, Spin, Wavefunction, Wave mechanics, Wave-particle duality, Zero-point energy, Pauli Exclusion Principle, Heisenberg Uncertainty Principle |

Branches

- Astrophysics
- Classical physics
- Optics
- Atomic physics
- Condensed matter physics
- Particle Physics
- Biophysics
- Geophysics
- Quantum Physics
- Chemical Physics
- Molecular physics
- Thermodynamics
- Nuclear Physics

| Field | Subfields | Major theories | Concepts |
|--|---|---|--|
| Astrophysics | Cosmology, Gravitation physics, High-energy astrophysics, Planetary astrophysics, Plasma physics, Space physics, Stellar astrophysics | Big Bang, Lambda-CDM model, Cosmic inflation, General relativity, Law of universal gravitation | Black hole, Cosmic background radiation, Cosmic string, Cosmos, Dark energy, Dark matter, Galaxy, Gravity, Gravitational radiation, Gravitational singularity, Planet, Solar system, Star, Supernova, Universe |
| Atomic, molecular, and optical physics | Atomic physics, Molecular physics, Atomic and Molecular astrophysics, Chemical physics, Optics, Photonics | Quantum optics, Quantum chemistry, Quantum information science | Atom, Molecule, Diffraction, Electromagnetic radiation, Laser, Polarization, Spectral line, Casimir effect |
| Particle physics | Accelerator physics, Nuclear physics, Nuclear astrophysics, Particle astrophysics, Particle physics phenomenology | Standard Model, Quantum field theory, Quantum chromodynamics, Electroweak theory, Effective field theory, Lattice field theory, Lattice gauge theory, Gauge theory, Supersymmetry, Grand unification theory, Superstring theory, M-theory | Fundamental force (gravitational, electromagnetic, weak, strong), Elementary particle, Spin, Antimatter, Spontaneous symmetry breaking, Brane, String, Quantum gravity, Theory of everything, Vacuum energy |
| Condensed matter physics | Solid state physics, High pressure physics, Low-temperature physics, Nanoscale and mesoscopic physics, Polymer physics | BCS theory, Bloch wave, Fermi gas, Fermi liquid, Many-body theory | Phases (gas, liquid, solid, Bose-Einstein condensate, superconductor, superfluid), Electrical conduction, Magnetism, Self-organization, Spin, Spontaneous symmetry breaking |

General concepts

- General concepts

Gravity — Light — Physical system — Physical observation — Physical quantity — Physical state — Physical unit — Physical theory — Physical experiment —

- Theoretical concepts

Mass-energy equivalence — Particle — Physical field — Physical interaction — Physical law — Fundamental force — Physical constant — Wave

- Basic quantities

Space — Length — Time — Mass — Electric charge — Energy — Matter — Potential — Force — Momentum — Velocity — Acceleration — Entropy — Temperature

- Subfields

Acoustics — Aerodynamics — Classical mechanics — Condensed matter physics — Cosmology — Dynamics — Electromagnetism — Hydrodynamics — Kinematics — Mathematical physics — Mechanics — Optics — plasma physics — Quantum mechanics — Relativity — Statics — Thermodynamics

Famous physicists

- Ibn al-Haytham - Father of optics and discovered reflection and refraction.
- Archimedes - discovered the laws of flotation and developed Archimedes' principle.^[7]
- Niels Bohr - made fundamental contributions to understanding atomic structure and quantum mechanics. Widely considered one of the greatest physicists of the twentieth century.
- Albert Einstein - Greatest scientist of the 20th century, and possibly of all time. Developed both the Special and General Theories of Relativity.
- Richard Feynman - Expanded the theory of quantum electrodynamics, and developed the tool known as Feynman diagrams.
- Galileo Galilei - "Father of modern physics."
- Stephen Hawking - made fundamental contributions to black hole physics and cosmology. Also authored popular books on these subjects.
- Isaac Newton - Laid the groundwork for classical mechanics. One of the most influential scientists of all time.
- Robert Oppenheimer - "Father of the atomic bomb."
- Nikola Tesla - Expanded theories of nuclear physics and theoretical physics. One of the main influences of the second industrial revolution.



Leon M. Lederman

Physics lists

- List of Common Physics Abbreviations
- List of equations in classical mechanics
- List of important publications in physics
- List of laws in science
- List of letters used in mathematics and science
- List of noise topics
- List of optical topics
- List of physicists
- List of scientific journals in physics
- List of scientific units named after people
- Variables commonly used in physics
- List of wave topics

Notes

- [1] R. P. Feynman, R. B. Leighton, M. Sands (1963), *The Feynman Lectures on Physics*, ISBN 0-201-02116-1 Hard-cover. p.1-1 Feynman begins with the atomic hypothesis, as his most compact statement of all scientific knowledge: "If, in some cataclysm, all of scientific knowledge were to be destroyed, and only one sentence passed on to the next generations ..., what statement would contain the most information in the fewest words? I believe it is ... that *all things are made up of atoms – little particles that move around in perpetual motion, attracting each other when they are a little distance apart, but repelling upon being squeezed into one another.* ..." vol. I p. I-2
- [2] James Clerk Maxwell (1878), *Matter and Motion* (http://books.google.com/books?id=noRgWP0_UZ8C&printsec=titlepage&dq=matter+and+motion&source=gbs_summary_r&cad=0). New York: D. Van Nostrand. p.1: "Nature of Physical Science – Physical science is that department of knowledge which relates to the order of nature." | accessdate=2008-11-04
- [3] H.D. Young & R.A. Freedman, *University Physics with Modern Physics*: 11th Edition: International Edition (2004), Addison Wesley. Chapter 1, section 1.1, page 2 has this to say: "Physics is an *experimental* science. Physicists observe the phenomena of nature and try to find patterns and principles that relate these phenomena. These patterns are called physical theories or, when they are very well established and of broad use, physical laws or principles."

Steve Holzner, *Physics for Dummies* (2006), Wiley. Chapter 1, page 7 says: "Physics is the study of your world and the world and universe around you." See Amazon Online Reader: Physics For Dummies (For Dummies(Math & Science)) (<http://www.amazon.com/gp/reader/0764554336>), retrieved 24 Nov 2006

- [4] Note: The term 'universe' is defined as everything that physically exists: the entirety of space and time, all forms of matter, energy and momentum, and the physical laws and constants that govern them. However, the term 'universe' may also be used in slightly different contextual senses, denoting concepts such as the cosmos or the philosophical world.
- [5] Evidence exists that the earliest civilizations dating back to beyond 3000BC, such as the Sumerians, Ancient Egyptians, and the Indus Valley Civilization, all had a predictive knowledge and a very basic understanding of the motions of the Sun, Moon, and stars.
- [6] Francis Bacon's 1620 *Novum Organum* was critical in the development of scientific method.
- [7] Eminent scientists, Published by scholastic India pvt. Ltd.

External links

- AIP.org (<http://www.aip.org/index.html>) is the website of the American Institute of Physics
- IOP.org (<http://www.iop.org>) is the website of the Institute of Physics
- APS.org (<http://www.aps.org>) is the website of the American Physical Society
- SPS National (<http://www.spsnational.org>) is the website of the American Society of Physics Students
- CAP.ca (<http://www.cap.ca/>) is the website of the Canadian Association of Physicists
- EPS.org (<http://www.eps.org/>) is the website of the European Physical Society

Classical mechanics

In physics, **classical mechanics** is one of the two major sub-fields of mechanics, which is concerned with the set of physical laws describing the motion of bodies under the action of a system of forces. The study of the motion of bodies is an ancient one, making classical mechanics one of the oldest and largest subjects in science, engineering and technology.

Classical mechanics describes the motion of macroscopic objects, from projectiles to parts of machinery, as well as astronomical objects, such as spacecraft, planets, stars, and galaxies. Besides this, many specializations within the subject deal with gases, liquids, solids, and other specific sub-topics. Classical mechanics provides extremely accurate results as long as the domain of study is restricted to large objects and the speeds involved do not approach the speed of light. When the objects being dealt with become sufficiently small, it becomes necessary to introduce the other major sub-field of mechanics, quantum mechanics, which reconciles the macroscopic laws of physics with the atomic nature of matter and handles the wave-particle duality of atoms and molecules. In the case of high velocity objects approaching the speed of light, classical mechanics is enhanced by special relativity. General relativity unifies special relativity with the Newton's law of universal gravitation, allowing physicists to handle gravitation at a deeper level.

The term *classical mechanics* was coined in the early 20th century to describe the system of physics begun by Isaac Newton and many contemporary 17th century natural philosophers, building upon the earlier astronomical theories of Johannes Kepler, which in turn were based on the precise observations of Tycho Brahe and the studies of terrestrial projectile motion of Galileo. Because these aspects of physics were developed long before the emergence of quantum physics and relativity, some sources exclude Einstein's theory of relativity from this category. However, a number of modern sources *do* include relativistic mechanics, which in their view represents *classical mechanics* in its most developed and most accurate form.^[1]

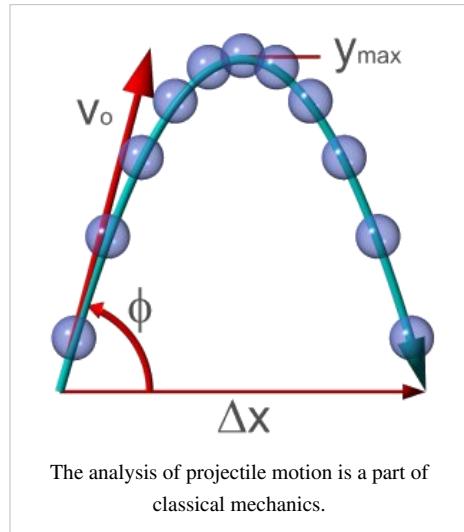
The initial stage in the development of classical mechanics is often referred to as Newtonian mechanics, and is associated with the physical concepts employed by and the mathematical methods invented by Newton himself, in parallel with Leibniz, and others. This is further described in the following sections. Later, more abstract and general methods were developed, leading to reformulations of classical mechanics known as Lagrangian mechanics and Hamiltonian mechanics. These advances were largely made in the 18th and 19th centuries, and they extend

substantially beyond Newton's work, particularly through their use of analytical mechanics. Ultimately, the mathematics developed for these were central to the creation of quantum mechanics.

Description of the theory

The following introduces the basic concepts of classical mechanics. For simplicity, it often models real-world objects as point particles, objects with negligible size. The motion of a point particle is characterized by a small number of parameters: its position, mass, and the forces applied to it. Each of these parameters is discussed in turn.

In reality, the kind of objects that classical mechanics can describe always have a non-zero size. (The physics of *very* small particles, such as the electron, is more accurately described by quantum mechanics). Objects with non-zero size have more complicated behavior than hypothetical point particles, because of the additional degrees of freedom—for example, a baseball can spin while it is moving. However, the results for point particles can be used to study such objects by treating them as composite objects, made up of a large number of interacting point particles. The center of mass of a composite object behaves like a point particle.



Position and its derivatives

| The SI derived "mechanical" (that is, not electromagnetic or thermal) units with kg, m and s | |
|--|-------------------------------|
| Position | m |
| Angular position/Angle | unitless (radian) |
| velocity | m s^{-1} |
| Angular velocity | s^{-1} |
| acceleration | m s^{-2} |
| Angular acceleration | s^{-2} |
| jerk | m s^{-3} |
| "Angular jerk" | s^{-3} |
| specific energy | $\text{m}^2 \text{s}^{-2}$ |
| absorbed dose rate | $\text{m}^2 \text{s}^{-3}$ |
| moment of inertia | kg m^2 |
| momentum | kg m s^{-1} |
| angular momentum | $\text{kg m}^2 \text{s}^{-1}$ |
| force | kg m s^{-2} |
| torque | $\text{kg m}^2 \text{s}^{-2}$ |

| | |
|-----------------------------|----------------------------------|
| energy | $\text{kg m}^2 \text{s}^{-2}$ |
| power | $\text{kg m}^2 \text{s}^{-3}$ |
| pressure and energy density | $\text{kg m}^{-1} \text{s}^{-2}$ |
| surface tension | kg s^{-2} |
| Spring constant | kg s^{-2} |
| irradiance and energy flux | kg s^{-3} |
| kinematic viscosity | $\text{m}^2 \text{s}^{-1}$ |
| dynamic viscosity | $\text{kg m}^{-1} \text{s}^{-1}$ |
| Density(mass density) | kg m^{-3} |
| Density(weight density) | $\text{kg m}^{-2} \text{s}^{-2}$ |
| Number density | m^{-3} |
| Action | $\text{kg m}^2 \text{s}^{-1}$ |

The *position* of a point particle is defined with respect to an arbitrary fixed reference point, \mathbf{O} , in space, usually accompanied by a coordinate system, with the reference point located at the *origin* of the coordinate system. It is defined as the vector \mathbf{r} from \mathbf{O} to the particle. In general, the point particle need not be stationary relative to \mathbf{O} , so \mathbf{r} is a function of t , the time elapsed since an arbitrary initial time. In pre-Einstein relativity (known as Galilean relativity), time is considered an absolute, i.e., the time interval between any given pair of events is the same for all observers. In addition to relying on absolute time, classical mechanics assumes Euclidean geometry for the structure of space.^[2]

Velocity and speed

The *velocity*, or the rate of change of position with time, is defined as the derivative of the position with respect to time or

$$\mathbf{v} = \frac{d\mathbf{r}}{dt}.$$

In classical mechanics, velocities are directly additive and subtractive. For example, if one car traveling East at 60 km/h passes another car traveling East at 50 km/h, then from the perspective of the slower car, the faster car is traveling east at $60 - 50 = 10$ km/h. Whereas, from the perspective of the faster car, the slower car is moving 10 km/h to the West. Velocities are directly additive as vector quantities; they must be dealt with using vector analysis.

Mathematically, if the velocity of the first object in the previous discussion is denoted by the vector $\mathbf{u} = u\mathbf{d}$ and the velocity of the second object by the vector $\mathbf{v} = v\mathbf{e}$, where u is the speed of the first object, v is the speed of the second object, and \mathbf{d} and \mathbf{e} are unit vectors in the directions of motion of each particle respectively, then the velocity of the first object as seen by the second object is

$$\mathbf{u}' = \mathbf{u} - \mathbf{v}.$$

Similarly,

$$\mathbf{v}' = \mathbf{v} - \mathbf{u}.$$

When both objects are moving in the same direction, this equation can be simplified to

$$\mathbf{u}' = (u - v)\mathbf{d}.$$

Or, by ignoring direction, the difference can be given in terms of speed only:

$$u' = u - v.$$

Acceleration

The *acceleration*, or rate of change of velocity, is the derivative of the velocity with respect to time (the second derivative of the position with respect to time) or

$$\mathbf{a} = \frac{d\mathbf{v}}{dt}.$$

Acceleration can arise from a change with time of the magnitude of the velocity or of the direction of the velocity or both. If only the magnitude v of the velocity decreases, this is sometimes referred to as *deceleration*, but generally any change in the velocity with time, including deceleration, is simply referred to as acceleration.

Frames of reference

While the position and velocity and acceleration of a particle can be referred to any observer in any state of motion, classical mechanics assumes the existence of a special family of reference frames in terms of which the mechanical laws of nature take a comparatively simple form. These special reference frames are called inertial frames. An inertial frame is such that when an object without any force interactions(an idealized situation) is viewed from it, it will appear either to be at rest or in a state of uniform motion in a straight line. This is the fundamental definition of an inertial frame. They are characterized by the requirement that all forces entering the observer's physical laws originate in identifiable sources (charges, gravitational bodies, and so forth). A non-inertial reference frame is one accelerating with respect to an inertial one, and in such a non-inertial frame a particle is subject to acceleration by fictitious forces that enter the equations of motion solely as a result of its accelerated motion, and do not originate in identifiable sources. These fictitious forces are in addition to the real forces recognized in an inertial frame. A key concept of inertial frames is the method for identifying them. For practical purposes, reference frames that are unaccelerated with respect to the distant stars are regarded as good approximations to inertial frames.

Consider two reference frames S and S' . For observers in each of the reference frames an event has space-time coordinates of (x,y,z,t) in frame S and (x',y',z',t') in frame S' . Assuming time is measured the same in all reference frames, and if we require $x = x'$ when $t = 0$, then the relation between the space-time coordinates of the same event observed from the reference frames S' and S , which are moving at a relative velocity of u in the x direction is:

$$x' = x - ut$$

$$y' = y$$

$$z' = z$$

$$t' = t$$

This set of formulas defines a group transformation known as the Galilean transformation (informally, the *Galilean transform*). This group is a limiting case of the Poincaré group used in special relativity. The limiting case applies when the velocity u is very small compared to c , the speed of light.

The transformations have the following consequences:

- $\mathbf{v}' = \mathbf{v} - \mathbf{u}$ (the velocity \mathbf{v}' of a particle from the perspective of S' is slower by \mathbf{u} than its velocity \mathbf{v} from the perspective of S)
- $\mathbf{a}' = \mathbf{a}$ (the acceleration of a particle is the same in any inertial reference frame)
- $\mathbf{F}' = \mathbf{F}$ (the force on a particle is the same in any inertial reference frame)
- the speed of light is not a constant in classical mechanics, nor does the special position given to the speed of light in relativistic mechanics have a counterpart in classical mechanics.

For some problems, it is convenient to use rotating coordinates (reference frames). Thereby one can either keep a mapping to a convenient inertial frame, or introduce additionally a fictitious centrifugal force and Coriolis force.

Forces; Newton's second law

Newton was the first to mathematically express the relationship between force and momentum. Some physicists interpret Newton's second law of motion as a definition of force and mass, while others consider it to be a fundamental postulate, a law of nature. Either interpretation has the same mathematical consequences, historically known as "Newton's Second Law":

$$\mathbf{F} = \frac{d\mathbf{p}}{dt} = \frac{d(m\mathbf{v})}{dt}.$$

The quantity $m\mathbf{v}$ is called the (canonical) momentum. The net force on a particle is thus equal to rate change of momentum of the particle with time. Since the definition of acceleration is $\mathbf{a} = d\mathbf{v}/dt$, the second law can be written in the simplified and more familiar form:

$$\mathbf{F} = m\mathbf{a}.$$

So long as the force acting on a particle is known, Newton's second law is sufficient to describe the motion of a particle. Once independent relations for each force acting on a particle are available, they can be substituted into Newton's second law to obtain an ordinary differential equation, which is called the *equation of motion*.

As an example, assume that friction is the only force acting on the particle, and that it may be modeled as a function of the velocity of the particle, for example:

$$\mathbf{F}_R = -\lambda\mathbf{v},$$

where λ is a positive constant. Then the equation of motion is

$$-\lambda\mathbf{v} = m\mathbf{a} = m\frac{d\mathbf{v}}{dt}.$$

This can be integrated to obtain

$$\mathbf{v} = \mathbf{v}_0 e^{-\lambda t/m}$$

where \mathbf{v}_0 is the initial velocity. This means that the velocity of this particle decays exponentially to zero as time progresses. In this case, an equivalent viewpoint is that the kinetic energy of the particle is absorbed by friction (which converts it to heat energy in accordance with the conservation of energy), slowing it down. This expression can be further integrated to obtain the position \mathbf{r} of the particle as a function of time.

Important forces include the gravitational force and the Lorentz force for electromagnetism. In addition, Newton's third law can sometimes be used to deduce the forces acting on a particle: if it is known that particle A exerts a force \mathbf{F} on another particle B, it follows that B must exert an equal and opposite *reaction force*, $-\mathbf{F}$, on A. The strong form of Newton's third law requires that \mathbf{F} and $-\mathbf{F}$ act along the line connecting A and B, while the weak form does not. Illustrations of the weak form of Newton's third law are often found for magnetic forces.

Work and energy

If a constant force \mathbf{F} is applied to a particle that achieves a displacement $\Delta\mathbf{r}$,^[3] the *work done* by the force is defined as the scalar product of the force and displacement vectors:

$$W = \mathbf{F} \cdot \Delta\mathbf{r}.$$

More generally, if the force varies as a function of position as the particle moves from \mathbf{r}_1 to \mathbf{r}_2 along a path C , the work done on the particle is given by the line integral

$$W = \int_C \mathbf{F}(\mathbf{r}) \cdot d\mathbf{r}.$$

If the work done in moving the particle from \mathbf{r}_1 to \mathbf{r}_2 is the same no matter what path is taken, the force is said to be conservative. Gravity is a conservative force, as is the force due to an idealized spring, as given by Hooke's law. The force due to friction is non-conservative.

The kinetic energy E_k of a particle of mass m travelling at speed v is given by

$$E_k = \frac{1}{2}mv^2.$$

For extended objects composed of many particles, the kinetic energy of the composite body is the sum of the kinetic energies of the particles.

The work-energy theorem states that for a particle of constant mass m the total work W done on the particle from position \mathbf{r}_1 to \mathbf{r}_2 is equal to the change in kinetic energy E_k of the particle:

$$W = \Delta E_k = E_{k,2} - E_{k,1} = \frac{1}{2}m(v_2^2 - v_1^2).$$

Conservative forces can be expressed as the gradient of a scalar function, known as the potential energy and denoted E_p :

$$\mathbf{F} = -\nabla E_p.$$

If all the forces acting on a particle are conservative, and E_p is the total potential energy (which is defined as a work of involved forces to rearrange mutual positions of bodies), obtained by summing the potential energies corresponding to each force

$$\mathbf{F} \cdot \Delta \mathbf{r} = -\nabla E_p \cdot \Delta \mathbf{r} = -\Delta E_p \Rightarrow -\Delta E_p = \Delta E_k \Rightarrow \Delta(E_k + E_p) = 0.$$

This result is known as *conservation of energy* and states that the total energy,

$$\sum E = E_k + E_p.$$

is constant in time. It is often useful, because many commonly encountered forces are conservative.

Beyond Newton's Laws

Classical mechanics also includes descriptions of the complex motions of extended non-pointlike objects. Euler's laws provide extensions to Newton's laws in this area. The concepts of angular momentum rely on the same calculus used to describe one-dimensional motion. The Rocket equation extends the notion of rate of change of an object's momentum to include the effects of an object "losing mass".

There are two important alternative formulations of classical mechanics: Lagrangian mechanics and Hamiltonian mechanics. These, and other modern formulations, usually bypass the concept of "force", instead referring to other physical quantities, such as energy, for describing mechanical systems.

The expressions given above for momentum and kinetic energy are only valid when there is no significant electromagnetic contribution. In electromagnetism, Newton's second law for current-carrying wires breaks down unless one includes the electromagnetic field contribution to the momentum of the system as expressed by the Poynting vector divided by c^2 , where c is the speed of light in free space.

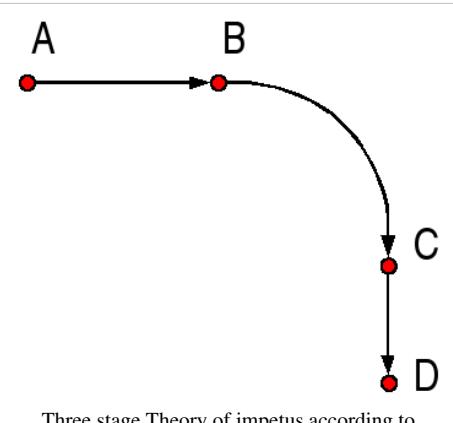
History

Some Greek philosophers of antiquity, among them Aristotle, founder of Aristotelian physics, may have been the first to maintain the idea that "everything happens for a reason" and that theoretical principles can assist in the understanding of nature. While to a modern reader, many of these preserved ideas come forth as eminently reasonable, there is a conspicuous lack of both mathematical theory and controlled experiment, as we know it. These both turned out to be decisive factors in forming modern science, and they started out with classical mechanics.

The medieval "science of weights" (i.e., mechanics) owes much of its importance to the work of Jordanus de Nemore. In the *Elementa super demonstrationem ponderum*, he introduces the concept of "positional gravity" and the use of component forces. An early mathematical and experimental scientific method was introduced into mechanics in the 11th century by al-Biruni, who along with al-Khazini in the 12th century, unified statics and dynamics into the science of mechanics, and combined the fields of hydrostatics with dynamics to create the field of hydrodynamics.^[4] Concepts related to Newton's laws of motion were also enunciated by several other Muslim physicists during the Middle Ages. Early versions of the law of inertia, known as Newton's first law of motion, and the concept relating to momentum, part of Newton's second law of motion, were described by Ibn al-Haytham (Alhazen)^[5] ^[6] and

Avicenna.^[7] ^[8] The proportionality between force and acceleration, an important principle in classical mechanics, was first stated by Abu'l-Barakat,^[9] and Ibn Bajjah also developed the concept of a reaction force.^[10] Theories on gravity were developed by Banū Mūsā,^[11] Alhazen,^[12] and al-Khazini.^[13] It is known that Galileo Galilei's mathematical treatment of acceleration and his concept of impetus^[14] grew out of earlier medieval analyses of motion, especially those of Avicenna,^[7] Ibn Bajjah,^[15] and Jean Buridan.^[16]

The first published causal explanation of the motions of planets was Johannes Kepler's *Astronomia nova* published in 1609. He concluded, based on Tycho Brahe's observations of the orbit of Mars, that the orbits were ellipses. This break with ancient thought was happening around the same time that Galilei was proposing abstract mathematical laws for the motion of objects. He may (or may not) have performed the famous experiment of dropping two cannon balls of different weights from the tower of Pisa, showing that they both hit the ground at the same time. The reality of this experiment is disputed, but, more importantly, he did carry out quantitative experiments by rolling balls on an inclined plane. His theory of accelerated motion derived from the results of such experiments, and forms a cornerstone of classical mechanics.



Three stage Theory of impetus according to
Albert of Saxony.

As foundation for his principles of natural philosophy, Newton proposed three laws of motion: the law of inertia, his second law of acceleration (mentioned above), and the law of action and reaction; and hence laid the foundations for classical mechanics. Both Newton's second and third laws were given proper scientific and mathematical treatment in Newton's *Philosophiæ Naturalis Principia Mathematica*, which distinguishes them from earlier attempts at explaining similar phenomena, which were either incomplete, incorrect, or given little accurate mathematical expression. Newton also enunciated the principles of conservation of momentum and angular momentum. In Mechanics, Newton was also the first to provide the first correct scientific and mathematical formulation of gravity in Newton's law of universal gravitation. The combination of Newton's laws of motion and gravitation provide the fullest and most accurate description of classical mechanics. He demonstrated that these laws apply to everyday objects as well as to celestial objects. In particular, he obtained a theoretical explanation of Kepler's laws of motion of the planets.

Newton previously invented the calculus, of mathematics, and used it to perform the mathematical calculations. For acceptability, his book, the *Principia*, was formulated entirely in terms of the long established geometric methods, which were soon to be eclipsed by his calculus. However it was Leibniz who developed the notation of the derivative and integral preferred today.

Newton, and most of his contemporaries, with the notable exception of Huygens, worked on the assumption that classical mechanics would be able to explain all phenomena, including light, in the form of geometric optics. Even when discovering the so-called Newton's rings (a wave interference phenomenon) his explanation remained with his own corpuscular theory of light.

After Newton, classical mechanics became a principal field of study in mathematics as well as physics. After Newton there were several re-formulations which progressively allowed a solution to be found to a far greater number of problems. The first notable re-formulation was in 1788 by Joseph Louis Lagrange. Lagrangian mechanics was in turn re-formulated in 1833 by William Rowan Hamilton.

Some difficulties were discovered in the late 19th century that could only be resolved by more modern physics. Some of these difficulties related to compatibility with electromagnetic theory, and the famous Michelson-Morley experiment. The resolution of these problems led to the special theory of relativity, often included in the term classical mechanics.

A second set of difficulties were related to thermodynamics. When combined with thermodynamics, classical mechanics leads to the Gibbs paradox of classical statistical mechanics, in which entropy is not a well-defined quantity. Black-body radiation was not explained without the introduction of quanta. As experiments reached the atomic level, classical mechanics failed to explain, even approximately, such basic things as the energy levels and sizes of atoms and the photo-electric effect. The effort at resolving these problems led to the development of quantum mechanics.

Since the end of the 20th century, the place of classical mechanics in physics has been no longer that of an independent theory. Emphasis has shifted to understanding the fundamental forces of nature as in the Standard model and its more modern extensions into a unified theory of everything.^[17] Classical mechanics is a theory for the study of the motion of non-quantum mechanical, low-energy particles in weak gravitational fields.

In the 21st century classical mechanics has been extended into the complex domain and complex classical mechanics exhibits behaviours very similar to quantum mechanics.^[18]



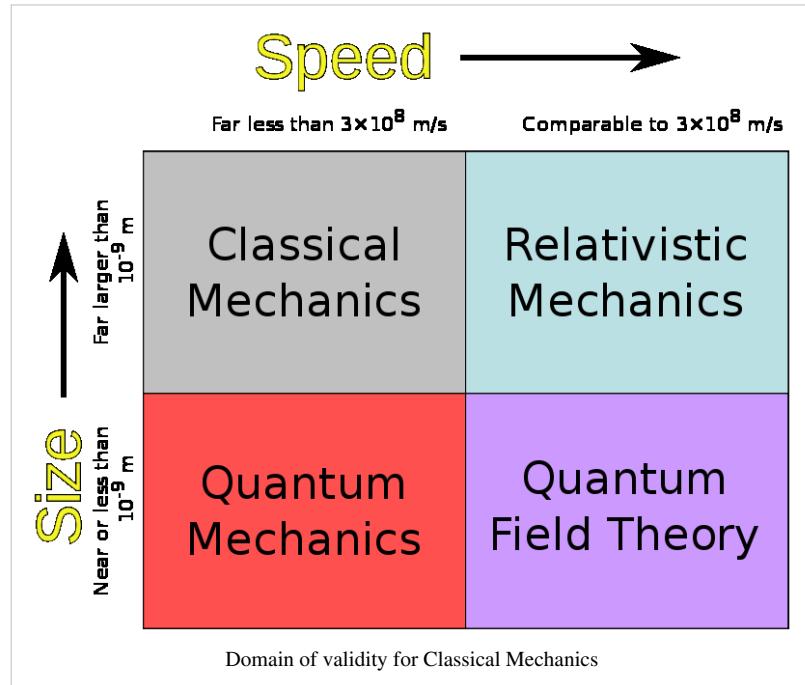
Hamilton's greatest contribution is perhaps the reformulation of Newtonian mechanics, now called Hamiltonian mechanics.

Limits of validity

Many branches of classical mechanics are simplifications or approximations of more accurate forms; two of the most accurate being general relativity and relativistic statistical mechanics. Geometric optics is an approximation to the quantum theory of light, and does not have a superior "classical" form.

The Newtonian approximation to special relativity

In special relativity, the momentum of a particle is given by



$$\mathbf{p} = \frac{m\mathbf{v}}{\sqrt{1 - v^2/c^2}},$$

where m is the particle's mass, \mathbf{v} its velocity, and c is the speed of light.

If v is very small compared to c , v^2/c^2 is approximately zero, and so

$$\mathbf{p} \approx m\mathbf{v}.$$

Thus the Newtonian equation $\mathbf{p} = m\mathbf{v}$ is an approximation of the relativistic equation for bodies moving with low speeds compared to the speed of light.

For example, the relativistic cyclotron frequency of a cyclotron, gyrotron, or high voltage magnetron is given by

$$f = f_c \frac{m_0}{m_0 + T/c^2},$$

where f_c is the classical frequency of an electron (or other charged particle) with kinetic energy T and (rest) mass m_0 circling in a magnetic field. The (rest) mass of an electron is 511 keV. So the frequency correction is 1% for a magnetic vacuum tube with a 5.11 kV direct current accelerating voltage.

The classical approximation to quantum mechanics

The ray approximation of classical mechanics breaks down when the de Broglie wavelength is not much smaller than other dimensions of the system. For non-relativistic particles, this wavelength is

$$\lambda = \frac{h}{p}$$

where h is Planck's constant and p is the momentum.

Again, this happens with electrons before it happens with heavier particles. For example, the electrons used by Clinton Davisson and Lester Germer in 1927, accelerated by 54 volts, had a wave length of 0.167 nm, which was long enough to exhibit a single diffraction side lobe when reflecting from the face of a nickel crystal with atomic spacing of 0.215 nm. With a larger vacuum chamber, it would seem relatively easy to increase the angular resolution from around a radian to a milliradian and see quantum diffraction from the periodic patterns of integrated circuit

computer memory.

More practical examples of the failure of classical mechanics on an engineering scale are conduction by quantum tunneling in tunnel diodes and very narrow transistor gates in integrated circuits.

Classical mechanics is the same extreme high frequency approximation as geometric optics. It is more often accurate because it describes particles and bodies with rest mass. These have more momentum and therefore shorter De Broglie wavelengths than massless particles, such as light, with the same kinetic energies.

Branches

Classical mechanics was traditionally divided into three main branches:

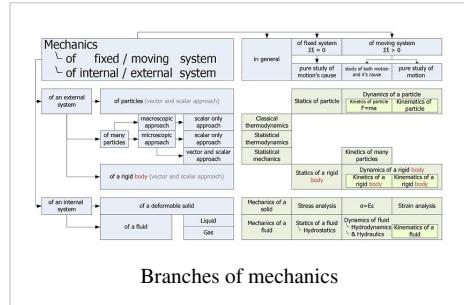
- Statics, the study of equilibrium and its relation to forces
- Dynamics, the study of motion and its relation to forces
- Kinematics, dealing with the implications of observed motions without regard for circumstances causing them

Another division is based on the choice of mathematical formalism:

- Newtonian mechanics
- Lagrangian mechanics
- Hamiltonian mechanics

Alternatively, a division can be made by region of application:

- Celestial mechanics, relating to stars, planets and other celestial bodies
- Continuum mechanics, for materials which are modelled as a continuum, e.g., solids and fluids (i.e., liquids and gases).
- Relativistic mechanics (i.e. including the special and general theories of relativity), for bodies whose speed is close to the speed of light.
- Statistical mechanics, which provides a framework for relating the microscopic properties of individual atoms and molecules to the macroscopic or bulk thermodynamic properties of materials.



Notes

- [1] The notion of "classical" may be somewhat confusing, insofar as this term usually refers to the era of classical antiquity in European history. While many discoveries within the mathematics of that period remain in full force today, and of the greatest use, much of the science that emerged then has since been superseded by more accurate models. This in no way detracts from the science of that time, though as most of modern physics is built directly upon the important developments, especially within technology, which took place in antiquity and during the Middle Ages in Europe and elsewhere. However, the emergence of classical mechanics was a decisive stage in the development of science, in the modern sense of the term. What characterizes it, above all, is its insistence on mathematics (rather than speculation), and its reliance on experiment (rather than observation). With classical mechanics it was established how to formulate quantitative predictions in theory, and how to test them by carefully designed measurement. The emerging globally cooperative endeavor increasingly provided for much closer scrutiny and testing, both of theory and experiment. This was, and remains, a key factor in establishing certain knowledge, and in bringing it to the service of society. History shows how closely the health and wealth of a society depends on nurturing this investigative and critical approach.
- [2] MIT physics 8.01 lecture notes (page 12) (<http://ocw.mit.edu/NR/rdonlyres/Physics/8-01Physics-IFall2003/B4144452-A6DE-464D-A0FA-D4D057AA9222/0/binder1.pdf>) (PDF)
- [3] The displacement $\Delta \mathbf{r}$ is the difference of the particle's initial and final positions: $\Delta \mathbf{r} = \mathbf{r}_{\text{final}} - \mathbf{r}_{\text{initial}}$.
- [4] Mariam Rozhanskaya and I. S. Levinova (1996), "Statics", in Roshdi Rashed, ed., *Encyclopedia of the History of Arabic Science*, Vol. 2, p. 614-642 [642], Routledge, London and New York
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- (cf. Abel B. Franco (October 2003). "Avempace, Projectile Motion, and Impetus Theory", *Journal of the History of Ideas* **64** (4), p. 521-546 [528].)
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- [16] "A history of mechanics (<http://books.google.com/books?id=vPT-JubW-7QC&pg=PA87&dq&hl=en#v=onepage&q=&f=false>)". René Dugas (1988). p.87. ISBN 0486656322
- [17] Page 2-10 of the *Feynman Lectures on Physics* says "For already in classical mechanics there was indeterminability from a practical point of view." The past tense here implies that classical physics is no longer fundamental.
- [18] Complex Elliptic Pendulum (<http://arxiv.org/abs/1001.0131>), Carl M. Bender, Daniel W. Hook, Karta Kooner

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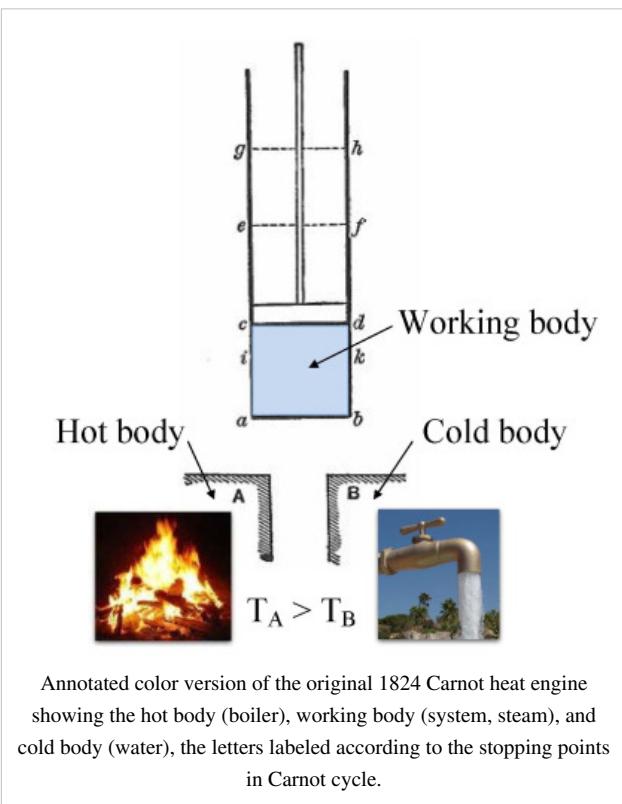
Thermodynamics

Thermodynamics is the science of energy conversion involving heat and other forms of energy, most notably mechanical work. It studies and interrelates the macroscopic variables, such as temperature, volume and pressure, which describe physical, thermodynamic systems.

Historically, thermodynamics developed out of a desire to increase the efficiency of early steam engines, particularly through the work of French physicist Nicolas Léonard Sadi Carnot (1824) who believed that engine efficiency was the key that could help France win the Napoleonic Wars.^[1] Scottish physicist Lord Kelvin was the first to formulate a concise definition of thermodynamics in 1854.^[2]

Thermo-dynamics is the subject of the relation of heat to forces acting between contiguous parts of bodies, and the relation of heat to electrical agency.

The initial application of thermodynamics to mechanical heat engines was extended early on to the study of chemical systems. Chemical thermodynamics studies the nature of the role of entropy in the process of chemical reactions and provided the bulk of expansion and knowledge of the field.^{[3] [4] [5] [6] [7] [8] [9] [10] [11]} Other formulations of thermodynamics emerged in the following decades. Statistical thermodynamics, or statistical mechanics, concerned itself with statistical predictions of the collective motion of particles from their microscopic behavior. In 1909, Constantin Carathéodory presented a purely mathematical approach to the field in his axiomatic formulation of thermodynamics, a description often referred to as *geometrical thermodynamics*. Although these levels of description required increasingly difficult mathematical tools, and are therefore often taught independently, modern thermodynamics is practiced as an amalgamation of all descriptions, without intentional separation of view points.



Introduction

Central to thermodynamics are the concepts of *system* and *surroundings*.^[7] ^[12] A thermodynamic system is a macroscopic physical object, explicitly specified in terms of macroscopic physical and chemical variables which describe its macroscopic properties. The macroscopic variables of thermodynamics have been recognized in the course of empirical work in physics and chemistry.^[8] They are of two kinds, extensive and intensive.^[7] ^[13] Examples of extensive thermodynamic variables are total mass and total volume. Examples of intensive thermodynamic variables are temperature, pressure, and chemical concentration; intensive thermodynamic variables are defined at each spatial point and each instant of time in a system. Physical macroscopic variables can be mechanical or thermal.^[13] Temperature is a thermal variable; according to Guggenheim, "the most important conception in thermodynamics is temperature."^[7] The surroundings of a thermodynamic system are usually conceptual devices that can interact with the system. An example of a thermodynamic surrounding is a heat bath, which is considered to be held at a prescribed temperature, regardless of the interactions it might have with the system.

The macroscopic variables of a thermodynamic system can under some conditions be related to one another through equations of state. They can be combined to express internal energy and thermodynamic potentials, which are useful for determining conditions for equilibrium and spontaneous processes.

Thermodynamics describes how systems change when they interact with one another or with their surroundings. This can be applied to a wide variety of topics in science and engineering, such as engines, phase transitions, chemical reactions, transport phenomena, and even black holes. The results of thermodynamics are essential for other fields of physics and for chemistry, chemical engineering, aerospace engineering, mechanical engineering, cell biology, biomedical engineering, materials science, and economics, to name a few.^[14] ^[15]

Many of the empirical facts of thermodynamics are comprehended in its four laws, principles that can also be taken as an axiomatic basis for it. The first law specifies that energy can be exchanged between physical systems as heat and thermodynamic work.^[16] The second law concerns a quantity called entropy, that expresses limitations on the amount of thermodynamic work that can be delivered to an external system by a thermodynamic process.^[17]

Thermodynamic facts can often be explained by viewing macroscopic objects as assemblies of very many microscopic or atomic objects that obey Hamiltonian dynamics.^[18] ^[7] ^[13] The microscopic or atomic objects exist in species, the objects of each species being all alike. Because of this likeness, statistical methods can be used to account for the macroscopic properties of the thermodynamic system in terms of the properties of the microscopic species. Such explanation is called statistical thermodynamics; also often it is also referred to by the term 'statistical mechanics', though this term can have a wider meaning, referring to 'microscopic objects', such as economic quantities, that do not obey Hamiltonian dynamics.^[13]

This article is focused mainly on classical thermodynamics which primarily studies systems in thermodynamic equilibrium. Non-equilibrium thermodynamics is often treated as an extension of the classical treatment, but statistical mechanics has brought many advances of the field.

History

The history of thermodynamics as a scientific discipline generally begins with Otto von Guericke who, in 1650, built and designed the world's first vacuum pump and demonstrated a vacuum using his Magdeburg hemispheres. Guericke was driven to make a vacuum in order to disprove Aristotle's long-held supposition that 'nature abhors a vacuum'. Shortly after Guericke, the English physicist and chemist Robert Boyle had learned of Guericke's designs and, in 1656, in coordination with English scientist Robert Hooke, built an air pump.^[20] Using this pump, Boyle and Hooke noticed a correlation between pressure, temperature, and volume. In time, Boyle's Law was formulated, which states that pressure and volume are inversely proportional. Then, in 1679, based on these concepts, an associate of Boyle's named Denis Papin built a steam digester, which was a closed vessel with a tightly fitting lid that confined steam until a high pressure was generated.

| École Polytechnique | Glasgow school | Berlin school | Edinburgh school |
|---|---|---|---|
|  |  |  |  |
| Sadi Carnot (1796-1832) | William Thomson (1824-1907) | Rudolf Clausius (1822-1888) | James Maxwell (1831-1879) |
| Vienna school | Gibbsian school | Dresden school | Dutch school |
|  |  |  |  |
| Ludwig Boltzmann (1844-1906) | Willard Gibbs (1839-1903) | Gustav Zeuner (1828-1907) | Johannes van der Waals (1837-1923) |
| <p>The thermodynamicists representative of the original eight founding schools of thermodynamics. The schools with the most-lasting effect in founding the modern versions of thermodynamics are the Berlin school, particularly as established in Rudolf Clausius's 1865 textbook <i>The Mechanical Theory of Heat</i>, the Vienna school, with the statistical mechanics of Ludwig Boltzmann, and the Gibbsian school at Yale University, American engineer Willard Gibbs' 1876 <i>On the Equilibrium of Heterogeneous Substances</i> [19] launching chemical thermodynamics.</p> | | | |

Later designs implemented a steam release valve that kept the machine from exploding. By watching the valve rhythmically move up and down, Papin conceived of the idea of a piston and a cylinder engine. He did not, however, follow through with his design. Nevertheless, in 1697, based on Papin's designs, engineer Thomas Savery built the first engine, followed by Thomas Newcomen in 1712. Although these early engines were crude and inefficient, they attracted the attention of the leading scientists of the time.

The fundamental concepts of heat capacity and latent heat, which were necessary for the development of thermodynamics, were developed by Professor Joseph Black at the University of Glasgow, where James Watt was employed as an instrument maker. Black and Watt performed experiments together, but it was Watt who conceived the idea of the external condenser which resulted in a large increase in steam engine efficiency.^[21] Drawing on all the previous work led Sadi Carnot, the "father of thermodynamics", to publish *Reflections on the Motive Power of Fire* (1824), a discourse on heat, power, energy and engine efficiency. The paper outlined the basic energetic relations between the Carnot engine, the Carnot cycle, and motive power. It marked the start of thermodynamics as a modern science.^[10]

The first thermodynamic textbook was written in 1859 by William Rankine, originally trained as a physicist and a civil and mechanical engineering professor at the University of Glasgow.^[22] The first and second laws of thermodynamics emerged simultaneously in the 1850s, primarily out of the works of William Rankine, Rudolf Clausius, and William Thomson (Lord Kelvin).

The foundations of statistical thermodynamics were set out by physicists such as James Clerk Maxwell, Ludwig Boltzmann, Max Planck, Rudolf Clausius and J. Willard Gibbs.

During the years 1873-76 the American mathematical physicist Josiah Willard Gibbs published a series of three papers, the most famous being *On the Equilibrium of Heterogeneous Substances*^[3], in which he showed how thermodynamic processes, including chemical reactions, could be graphically analyzed, by studying the energy, entropy, volume, temperature and pressure of the thermodynamic system in such a manner, one can determine if a process would occur spontaneously.^[23] Also Pierre Duhem in the 19th century wrote about chemical thermodynamics^[4]. During the early 20th century, chemists such as Gilbert N. Lewis, Merle Randall^[5], and E. A. Guggenheim^[6] ^[7] applied the mathematical methods of Gibbs to the analysis of chemical processes.

Etymology

The etymology of *thermodynamics* has an intricate history.^[24] It was first spelled in a hyphenated form as an adjective (*thermo-dynamic*) and from 1854 to 1868 as the noun *thermo-dynamics* to represent the science of generalized heat engines.^[24]

American biophysicist Donald Haynie claims that *thermodynamics* was coined in 1840 from the Greek root θέρμη *therme*, meaning heat and δύναμις, *dynamis*, meaning power.^[25] However, this etymology has been cited as unlikely.^[24]

Pierre Perrot claims that the term *thermodynamics* was coined by James Joule in 1858 to designate the science of relations between heat and power.^[10], however, Joule never used that term, but used instead the term *perfect thermo-dynamic engine* in reference to Thomson's 1849^[26] phraseology.^[24]

By 1858, *thermo-dynamics*, as a functional term, was used in William Thomson's paper *An Account of Carnot's Theory of the Motive Power of Heat*.^[26]

Branches of description

The study of thermodynamical systems has developed into several related branches, each using a different fundamental model as a theoretical or experimental basis, or applying the principles to varying types of systems.

Classical thermodynamics

Classical thermodynamics is the description of the states of thermodynamical systems at near-equilibrium, using macroscopic, empirical properties directly measurable in the laboratory. It is used to model exchanges of energy, work and heat based on the laws of thermodynamics. The qualifier *classical* reflects the fact that it represents the descriptive level in terms of macroscopic empirical parameters that can be measured in the laboratory, that was the first level of understanding in the 19th century. A microscopic interpretation of these concepts was provided by the development of statistical mechanics.

Statistical mechanics

Statistical mechanics, also called statistical thermodynamics, emerged with the development of atomic and molecular theories in the late 19th century and early 20th century, supplementing thermodynamics with an interpretation of the microscopic interactions between individual particles or quantum-mechanical states. This field relates the microscopic properties of individual atoms and molecules to the macroscopic, bulk properties of materials that can be observed on the human scale, thereby explaining thermodynamics as a natural result of statistics, classical mechanics, and quantum theory at the microscopic level.

Chemical thermodynamics

Chemical thermodynamics is the study of the interrelation of energy with chemical reactions or with a physical change of state within the confines of the laws of thermodynamics.

Treatment of equilibrium

Equilibrium thermodynamics is the systematic study of transformations of matter and energy in systems as they approach equilibrium. The word equilibrium implies a state of balance. In an equilibrium state there are no unbalanced potentials, or driving forces, within the system. A central aim in equilibrium thermodynamics is: given a system in a well-defined initial state, subject to accurately specified constraints, to calculate what the state of the system will be once it has reached equilibrium.

Non-equilibrium thermodynamics is a branch of thermodynamics that deals with systems that are not in thermodynamic equilibrium. Most systems found in nature are not in thermodynamic equilibrium because they are not in stationary states, and are continuously and discontinuously subject to flux of matter and energy to and from other systems. The thermodynamic study of non-equilibrium systems requires more general concepts than are dealt with by equilibrium thermodynamics. Many natural systems still today remain beyond the scope of currently known macroscopic thermodynamic methods.

Laws of thermodynamics

Thermodynamics is principally based on a set of four laws which are universally valid when applied to systems that fall within the constraints implied by each. In the various theoretical descriptions of thermodynamics these laws may be expressed in seemingly differing forms, but the most prominent formulations are the following:

- Zeroth law of thermodynamics: *If two systems are each in thermal equilibrium with a third, they are also in thermal equilibrium with each other.*

This statement implies that thermal equilibrium is an equivalence relation on the set of thermodynamic systems under consideration. Systems are said to be in equilibrium if the small, random exchanges between them (eg. Brownian motion) do not lead to a net change in energy. This law is tacitly assumed in every measurement of temperature. Thus, if one seeks to decide if two bodies are at the same temperature, it is not necessary to bring them into contact and measure any changes of their observable properties in time.^[27] The law provides an empirical definition of temperature and justification for the construction of practical thermometers.

The zeroth law was not initially recognized as a law, as its basis in thermodynamical equilibrium was implied in the other laws. The first, second, and third laws had been explicitly stated prior and found common acceptance in the physics community. Once the importance of the zeroth law for the definition of temperature was realized, it was impracticable to renumber the other laws, hence it was numbered the *zeroth law*.

- First law of thermodynamics: *The internal energy of an isolated system is constant.*

The first law of thermodynamics is an expression of the principle of conservation of energy. It states that energy can be transformed (changed from one form to another), but cannot be created or destroyed.^[28]

The first law is usually formulated by saying that the change in the internal energy of a closed thermodynamic system is equal to the difference between the heat supplied to the system and the amount of work done by the system on its surroundings. It is important to note that internal energy is a state of the system (see Thermodynamic state) whereas heat and work modify the state of the system. In other words, a specific internal energy of a system may be achieved by any combination of heat and work; the manner by which a system achieves a specific internal energy is path independent.

- Second law of thermodynamics: *Heat cannot spontaneously flow from a colder location to a hotter location.*

The second law of thermodynamics is an expression of the universal principle of decay observable in nature. The second law is an observation of the fact that over time, differences in temperature, pressure, and chemical potential tend to even out in a physical system that is isolated from the outside world. Entropy is a measure of how much this process has progressed. The entropy of an isolated system which is not in equilibrium will tend to increase over time, approaching a maximum value at equilibrium.

In classical thermodynamics, the second law is a basic postulate applicable to any system involving heat energy transfer; in statistical thermodynamics, the second law is a consequence of the assumed randomness of molecular chaos. There are many versions of the second law, but they all have the same effect, which is to explain the phenomenon of irreversibility in nature.

- Third law of thermodynamics: *As a system approaches absolute zero, all processes cease and the entropy of the system approaches a minimum value.*

The third law of thermodynamics is a statistical law of nature regarding entropy and the impossibility of reaching absolute zero of temperature. This law provides an absolute reference point for the determination of entropy. The entropy determined relative to this point is the absolute entropy. Alternate definitions are, "the entropy of all systems and of all states of a system is smallest at absolute zero," or equivalently "it is impossible to reach the absolute zero of temperature by any finite number of processes".

Absolute zero, at which all activity would stop if it were possible to happen, is -273.15°C (degrees Celsius), or -459.67°F (degrees Fahrenheit) or 0 K (kelvin).

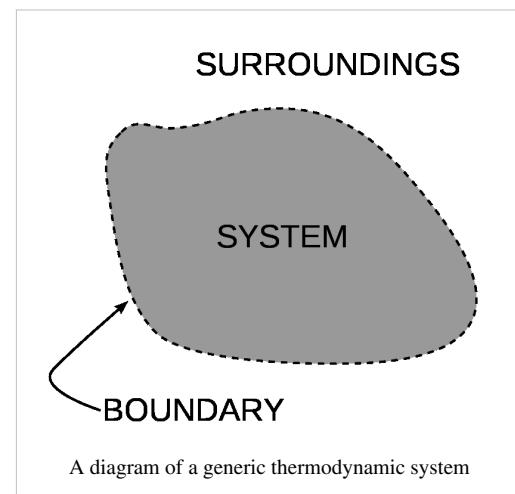
System models

An important concept in thermodynamics is the thermodynamic system, a precisely defined region of the universe under study. Everything in the universe except the system is known as the *surroundings*. A system is separated from the remainder of the universe by a *boundary* which may be notional or not, but which by convention delimits a finite volume. Exchanges of work, heat, or matter between the system and the surroundings take place across this boundary.

In practice, the boundary is simply an imaginary dotted line drawn around a volume when there is going to be a change in the internal energy of that volume. Anything that passes across the boundary that effects a change in the internal energy needs to be accounted for in the energy balance equation. The volume can be the region surrounding a single atom resonating energy, such as Max Planck defined in 1900; it can be a body of steam or air in a steam engine, such as Sadi Carnot defined in 1824; it can be the body of a tropical cyclone, such as Kerry Emanuel theorized in 1986 in the field of atmospheric thermodynamics; it could also be just one nuclide (i.e. a system of quarks) as hypothesized in quantum thermodynamics.

Boundaries are of four types: fixed, moveable, real, and imaginary. For example, in an engine, a fixed boundary means the piston is locked at its position; as such, a constant volume process occurs. In that same engine, a moveable boundary allows the piston to move in and out. For closed systems, boundaries are real while for open system boundaries are often imaginary.

Generally, thermodynamics distinguishes three classes of systems, defined in terms of what is allowed to cross their boundaries:



A diagram of a generic thermodynamic system

Interactions of thermodynamic systems

| Type of system | Mass flow | Work | Heat |
|----------------|-----------|------|------|
| Open | ✓ | ✓ | ✓ |
| Closed | ✗ | ✓ | ✓ |
| Isolated | ✗ | ✗ | ✗ |

As time passes in an isolated system, internal differences in the system tend to even out and pressures and temperatures tend to equalize, as do density differences. A system in which all equalizing processes have gone to completion is considered to be in a state of thermodynamic equilibrium.

In thermodynamic equilibrium, a system's properties are, by definition, unchanging in time. Systems in equilibrium are much simpler and easier to understand than systems which are not in equilibrium. Often, when analysing a thermodynamic process, it can be assumed that each intermediate state in the process is at equilibrium. This will also considerably simplify the situation. Thermodynamic processes which develop so slowly as to allow each intermediate step to be an equilibrium state are said to be reversible processes.

States and processes

When a system is at equilibrium under a given set of conditions, it is said to be in a definite thermodynamic state. The state of the system can be described by a number of intensive variables and extensive variables. The properties of the system can be described by an equation of state which specifies the relationship between these variables. State may be thought of as the instantaneous quantitative description of a system with a set number of variables held constant.

A thermodynamic process may be defined as the energetic evolution of a thermodynamic system proceeding from an initial state to a final state. Typically, each thermodynamic process is distinguished from other processes in energetic character according to what parameters, such as temperature, pressure, or volume, etc., are held fixed. Furthermore, it is useful to group these processes into pairs, in which each variable held constant is one member of a conjugate pair.

Several commonly studied thermodynamic processes are:

- Isobaric process: occurs at constant pressure
- Isochoric process: occurs at constant volume (also called isometric/isovolumetric)
- Isothermal process: occurs at a constant temperature
- Adiabatic process: occurs without loss or gain of energy by heat
- Isentropic process: a reversible adiabatic process, occurs at a constant entropy
- Isenthalpic process: occurs at a constant enthalpy
- Steady state process: occurs without a change in the internal energy

Instrumentation

There are two types of thermodynamic instruments, the **meter** and the **reservoir**. A thermodynamic meter is any device which measures any parameter of a thermodynamic system. In some cases, the thermodynamic parameter is actually defined in terms of an idealized measuring instrument. For example, the zeroth law states that if two bodies are in thermal equilibrium with a third body, they are also in thermal equilibrium with each other. This principle, as noted by James Maxwell in 1872, asserts that it is possible to measure temperature. An idealized thermometer is a sample of an ideal gas at constant pressure. From the ideal gas law $pV=nRT$, the volume of such a sample can be used as an indicator of temperature; in this manner it defines temperature. Although pressure is defined mechanically, a pressure-measuring device, called a barometer may also be constructed from a sample of an ideal

gas held at a constant temperature. A calorimeter is a device which is used to measure and define the internal energy of a system.

A thermodynamic reservoir is a system which is so large that it does not appreciably alter its state parameters when brought into contact with the test system. It is used to impose a particular value of a state parameter upon the system. For example, a pressure reservoir is a system at a particular pressure, which imposes that pressure upon any test system that it is mechanically connected to. The Earth's atmosphere is often used as a pressure reservoir.

Conjugate variables

The central concept of thermodynamics is that of energy, the ability to do work. By the First Law, the total energy of a system and its surroundings is conserved. Energy may be transferred into a system by heating, compression, or addition of matter, and extracted from a system by cooling, expansion, or extraction of matter. In mechanics, for example, energy transfer equals the product of the force applied to a body and the resulting displacement.

Conjugate variables are pairs of thermodynamic concepts, with the first being akin to a "force" applied to some thermodynamic system, the second being akin to the resulting "displacement," and the product of the two equalling the amount of energy transferred. The common conjugate variables are:

- Pressure-volume (the mechanical parameters);
- Temperature-entropy (thermal parameters);
- Chemical potential-particle number (material parameters).

Potentials

Thermodynamic potentials are different quantitative measures of the stored energy in a system. Potentials are used to measure energy changes in systems as they evolve from an initial state to a final state. The potential used depends on the constraints of the system, such as constant temperature or pressure. For example, the Helmholtz and Gibbs energies are the energies available in a system to do useful work when the temperature and volume or the pressure and temperature are fixed, respectively.

The five most well known potentials are:

| Name | Symbol | Formula | Natural variables |
|------------------------------------|------------------|---------------------------------------|-------------------|
| Internal energy | U | $\int(TdS - pdV + \sum_i \mu_i dN_i)$ | $S, V, \{N_i\}$ |
| Helmholtz free energy | F, A | $U - TS$ | $T, V, \{N_i\}$ |
| Enthalpy | H | $U + pV$ | $S, p, \{N_i\}$ |
| Gibbs free energy | G | $U + pV - TS$ | $T, p, \{N_i\}$ |
| Landau Potential (Grand potential) | Ω, Φ_G | $U - TS - \sum_i \mu_i N_i$ | $T, V, \{\mu_i\}$ |

where T is the temperature, S the entropy, p the pressure, V the volume, μ the chemical potential, N the number of particles in the system, and i is the count of particles types in the system.

Thermodynamic potentials can be derived from the energy balance equation applied to a thermodynamic system. Other thermodynamic potentials can also be obtained through Legendre transformation.

Applied fields

- Atmospheric thermodynamics
- Biological thermodynamics
- Black hole thermodynamics
- Chemical thermodynamics
- Classical thermodynamics
- Equilibrium thermodynamics
- Industrial ecology (re: Exergy)
- Maximum entropy thermodynamics
- Non-equilibrium thermodynamics
- Philosophy of thermal and statistical physics
- Psychrometrics
- Quantum thermodynamics
- Statistical thermodynamics
- Thermoconomics

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External links

- Thermodynamics Data & Property Calculation Websites (http://tigger.uic.edu/~mansoori/Thermodynamic.Data.and.Property_html)
- Thermodynamics Educational Websites (http://tigger.uic.edu/~mansoori/Thermodynamics.Educational.Sites_html)
- Thermodynamics at *ScienceWorld* (<http://scienceworld.wolfram.com/physics/topics/Thermodynamics.html>)
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- Thermodynamics and Statistical Mechanics (<http://farside.ph.utexas.edu/teaching/sm1/lectures/lectures.html>)
- Engineering Thermodynamics - A Graphical Approach (<http://www.ent.ohio.edu/~thermo/>)
- Thermodynamics and Statistical Mechanics (<http://farside.ph.utexas.edu/teaching/sm1/statmech.pdf>) by Richard Fitzpatrick

Statistical mechanics

Statistical mechanics or **statistical thermodynamics**,^[1] is a branch of physics that applies probability theory, which contains mathematical tools for dealing with large populations, to the study of the *thermodynamic* behavior of systems composed of a *large* number of particles. Statistical mechanics provides a framework for relating the microscopic properties of individual atoms and molecules to the macroscopic bulk properties of materials that can be observed in everyday life, therefore explaining thermodynamics as a result of classical and quantum-mechanical description of statistics and mechanics at the microscopic level.

Statistical mechanics provides a molecular-level interpretation of macroscopic thermodynamic quantities such as work, heat, free energy, and entropy. It enables the thermodynamic properties of bulk materials to be related to the spectroscopic data of individual molecules. This ability to make macroscopic predictions based on microscopic properties is the main advantage of statistical mechanics over classical thermodynamics. Both theories are governed by the second law of thermodynamics through the medium of entropy. However, entropy in thermodynamics can only be known empirically, whereas in statistical mechanics, it is a function of the distribution of the system on its micro-states.

Statistical mechanics was initiated in 1870 with the work of Austrian physicist Ludwig Boltzmann, much of which was collectively published in Boltzmann's 1896 *Lectures on Gas Theory*.^[2] Boltzmann's original papers on the statistical interpretation of thermodynamics, the H-theorem, transport theory, thermal equilibrium, the equation of state of gases, and similar subjects, occupy about 2,000 pages in the proceedings of the Vienna Academy and other societies. The term "statistical thermodynamics" was proposed for use by the American thermodynamicist and physical chemist J. Willard Gibbs in 1902. According to Gibbs, the term "statistical", in the context of mechanics, i.e. statistical mechanics, was first used by the Scottish physicist James Clerk Maxwell in 1871. "Probabilistic mechanics" might today seem a more appropriate term, but "statistical mechanics" is firmly entrenched.^[3]

Overview

The essential problem in statistical thermodynamics is to calculate the distribution of a given amount of energy E over N identical systems.^[4] The goal of statistical thermodynamics is to understand and to interpret the measurable macroscopic properties of materials in terms of the properties of their constituent particles and the interactions between them. This is done by connecting thermodynamic functions to quantum-mechanic equations. Two central quantities in statistical thermodynamics are the Boltzmann factor and the partition function.

Fundamentals

Central topics covered in statistical thermodynamics include:

- Microstates and configurations
- Boltzmann distribution law
- Partition function, Configuration integral or configurational partition function
- Thermodynamic equilibrium - thermal, mechanical, and chemical.
- Internal degrees of freedom - rotation, vibration, electronic excitation, etc.
- Heat capacity – Einstein solids, polyatomic gases, etc.
- Nernst heat theorem
- Fluctuations
- Gibbs paradox
- Degeneracy

Lastly, and most importantly, the formal definition of entropy of a thermodynamic system from a statistical perspective is called statistical entropy, and is defined as:

$$S = k_B \ln \Omega$$

where

k_B is Boltzmann's constant $1.38066 \times 10^{-23} \text{ J K}^{-1}$ and

Ω is the number of microstates corresponding to the observed thermodynamic macrostate.

This equation is valid only if each microstate is equally accessible (each microstate has an equal probability of occurring).

Boltzmann distribution

If the system is large the Boltzmann distribution could be used (the Boltzmann distribution is an approximate result)

$$n_i \propto e^{-\frac{U_i}{k_B T}}.$$

This can now be used with $\rho_i = \frac{n_i}{N}$:

$$\rho_i = \frac{n_i}{N} = \frac{e^{-\frac{U_i}{k_B T}}}{\sum_{i=1}^{\text{all levels}} e^{-\frac{U_i}{k_B T}}}.$$

History

In 1738, Swiss physicist and mathematician Daniel Bernoulli published *Hydrodynamica* which laid the basis for the kinetic theory of gases. In this work, Bernoulli posited the argument, still used to this day, that gases consist of great numbers of molecules moving in all directions, that their impact on a surface causes the gas pressure that we feel, and that what we experience as heat is simply the kinetic energy of their motion.

In 1859, after reading a paper on the diffusion of molecules by Rudolf Clausius, Scottish physicist James Clerk Maxwell formulated the Maxwell distribution of molecular velocities, which gave the proportion of molecules having a certain velocity in a specific range. This was the first-ever statistical law in physics.^[5] Five years later, in 1864, Ludwig Boltzmann, a young student in Vienna, came across Maxwell's paper and was so inspired by it that he spent much of his life developing the subject further.

Hence, the foundations of statistical thermodynamics were laid down in the late 1800s by those such as Maxwell, Boltzmann, Max Planck, Clausius, and Josiah Willard Gibbs who began to apply statistical and quantum atomic theory to ideal gas bodies. Predominantly, however, it was Maxwell and Boltzmann, working independently, who reached similar conclusions as to the statistical nature of gaseous bodies. Yet, one must consider Boltzmann to be the "father" of statistical thermodynamics with his 1875 derivation of the relationship between entropy S and multiplicity Ω , the number of microscopic arrangements (microstates) producing the same macroscopic state (macrostate) for a particular system.^[6]

Fundamental postulate

The fundamental postulate in statistical mechanics (also known as the *equal a priori probability postulate*) is the following:

Given an isolated system in equilibrium, it is found with equal probability in each of its accessible microstates.

This postulate is a fundamental assumption in statistical mechanics - it states that a system in equilibrium does not have any preference for any of its available microstates. Given Ω microstates at a particular energy, the probability of finding the system in a particular microstate is $p = 1/\Omega$.

This postulate is necessary because it allows one to conclude that for a system at equilibrium, the thermodynamic state (macrostate) which could result from the largest number of microstates is also the most probable macrostate of the system.

The postulate is justified in part, for classical systems, by Liouville's theorem (Hamiltonian), which shows that if the distribution of system points through accessible phase space is uniform at some time, it remains so at later times.

Similar justification for a discrete system is provided by the mechanism of detailed balance.

This allows for the definition of the *information function* (in the context of information theory):

$$I = - \sum_i \rho_i \ln \rho_i = \langle -\ln \rho \rangle.$$

When all the probabilities (ρ_i) are equal, I is maximal, and we have minimal information about the system. When our information is maximal (i.e., one rho is equal to one and the rest to zero, such that we know what state the system is in), the function is minimal.

This information function is the same as the *reduced entropic function* in thermodynamics.

Statistical ensembles

The modern formulation of statistical mechanics is based on the description of the physical system by an ensemble that represents all possible configurations of the system and the probability of realizing each configuration.

Each ensemble is associated with a partition function that, with mathematical manipulation, can be used to extract values of thermodynamic properties of the system. According to the relationship of the system to the rest of the universe, one of three general types of ensembles may apply, in order of increasing complexity:

- Microcanonical ensemble: describes a completely isolated system, having constant energy, as it does not exchange energy or mass with the rest of the universe.
- Canonical ensemble: describes a system in thermal equilibrium with its environment. It may only exchange energy in the form of heat with the outside.
- Grand-canonical: used in open systems which exchange energy and mass with the outside.

| Summary of ensembles in statistical mechanics | Ensembles: | | |
|---|--------------------------------------|--|---|
| | Microcanonical | Canonical | Grand canonical |
| Constant variables | E, N, V o B | T, N, V o B | T, μ, V o B |
| Microscopic features | Number of microstates Ω | Canonical partition function $Z = \sum_k e^{-\beta E_k}$ | Grand canonical partition function $\Xi = \sum_k e^{-\beta(E_k - \mu N_k)}$ |
| Macroscopic function | $S = k_B \ln \Omega$ | $F = -k_B T \ln Z$ | $F - G = -pV = -k_B T \ln \Xi$ |

Microcanonical ensemble

In microcanonical ensemble N, V and E are fixed. Since the second law of thermodynamics applies to isolated systems, the first case investigated will correspond to this case. The *Microcanonical ensemble* describes an isolated system.

The entropy of such a system can only increase, so that the maximum of its entropy corresponds to an equilibrium state for the system.

Because an isolated system keeps a constant energy, the total energy of the system does not fluctuate. Thus, the system can access only those of its micro-states that correspond to a given value E of the energy. The internal energy of the system is then strictly equal to its energy.

Let us call $\Omega(E)$ the number of micro-states corresponding to this value of the system's energy. The macroscopic state of maximal entropy for the system is the one in which all micro-states are equally likely to occur, with probability $1/\Omega(E)$, during the system's fluctuations.

$$S = -k_B \sum_{i=1}^{\Omega(E)} \left\{ \frac{1}{\Omega(E)} \ln \frac{1}{\Omega(E)} \right\} = k_B \ln (\Omega(E))$$

where

S is the system entropy, and

k_B is Boltzmann's constant.

Canonical ensemble

In canonical ensemble N , V and T are fixed. Invoking the concept of the canonical ensemble, it is possible to derive the probability P_i that a macroscopic system in thermal equilibrium with its environment, will be in a given microstate with energy E_i according to the Boltzmann distribution:

$$P_i = \frac{e^{-\beta E_i}}{\sum_j^{j_{\max}} e^{-\beta E_j}}$$

where $\beta = \frac{1}{k_B T}$,

The temperature T arises from the fact that the system is in thermal equilibrium with its environment. The probabilities of the various microstates must add to one, and the normalization factor in the denominator is the canonical partition function:

$$Z = \sum_i^{i_{\max}} e^{-\beta E_i}$$

where E_i is the energy of the i th microstate of the system. The partition function is a measure of the number of states accessible to the system at a given temperature. The article canonical ensemble contains a derivation of Boltzmann's factor and the form of the partition function from first principles.

To sum up, the probability of finding a system at temperature T in a particular state with energy E_i is

$$P_i = \frac{e^{-\beta E_i}}{Z}.$$

Thermodynamic connection

The partition function can be used to find the expected (average) value of any microscopic property of the system, which can then be related to macroscopic variables. For instance, the expected value of the microscopic energy E is interpreted as the microscopic definition of the thermodynamic variable internal energy U , and can be obtained by taking the derivative of the partition function with respect to the temperature. Indeed,

$$\langle E \rangle = \frac{\sum_i E_i e^{-\beta E_i}}{Z} = -\frac{1}{Z} \frac{dZ}{d\beta}$$

implies, together with the interpretation of $\langle E \rangle$ as U , the following microscopic definition of internal energy:

$$U := -\frac{d \ln Z}{d\beta}.$$

The entropy can be calculated by (see Shannon entropy)

$$\frac{S}{k} = - \sum_i p_i \ln p_i = \sum_i \frac{e^{-\beta E_i}}{Z} (\beta E_i + \ln Z) = \ln Z + \beta U$$

which implies that

$$-\frac{\ln(Z)}{\beta} = U - TS = F$$

is the free energy of the system or in other words,

$$Z = e^{-\beta F}.$$

Having microscopic expressions for the basic thermodynamic potentials U (internal energy), S (entropy) and F (free energy) is sufficient to derive expressions for other thermodynamic quantities. The basic strategy is as follows. There may be an intensive or extensive quantity that enters explicitly in the expression for the microscopic energy E_i , for instance magnetic field (intensive) or volume (extensive). Then, the conjugate thermodynamic variables are derivatives of the internal energy. The macroscopic magnetization (extensive) is the derivative of U with respect to the (intensive) magnetic field, and the pressure (intensive) is the derivative of U with respect to volume (extensive). The treatment in this section assumes no exchange of matter (i.e. fixed mass and fixed particle numbers). However, the volume of the system is variable which means the density is also variable.

This probability can be used to find the average value, which corresponds to the macroscopic value, of any property, J , that depends on the energetic state of the system by using the formula:

$$\langle J \rangle = \sum_i p_i J_i = \sum_i J_i \frac{e^{-\beta E_i}}{Z}$$

where $\langle J \rangle$ is the average value of property J . This equation can be applied to the internal energy, U :

$$U = \sum_i E_i \frac{e^{-\beta E_i}}{Z}.$$

Subsequently, these equations can be combined with known thermodynamic relationships between U and V to arrive at an expression for pressure in terms of only temperature, volume and the partition function. Similar relationships in terms of the partition function can be derived for other thermodynamic properties as shown in the following table; see also the detailed explanation in configuration integral [7].

| | |
|---|--|
| Helmholtz free energy: | $F = -\frac{\ln Z}{\beta}$ |
| Internal energy: | $U = -\left(\frac{\partial \ln Z}{\partial \beta}\right)_{N,V}$ |
| Pressure: | $P = -\left(\frac{\partial F}{\partial V}\right)_{N,T} = \frac{1}{\beta} \left(\frac{\partial \ln Z}{\partial V}\right)_{N,T}$ |
| Entropy: | $S = k(\ln Z + \beta U)$ |
| Gibbs free energy: | $G = F + PV = -\frac{\ln Z}{\beta} + \frac{V}{\beta} \left(\frac{\partial \ln Z}{\partial V}\right)_{N,T}$ |
| Enthalpy: | $H = U + PV$ |
| Constant volume heat capacity: | $C_V = \left(\frac{\partial U}{\partial T}\right)_{N,V}$ |
| Constant pressure heat capacity: | $C_P = \left(\frac{\partial H}{\partial T}\right)_{N,P}$ |
| Chemical potential: | $\mu_i = -\frac{1}{\beta} \left(\frac{\partial \ln Z}{\partial N_i}\right)_{T,V,N}$ |

To clarify, this is not a grand canonical ensemble.

It is often useful to consider the energy of a given molecule to be distributed among a number of modes. For example, translational energy refers to that portion of energy associated with the motion of the center of mass of the molecule. Configurational energy refers to that portion of energy associated with the various attractive and repulsive forces between molecules in a system. The other modes are all considered to be internal to each molecule. They include rotational, vibrational, electronic and nuclear modes. If we assume that each mode is independent (a questionable assumption) the total energy can be expressed as the sum of each of the components:

$$E = E_t + E_c + E_n + E_e + E_r + E_v,$$

where the subscripts t , c , n , e , r , and v correspond to translational, configurational, nuclear, electronic, rotational and vibrational modes, respectively. The relationship in this equation can be substituted into the very first equation to give:

$$\begin{aligned} Z &= \sum_i e^{-\beta(E_{ti} + E_{ci} + E_{ni} + E_{ei} + E_{ri} + E_{vi})} \\ &= \sum_i e^{-\beta E_{ti}} e^{-\beta E_{ci}} e^{-\beta E_{ni}} e^{-\beta E_{ei}} e^{-\beta E_{ri}} e^{-\beta E_{vi}}. \end{aligned}$$

If we can assume all these modes are completely uncoupled and uncorrelated, so all these factors are in a probability sense completely independent, then

$$Z = Z_t Z_c Z_n Z_e Z_r Z_v.$$

Thus a partition function can be defined for each mode. Simple expressions have been derived relating each of the various modes to various measurable molecular properties, such as the characteristic rotational or vibrational frequencies.

Expressions for the various molecular partition functions are shown in the following table.

| | |
|------------------------------------|--|
| Nuclear | $Z_n = 1 \quad (T < 10^8 K)$ |
| Electronic | $Z_e = W_0 e^{kTD_e} + W_1 e^{-\theta_{e1}/T} + \dots$ |
| Vibrational | $Z_v = \prod_j \frac{e^{-\theta_{vj}/2T}}{e^{\theta_{vj}/T} - 1}$ |
| Rotational (linear) | $Z_r = \frac{T}{\sigma \theta_r}$ |
| Rotational (non-linear) | $Z_r = \frac{1}{\sigma} \sqrt{\frac{\pi T^3}{\theta_A \theta_B \theta_C}}$ |
| Translational | $Z_t = \frac{(2\pi m k T)^{3/2}}{h^3}$ |
| Configurational (ideal gas) | $Z_c = V$ |

These equations can be combined with those in the first table to determine the contribution of a particular energy mode to a thermodynamic property. For example the "rotational pressure" could be determined in this manner. The total pressure could be found by summing the pressure contributions from all of the individual modes, i.e.:

$$P = P_t + P_c + P_n + P_e + P_r + P_v.$$

Grand canonical ensemble

In grand canonical ensemble V , T and chemical potential are fixed. If the system under study is an open system, (matter can be exchanged), *but* particle number is not conserved, we would have to introduce chemical potentials, μ_j , $j = 1, \dots, n$ and replace the canonical partition function with the grand canonical partition function:

$$\Xi(V, T, \mu) = \sum_i \exp \left(\beta \left[\sum_{j=1}^n \mu_j N_{ij} - E_i \right] \right)$$

where N_{ij} is the number of j^{th} species particles in the i^{th} configuration. Sometimes, we also have other variables to add to the partition function, one corresponding to each conserved quantity. Most of them, however, can be safely interpreted as chemical potentials. In most condensed matter systems, things are nonrelativistic and mass is conserved. However, most condensed matter systems of interest also conserve particle number approximately (metastably) and the mass (nonrelativistically) is none other than the sum of the number of each type of particle times its mass. Mass is inversely related to density, which is the conjugate variable to pressure. For the rest of this

article, we will ignore this complication and pretend chemical potentials don't matter.

Let's rework everything using a grand canonical ensemble this time. The volume is left fixed and does not figure in at all in this treatment. As before, j is the index for those particles of species j and i is the index for microstate i :

$$U = \sum_i E_i \frac{\exp(-\beta(E_i - \sum_j \mu_j N_{ij}))}{\Xi}$$

$$N_j = \sum_i N_{ij} \frac{\exp(-\beta(E_i - \sum_j \mu_j N_{ij}))}{\Xi}.$$

| | |
|-------------------------------|---|
| Grand potential: | $\Phi_G = -\frac{\ln \Xi}{\beta}$ |
| Internal energy: | $U = -\left(\frac{\partial \ln \Xi}{\partial \beta}\right)_\mu + \sum_i \frac{\mu_i}{\beta} \left(\frac{\partial \ln \Xi}{\partial \mu_i}\right)_\beta$ |
| Particle number: | $N_i = \frac{1}{\beta} \left(\frac{\partial \ln \Xi}{\partial \mu_i}\right)_\beta$ |
| Entropy: | $S = k(\ln \Xi + \beta U - \beta \sum_i \mu_i N_i)$ |
| Helmholtz free energy: | $F = \Phi_G + \sum_i \mu_i N_i = -\frac{\ln \Xi}{\beta} + \sum_i \frac{\mu_i}{\beta} \left(\frac{\partial \ln \Xi}{\partial \mu_i}\right)_\beta$ |

Equivalence between descriptions at the thermodynamic limit

All of the above descriptions differ in the way they allow the given system to fluctuate between its configurations.

In the micro-canonical ensemble, the system exchanges no energy with the outside world, and is therefore not subject to energy fluctuations; in the canonical ensemble, the system is free to exchange energy with the outside in the form of heat.

In the thermodynamic limit, which is the limit of large systems, fluctuations become negligible, so that all these descriptions converge to the same description. In other words, the macroscopic behavior of a system does not depend on the particular ensemble used for its description.

Given these considerations, the best ensemble to choose for the calculation of the properties of a macroscopic system is that ensemble which allows the result to be derived most easily.

Random walks

The study of long chain polymers has been a source of problems within the realms of statistical mechanics since about the 1950s. One of the reasons however that scientists were interested in their study is that the equations governing the behavior of a polymer chain were independent of the chain chemistry. What is more, the governing equation turns out to be a random walk, or diffusive walk, in space. Indeed, the Schrödinger equation is itself a diffusion equation in imaginary time, $t' = it$.

Random walks in time

The first example of a random walk is one in space, whereby a particle undergoes a random motion due to external forces in its surrounding medium. A typical example would be a pollen grain in a beaker of water. If one could somehow "dye" the path the pollen grain has taken, the path observed is defined as a random walk.

Consider a toy problem, of a train moving along a 1D track in the x-direction. Suppose that the train moves either a distance of + or - a fixed distance b , depending on whether a coin lands heads or tails when flipped. Lets start by considering the statistics of the steps the toy train takes (where S_i is the ith step taken):

$$\langle S_i \rangle = 0; \text{ due to } a \text{ priori} \text{ equal probabilities}$$

$$\langle S_i S_j \rangle = b^2 \delta_{ij}.$$

The second quantity is known as the correlation function. The delta is the kronecker delta which tells us that if the indices i and j are different, then the result is 0, but if $i = j$ then the kronecker delta is 1, so the correlation function returns a value of b^2 . This makes sense, because if $i = j$ then we are considering the same step. Rather trivially then it can be shown that the average displacement of the train on the x-axis is 0;

$$\begin{aligned} x &= \sum_{i=1}^N S_i \\ \langle x \rangle &= \left\langle \sum_{i=1}^N S_i \right\rangle \\ \langle x \rangle &= \sum_{i=1}^N \langle S_i \rangle. \end{aligned}$$

As stated $\langle S_i \rangle$ is 0, so the sum of 0 is still 0. It can also be shown, using the same method demonstrated above, to calculate the root mean square value of problem. The result of this calculation is given below

$$x_{rms} = \sqrt{\langle x^2 \rangle} = b\sqrt{N}.$$

From the diffusion equation it can be shown that the distance a diffusing particle moves in a media is proportional to the root of the time the system has been diffusing for, where the proportionality constant is the root of the diffusion constant. The above relation, although cosmetically different reveals similar physics, where N is simply the number of steps moved (is loosely connected with time) and b is the characteristic step length. As a consequence we can consider diffusion as a random walk process.

Random walks in space

Random walks in space can be thought of as snapshots of the path taken by a random walker in time. One such example is the spatial configuration of long chain polymers.

There are two types of random walk in space: *self-avoiding random walks*, where the links of the polymer chain interact and do not overlap in space, and *pure random walks*, where the links of the polymer chain are non-interacting and links are free to lie on top of one another. The former type is most applicable to physical systems, but their solutions are harder to get at from first principles.

By considering a freely jointed, non-interacting polymer chain, the end-to-end vector is $\mathbf{R} = \sum_{i=1}^N \mathbf{r}_i$ where \mathbf{r}_i is

the vector position of the i -th link in the chain. As a result of the central limit theorem, if $N \gg 1$ then we expect a Gaussian distribution for the end-to-end vector. We can also make statements of the statistics of the links themselves;

$$\langle \mathbf{r}_i \rangle = 0; \text{ by the isotropy of space}$$

$$\langle \mathbf{r}_i \cdot \mathbf{r}_j \rangle = 3b^2 \delta_{ij}; \text{ all the links in the chain are uncorrelated with one another}$$

Using the statistics of the individual links, it is easily shown that $\langle \mathbf{R} \rangle = 0$ and $\langle \mathbf{R} \cdot \mathbf{R} \rangle = 3Nb^2$. Notice this

last result is the same as that found for random walks in time.

Assuming, as stated, that that distribution of end-to-end vectors for a very large number of identical polymer chains is gaussian, the probability distribution has the following form

$$P = \frac{1}{\left(\frac{2\pi Nb^2}{3}\right)^{3/2}} \exp \frac{-3\mathbf{R} \cdot \mathbf{R}}{2Nb^2}$$

What use is this to us? Recall that according to the principle of equally likely *a priori* probabilities, the number of microstates, Ω , at some physical value is directly proportional to the probability distribution at that physical value, *viz*;

$$\Omega(\mathbf{R}) = cP(\mathbf{R})$$

where c is an arbitrary proportionality constant. Given our distribution function, there is a maxima corresponding to $\mathbf{R} = 0$. Physically this amounts to there being more microstates which have an end-to-end vector of 0 than any other microstate. Now by considering

$$S(\mathbf{R}) = k_B \ln \Omega(\mathbf{R})$$

$$\Delta S(\mathbf{R}) = S(\mathbf{R}) - S(0)$$

$$\Delta F = -T\Delta S(\mathbf{R})$$

where F is the Helmholtz free energy it is trivial to show that

$$\Delta F = k_B T \frac{3R^2}{2Nb^2} = \frac{1}{2} K R^2 ; K = \frac{3k_B T}{Nb^2}$$

A Hookian spring!

This result is known as the *entropic spring result* and amounts to saying that upon stretching a polymer chain you are doing work on the system to drag it away from its (preferred) equilibrium state. An example of this is a common elastic band, composed of long chain (rubber) polymers. By stretching the elastic band you are doing work on the system and the band behaves like a conventional spring. What is particularly astonishing about this result however, is that the work done in stretching the polymer chain can be related entirely to the change in entropy of the system as a result of the stretching.

Classical thermodynamics vs. statistical thermodynamics

As an example, from a classical thermodynamics point of view one might ask what is it about a thermodynamic system of gas molecules, such as ammonia NH_3 , that determines the free energy characteristic of that compound? Classical thermodynamics does not provide the answer. If, for example, we were given spectroscopic data, of this body of gas molecules, such as bond length, bond angle, bond rotation, and flexibility of the bonds in NH_3 we should see that the free energy could not be other than it is. To prove this true, we need to bridge the gap between the microscopic realm of atoms and molecules and the macroscopic realm of classical thermodynamics. From physics, statistical mechanics provides such a bridge by teaching us how to conceive of a thermodynamic *system* as an assembly of *units*. More specifically, it demonstrates how the thermodynamic parameters of a system, such as temperature and pressure, are interpretable in terms of the parameters descriptive of such constituent atoms and molecules.^[8]

In a bounded system, the crucial characteristic of these microscopic units is that their energies are quantized. That is, where the energies accessible to a macroscopic system form a virtual continuum of possibilities, the energies open to any of its submicroscopic components are limited to a discontinuous set of alternatives associated with integral values of some quantum number.

Notes

- [1] The terms *statistical mechanics* and *statistical thermodynamics* are used interchangeably. *Statistical physics* is a broader term which includes statistical mechanics, but is sometimes also used as a synonym for statistical mechanics
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External links

- Philosophy of Statistical Mechanics (<http://plato.stanford.edu/entries/statphys-statmech/>) article by Lawrence Sklar for the Stanford Encyclopedia of Philosophy.
- Sklogwiki - Thermodynamics, statistical mechanics, and the computer simulation of materials. (<http://www.sklogwiki.org/>) SklogWiki is particularly orientated towards liquids and soft condensed matter.
- Statistical Thermodynamics (<http://history.hyperjeff.net/statmech.html>) - Historical Timeline
- Thermodynamics and Statistical Mechanics (<http://farside.ph.utexas.edu/teaching/sm1/statmech.pdf>) by Richard Fitzpatrick

Electromagnetism

Electromagnetism is one of the four fundamental interactions in nature. The other three are the strong interaction, the weak interaction and gravitation. Electromagnetism is the force that causes the interaction between electrically charged particles; the areas in which this happens are called electromagnetic fields.

Electromagnetism is responsible for practically all the phenomena encountered in daily life, with the exception of gravity. Ordinary matter takes its form as a result of intermolecular forces between individual molecules in matter. Electromagnetism is also the force which holds electrons and protons together inside atoms, which are the building blocks of molecules. This governs the processes involved in chemistry, which arise from interactions between the electrons inside and between atoms.

Electromagnetism manifests as both electric fields and magnetic fields. Both fields are simply different aspects of electromagnetism, and hence are intrinsically related. Thus, a changing electric field generates a magnetic field; conversely a changing magnetic field generates an electric field. This effect is called electromagnetic induction, and is the basis of operation for electrical generators, induction motors, and transformers. Mathematically speaking, magnetic fields and electric fields are convertible with relative motion as a four vector.

Electric fields are the cause of several common phenomena, such as electric potential (such as the voltage of a battery) and electric current (such as the flow of electricity through a flashlight). Magnetic fields are the cause of the force associated with magnets.

In quantum electrodynamics, electromagnetic interactions between charged particles can be calculated using the method of Feynman diagrams, in which we picture messenger particles called virtual photons being exchanged between charged particles. This method can be derived from the field picture through perturbation theory.

The theoretical implications of electromagnetism led to the development of special relativity by Albert Einstein in 1905.

History of the theory

Originally electricity and magnetism were thought of as two separate forces. This view changed, however, with the publication of James Clerk Maxwell's 1873 *Treatise on Electricity and Magnetism* in which the interactions of positive and negative charges were shown to be regulated by one force. There are four main effects resulting from these interactions, all of which have been clearly demonstrated by experiments:

1. Electric charges attract or repel one another with a force inversely proportional to the square of the distance between them: unlike charges attract, like ones repel.
2. Magnetic poles (or states of polarization at individual points) attract or repel one another in a similar way and always come in pairs: every north pole is yoked to a south pole.
3. An electric current in a wire creates a circular magnetic field around the wire, its direction depending on that of the current.

4. A current is induced in a loop of wire when it is moved towards or away from a magnetic field, or a magnet is moved towards or away from it, the direction of current depending on that of the movement.

While preparing for an evening lecture on 21 April 1820, Hans Christian Ørsted made a surprising observation. As he was setting up his materials, he noticed a compass needle deflected from magnetic north when the electric current from the battery he was using was switched on and off. This deflection convinced him that magnetic fields radiate from all sides of a wire carrying an electric current, just as light and heat do, and that it confirmed a direct relationship between electricity and magnetism.

At the time of discovery, Ørsted did not suggest any satisfactory explanation of the phenomenon, nor did he try to represent the phenomenon in a mathematical framework. However, three months later he began more intensive investigations. Soon thereafter he published his findings, proving that an electric current produces a magnetic field as it flows through a wire. The CGS unit of magnetic induction (oersted) is named in honor of his contributions to the field of electromagnetism.

His findings resulted in intensive research throughout the scientific community in electrodynamics. They influenced French physicist André-Marie Ampère's developments of a single mathematical form to represent the magnetic forces between current-carrying conductors. Ørsted's discovery also represented a major step toward a unified concept of energy.

This unification, which was observed by Michael Faraday, extended by James Clerk Maxwell, and partially reformulated by Oliver Heaviside and Heinrich Hertz, is one of the key accomplishments of 19th century mathematical physics. It had far-reaching consequences, one of which was the understanding of the nature of light. Light and other electromagnetic waves take the form of quantized, self-propagating oscillatory electromagnetic field disturbances called photons. Different frequencies of oscillation give rise to the different forms of electromagnetic radiation, from radio waves at the lowest frequencies, to visible light at intermediate frequencies, to gamma rays at the highest frequencies.

Ørsted was not the only person to examine the relation between electricity and magnetism. In 1802 Gian Domenico Romagnosi, an Italian legal scholar, deflected a magnetic needle by electrostatic charges. Actually, no galvanic current existed in the setup and hence no electromagnetism was present. An account of the discovery was published in 1802 in an Italian newspaper, but it was largely overlooked by the contemporary scientific community.^[1]

Overview

The electromagnetic force is one of the four fundamental forces. The other fundamental forces are: the strong nuclear force (which holds quarks together, along with its residual strong force effect that holds atomic nuclei together, to form the nucleus), the weak nuclear force (which causes certain forms of radioactive decay), and the gravitational force. All other forces (e.g. friction) are ultimately derived from these fundamental forces.

The electromagnetic force is the one responsible for practically all the phenomena one encounters in daily life, with the exception of gravity. Roughly speaking, all the forces involved in interactions between atoms can be traced to the electromagnetic force acting on the electrically charged protons and electrons inside the atoms. This includes the forces we experience in "pushing" or "pulling" ordinary material objects, which come from the intermolecular forces between the individual molecules in our bodies and those in the objects. It also includes all forms of chemical phenomena, which arise from interactions between electron orbitals.

Classical electrodynamics

The scientist William Gilbert proposed, in his *De Magnete* (1600), that electricity and magnetism, while both capable of causing attraction and repulsion of objects, were distinct effects. Mariners had noticed that lightning strikes had the ability to disturb a compass needle, but the link between lightning and electricity was not confirmed until Benjamin Franklin's proposed experiments in 1752. One of the first to discover and publish a link between man-made electric current and magnetism was Romagnosi, who in 1802 noticed that connecting a wire across a voltaic pile deflected a nearby compass needle. However, the effect did not become widely known until 1820, when Ørsted performed a similar experiment.^[2] Ørsted's work influenced Ampère to produce a theory of electromagnetism that set the subject on a mathematical foundation.

An accurate theory of electromagnetism, known as classical electromagnetism, was developed by various physicists over the course of the 19th century, culminating in the work of James Clerk Maxwell, who unified the preceding developments into a single theory and discovered the electromagnetic nature of light. In classical electromagnetism, the electromagnetic field obeys a set of equations known as Maxwell's equations, and the electromagnetic force is given by the Lorentz force law.

One of the peculiarities of classical electromagnetism is that it is difficult to reconcile with classical mechanics, but it is compatible with special relativity. According to Maxwell's equations, the speed of light in a vacuum is a universal constant, dependent only on the electrical permittivity and magnetic permeability of free space. This violates Galilean invariance, a long-standing cornerstone of classical mechanics. One way to reconcile the two theories is to assume the existence of a luminiferous aether through which the light propagates. However, subsequent experimental efforts failed to detect the presence of the aether. After important contributions of Hendrik Lorentz and Henri Poincaré, in 1905, Albert Einstein solved the problem with the introduction of special relativity, which replaces classical kinematics with a new theory of kinematics that is compatible with classical electromagnetism. (For more information, see History of special relativity.)

In addition, relativity theory shows that in moving frames of reference a magnetic field transforms to a field with a nonzero electric component and vice versa; thus firmly showing that they are two sides of the same coin, and thus the term "electromagnetism". (For more information, see Classical electromagnetism and special relativity.)

The photoelectric effect

In another paper published in that same year, Albert Einstein undermined the very foundations of classical electromagnetism. His theory of the photoelectric effect (for which he won the Nobel prize for physics) posited that light could exist in discrete particle-like quantities, which later came to be known as photons. Einstein's theory of the photoelectric effect extended the insights that appeared in the solution of the ultraviolet catastrophe presented by Max Planck in 1900. In his work, Planck showed that hot objects emit electromagnetic radiation in discrete packets, which leads to a finite total energy emitted as black body radiation. Both of these results were in direct contradiction with the classical view of light as a continuous wave, although it is now known that the photoelectric effect does not, in fact, compel one to any conclusion about light being made of "photons", as discussed in the photoelectric effect article. Planck's and Einstein's theories were progenitors of quantum mechanics, which, when formulated in 1925, necessitated the invention of a quantum theory of electromagnetism. This theory, completed in the 1940s, is known as quantum electrodynamics (or "QED"), and, in situations where perturbation theory is applicable, is one of the most accurate theories known to physics.

Units

Electromagnetic units are part of a system of electrical units based primarily upon the magnetic properties of electric currents, the fundamental SI unit being the ampere. The units are:

- ampere (current)
- coulomb (charge)
- farad (capacitance)
- henry (inductance)
- ohm (resistance)
- volt (electric potential)
- watt (power)
- tesla (magnetic field)
- weber (flux)

In the electromagnetic cgs system, electric current is a fundamental quantity defined via Ampère's law and takes the permeability as a dimensionless quantity (relative permeability) whose value in a vacuum is unity. As a consequence, the square of the speed of light appears explicitly in some of the equations interrelating quantities in this system.

| SI electromagnetism units | | | | |
|---------------------------|---|--------------------------|------------------|---|
| Symbol ^[3] | Name of Quantity | Derived Units | Unit | Base Units |
| <i>I</i> | Electric current | ampere (SI base unit) | A | $A = W/V = C/s$ |
| <i>Q</i> | Electric charge | coulomb | C | $A \cdot s$ |
| <i>U, ΔV, Δφ; E</i> | Potential difference; Electromotive force | volt | V | $J/C = kg \cdot m^2 \cdot s^{-3} \cdot A^{-1}$ |
| <i>R; Z; X</i> | Electric resistance; Impedance; Reactance | ohm | Ω | $V/A = kg \cdot m^2 \cdot s^{-3} \cdot A^{-2}$ |
| <i>ρ</i> | Resistivity | ohm metre | Ω·m | $kg \cdot m^3 \cdot s^{-3} \cdot A^{-2}$ |
| <i>P</i> | Electric power | watt | W | $V \cdot A = kg \cdot m^2 \cdot s^{-3}$ |
| <i>C</i> | Capacitance | farad | F | $C/V = kg^{-1} \cdot m^{-2} \cdot A^2 \cdot s^4$ |
| <i>E</i> | Electric field strength | volt per metre | V/m | $N/C = kg \cdot m \cdot A^{-1} \cdot s^{-3}$ |
| <i>D</i> | Electric displacement field | Coulomb per square metre | C/m ² | $A \cdot s \cdot m^{-2}$ |
| <i>ε</i> | Permittivity | farad per metre | F/m | $kg^{-1} \cdot m^{-3} \cdot A^2 \cdot s^4$ |
| <i>χ_e</i> | Electric susceptibility | (dimensionless) | - | - |
| <i>G; Y; B</i> | Conductance; Admittance; Susceptance | siemens | S | $\Omega^{-1} = kg^{-1} \cdot m^{-2} \cdot s^3 \cdot A^2$ |
| <i>κ, γ, σ</i> | Conductivity | siemens per metre | S/m | $kg^{-1} \cdot m^{-3} \cdot s^3 \cdot A^2$ |
| <i>B</i> | Magnetic flux density, Magnetic induction | tesla | T | $Wb/m^2 = kg \cdot s^{-2} \cdot A^{-1} = N \cdot A^{-1} \cdot m^{-1}$ |
| <i>Φ</i> | Magnetic flux | weber | Wb | $V \cdot s = kg \cdot m^2 \cdot s^{-2} \cdot A^{-1}$ |
| <i>H</i> | Magnetic field strength | ampere per metre | A/m | $A \cdot m^{-1}$ |
| <i>L, M</i> | Inductance | henry | H | $Wb/A = V \cdot s/A = kg \cdot m^2 \cdot s^{-2} \cdot A^{-2}$ |
| <i>μ</i> | Permeability | henry per metre | H/m | $kg \cdot m \cdot s^{-2} \cdot A^{-2}$ |
| <i>χ</i> | Magnetic susceptibility | (Unitless) | - | - |

Electromagnetic phenomena

With the exception of gravitation, electromagnetic phenomena as described by quantum electrodynamics (which includes as a limiting case classical electrodynamics) account for almost all physical phenomena observable to the unaided human senses, including light and other electromagnetic radiation, all of chemistry, most of mechanics (excepting gravitation), and of course magnetism and electricity. Magnetic monopoles (and "Gilbert" dipoles) are not strictly electromagnetic phenomena, since in standard electromagnetism, magnetic fields are generated not by true "magnetic charge" but by currents. There are, however, condensed matter analogs of magnetic monopoles in exotic materials (spin ice) created in the laboratory.^[4]

Notes

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External links

- Electromagnetic Force (<http://scienceworld.wolfram.com/physics/ElectromagneticForce.html>) - from Eric Weisstein's World of Physics
- Ties That Bind Atoms Weaker Than Thought (http://www.livescience.com/othernews/060815_constant_weak.html) - LiveScience.com
- Physics 221B notes – quantization (<http://bohr.physics.berkeley.edu/classes/221/0708/notes/hamclassemf.pdf>)
- Physics 221B notes – interaction (<http://bohr.physics.berkeley.edu/classes/221/0708/notes/radnmatt.pdf>)
- Quarked Electromagnetic force (<http://www.quarked.org/askmarks/answer5a.html>) - A good introduction for kids

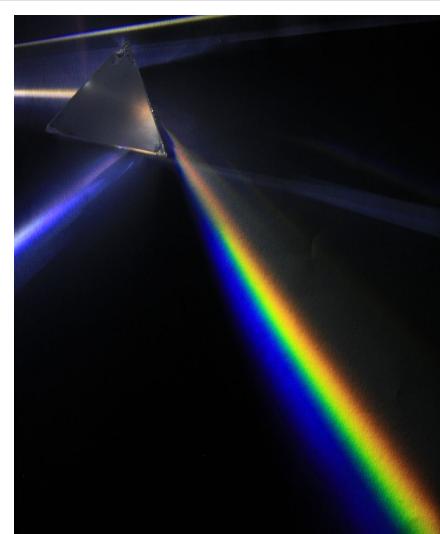
Optics

Optics is the branch of physics which involves the behavior and properties of light, including its interactions with matter and the construction of instruments that use or detect it.^[1] Optics usually describes the behavior of visible, ultraviolet, and infrared light. Because light is an electromagnetic wave, other forms of electromagnetic radiation such as X-rays, microwaves, and radio waves exhibit similar properties.^[1]

Most optical phenomena can be accounted for using the classical electromagnetic description of light. Complete electromagnetic descriptions of light are, however, often difficult to apply in practice. Practical optics is usually done using simplified models. The most common of these, geometric optics, treats light as a collection of rays that travel in straight lines and bend when they pass through or reflect from surfaces. Physical optics is a more comprehensive model of light, which includes wave effects such as diffraction and interference that cannot be accounted for in geometric optics. Historically, the ray-based model of light was developed first, followed by the wave model of light. Progress in electromagnetic theory in the 19th century led to the discovery that light waves were in fact electromagnetic radiation.

Some phenomena depend on the fact that light has both wave-like and particle-like properties. Explanation of these effects requires quantum mechanics. When considering light's particle-like properties, the light is modeled as a collection of particles called "photons". Quantum optics deals with the application of quantum mechanics to optical systems.

Optical science is relevant to and studied in many related disciplines including astronomy, various engineering fields, photography, and medicine (particularly ophthalmology and optometry). Practical applications of optics are



Optics includes study of dispersion of light

found in a variety of technologies and everyday objects, including mirrors, lenses, telescopes, microscopes, lasers, and fiber optics.

History

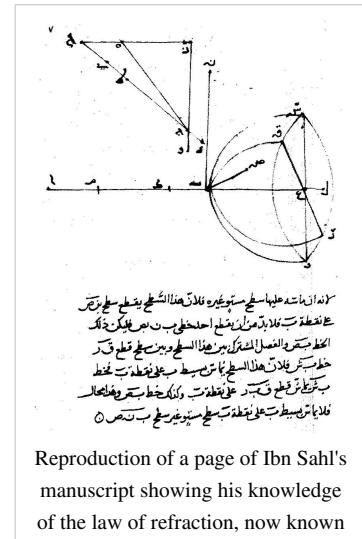
Optics began with the development of lenses by the ancient Egyptians and Mesopotamians. The earliest known lenses were made from polished crystal, often quartz, and have been dated as early as 700 BC for Assyrian lenses such as the Layard/Nimrud lens.^[2] The ancient Romans and Greeks filled glass spheres with water to make lenses. These practical developments were followed by the development of theories of light and vision by ancient Greek and Indian philosophers, and the development of geometrical optics in the Greco-Roman world. The word *optics* comes from the ancient Greek word *όπτικη*, meaning *appearance* or *look*.^[3] Plato first articulated emission theory, the idea that visual perception is accomplished by rays emitted by the eyes. He also commented on the parity reversal of mirrors in *Timaeus*.^[4] Some hundred years later, Euclid wrote a treatise entitled *Optics* wherein he described the mathematical rules of perspective and describes the effects of refraction qualitatively.^[5] Ptolemy, in his treatise *Optics*, summarizes much of Euclid and goes on to describe a way to measure the angle of refraction, though he failed to notice the empirical relationship between it and the angle of incidence.^[6]

During the Middle Ages, Greek ideas about optics were resurrected and extended by writers in the Muslim world. One of the earliest of these was Al-Kindi (c. 801–73). In 984, the Persian mathematician Ibn Sahl wrote the treatise "On burning mirrors and lenses", correctly describing a law of refraction equivalent to Snell's law.^[7] He used this law to compute optimum shapes for lenses and curved mirrors. In the early 11th century, Alhazen (Ibn al-Haytham) wrote his *Book of Optics*, which documented the then-current understanding of vision.^{[8] [9] [10]}

In the 13th century, Roger Bacon used parts of glass spheres as magnifying glasses, and discovered that light reflects from objects rather than being released from them. In Italy, around 1284, Salvino D'Armata invented the first wearable eyeglasses.^[11]

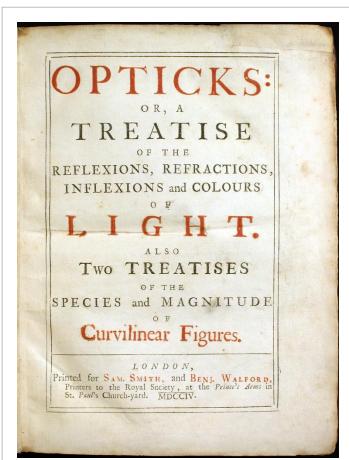
The earliest known telescopes were refracting telescopes, a type which relies entirely on lenses for magnification. The first rudimentary telescopes were developed independently in the 1570s and 1580s by Leonard Digges,^[12] and Giambattista della Porta.^[13] Their development in the Netherlands in 1608 was by three individuals: Hans Lippershey and Zacharias Janssen, who were spectacle makers in Middelburg, and Jacob Metius of Alkmaar. In Italy, Galileo greatly improved upon these designs the following year. In 1668, Isaac Newton constructed the first practical reflecting telescope, which bears his name, the Newtonian reflector.^[14]

The first microscope was made around 1595, also in Middelburg.^[15] Three different eyeglass makers have been given credit for the invention: Lippershey, Janssen, and his father, Hans. The coining of the name "microscope" has been credited to Giovanni Faber, who gave that name to Galileo's compound microscope in 1625.^[16]



Reproduction of a page of Ibn Sahl's manuscript showing his knowledge of the law of refraction, now known as Snell's law.

Optical theory progressed in the mid-17th century with treatises written by philosopher René Descartes, which explained a variety of optical phenomena including reflection and refraction by assuming that light was emitted by objects which produced it.^[17] This differed substantively from the ancient Greek emission theory. In the late 1660s and early 1670s, Newton expanded Descartes' ideas into a corpuscle theory of light, famously showing that white light, instead of being a unique color, was really a composite of different colors that can be separated into a spectrum with a prism. In 1690, Christian Huygens proposed a wave theory for light based on suggestions that had been made by Robert Hooke in 1664. Hooke himself publicly criticized Newton's theories of light and the feud between the two lasted until Hooke's death. In 1704, Newton published *Opticks* and, at the time, partly because of his success in other areas of physics, he was generally considered to be the victor in the debate over the nature of light.^[17]



Cover of the first edition of Newton's *Opticks*

Newtonian optics was generally accepted until the early 19th century when Thomas Young and Augustin-Jean Fresnel conducted experiments on the interference of light that firmly established light's wave nature. Young's famous double slit experiment showed that light followed the law of superposition, which is a wave-like property not predicted by Newton's corpuscle theory. This work led to a theory of diffraction for light and opened an entire area of study in physical optics.^[18] Wave optics was successfully unified with electromagnetic theory by James Clerk Maxwell in the 1860s.^[19]

The next development in optical theory came in 1899 when Max Planck correctly modeled blackbody radiation by assuming that the exchange of energy between light and matter only occurred in discrete amounts he called *quanta*.^[20] In 1905, Albert Einstein published the theory of the photoelectric effect that firmly established the quantization of light itself.^[21] ^[22] In 1913, Niels Bohr showed that atoms could only emit discrete amounts of energy, thus explaining the discrete lines seen in emission and absorption spectra.^[23] The understanding of the interaction between light and matter, which followed from these developments, not only formed the basis of quantum optics but also was crucial for the development of quantum mechanics as a whole. The ultimate culmination was the theory of quantum electrodynamics, which explains all optics and electromagnetic processes in general as being the result of the exchange of real and virtual photons.^[24]

Quantum optics gained practical importance with the invention of the maser in 1953 and the laser in 1960.^[25] Following the work of Paul Dirac in quantum field theory, George Sudarshan, Roy J. Glauber, and Leonard Mandel applied quantum theory to the electromagnetic field in the 1950s and 1960s to gain a more detailed understanding of photodetection and the statistics of light.

Classical optics

In pre-quantum-mechanical optics, light is an electromagnetic wave composed of oscillating electric and magnetic fields. These fields continually generate each other, as the wave propagates through space and oscillates in time.^[26]

The frequency of a light wave is determined by the period of the oscillations. The frequency does not normally change as the wave travels through different materials ("media"), but the speed of the wave depends on the medium. The speed, frequency, and wavelength of a wave are related by the formula

$$v = \lambda f,$$

where v is the speed, λ is the wavelength and f is the frequency. Because the

frequency is fixed, a change in the wave's speed produces a change in its wavelength.^[27]

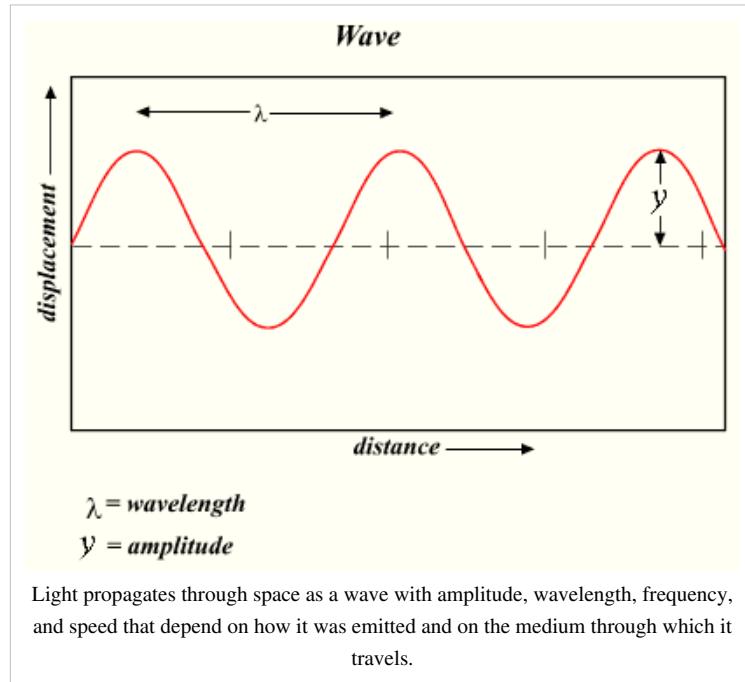
The speed of light in a medium is typically characterized by the index of refraction, n , which is the ratio of the speed of light in vacuum, c , to the speed in the medium:

$$n = c/v.$$

The speed of light in vacuum is a constant, which is exactly 299,792,458 metres per second.^[28] Thus, a light ray with a wavelength of λ in a vacuum will have a wavelength of λ/n in a material with index of refraction n .

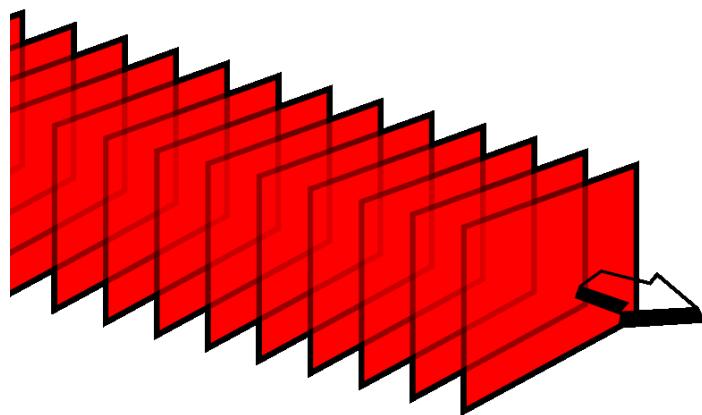
The amplitude of the light wave is related to the intensity of the light, which is related to the energy stored in the wave's electric and magnetic fields.

Traditional optics is divided into two main branches: geometrical optics and physical optics.



Geometrical optics

Geometrical optics, or *ray optics*, describes light propagation in terms of "rays". The "ray" in geometric optics is an abstraction, or "instrument", that can be used to predict the path of light. A light ray is a ray that is perpendicular to the light's wavefronts (and therefore collinear with the wave vector). Light rays bend at the interface between two dissimilar media and may be curved in a medium in which the refractive index changes. Geometrical optics provides rules for propagating these rays through an optical system, which indicates how the actual wavefront will propagate. This is a significant simplification of optics that fails to account for optical effects such as diffraction and polarization. It is a good approximation, however, when the wavelength is very small compared with the size of structures with which the light interacts. Geometric optics can be used to describe the geometrical aspects of imaging, including optical aberrations.



As a light wave travels through space, it oscillates in amplitude. In this image, each maximum amplitude crest is marked with a plane to illustrate the wavefront. The ray is the arrow perpendicular to these parallel surfaces.

A slightly more rigorous definition of a light ray follows from Fermat's principle which states that *the path taken between two points by a ray of light is the path that can be traversed in the least time*.^[29]

Approximations

Geometrical optics is often simplified by making the paraxial approximation, or "small angle approximation." The mathematical behavior then becomes linear, allowing optical components and systems to be described by simple matrices. This leads to the techniques of Gaussian optics and *paraxial ray tracing*, which are used to find basic properties of optical systems, such as approximate image and object positions and magnifications.^[30]

Reflections

Reflections can be divided into two types: specular reflection and diffuse reflection. Specular reflection describes the gloss of surfaces such as mirrors, which reflect light in a simple, predictable way. This allows for production of reflected images that can be associated with an actual (real) or extrapolated (virtual) location in space. Diffuse reflection describes opaque, non limpid materials, such as paper or rock. The reflections from these surfaces can only be described statistically, with the exact distribution of the reflected light depending on the microscopic structure of the material. Many diffuse reflectors are described or can be approximated by Lambert's cosine law, which describes surfaces that have equal luminance when viewed from any angle. Glossy surfaces can give both specular and diffuse reflection.

In specular reflection, the direction of the reflected ray is determined by the angle the incident ray makes with the surface normal, a line perpendicular to the surface at the point where the ray hits. The incident and reflected rays and the normal lie in a single plane, and the angle between the reflected ray and the surface normal is the same as that between the incident ray and the normal.^[31] This is known as the Law of Reflection.

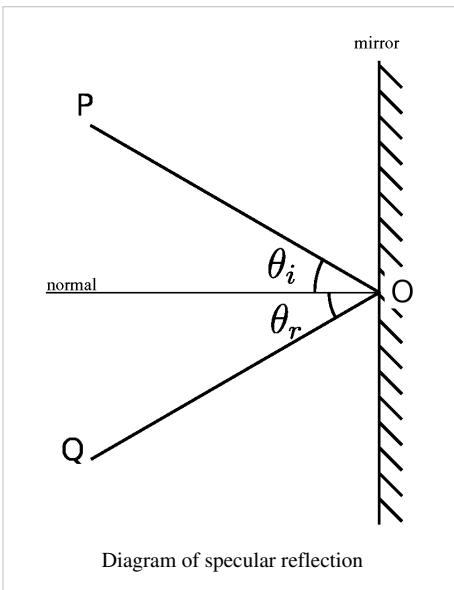


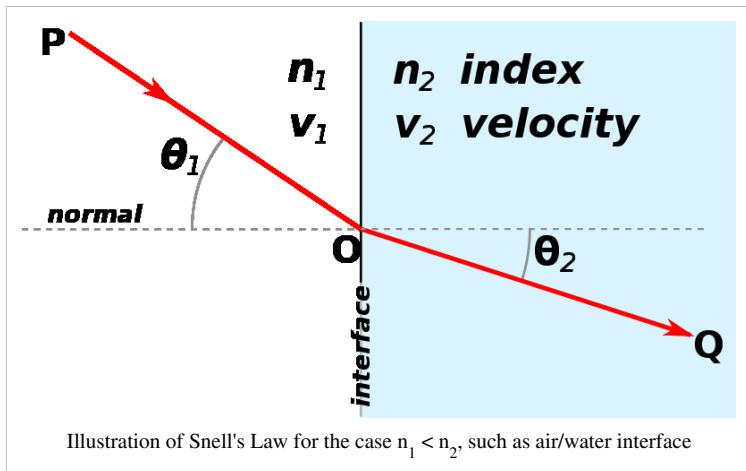
Diagram of specular reflection

For flat mirrors, the law of reflection implies that images of objects are upright and the same distance behind the mirror as the objects are in front of the mirror. The image size is the same as the object size. (The magnification of a flat mirror is unity.) The law also implies that mirror images are parity inverted, which we perceive as a left-right inversion. Images formed from reflection in two (or any even number of) mirrors are not parity inverted. Corner reflectors^[31] retroreflect light, producing reflected rays that travel back in the direction from which the incident rays came.

Mirrors with curved surfaces can be modeled by ray-tracing and using the law of reflection at each point on the surface. For mirrors with parabolic surfaces, parallel rays incident on the mirror produce reflected rays that converge at a common focus. Other curved surfaces may also focus light, but with aberrations due to the diverging shape causing the focus to be smeared out in space. In particular, spherical mirrors exhibit spherical aberration. Curved mirrors can form images with magnification greater than or less than one, and the magnification can be negative, indicating that the image is inverted. An upright image formed by reflection in a mirror is always virtual, while an inverted image is real and can be projected onto a screen.^[31]

Refractions

Refraction occurs when light travels through an area of space that has a changing index of refraction; this principle allows for lenses and the focusing of light. The simplest case of refraction occurs when there is an interface between a uniform medium with index of refraction n_1 and another medium with index of refraction n_2 . In such situations, Snell's Law describes the resulting deflection of the light ray:



$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$

where θ_1 and θ_2 are the angles between the normal (to the interface) and the incident and refracted waves, respectively. This phenomenon is also associated with a changing speed of light as seen from the definition of index of refraction provided above which implies:

$$v_1 \sin \theta_1 = v_2 \sin \theta_2$$

where v_1 and v_2 are the wave velocities through the respective media.^[31]

Various consequences of Snell's Law include the fact that for light rays traveling from a material with a high index of refraction to a material with a low index of refraction, it is possible for the interaction with the interface to result in zero transmission. This phenomenon is called total internal reflection and allows for fiber optics technology. As light signals travel down a fiber optic cable, it undergoes total internal reflection allowing for essentially no light lost over the length of the cable. It is also possible to produce polarized light rays using a combination of reflection and refraction: When a refracted ray and the reflected ray form a right angle, the reflected ray has the property of "plane polarization". The angle of incidence required for such a scenario is known as Brewster's angle.^[31]

Snell's Law can be used to predict the deflection of light rays as they pass through "linear media" as long as the indexes of refraction and the geometry of the media are known. For example, the propagation of light through a prism results in the light ray being deflected depending on the shape and orientation of the prism. Additionally, since different frequencies of light have slightly different indexes of refraction in most materials, refraction can be used to produce dispersion spectra that appear as rainbows. The discovery of this phenomenon when passing light through a

prism is famously attributed to Isaac Newton.^[31]

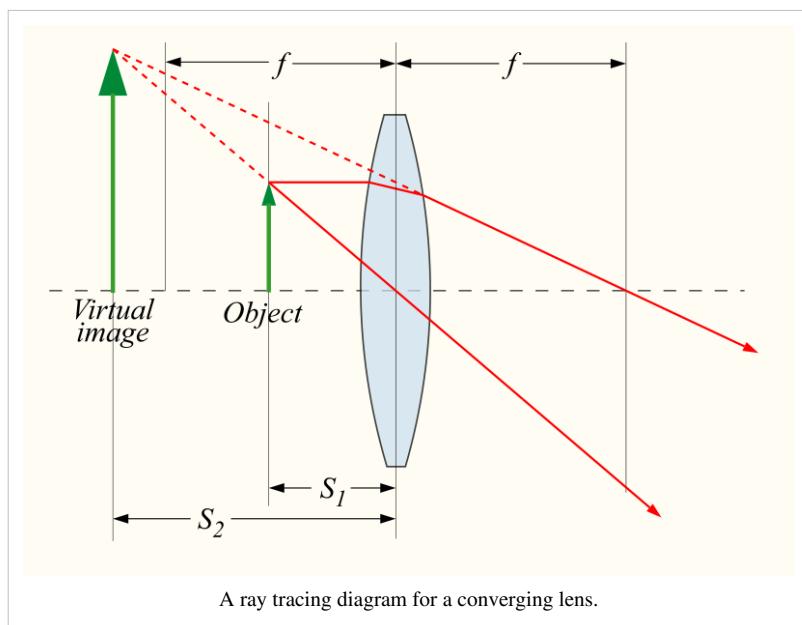
Some media have an index of refraction which varies gradually with position and, thus, light rays curve through the medium rather than travel in straight lines. This effect is what is responsible for mirages seen on hot days where the changing index of refraction of the air causes the light rays to bend creating the appearance of specular reflections in the distance (as if on the surface of a pool of water). Material that has a varying index of refraction is called a gradient-index (GRIN) material and has many useful properties used in modern optical scanning technologies including photocopiers and scanners. The phenomenon is studied in the field of gradient-index optics.^[32]

A device which produces converging or diverging light rays due to refraction is known as a lens. Thin lenses produce focal points on either side that can be modeled using the lensmaker's equation.^[33] In general, two types of lenses exist: convex lenses, which cause parallel light rays to converge, and concave lenses, which cause parallel light rays to diverge. The detailed prediction of how images are produced by these lenses can be made using ray-tracing similar to curved mirrors. Similarly to curved mirrors, thin lenses follow a simple equation that determines the location of the images given a particular focal length (f) and object distance (S_1):

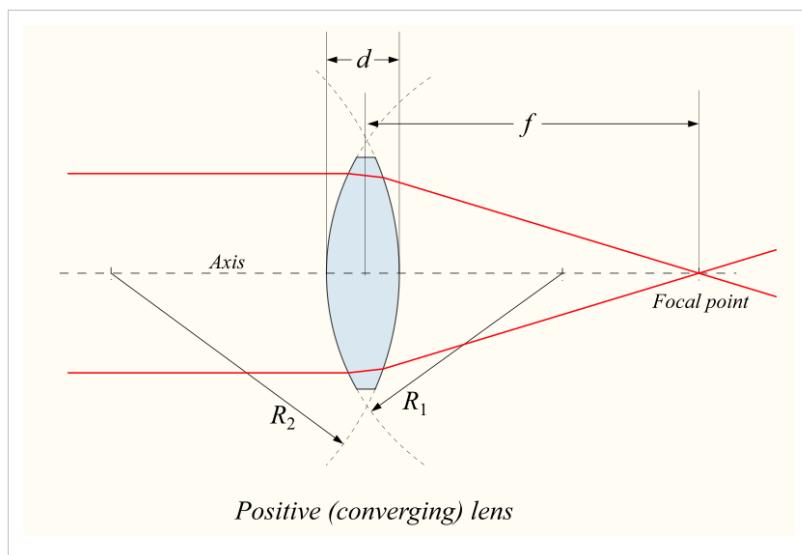
$$\frac{1}{S_1} + \frac{1}{S_2} = \frac{1}{f}$$

where S_2 is the distance associated with the image and is considered by convention to be negative if on the same side of the lens as the object and positive if on the opposite side of the lens.^[33] The focal length f is considered negative for concave lenses.

Incoming parallel rays are focused by a convex lens into an inverted real image one focal length from the lens, on the far side of the lens. Rays from an object at finite distance are focused further from the lens than the focal distance; the closer the object is to the lens, the further the image is from the lens. With concave lenses, incoming parallel rays diverge after going through the lens, in such a way that they seem to have originated at an upright virtual image one focal length from the lens, on the same side of the



A ray tracing diagram for a converging lens.



lens that the parallel rays are approaching on. Rays from an object at finite distance are associated with a virtual image that is closer to the lens than the focal length, and on the same side of the lens as the object. The closer the object is to the lens, the closer the virtual image is to the lens.

Likewise, the magnification of a lens is given by

$$M = -\frac{S_2}{S_1} = \frac{f}{f - S_1}$$

where the negative sign is given, by convention, to indicate an upright object for positive values and an inverted object for negative values. Similar to mirrors, upright images produced by single lenses are virtual while inverted images are real.^[31]

Lenses suffer from aberrations that distort images and focal points. These are due to both to geometrical imperfections and due to the changing index of refraction for different wavelengths of light (chromatic aberration).^[31]

Physical optics

Physical optics or wave optics builds on Huygens's principle, which states that every point on an advancing wavefront is the center of a new disturbance. When combined with the superposition principle, this explains how optical phenomena are manifested when there are multiple sources or obstructions that are spaced at distances similar to the wavelength of the light.^[34]

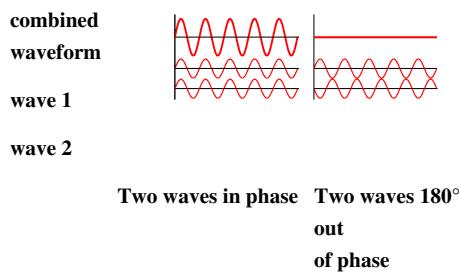
Complex models based on physical optics can account for the propagation of any wavefront through an optical system, including predicting the wavelength, amplitude, and phase of the wave.^[34] Additionally, all of the results from geometrical optics can be recovered using the techniques of Fourier optics which apply many of the same mathematical and analytical techniques used in acoustic engineering and signal processing.

Using numerical modeling on a computer, optical scientists can simulate the propagation of light and account for most diffraction, interference, and polarization effects. Such simulations typically still rely on approximations, however, so this is not a full electromagnetic wave theory model of the propagation of light. Such a full model is computationally demanding and is normally only used to solve small-scale problems that require extraordinary accuracy.^[35]

Gaussian beam propagation is a simple paraxial physical optics model for the propagation of coherent radiation such as laser beams. This technique partially accounts for diffraction, allowing accurate calculations of the rate at which a laser beam expands with distance, and the minimum size to which the beam can be focused. Gaussian beam propagation thus bridges the gap between geometric and physical optics.^[36]

Superposition and interference

In the absence of nonlinear effects, the superposition principle can be used to predict the shape of interacting waveforms through the simple addition of the disturbances.^[37] This interaction of waves to produce a resulting pattern is generally termed "interference" and can result in a variety of outcomes. If two waves of the same wavelength and frequency are *in phase*, both the wave crests and wave troughs align. This results in constructive interference and an increase in the amplitude of the wave, which for light is associated with a brightening of the waveform in that location. Alternatively, if the two waves of the same wavelength and frequency are out of phase, then the wave crests will align with wave troughs and vice-versa. This results in destructive interference and a decrease in the amplitude of the wave, which for light is associated with a dimming of the waveform at that location. See below for an illustration of this effect.^[37]



Since Huygens's principle states that every point of a wavefront is associated with the production of a new disturbance, it is possible for a wavefront to interfere with itself constructively or destructively at different locations producing bright and dark fringes in regular and predictable patterns.^[37] Interferometry is the science of measuring these patterns, usually as a means of making precise determinations of distances or angular resolutions.^[38] The Michelson interferometer was a famous instrument which used interference effects to accurately measure the speed of light.^[39]

The appearance of thin films and coatings is directly affected by interference effects. Antireflective coatings use destructive interference to reduce the reflectivity of the surfaces they coat, and can be used to minimize glare and unwanted reflections. The simplest case is a single layer with thickness one-fourth the wavelength of incident light. The reflected wave from the top of the film and the reflected wave from the film/material interface are then exactly 180° out of phase, causing destructive interference. The waves are only exactly out of phase for one wavelength, which would typically be chosen to be near the center of the visible spectrum, around 550 nm. More complex designs using multiple layers can achieve low reflectivity over a broad band, or extremely low reflectivity at a single wavelength.

Constructive interference in thin films can create strong reflection of light in a range of wavelengths, which can be narrow or broad depending on the design of the coating. These films are used to make dielectric mirrors, interference filters, heat reflectors, and filters for color separation in color television cameras. This interference effect is also what causes the colorful rainbow patterns seen in oil slicks.^[37]



When oil or fuel is spilled, colorful patterns are formed by thin-film interference.

Diffraction and optical resolution

Diffraction is the process by which light interference is most commonly observed. The effect was first described in 1665 by Francesco Maria Grimaldi, who also coined the term from the Latin *diffingere*, 'to break into pieces'.^[40] ^[41] Later that century, Robert Hooke and Isaac Newton also described phenomena now known to be diffraction in Newton's rings^[42] while James Gregory recorded his observations of diffraction patterns from bird feathers.^[43]

The first physical optics model of diffraction that relied on Huygens' Principle was developed in 1803 by Thomas Young in his accounts of the interference patterns of two closely spaced slits. Young showed that his results could only be explained if the two slits acted as two unique sources of waves rather than corpuscles.^[44] In 1815 and 1818, Augustin-Jean Fresnel firmly established the mathematics of how wave interference can account for diffraction.^[33]

The simplest physical models of diffraction use equations that describe the angular separation of light and dark fringes due to light of a particular wavelength (λ). In general, the equation takes the form

$$m\lambda = d \sin \theta$$

where d is the separation between two wavefront sources (in the case of Young's experiments, it was two slits), θ is the angular separation between the central fringe and the m th order fringe, where the central maximum is $m = 0$.^[45]

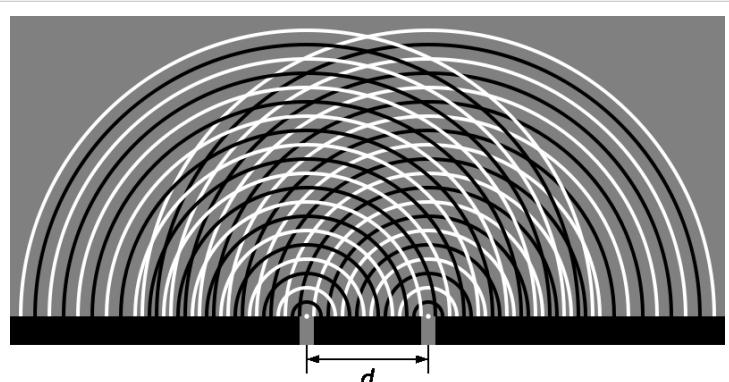
This equation is modified slightly to take into account a variety of situations such as diffraction through a single gap, diffraction through multiple slits, or diffraction through a diffraction grating that contains a large number of slits at equal spacing.^[45] More complicated models of diffraction require working with the mathematics of Fresnel or Fraunhofer diffraction.^[34]

X-ray diffraction makes use of the fact that atoms in a crystal have regular spacing at distances that are on the order of one angstrom. To see diffraction patterns, x-rays with similar wavelengths to that spacing are passed through the crystal. Since crystals are three-dimensional objects rather than two-dimensional gratings, the associated diffraction pattern varies in two directions according to Bragg reflection, with the associated bright spots occurring in unique patterns and d being twice the spacing between atoms.^[45]

Diffraction effects limit the ability for an optical detector to optically resolve separate light sources. In general, light that is passing through an aperture will experience diffraction and the best images that can be created (as described in diffraction-limited optics) appear as a central spot with surrounding bright rings, separated by dark nulls; this pattern is known as an Airy pattern, and the central bright lobe as an Airy disk.^[33] The size of such a disk is given by

$$\sin \theta = 1.22 \frac{\lambda}{D}$$

where θ is the angular resolution, λ is the wavelength of the light, and D is the diameter of the lens aperture. If the angular separation of the two points is significantly less than the Airy disk angular radius, then the two points cannot be resolved in the image, but if their angular separation is much greater than this, distinct images of the two points are formed and they can therefore be resolved. Rayleigh defined the somewhat arbitrary "Rayleigh criterion" that two points whose angular separation is equal to the Airy disk radius (measured to first null, that is, to the first place where no light is seen) can be considered to be resolved. It can be seen that the greater the diameter of the lens or its



Diffraction on two slits separated by distance d . The bright fringes occur along lines where black lines intersect with black lines and white lines intersect with white lines. These fringes are separated by angle θ and are numbered as order n .

aperture, the finer the resolution.^[45] Interferometry, with its ability to mimic extremely large baseline apertures, allows for the greatest angular resolution possible.^[38]

For astronomical imaging, the atmosphere prevents optimal resolution from being achieved in the visible spectrum due to the atmospheric scattering and dispersion which cause stars to twinkle. Astronomers refer to this effect as the quality of astronomical seeing. Techniques known as adaptive optics have been utilized to eliminate the atmospheric disruption of images and achieve results that approach the diffraction limit.^[46]

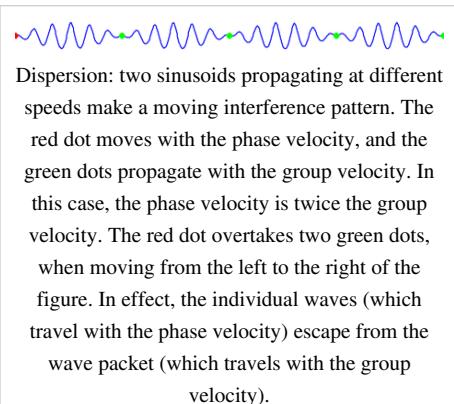
Dispersion and scattering

Refractive processes take place in the physical optics limit, where the wavelength of light is similar to other distances, as a kind of scattering. The simplest type of scattering is Thomson scattering which occurs when electromagnetic waves are deflected by single particles. In the limit of Thompson scattering, in which the wavelike nature of light is evident, light is dispersed independent of the frequency, in contrast to Compton scattering which is frequency-dependent and strictly a quantum mechanical process, involving the nature of light as particles. In a statistical sense, elastic scattering of light by numerous particles much smaller than the wavelength of the light is a process known as Rayleigh scattering while the similar process for scattering by particles that are similar or larger in wavelength is known as Mie scattering with the Tyndall effect being a commonly observed result. A small proportion of light scattering from atoms or molecules may undergo Raman scattering, wherein the frequency changes due to excitation of the atoms and molecules. Brillouin scattering occurs when the frequency of light changes due to local changes with time and movements of a dense material.^[47]

Dispersion occurs when different frequencies of light have different phase velocities, due either to material properties (*material dispersion*) or to the geometry of an optical waveguide (*waveguide dispersion*). The most familiar form of dispersion is a decrease in index of refraction with increasing wavelength, which is seen in most transparent materials. This is called "normal dispersion". It occurs in all dielectric materials, in wavelength ranges where the material does not absorb light.^[48] In wavelength ranges where a medium has significant absorption, the index of refraction can increase with wavelength. This is called "anomalous dispersion".^{[31] [48]}

The separation of colors by a prism is an example of normal dispersion. At the surfaces of the prism, Snell's law predicts that light incident at an angle θ to the normal will be refracted at an angle $\arcsin(\sin(\theta) / n)$. Thus, blue light, with its higher refractive index, is bent more strongly than red light, resulting in the well-known rainbow pattern.^[31]

Material dispersion is often characterized by the Abbe number, which gives a simple measure of dispersion based on the index of refraction at three specific wavelengths. Waveguide dispersion is dependent on the propagation constant.^[33] Both kinds of dispersion cause changes in the group characteristics of the wave, the features of the wave packet that change with the same frequency as the amplitude of the electromagnetic wave. "Group velocity dispersion" manifests as a spreading-out of the signal "envelope" of the radiation and can be quantified with a group dispersion delay parameter:



$$D = \frac{1}{v_g^2} \frac{dv_g}{d\lambda}$$

where v_g is the group velocity.^[49] For a uniform medium, the group velocity is

$$v_g = c \left(n - \lambda \frac{dn}{d\lambda} \right)^{-1}$$

where n is the index of refraction and c is the speed of light in a vacuum.^[50] This gives a simpler form for the dispersion delay parameter:

$$D = -\frac{\lambda}{c} \frac{d^2n}{d\lambda^2}.$$

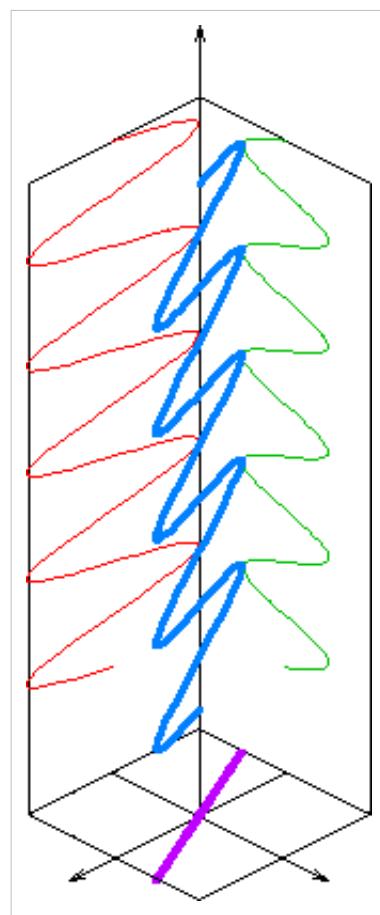
If D is less than zero, the medium is said to have *positive dispersion* or normal dispersion. If D is greater than zero, the medium has *negative dispersion*. If a light pulse is propagated through a normally dispersive medium, the result is the higher frequency components slow down more than the lower frequency components. The pulse therefore becomes *positively chirped*, or *up-chirped*, increasing in frequency with time. This causes the spectrum coming out of a prism to appear with red light the least refracted and blue/violet light the most refracted. Conversely, if a pulse travels through an anomalously (negatively) dispersive medium, high frequency components travel faster than the lower ones, and the pulse becomes *negatively chirped*, or *down-chirped*, decreasing in frequency with time.^[51]

The result of group velocity dispersion, whether negative or positive, is ultimately temporal spreading of the pulse. This makes dispersion management extremely important in optical communications systems based on optical fibers, since if dispersion is too high, a group of pulses representing information will each spread in time and merge together, making it impossible to extract the signal.^[49]

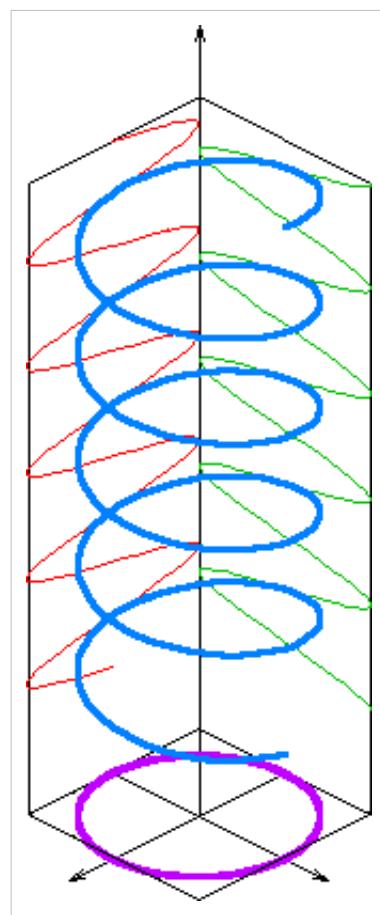
Polarization

Polarization is a general property of waves that describes the orientation of their oscillations. For transverse waves such as many electromagnetic waves, it describes the orientation of the oscillations in the plane perpendicular to the wave's direction of travel. The oscillations may be oriented in a single direction (linear polarization), or the oscillation direction may rotate as the wave travels (circular or elliptical polarization). Circularly polarized waves can rotate rightward or leftward in the direction of travel, and which of those two rotations is present in a wave is called the wave's chirality.^[52]

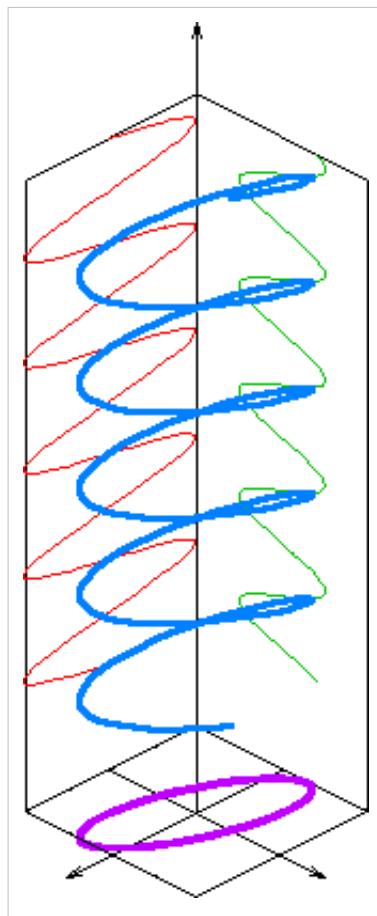
The typical way to consider polarization is to keep track of the orientation of the electric field vector as the electromagnetic wave propagates. The electric field vector of a plane wave may be arbitrarily divided into two perpendicular components labeled x and y (with z indicating the direction of travel). The shape traced out in the x - y plane by the electric field vector is a Lissajous figure that describes the *polarization state*.^[33] The following figures show some examples of the evolution of the electric field vector (blue), with time (the vertical axes), at a particular point in space, along with its x and y components (red/left and green/right), and the path traced by the vector in the plane (purple): The same evolution would occur when looking at the electric field at a particular time while evolving the point in space, along the direction opposite to propagation.



Linear



Circular



Elliptical polarization

In the leftmost figure above, the x and y components of the light wave are in phase. In this case, the ratio of their strengths is constant, so the direction of the electric vector (the vector sum of these two components) is constant. Since the tip of the vector traces out a single line in the plane, this special case is called linear polarization. The direction of this line depends on the relative amplitudes of the two components.^[52]

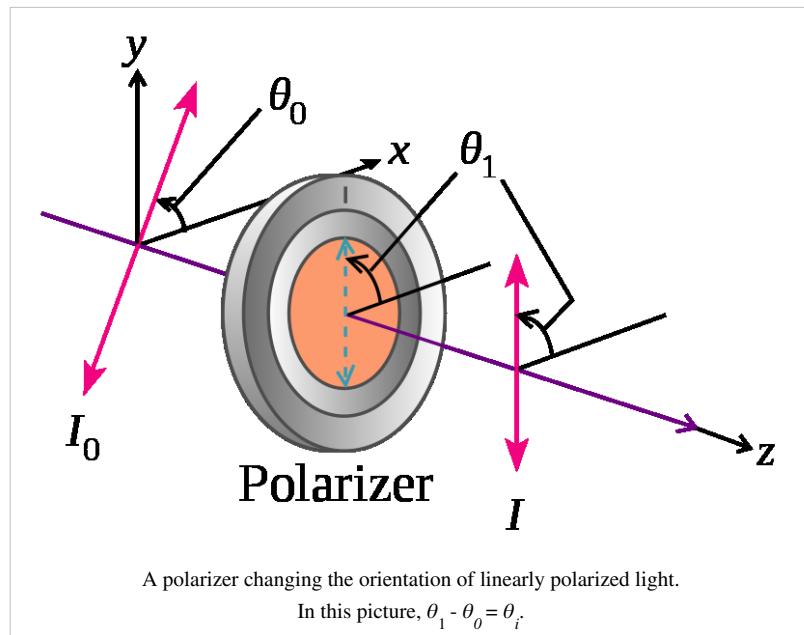
In the middle figure, the two orthogonal components have the same amplitudes and are 90° out of phase. In this case, one component is zero when the other component is at maximum or minimum amplitude. There are two possible phase relationships that satisfy this requirement: the x component can be 90° ahead of the y component or it can be 90° behind the y component. In this special case, the electric vector traces out a circle in the plane, so this polarization is called circular polarization. The rotation direction in the circle depends on which of the two phase relationships exists and corresponds to *right-hand circular polarization* and *left-hand circular polarization*.^[33]

In all other cases, where the two components either do not have the same amplitudes and/or their phase difference is neither zero nor a multiple of 90°, the polarization is called elliptical polarization because the electric vector traces out an ellipse in the plane (the *polarization ellipse*). This is shown in the above figure on the right. Detailed mathematics of polarization is done using Jones calculus and is characterized by the Stokes parameters.^[33]

Media that have different indexes of refraction for different polarization modes are called *birefringent*.^[52] Well known manifestations of this effect appear in optical wave plates/retarders (linear modes) and in Faraday rotation/optical rotation (circular modes).^[33] If the path length in the birefringent medium is sufficient, plane waves will exit the material with a significantly different propagation direction, due to refraction. For example, this is the case with macroscopic crystals of calcite, which present the viewer with two offset, orthogonally polarized images of whatever is viewed through them. It was this effect that provided the first discovery of polarization, by Erasmus Bartholinus in 1669. In addition, the phase shift, and thus the change in polarization state, is usually frequency dependent, which, in combination with dichroism, often gives rise to bright colors and rainbow-like effects. In

mineralogy, such properties, known as pleochroism, are frequently exploited for the purpose of identifying minerals using polarization microscopes. Additionally, many plastics that are not normally birefringent will become so when subject to mechanical stress, a phenomenon which is the basis of photoelasticity.^[52] Non-birefringent methods, to rotate the linear polarization of light beams, include the use of prismatic polarization rotators which utilize total internal reflection in a prism set designed for efficient colinear transmission.^[53]

Media that reduce the amplitude of certain polarization modes are called *dichroic*, with devices that block nearly all of the radiation in one mode known as *polarizing filters* or simply "polarizers". Malus' law, which is named after Etienne-Louis Malus, says that when a perfect polarizer is placed in a linear polarized beam of light, the intensity, I , of the light that passes through is given by



$$I = I_0 \cos^2 \theta_i ,$$

where

I_0 is the initial intensity,

and θ_i is the angle between the light's initial polarization direction and the axis of the polarizer.^[52]

A beam of unpolarized light can be thought of as containing a uniform mixture of linear polarizations at all possible angles. Since the average value of $\cos^2 \theta$ is 1/2, the transmission coefficient becomes

$$\frac{I}{I_0} = \frac{1}{2}$$

In practice, some light is lost in the polarizer and the actual transmission of unpolarized light will be somewhat lower than this, around 38% for Polaroid-type polarizers but considerably higher (>49.9%) for some birefringent prism types.^[33]

In addition to birefringence and dichroism in extended media, polarization effects can also occur at the (reflective) interface between two materials of different refractive index. These effects are treated by the Fresnel equations. Part of the wave is transmitted and part is reflected, with the ratio depending on angle of incidence and the angle of refraction. In this way, physical optics recovers Brewster's angle.^[33]

Most sources of electromagnetic radiation contain a large number of atoms or molecules that emit light. The orientation of the electric fields produced by these emitters may not be correlated, in which case the light is said to be *unpolarized*. If there is partial correlation between the emitters, the light is *partially polarized*. If the polarization is consistent across the spectrum of the source, partially polarized light can be described as a superposition of a completely unpolarized component, and a completely polarized one. One may then describe the light in terms of the degree of polarization, and the parameters of the polarization ellipse.^[33]



The effects of a polarizing filter on the sky in a photograph. Left picture is taken without polarizer. For the right picture, filter was adjusted to eliminate certain polarizations of the scattered blue light from the sky.

Light reflected by shiny transparent materials is partly or fully polarized, except when the light is normal (perpendicular) to the surface. It was this effect that allowed the mathematician Etienne Louis Malus to make the measurements that allowed for his development of the first mathematical models for polarized light. Polarization occurs when light is scattered in the atmosphere. The scattered light produces the brightness and color in clear skies. This partial polarization of scattered light can be taken advantage of using polarizing filters to darken the sky in photographs. Optical polarization is principally of importance in chemistry due to circular dichroism and optical rotation ("circular birefringence") exhibited by optically active (chiral) molecules.^[33]

Modern optics

Modern optics encompasses the areas of optical science and engineering that became popular in the 20th century. These areas of optical science typically relate to the electromagnetic or quantum properties of light but do include other topics. A major subfield of modern optics, quantum optics, deals with specifically quantum mechanical properties of light. Quantum optics is not just theoretical; some modern devices, such as lasers, have principles of operation that depend on quantum mechanics. Light detectors, such as photomultipliers and channeltrons, respond to individual photons. Electronic image sensors, such as CCDs, exhibit shot noise corresponding to the statistics of individual photon events. Light-emitting diodes and photovoltaic cells, too, cannot be understood without quantum mechanics. In the study of these devices, quantum optics often overlaps with quantum electronics.^[54]

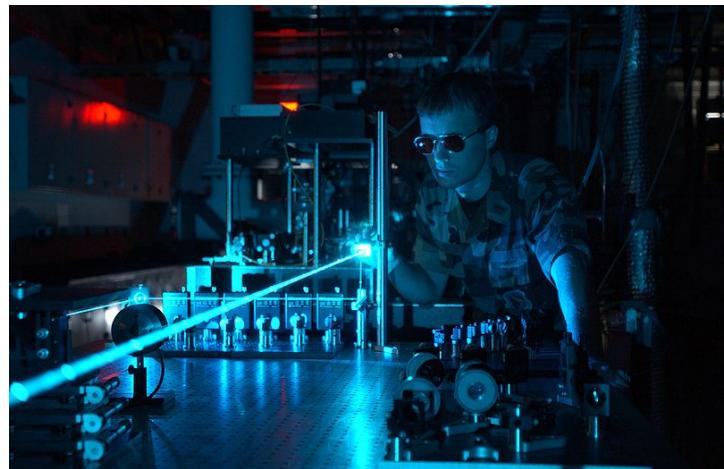
Specialty areas of optics research include the study of how light interacts with specific materials as in crystal optics and metamaterials. Other research focuses on the phenomenology of electromagnetic waves as in singular optics, non-imaging optics, non-linear optics, statistical optics, and radiometry. Additionally, computer engineers have taken an interest in integrated optics, machine vision, and photonic computing as possible components of the "next generation" of computers.^[55]

Today, the pure science of optics is called optical science or optical physics to distinguish it from applied optical sciences, which are referred to as optical engineering. Prominent subfields of optical engineering include illumination engineering, photonics, and optoelectronics with practical applications like lens design, fabrication and testing of optical components, and image processing. Some of these fields overlap, with nebulous boundaries between the subjects terms that mean slightly different things in different parts of the world and in different areas of industry.^[56] A professional community of researchers in nonlinear optics has developed in the last several decades due to advances in laser technology.^[57]

Lasers

A laser is a device that emits light (electromagnetic radiation) through a process called *stimulated emission*. The term *laser* is an acronym for *Light Amplification by Stimulated Emission of Radiation*.^[58]

Laser light is usually spatially coherent, which means that the light either is emitted in a narrow, low-divergence beam, or can be converted into one with the help of optical components such as lenses. Because the microwave equivalent of the laser, the *maser*, was developed first, devices that emit microwave and radio frequencies are usually called *masers*.^[59]



Experiments such as this one with high-power lasers are part of the modern optics research.

The first working laser was demonstrated on

16 May 1960 by Theodore Maiman at Hughes Research Laboratories.^[60] When first invented, they were called "a solution looking for a problem".^[61] Since then, lasers have become a multi-billion dollar industry, finding utility in thousands of highly varied applications. The first application of lasers visible in the daily lives of the general population was the supermarket barcode scanner, introduced in 1974.^[62] The laserdisc player, introduced in 1978, was the first successful consumer product to include a laser, but the compact disc player was the first laser-equipped device to become truly common in consumers' homes, beginning in 1982.^[63] These optical storage devices use a semiconductor laser less than a millimeter wide to scan the surface of the disc for data retrieval. Fiber-optic communication relies on lasers to transmit large amounts of information at the speed of light. Other common applications of lasers include laser printers and laser pointers. Lasers are used in medicine in areas such as bloodless surgery, laser eye surgery, and laser capture microdissection and in military applications such as missile defense systems, electro-optical countermeasures (EOCM), and LIDAR. Lasers are also used in holograms, bubblegrams, laser light shows, and laser hair removal.^[64]

Applications

Optics is part of everyday life. The ubiquity of visual systems in biology indicate the central role optics plays as the science of one of the five senses. Many people benefit from eyeglasses or contact lenses, and optics are integral to the functioning of many consumer goods including cameras. Rainbows and mirages are examples of optical phenomena. Optical communication provides the backbone for both the Internet and modern telephony.

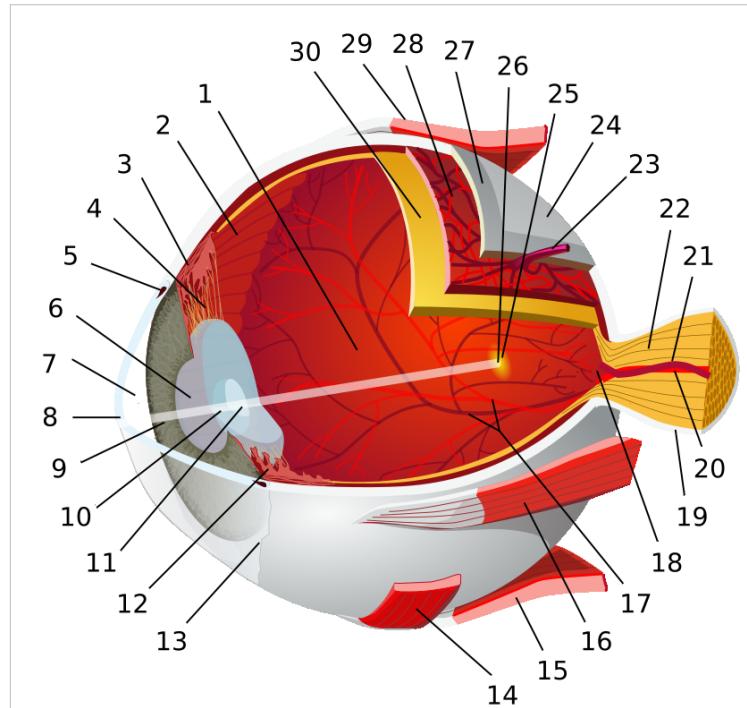
Human eye

The human eye functions by focusing light onto an array of photoreceptor cells called the retina, which covers the back of the eye. The focusing is accomplished by a series of transparent media. Light entering the eye passes first through the cornea, which provides much of the eye's optical power. The light then continues through the fluid just behind the cornea—the anterior chamber, then passes through the pupil. The light then passes through the lens, which focuses the light further and allows adjustment of focus. The light then passes through the main body of fluid in the eye—the vitreous humor, and reaches the retina. The cells in the retina cover the back of the eye, except for where the optic nerve exits; this results in a blind spot.

There are two types of photoreceptor cells, rods and cones, which are sensitive to different aspects of light.^[65] Rod cells are sensitive to the intensity of light over a wide frequency range, thus are responsible for black-and-white vision. Rod cells are not present on the fovea, the area of the retina responsible for central vision, and are not as responsive as cone cells to spatial and temporal changes in light. There are, however, twenty times more rod cells than cone cells in the retina because the rod cells are present across a wider area. Because of their wider distribution, rods are responsible for peripheral vision.^[66]

In contrast, cone cells are less sensitive to the overall intensity of light, but come in three varieties that are sensitive to different frequency-ranges and thus are used in the perception of color and photopic vision. Cone cells are highly concentrated in the fovea and have a high visual acuity meaning that they are better at spatial resolution than rod cells. Since cone cells are not as sensitive to dim light as rod cells, most night vision is limited to rod cells. Likewise, since cone cells are in the fovea, central vision (including the vision needed to do most reading, fine detail work such as sewing, or careful examination of objects) is done by cone cells.^[66]

Ciliary muscles around the lens allow the eye's focus to be adjusted. This process is known as accommodation. The near point and far point define the nearest and farthest distances from the eye at which an object can be brought into sharp focus. For a person with normal vision, the far point is located at infinity. The near point's location depends on how much the muscles can increase the curvature of the lens, and how inflexible the lens has become with age. Optometrists, ophthalmologists, and opticians usually consider an appropriate near point to be closer than normal reading distance—approximately 25 cm.^[65]



Model of a human eye. Features mentioned in this article are 3. ciliary muscle, 6. pupil, 8. cornea, 10. lens cortex, 22. optic nerve, 26. fovea, 30. retina

Defects in vision can be explained using optical principles. As people age, the lens becomes less flexible and the near point recedes from the eye, a condition known as presbyopia. Similarly, people suffering from hyperopia cannot decrease the focal length of their lens enough to allow for nearby objects to be imaged on their retina. Conversely, people who cannot increase the focal length of their lens enough to allow for distant objects to be imaged on the retina suffer from myopia and have a far point that is considerably closer than infinity. A condition known as astigmatism results when the cornea is not spherical but instead is more curved in one direction. This causes horizontally extended objects to be focused on different parts of the retina than vertically extended objects, and results in distorted images.^[65]

All of these conditions can be corrected using corrective lenses. For presbyopia and hyperopia, a converging lens provides the extra curvature necessary to bring the near point closer to the eye while for myopia a diverging lens provides the curvature necessary to send the far point to infinity. Astigmatism is corrected with a cylindrical surface lens that curves more strongly in one direction than in another, compensating for the non-uniformity of the cornea.^[67]

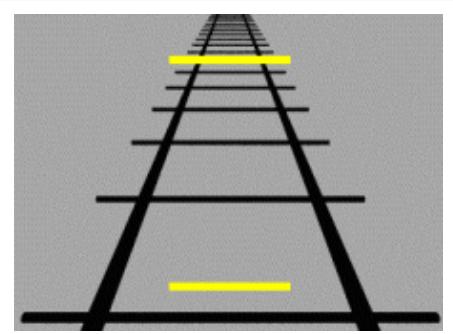
The optical power of corrective lenses is measured in diopters, a value equal to the reciprocal of the focal length measured in meters; with a positive focal length corresponding to a converging lens and a negative focal length corresponding to a diverging lens. For lenses that correct for astigmatism as well, three numbers are given: one for the spherical power, one for the cylindrical power, and one for the angle of orientation of the astigmatism.^[67]

Visual effects

Optical illusions (also called visual illusions) are characterized by visually perceived images that differ from objective reality. The information gathered by the eye is processed in the brain to give a percept that differs from the object being imaged. Optical illusions can be the result of a variety of phenomena including physical effects that create images that are different from the objects that make them, the physiological effects on the eyes and brain of excessive stimulation (e.g. brightness, tilt, color, movement), and cognitive illusions where the eye and brain make unconscious inferences.^[68]

Cognitive illusions include some which result from the unconscious misapplication of certain optical principles. For example, the Ames room, Hering, Müller-Lyer, Orbison, Ponzo, Sander, and Wundt illusions all rely on the suggestion of the appearance of distance by using converging and diverging lines, in the same way that parallel light rays (or indeed any set of parallel lines) appear to converge at a vanishing point at infinity in two-dimensionally rendered images with artistic perspective.^[69] This suggestion is also responsible for the famous moon illusion where the moon, despite having essentially the same angular size, appears much larger near the horizon than it does at zenith.^[70] This illusion so confounded Ptolemy that he incorrectly attributed it to atmospheric refraction when he described it in his treatise, *Optics*.^[6]

Another type of optical illusion exploits broken patterns to trick the mind into perceiving symmetries or asymmetries that are not present. Examples include the café wall, Ehrenstein, Fraser spiral, Poggendorff, and Zöllner illusions. Related, but not strictly illusions, are patterns that occur due to the superimposition of periodic structures. For example transparent tissues with a grid structure produce shapes known as moiré patterns, while the superimposition of periodic transparent patterns comprising parallel opaque lines or curves produces line moiré patterns.^[71]



The Ponzo Illusion relies on the fact that parallel lines appear to converge as they approach infinity.

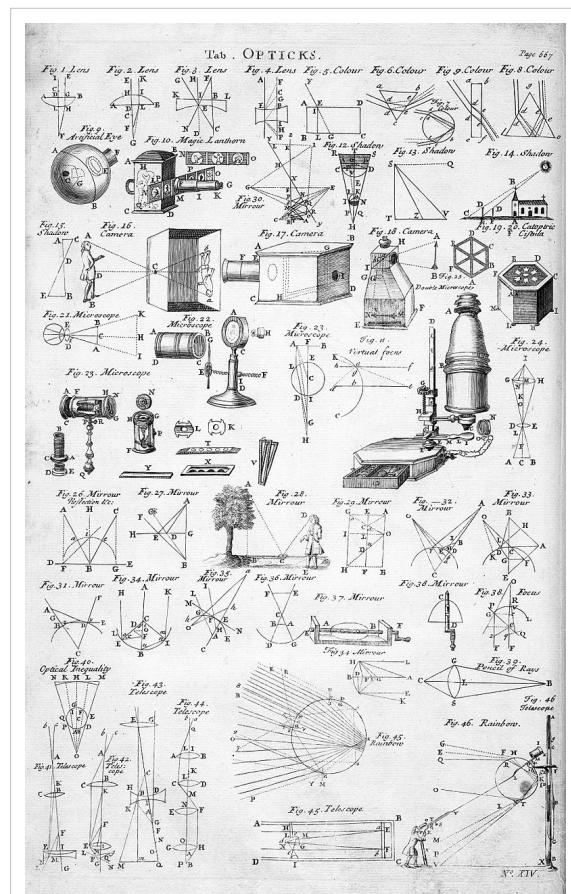
Optical instruments

Single lenses have a variety of applications including photographic lenses, corrective lenses, and magnifying glasses while single mirrors are used in parabolic reflectors and rear-view mirrors. Combining a number of mirrors, prisms, and lenses produces compound optical instruments which have practical uses. For example, a periscope is simply two plane mirrors aligned to allow for viewing around obstructions. The most famous compound optical instruments in science are the microscope and the telescope which were both invented by the Dutch in the late 16th century.^[72]

Microscopes were first developed with just two lenses: an objective lens and an eyepiece. The objective lens is essentially a magnifying glass and was designed with a very small focal length while the eyepiece generally has a longer focal length. This has the effect of producing magnified images of close objects. Generally, an additional source of illumination is used since magnified images are dimmer due to the conservation of energy and the spreading of light rays over a larger surface area. Modern microscopes, known as *compound microscopes* have many lenses in them (typically four) to optimize the functionality and enhance image stability.^[72] A slightly different variety of microscope, the comparison microscope, looks at side-by-side images to produce a stereoscopic binocular view that appears three dimensional when used by humans.^[73]

The first telescopes, called *refracting telescopes* were also developed with a single objective and eyepiece lens. In contrast to the microscope, the objective lens of the telescope was designed with a large focal length to avoid optical aberrations. The objective focuses an image of a distant object at its focal point which is adjusted to be at the focal point of an eyepiece of a much smaller focal length. The main goal of a telescope is not necessarily magnification, but rather collection of light which is determined by the physical size of the objective lens. Thus, telescopes are normally indicated by the diameters of their objectives rather than by the magnification which can be changed by switching eyepieces. Because the magnification of a telescope is equal to the focal length of the objective divided by the focal length of the eyepiece, smaller focal-length eyepieces cause greater magnification.^[72]

Since crafting large lenses is much more difficult than crafting large mirrors, most modern telescopes are *reflecting telescopes*, that is, telescopes that use a primary mirror rather than an objective lens. The same general optical considerations apply to reflecting telescopes that applied to refracting telescopes, namely, the larger the primary mirror, the more light collected, and the magnification is still equal to the focal length of the primary mirror divided by the focal length of the eyepiece. Professional telescopes generally do not have eyepieces and instead place an instrument (often a charge-coupled device) at the focal point instead.^[72]



Illustrations of various optical instruments from the 1728
Cyclopaedia

Photography

The optics of photography involves both lenses and the medium in which the electromagnetic radiation is recorded, whether it be a plate, film, or charge-coupled device. Photographers must consider the reciprocity of the camera and the shot which is summarized by the relation

$$\text{Exposure} \propto \frac{\text{ApertureArea}}{\text{ExposureTime} \times \text{SceneLuminance}}^{[74]}$$

In other words, the smaller the aperture (giving greater depth of focus), the less light coming in, so the length of time has to be increased (leading to possible blurriness if motion occurs). An example of the use of the law of reciprocity is the Sunny 16 rule which gives a rough estimate for the settings needed to estimate the proper exposure in daylight.^[75]

A camera's aperture is measured by a unitless number called the f-number or f-stop, $f/\#$, often notated as N , and given by



Photograph taken with aperture $f/32$



Photograph taken with aperture $f/5$

$$f/\# = N = \frac{f}{D}$$

where f is the focal length, and D is the diameter of the entrance pupil. By convention, " $f/\#$ " is treated as a single symbol, and specific values of $f/\#$ are written by replacing the number sign with the value. The two ways to increase the f-stop are to either decrease the diameter of the entrance pupil or change to a longer focal length (in the case of a zoom lens, this can be done by simply adjusting the lens). Higher f-numbers also have a larger depth of field due to the lens approaching the limit of a pinhole camera which is able to focus all images perfectly, regardless of distance, but requires very long exposure times.^[76]

The field of view that the lens will provide changes with the focal length of the lens. There are three basic classifications based on the relationship to the diagonal size of the film or sensor size of the camera to the focal length of the lens:^[77]

- Normal lens: angle of view of about 50° (called *normal* because this angle considered roughly equivalent to human vision^[78]) and a focal length approximately equal to the diagonal of the film or sensor.^[79]
- Wide-angle lens: angle of view wider than 60° and focal length shorter than a normal lens.^[80]
- Long focus lens: angle of view narrow than a normal lens. This is any lens with a focal length longer than the diagonal measure of the film or sensor.^[81] The most common type of long focus lens is the telephoto lens, a

design that uses a special *telephoto group* to be physically shorter than its focal length.^[82]

Modern zoom lenses may have some or all of these attributes.

The absolute value for the exposure time required depends on how sensitive to light the medium being used is (measured by the film speed, or, for digital media, by the quantum efficiency).^[83] Early photography used media that had very low light sensitivity, and so exposure times had to be long even for very bright shots. As technology has improved, so has the sensitivity through film cameras and digital cameras.^[84]

Other results from physical and geometrical optics apply to camera optics. For example, the maximum resolution capability of a particular camera set-up is determined by the diffraction limit associated with the pupil size and given, roughly, by the Rayleigh criterion.^[85]

Atmospheric optics

The unique optical properties of the atmosphere cause a wide range of spectacular optical phenomena. The blue color of the sky is a direct result of Rayleigh scattering which redirects higher frequency (blue) sunlight back into the field of view of the observer. Because blue light is scattered more easily than red light, the sun takes on a reddish hue when it is observed through a thick atmosphere, as during a sunrise or sunset. Additional particulate matter in the sky can scatter different colors at different angles creating colorful glowing skies at dusk and dawn. Scattering off of ice crystals and other particles in the atmosphere are responsible for halos, afterglows, coronas, rays of sunlight, and sun dogs. The variation in these kinds of phenomena is due to different particle sizes and geometries.^[86]



A colorful sky is often due to scattering of light off particulates and pollution, as in this photograph of a sunset during the October 2007 California wildfires.

Mirages are optical phenomena in which light rays are bent due to thermal variations in the refraction index of air, producing displaced or heavily distorted images of distant objects. Other dramatic optical phenomena associated with this include the Novaya Zemlya effect where the sun appears to rise earlier than predicted with a distorted shape. A spectacular form of refraction occurs with a temperature inversion called the Fata Morgana where objects on the horizon or even beyond the horizon, such as islands, cliffs, ships or icebergs, appear elongated and elevated, like "fairy tale castles".^[87]

Rainbows are the result of a combination of internal reflection and dispersive refraction of light in raindrops. A single reflection off the backs of an array of raindrops produces a rainbow with an angular size on the sky that ranges from 40° to 42° with red on the outside. Double rainbows are produced by two internal reflections with angular size of 50.5° to 54° with violet on the outside. Because rainbows are seen with the sun 180° away from the center of the rainbow, rainbows are more prominent the closer the sun is to the horizon.^[52]

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External links

Relevant discussions

- Optics (<http://www.bbc.co.uk/programmes/b00774t5>) on In Our Time at the BBC. (listen now (http://www.bbc.co.uk/iplayer/console/b00774t5/In_Our_Time_Optics))

Textbooks and tutorials

- Optics (<http://www.lightandmatter.com/area1book5.html>) – an open-source optics textbook
- Optics2001 (<http://www.optics2001.com>) – Optics library and community
- Fundamental Optics (<http://www.cvimellesgriot.com/products/Documents/TechnicalGuide/fundamental-Optics.pdf>) – CVI Melles Griot Technical Guide
- Physics of Light and Optics (<http://optics.byu.edu/textbook.aspx>) – Brigham Young University Undergraduate Book

Wikibooks modules

Further reading

- Optics and photonics: Physics enhancing our lives (http://www.iop.org/publications/iop/2009/page_38205.html) by Institute of Physics publications (<http://www.iop.org/publications/iop/index.html>)

Societies

- SPIE – link (<http://www.spie.org>)
- Optical Society of America – link (<http://www.osa.org>)
- European Optical Society – link (<http://www.myeos.org>)
- European Photonics Industry Consortium – link (<http://www.epic-assoc.com>)
- Optical Society of India – link (<http://www.osiindia.org>)
- Dutch Photonics Society – link (<http://www.photonicscluster-nl.org>)

Special relativity

Special relativity (SR, also known as the special theory of relativity or STR) is the physical theory of measurement in inertial frames of reference proposed in 1905 by Albert Einstein (after the considerable and independent contributions of Hendrik Lorentz, Henri Poincaré and others) in the paper "On the Electrodynamics of Moving Bodies".^[1] It generalizes Galileo's principle of relativity—that all uniform motion is relative, and that there is no absolute and well-defined state of rest (no privileged reference frames)—from mechanics to all the laws of physics, including both the laws of mechanics and of electrodynamics, whatever they may be.^[2] Special relativity incorporates the principle that the speed of light is the same for all inertial observers regardless of the state of motion of the source.^[3]



USSR postage stamp dedicated to Albert Einstein

This theory has a wide range of consequences which have been experimentally verified,^[4] including counter-intuitive ones such as length contraction, time dilation and relativity of simultaneity, contradicting the classical notion that the duration of the time interval between two events is equal for all observers. (On the other hand, it introduces the space-time interval, which *is* invariant.) Combined with other laws of physics, the two postulates of special relativity predict the equivalence of matter and energy, as expressed in the mass–energy equivalence formula $E = mc^2$, where c is the speed of light in a vacuum.^{[5] [6]} The predictions of special relativity agree well with Newtonian mechanics in their common realm of applicability, specifically in experiments in which all velocities are small compared with the speed of light. Special relativity reveals that c is not just the velocity of a certain phenomenon—namely the propagation of electromagnetic radiation (light)—but rather a fundamental feature of the way space and time are unified as spacetime. One of the consequences of the theory is that it is impossible for any particle that has rest mass to be accelerated to the speed of light.

The theory is termed "special" because it applies the principle of relativity only to the special case of inertial reference frames, i.e. frames of reference in uniform relative motion with respect to each other.^[7] Einstein developed general relativity to apply the principle in the more general case, that is, to any frame so as to handle general coordinate transformations, and that theory includes the effects of gravity. From the theory of general relativity it follows that special relativity will still apply locally (i.e., to first order),^[8] and hence to any relativistic situation

where gravity is not a significant factor. Inertial frames should be identified with non-rotating Cartesian coordinate systems constructed around any free falling trajectory as a time axis.

Postulates

Reflections of this type made it clear to me as long ago as shortly after 1900, i.e., shortly after Planck's trailblazing work, that neither mechanics nor electrodynamics could (except in limiting cases) claim exact validity. Gradually I despaired of the possibility of discovering the true laws by means of constructive efforts based on known facts. The longer and the more desperately I tried, the more I came to the conviction that only the discovery of a universal formal principle could lead us to assured results... How, then, could such a universal principle be found?

—Albert Einstein: *Autobiographical Notes*^[9]

Einstein discerned two fundamental propositions that seemed to be the most assured, regardless of the exact validity of the (then) known laws of either mechanics or electrodynamics. These propositions were the constancy of the speed of light and the independence of physical laws (especially the constancy of the speed of light) from the choice of inertial system. In his initial presentation of special relativity in 1905 he expressed these postulates as:^[11]

- The Principle of Relativity – The laws by which the states of physical systems undergo change are not affected, whether these changes of state be referred to the one or the other of two systems in uniform translatory motion relative to each other.^[11]
- The Principle of Invariant Light Speed – "... light is always propagated in empty space with a definite velocity [speed] c which is independent of the state of motion of the emitting body." (from the preface).^[11] That is, light in vacuum propagates with the speed c (a fixed constant, independent of direction) in at least one system of inertial coordinates (the "stationary system"), regardless of the state of motion of the light source.

The derivation of special relativity depends not only on these two explicit postulates, but also on several tacit assumptions (made in almost all theories of physics), including the isotropy and homogeneity of space and the independence of measuring rods and clocks from their past history.^[10]

Following Einstein's original presentation of special relativity in 1905, many different sets of postulates have been proposed in various alternative derivations.^[11] However, the most common set of postulates remains those employed by Einstein in his original paper. A more mathematical statement of the Principle of Relativity made later by Einstein, which introduces the concept of simplicity not mentioned above is:

Special principle of relativity: If a system of coordinates K is chosen so that, in relation to it, physical laws hold good in their simplest form, the *same* laws hold good in relation to any other system of coordinates K' moving in uniform translation relatively to K .^[12]

Henri Poincaré provided the mathematical framework for relativity theory by proving that Lorentz transformations are a subset of his Poincaré group of symmetry transformations. Einstein later derived these transformations from his axioms.

Many of Einstein's papers present derivations of the Lorentz transformation based upon these two principles.^[13]

Einstein consistently based the derivation of Lorentz invariance (the essential core of special relativity) on just the two basic principles of relativity and light-speed invariance. He wrote:

The insight fundamental for the special theory of relativity is this: The assumptions relativity and light speed invariance are compatible if relations of a new type ("Lorentz transformation") are postulated for the conversion of coordinates and times of events... The universal principle of the special theory of relativity is contained in the postulate: The laws of physics are invariant with respect to Lorentz transformations (for the transition from one inertial system to any other arbitrarily chosen inertial system). This is a restricting principle for natural laws...^[9]

Thus many modern treatments of special relativity base it on the single postulate of universal Lorentz covariance, or, equivalently, on the single postulate of Minkowski spacetime.^[14] [15]

From the principle of relativity alone without assuming the constancy of the speed of light (i.e. using the isotropy of space and the symmetry implied by the principle of special relativity) one can show that the space-time transformations between inertial frames are either Euclidean, Galilean, or Lorentzian. In the Lorentzian case, one can then obtain relativistic interval conservation and a certain finite limiting speed. Experiments suggest that this speed is the speed of light in vacuum.^[16] [17]

The constancy of the speed of light was motivated by Maxwell's theory of electromagnetism and the lack of evidence for the luminiferous ether but not, contrary to widespread belief, the null result of the Michelson–Morley experiment.^[18] However the null result of the Michelson–Morley experiment helped the notion of the constancy of the speed of light gain widespread and rapid acceptance.

Mass–energy equivalence

In addition to the papers referenced above—which give derivations of the Lorentz transformation and describe the foundations of special relativity—Einstein also wrote at least four papers giving heuristic arguments for the equivalence (and transmutability) of mass and energy, for $E = mc^2$.

Mass–energy equivalence is a consequence of special relativity. The energy and momentum, which are separate in Newtonian mechanics, form a four-vector in relativity, and this relates the time component (the energy) to the space components (the momentum) in a nontrivial way. For an object at rest, the energy-momentum four-vector is $(E, 0, 0, 0)$: it has a time component which is the energy, and three space components which are zero. By changing frames with a Lorentz transformation in the x direction with a small value of the velocity v , the energy momentum four-vector becomes $(E, Ev/c^2, 0, 0)$. The momentum is equal to the energy multiplied by the velocity divided by c^2 . As such, the Newtonian mass of an object, which is the ratio of the momentum to the velocity for slow velocities, is equal to E/c^2 .

The energy and momentum are properties of matter, and it is impossible to deduce that they form a four-vector just from the two basic postulates of special relativity by themselves, because these don't talk about matter, they only talk about space and time. The derivation therefore requires some additional physical reasoning. In his 1905 paper, Einstein used the additional principles that Newtonian mechanics should hold for slow velocities, so that there is one energy scalar and one three-vector momentum at slow velocities, and that the conservation law for energy and momentum is exactly true in relativity. Furthermore, he assumed that the energy/momentum of light transforms like the energy/momentum of massless particles, which was known to be true from Maxwell's equations.^[19] The first of Einstein's papers on this subject was "Does the Inertia of a Body Depend upon its Energy Content?" in 1905.^[20] Although Einstein's argument in this paper is nearly universally accepted by physicists as correct, even self-evident, many authors over the years have suggested that it is wrong.^[21] Other authors suggest that the argument was merely inconclusive because it relied on some implicit assumptions.^[22]

Einstein acknowledged the controversy over his derivation in his 1907 survey paper on special relativity. There he notes that it is problematic to rely on Maxwell's equations for the heuristic mass–energy argument. The argument in his 1905 paper can be carried out with the emission of any massless particles, but the Maxwell equations are implicitly used to make it obvious that the emission of light in particular can be achieved only by doing work. To emit electromagnetic waves, all you have to do is shake a charged particle, and this is clearly doing work, so that the emission is of energy.^[23] [24]

Lack of an absolute reference frame

The principle of relativity, which states that there is no preferred inertial reference frame, dates back to Galileo, and was incorporated into Newtonian Physics. However, in the late 19th century, the existence of electromagnetic waves led physicists to suggest that the universe was filled with a substance known as "aether", which would act as the medium through which these waves, or vibrations travelled. The aether was thought to constitute an absolute reference frame against which speeds could be measured, and could be considered fixed and motionless. Aether supposedly had some wonderful properties: it was sufficiently elastic that it could support electromagnetic waves, and those waves could interact with matter, yet it offered no resistance to bodies passing through it. The results of various experiments, including the Michelson–Morley experiment, indicated that the Earth was always 'stationary' relative to the aether—something that was difficult to explain, since the Earth is in orbit around the Sun. Einstein's solution was to discard the notion of an aether and an absolute state of rest. Special relativity is formulated so as to not assume that any particular frame of reference is special; rather, in relativity, any reference frame moving with uniform motion will observe the same laws of physics. In particular, the speed of light in a vacuum is always measured to be c , even when measured by multiple systems that are moving at different (but constant) velocities.

Consequences

Einstein has said that all of the consequences of special relativity can be derived from examination of the Lorentz transformations.

These transformations, and hence special relativity, lead to different physical predictions than Newtonian mechanics when relative velocities become comparable to the speed of light. The speed of light is so much larger than anything humans encounter that some of the effects predicted by relativity are initially counter-intuitive:

- **Time dilation** – the time lapse between two events is not invariant from one observer to another, but is dependent on the relative speeds of the observers' reference frames (e.g., the twin paradox which concerns a twin who flies off in a spaceship traveling near the speed of light and returns to discover that his or her twin sibling has aged much more).
- **Relativity of simultaneity** – two events happening in two different locations that occur simultaneously in the reference frame of one inertial observer, may occur non-simultaneously in the reference frame of another inertial observer (lack of absolute simultaneity).
- **Lorentz contraction** – the dimensions (e.g., length) of an object as measured by one observer may be smaller than the results of measurements of the same object made by another observer (e.g., the ladder paradox involves a long ladder traveling near the speed of light and being contained within a smaller garage).
- **Composition of velocities** – velocities (and speeds) do not simply 'add', for example if a rocket is moving at $\frac{2}{3}$ the speed of light relative to an observer, and the rocket fires a missile at $\frac{2}{3}$ of the speed of light relative to the rocket, the missile does not exceed the speed of light relative to the observer. (In this example, the observer would see the missile travel with a speed of $\frac{12}{13}$ the speed of light.)
- **Thomas rotation** - the orientation of an object (i.e. the alignment of its axes with the observer's axes) may be different for different observers. Unlike other relativistic effects, this effect becomes quite significant at fairly low velocities as can be seen in the spin of moving particles.
- **Inertia and momentum** – as an object's speed approaches the speed of light from an observer's point of view, its mass appears to increase thereby making it more and more difficult to accelerate it from within the observer's frame of reference.
- **Equivalence of mass and energy, $E = mc^2$** – The energy content of an object at rest with mass m equals mc^2 . Conservation of energy implies that in any reaction a decrease of the sum of the masses of particles must be accompanied by an increase in kinetic energies of the particles after the reaction. Similarly, the mass of an object can be increased by taking in kinetic energies.

Reference frames, coordinates and the Lorentz transformation

Relativity theory depends on "reference frames". The term reference frame as used here is an observational perspective in space at rest, or in uniform motion, from which a position can be measured along 3 spatial axes. In addition, a reference frame has the ability to determine measurements of the time of events using a 'clock' (any reference device with uniform periodicity).

An event is an occurrence that can be assigned a single unique time and location in space relative to a reference frame: it is a "point" in space-time. Since the speed of light is constant in relativity in each and every reference frame, pulses of light can be used to unambiguously measure distances and refer back the times that events occurred to the clock, even though light takes time to reach the clock after the event has transpired.

For example, the explosion of a firecracker may be considered to be an "event". We can completely specify an event by its four space-time coordinates: The time of occurrence and its 3-dimensional spatial location define a reference point. Let's call this reference frame S .

In relativity theory we often want to calculate the position of a point from a different reference point.

Suppose we have a second reference frame S' , whose spatial axes and clock exactly coincide with that of S at time zero, but it is moving at a constant velocity v with respect to S along the x -axis.

Since there is no absolute reference frame in relativity theory, a concept of 'moving' doesn't strictly exist, as everything is always moving with respect to some other reference frame. Instead, any two frames that move at the same speed in the same direction are said to be *comoving*. Therefore S and S' are not *comoving*.

Let's define the event to have space-time coordinates (t, x, y, z) in system S and (t', x', y', z') in S' . Then the Lorentz transformation specifies that these coordinates are related in the following way:

$$\begin{aligned} t' &= \gamma(t - vx/c^2) \\ x' &= \gamma(x - vt) \\ y' &= y \\ z' &= z, \end{aligned}$$

where

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

is the Lorentz factor and c is the speed of light in a vacuum.

The y and z coordinates are unaffected; only the x and t axes transformed. These Lorentz transformations form a one-parameter group of linear mappings, that parameter being called rapidity.

A quantity invariant under Lorentz transformations is known as a Lorentz scalar.

The Lorentz transformation given above is for the particular case in which the velocity v of S' with respect to S is parallel to the x -axis. We now give the Lorentz transformation in the general case. Suppose the velocity of S' with respect to S is \mathbf{v} . Denote the space-time coordinates of an event in S by (t, \mathbf{r}) (instead of (t, x, y, z)). Then the

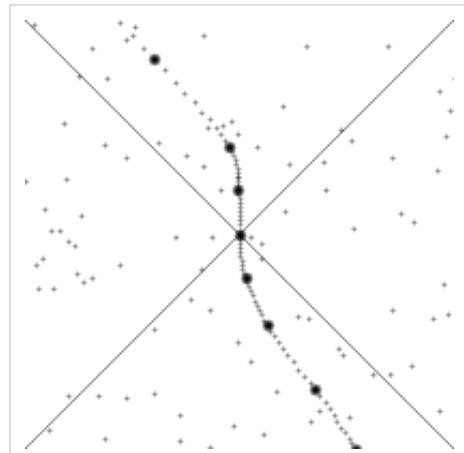


Diagram 1. Changing views of spacetime along the world line of a rapidly accelerating observer. In this animation, the vertical direction indicates time and the horizontal direction indicates distance, the dashed line is the spacetime trajectory ("world line") of the observer. The lower quarter of the diagram shows the events that are visible to the observer, and the upper quarter shows the light cone- those that will be able to see the observer. The small dots are arbitrary events in spacetime. The slope of the world line (deviation from being vertical) gives the relative velocity to the observer. Note how the view of spacetime changes when the observer accelerates.

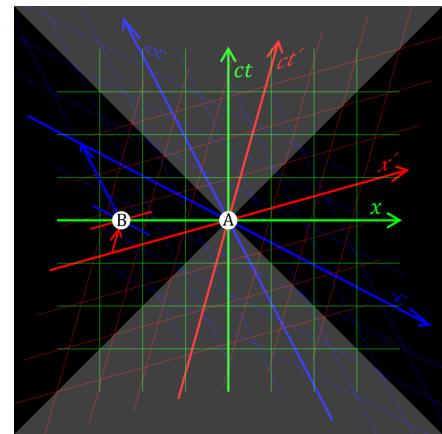
coordinates (t', \mathbf{r}') of this event in S' are given by:

$$\begin{pmatrix} t' \\ \mathbf{r}' \end{pmatrix} = \gamma(\mathbf{v}) \begin{pmatrix} 1 & -\mathbf{v}^T/c^2 \\ -\mathbf{v} & P_{\mathbf{v}} + \alpha_{\mathbf{v}}(I - P_{\mathbf{v}}) \end{pmatrix} \begin{pmatrix} t \\ \mathbf{r} \end{pmatrix},$$

where \mathbf{v}^T denotes the transpose of \mathbf{v} , $\alpha_{\mathbf{v}} = 1/\gamma(\mathbf{v})$, and $P(\mathbf{v})$ denotes the projection onto the direction of \mathbf{v} .

Simultaneity

From the first equation of the Lorentz transformation in terms of coordinate differences



Event B is simultaneous with A in the green reference frame, but it occurred before in the blue frame, and will occur later in the red frame.

$$\Delta t' = \gamma \left(\Delta t - \frac{v \Delta x}{c^2} \right)$$

it is clear that two events that are simultaneous in frame S (satisfying $\Delta t = 0$), are not necessarily simultaneous in another inertial frame S' (satisfying $\Delta t' = 0$). Only if these events are colocal in frame S (satisfying $\Delta x = 0$), will they be simultaneous in another frame S' .

Time dilation and length contraction

Writing the Lorentz transformation and its inverse in terms of coordinate differences, where for instance one event has coordinates (x_1, t_1) and (x'_1, t'_1) , another event has coordinates (x_2, t_2) and (x'_2, t'_2) , and the differences are defined as $\Delta x = x_2 - x_1$, $\Delta t = t_2 - t_1$, $\Delta x' = x'_2 - x'_1$, $\Delta t' = t'_2 - t'_1$, we get

$$\begin{cases} \Delta t' = \gamma \left(\Delta t - \frac{v \Delta x}{c^2} \right) \\ \Delta x' = \gamma(\Delta x - v \Delta t) \end{cases}$$

and

$$\begin{cases} \Delta t = \gamma \left(\Delta t' + \frac{v \Delta x'}{c^2} \right) \\ \Delta x = \gamma(\Delta x' + v \Delta t') \end{cases}$$

Suppose we have a clock at rest in the unprimed system S . Two different ticks of this clock occur at the same place in the unprimed system, i.e. $\Delta x = 0$. If we want to know the relation between the times between these ticks as measured in both systems, we can use the first equation and find:

$$\Delta t' = \gamma \Delta t \quad (\text{for events satisfying } \Delta x = 0)$$

This shows that the time $\Delta t'$ between the two ticks as seen in the frame S' is larger than the time Δt between these ticks as measured in the rest frame of the clock. This phenomenon is called time dilation: from the perspective of the S' -system, the clock at rest in the S -system is moving, and moving clocks run slow. Time dilation explains a number

of physical phenomena; for example, the decay rate of muons produced by cosmic rays impinging on the Earth's atmosphere.^[25]

Similarly, suppose we have a measuring rod at rest in the unprimed system. In this system, the length of this rod is written as Δx . If we want to find the length of this rod as measured in the system S', we must make sure to measure the distances x' to the end points of the rod simultaneously in the primed frame S'. In other words, the measurement is characterized by $\Delta t' = 0$, which we can combine with the fourth equation to find the relation between the lengths Δx and $\Delta x'$:

$$\Delta x' = \frac{\Delta x}{\gamma} \quad (\text{for events satisfying } \Delta t' = 0)$$

This shows that the length $\Delta x'$ of the rod as measured in the frame S' is shorter than the length Δx in its own rest frame. This phenomenon is called *length contraction* or *Lorentz contraction*: from the perspective of the S'-system, the rod at rest in the S-system is moving, and moving objects shorten along the direction of motion.

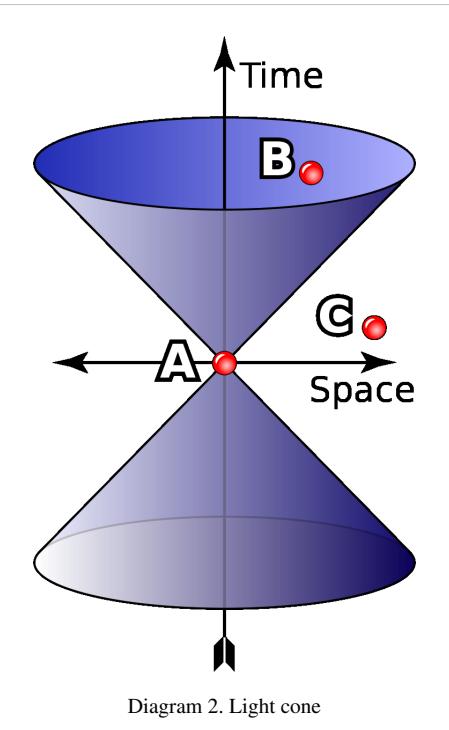
These effects are not merely appearances; they are explicitly related to our way of measuring *time intervals* between events which occur at the same place in a given coordinate system (called "co-local" events). These time intervals will be *different* in another coordinate system moving with respect to the first, unless the events are also simultaneous. Similarly, these effects also relate to our measured distances between separated but simultaneous events in a given coordinate system of choice. If these events are not co-local, but are separated by distance (space), they will *not* occur at the same *spatial distance* from each other when seen from another moving coordinate system. However, the space-time interval will be the same for all observers. The underlying reality remains the same. Only our perspective changes.

Causality and prohibition of motion faster than light

In diagram 2 the interval AB is 'time-like'; *i.e.*, there is a frame of reference in which events A and B occur at the same location in space, separated only by occurring at different times. If A precedes B in that frame, then A precedes B in all frames. It is hypothetically possible for matter (or information) to travel from A to B, so there can be a causal relationship (with A the cause and B the effect).

The interval AC in the diagram is 'space-like'; *i.e.*, there is a frame of reference in which events A and C occur simultaneously, separated only in space. However there are also frames in which A precedes C (as shown) and frames in which C precedes A. If it were possible for a cause-and-effect relationship to exist between events A and C, then paradoxes of causality would result. For example, if A was the cause, and C the effect, then there would be frames of reference in which the effect preceded the cause. Although this in itself won't give rise to a paradox, one can show^{[26] [27]} that faster than light signals can be sent back into one's own past. A causal paradox can then be constructed by sending the signal if and only if no signal was received previously.

Therefore, if causality is to be preserved, one of the consequences of special relativity is that no information signal or material object can travel faster than light in a vacuum. However, some things can still move faster than light. For example, the location where the beam of a search light hits the bottom of a cloud can move faster than light when the search light is turned rapidly.^[28]



Even without considerations of causality, there are other strong reasons why faster-than-light travel is forbidden by special relativity. For example, if a constant force is applied to an object for a limitless amount of time, then integrating $F = dp/dt$ gives a momentum that grows without bound, but this is simply because $p = m\gamma v$ approaches infinity as v approaches c . To an observer who is not accelerating, it appears as though the object's inertia is increasing, so as to produce a smaller acceleration in response to the same force. This behavior is in fact observed in particle accelerators.

Theoretical and experimental tunneling studies carried out by Günter Nimtz and Petrissa Eckle claimed that under special conditions signals may travel faster than light.^{[29] [30] [31] [32]} It was measured that fiber digital signals were traveling up to 5 times c and a zero-time tunneling electron carried the information that the atom is ionized, with photons, phonons and electrons spending zero time in the tunneling barrier. According to Nimtz and Eckle, in this superluminal process only the Einstein causality and the Special Relativity but not the primitive causality are violated: Superluminal propagation does not result in any kind of time travel.^{[33] [34]} Several scientists have, however, stated not only that Nimtz' interpretations were erroneous, but that the experiment actually provided a trivial experimental confirmation of the Special relativity theory.^{[35] [36] [37]}

Composition of velocities

If the observer in S sees an object moving along the x axis at velocity w , then the observer in the S' system, a frame of reference moving at velocity v in the x direction with respect to S , will see the object moving with velocity w' where

$$w' = \frac{w - v}{1 - wv/c^2}.$$

This equation can be derived from the space and time transformations above.

$$w' = \frac{dx'}{dt'} = \frac{\gamma(dx - vdt)}{\gamma(dt - vdx/c^2)} = \frac{(dx/dt) - v}{1 - (v/c^2)(dx/dt)}$$

Notice that if the object were moving at the speed of light in the S system (i.e. $w = c$), then it would also be moving at the speed of light in the S' system. Also, if both w and v are small with respect to the speed of light, we will recover the intuitive Galilean transformation of velocities: $w' \approx w - v$.

The usual example given is that of a train (call it system K) travelling due east with a velocity v with respect to the tracks (system K'). A child inside the train throws a baseball due east with a velocity u with respect to the train. In classical physics, an observer at rest on the tracks will measure the velocity of the baseball as $v + u$. In special relativity, this is no longer true. Instead, an observer on the tracks will measure the velocity of the baseball as $\frac{v + u}{1 + \frac{vu}{c^2}}$. If u and v are small compared to c , then the above expression approaches the classical sum $v + u$.

More generally, the baseball need not travel in the same direction as the train. To obtain the general formula for Einstein velocity addition, suppose an observer at rest in system K measures the velocity of an object as \mathbf{u} . Let K' be an inertial system such that the relative velocity of K to K' is \mathbf{v} , where \mathbf{u} and \mathbf{v} are now vectors in \mathbb{R}^3 . An observer at rest in K' will then measure the velocity of the object as^[16]

$$\mathbf{v} \oplus_E \mathbf{u} = \frac{\mathbf{v} + \mathbf{u}_{\parallel} + \alpha_{\mathbf{v}} \mathbf{u}_{\perp}}{1 + \frac{\mathbf{v} \cdot \mathbf{u}}{c^2}},$$

where \mathbf{u}_{\parallel} and \mathbf{u}_{\perp} are the components of \mathbf{u} parallel and perpendicular, respectively, to \mathbf{v} , and $\alpha_{\mathbf{v}} = \frac{1}{\gamma(\mathbf{v})} = \sqrt{1 - \frac{|\mathbf{v}|^2}{c^2}}$.

Einstein's addition of colinear velocities is consistent with the Fizeau experiment which determined the speed of light in a fluid moving parallel to the light, but no experiment has ever tested the formula for the general case of non-parallel velocities.

Relativistic mechanics

In addition to modifying notions of space and time, special relativity forces one to reconsider the concepts of mass, momentum, and energy, all of which are important constructs in Newtonian mechanics. Special relativity shows, in fact, that these concepts are all different aspects of the same physical quantity in much the same way that it shows space and time to be interrelated.

There are a couple of (equivalent) ways to define momentum and energy in SR. One method uses conservation laws. If these laws are to remain valid in SR they must be true in every possible reference frame. However, if one does some simple thought experiments using the Newtonian definitions of momentum and energy, one sees that these quantities are not conserved in SR. One can rescue the idea of conservation by making some small modifications to the definitions to account for relativistic velocities. It is these new definitions which are taken as the correct ones for momentum and energy in SR.

The energy and momentum of an object with invariant mass m (also called *rest mass* in the case of a single particle), moving with velocity \mathbf{v} with respect to a given frame of reference, are given by

$$E = \gamma mc^2$$

$$\mathbf{p} = \gamma m\mathbf{v}$$

respectively, where γ (the Lorentz factor) is given by

$$\gamma = \frac{1}{\sqrt{1 - (v/c)^2}}.$$

The quantity γm is often called the *relativistic mass* of the object in the given frame of reference,^[38] although recently this concept is falling into disuse, and Lev B. Okun suggested that "this terminology [...] has no rational justification today", and should no longer be taught.^[39] Other physicists, including Wolfgang Rindler and T. R. Sandin, have argued that relativistic mass is a useful concept and there is little reason to stop using it.^[40] See Mass in special relativity for more information on this debate. Some authors use the symbol m to refer to relativistic mass, and the symbol m_0 to refer to rest mass.^[41]

The energy and momentum of an object with invariant mass m are related by the formulas

$$E^2 - (pc)^2 = (mc^2)^2$$

$$\mathbf{p}c^2 = E\mathbf{v}.$$

The first is referred to as the *relativistic energy-momentum equation*. While the energy E and the momentum \mathbf{p} depend on the frame of reference in which they are measured, the quantity $E^2 - (pc)^2$ is invariant, being equal to the squared invariant mass of the object (up to the multiplicative constant c^4).

It should be noted that the invariant mass of a system

$$m_{\text{tot}} = \frac{\sqrt{E_{\text{tot}}^2 - (p_{\text{tot}}c)^2}}{c^2}$$

is *greater* than the sum of the rest masses of the particles it is composed of (unless they are all stationary with respect to the center of mass of the system, and hence to each other). The sum of rest masses is not even always conserved in closed systems, since rest mass may be converted to particles which individually have no mass, such as photons. Invariant mass, however, is conserved and invariant for all observers, so long as the system remains closed. This is because even massless particles contribute invariant mass to systems, as also does the kinetic energy of particles. Thus, even under transformations of rest mass to photons or kinetic energy, the invariant mass of a system which contains these energies still reflects the invariant mass associated with them.

Mass–energy equivalence

For massless particles, m is zero. The relativistic energy-momentum equation still holds, however, and by substituting m with 0, the relation $E = pc$ is obtained; when substituted into $Ev = c^2p$, it gives $v = c$: massless particles (such as photons) always travel at the speed of light.

A particle which has no rest mass (for example, a photon) can nevertheless contribute to the total invariant mass of a system, since some or all of its momentum is cancelled by another particle, causing a contribution to the system's invariant mass due to the photon's energy. For single photons this does not happen, since the energy and momentum terms exactly cancel.

Looking at the above formula for invariant mass of a system, one sees that, when a single massive object is at rest ($\mathbf{v} = 0$, $\mathbf{p} = 0$), there is a non-zero mass remaining: $m_{\text{rest}} = E/c^2$. The corresponding energy, which is also the total energy when a single particle is at rest, is referred to as "rest energy". In systems of particles which are seen from a moving inertial frame, total energy increases and so does momentum. However, for single particles the rest mass remains constant, and for systems of particles the invariant mass remain constant, because in both cases, the energy and momentum increases subtract from each other, and cancel. Thus, the invariant mass of systems of particles is a calculated constant for all observers, as is the rest mass of single particles.

The mass of systems and conservation of invariant mass

For systems of particles, the energy-momentum equation requires summing the momentum vectors of the particles:

$$E^2 - |\vec{p}|^2 c^2 = m^2 c^4$$

The inertial frame in which the momenta of all particles sums to zero is called the center of momentum frame. In this special frame, the relativistic energy-momentum equation has $\vec{p} = 0$, and thus gives the invariant mass of the system as merely the total energy of all parts of the system, divided by c^2

$$m = \sum E/c^2$$

This is the invariant mass of any system which is measured in a frame where it has zero total momentum, such as a bottle of hot gas on a scale. In such a system, the mass which the scale weighs is the invariant mass, and it depends on the total energy of the system. It is thus more than the sum of the rest masses of the molecules, but also includes all the totaled energies in the system as well. Like energy and momentum, the invariant mass of closed systems cannot be changed so long as the system is closed (no mass or energy allowed in or out), because the total relativistic energy of the system remains constant so long as nothing can enter or leave it.

An increase in the energy of such a system which is caused by translating the system to an inertial frame which is not the center of momentum frame, causes an increase in energy and momentum without an increase in invariant mass. $E = mc^2$, however, applies only to closed systems in their center-of-momentum frame where momentum sums to zero.

Taking this formula at face value, we see that in relativity, *mass is simply another form of energy*. In 1927 Einstein remarked about special relativity, "Under this theory mass is not an unalterable magnitude, but a magnitude dependent on (and, indeed, identical with) the amount of energy."^[42]

Einstein was not referring to closed (isolated) systems in this remark, however. For, even in his 1905 paper, which first derived the relationship between mass and energy, Einstein showed that the energy of an object had to be increased for its invariant mass (rest mass) to increase. In such cases, the system is not closed (in Einstein's thought experiment, for example, a mass gives off two photons, which are lost).

Closed (isolated) systems

In a closed system (i.e., in the sense of a totally isolated system) the total energy, the total momentum, and hence the total invariant mass are conserved. Einstein's formula for change in mass translates to its simplest $\Delta E = \Delta mc^2$ form, however, only in non-closed systems in which energy is allowed to escape (for example, as heat and light), and thus invariant mass is reduced. Einstein's equation shows that such systems must lose mass, in accordance with the above formula, in proportion to the energy they lose to the surroundings. Conversely, if one can measure the differences in mass between a system before it undergoes a reaction which releases heat and light, and the system after the reaction when heat and light have escaped, one can estimate the amount of energy which escapes the system. In both nuclear and chemical reactions, such energy represents the difference in binding energies of electrons in atoms (for chemistry) or between nucleons in nuclei (in atomic reactions). In both cases, the mass difference between reactants and (cooled) products measures the mass of heat and light which will escape the reaction, and thus (using the equation) give the equivalent energy of heat and light which may be emitted if the reaction proceeds.

In chemistry, the mass differences associated with the emitted energy are around one-billionth of the molecular mass.^[43] However, in nuclear reactions the energies are so large that they are associated with mass differences, which can be estimated in advance, if the products and reactants have been weighed (atoms can be weighed indirectly by using atomic masses, which are always the same for each nuclide). Thus, Einstein's formula becomes important when one has measured the masses of different atomic nuclei. By looking at the difference in masses, one can predict which nuclei have stored energy that can be released by certain nuclear reactions, providing important information which was useful in the development of nuclear energy and, consequently, the nuclear bomb. Historically, for example, Lise Meitner was able to use the mass differences in nuclei to estimate that there was enough energy available to make nuclear fission a favorable process. The implications of this special form of Einstein's formula have thus made it one of the most famous equations in all of science.

Because the $E = mc^2$ equation applies only to isolated systems in their center of momentum frame, it has been popularly misunderstood to mean that mass may be *converted* to energy, after which the *mass* disappears. However, popular explanations of the equation as applied to systems include open systems for which heat and light are allowed to escape, when they otherwise would have contributed to the mass (invariant mass) of the system.

Historically, confusion about mass being "converted" to energy has been aided by confusion between mass and "matter", where matter is defined as fermion particles. In such a definition, electromagnetic radiation and kinetic energy (or heat) are not considered "matter." In some situations, matter may indeed be converted to non-matter forms of energy (see above), but in all these situations, the matter and non-matter forms of energy still retain their original mass.

For closed/isolated systems, mass never disappears in the center of momentum frame, because energy cannot disappear. Instead, this equation, in context, means only that when any energy is added to, or escapes from, a system in the center-of-momentum frame, the system will be measured as having gained or lost mass, in proportion to energy added or removed. Thus, in theory, if an atomic bomb were placed in a box strong enough to hold its blast, and detonated upon a scale, the mass of this closed system would not change, and the scale would not move. Only when a transparent "window" was opened in the super-strong plasma-filled box, and light and heat were allowed to escape in a beam, and the bomb components to cool, would the system lose the mass associated with the energy of the blast. In a 21 kiloton bomb, for example, about a gram of light and heat is created. If this heat and light were allowed to escape, the remains of the bomb would lose a gram of mass, as it cooled. In this thought-experiment, the light and heat carry away the gram of mass, and would therefore deposit this gram of mass in the objects that absorb them.^[44]

Force

In special relativity, Newton's second law does not hold in its form $\mathbf{F} = m\mathbf{a}$, but it does if it is expressed as

$$\mathbf{F} = \frac{d\mathbf{p}}{dt}$$

where \mathbf{p} is the momentum as defined above ($\mathbf{p} = \gamma m\mathbf{v}$) and "m" is the invariant mass. Thus, the force is given by

$$\mathbf{F} = m \frac{d(\gamma \mathbf{v})}{dt} = m \left(\frac{d\gamma}{dt} \mathbf{v} + \gamma \frac{d\mathbf{v}}{dt} \right).$$

Carrying out the derivatives gives

$$\mathbf{F} = \frac{\gamma^3 m v}{c^2} \frac{dv}{dt} \mathbf{v} + \gamma m \mathbf{a}$$

which, taking into account the identity $v \frac{dv}{dt} = \mathbf{v} \cdot \mathbf{a}$, can also be expressed as

$$\mathbf{F} = \frac{\gamma^3 m (\mathbf{v} \cdot \mathbf{a})}{c^2} \mathbf{v} + \gamma m \mathbf{a}.$$

If the acceleration is separated into the part parallel to the velocity and the part perpendicular to it, one gets

$$\begin{aligned} \mathbf{F} &= \frac{\gamma^3 m v^2}{c^2} \mathbf{a}_{\parallel} + \gamma m (\mathbf{a}_{\parallel} + \mathbf{a}_{\perp}) \\ &= \gamma^3 m \left(\frac{v^2}{c^2} + \frac{1}{\gamma^2} \right) \mathbf{a}_{\parallel} + \gamma m \mathbf{a}_{\perp} \\ &= \gamma^3 m \left(\frac{v^2}{c^2} + 1 - \frac{v^2}{c^2} \right) \mathbf{a}_{\parallel} + \gamma m \mathbf{a}_{\perp} \\ &= \gamma^3 m \mathbf{a}_{\parallel} + \gamma m \mathbf{a}_{\perp}. \end{aligned}$$

Consequently in some old texts, $\gamma^3 m$ is referred to as the *longitudinal mass*, and γm is referred to as the *transverse mass*, which is the same as the relativistic mass. See mass in special relativity.

For the four-force, see below.

Kinetic energy

The *Work-energy Theorem* says^[45] the change in kinetic energy is equal to the work done on the body, that is

$$\begin{aligned}
 \Delta K = W &= \int_{\mathbf{r}_0}^{\mathbf{r}_1} \mathbf{F} \cdot d\mathbf{r} \\
 &= \int_{t_0}^{t_1} \frac{d}{dt}(\gamma m \mathbf{v}) \cdot \mathbf{v} dt \\
 &= \gamma m \mathbf{v} \cdot \mathbf{v} \Big|_{t_0}^{t_1} - \int_{t_0}^{t_1} \gamma m \mathbf{v} \cdot \frac{d\mathbf{v}}{dt} dt \\
 &= \gamma m v^2 \Big|_{t_0}^{t_1} - m \int_{v_0}^{v_1} \gamma v dv \\
 &= m \left(\gamma v^2 \Big|_{t_0}^{t_1} - c^2 \int_{v_0}^{v_1} \frac{2v/c^2}{2\sqrt{1-v^2/c^2}} dv \right) \\
 &= m \left(\frac{v^2}{\sqrt{1-v^2/c^2}} + c^2 \sqrt{1-v^2/c^2} \right) \Big|_{t_0}^{t_1} \\
 &= \frac{mc^2}{\sqrt{1-v^2/c^2}} \Big|_{t_0}^{t_1} \\
 &= \gamma m c^2 \Big|_{t_0}^{t_1} \\
 &= \gamma_1 m c^2 - \gamma_0 m c^2.
 \end{aligned}$$

If in the initial state the body was at rest ($\gamma_0 = 1$) and in the final state it has speed v ($\gamma_1 = \gamma$), the kinetic energy is $K = (\gamma - 1)mc^2$, a result that can be directly obtained by subtracting the rest energy mc^2 from the total relativistic energy γmc^2 .

A useful application: motion in cyclotrons

The application of the above in cyclotrons is immediate:^{[46] [47] [48] [49] [50] [51] [52] [53] [54] [55] [56]}

$$\frac{dW}{dt} = mc^2 \frac{d\gamma}{dt}$$

In the presence of a magnetic field only, the Lorentz force is:

$$\mathbf{F} = q\mathbf{v} \times \mathbf{B}$$

Since:

$$\frac{dW}{dt} = \mathbf{F} \cdot \mathbf{v} = 0$$

it follows that:

$$\frac{d\gamma}{dt} = 0$$

meaning that γ is constant, and so is v . This is instrumental in solving the equation of motion for a charge particle of charge q in a magnetic field of induction \mathbf{B} as follows:

$$\mathbf{F} = \frac{d\gamma m_0 \mathbf{v}}{dt} = \gamma m_0 \frac{d\mathbf{v}}{dt}$$

On the other hand:

$$\mathbf{F} = q\mathbf{v} \times \mathbf{B}$$

Thus:

$$\gamma m_0 \frac{d\mathbf{v}}{dt} = q\mathbf{v} \times \mathbf{B}$$

Separating by components, we obtain:

$$qBv_y = \gamma m_0 \frac{dv_x}{dt}$$

$$-qBv_x = \gamma m_0 \frac{dv_y}{dt}$$

$$0 = \gamma m_0 \frac{dv_z}{dt}$$

The solutions are:

$$v_x = r\omega \cos(\omega t)$$

$$v_y = -r\omega \sin(\omega t)$$

$$\omega = \frac{qB}{\gamma(v_0)m_0}$$

By integrating one more time with respect to t the differential equations above we obtain the equations of motion: a circle of radius $r = \frac{\gamma(v_0)m_0 v_0}{qB}$ in the plane $z=\text{constant}$, where v_0 is the initial speed of the particle entering the cyclotron.

Notice that this calculation ignores the Abraham-Lorentz force which is the reaction to the emission of electromagnetic radiation by the particle. If the speed is held constant by applying an electric field, then the magnitude of the acceleration is constant, $a = \frac{v_0^2}{r}$, but its direction keeps changing in a cyclotron. The jerk is proportional with the second time derivative of speed:

$$\frac{d^2v_x}{dt^2} = -r\omega^3 \cos(\omega t)$$

$$\frac{d^2v_y}{dt^2} = r\omega^3 \sin(\omega t)$$

Because the jerk is directed opposite to the velocity, the Abraham-Lorentz force tends to slow the particle down. Note that the Abraham-Lorentz force is much smaller than the Lorentz force:

$$\mathbf{F}_{\text{rad}} = \frac{\mu_0 q^2}{6\pi c} \dot{\mathbf{a}} = -\frac{\mu_0 q^4 B^2}{6\pi c \gamma^2 m_0^2} \mathbf{v}$$

$$\frac{F_{\text{rad}}}{F_{\text{Lorentz}}} = \frac{\mu_0 q^3 B}{6\pi c \gamma^2 m_0^2} \approx \frac{1.1 \times 10^{-12}}{\gamma^2} \frac{B}{1 \text{ T}}$$

so, it can be ignored in most computations.

Classical limit

Notice that γ can be expanded into a Taylor series or binomial series for $\frac{v^2}{c^2} < 1$, obtaining:

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \sum_{n=0}^{\infty} \prod_{k=1}^n \frac{(2k-1)}{2k} \frac{v^2}{c^2} = 1 + \frac{1}{2} \frac{v^2}{c^2} + \frac{3}{8} \frac{v^4}{c^4} + \frac{5}{16} \frac{v^6}{c^6} + \dots$$

and consequently

$$E - mc^2 = \frac{1}{2} mv^2 + \frac{3}{8} \frac{mv^4}{c^2} + \frac{5}{16} \frac{mv^6}{c^4} + \dots;$$

$$\mathbf{p} = mv + \frac{1}{2} \frac{mv^2 \mathbf{v}}{c^2} + \frac{3}{8} \frac{mv^4 \mathbf{v}}{c^4} + \frac{5}{16} \frac{mv^6 \mathbf{v}}{c^6} + \dots$$

For velocities much smaller than that of light, one can neglect the terms with c^2 and higher in the denominator. These formulas then reduce to the standard definitions of Newtonian kinetic energy and momentum. This is as it should be, for special relativity must agree with Newtonian mechanics at low velocities.

The geometry of space-time

SR uses a 'flat' 4-dimensional Minkowski space, which is an example of a space-time. This space, however, is very similar to the standard 3 dimensional Euclidean space.

The differential of distance (ds) in cartesian 3D space is defined as:

$$ds^2 = dx_1^2 + dx_2^2 + dx_3^2$$

where (dx_1, dx_2, dx_3) are the differentials of the three spatial dimensions. In the geometry of special relativity, a fourth dimension is added, derived from time, so that the equation for the differential of distance becomes:

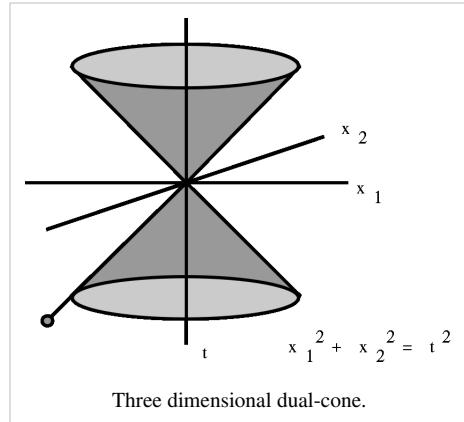
$$ds^2 = dx_1^2 + dx_2^2 + dx_3^2 - c^2 dt^2.$$

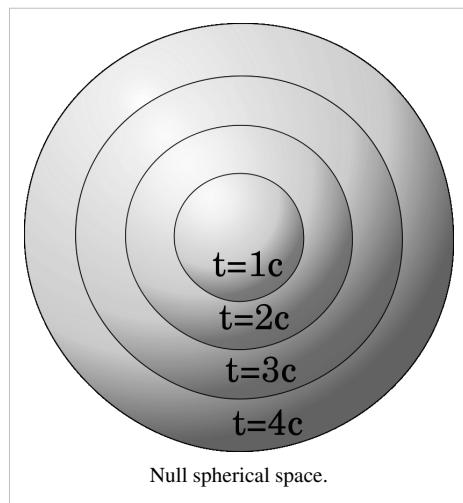
If we wished to make the time coordinate look like the space coordinates, we could treat time as imaginary: $x_4 = ict$. In this case the above equation becomes symmetric:

$$ds^2 = dx_1^2 + dx_2^2 + dx_3^2 + dx_4^2.$$

This suggests what is in fact a profound theoretical insight as it shows that special relativity is simply a rotational symmetry of our space-time, very similar to rotational symmetry of Euclidean space. Just as Euclidean space uses a Euclidean metric, so space-time uses a Minkowski metric. Basically, SR can be stated in terms of the invariance of **space-time interval** (between any two events) as seen from any inertial reference frame. All equations and effects of special relativity can be derived from this rotational symmetry (the Poincaré group) of Minkowski space-time. According to Misner (1971 §2.3), ultimately the deeper understanding of both special and general relativity will come from the study of the Minkowski metric (described below) rather than a "disguised" Euclidean metric using ict as the time coordinate.

If we reduce the spatial dimensions to 2, so that we can represent the physics in a 3-D space





$$ds^2 = dx_1^2 + dx_2^2 - c^2 dt^2,$$

we see that the null geodesics lie along a dual-cone:

defined by the equation

$$ds^2 = 0 = dx_1^2 + dx_2^2 - c^2 dt^2$$

or simply

$$dx_1^2 + dx_2^2 = c^2 dt^2,$$

which is the equation of a circle of radius $c dt$. If we extend this to three spatial dimensions, the null geodesics are the 4-dimensional cone:

$$ds^2 = 0 = dx_1^2 + dx_2^2 + dx_3^2 - c^2 dt^2$$

$$dx_1^2 + dx_2^2 + dx_3^2 = c^2 dt^2.$$

This null dual-cone represents the "line of sight" of a point in space. That is, when we look at the stars and say "The light from that star which I am receiving is X years old", we are looking down this line of sight: a null geodesic. We are looking at an event a distance $d = \sqrt{x_1^2 + x_2^2 + x_3^2}$ away and a time d/c in the past. For this reason the null dual cone is also known as the 'light cone'. (The point in the lower left of the picture below represents the star, the origin represents the observer, and the line represents the null geodesic "line of sight".)

The cone in the $-t$ region is the information that the point is 'receiving', while the cone in the $+t$ section is the information that the point is 'sending'.

The geometry of Minkowski space can be depicted using Minkowski diagrams, which are useful also in understanding many of the thought-experiments in special relativity.

Physics in spacetime

Here, we see how to write the equations of special relativity in a manifestly Lorentz covariant form. The position of an event in spacetime is given by a contravariant four vector whose components are:

$$x^\nu = (ct, x, y, z)$$

where $x^1 = x$ and $x^2 = y$ and $x^3 = z$ as usual. We define $x^0 = ct$ so that the time coordinate has the same dimension of distance as the other spatial dimensions; in accordance with the general principle that space and time are treated equally, so far as possible.^{[57] [58] [59]} Superscripts are contravariant indices in this section rather than exponents except when they indicate a square. Subscripts are covariant indices which also range from zero to three as with the spacetime gradient of a field φ :

$$\partial_0 \phi = \frac{1}{c} \frac{\partial \phi}{\partial t}, \quad \partial_1 \phi = \frac{\partial \phi}{\partial x}, \quad \partial_2 \phi = \frac{\partial \phi}{\partial y}, \quad \partial_3 \phi = \frac{\partial \phi}{\partial z}.$$

Metric and transformations of coordinates

Having recognised the four-dimensional nature of spacetime, we are driven to employ the Minkowski metric, η , given in components (valid in any inertial reference frame) as:

$$\eta_{\alpha\beta} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

which is equal to its reciprocal, $\eta^{\alpha\beta}$, in those frames.

Then we recognize that coordinate transformations between inertial reference frames are given by the Lorentz transformation tensor Λ . For the special case of motion along the x -axis, we have:

$$\Lambda^{\mu'}{}_\nu = \begin{pmatrix} \gamma & -\beta\gamma & 0 & 0 \\ -\beta\gamma & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

which is simply the matrix of a boost (like a rotation) between the x and ct coordinates. Where μ' indicates the row and ν indicates the column. Also, β and γ are defined as:

$$\beta = \frac{v}{c}, \quad \gamma = \frac{1}{\sqrt{1 - \beta^2}}.$$

More generally, a transformation from one inertial frame (ignoring translations for simplicity) to another must satisfy:

$$\eta_{\alpha\beta} = \eta_{\mu'\nu'} \Lambda^{\mu'}{}_\alpha \Lambda^{\nu'}{}_\beta$$

where there is an implied summation of μ' and ν' from 0 to 3 on the right-hand side in accordance with the Einstein summation convention. The Poincaré group is the most general group of transformations which preserves the Minkowski metric and this is the physical symmetry underlying special relativity.

All proper physical quantities are given by tensors. So to transform from one frame to another, we use the well-known tensor transformation law

$$T^{[i'_1, i'_2, \dots, i'_p]}_{[j'_1, j'_2, \dots, j'_q]} = \Lambda^{i'_1}_{i_1} \Lambda^{i'_2}_{i_2} \cdots \Lambda^{i'_p}_{i_p} \Lambda^{j'_1}_{j_1} \Lambda^{j'_2}_{j_2} \cdots \Lambda^{j'_q}_{j_q} T^{[i_1, i_2, \dots, i_p]}_{[j_1, j_2, \dots, j_q]}$$

Where $\Lambda^{j'_k}_{j_k}$ is the reciprocal matrix of $\Lambda^{i'_k}_{i_k}$.

To see how this is useful, we transform the position of an event from an unprimed coordinate system S to a primed system S' , we calculate

$$\begin{pmatrix} ct' \\ x' \\ y' \\ z' \end{pmatrix} = x^\mu = \Lambda^{\mu'}{}_\nu x^\nu = \begin{pmatrix} \gamma & -\beta\gamma & 0 & 0 \\ -\beta\gamma & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} ct \\ x \\ y \\ z \end{pmatrix} = \begin{pmatrix} \gamma ct - \gamma\beta x \\ \gamma x - \beta\gamma ct \\ y \\ z \end{pmatrix}$$

which is the Lorentz transformation given above. All tensors transform by the same rule.

The squared length of the differential of the position four-vector dx^μ constructed using

$$d\mathbf{x}^2 = \eta_{\mu\nu} dx^\mu dx^\nu = -(c \cdot dt)^2 + (dx)^2 + (dy)^2 + (dz)^2$$

is an invariant. Being invariant means that it takes the same value in all inertial frames, because it is a scalar (0 rank tensor), and so no Λ appears in its trivial transformation. Notice that when the line element $d\mathbf{x}^2$ is negative that

$d\tau = \sqrt{-d\mathbf{x}^2}/c$ is the differential of proper time, while when $d\mathbf{x}^2$ is positive, $\sqrt{d\mathbf{x}^2}$ is differential of the proper distance. The primary value of expressing the equations of physics in a tensor form is that they are then manifestly invariant under the Poincaré group, so that we do not have to do a special and tedious calculation to check that fact. Also in constructing such equations we often find that equations previously thought to be unrelated are, in fact, closely connected being part of the same tensor equation.

Velocity and acceleration in 4D

Recognising other physical quantities as tensors also simplifies their transformation laws. First note that the velocity four-vector U^μ is given by

$$U^\mu = \frac{dx^\mu}{d\tau} = \begin{pmatrix} \gamma c \\ \gamma v_x \\ \gamma v_y \\ \gamma v_z \end{pmatrix}$$

Recognising this, we can turn the awkward looking law about composition of velocities into a simple statement about transforming the velocity four-vector of one particle from one frame to another. U^μ also has an invariant form:

$$\mathbf{U}^2 = \eta_{\mu\nu} U^\nu U^\mu = -c^2.$$

So all velocity four-vectors have a magnitude of c . This is an expression of the fact that there is no such thing as being at coordinate rest in relativity: at the least, you are always moving forward through time. The acceleration 4-vector is given by $A^\mu = d\mathbf{U}^\mu/d\tau$. Given this, differentiating the above equation by τ produces

$$2\eta_{\mu\nu} A^\mu U^\nu = 0.$$

So in relativity, the acceleration four-vector and the velocity four-vector are orthogonal.

Momentum in 4D

The momentum and energy combine into a covariant 4-vector:

$$p_\nu = m \eta_{\nu\mu} U^\mu = \begin{pmatrix} -E/c \\ p_x \\ p_y \\ p_z \end{pmatrix}.$$

where m is the invariant mass.

The invariant magnitude of the momentum 4-vector is:

$$\mathbf{p}^2 = \eta^{\mu\nu} p_\mu p_\nu = -(E/c)^2 + \mathbf{p}^2.$$

We can work out what this invariant is by first arguing that, since it is a scalar, it doesn't matter which reference frame we calculate it, and then by transforming to a frame where the total momentum is zero.

$$\mathbf{p}^2 = -(E_{rest}/c)^2 = -(m \cdot c)^2.$$

We see that the rest energy is an independent invariant. A rest energy can be calculated even for particles and systems in motion, by translating to a frame in which momentum is zero.

The rest energy is related to the mass according to the celebrated equation discussed above:

$$E_{rest} = mc^2$$

Note that the mass of systems measured in their center of momentum frame (where total momentum is zero) is given by the total energy of the system in this frame. It may not be equal to the sum of individual system masses measured in other frames.

Force in 4D

To use Newton's third law of motion, both forces must be defined as the rate of change of momentum with respect to the same time coordinate. That is, it requires the 3D force defined above. Unfortunately, there is no tensor in 4D which contains the components of the 3D force vector among its components.

If a particle is not traveling at c , one can transform the 3D force from the particle's co-moving reference frame into the observer's reference frame. This yields a 4-vector called the four-force. It is the rate of change of the above energy momentum four-vector with respect to proper time. The covariant version of the four-force is:

$$F_\nu = \frac{dp_\nu}{d\tau} = \begin{pmatrix} -d(E/c)/d\tau \\ dp_x/d\tau \\ dp_y/d\tau \\ dp_z/d\tau \end{pmatrix}$$

where τ is the proper time.

In the rest frame of the object, the time component of the four force is zero unless the "invariant mass" of the object is changing (this requires a non-closed system in which energy/mass is being directly added or removed from the object) in which case it is the negative of that rate of change of mass, times c . In general, though, the components of the four force are not equal to the components of the three-force, because the three force is defined by the rate of change of momentum with respect to coordinate time, i.e. $\frac{dp}{dt}$ while the four force is defined by the rate of change of momentum with respect to proper time, i.e. $\frac{dp}{d\tau}$.

In a continuous medium, the 3D *density of force* combines with the *density of power* to form a covariant 4-vector. The spatial part is the result of dividing the force on a small cell (in 3-space) by the volume of that cell. The time component is $-1/c$ times the power transferred to that cell divided by the volume of the cell. This will be used below in the section on electromagnetism.

Relativity and unifying electromagnetism

Theoretical investigation in classical electromagnetism led to the discovery of wave propagation. Equations generalizing the electromagnetic effects found that finite propagation-speed of the E and B fields required certain behaviors on charged particles. The general study of moving charges forms the Liénard–Wiechert potential, which is a step towards special relativity.

The Lorentz transformation of the electric field of a moving charge into a non-moving observer's reference frame results in the appearance of a mathematical term commonly called the magnetic field. Conversely, the *magnetic* field generated by a moving charge disappears and becomes a purely *electrostatic* field in a comoving frame of reference. Maxwell's equations are thus simply an empirical fit to special relativistic effects in a classical model of the Universe. As electric and magnetic fields are reference frame dependent and thus intertwined, one speaks of *electromagnetic* fields. Special relativity provides the transformation rules for how an electromagnetic field in one inertial frame appears in another inertial frame.

Electromagnetism in 4D

Maxwell's equations in the 3D form are already consistent with the physical content of special relativity. But we must rewrite them to make them manifestly invariant.^[60]

The charge density ρ and current density $[J_x, J_y, J_z]$ are unified into the current-charge 4-vector:

$$J^\mu = \begin{pmatrix} \rho c \\ J_x \\ J_y \\ J_z \end{pmatrix}.$$

The law of charge conservation, $\frac{\partial \rho}{\partial t} + \nabla \cdot \mathbf{J} = 0$, becomes:

$$\partial_\mu J^\mu = 0.$$

The electric field $[E_x, E_y, E_z]$ and the magnetic induction $[B_x, B_y, B_z]$ are now unified into the (rank 2 antisymmetric covariant) electromagnetic field tensor:

$$F_{\mu\nu} = \begin{pmatrix} 0 & -E_x/c & -E_y/c & -E_z/c \\ E_x/c & 0 & B_z & -B_y \\ E_y/c & -B_z & 0 & B_x \\ E_z/c & B_y & -B_x & 0 \end{pmatrix}.$$

The density, f_μ , of the Lorentz force, $\mathbf{f} = \rho \mathbf{E} + \mathbf{J} \times \mathbf{B}$, exerted on matter by the electromagnetic field becomes:

$$f_\mu = F_{\mu\nu} J^\nu.$$

Faraday's law of induction, $\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$, and Gauss's law for magnetism, $\nabla \cdot \mathbf{B} = 0$, combine to form:

$$\partial_\lambda F_{\mu\nu} + \partial_\mu F_{\nu\lambda} + \partial_\nu F_{\lambda\mu} = 0.$$

Although there appear to be 64 equations here, it actually reduces to just four independent equations. Using the antisymmetry of the electromagnetic field one can either reduce to an identity (0=0) or render redundant all the equations except for those with $\lambda, \mu, \nu =$ either 1,2,3 or 2,3,0 or 3,0,1 or 0,1,2.

The electric displacement $[D_x, D_y, D_z]$ and the magnetic field $[H_x, H_y, H_z]$ are now unified into the (rank 2 antisymmetric contravariant) electromagnetic displacement tensor:

$$\mathcal{D}^{\mu\nu} = \begin{pmatrix} 0 & D_x c & D_y c & D_z c \\ -D_x c & 0 & H_z & -H_y \\ -D_y c & -H_z & 0 & H_x \\ -D_z c & H_y & -H_x & 0 \end{pmatrix}.$$

Ampère's law, $\nabla \times \mathbf{H} = \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t}$, and Gauss's law, $\nabla \cdot \mathbf{D} = \rho$, combine to form:

$$\partial_\nu \mathcal{D}^{\mu\nu} = J^\mu.$$

In a vacuum, the constitutive equations are:

$$\mu_0 \mathcal{D}^{\mu\nu} = \eta^{\mu\alpha} F_{\alpha\beta} \eta^{\beta\nu}.$$

Antisymmetry reduces these 16 equations to just six independent equations. Because it is usual to define $F^{\mu\nu}$ by

$$F^{\mu\nu} = \eta^{\mu\alpha} F_{\alpha\beta} \eta^{\beta\nu}$$

the constitutive equations may, in a *vacuum*, be combined with Ampère's law etc. to get:

$$\partial_\beta F^{\alpha\beta} = \mu_0 J^\alpha.$$

The energy density of the electromagnetic field combines with Poynting vector and the Maxwell stress tensor to form the 4D electromagnetic stress-energy tensor. It is the flux (density) of the momentum 4-vector and as a rank 2

mixed tensor it is:

$$T_{\alpha}^{\pi} = F_{\alpha\beta}D^{\pi\beta} - \frac{1}{4}\delta_{\alpha}^{\pi}F_{\mu\nu}D^{\mu\nu}$$

where δ_{α}^{π} is the Kronecker delta. When upper index is lowered with η , it becomes symmetric and is part of the source of the gravitational field.

The conservation of linear momentum and energy by the electromagnetic field is expressed by:

$$f_{\mu} + \partial_{\nu}T_{\mu}^{\nu} = 0$$

where f_{μ} is again the density of the Lorentz force. This equation can be deduced from the equations above (with considerable effort).

Status

Special relativity in its Minkowski spacetime is accurate only when the absolute value of the gravitational potential is much less than c^2 in the region of interest.^[61] In a strong gravitational field, one must use general relativity. General relativity becomes special relativity at the limit of weak field. At very small scales, such as at the Planck length and below, quantum effects must be taken into consideration resulting in quantum gravity. However, at macroscopic scales and in the absence of strong gravitational fields, special relativity is experimentally tested to extremely high degree of accuracy (10^{-20})^[62] and thus accepted by the physics community. Experimental results which appear to contradict it are not reproducible and are thus widely believed to be due to experimental errors.

Special relativity is mathematically self-consistent, and it is an organic part of all modern physical theories, most notably quantum field theory, string theory, and general relativity (in the limiting case of negligible gravitational fields).

Newtonian mechanics mathematically follows from special relativity at small velocities (compared to the speed of light) — thus Newtonian mechanics can be considered as a special relativity of slow moving bodies. See Status of special relativity for a more detailed discussion.

Several experiments predating Einstein's 1905 paper are now interpreted as evidence for relativity. Of these it is known Einstein was aware of the Fizeau experiment before 1905, and historians have concluded that Einstein was at least aware of the Michelson–Morley experiment as early as 1899 despite claims he made in his later years that it played no role in his development of the theory.^[63]

- The Fizeau experiment (1851, repeated by Michelson and Morley in 1886) measured the speed of light in moving media, with results that are consistent with relativistic addition of colinear velocities.
- The famous Michelson–Morley experiment (1881, 1887) gave further support to the postulate that detecting an absolute reference velocity was not achievable. It should be stated here that, contrary to many alternative claims, it said little about the invariance of the speed of light with respect to the source and observer's velocity, as both source and observer were travelling together at the same velocity at all times.
- The Trouton–Noble experiment (1903) showed that the torque on a capacitor is independent of position and inertial reference frame.
- The Experiments of Rayleigh and Brace (1902, 1904) showed that length contraction doesn't lead to birefringence for a co-moving observer, in accordance with the relativity principle.

A number of experiments have been conducted to test special relativity against rival theories. These include:

- Kaufmann–Bucherer–Neumann experiments – electron deflection in approximate agreement with Lorentz–Einstein prediction.
- Kennedy–Thorndike experiment – time dilation in accordance with Lorentz transformations
- Rossi–Hall experiment – relativistic effects on a fast-moving particle's half-life
- Experiments to test emitter theory demonstrated that the speed of light is independent of the speed of the emitter.
- Hammar experiment – no "aether flow obstruction"

In addition, particle accelerators routinely accelerate and measure the properties of particles moving at near the speed of light, where their behavior is completely consistent with relativity theory and inconsistent with the earlier Newtonian mechanics. These machines would simply not work if they were not engineered according to relativistic principles.

Relativistic quantum mechanics

In contrast to General relativity, where it is an unsolved question, whether - and if so how - this theory can be merged with quantum physics to a unified theory of quantum gravitation, the tools of special-relativistic quantum theory are well-developed in the form of the Dirac theory^[64]. Even the early Bohr-Sommerfeld atomic model explained the fine structure of alkaline atoms by using both special relativity and the preliminary knowledge on quantum mechanics of the time.

Paul Dirac developed a wave equation - the Dirac equation - fully compatible both with special relativity and with the final version of quantum theory existing after 1926. This theory explained not only the intrinsic angular momentum of the electrons called *spin*, a property which can only be *stated*, but not *explained* by non-relativistic quantum mechanics, but, when properly quantized, led to the prediction of the antiparticle of the electron, the positron.^[64] ^[65] Also the fine structure could finally not be explained without special relativity.

On the other hand, the existence of antiparticles makes obvious that one is not dealing with a naive unification of special relativity and quantum mechanics. Instead a theory is necessary, where one is dealing with quantized fields, and where particles can be created and destroyed, as in quantum electrodynamics or quantum chromodynamics.

These elements merge together in the standard model of particle physics, and this theory, the *standard theory of relativistic quantized fields*,^[66] unifying the principles of special relativity and of quantum physics, belongs actually to the most ambitious, and the most active one (see citations in the article "Standard Model").

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External links

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- *Zur Elektrodynamik bewegter Körper* (http://www.physik.uni-augsburg.de/annalen/history/einstein-papers/1905_17_891-921.pdf) Einstein's original work in German, Annalen der Physik, Bern 1905
- *On the Electrodynamics of Moving Bodies* (<http://www.fourmilab.ch/etexts/einstein/specrel/specrel.pdf>) English Translation as published in the 1923 book *The Principle of Relativity*.

Special relativity for a general audience (no math knowledge required)

- Wikibooks: Special Relativity (http://en.wikibooks.org/wiki/Special_Relativity)
- Einstein Light (<http://www.phys.unsw.edu.au/einsteinlight>) An award (<http://www.sciam.com/article.cfm?chanID=sa004&articleID=0005CFF9-524F-1340-924F83414B7F0000>)-winning, non-technical introduction (film clips and demonstrations) supported by dozens of pages of further explanations and animations, at levels with or without mathematics.
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- Audio: Cain/Gay (2006) - Astronomy Cast (<http://www.astronomycast.com/astronomy/einsteins-theory-of-special-relativity/>). Einstein's Theory of Special Relativity

Special relativity explained (using simple or more advanced math)

- Caltech Relativity Tutorial (<http://www.black-holes.org/relativity1.html>) A basic introduction to concepts of Special and General Relativity, requiring only a knowledge of basic geometry.
- Greg Egan's *Foundations* (<http://gregegan.customer.netspace.net.au/FOUNDATIONS/01/found01.html>).
- The Hogg Notes on Special Relativity (<http://cosmo.nyu.edu/hogg/sr/>) A good introduction to special relativity at the undergraduate level, using calculus.
- Motion Mountain, Volume II (<http://www.motionmountain.net/download.html>) - A modern introduction to relativity, including its visual effects.
- The Origins of Einstein's Special Theory of Relativity (<http://www.theophoretos.hostmatrix.org/relativity.htm>) - A historical approach to the study of the special theory of relativity.
- Problem Solving for Special Relativity Cases (<http://www.hawaii.edu/suremath/SRspecialRelativity.html>) A page that explains how to solve problems in special relativity.
- MathPages - Reflections on Relativity (<http://www.mathpages.com/rr/rrtoc.htm>) A complete online book on relativity with an extensive bibliography.
- Relativity (http://www.lightandmatter.com/html_books/6mr/ch01/ch01.html) An introduction to special relativity at the undergraduate level, without calculus.
- *Relativity: the Special and General Theory* (<http://www.gutenberg.org/etext/5001>) at Project Gutenberg, by Albert Einstein
- Special Relativity (<http://www2.slac.stanford.edu/vvc/theory/relativity.html>) Stanford University, Helen Quinn, 2003
- Special Relativity Lecture Notes (<http://www.phys.vt.edu/~takeuchi/relativity/notes>) is a standard introduction to special relativity containing illustrative explanations based on drawings and spacetime diagrams from Virginia Polytechnic Institute and State University.
- Understanding Special Relativity (<http://www.rafimoor.com/english/SRE.htm>) The theory of special relativity in an easily understandable way.
- Relativity Calculator - Learn Special Relativity Mathematics (<http://www.relativitycalculator.com/>) Mathematics of special relativity presented in as simple and comprehensive manner possible within philosophical and historical contexts.
- An Introduction to the Special Theory of Relativity (<http://digitalcommons.unl.edu/physicskatz/49/>) (1964) by Robert Katz, "an introduction ... that is accessible to any student who has had an introduction to general physics and some slight acquaintance with the calculus." (130 pp; pdf format)
- Lecture Notes on Special Relativity (<http://www.physics.mq.edu.au/~jcresser/Phys378/LectureNotes/VectorsTensorsSR.pdf>) by J D Cresser Department of Physics Macquarie University

Visualization

- Raytracing Special Relativity (http://www.hakenberg.de/diffgeo/special_relativity.htm) Software visualizing several scenarios under the influence of special relativity.
- Real Time Relativity (<http://www.anu.edu.au/Physics/Savage/RTR/>) The Australian National University. Relativistic visual effects experienced through an interactive program.
- Spacetime travel (<http://www.spacetimetavel.org>) A variety of visualizations of relativistic effects, from relativistic motion to black holes.
- Through Einstein's Eyes (<http://www.anu.edu.au/Physics/Savage/TEE/>) The Australian National University. Relativistic visual effects explained with movies and images.
- Warp Special Relativity Simulator (<http://www.adamauton.com/warp/>) A computer program to show the effects of traveling close to the speed of light.
- Animation clip (<http://www.youtube.com/watch?v=C2VMO7pcWhg>) visualizing the Lorentz transformation.
- Original interactive FLASH Animations (<http://math.ucr.edu/~jdp/Relativity/SpecialRelativity.html>) from John de Pillis illustrating Lorentz and Galilean frames, Train and Tunnel Paradox, the Twin Paradox, Wave Propagation, Clock Synchronization, etc.
- Relativistic Optics at the ANU (<http://www.anu.edu.au/physics/Searle/>)

Other

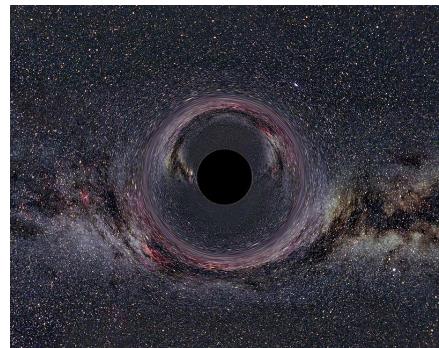
- "Einstein Was Right (Again): Experiments Confirm that $E = mc^2$ " (http://www.nist.gov/public_affairs/releases/einstein.cfm) A recent direct measurement of Einstein's famous equation accurate to "four-tenths of 1 part in 1 million".
- Relativity in its Historical Context (http://www-gap.dcs.st-and.ac.uk/~history/HistTopics/Special_relativity.html) The discovery of special relativity was inevitable, given the momentous discoveries that preceded it.

General relativity

General relativity or the **general theory of relativity** is the geometric theory of gravitation published by Albert Einstein in 1916.^[1] It is the current description of gravitation in modern physics. General relativity generalises special relativity and Newton's law of universal gravitation, providing a unified description of gravity as a geometric property of space and time, or spacetime. In particular, the curvature of spacetime is directly related to the four-momentum (mass-energy and linear momentum) of whatever matter and radiation are present. The relation is specified by the Einstein field equations, a system of partial differential equations.

Many predictions of general relativity differ significantly from those of classical physics, especially concerning the passage of time, the geometry of space, the motion of bodies in free fall, and the propagation of light. Examples of such differences include gravitational time dilation, the gravitational redshift of light, and the gravitational time delay. General relativity's predictions have been confirmed in all observations and experiments to date. Although general relativity is not the only relativistic theory of gravity, it is the simplest theory that is consistent with experimental data. However, unanswered questions remain, the most fundamental being how general relativity can be reconciled with the laws of quantum physics to produce a complete and self-consistent theory of quantum gravity.

Einstein's theory has important astrophysical implications. For example, it implies the existence of black holes—regions of space in which space and time are distorted in such a way that nothing, not even light, can escape—as an end-state for massive stars. There is ample evidence that such stellar black holes as well as more massive varieties of black hole are responsible for the intense radiation emitted by certain types of astronomical objects such as active galactic nuclei or microquasars. The bending of light by gravity can lead to the phenomenon of gravitational lensing, where multiple images of the same distant astronomical object are visible in the sky. General relativity also predicts the existence of gravitational waves, which have since been measured indirectly; a direct measurement is the aim of projects such as LIGO and NASA/ESA Laser Interferometer Space Antenna. In addition, general relativity is the basis of current cosmological models of a consistently expanding universe.



A simulated black hole of ten solar masses as seen from a distance of 600 kilometers with the Milky Way in the background.

History

Soon after publishing the special theory of relativity in 1905, Einstein started thinking about how to incorporate gravity into his new relativistic framework. In 1907, beginning with a simple thought experiment involving an observer in free fall, he embarked on what would be an eight-year search for a relativistic theory of gravity. After numerous detours and false starts, his work culminated in the November, 1915 presentation to the Prussian Academy of Science of what are now known as the Einstein field equations. These equations specify how the geometry of space and time is influenced by whatever matter is present, and form the core of Einstein's general theory of relativity.^[2]

The Einstein field equations are nonlinear and very difficult to solve. Einstein used approximation methods in working out initial predictions of the theory. But as early as 1916, the astrophysicist Karl Schwarzschild found the first non-trivial exact solution to the Einstein field equations, the so-called Schwarzschild metric. This solution laid the groundwork for the description of the final stages of gravitational collapse, and the objects known today as black holes. In the same year, the first steps towards generalizing Schwarzschild's solution to electrically charged objects were taken, which eventually resulted in the Reissner-Nordström solution, now associated with charged black holes.^[3] In 1917, Einstein applied his theory to the universe as a whole, initiating the field of relativistic cosmology.

In line with contemporary thinking, he assumed a static universe, adding a new parameter to his original field equations—the cosmological constant—to reproduce that "observation".^[4] By 1929, however, the work of Hubble and others had shown that our universe is expanding. This is readily described by the expanding cosmological solutions found by Friedmann in 1922, which do not require a cosmological constant. Lemaître used these solutions to formulate the earliest version of the big bang models, in which our universe has evolved from an extremely hot and dense earlier state.^[5] Einstein later declared the cosmological constant the biggest blunder of his life.^[6]

During that period, general relativity remained something of a curiosity among physical theories. It was clearly superior to Newtonian gravity, being consistent with special relativity and accounting for several effects unexplained by the Newtonian theory. Einstein himself had shown in 1915 how his theory explained the anomalous perihelion advance of the planet Mercury without any arbitrary parameters ("fudge factors").^[7] Similarly, a 1919 expedition led by Eddington confirmed general relativity's prediction for the deflection of starlight by the Sun during the total solar eclipse of May 29, 1919,^[8] making Einstein instantly famous.^[9] Yet the theory entered the mainstream of theoretical physics and astrophysics only with the developments between approximately 1960 and 1975, now known as the Golden age of general relativity. Physicists began to understand the concept of a black hole, and to identify these objects' astrophysical manifestation as quasars.^[10] Ever more precise solar system tests confirmed the theory's predictive power,^[11] and relativistic cosmology, too, became amenable to direct observational tests.^[12]

From classical mechanics to general relativity

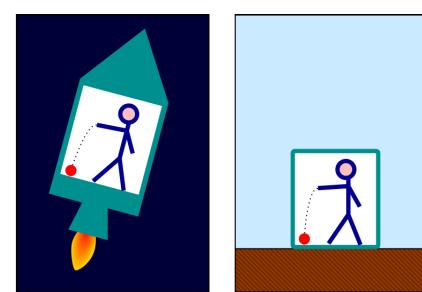
General relativity is best understood by examining its similarities with and departures from classical physics. The first step is the realization that classical mechanics and Newton's law of gravity admit of a geometric description. The combination of this description with the laws of special relativity results in a heuristic derivation of general relativity.^[13]

Geometry of Newtonian gravity

At the base of classical mechanics is the notion that a body's motion can be described as a combination of free (or inertial) motion, and deviations from this free motion. Such deviations are caused by external forces acting on a body in accordance with Newton's second law of motion, which states that the net force acting on a body is equal to that body's (inertial) mass multiplied by its acceleration.^[14] The preferred inertial motions are related to the geometry of space and time: in the standard reference frames of classical mechanics, objects in free motion move along straight lines at constant speed. In modern parlance, their paths are geodesics, straight world lines in curved spacetime.^[15]

Conversely, one might expect that inertial motions, once identified by observing the actual motions of bodies and making allowances for the external forces (such as electromagnetism or friction), can be used to define the geometry of space, as well as a time coordinate. However, there is an ambiguity once gravity comes into play. According to Newton's law of gravity, and independently verified by experiments such as that of Eötvös and its successors (see Eötvös experiment), there is a universality of free fall (also known as the weak equivalence principle, or the universal equality of inertial and passive-gravitational mass): the trajectory of a test body in free fall depends only on its position and initial speed, but not on any of its material properties.^[16]

A simplified version of this is embodied in Einstein's elevator experiment, illustrated in the figure on the right: for an observer in a small enclosed room, it is impossible to decide, by mapping the trajectory of bodies such as a dropped ball, whether the room is at rest in a gravitational field, or in free space aboard an accelerating rocket generating a force equal to gravity.^[17]

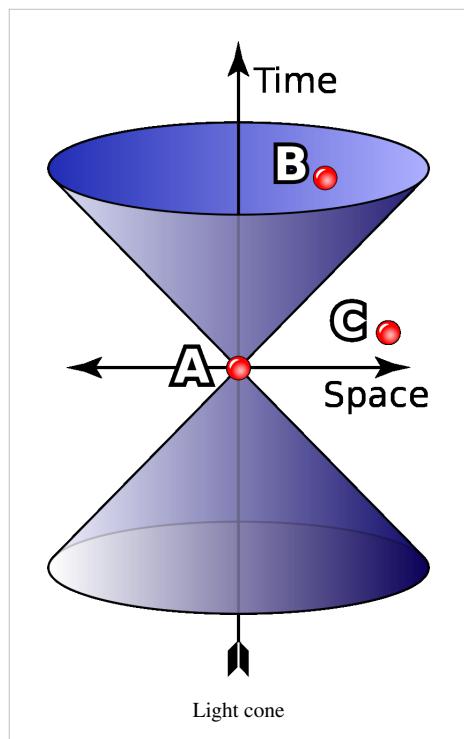


Ball falling to the floor in an accelerating rocket (left), and on Earth (right)

Given the universality of free fall, there is no observable distinction between inertial motion and motion under the influence of the gravitational force. This suggests the definition of a new class of inertial motion, namely that of objects in free fall under the influence of gravity. This new class of preferred motions, too, defines a geometry of space and time—in mathematical terms, it is the geodesic motion associated with a specific connection which depends on the gradient of the gravitational potential. Space, in this construction, still has the ordinary Euclidean geometry. However, spacetime as a whole is more complicated. As can be shown using simple thought experiments following the free-fall trajectories of different test particles, the result of transporting spacetime vectors that can denote a particle's velocity (time-like vectors) will vary with the particle's trajectory; mathematically speaking, the Newtonian connection is not integrable. From this, one can deduce that spacetime is curved. The result is a geometric formulation of Newtonian gravity using only covariant concepts, i.e. a description which is valid in any desired coordinate system.^[18] In this geometric description, tidal effects—the relative acceleration of bodies in free fall—are related to the derivative of the connection, showing how the modified geometry is caused by the presence of mass.^[19]

Relativistic generalization

As intriguing as geometric Newtonian gravity may be, its basis, classical mechanics, is merely a limiting case of (special) relativistic mechanics.^[20] In the language of symmetry: where gravity can be neglected, physics is Lorentz invariant as in special relativity rather than Galilei invariant as in classical mechanics. (The defining symmetry of special relativity is the Poincaré group which also includes translations and rotations.) The differences between the two become significant when we are dealing with speeds approaching the speed of light, and with high-energy phenomena.^[21]



With Lorentz symmetry, additional structures come into play. They are defined by the set of light cones (see the image on the left). The light-cones define a causal structure: for each event A, there is a set of events that can, in principle, either influence or be influenced by A via signals or interactions that do not need to travel faster than light (such as event B in the image), and a set of events for which such an influence is impossible (such as event C in the image). These sets are observer-independent.^[22] In conjunction with the world-lines of freely falling particles, the light-cones can be used to reconstruct the space–time's semi-Riemannian metric, at least up to a positive scalar factor. In mathematical terms, this defines a conformal structure.^[23]

Special relativity is defined in the absence of gravity, so for practical applications, it is a suitable model whenever gravity can be neglected. Bringing gravity into play, and assuming the universality of free fall, an analogous reasoning as in the previous section applies: there are no global inertial frames. Instead there are approximate inertial frames moving alongside freely falling particles. Translated into the language of spacetime: the straight time-like lines that define a gravity-free inertial frame are deformed to lines that are curved relative to each other, suggesting that the inclusion of gravity necessitates a change in spacetime geometry.^[24]

A priori, it is not clear whether the new local frames in free fall coincide with the reference frames in which the laws of special relativity hold—that theory is based on the propagation of light, and thus on electromagnetism, which could have a different set of preferred frames. But using different assumptions about the special-relativistic frames (such as their being earth-fixed, or in free fall), one can derive different predictions for the gravitational redshift, that is, the way in which the frequency of light shifts as the light propagates through a gravitational field (cf. below). The

actual measurements show that free-falling frames are the ones in which light propagates as it does in special relativity.^[25] The generalization of this statement, namely that the laws of special relativity hold to good approximation in freely falling (and non-rotating) reference frames, is known as the Einstein equivalence principle, a crucial guiding principle for generalizing special-relativistic physics to include gravity.^[26]

The same experimental data shows that time as measured by clocks in a gravitational field—proper time, to give the technical term—does not follow the rules of special relativity. In the language of spacetime geometry, it is not measured by the Minkowski metric. As in the Newtonian case, this is suggestive of a more general geometry. At small scales, all reference frames that are in free fall are equivalent, and approximately Minkowskian. Consequently, we are now dealing with a curved generalization of Minkowski space. The metric tensor that defines the geometry—in particular, how lengths and angles are measured—is not the Minkowski metric of special relativity, it is a generalization known as a semi- or pseudo-Riemannian metric. Furthermore, each Riemannian metric is naturally associated with one particular kind of connection, the Levi-Civita connection, and this is, in fact, the connection that satisfies the equivalence principle and makes space locally Minkowskian (that is, in suitable locally inertial coordinates, the metric is Minkowskian, and its first partial derivatives and the connection coefficients vanish).^[27]

Einstein's equations

Having formulated the relativistic, geometric version of the effects of gravity, the question of gravity's source remains. In Newtonian gravity, the source is mass. In special relativity, mass turns out to be part of a more general quantity called the energy-momentum tensor, which includes both energy and momentum densities as well as stress (that is, pressure and shear).^[28] Using the equivalence principle, this tensor is readily generalized to curved space-time. Drawing further upon the analogy with geometric Newtonian gravity, it is natural to assume that the field equation for gravity relates this tensor and the Ricci tensor, which describes a particular class of tidal effects: the change in volume for a small cloud of test particles that are initially at rest, and then fall freely. In special relativity, conservation of energy-momentum corresponds to the statement that the energy-momentum tensor is divergence-free. This formula, too, is readily generalized to curved spacetime by replacing partial derivatives with their curved-manifold counterparts, covariant derivatives studied in differential geometry. With this additional condition—the covariant divergence of the energy-momentum tensor, and hence of whatever is on the other side of the equation, is zero—the simplest set of equations are what are called Einstein's (field) equations:

$$R_{ab} - \frac{1}{2}Rg_{ab} = \kappa T_{ab}.$$

On the left-hand side is the Einstein tensor, a specific divergence-free combination of the Ricci tensor R_{ab} and the metric. In particular,

$$R = R_{cd}g^{cd}$$

is the curvature scalar. The Ricci tensor itself is related to the more general Riemann curvature tensor as

$$R_{ab} = R^d{}_{adb}.$$

On the right-hand side, T_{ab} is the energy-momentum tensor. All tensors are written in abstract index notation.^[29] Matching the theory's prediction to observational results for planetary orbits (or, equivalently, assuring that the weak-gravity, low-speed limit is Newtonian mechanics), the proportionality constant can be fixed as $\kappa = 8\pi G/c^4$, with G the gravitational constant and c the speed of light.^[30] When there is no matter present, so that the energy-momentum tensor vanishes, the result are the *vacuum Einstein equations*,

$$R_{ab} = 0.$$

There are alternatives to general relativity built upon the same premises, which include additional rules and/or constraints, leading to different field equations. Examples are Brans-Dicke theory, teleparallelism, and Einstein-Cartan theory.^[31]

Definition and basic applications

The derivation outlined in the previous section contains all the information needed to define general relativity, describe its key properties, and address a question of crucial importance in physics, namely how the theory can be used for model-building.

Definition and basic properties

General relativity is a metric theory of gravitation. At its core are Einstein's equations, which describe the relation between the geometry of a four-dimensional, pseudo-Riemannian manifold representing spacetime, and the energy-momentum contained in that spacetime.^[32] Phenomena that in classical mechanics are ascribed to the action of the force of gravity (such as free-fall, orbital motion, and spacecraft trajectories), correspond to inertial motion within a curved geometry of spacetime in general relativity; there is no gravitational force deflecting objects from their natural, straight paths. Instead, gravity corresponds to changes in the properties of space and time, which in turn changes the straightest-possible paths that objects will naturally follow.^[33] The curvature is, in turn, caused by the energy-momentum of matter. Paraphrasing the relativist John Archibald Wheeler, spacetime tells matter how to move; matter tells spacetime how to curve.^[34]

While general relativity replaces the scalar gravitational potential of classical physics by a symmetric rank-two tensor, the latter reduces to the former in certain limiting cases. For weak gravitational fields and slow speed relative to the speed of light, the theory's predictions converge on those of Newton's law of universal gravitation.^[35]

As it is constructed using tensors, general relativity exhibits general covariance: its laws—and further laws formulated within the general relativistic framework—take on the same form in all coordinate systems.^[36] Furthermore, the theory does not contain any invariant geometric background structures. It thus satisfies a more stringent general principle of relativity, namely that the laws of physics are the same for all observers.^[37] Locally, as expressed in the equivalence principle, spacetime is Minkowskian, and the laws of physics exhibit local Lorentz invariance.^[38]

Model-building

The core concept of general-relativistic model-building is that of a solution of Einstein's equations. Given both Einstein's equations and suitable equations for the properties of matter, such a solution consists of a specific semi-Riemannian manifold (usually defined by giving the metric in specific coordinates), and specific matter fields defined on that manifold. Matter and geometry must satisfy Einstein's equations, so in particular, the matter's energy-momentum tensor must be divergence-free. The matter must, of course, also satisfy whatever additional equations were imposed on its properties. In short, such a solution is a model universe that satisfies the laws of general relativity, and possibly additional laws governing whatever matter might be present.^[39]

Einstein's equations are nonlinear partial differential equations and, as such, difficult to solve exactly.^[40] Nevertheless, a number of exact solutions are known, although only a few have direct physical applications.^[41] The best-known exact solutions, and also those most interesting from a physics point of view, are the Schwarzschild solution, the Reissner-Nordström solution and the Kerr metric, each corresponding to a certain type of black hole in an otherwise empty universe,^[42] and the Friedmann-Lemaître-Robertson-Walker and de Sitter universes, each describing an expanding cosmos.^[43] Exact solutions of great theoretical interest include the Gödel universe (which opens up the intriguing possibility of time travel in curved spacetimes), the Taub-NUT solution (a model universe that is homogeneous, but anisotropic), and Anti-de Sitter space (which has recently come to prominence in the context of what is called the Maldacena conjecture).^[44]

Given the difficulty of finding exact solutions, Einstein's field equations are also solved frequently by numerical integration on a computer, or by considering small perturbations of exact solutions. In the field of numerical relativity, powerful computers are employed to simulate the geometry of spacetime and to solve Einstein's equations

for interesting situations such as two colliding black holes.^[45] In principle, such methods may be applied to any system, given sufficient computer resources, and may address fundamental questions such as naked singularities. Approximate solutions may also be found by perturbation theories such as linearized gravity^[46] and its generalization, the post-Newtonian expansion, both of which were developed by Einstein. The latter provides a systematic approach to solving for the geometry of a spacetime that contains a distribution of matter that moves slowly compared with the speed of light. The expansion involves a series of terms; the first terms represent Newtonian gravity, whereas the later terms represent ever smaller corrections to Newton's theory due to general relativity.^[47] An extension of this expansion is the parametrized post-Newtonian (PPN) formalism, which allows quantitative comparisons between the predictions of general relativity and alternative theories.^[48]

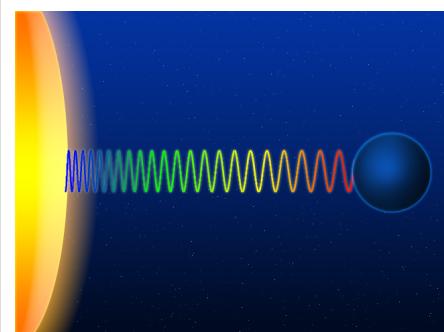
Consequences of Einstein's theory

General relativity has a number of physical consequences. Some follow directly from the theory's axioms, whereas others have become clear only in the course of the ninety years of research that followed Einstein's initial publication.

Gravitational time dilation and frequency shift

Assuming that the equivalence principle holds,^[49] gravity influences the passage of time. Light sent down into a gravity well is blueshifted, whereas light sent in the opposite direction (i.e., climbing out of the gravity well) is redshifted; collectively, these two effects are known as the gravitational frequency shift. More generally, processes close to a massive body run more slowly when compared with processes taking place farther away; this effect is known as gravitational time dilation.^[50]

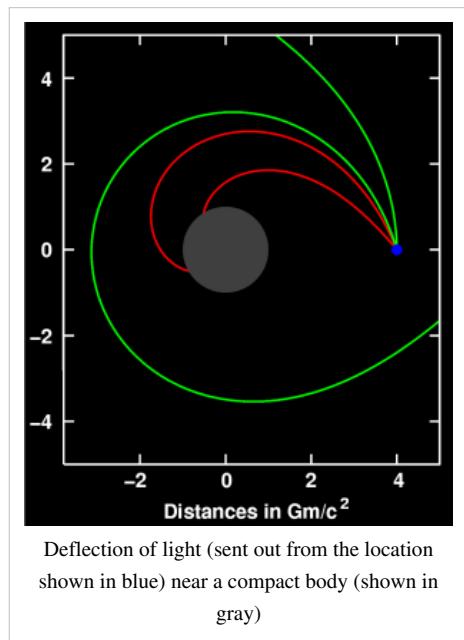
Gravitational redshift has been measured in the laboratory^[51] and using astronomical observations.^[52] Gravitational time dilation in the Earth's gravitational field has been measured numerous times using atomic clocks,^[53] while ongoing validation is provided as a side effect of the operation of the Global Positioning System (GPS).^[54] Tests in stronger gravitational fields are provided by the observation of binary pulsars.^[55] All results are in agreement with general relativity.^[56] However, at the current level of accuracy, these observations cannot distinguish between general relativity and other theories in which the equivalence principle is valid.^[57]



Schematic representation of the gravitational redshift of a light wave escaping from the surface of a massive body

Light deflection and gravitational time delay

General relativity predicts that the path of light is bent in a gravitational field; light passing a massive body is deflected towards that body. This effect has been confirmed by observing the light of stars or distant quasars being deflected as it passes the Sun.^[58]



This and related predictions follow from the fact that light follows what is called a light-like or null geodesic—a generalization of the straight lines along which light travels in classical physics. Such geodesics are the generalization of the invariance of lightspeed in special relativity.^[59] As one examines suitable model spacetimes (either the exterior Schwarzschild solution or, for more than a single mass, the post-Newtonian expansion),^[60] several effects of gravity on light propagation emerge. Although the bending of light can also be derived by extending the universality of free fall to light,^[61] the angle of deflection resulting from such calculations is only half the value given by general relativity.^[62]

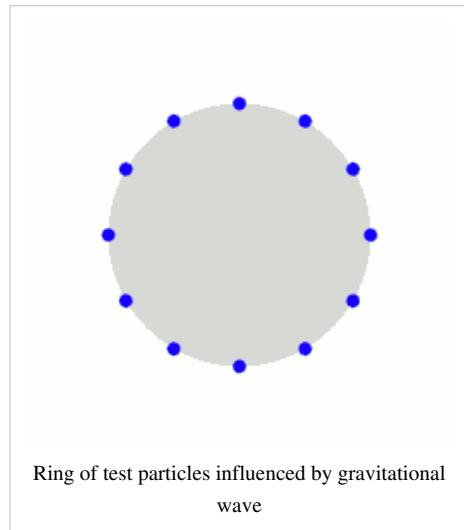
Closely related to light deflection is the gravitational time delay (or Shapiro effect), the phenomenon that light signals take longer to move through a gravitational field than they would in the absence of that field. There have been numerous successful tests of this prediction.^[63]

In the parameterized post-Newtonian formalism (PPN), measurements

of both the deflection of light and the gravitational time delay determine a parameter called γ , which encodes the influence of gravity on the geometry of space.^[64]

Gravitational waves

One of several analogies between weak-field gravity and electromagnetism is that, analogous to electromagnetic waves, there are gravitational waves: ripples in the metric of spacetime that propagate at the speed of light.^[65] The simplest type of such a wave can be visualized by its action on a ring of freely floating particles (upper image to the right). A sine wave propagating through such a ring towards the reader distorts the ring in a characteristic, rhythmic fashion (lower, animated image to the right).^[66] Since Einstein's equations are non-linear, arbitrarily strong gravitational waves do not obey linear superposition, making their description difficult. However, for weak fields, a linear approximation can be made. Such linearized gravitational waves are sufficiently accurate to describe the exceedingly weak waves that are expected to arrive here on Earth from far-off cosmic events, which typically result in relative distances increasing and decreasing by 10^{-21} or less. Data-analysis methods routinely make use of the fact that these linearized waves can be Fourier decomposed.^[67]



Some exact solutions describe gravitational waves without any approximation, e.g., a wave train traveling through empty space^[68] or so-called Gowdy universes, varieties of an expanding cosmos filled with gravitational waves.^[69] But for gravitational waves produced in astrophysically relevant situations, such as the merger of two black holes, numerical methods are presently the only way to construct appropriate models.^[70]

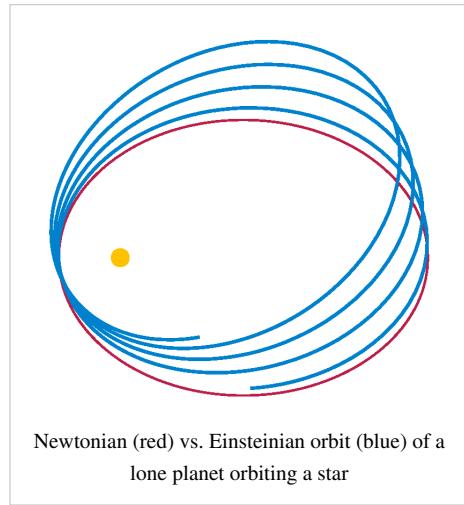
Orbital effects and the relativity of direction

General relativity differs from classical mechanics in a number of predictions concerning orbiting bodies. It predicts an overall rotation (precession) of planetary orbits, as well as orbital decay caused by the emission of gravitational waves and effects related to the relativity of direction.

Precession of apsides

In general relativity, the apsides of any orbit (the point of the orbiting body's closest approach to the system's center of mass) will precess—the orbit is not an ellipse, but akin to an ellipse that rotates on its focus, resulting in a rose curve-like shape (see image). Einstein first derived this result by using an approximate metric representing the Newtonian limit and treating the orbiting body as a test particle. For him, the fact that his theory gave a straightforward explanation of the anomalous perihelion shift of the planet Mercury, discovered earlier by Urbain Le Verrier in 1859, was important evidence that he had at last identified the correct form of the gravitational field equations.^[71]

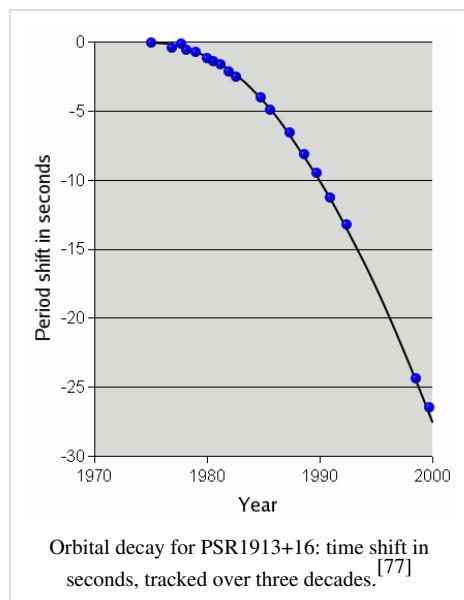
The effect can also be derived by using either the exact Schwarzschild metric (describing spacetime around a spherical mass)^[72] or the much more general post-Newtonian formalism.^[73] It is due to the influence of gravity on the geometry of space and to the contribution of self-energy to a body's gravity (encoded in the nonlinearity of Einstein's equations).^[74] Relativistic precession has been observed for all planets that allow for accurate precession measurements (Mercury, Venus and the Earth),^[75] as well as in binary pulsar systems, where it is larger by five orders of magnitude.^[76]



Orbital decay

According to general relativity, a binary system will emit gravitational waves, thereby losing energy. Due to this loss, the distance between the two orbiting bodies decreases, and so does their orbital period. Within the solar system or for ordinary double stars, the effect is too small to be observable. This is not the case for a close binary pulsar, a system of two orbiting neutron stars, one of which is a pulsar: from the pulsar, observers on Earth receive a regular series of radio pulses that can serve as a highly accurate clock, which allows precise measurements of the orbital period. Since the neutron stars are very compact, significant amounts of energy are emitted in the form of gravitational radiation.^[78]

The first observation of a decrease in orbital period due to the emission of gravitational waves was made by Hulse and Taylor, using the binary pulsar PSR1913+16 they had discovered in 1974. This was the first detection of gravitational waves, albeit indirect, for which they were awarded the 1993 Nobel Prize in physics.^[79] Since then, several other binary pulsars have been found, in particular the double pulsar PSR J0737-3039, in which both stars are pulsars.^[80]



Geodetic precession and frame-dragging

Several relativistic effects are directly related to the relativity of direction.^[81] One is geodetic precession: the axis direction of a gyroscope in free fall in curved spacetime will change when compared, for instance, with the direction of light received from distant stars—even though such a gyroscope represents the way of keeping a direction as stable as possible ("parallel transport").^[82] For the Moon-Earth-system, this effect has been measured with the help of lunar laser ranging.^[83] More recently, it has been measured for test masses aboard the satellite Gravity Probe B to a precision of better than 1%.^[84]

Near a rotating mass, there are so-called gravitomagnetic or frame-dragging effects. A distant observer will determine that objects close to the mass get "dragged around". This is most extreme for rotating black holes where, for any object entering a zone known as the ergosphere, rotation is inevitable.^[85] Such effects can again be tested through their influence on the orientation of gyroscopes in free fall.^[86] Somewhat controversial tests have been performed using the LAGEOS satellites, confirming the relativistic prediction.^[87] Also the Mars Global Surveyor probe around Mars has been used^[88] [89]

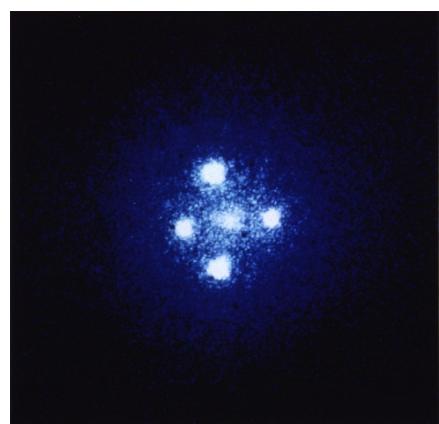
A precision measurement is the main aim of the Gravity Probe B mission, with the results expected in September 2008.^[90]

Astrophysical applications

Gravitational lensing

The deflection of light by gravity is responsible for a new class of astronomical phenomena. If a massive object is situated between the astronomer and a distant target object with appropriate mass and relative distances, the astronomer will see multiple distorted images of the target. Such effects are known as gravitational lensing.^[91] Depending on the configuration, scale, and mass distribution, there can be two or more images, a bright ring known as an Einstein ring, or partial rings called arcs.^[92] The earliest example was discovered in 1979,^[93] since then, more than a hundred gravitational lenses have been observed.^[94] Even if the multiple images are too close to each other to be resolved, the effect can still be measured, e.g., as an overall brightening of the target object; a number of such "microlensing events" have been observed.^[95]

Gravitational lensing has developed into a tool of observational astronomy. It is used to detect the presence and distribution of dark matter, provide a "natural telescope" for observing distant galaxies, and to obtain an independent estimate of the Hubble constant. Statistical evaluations of lensing data provide valuable insight into the structural evolution of galaxies.^[96]

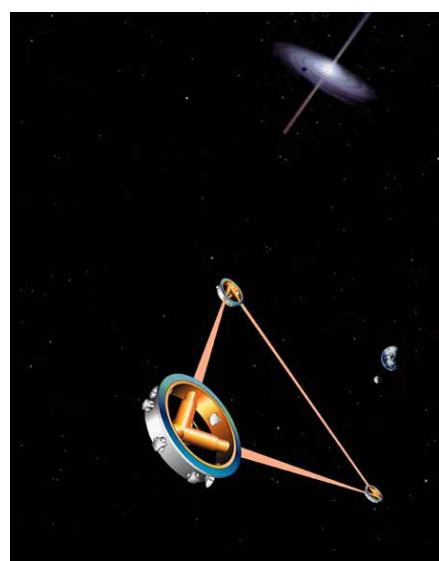


Einstein cross: four images of the same astronomical object, produced by a gravitational lens

Gravitational wave astronomy

Observations of binary pulsars provide strong indirect evidence for the existence of gravitational waves (see Orbital decay, above). However, gravitational waves reaching us from the depths of the cosmos have not been detected directly, which is a major goal of current relativity-related research.^[97] Several land-based gravitational wave detectors are currently in operation, most notably the interferometric detectors GEO 600, LIGO (three detectors), TAMA 300 and VIRGO.^[98] A joint US-European space-based detector, LISA, is currently under development,^[99] with a precursor mission (LISA Pathfinder) due for launch in 2012.^[100]

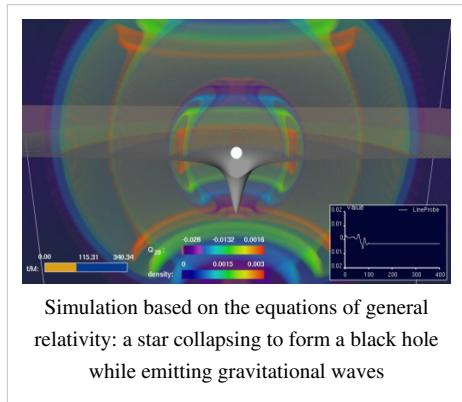
Observations of gravitational waves promise to complement observations in the electromagnetic spectrum.^[101] They are expected to yield information about black holes and other dense objects such as neutron stars and white dwarfs, about certain kinds of supernova implosions, and about processes in the very early universe, including the signature of certain types of hypothetical cosmic string.^[102]



Artist's impression of the space-borne gravitational wave detector LISA

Black holes and other compact objects

Whenever the ratio of an object's mass to its radius becomes sufficiently large, general relativity predicts the formation of a black hole, a region of space from which nothing, not even light, can escape. In the currently accepted models of stellar evolution, neutron stars of around 1.4 solar masses, and stellar black holes with a few to a few dozen solar masses, are thought to be the final state for the evolution of massive stars.^[103] Usually a galaxy has one supermassive black hole with a few million to a few billion solar masses in its center,^[104] and its presence is thought to have played an important role in the formation of the galaxy and larger cosmic structures.^[105]



Simulation based on the equations of general relativity: a star collapsing to form a black hole while emitting gravitational waves

Astronomically, the most important property of compact objects is that they provide a supremely efficient mechanism for converting gravitational energy into electromagnetic radiation.^[106] Accretion, the falling of dust or gaseous matter onto stellar or supermassive black holes, is thought to be responsible for some spectacularly luminous astronomical objects, notably diverse kinds of active galactic nuclei on galactic scales and stellar-size objects such as microquasars.^[107] In particular, accretion can lead to relativistic jets, focused beams of highly energetic particles that are being flung into space at almost light speed.^[108] General relativity plays a central role in modelling all these phenomena,^[109] and observations provide strong evidence for the

existence of black holes with the properties predicted by the theory.^[110]

Black holes are also sought-after targets in the search for gravitational waves (cf. Gravitational waves, above). Merging black hole binaries should lead to some of the strongest gravitational wave signals reaching detectors here on Earth, and the phase directly before the merger ("chirp") could be used as a "standard candle" to deduce the distance to the merger events—and hence serve as a probe of cosmic expansion at large distances.^[111] The gravitational waves produced as a stellar black hole plunges into a supermassive one should provide direct information about supermassive black hole's geometry.^[112]

Cosmology

The current models of cosmology are based on Einstein's equations including cosmological constant Λ , which has important influence on the large-scale dynamics of the cosmos,

$$R_{ab} - \frac{1}{2}Rg_{ab} + \Lambda g_{ab} = \kappa T_{ab}$$

where g_{ab} is the spacetime metric.^[113] Isotropic and homogeneous solutions of these enhanced equations, the Friedmann-Lemaître-Robertson-Walker solutions,^[114] allow physicists to model a universe that has evolved over the past 14 billion years from a hot, early Big Bang phase.^[115] Once a small number of parameters (for example the universe's mean matter density) have been fixed by astronomical observation,^[116] further observational data can be used to put the models to the test.^[117] Predictions, all successful, include the initial abundance of chemical elements formed in a period of primordial nucleosynthesis,^[118] the large-scale structure of the universe,^[119] and the existence and properties of a "thermal echo" from the early cosmos, the cosmic background radiation.^[120]

Astronomical observations of the cosmological expansion rate allow the total amount of matter in the universe to be estimated, although the nature of that matter remains mysterious in part. About 90% of all matter appears to be so-called dark matter, which has mass (or, equivalently, gravitational influence), but does not interact electromagnetically and, hence, cannot be observed directly.^[121] There is no generally accepted description of this new kind of matter, within the framework of known particle physics^[122] or otherwise.^[123] Observational evidence from redshift surveys of distant supernovae and measurements of the cosmic background radiation also show that the evolution of our universe is significantly influenced by a cosmological constant resulting in an acceleration of cosmic expansion or, equivalently, by a form of energy with an unusual equation of state, known as dark energy, the nature of which remains unclear.^[124]

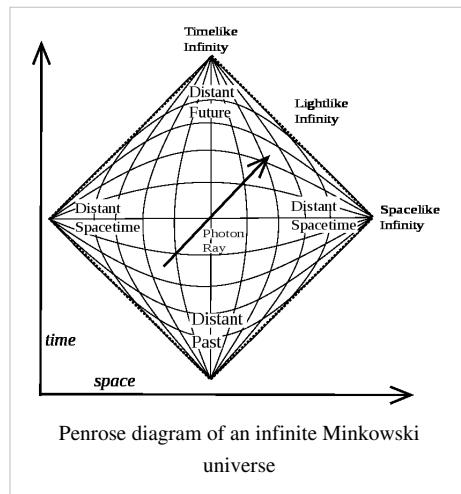
A so-called inflationary phase,^[125] an additional phase of strongly accelerated expansion at cosmic times of around 10^{-33} seconds, was hypothesized in 1980 to account for several puzzling observations that were unexplained by classical cosmological models, such as the nearly perfect homogeneity of the cosmic background radiation.^[126] Recent measurements of the cosmic background radiation have resulted in the first evidence for this scenario.^[127] However, there is a bewildering variety of possible inflationary scenarios, which cannot be restricted by current observations.^[128] An even larger question is the physics of the earliest universe, prior to the inflationary phase and close to where the classical models predict the big bang singularity. An authoritative answer would require a complete theory of quantum gravity, which has not yet been developed^[129] (cf. the section on quantum gravity, below).

Advanced concepts

Causal structure and global geometry

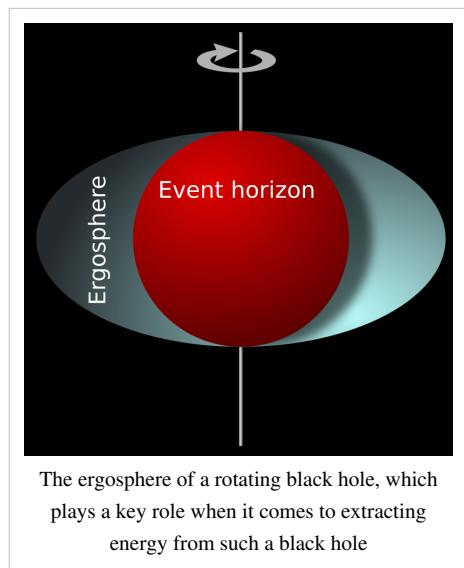
In general relativity, no material body can catch up with or overtake a light pulse. No influence from an event A can reach any other location X before light sent out at A to X. In consequence, an exploration of all light worldlines (null geodesics) yields key information about the spacetime's causal structure. This structure can be displayed using Penrose-Carter diagrams in which infinitely large regions of space and infinite time intervals are shrunk ("compactified") so as to fit onto a finite map, while light still travels along diagonals as in standard spacetime diagrams.^[130]

Aware of the importance of causal structure, Roger Penrose and others developed what is known as global geometry. In global geometry, the object of study is not one particular solution (or family of solutions) to Einstein's equations. Rather, relations that hold true for all geodesics, such as the Raychaudhuri equation, and additional non-specific assumptions about the nature of matter (usually in the form of so-called energy conditions) are used to derive general results.^[131]



Horizons

Using global geometry, some spacetimes can be shown to contain boundaries called horizons, which demarcate one region from the rest of spacetime. The best-known examples are black holes: if mass is compressed into a sufficiently compact region of space (as specified in the hoop conjecture, the relevant length scale is the Schwarzschild radius^[132]), no light from inside can escape to the outside. Since no object can overtake a light pulse, all interior matter is imprisoned as well. Passage from the exterior to the interior is still possible, showing that the boundary, the black hole's *horizon*, is not a physical barrier.^[133]



Early studies of black holes relied on explicit solutions of Einstein's equations, notably the spherically symmetric Schwarzschild solution (used to describe a static black hole) and the axisymmetric Kerr solution (used to describe a rotating, stationary black hole, and introducing interesting features such as the ergosphere). Using global geometry, later studies have revealed more general properties of black holes. In the long run, they are rather simple objects characterized by eleven parameters specifying energy, linear momentum, angular momentum, location at a specified time and electric charge. This is stated by the black hole uniqueness theorems: "black holes have no hair", that is, no distinguishing marks like the hairstyles of humans. Irrespective of the complexity of a gravitating object collapsing to form a black hole, the object that results (having emitted gravitational waves) is very simple.^[134]

Even more remarkably, there is a general set of laws known as black hole mechanics, which is analogous to the laws of thermodynamics. For instance, by the second law of black hole mechanics, the area of the event horizon of a general black hole will never decrease with time, analogous to the entropy of a thermodynamic system. This limits the energy that can be extracted by classical means from a rotating black hole (e.g. by the Penrose process).^[135] There is strong evidence that the laws of black hole mechanics are, in fact, a subset of the laws of thermodynamics, and that the black hole area is proportional to its entropy.^[136] This leads to a modification of the original laws of black hole mechanics: for instance, as the second law of black hole mechanics becomes part of the second law of thermodynamics, it is possible for black hole area to decrease—as long

as other processes ensure that, overall, entropy increases. As thermodynamical objects with non-zero temperature, black holes should emit thermal radiation. Semi-classical calculations indicate that indeed they do, with the surface gravity playing the role of temperature in Planck's law. This radiation is known as Hawking radiation (cf. the quantum theory section, below).^[137]

There are other types of horizons. In an expanding universe, an observer may find that some regions of the past cannot be observed ("particle horizon"), and some regions of the future cannot be influenced (event horizon).^[138]

Even in flat Minkowski space, when described by an accelerated observer (Rindler space), there will be horizons associated with a semi-classical radiation known as Unruh radiation.^[139]

Singularities

Another general—and quite disturbing—feature of general relativity is the appearance of spacetime boundaries known as singularities. Spacetime can be explored by following up on timelike and lightlike geodesics—all possible ways that light and particles in free fall can travel. But some solutions of Einstein's equations have "ragged edges"—regions known as spacetime singularities, where the paths of light and falling particles come to an abrupt end, and geometry becomes ill-defined. In the more interesting cases, these are "curvature singularities", where geometrical quantities characterizing spacetime curvature, such as the Ricci scalar, take on infinite values.^[140] Well-known examples of spacetimes with future singularities—where worldlines end—are the Schwarzschild solution, which describes a singularity inside an eternal static black hole,^[141] or the Kerr solution with its ring-shaped singularity inside an eternal rotating black hole.^[142] The Friedmann-Lemaître-Robertson-Walker solutions and other spacetimes describing universes have past singularities on which worldlines begin, namely big bang singularities, and some have future singularities (big crunch) as well.^[143]

Given that these examples are all highly symmetric—and thus simplified—it is tempting to conclude that the occurrence of singularities is an artefact of idealization.^[144] The famous singularity theorems, proved using the methods of global geometry, say otherwise: singularities are a generic feature of general relativity, and unavoidable once the collapse of an object with realistic matter properties has proceeded beyond a certain stage^[145] and also at the beginning of a wide class of expanding universes.^[146] However, the theorems say little about the properties of singularities, and much of current research is devoted to characterizing these entities' generic structure (hypothesized e.g. by the so-called BKL conjecture).^[147] The cosmic censorship hypothesis states that all realistic future singularities (no perfect symmetries, matter with realistic properties) are safely hidden away behind a horizon, and thus invisible to all distant observers. While no formal proof yet exists, numerical simulations offer supporting evidence of its validity.^[148]

Evolution equations

Each solution of Einstein's equation encompasses the whole history of a universe — it is not just some snapshot of how things are, but a whole, possibly matter-filled, spacetime. It describes the state of matter and geometry everywhere and at every moment in that particular universe. Due to its general covariance, Einstein's theory is not sufficient by itself to determine the time evolution of the metric tensor. It must be combined with a coordinate condition, which is analogous to gauge fixing in other field theories.^[149]

To understand Einstein's equations as partial differential equations, it is helpful to formulate them in a way that describes the evolution of the universe over time. This is done in so-called "3+1" formulations, where spacetime is split into three space dimensions and one time dimension. The best-known example is the ADM formalism.^[150] These decompositions show that the spacetime evolution equations of general relativity are well-behaved: solutions always exist, and are uniquely defined, once suitable initial conditions have been specified.^[151] Such formulations of Einstein's field equations are the basis of numerical relativity.^[152]

Global and quasi-local quantities

The notion of evolution equations is intimately tied in with another aspect of general relativistic physics. In Einstein's theory, it turns out to be impossible to find a general definition for a seemingly simple property such as a system's total mass (or energy). The main reason is that the gravitational field—like any physical field—must be ascribed a certain energy, but that it proves to be fundamentally impossible to localize that energy.^[153]

Nevertheless, there are possibilities to define a system's total mass, either using a hypothetical "infinitely distant observer" (ADM mass)^[154] or suitable symmetries (Komar mass).^[155] If one excludes from the system's total mass the energy being carried away to infinity by gravitational waves, the result is the so-called Bondi mass at null infinity.^[156] Just as in classical physics, it can be shown that these masses are positive.^[157] Corresponding global definitions exist for momentum and angular momentum.^[158] There have also been a number of attempts to define *quasi-local* quantities, such as the mass of an isolated system formulated using only quantities defined within a finite region of space containing that system. The hope is to obtain a quantity useful for general statements about isolated systems, such as a more precise formulation of the hoop conjecture.^[159]

Relationship with quantum theory

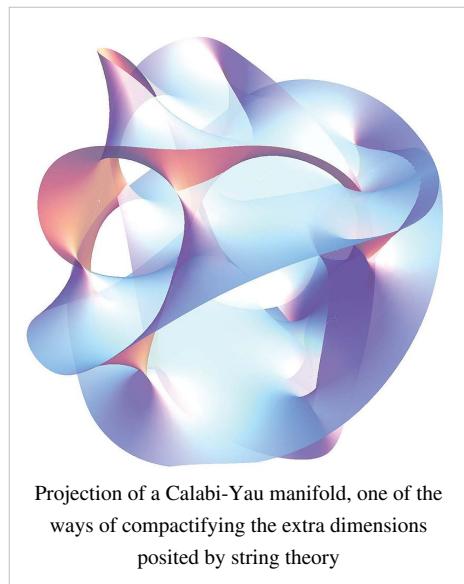
If general relativity is considered one of the two pillars of modern physics, quantum theory, the basis of understanding matter from elementary particles to solid state physics, is the other.^[160] However, it is still an open question as to how the concepts of quantum theory can be reconciled with those of general relativity.

Quantum field theory in curved spacetime

Ordinary quantum field theories, which form the basis of modern elementary particle physics, are defined in flat Minkowski space, which is an excellent approximation when it comes to describing the behavior of microscopic particles in weak gravitational fields like those found on Earth.^[161] In order to describe situations in which gravity is strong enough to influence (quantum) matter, yet not strong enough to require quantization itself, physicists have formulated quantum field theories in curved spacetime. These theories rely on classical general relativity to describe a curved background spacetime, and define a generalized quantum field theory to describe the behavior of quantum matter within that spacetime.^[162] Using this formalism, it can be shown that black holes emit a blackbody spectrum of particles known as Hawking radiation, leading to the possibility that they evaporate over time.^[163] As briefly mentioned above, this radiation plays an important role for the thermodynamics of black holes.^[164]

Quantum gravity

The demand for consistency between a quantum description of matter and a geometric description of spacetime,^[165] as well as the appearance of singularities (where curvature length scales become microscopic), indicate the need for a full theory of quantum gravity: for an adequate description of the interior of black holes, and of the very early universe, a theory is required in which gravity and the associated geometry of spacetime are described in the language of quantum physics.^[166] Despite major efforts, no complete and consistent theory of quantum gravity is currently known, even though a number of promising candidates exist.^[167]



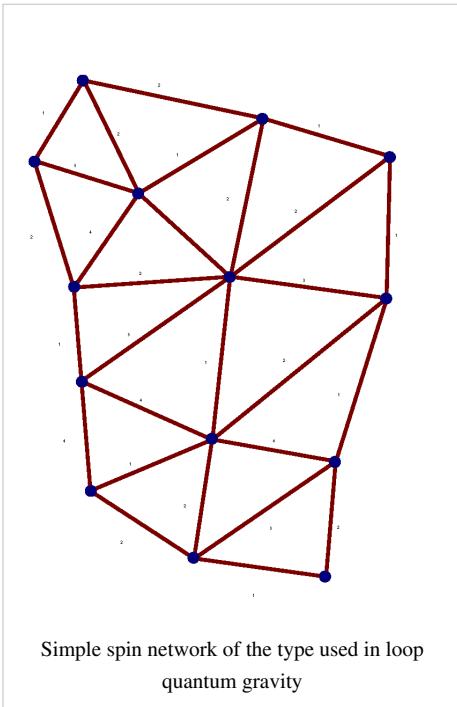
Attempts to generalize ordinary quantum field theories, used in elementary particle physics to describe fundamental interactions, so as to include gravity have led to serious problems. At low energies, this approach proves successful, in that it results in an acceptable effective (quantum) field theory of gravity.^[168] At very high energies, however, the result are models devoid of all predictive power ("non-renormalizability").^[169]

One attempt to overcome these limitations is string theory, a quantum theory not of point particles, but of minute one-dimensional extended objects.^[170] The theory promises to be a unified description of all particles and interactions, including gravity,^[171] the price to pay is unusual features such as six extra dimensions of space in addition to the usual three.^[172] In what is called the second superstring revolution, it was conjectured that both string theory and a unification of general relativity and supersymmetry known as supergravity^[173] form part of a hypothesized eleven-dimensional model known as M-theory, which would constitute a uniquely defined and consistent theory of quantum gravity.^[174]

Another approach starts with the canonical quantization procedures of quantum theory. Using the initial-value-formulation of general relativity (cf. the section on evolution equations, above), the result is the Wheeler-deWitt equation (an analogue of the Schrödinger equation) which, regrettably, turns out to be ill-defined.^[175] However, with the introduction of what are now known as Ashtekar variables,^[176] this leads to a promising model known as loop quantum gravity. Space is represented by a web-like structure called a spin network, evolving over time in discrete steps.^[177]

Depending on which features of general relativity and quantum theory are accepted unchanged, and on what level changes are introduced,^[178] there are numerous other attempts to arrive at a viable theory of quantum gravity, some examples being dynamical triangulations,^[179] causal sets,^[180] twistor models^[181] or the path-integral based models of quantum cosmology.^[182]

All candidate theories still have major formal and conceptual problems to overcome. They also face the common problem that, as yet, there is no way to put quantum gravity predictions to experimental tests (and thus to decide between the candidates where their predictions vary), although there is hope for this to change as future data from cosmological observations and particle physics experiments becomes available.^[183]



Current status

General relativity has emerged as a highly successful model of gravitation and cosmology, which has so far passed every unambiguous observational and experimental test. Even so, there are strong indications the theory is incomplete.^[184] The problem of quantum gravity and the question of the reality of spacetime singularities remain open.^[185] Observational data that is taken as evidence for dark energy and dark matter could indicate the need for new physics,^[186] and while the so-called *Pioneer* anomaly might yet admit of a conventional explanation, it, too, could be a harbinger of new physics.^[187] Even taken as is, general relativity is rich with possibilities for further exploration. Mathematical relativists seek to understand the nature of singularities and the fundamental properties of Einstein's equations,^[188] and increasingly powerful computer simulations (such as those describing merging black holes) are run.^[189] The race for the first direct detection of gravitational waves continues apace,^[190] in the hope of creating opportunities to test the theory's validity for much stronger gravitational fields than has been possible to date.^[191] More than ninety years after its publication, general relativity remains a highly active area of research.^[192]

Notes

- [1] "Nobel Prize Biography" (http://nobelprize.org/nobel_prizes/physics/lauriates/1921/einstein-bio.html). *Nobel Prize Biography*. Nobel Prize. . Retrieved 25 February 2011.
- [2] Pais 1982, ch. 9 to 15, Janssen 2005; an up-to-date collection of current research, including reprints of many of the original articles, is Renn 2007; an accessible overview can be found in Renn 2005, pp. 110ff. An early key article is Einstein 1907, cf. Pais 1982, ch. 9. The publication featuring the field equations is Einstein 1915, cf. Pais 1982, ch. 11–15
- [3] Schwarzschild 1916a, Schwarzschild 1916b and Reissner 1916 (later complemented in Nordström 1918)
- [4] Einstein 1917, cf. Pais 1982, ch. 15e
- [5] Hubble's original article is Hubble 1929; an accessible overview is given in Singh 2004, ch. 2–4
- [6] As reported in Gamow 1970. Einstein's condemnation would prove to be premature, cf. the section Cosmology, below
- [7] Pais 1982, pp. 253–254
- [8] Kennefick 2005, Kennefick 2007
- [9] Pais 1982, ch. 16
- [10] Israel 1987, ch. 7.8–7.10, Thorne 1994, ch. 3–9
- [11] Sections Orbital effects and the relativity of direction, Gravitational time dilation and frequency shift and Light deflection and gravitational time delay, and references therein
- [12] Section Cosmology and references therein; the historical development is in Overbye 1999
- [13] The following exposition re-traces that of Ehlers 1973, sec. 1
- [14] Arnold 1989, ch. 1
- [15] Ehlers 1973, pp. 5f
- [16] Will 1993, sec. 2.4, Will 2006, sec. 2
- [17] Wheeler 1990, ch. 2
- [18] Ehlers 1973, sec. 1.2, Havas 1964, Künzle 1972. The simple thought experiment in question was first described in Heckmann & Schücking 1959
- [19] Ehlers 1973, pp. 10f
- [20] Good introductions are, in order of increasing presupposed knowledge of mathematics, Giulini 2005, Mermin 2005, and Rindler 1991; for accounts of precision experiments, cf. part IV of Ehlers & Lämmerzahl 2006
- [21] An in-depth comparison between the two symmetry groups can be found in Giulini 2006a
- [22] Rindler 1991, sec. 22, Synge 1972, ch. 1 and 2
- [23] Ehlers 1973, sec. 2.3
- [24] Ehlers 1973, sec. 1.4, Schutz 1985, sec. 5.1
- [25] Ehlers 1973, pp. 17ff; a derivation can be found in Mermin 2005, ch. 12. For the experimental evidence, cf. the section Gravitational time dilation and frequency shift, below
- [26] Rindler 2001, sec. 1.13; for an elementary account, see Wheeler 1990, ch. 2; there are, however, some differences between the modern version and Einstein's original concept used in the historical derivation of general relativity, cf. Norton 1985
- [27] Ehlers 1973, sec. 1.4 for the experimental evidence, see once more section Gravitational time dilation and frequency shift. Choosing a different connection with non-zero torsion leads to a modified theory known as Einstein-Cartan theory
- [28] Ehlers 1973, p. 16, Kenyon 1990, sec. 7.2, Weinberg 1972, sec. 2.8
- [29] Ehlers 1973, pp. 19–22; for similar derivations, see sections 1 and 2 of ch. 7 in Weinberg 1972. The Einstein tensor is the only divergence-free tensor that is a function of the metric coefficients, their first and second derivatives at most, and allows the spacetime of special relativity as a solution in the absence of sources of gravity, cf. Lovelock 1972. The tensors on both side are of second rank, that is, they

can each be thought of as 4×4 matrices, each of which contains ten independent terms; hence, the above represents ten coupled equations. The fact that, as a consequence of geometric relations known as Bianchi identities, the Einstein tensor satisfies a further four identities reduces these to six independent equations, e.g. Schutz 1985, sec. 8.3

- [30] Kenyon 1990, sec. 7.4
- [31] Brans & Dicke 1961, Weinberg 1972, sec. 3 in ch. 7, Goenner 2004, sec. 7.2, and Trautman 2006, respectively
- [32] Wald 1984, ch. 4, Weinberg 1972, ch. 7 or, in fact, any other text-book on general relativity
- [33] At least approximately, cf. Poisson 2004
- [34] Wheeler 1990, p. xi
- [35] Wald 1984, sec. 4.4
- [36] Wald 1984, sec. 4.1
- [37] For the (conceptual and historical) difficulties in defining a general principle of relativity and separating it from the notion of general covariance, see Giulini 2006b
- [38] section 5 in ch. 12 of Weinberg 1972
- [39] Introductory chapters of Stephani et al. 2003
- [40] A review showing Einstein's equation in the broader context of other PDEs with physical significance is Geroch 1996
- [41] For background information and a list of solutions, cf. Stephani et al. 2003; a more recent review can be found in MacCallum 2006
- [42] Chandrasekhar 1983, ch. 3.5.6
- [43] Narlikar 1993, ch. 4, sec. 3.3
- [44] Brief descriptions of these and further interesting solutions can be found in Hawking & Ellis 1973, ch. 5
- [45] Lehner 2002
- [46] For instance Wald 1984, sec. 4.4
- [47] Will 1993, sec. 4.1 and 4.2
- [48] Will 2006, sec. 3.2, Will 1993, ch. 4
- [49] Rindler 2001, pp. 24–26 vs. pp. 236–237 and Ohanian & Ruffini 1994, pp. 164–172. Einstein derived these effects using the equivalence principle as early as 1907, cf. Einstein 1907 and the description in Pais 1982, pp. 196–198
- [50] Rindler 2001, pp. 24–26; Misner, Thorne & Wheeler 1973, § 38.5
- [51] Pound-Rebka experiment, see Pound & Rebka 1959, Pound & Rebka 1960; Pound & Snider 1964; a list of further experiments is given in Ohanian & Ruffini 1994, table 4.1 on p. 186
- [52] Greenstein, Oke & Shipman 1971; the most recent and most accurate Sirius B measurements are published in Barstow, Bond & Holberg 2005
- [53] Starting with the Hafele-Keating experiment, Hafele & Keating 1972a and Hafele & Keating 1972b, and culminating in the Gravity Probe A experiment; an overview of experiments can be found in Ohanian & Ruffini 1994, table 4.1 on p. 186
- [54] GPS is continually tested by comparing atomic clocks on the ground and aboard orbiting satellites; for an account of relativistic effects, see Ashby 2002 and Ashby 2003
- [55] Stairs 2003 and Kramer 2004
- [56] General overviews can be found in section 2.1. of Will 2006; Will 2003, pp. 32–36; Ohanian & Ruffini 1994, sec. 4.2
- [57] Ohanian & Ruffini 1994, pp. 164–172
- [58] Cf. Kennefick 2005 for the classic early measurements by the Eddington expeditions; for an overview of more recent measurements, see Ohanian & Ruffini 1994, ch. 4.3. For the most precise direct modern observations using quasars, cf. Shapiro et al. 2004
- [59] This is not an independent axiom; it can be derived from Einstein's equations and the Maxwell Lagrangian using a WKB approximation, cf. Ehlers 1973, sec. 5
- [60] Blanchet 2006, sec. 1.3
- [61] Rindler 2001, sec. 1.16; for the historical examples, Israel 1987, pp. 202–204; in fact, Einstein published one such derivation as Einstein 1907. Such calculations tacitly assume that the geometry of space is Euclidean, cf. Ehlers & Rindler 1997
- [62] From the standpoint of Einstein's theory, these derivations take into account the effect of gravity on time, but not its consequences for the warping of space, cf. Rindler 2001, sec. 11.11
- [63] For the Sun's gravitational field using radar signals reflected from planets such as Venus and Mercury, cf. Shapiro 1964, Weinberg 1972, ch. 8, sec. 7; for signals actively sent back by space probes (transponder measurements), cf. Bertotti, Iess & Tortora 2003; for an overview, see Ohanian & Ruffini 1994, table 4.4 on p. 200; for more recent measurements using signals received from a pulsar that is part of a binary system, the gravitational field causing the time delay being that of the other pulsar, cf. Stairs 2003, sec. 4.4
- [64] Will 1993, sec. 7.1 and 7.2
- [65] These have been indirectly observed through the loss of energy in binary pulsar systems such as the Hulse-Taylor binary, the subject of the 1993 Nobel Prize in physics. A number of projects are underway to attempt to observe directly the effects of gravitational waves. For an overview, see Misner, Thorne & Wheeler 1973, part VIII. Unlike electromagnetic waves, the dominant contribution for gravitational waves is not the dipole, but the quadrupole; see Schutz 2001
- [66] Most advanced textbooks on general relativity contain a description of these properties, e.g. Schutz 1985, ch. 9
- [67] For example Jaranowski & Królak 2005
- [68] Rindler 2001, ch. 13
- [69] Gowdy 1971, Gowdy 1974

- [70] See Lehner 2002 for a brief introduction to the methods of numerical relativity, and Seidel 1998 for the connection with gravitational wave astronomy
- [71] Schutz 2003, pp. 48–49, Pais 1982, pp. 253–254
- [72] Rindler 2001, sec. 11.9
- [73] Will 1993, pp. 177–181
- [74] In consequence, in the parameterized post-Newtonian formalism (PPN), measurements of this effect determine a linear combination of the terms β and γ , cf. Will 2006, sec. 3.5 and Will 1993, sec. 7.3
- [75] The most precise measurements are VLBI measurements of planetary positions; see Will 1993, ch. 5, Will 2006, sec. 3.5, Anderson et al. 1992; for an overview, Ohanian & Ruffini 1994, pp. 406–407
- [76] Kramer et al. 2006
- [77] A figure that includes error bars is fig. 7 in Will 2006, sec. 5.1
- [78] Stairs 2003, Schutz 2003, pp. 317–321, Bartusiak 2000, pp. 70–86
- [79] Weisberg & Taylor 2003; for the pulsar discovery, see Hulse & Taylor 1975; for the initial evidence for gravitational radiation, see Taylor 1994
- [80] Kramer 2004
- [81] Penrose 2004, §14.5, Misner, Thorne & Wheeler 1973, §11.4
- [82] Weinberg 1972, sec. 9.6, Ohanian & Ruffini 1994, sec. 7.8
- [83] Bertotti, Ciufolini & Bender 1987, Nordtvedt 2003
- [84] Kahn 2007
- [85] Townsend 1997, sec. 4.2.1, Ohanian & Ruffini 1994, pp. 469–471
- [86] Ohanian & Ruffini 1994, sec. 4.7, Weinberg 1972, sec. 9.7; for a more recent review, see Schäfer 2004
- [87] Ciufolini & Pavlis 2004, Ciufolini, Pavlis & Peron 2006, Iorio 2009
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- [90] A mission description can be found in Everitt et al. 2001; a first post-flight evaluation is given in Everitt, Parkinson & Kahn 2007; further updates will be available on the mission website Kahn 1996–2008
- [91] For overviews of gravitational lensing and its applications, see Ehlers, Falco & Schneider 1992 and Wambsganss 1998
- [92] For a simple derivation, see Schutz 2003, ch. 23; cf. Narayan & Bartelmann 1997, sec. 3
- [93] Walsh, Carswell & Weymann 1979
- [94] Images of all the known lenses can be found on the pages of the CASTLES project, Kochanek et al. 2007
- [95] Roulet & Mollerach 1997
- [96] Narayan & Bartelmann 1997, sec. 3.7
- [97] Barish 2005, Bartusiak 2000, Blair & McNamara 1997
- [98] Hough & Rowan 2000
- [99] Danzmann & Rüdiger 2003
- [100] Landgraf, Hechler & Kemble 2005
- [101] Thorne 1995
- [102] Cutler & Thorne 2002
- [103] Miller 2002, lectures 19 and 21
- [104] Celotti, Miller & Sciama 1999, sec. 3
- [105] Springel et al. 2005 and the accompanying summary Gnedin 2005
- [106] Blandford 1987, sec. 8.2.4
- [107] For the basic mechanism, see Carroll & Ostlie 1996, sec. 17.2; for more about the different types of astronomical objects associated with this, cf. Robson 1996
- [108] For a review, see Begelman, Blandford & Rees 1984. To a distant observer, some of these jets even appear to move faster than light; this, however, can be explained as an optical illusion that does not violate the tenets of relativity, see Rees 1966
- [109] For stellar end states, cf. Oppenheimer & Snyder 1939 or, for more recent numerical work, Font 2003, sec. 4.1; for supernovae, there are still major problems to be solved, cf. Buras et al. 2003; for simulating accretion and the formation of jets, cf. Font 2003, sec. 4.2. Also, relativistic lensing effects are thought to play a role for the signals received from X-ray pulsars, cf. Kraus 1998
- [110] The evidence includes limits on compactness from the observation of accretion-driven phenomena ("Eddington luminosity"), see Celotti, Miller & Sciama 1999, observations of stellar dynamics in the center of our own Milky Way galaxy, cf. Schödel et al. 2003, and indications that at least some of the compact objects in question appear to have no solid surface, which can be deduced from the examination of X-ray bursts for which the central compact object is either a neutron star or a black hole; cf. Remillard et al. 2006 for an overview, Narayan 2006, sec. 5. Observations of the "shadow" of the Milky Way galaxy's central black hole horizon are eagerly sought for, cf. Falcke, Melia & Agol 2000
- [111] Dalal et al. 2006
- [112] Barack & Cutler 2004

- [113] Originally Einstein 1917; cf. Pais 1982, pp. 285–288
- [114] Carroll 2001, ch. 2
- [115] Bergström & Goobar 2003, ch. 9–11; use of these models is justified by the fact that, at large scales of around hundred million light-years and more, our own universe indeed appears to be isotropic and homogeneous, cf. Peebles et al. 1991
- [116] E.g. with WMAP data, see Spergel et al. 2003
- [117] These tests involve the separate observations detailed further on, see, e.g., fig. 2 in Bridle et al. 2003
- [118] Peebles 1966; for a recent account of predictions, see Coc et al. 2004; an accessible account can be found in Weiss 2006; compare with the observations in Olive & Skillman 2004, Bania, Rood & Balser 2002, O'Meara et al. 2001, and Charbonnel & Primas 2005
- [119] Lahav & Suto 2004, Bertschinger 1998, Springel et al. 2005
- [120] Alpher & Herman 1948, for a pedagogical introduction, see Bergström & Goobar 2003, ch. 11; for the initial detection, see Penzias & Wilson 1965 and, for precision measurements by satellite observatories, Mather et al. 1994 (COBE) and Bennett et al. 2003 (WMAP). Future measurements could also reveal evidence about gravitational waves in the early universe; this additional information is contained in the background radiation's polarization, cf. Kamionkowski, Kosowsky & Stebbins 1997 and Seljak & Zaldarriaga 1997
- [121] Evidence for this comes from the determination of cosmological parameters and additional observations involving the dynamics of galaxies and galaxy clusters cf. Peebles 1993, ch. 18, evidence from gravitational lensing, cf. Peacock 1999, sec. 4.6, and simulations of large-scale structure formation, see Springel et al. 2005
- [122] Peacock 1999, ch. 12, Peskin 2007; in particular, observations indicate that all but a negligible portion of that matter is not in the form of the usual elementary particles ("non-baryonic matter"), cf. Peacock 1999, ch. 12
- [123] Namely, some physicists have questioned whether or not the evidence for dark matter is, in fact, evidence for deviations from the Einsteinian (and the Newtonian) description of gravity cf. the overview in Mannheim 2006, sec. 9
- [124] Carroll 2001; an accessible overview is given in Caldwell 2004. Here, too, scientists have argued that the evidence indicates not a new form of energy, but the need for modifications in our cosmological models, cf. Mannheim 2006, sec. 10; aforementioned modifications need not be modifications of general relativity, they could, for example, be modifications in the way we treat the inhomogeneities in the universe, cf. Buchert 2007
- [125] A good introduction is Linde 1990; for a more recent review, see Linde 2005
- [126] More precisely, these are the flatness problem, the horizon problem, and the monopole problem; a pedagogical introduction can be found in Narlikar 1993, sec. 6.4, see also Börner 1993, sec. 9.1
- [127] Spergel et al. 2007, sec. 5.6
- [128] More concretely, the potential function that is crucial to determining the dynamics of the inflaton is simply postulated, but not derived from an underlying physical theory
- [129] Brandenberger 2007, sec. 2
- [130] Frauendiener 2004, Wald 1984, sec. 11.1, Hawking & Ellis 1973, sec. 6.8, 6.9
- [131] Wald 1984, sec. 9.2–9.4 and Hawking & Ellis 1973, ch. 6
- [132] Thorne 1972; for more recent numerical studies, see Berger 2002, sec. 2.1
- [133] Israel 1987. A more exact mathematical description distinguishes several kinds of horizon, notably event horizons and apparent horizons cf. Hawking & Ellis 1973, pp. 312–320 or Wald 1984, sec. 12.2; there are also more intuitive definitions for isolated systems that do not require knowledge of spacetime properties at infinity, cf. Ashtekar & Krishnan 2004
- [134] For first steps, cf. Israel 1971; see Hawking & Ellis 1973, sec. 9.3 or Heusler 1996, ch. 9 and 10 for a derivation, and Heusler 1998 as well as Beig & Chruściel 2006 as overviews of more recent results
- [135] The laws of black hole mechanics were first described in Bardeen, Carter & Hawking 1973; a more pedagogical presentation can be found in Carter 1979; for a more recent review, see Wald 2001, ch. 2. A thorough, book-length introduction including an introduction to the necessary mathematics Poisson 2004. For the Penrose process, see Penrose 1969
- [136] Bekenstein 1973, Bekenstein 1974
- [137] The fact that black holes radiate, quantum mechanically, was first derived in Hawking 1975; a more thorough derivation can be found in Wald 1975. A review is given in Wald 2001, ch. 3
- [138] Narlikar 1993, sec. 4.4.4, 4.4.5
- [139] Horizons: cf. Rindler 2001, sec. 12.4. Unruh effect: Unruh 1976, cf. Wald 2001, ch. 3
- [140] Hawking & Ellis 1973, sec. 8.1, Wald 1984, sec. 9.1
- [141] Townsend 1997, ch. 2; a more extensive treatment of this solution can be found in Chandrasekhar 1983, ch. 3
- [142] Townsend 1997, ch. 4; for a more extensive treatment, cf. Chandrasekhar 1983, ch. 6
- [143] Ellis & van Elst 1999; a closer look at the singularity itself is taken in Börner 1993, sec. 1.2
- [144] Here one should remind to the well-known fact that the important "quasi-optical" singularities of the so-called eikonal approximations of many wave-equations, namely the "caustics", are resolved into finite peaks beyond that approximation.
- [145] Namely when there are trapped null surfaces, cf. Penrose 1965
- [146] Hawking 1966
- [147] The conjecture was made in Belinskii, Khalatnikov & Lifschitz 1971; for a more recent review, see Berger 2002. An accessible exposition is given by Garfinkle 2007
- [148] The restriction to future singularities naturally excludes initial singularities such as the big bang singularity, which in principle be visible to observers at later cosmic time. The cosmic censorship conjecture was first presented in Penrose 1969; a text-book level account is given in

- Wald 1984, pp. 302–305. For numerical results, see the review Berger 2002, sec. 2.1
- [149] Hawking & Ellis 1973, sec. 7.1
- [150] Arnowitt, Deser & Misner 1962; for a pedagogical introduction, see Misner, Thorne & Wheeler 1973, §21.4–§21.7
- [151] Fourès-Bruhat 1952 and Bruhat 1962; for a pedagogical introduction, see Wald 1984, ch. 10; an online review can be found in Reula 1998
- [152] Gourgoulhon 2007; for a review of the basics of numerical relativity, including the problems arising from the peculiarities of Einstein's equations, see Lehner 2001
- [153] Misner, Thorne & Wheeler 1973, §20.4
- [154] Arnowitt, Deser & Misner 1962
- [155] Komar 1959; for a pedagogical introduction, see Wald 1984, sec. 11.2; although defined in a totally different way, it can be shown to be equivalent to the ADM mass for stationary spacetimes, cf. Ashtekar & Magnon-Ashtekar 1979
- [156] For a pedagogical introduction, see Wald 1984, sec. 11.2
- [157] Wald 1984, p. 295 and refs therein; this is important for questions of stability—if there were negative mass states, then flat, empty Minkowski space, which has mass zero, could evolve into these states
- [158] Townsend 1997, ch. 5
- [159] Such quasi-local mass-energy definitions are the Hawking energy, Geroch energy, or Penrose's quasi-local energy-momentum based on twistor methods; cf. the review article Szabados 2004
- [160] An overview of quantum theory can be found in standard textbooks such as Messiah 1999; a more elementary account is given in Hey & Walters 2003
- [161] Ramond 1990, Weinberg 1995, Peskin & Schroeder 1995; a more accessible overview is Auyang 1995
- [162] Wald 1994, Birrell & Davies 1984
- [163] For Hawking radiation Hawking 1975, Wald 1975; an accessible introduction to black hole evaporation can be found in Traschen 2000
- [164] Wald 2001, ch. 3
- [165] Put simply, matter is the source of spacetime curvature, and once matter has quantum properties, we can expect spacetime to have them as well. Cf. Carlip 2001, sec. 2
- [166] Schutz 2003, p. 407
- [167] A timeline and overview can be found in Rovelli 2000
- [168] Donoghue 1995
- [169] In particular, a technique known as renormalization, an integral part of deriving predictions which take into account higher-energy contributions, cf. Weinberg 1996, ch. 17, 18, fails in this case; cf. Goroff & Sagnotti 1985
- [170] An accessible introduction at the undergraduate level can be found in Zwiebach 2004; more complete overviews can be found in Polchinski 1998a and Polchinski 1998b
- [171] At the energies reached in current experiments, these strings are indistinguishable from point-like particles, but, crucially, different modes of oscillation of one and the same type of fundamental string appear as particles with different (electric and other) charges, e.g. Ibanez 2000. The theory is successful in that one mode will always correspond to a graviton, the messenger particle of gravity, e.g. Green, Schwarz & Witten 1987, sec. 2.3, 5.3
- [172] Green, Schwarz & Witten 1987, sec. 4.2
- [173] Weinberg 2000, ch. 31
- [174] Townsend 1996, Duff 1996
- [175] Kuchař 1973, sec. 3
- [176] These variables represent geometric gravity using mathematical analogues of electric and magnetic fields; cf. Ashtekar 1986, Ashtekar 1987
- [177] For a review, see Thiemann 2006; more extensive accounts can be found in Rovelli 1998, Ashtekar & Lewandowski 2004 as well as in the lecture notes Thiemann 2003
- [178] Isham 1994, Sorkin 1997
- [179] Loll 1998
- [180] Sorkin 2005
- [181] Penrose 2004, ch. 33 and refs therein
- [182] Hawking 1987
- [183] Ashtekar 2007, Schwarz 2007
- [184] Maddox 1998, pp. 52–59, 98–122; Penrose 2004, sec. 34.1, ch. 30
- [185] section Quantum gravity, above
- [186] section Cosmology, above
- [187] Nieto 2006
- [188] Friedrich 2005
- [189] A review of the various problems and the techniques being developed to overcome them, see Lehner 2002
- [190] See Bartusiak 2000 for an account up to that year; up-to-date news can be found on the websites of major detector collaborations such as GEO 600 (<http://geo600.aei.mpg.de>) and LIGO (<http://www.ligo.caltech.edu/>)
- [191] For the most recent papers on gravitational wave polarizations of inspiralling compact binaries, see Blanchet et al. 2008, and Arun et al. 2007; for a review of work on compact binaries, see Blanchet 2006 and Futamase & Itoh 2006; for a general review of experimental tests of

general relativity, see Will 2006

[192] See, e.g., the electronic review journal Living Reviews in Relativity (<http://relativity.livingreviews.org>)

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External links

- *Relativity: The special and general theory* (<http://publicliterature.org/books/relativity/xa.php>) (PDF (<http://publicliterature.org/pdf/relat10.pdf>))
- Einstein Online (<http://www.einstein-online.info>) – Articles on a variety of aspects of relativistic physics for a general audience; hosted by the Max Planck Institute for Gravitational Physics
- NCSA Spacetime Wrinkles (<http://archive.ncsa.uiuc.edu/Cyberia/NumRel/NumRelHome.html>) – produced by the numerical relativity group at the NCSA, with an elementary introduction to general relativity

Courses/Lectures/Tutorials

- Video Lectures on General Relativity (<http://video.google.ca/videosearch?q=General+Relativity+MIT+Physics+Lecture>) by MIT Physics Professor Edmund Bertschinger.
- Series of lectures on General Relativity (<http://www.luth.obspm.fr/IHP06/>) given in 2006 at the Institut Henri Poincaré (introductory courses and advanced ones).
- Relativity, Gravity and Cosmology (http://web.mit.edu/professional/short-programs/courses_topic.html)
- General Relativity Tutorials (<http://www.math.ucr.edu/home/baez/gr/>) by John Baez
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Quantum mechanics

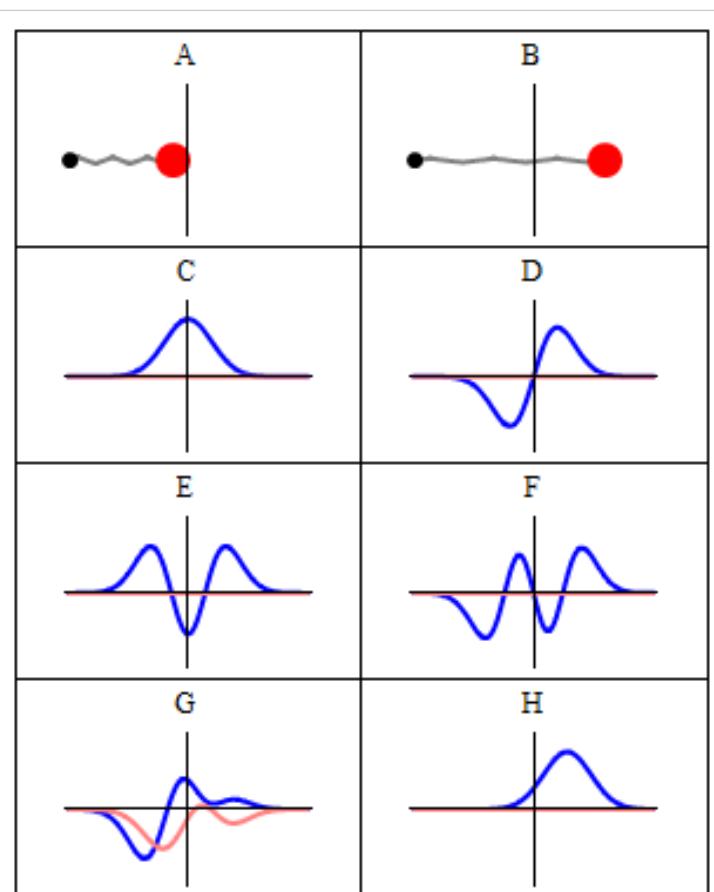
Quantum mechanics, also known as **quantum physics** or **quantum theory**, is a branch of physics providing a mathematical description of the dual particle-like and wave-like behaviour and interaction of matter and energy.

Quantum mechanics departs from classical mechanics primarily at the atomic and sub-atomic scales, the so-called quantum realm. In special cases some quantum mechanical processes are macroscopic, but these emerge only at extremely low or extremely high energies or temperatures.

The term was coined by Max Planck, and derives from the observation that some physical quantities can be changed only by discrete amounts, or quanta, as multiples of the Planck constant, rather than being capable of varying continuously or by any arbitrary amount. For example, the angular momentum, or more generally the action, of an electron bound into an atom or molecule is quantized. Although an unbound electron does not exhibit quantized energy levels, one which is bound in an atomic orbital has quantized values of angular momentum. In the context of quantum mechanics, the wave-particle duality of energy and matter and the uncertainty principle provide a unified view of the behavior of photons, electrons and other atomic-scale objects.

The mathematical formulations of quantum mechanics are abstract. Similarly, the implications are often counter-intuitive in terms of classical physics. The centerpiece of the mathematical formulation is the wavefunction (defined by Schrödinger's wave equation), which describes the probability amplitude of the position and momentum of a particle. Mathematical manipulations of the wavefunction usually involve the bra-ket notation, which requires an understanding of complex numbers and linear functionals. The wavefunction treats the object as a quantum harmonic oscillator and the mathematics is akin to that of acoustic resonance.

Many of the results of quantum mechanics do not have models that are easily visualized in terms of classical mechanics; for instance, the ground state in the quantum mechanical model is a non-zero energy state that is the lowest permitted energy state of a system, rather than a traditional classical system that is thought of as simply being at rest with zero kinetic energy.



Some trajectories of a harmonic oscillator (a ball attached to a spring) in classical mechanics (A-B) and quantum mechanics (C-H). In quantum mechanics, the position of the ball is represented by a wave (called the wavefunction), with real part shown in blue and imaginary part in red. Some of the trajectories, such as

C,D,E,F, are standing waves (or "stationary states"). Each standing-wave frequency is proportional to a possible energy level of the oscillator. This "energy quantization" does not occur in classical physics, where the oscillator can have *any* energy.

Fundamentally, it attempts to explain the peculiar behaviour of matter and energy at the subatomic level—an attempt which has produced more accurate results than classical physics in predicting how individual particles behave. But many unexplained anomalies remain.

Historically, the earliest versions of quantum mechanics were formulated in the first decade of the 20th Century, around the time that atomic theory and the corpuscular theory of light as interpreted by Einstein first came to be widely accepted as scientific fact; these latter theories can be viewed as quantum theories of matter and electromagnetic radiation.

Following Schrödinger's breakthrough in deriving his wave equation in the mid-1920s, quantum theory was significantly reformulated away from the old quantum theory, towards the quantum mechanics of Werner Heisenberg, Max Born, Wolfgang Pauli and their associates, becoming a science of probabilities based upon the Copenhagen interpretation of Niels Bohr. By 1930, the reformulated theory had been further unified and formalized by the work of Paul Dirac and John von Neumann, with a greater emphasis placed on measurement, the statistical nature of our knowledge of reality, and philosophical speculations about the role of the observer.

The Copenhagen interpretation quickly became (and remains) the orthodox interpretation. However, due to the absence of conclusive experimental evidence there are also many competing interpretations.

Quantum mechanics has since branched out into almost every aspect of physics, and into other disciplines such as quantum chemistry, quantum electronics, quantum optics and quantum information science. Much 19th Century physics has been re-evaluated as the classical limit of quantum mechanics and its more advanced developments in terms of quantum field theory, string theory, and speculative quantum gravity theories.

History

The history of quantum mechanics dates back to the 1838 discovery of cathode rays by Michael Faraday. This was followed by the 1859 statement of the black body radiation problem by Gustav Kirchhoff, the 1877 suggestion by Ludwig Boltzmann that the energy states of a physical system can be discrete, and the 1900 quantum hypothesis of Max Planck.^[1] Planck's hypothesis that energy is radiated and absorbed in discrete "quanta", or "energy elements", precisely matched the observed patterns of black body radiation. According to Planck, each energy element E is proportional to its frequency ν :

$$E = h\nu$$

where h is Planck's constant. Planck cautiously insisted that this was simply an aspect of the processes of absorption and emission of radiation and had nothing to do with the physical reality of the radiation itself.^[2] However, in 1905 Albert Einstein interpreted Planck's quantum hypothesis realistically and used it to explain the photoelectric effect, in which shining light on certain materials can eject electrons from the material. Einstein postulated that light itself consists of individual quanta of energy, later called photons.^[3]

The foundations of quantum mechanics were established during the first half of the twentieth century by Niels Bohr, Werner Heisenberg, Max Planck, Louis de Broglie, Albert Einstein, Erwin Schrödinger, Max Born, John von Neumann, Paul Dirac, Wolfgang Pauli, David Hilbert, and others. In the mid-1920s, developments in quantum mechanics led to its becoming the standard formulation for atomic physics. In the summer of 1925, Bohr and Heisenberg published results that closed the "Old Quantum Theory". Out of deference to their dual state as particles, light quanta came to be called photons (1926). From Einstein's simple postulation was born a flurry of debating, theorizing and testing. Thus the entire field of quantum physics emerged, leading to its wider acceptance at the Fifth Solvay Conference in 1927.

The other exemplar that led to quantum mechanics was the study of electromagnetic waves such as light. When it was found in 1900 by Max Planck that the energy of waves could be described as consisting of small packets or quanta, Albert Einstein further developed this idea to show that an electromagnetic wave such as light could be described as a particle - later called the photon - with a discrete energy that was dependent on its frequency. This led

to a theory of unity between subatomic particles and electromagnetic waves called wave–particle duality in which particles and waves were neither one nor the other, but had certain properties of both.

While quantum mechanics traditionally described the world of the very small, it is also needed to explain certain recently investigated macroscopic systems such as superconductors and superfluids.

The word *quantum* derives from Latin, meaning "how great" or "how much".^[4] In quantum mechanics, it refers to a discrete unit that quantum theory assigns to certain physical quantities, such as the energy of an atom at rest (see Figure 1). The discovery that particles are discrete packets of energy with wave-like properties led to the branch of physics dealing with atomic and sub-atomic systems which is today called quantum mechanics. It is the underlying mathematical framework of many fields of physics and chemistry, including condensed matter physics, solid-state physics, atomic physics, molecular physics, computational physics, computational chemistry, quantum chemistry, particle physics, nuclear chemistry, and nuclear physics.^[5] Some fundamental aspects of the theory are still actively studied.^[6]

Quantum mechanics is essential to understand the behavior of systems at atomic length scales and smaller. For example, if classical mechanics governed the workings of an atom, electrons would rapidly travel towards and collide with the nucleus, making stable atoms impossible. However, in the natural world the electrons normally remain in an uncertain, non-deterministic "smeared" (wave–particle wave function) orbital path around or through the nucleus, defying classical electromagnetism.^[7]

Quantum mechanics was initially developed to provide a better explanation of the atom, especially the differences in the spectra of light emitted by different isotopes of the same element. The quantum theory of the atom was developed as an explanation for the electron remaining in its orbit, which could not be explained by Newton's laws of motion and Maxwell's laws of classical electromagnetism.

Broadly speaking, quantum mechanics incorporates four classes of phenomena for which classical physics cannot account:

- The quantization of certain physical properties
- Wave–particle duality
- The uncertainty principle
- Quantum entanglement

Mathematical formulations

In the mathematically rigorous formulation of quantum mechanics developed by Paul Dirac^[8] and John von Neumann,^[9] the possible states of a quantum mechanical system are represented by unit vectors (called "state vectors"). Formally, these reside in a complex separable Hilbert space (variously called the "state space" or the "associated Hilbert space" of the system) well defined up to a complex number of norm 1 (the phase factor). In other words, the possible states are points in the projective space of a Hilbert space, usually called the complex projective space. The exact nature of this Hilbert space is dependent on the system; for example, the state space for position and momentum states is the space of square-integrable functions, while the state space for the spin of a single proton is just the product of two complex planes. Each observable is represented by a maximally Hermitian (precisely: by a self-adjoint) linear operator acting on the state space. Each eigenstate of an observable corresponds to an eigenvector of the operator, and the associated eigenvalue corresponds to the value of the observable in that eigenstate. If the operator's spectrum is discrete, the observable can only attain those discrete eigenvalues.

In the formalism of quantum mechanics, the state of a system at a given time is described by a complex wave function, also referred to as state vector in a complex vector space.^[10] This abstract mathematical object allows for the calculation of probabilities of outcomes of concrete experiments. For example, it allows one to compute the probability of finding an electron in a particular region around the nucleus at a particular time. Contrary to classical mechanics, one can never make simultaneous predictions of conjugate variables, such as position and momentum,

with accuracy. For instance, electrons may be considered to be located somewhere within a region of space, but with their exact positions being unknown. Contours of constant probability, often referred to as "clouds", may be drawn around the nucleus of an atom to conceptualize where the electron might be located with the most probability. Heisenberg's uncertainty principle quantifies the inability to precisely locate the particle given its conjugate momentum.^[11]

According to one interpretation, as the result of a measurement the wave function containing the probability information for a system collapses from a given initial state to a particular eigenstate. The possible results of a measurement are the eigenvalues of the operator representing the observable — which explains the choice of *Hermitian* operators, for which all the eigenvalues are real. We can find the probability distribution of an observable in a given state by computing the spectral decomposition of the corresponding operator. Heisenberg's uncertainty principle is represented by the statement that the operators corresponding to certain observables do not commute.

The probabilistic nature of quantum mechanics thus stems from the act of measurement. This is one of the most difficult aspects of quantum systems to understand. It was the central topic in the famous Bohr-Einstein debates, in which the two scientists attempted to clarify these fundamental principles by way of thought experiments. In the decades after the formulation of quantum mechanics, the question of what constitutes a "measurement" has been extensively studied. Newer interpretations of quantum mechanics have been formulated that do away with the concept of "wavefunction collapse"; see, for example, the relative state interpretation. The basic idea is that when a quantum system interacts with a measuring apparatus, their respective wavefunctions become entangled, so that the original quantum system ceases to exist as an independent entity. For details, see the article on measurement in quantum mechanics.^[12] Generally, quantum mechanics does not assign definite values. Instead, it makes predictions using probability distributions; that is, it describes the probability of obtaining possible outcomes from measuring an observable. Often these results are skewed by many causes, such as dense probability clouds^[13] or quantum state nuclear attraction.^{[14] [15]} Naturally, these probabilities will depend on the quantum state at the "instant" of the measurement. Hence, uncertainty is involved in the value. There are, however, certain states that are associated with a definite value of a particular observable. These are known as eigenstates of the observable ("eigen" can be translated from German as meaning inherent or characteristic).^[16]

In the everyday world, it is natural and intuitive to think of everything (every observable) as being in an eigenstate. Everything appears to have a definite position, a definite momentum, a definite energy, and a definite time of occurrence. However, quantum mechanics does not pinpoint the exact values of a particle's position and momentum (since they are conjugate pairs) or its energy and time (since they too are conjugate pairs); rather, it only provides a range of probabilities of where that particle might be given its momentum and momentum probability. Therefore, it is helpful to use different words to describe states having *uncertain* values and states having *definite* values (eigenstate). Usually, a system will not be in an eigenstate of the observable (particle) we are interested in. However, if one measures the observable, the wavefunction will instantaneously be an eigenstate (or generalised eigenstate) of that observable. This process is known as wavefunction collapse, a controversial and much debated process.^[17] It involves expanding the system under study to include the measurement device. If one knows the corresponding wave function at the instant before the measurement, one will be able to compute the probability of collapsing into each of the possible eigenstates. For example, the free particle in the previous example will usually have a wavefunction that is a wave packet centered around some mean position x_0 , neither an eigenstate of position nor of momentum. When one measures the position of the particle, it is impossible to predict with certainty the result.^[12] It is probable, but not certain, that it will be near x_0 , where the amplitude of the wave function is large. After the measurement is performed, having obtained some result x , the wave function collapses into a position eigenstate centered at x .^[18]

The time evolution of a quantum state is described by the Schrödinger equation, in which the Hamiltonian (the operator corresponding to the total energy of the system) generates time evolution. The time evolution of wave functions is deterministic in the sense that, given a wavefunction at an initial time, it makes a definite prediction of what the wavefunction will be at any later time.^[19]

During a measurement, on the other hand, the change of the wavefunction into another one is not deterministic; it is unpredictable, i.e. random. A time-evolution simulation can be seen here.^[20] ^[21] Wave functions can change as time progresses. An equation known as the Schrödinger equation describes how wavefunctions change in time, a role similar to Newton's second law in classical mechanics. The Schrödinger equation, applied to the aforementioned example of the free particle, predicts that the center of a wave packet will move through space at a constant velocity, like a classical particle with no forces acting on it. However, the wave packet will also spread out as time progresses, which means that the position becomes more uncertain. This also has the effect of turning position eigenstates (which can be thought of as infinitely sharp wave packets) into broadened wave packets that are no longer position eigenstates.^[22]

Some wave functions produce probability distributions that are constant, or independent of time, such as when in a stationary state of constant energy, time drops out of the absolute square of the wave function. Many systems that are treated dynamically in classical mechanics are described by such "static" wave functions. For example, a single electron in an unexcited atom is pictured classically as a particle moving in a circular trajectory around the atomic nucleus, whereas in quantum mechanics it is described by a static, spherically symmetric wavefunction surrounding the nucleus (Fig. 1). (Note that only the lowest angular momentum states, labeled *s*, are spherically symmetric).^[23]

The Schrödinger equation acts on the entire probability amplitude, not merely its absolute value. Whereas the absolute value of the probability amplitude encodes information about probabilities, its phase encodes information about the interference between quantum states. This gives rise to the wave-like behavior of quantum states. It turns out that analytic solutions of Schrödinger's equation are only available for a small number of model Hamiltonians, of which the quantum harmonic oscillator, the particle in a box, the hydrogen molecular ion and the hydrogen atom are the most important representatives. Even the helium atom, which contains just one more electron than hydrogen, defies all attempts at a fully analytic treatment. There exist several techniques for generating approximate solutions. For instance, in the method known as perturbation theory one uses the analytic results for a simple quantum mechanical model to generate results for a more complicated model related to the simple model by, for example, the addition of a weak potential energy. Another method is the "semi-classical equation of motion" approach, which applies to systems for which quantum mechanics produces weak deviations from classical behavior. The deviations can be calculated based on the classical motion. This approach is important for the field of quantum chaos.

There are numerous mathematically equivalent formulations of quantum mechanics. One of the oldest and most commonly used formulations is the transformation theory proposed by Cambridge theoretical physicist Paul Dirac,

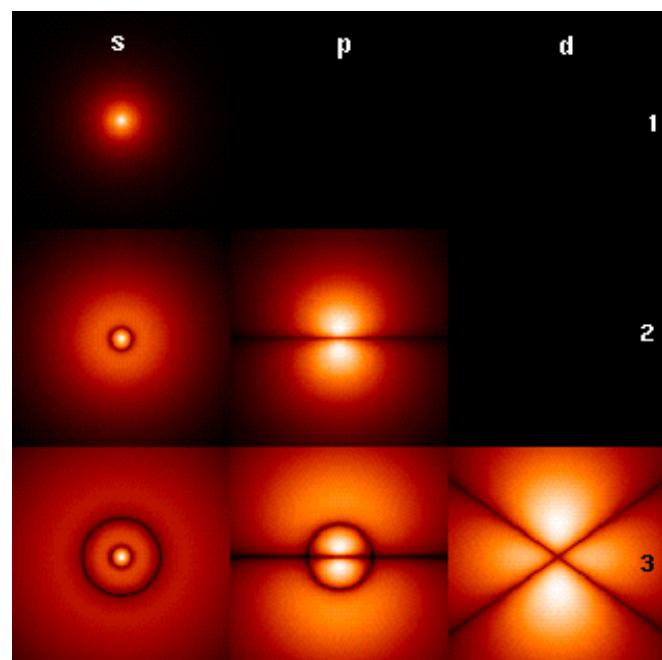


Fig. 1: Probability densities corresponding to the wavefunctions of an electron in a hydrogen atom possessing definite energy levels (increasing from the top of the image to the bottom: $n = 1, 2, 3, \dots$) and angular momentum (increasing across from left to right: *s*, *p*, *d*, ...). Brighter areas correspond to higher probability density in a position measurement. Wavefunctions like these are directly comparable to Chladni's figures of acoustic modes of vibration in classical physics and are indeed modes of oscillation as well: they possess a sharp energy and thus a keen frequency. The angular momentum and energy are quantized, and only take on discrete values like those shown (as is the case for resonant frequencies in acoustics).

which unifies and generalizes the two earliest formulations of quantum mechanics, matrix mechanics (invented by Werner Heisenberg)^[24] [25] and wave mechanics (invented by Erwin Schrödinger).^[26] In this formulation, the instantaneous state of a quantum system encodes the probabilities of its measurable properties, or "observables". Examples of observables include energy, position, momentum, and angular momentum. Observables can be either continuous (e.g., the position of a particle) or discrete (e.g., the energy of an electron bound to a hydrogen atom).^[27] An alternative formulation of quantum mechanics is Feynman's path integral formulation, in which a quantum-mechanical amplitude is considered as a sum over histories between initial and final states; this is the quantum-mechanical counterpart of action principles in classical mechanics.

Interactions with other scientific theories

The rules of quantum mechanics are fundamental; they assert that the state space of a system is a Hilbert space and that observables of that system are Hermitian operators acting on that space; they do not tell us which Hilbert space or which operators. These can be chosen appropriately in order to obtain a quantitative description of a quantum system. An important guide for making these choices is the correspondence principle, which states that the predictions of quantum mechanics reduce to those of classical physics when a system moves to higher energies or, equivalently, larger quantum numbers (i.e. whereas a single particle exhibits a degree of randomness, in systems incorporating millions of particles averaging takes over and, at the high energy limit, the statistical probability of random behaviour approaches zero). In other words, classical mechanics is simply a quantum mechanics of large systems. This "high energy" limit is known as the *classical* or *correspondence limit*. One can even start from an established classical model of a particular system, and attempt to guess the underlying quantum model that would give rise to the classical model in the correspondence limit.

When quantum mechanics was originally formulated, it was applied to models whose correspondence limit was non-relativistic classical mechanics. For instance, the well-known model of the quantum harmonic oscillator uses an explicitly non-relativistic expression for the kinetic energy of the oscillator, and is thus a quantum version of the classical harmonic oscillator.

Early attempts to merge quantum mechanics with special relativity involved the replacement of the Schrödinger equation with a covariant equation such as the Klein-Gordon equation or the Dirac equation. While these theories were successful in explaining many experimental results, they had certain unsatisfactory qualities stemming from their neglect of the relativistic creation and annihilation of particles. A fully relativistic quantum theory required the development of quantum field theory, which applies quantization to a field rather than a fixed set of particles. The first complete quantum field theory, quantum electrodynamics, provides a fully quantum description of the electromagnetic interaction. The full apparatus of quantum field theory is often unnecessary for describing electrodynamic systems. A simpler approach, one employed since the inception of quantum mechanics, is to treat charged particles as quantum mechanical objects being acted on by a classical electromagnetic field. For example, the elementary quantum model of the hydrogen atom describes the electric field of the hydrogen atom using a classical $-\frac{e^2}{4\pi\epsilon_0 r}$ Coulomb potential. This "semi-classical" approach fails if quantum fluctuations in the electromagnetic field play an important role, such as in the emission of photons by charged particles.

Quantum field theories for the strong nuclear force and the weak nuclear force have been developed. The quantum field theory of the strong nuclear force is called quantum chromodynamics, and describes the interactions of subnuclear particles: quarks and gluons. The weak nuclear force and the electromagnetic force were unified, in their quantized forms, into a single quantum field theory known as electroweak theory, by the physicists Abdus Salam, Sheldon Glashow and Steven Weinberg. These three men shared the Nobel Prize in Physics in 1979 for this work.^[28]

It has proven difficult to construct quantum models of gravity, the remaining fundamental force. Semi-classical approximations are workable, and have led to predictions such as Hawking radiation. However, the formulation of a complete theory of quantum gravity is hindered by apparent incompatibilities between general relativity, the most accurate theory of gravity currently known, and some of the fundamental assumptions of quantum theory. The

resolution of these incompatibilities is an area of active research, and theories such as string theory are among the possible candidates for a future theory of quantum gravity.

Classical mechanics has been extended into the complex domain, and complex classical mechanics exhibits behaviours similar to quantum mechanics.^[29]

Quantum mechanics and classical physics

Predictions of quantum mechanics have been verified experimentally to a very high degree of accuracy. According to the correspondence principle between classical and quantum mechanics, all objects obey the laws of quantum mechanics, and classical mechanics is just an approximation for large systems (or a statistical quantum mechanics of a large collection of particles). The laws of classical mechanics thus follow from the laws of quantum mechanics as a statistical average at the limit of large systems or large quantum numbers.^[30] However, chaotic systems do not have good quantum numbers, and quantum chaos studies the relationship between classical and quantum descriptions in these systems.

Quantum coherence is an essential difference between classical and quantum theories, and is illustrated by the Einstein-Podolsky-Rosen paradox. Quantum interference involves adding together *probability amplitudes*, whereas when classical waves interfere there is an adding together of *intensities*. For microscopic bodies, the extension of the system is much smaller than the coherence length, which gives rise to long-range entanglement and other nonlocal phenomena characteristic of quantum systems.^[31] Quantum coherence is not typically evident at macroscopic scales, although an exception to this rule can occur at extremely low temperatures, when quantum behavior can manifest itself on more macroscopic scales (see Bose-Einstein condensate and Quantum machine). This is in accordance with the following observations:

- Many macroscopic properties of a classical system are a direct consequences of the quantum behavior of its parts. For example, the stability of bulk matter (which consists of atoms and molecules which would quickly collapse under electric forces alone), the rigidity of solids, and the mechanical, thermal, chemical, optical and magnetic properties of matter are all results of the interaction of electric charges under the rules of quantum mechanics.^[32]
- While the seemingly exotic behavior of matter posited by quantum mechanics and relativity theory become more apparent when dealing with extremely fast-moving or extremely tiny particles, the laws of classical Newtonian physics remain accurate in predicting the behavior of the vast majority of large objects—of the order of the size of large molecules and bigger—at velocities much smaller than the velocity of light.^[33]

Relativity and quantum mechanics

Main articles: Quantum gravity and Theory of everything

Even with the defining postulates of both Einstein's theory of general relativity and quantum theory being indisputably supported by rigorous and repeated empirical evidence and while they do not directly contradict each other theoretically (at least with regard to primary claims), they are resistant to being incorporated within one cohesive model.^[34]

Einstein himself is well known for rejecting some of the claims of quantum mechanics. While clearly contributing to the field, he did not accept the more philosophical consequences and interpretations of quantum mechanics, such as the lack of deterministic causality and the assertion that a single subatomic particle can occupy numerous areas of space at one time. He also was the first to notice some of the apparently exotic consequences of entanglement and used them to formulate the Einstein-Podolsky-Rosen paradox, in the hope of showing that quantum mechanics had unacceptable implications. This was 1935, but in 1964 it was shown by John Bell (see Bell inequality) that, although Einstein was correct in identifying seemingly paradoxical implications of quantum mechanical nonlocality, these implications could be experimentally tested. Alain Aspect's initial experiments in 1982, and many subsequent experiments since, have verified quantum entanglement.

According to the paper of J. Bell and the Copenhagen interpretation (the common interpretation of quantum mechanics by physicists since 1927), and contrary to Einstein's ideas, quantum mechanics was not at the same time

- a "realistic" theory
- and a *local* theory.

The Einstein-Podolsky-Rosen paradox shows in any case that there exist experiments by which one can measure the state of one particle and instantaneously change the state of its entangled partner, although the two particles can be an arbitrary distance apart; however, this effect does not violate causality, since no transfer of information happens. Quantum entanglement is at the basis of quantum cryptography, with high-security commercial applications in banking and government.

Gravity is negligible in many areas of particle physics, so that unification between general relativity and quantum mechanics is not an urgent issue in those applications. However, the lack of a correct theory of quantum gravity is an important issue in cosmology and physicists' search for an elegant "theory of everything". Thus, resolving the inconsistencies between both theories has been a major goal of twentieth- and twenty-first-century physics. Many prominent physicists, including Stephen Hawking, have labored in the attempt to discover a theory underlying *everything*, combining not only different models of subatomic physics, but also deriving the universe's four forces —the strong force, electromagnetism, weak force, and gravity— from a single force or phenomenon. One of the leaders in this field is Edward Witten, a theoretical physicist who formulated the groundbreaking M-theory, which is an attempt at describing the supersymmetrical based string theory.

Attempts at a unified field theory

As of 2011 the quest for unifying the fundamental forces through quantum mechanics is still ongoing. Quantum electrodynamics (or "quantum electromagnetism"), which is currently (in the perturbative regime at least) the most accurately tested physical theory,^[35] has been successfully merged with the weak nuclear force into the electroweak force and work is currently being done to merge the electroweak and strong force into the electrostrong force. Current predictions state that at around 10^{14} GeV the three aforementioned forces are fused into a single unified field,^[36] Beyond this "grand unification," it is speculated that it may be possible to merge gravity with the other three gauge symmetries, expected to occur at roughly 10^{19} GeV. However— and while special relativity is parsimoniously incorporated into quantum electrodynamics — the expanded general relativity, currently the best theory describing the gravitation force, has not been fully incorporated into quantum theory.

Philosophical implications

Since its inception, the many counter-intuitive results of quantum mechanics have provoked strong philosophical debate and many interpretations. Even fundamental issues such as Max Born's basic rules concerning probability amplitudes and probability distributions took decades to be appreciated.

Richard Feynman said, "I think I can safely say that nobody understands quantum mechanics."^[37]

The Copenhagen interpretation, due largely to the Danish theoretical physicist Niels Bohr, is the interpretation of the quantum mechanical formalism most widely accepted amongst physicists. According to it, the probabilistic nature of quantum mechanics is not a temporary feature which will eventually be replaced by a deterministic theory, but instead must be considered to be a final renunciation of the classical ideal of causality. In this interpretation, it is believed that any well-defined application of the quantum mechanical formalism must always make reference to the experimental arrangement, due to the complementarity nature of evidence obtained under different experimental situations.

Albert Einstein, himself one of the founders of quantum theory, disliked this loss of determinism in measurement. (A view paraphrased as "God does not play dice with the universe.") Einstein held that there should be a local hidden variable theory underlying quantum mechanics and that, consequently, the present theory was incomplete. He

produced a series of objections to the theory, the most famous of which has become known as the Einstein-Podolsky-Rosen paradox. John Bell showed that the EPR paradox led to experimentally testable differences between quantum mechanics and local realistic theories. Experiments have been performed confirming the accuracy of quantum mechanics, thus demonstrating that the physical world cannot be described by local realistic theories.^[38] The *Bohr-Einstein debates* provide a vibrant critique of the Copenhagen Interpretation from an epistemological point of view.

The Everett many-worlds interpretation, formulated in 1956, holds that all the possibilities described by quantum theory simultaneously occur in a multiverse composed of mostly independent parallel universes.^[39] This is not accomplished by introducing some new axiom to quantum mechanics, but on the contrary by *removing* the axiom of the collapse of the wave packet: All the possible consistent states of the measured system and the measuring apparatus (including the observer) are present in a *real* physical (not just formally mathematical, as in other interpretations) quantum superposition. Such a superposition of consistent state combinations of different systems is called an entangled state. While the multiverse is deterministic, we perceive non-deterministic behavior governed by probabilities, because we can observe only the universe, i.e. the consistent state contribution to the mentioned superposition, we inhabit. Everett's interpretation is perfectly consistent with John Bell's experiments and makes them intuitively understandable. However, according to the theory of quantum decoherence, the parallel universes will never be accessible to us. This inaccessibility can be understood as follows: Once a measurement is done, the measured system becomes entangled with both the physicist who measured it and a huge number of other particles, some of which are photons flying away towards the other end of the universe; in order to prove that the wave function did not collapse one would have to bring all these particles back and measure them again, together with the system that was measured originally. This is completely impractical, but even if one could theoretically do this, it would destroy any evidence that the original measurement took place (including the physicist's memory).

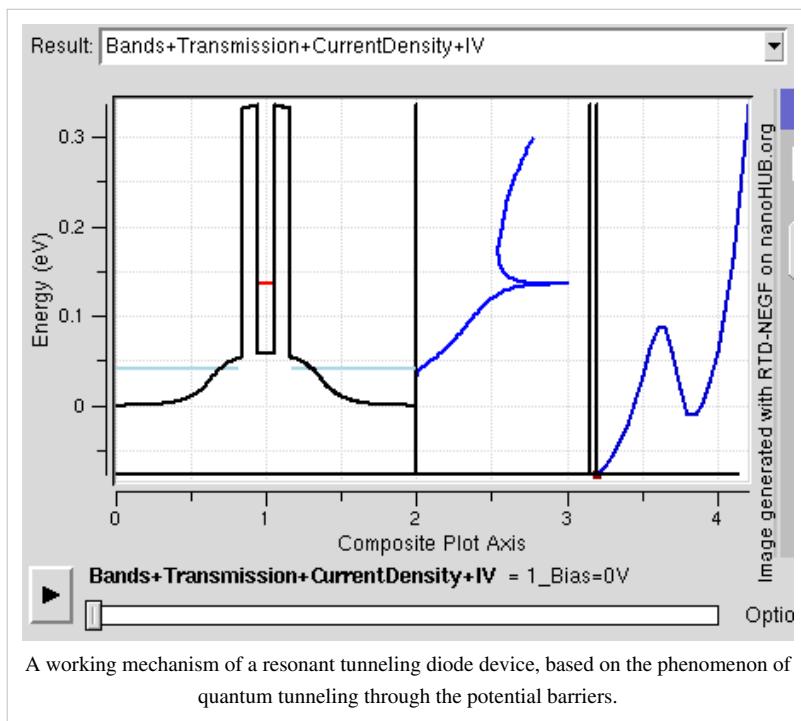
Applications

Quantum mechanics had enormous success in explaining many of the features of our world. The individual behaviour of the subatomic particles that make up all forms of matter—electrons, protons, neutrons, photons and others—can often only be satisfactorily described using quantum mechanics. Quantum mechanics has strongly influenced string theory, a candidate for a theory of everything (see reductionism) and the multiverse hypothesis.

Quantum mechanics is important for understanding how individual atoms combine covalently to form chemicals or molecules. The application of quantum mechanics to chemistry is known as quantum chemistry. (Relativistic) quantum mechanics can in principle mathematically describe most of chemistry. Quantum mechanics can provide quantitative insight into ionic and covalent bonding processes by explicitly showing which molecules are energetically favorable to which others, and by approximately how much.^[40] Most of the calculations performed in computational chemistry rely on quantum mechanics.^[41]

Much of modern technology operates at a scale where quantum effects are significant. Examples include the laser, the transistor (and thus the microchip), the electron microscope, and magnetic resonance imaging. The study of semiconductors led to the invention of the diode and the transistor, which are indispensable for modern electronics.

Researchers are currently seeking robust methods of directly manipulating quantum states. Efforts are being made to develop quantum cryptography, which will allow guaranteed secure transmission of information. A more distant goal is the development of quantum computers, which are expected to perform certain computational tasks exponentially faster than classical computers. Another active research topic is quantum teleportation, which deals with techniques to transmit quantum information over arbitrary distances.



Quantum tunneling is vital in many devices, even in the simple light switch, as otherwise the electrons in the electric current could not penetrate the potential barrier made up of a layer of oxide. Flash memory chips found in USB drives use quantum tunneling to erase their memory cells.

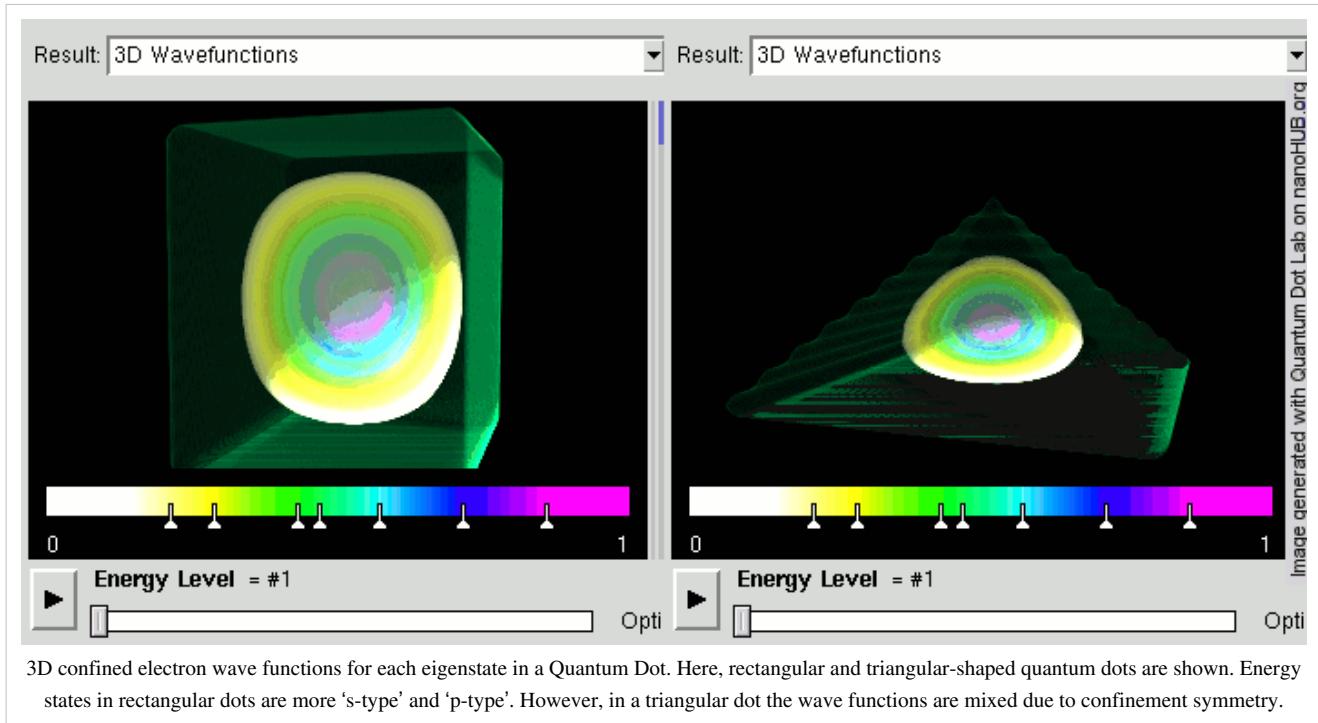
Quantum mechanics primarily applies to the atomic regimes of matter and energy, but some systems exhibit quantum mechanical effects on a large scale; superfluidity (the frictionless flow of a liquid at temperatures near absolute zero) is one well-known example. Quantum theory also provides accurate descriptions for many previously unexplained phenomena such as black body radiation and the stability of electron orbitals. It has also given insight into the workings of many different biological systems, including smell receptors and protein structures.^[42] Recent work on photosynthesis has provided evidence that quantum correlations play an essential role in this most fundamental process of the plant kingdom.^[43] Even so, classical physics often can be a good approximation to results otherwise obtained by **quantum physics**, typically in circumstances with large numbers of particles or large quantum numbers. (However, some open questions remain in the field of quantum chaos.)

Examples

Free particle

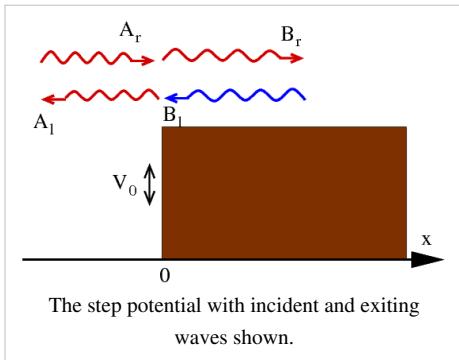
For example, consider a free particle. In quantum mechanics, there is wave-particle duality so the properties of the particle can be described as the properties of a wave. Therefore, its quantum state can be represented as a wave of arbitrary shape and extending over space as a wave function. The position and momentum of the particle are observables. The Uncertainty Principle states that both the position and the momentum cannot simultaneously be measured with full precision at the same time. However, one can measure the position alone of a moving free particle creating an eigenstate of position with a wavefunction that is very large (a Dirac delta) at a particular position x and zero everywhere else. If one performs a position measurement on such a wavefunction, the result x will be obtained with 100% probability (full certainty). This is called an eigenstate of position (mathematically more precise: a *generalized position eigenstate (eigendistribution)*). If the particle is in an eigenstate of position then its

momentum is completely unknown. On the other hand, if the particle is in an eigenstate of momentum then its position is completely unknown.^[44] In an eigenstate of momentum having a plane wave form, it can be shown that the wavelength is equal to h/p , where h is Planck's constant and p is the momentum of the eigenstate.^[45]



Step potential

The potential in this case is given by:



$$V(x) = \begin{cases} 0, & x < 0, \\ V_0, & x \geq 0, \end{cases}$$

The solutions are superpositions of left and right moving waves:

$$\psi_L(x) = \frac{1}{\sqrt{k_0}} (A_r e^{ik_0 x} + A_l e^{-ik_0 x}) \quad x < 0,$$

$$\psi_R(x) = \frac{1}{\sqrt{k_1}} (B_r e^{ik_1 x} + B_l e^{-ik_1 x}) \quad x > 0$$

where the wave vectors are related to the energy via

$$k_0 = \sqrt{2mE/\hbar^2}, \text{ and}$$

$$k_1 = \sqrt{2m(E - V_0)/\hbar^2}$$

and the coefficients A and B are determined from the boundary conditions and by imposing a continuous derivative to the solution.

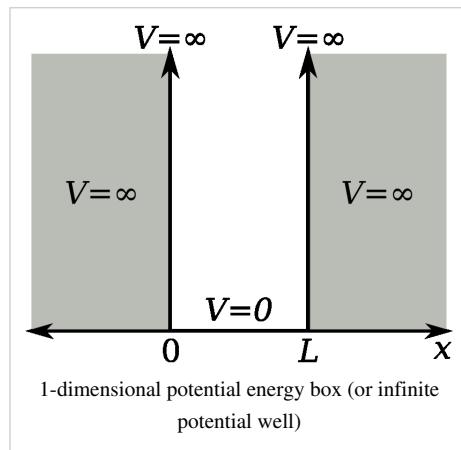
Each term of the solution can be interpreted as an incident, reflected or transmitted component of the wave, allowing the calculation of transmission and reflection coefficients. In contrast to classical mechanics, incident particles with energies higher than the size of the potential step are still partially reflected.

Rectangular potential barrier

This is a model for the quantum tunneling effect, which has important applications to modern devices such as flash memory and the scanning tunneling microscope.

Particle in a box

The particle in a 1-dimensional potential energy box is the most simple example where restraints lead to the quantization of energy levels. The box is defined as having zero potential energy inside a certain region and infinite potential energy everywhere outside that region. For the 1-dimensional case in the x direction, the time-independent Schrödinger equation can be written as:^[46]



$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} = E\psi.$$

Writing the differential operator

$$\hat{p}_x = -i\hbar \frac{d}{dx}$$

the previous equation can be seen to be evocative of the classic analogue

$$\frac{1}{2m} \hat{p}_x^2 = E$$

with E as the energy for the state ψ , in this case coinciding with the kinetic energy of the particle.

The general solutions of the Schrödinger equation for the particle in a box are:

$$\psi(x) = Ae^{ikx} + Be^{-ikx} \quad E = \frac{\hbar^2 k^2}{2m}$$

or, from Euler's formula,

$$\psi(x) = C \sin kx + D \cos kx.$$

The presence of the walls of the box determines the values of C , D , and k . At each wall ($x = 0$ and $x = L$), $\psi = 0$. Thus when $x = 0$,

$$\psi(0) = 0 = C \sin 0 + D \cos 0 = D$$

and so $D = 0$. When $x = L$,

$$\psi(L) = 0 = C \sin kL.$$

C cannot be zero, since this would conflict with the Born interpretation. Therefore $\sin kL = 0$, and so it must be that kL is an integer multiple of π . Therefore,

$$k = \frac{n\pi}{L} \quad n = 1, 2, 3, \dots$$

The quantization of energy levels follows from this constraint on k , since

$$E = \frac{\hbar^2 \pi^2 n^2}{2mL^2} = \frac{n^2 \hbar^2}{8mL^2}.$$

Finite potential well

This is generalization of the infinite potential well problem to potential wells of finite depth.

Harmonic oscillator

As in the classical case, the potential for the quantum harmonic oscillator is given by:

$$V(x) = \frac{1}{2}m\omega^2x^2$$

This problem can be solved either by directly solving the Schrödinger equation directly, which is not trivial, or by using the more elegant ladder method, first proposed by Paul Dirac. The eigenstates are given by:

$$\psi_n(x) = \sqrt{\frac{1}{2^n n!}} \cdot \left(\frac{m\omega}{\pi\hbar}\right)^{1/4} \cdot e^{-\frac{m\omega x^2}{2\hbar}} \cdot H_n\left(\sqrt{\frac{m\omega}{\hbar}}x\right), \quad n = 0, 1, 2, \dots$$

where H_n are the Hermite polynomials:

$$H_n(x) = (-1)^n e^{x^2} \frac{d^n}{dx^n} (e^{-x^2})$$

and the corresponding energy levels are

$$E_n = \hbar\omega \left(n + \frac{1}{2}\right).$$

This is another example which illustrates the quantification of energy for bound states.

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External links

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- The Modern Revolution in Physics (http://www.lightandmatter.com/html_books/6mr/ch01/ch01.html) - an online textbook.
- J. O'Connor and E. F. Robertson: A history of quantum mechanics. (http://www-history.mcs.st-andrews.ac.uk/history/HistTopics/The_Quantum_age_begins.html)
- Introduction to Quantum Theory at Quantiki. (http://www.quantiki.org/wiki/index.php/Introduction_to_Quantum_Theory)
- Quantum Physics Made Relatively Simple (<http://bethe.cornell.edu/>): three video lectures by Hans Bethe
- H is for h-bar. (<http://www.nonlocal.com/hbar/>)
- Quantum Mechanics Books Collection (<http://www.freebookcentre.net/Physics/Quantum-Mechanics-Books.html>): Collection of free books

Course material

- Doron Cohen: Lecture notes in Quantum Mechanics (comprehensive, with advanced topics). (<http://arxiv.org/abs/quant-ph/0605180>)
- MIT OpenCourseWare: Chemistry (<http://ocw.mit.edu/OcwWeb/Chemistry/index.htm>).
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- Stanford Continuing Education PHY 25: Quantum Mechanics (<http://www.youtube.com/watch?v=g/c/84C10A9CB1D13841>) by Leonard Susskind, see course description (http://continuingstudies.stanford.edu/courses/course.php?cid=20072_PHY_25) Fall 2007
- 5½ Examples in Quantum Mechanics (<http://www.physics.csbsju.edu/QM/>)
- Imperial College Quantum Mechanics Course. (<http://www.imperial.ac.uk/quantuminformation/qi/tutorials>)
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- Experiments to the foundations of quantum physics with single photons. (<http://www.didaktik.physik.uni-erlangen.de/quantumlab/english/index.html>)
- Motion Mountain, Volume IV (<http://www.motionmountain.net/download.html>) - A modern introduction to quantum theory, with several animations.
- AQME (<http://www.nanohub.org/topics/AQME>) : Advancing Quantum Mechanics for Engineers — by T.Barzso, D.Vasileska and G.Klimeck online learning resource with simulation tools on nanohub

- Quantum Mechanics (<http://www.lsr.ph.ic.ac.uk/~plenio/lecture.pdf>) by Martin Plenio
- Quantum Mechanics (<http://farside.ph.utexas.edu/teaching/qm/389.pdf>) by Richard Fitzpatrick
- Online course on *Quantum Transport* (<http://nanohub.org/resources/2039>)

FAQs

- Many-worlds or relative-state interpretation. (<http://www.hedweb.com/manworld.htm>)
- Measurement in Quantum mechanics. (<http://www.mtnmath.com/faq/meas-qm.html>)

Media

- Lectures on Quantum Mechanics by Leonard Susskind (http://www.youtube.com/view_play_list?p=84C10A9CB1D13841)
- Everything you wanted to know about the quantum world (<http://www.newscientist.com/channel/fundamentals/quantum-world>) — archive of articles from *New Scientist*.
- Quantum Physics Research (http://www.sciencedaily.com/news/matter_energy/quantum_physics/) from Science Daily
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Philosophy

- "Quantum Mechanics" (<http://plato.stanford.edu/entries/qm>) entry by Jenann Ismael. in the *Stanford Encyclopedia of Philosophy*
- "Measurement in Quantum Theory" (<http://plato.stanford.edu/entries/qm>) entry by Henry Krips. in the *Stanford Encyclopedia of Philosophy*

Research fields

Condensed matter physics

Condensed matter physics deals with the physical properties of condensed phases of matter. These properties appear when a number of atoms at the supramolecular and macromolecular scale interact strongly and adhere to each other or are otherwise highly concentrated in a system. The most familiar examples of condensed phases are solids and liquids. Such every-day condensed phases arise from the electromagnetic forces between atoms. More exotic condensed phases include the superconducting phase exhibited by certain materials at low temperature, the ferromagnetic and antiferromagnetic phases of spins on atomic lattices, and the Bose-Einstein condensate found in certain ultracold atomic systems.

Condensed matter physics seeks to understand the behavior of these phases by using well-established physical laws. In particular, these include the laws of quantum mechanics, electromagnetism and statistical mechanics. The diversity of systems and phenomena available for study makes condensed matter physics by far the largest field of contemporary physics. By one estimate,^[1] one third of all United States physicists identify themselves as condensed matter physicists. The field has a large overlap with chemistry, materials science, and nanotechnology, and there are close connections with the related fields of atomic physics and biophysics. Theoretical condensed matter physics also shares many important concepts and techniques with theoretical particle and nuclear physics.

Historically, condensed matter physics grew out of solid-state physics, now considered one of its main subfields. The name of the field was apparently coined in 1967 by Philip Anderson and Volker Heine when they renamed their research group in the Cavendish Laboratory of the University of Cambridge from "Solid-State Theory" to "Theory of Condensed Matter". In 1978, the Division of Solid State Physics at the American Physical Society was renamed as the Division of Condensed Matter Physics.^[2] One of the reasons for this change is that many of the concepts and techniques developed for studying solids can also be applied to fluid systems. For instance, the conduction electrons in an electrical conductor form a Fermi liquid, with similar properties to conventional liquids made up of atoms or molecules. Even the phenomenon of superconductivity, in which the quantum-mechanical properties of the electrons lead to collective behavior fundamentally different from that of a classical fluid, is closely related to the superfluid phase of liquid helium.

Topics in condensed matter physics

- **Phases**
 - *Generic phases* - Gas(* uncondensed); Liquid; Solid
 - *Low temperature phases* - Fermi gas; Fermi liquid; Fermionic condensate; Luttinger liquid; Superfluid; Composite fermions; Supersolid
 - *Phase phenomena* - Order parameter; Phase transition; Cooling curve
- **Interfaces**
 - *Surface tension*
 - *Domain growth* - Nucleation; Spinodal decomposition
 - *Interfacial growth* - Dendritic growth; Solidification fronts; Viscous fingering
- **Crystalline solids**
 - *Types* - Insulator; Metal; Semiconductor; Semimetal
 - *Electronic properties* - Band gap; Bloch wave; Conduction band; Effective mass (solid-state physics); Electrical conduction; Electron hole; Valence band

- *Electronic phenomena* - Kondo effect; Plasmon; Quantum Hall effect; Superconductivity; Wigner crystal; Thermoelectricity
- *Lattice phenomena* - Antiferromagnet; Ferroelectric effect; Ferromagnet; Magnon; Phonon; Spin glass; Topological defect; Multiferroics
- **Non-crystalline solids**
 - *Types* - Amorphous solid; Granular matter; Quasicrystals
- **Soft condensed matter**
 - *Types* - Liquid crystals; Polymers; Complex fluids; Gels; Foams; Emulsions; Colloids
- **Nanotechnology**
 - *Nanoelectromechanical Systems (NEMS)*
 - *Magnetic Resonance Force Microscopy*
 - *Heat Transport in Nanoscale Systems*
 - *Spin Transport*

Notes

- [1] "Condensed Matter Physics Jobs: Careers in Condensed Matter Physics [Physics Today Jobs (http://www.physicstoday.org/jobs/seek/condensed_matter.html)]. . Retrieved 2010-11-01.
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- Michael P. Marder (2000). *Condensed Matter Physics*, Wiley-Interscience, ISBN 0471177792

Atomic, molecular, and optical physics

Atomic, molecular, and optical physics is the study of matter-matter and light-matter interactions on the scale of single atoms or structures containing a few atoms. The three areas are grouped together because of their interrelationships, the similarity of methods used, and the commonality of the energy scales that are relevant. Physicists sometimes abbreviate the field as *AMO physics*. All three areas include both classical and quantum treatments.

Atomic physics

Atomic physics studies the electron shell of atoms. This branch of physics is distinct from nuclear physics, despite their association in the public consciousness. Atomic physics is not concerned with the intra-nuclear processes studied in nuclear physics, although properties of the nucleus can be important in atomic physics (e.g., hyperfine structure). Current research focuses on activities in quantum control, cooling and trapping of atoms and ions, low-temperature collision dynamics, the collective behavior of atoms in weakly interacting gases (Bose–Einstein Condensates and dilute Fermi degenerate systems), precision measurements of fundamental constants, and the effects of electron correlation on structure and dynamics. Atomic physics is that branch of physics which deals with the study of atom, particularly extra-nuclear particles like electrons and their behaviour in atom-like interactions with protons, neutrons in the nucleus.

Molecular physics

Molecular physics focuses on multi-atomic structures and their internal and external interactions with matter and light.

Optical physics

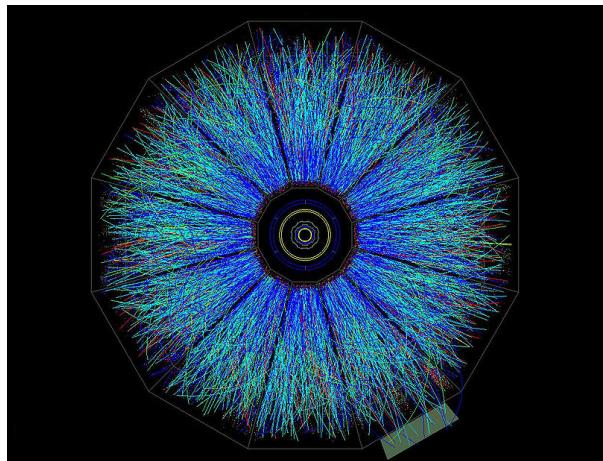
Optical physics is distinct from optics in that it tends to focus not on the control of classical light fields by macroscopic objects, but on the fundamental properties of optical fields and their interactions with matter in the microscopic realm.

References

Particle physics

Particle physics is a branch of physics that studies the elementary subatomic constituents of matter and radiation, and their interactions. The field is also called **high energy physics**, because many elementary particles do not occur under ambient conditions on Earth. They can only be created artificially during high energy collisions with other particles in particle accelerators.

Particle physics has evolved out of its parent field of nuclear physics and is typically still taught in close association with it. Scientific research in this area has produced a long list of particles.



Collision of 2 beams of gold atoms recorded by RHIC

Subatomic particles

Modern particle physics research is focused on subatomic particles, including atomic constituents such as electrons, protons, and neutrons (protons and neutrons are actually composite particles, made up of quarks), particles produced by radioactive and scattering processes, such as photons, neutrinos, and muons, as well as a wide range of exotic particles.

Strictly speaking, the term *particle* is a misnomer from classical physics because the dynamics of particle physics are governed by quantum mechanics. As such, they exhibit wave-particle duality, displaying particle-like behavior under certain experimental conditions and wave-like behavior in others. In more technical terms, they are described by state vectors in a Hilbert space, which is also treated in quantum field theory. Following the convention of particle physicists, *elementary particles* refer to objects such as electrons and photons, it is well known that these types of particles display wave-like properties as well.

| Three Generations of Matter (Fermions) | | | |
|--|------------------------|----------------------------|----------------------------|
| | I | II | III |
| mass → | 2.4 MeV | 1.27 GeV | 171.2 GeV |
| charge → | $\frac{2}{3}$ | $\frac{2}{3}$ | $\frac{2}{3}$ |
| spin → | $\frac{1}{2}$ | $\frac{1}{2}$ | $\frac{1}{2}$ |
| name → | u up | c charm | t top |
| Quarks | | | |
| mass → | 4.8 MeV | 104 MeV | 4.2 GeV |
| charge → | $-\frac{1}{3}$ | $-\frac{1}{3}$ | $-\frac{1}{3}$ |
| spin → | $\frac{1}{2}$ | $\frac{1}{2}$ | $\frac{1}{2}$ |
| name → | d down | s strange | b bottom |
| Leptons | | | |
| mass → | <2.2 eV | <0.17 MeV | <15.5 MeV |
| charge → | 0 | 0 | 0 |
| spin → | $\frac{1}{2}$ | $\frac{1}{2}$ | $\frac{1}{2}$ |
| name → | e electron neutrino | ν_μ muon neutrino | ν_τ tau neutrino |
| Bosons (Forces) | | | |
| mass → | 0.511 MeV | 105.7 MeV | 1.777 GeV |
| charge → | -1 | -1 | -1 |
| spin → | $\frac{1}{2}$ | $\frac{1}{2}$ | $\frac{1}{2}$ |
| name → | e electron | μ muon | τ tau |
| | | | |
| mass → | 80.4 GeV | 0 | 91.2 GeV |
| charge → | ± 1 | 0 | 0 |
| spin → | 1 | 1 | 1 |
| name → | W^\pm weak force | Z weak force | |

An image showing 6 quarks, 6 leptons and the interacting particles, according to the Standard Model

All particles and their interactions observed to date can almost be described entirely by a quantum field theory called the Standard Model. The Standard Model has 17 species of elementary particles: 12 fermions or 24 if distinguishing antiparticles, 4 vector bosons (5 with antiparticles), and 1 scalar boson. These elementary particles can combine to

form composite particles, accounting for the hundreds of other species of particles discovered since the 1960s. The Standard Model has been found to agree with almost all the experimental tests conducted to date. However, most particle physicists believe that it is an incomplete description of nature, and that a more fundamental theory awaits discovery. In recent years, measurements of neutrino mass have provided the first experimental deviations from the Standard Model.

Particle physics has impacted the philosophy of science greatly. Some particle physicists adhere to reductionism, a point of view that has been criticized and defended by philosophers and scientists.^{[1] [2] [3] [4]}

History

The idea that all matter is composed of elementary particles dates to at least the 6th century BC. The philosophical doctrine of atomism and the nature of elementary particles were studied by ancient Greek philosophers such as Leucippus, Democritus and Epicurus; ancient Indian philosophers such as Kanada, Dignāga and Dharmakirti; medieval scientists such as Alhazen, Avicenna and Algazel; and early modern European physicists such as Pierre Gassendi, Robert Boyle and Isaac Newton. The particle theory of light was also proposed by Alhazen, Avicenna, Gassendi and Newton. These early ideas were founded in abstract, philosophical reasoning rather than experimentation and empirical observation.

In the 19th century, John Dalton, through his work on stoichiometry, concluded that each element of nature was composed of a single, unique type of particle. Dalton and his contemporaries believed these were the fundamental particles of nature and thus named them atoms, after the Greek word *atomos*, meaning "indivisible". However, near the end of the century, physicists discovered that atoms were not, in fact, the fundamental particles of nature, but conglomerates of even smaller particles. The early 20th century explorations of nuclear physics and quantum physics culminated in proofs of nuclear fission in 1939 by Lise Meitner (based on experiments by Otto Hahn), and nuclear fusion by Hans Bethe in the same year. These discoveries gave rise to an active industry of generating one atom from another, even rendering possible (although not profitable) the transmutation of lead into gold. They also led to the development of nuclear weapons. Throughout the 1950s and 1960s, a bewildering variety of particles were found in scattering experiments. This was referred to as the "particle zoo". This term was deprecated after the formulation of the Standard Model during the 1970s in which the large number of particles was explained as combinations of a (relatively) small number of fundamental particles.

The Standard Model

The current state of the classification of elementary particles is the Standard Model. It describes the strong, weak, and electromagnetic fundamental forces, using mediating gauge bosons. The species of gauge bosons are the gluons, W^- and W^+ and Z bosons, and the photons. The model also contains 24 fundamental particles, which are the constituents of matter. Finally, it predicts the existence of a type of boson known as the Higgs boson, which is yet to be discovered.

Experimental laboratories

In particle physics, the major international laboratories are:

- Brookhaven National Laboratory (Long Island, United States). Its main facility is the Relativistic Heavy Ion Collider (RHIC) which collides heavy ions such as gold ions and polarized protons. It is the world's first heavy ion collider, and the world's only polarized proton collider.
- Budker Institute of Nuclear Physics (Novosibirsk, Russia)
- CERN, (Franco-Swiss border, near Geneva). Its main project is now the Large Hadron Collider (LHC), which had its first beam circulation on 10 September 2008, and is now the world's most energetic collider of protons. It will also be the most energetic collider of heavy ions when it begins colliding lead ions in 2010. Earlier facilities

include the Large Electron–Positron Collider (LEP), which was stopped in 2001 and then dismantled to give way for LHC; and the Super Proton Synchrotron, which is being reused as a pre-accelerator for LHC.

- DESY (Hamburg, Germany). Its main facility is the Hadron Elektron Ring Anlage (HERA), which collides electrons and positrons with protons.
- Fermilab, (Batavia, United States). Its main facility is the Tevatron, which collides protons and antiprotons and was the highest energy particle collider in the world until the Large Hadron Collider surpassed it on 29 November 2009.
- KEK, (Tsukuba, Japan). It is the home of a number of experiments such as K2K, a neutrino oscillation experiment and Belle, an experiment measuring the CP violation of B mesons.
- SLAC National Accelerator Laboratory (Menlo Park, United States). Its main facility is PEP-II, which collides electrons and positrons.

Many other particle accelerators exist.

The techniques required to do modern experimental particle physics are quite varied and complex, constituting a sub-specialty nearly completely distinct from the theoretical side of the field.

Theory

Theoretical particle physics attempts to develop the models, theoretical framework, and mathematical tools to understand current experiments and make predictions for future experiments. See also theoretical physics. There are several major interrelated efforts in theoretical particle physics today. One important branch attempts to better understand the Standard Model and its tests. By extracting the parameters of the Standard Model from experiments with less uncertainty, this work probes the limits of the Standard Model and therefore expands our understanding of nature's building blocks. These efforts are made challenging by the difficulty of calculating quantities in quantum chromodynamics. Some theorists working in this area refer to themselves as **phenomenologists** and may use the tools of quantum field theory and effective field theory. Others make use of lattice field theory and call themselves *lattice theorists*.

Another major effort is in model building where model builders develop ideas for what physics may lie beyond the Standard Model (at higher energies or smaller distances). This work is often motivated by the hierarchy problem and is constrained by existing experimental data. It may involve work on supersymmetry, alternatives to the Higgs mechanism, extra spatial dimensions (such as the Randall-Sundrum models), Preon theory, combinations of these, or other ideas.

A third major effort in theoretical particle physics is string theory. *String theorists* attempt to construct a unified description of quantum mechanics and general relativity by building a theory based on small strings, and branes rather than particles. If the theory is successful, it may be considered a "Theory of Everything".

There are also other areas of work in theoretical particle physics ranging from particle cosmology to loop quantum gravity.

This division of efforts in particle physics is reflected in the names of categories on the preprint archive [5]: hep-th (theory), hep-ph (phenomenology), hep-ex (experiments), hep-lat (lattice gauge theory).

The future

Particle physicists internationally agree on the most important goals of particle physics research in the near and intermediate future. The overarching goal, which is pursued in several distinct ways, is to find and understand what physics may lie beyond the standard model. There are several powerful experimental reasons to expect new physics, including dark matter and neutrino mass. There are also theoretical hints that this new physics should be found at accessible energy scales. Most importantly, though, there may be unexpected and unpredicted surprises which will give us the most opportunity to learn about nature.

Much of the efforts to find this new physics are focused on new collider experiments. The Large Hadron Collider (LHC) was completed in 2008 to help continue the search for the Higgs boson, supersymmetric particles, and other new physics. An intermediate goal is the construction of the International Linear Collider (ILC) which will complement the LHC by allowing more precise measurements of the properties of newly found particles. A decision for the technology of the ILC has been taken in August 2004, but the site has still to be agreed upon.

Additionally, there are important non-collider experiments which also attempt to find and understand physics beyond the Standard Model. One important non-collider effort is the determination of the neutrino masses since these masses may arise from neutrinos mixing with very heavy particles. In addition, cosmological observations provide many useful constraints on the dark matter, although it may be impossible to determine the exact nature of the dark matter without the colliders. Finally, lower bounds on the very long lifetime of the proton put constraints on Grand Unification Theories at energy scales much higher than collider experiments will be able to probe any time soon.

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- [4] "Particle physics in 60 seconds" (<http://www.symmetrymagazine.org/cms/?pid=1000345>)..
- [5] <http://www.arxiv.org>

Further reading

General readers

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- -----, Michael Marten, and Christine Sutton (2002) *The Particle Odyssey: A Journey to the Heart of the Matter*. Oxford Univ. Press. ISBN 0-19-850486-1.
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- Schumm, Bruce A. (2004) *Deep Down Things: The Breathtaking Beauty of Particle Physics*. John Hopkins Univ. Press. ISBN 0-8018-7971-X.

Gentle texts

- Frank Close (2006) *The New Cosmic Onion*. Taylor & Francis. ISBN 1-58488-798-2.
- Lincoln Wolfenstein & Joao P. Silva (2010) *Exploring Fundamental Particles* . Taylor & Francis. ISBN 978-143-983-612-5 .
- Coughlan, G. D., J. E. Dodd, and B. M. Gripaios (2006) *The Ideas of Particle Physics: An Introduction for Scientists*, 3rd ed. Cambridge Univ. Press. An undergraduate text for those not majoring in physics.

Harder

A survey article:

- Robinson, Matthew B., Karen R. Bland, Gerald Cleaver, and J. R. Dittmann (2008) "A Simple Introduction to Particle Physics" - Part 1, 135pp. (<http://arxiv.org/abs/0810.3328v1>) and Part 2, nnnpp. (<http://arxiv.org/abs/0908.1395v1>) Baylor University Dept. of Physics.

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External links

- The Particle Adventure (<http://particleadventure.org/>) - educational project sponsored by the Particle Data Group of the Lawrence Berkeley National Laboratory (LBNL)
- *symmetry* magazine (<http://www.symmetrymagazine.org>)
- Particle physics – it matters (http://www.iop.org/publications/iop/2009/page_38211.html) - the Institute of Physics
- Nobes, Matthew (2002) "Introduction to the Standard Model of Particle Physics" on Kuro5hin: Part 1, (<http://www.kuro5hin.org/story/2002/5/1/3712/31700>) Part 2, (<http://www.kuro5hin.org/story/2002/5/14/19363/8142>) Part 3a, (<http://www.kuro5hin.org/story/2002/7/15/173318/784>) Part 3b. (<http://www.kuro5hin.org/story/2002/8/21/195035/576>)
- CERN (<http://public.web.cern.ch/public/>) - European Organization for Nuclear Research
- Fermilab (<http://www.fnal.gov/>)

Astrophysics

Astrophysics (Greek: *Astro* - meaning "star", and Greek: *physis* – φύσις - meaning "nature") is the branch of astronomy that deals with the physics of the universe, including the physical properties (luminosity, density, temperature, and chemical composition) of celestial objects such as galaxies, stars, planets, exoplanets, and the interstellar medium, as well as their interactions. The study of cosmology addresses questions of astrophysics at scales much larger than the size of particular gravitationally-bound objects in the universe.

Because astrophysics is a very broad subject, *astrophysicists* typically apply many disciplines of physics, including mechanics, electromagnetism, statistical mechanics, thermodynamics, quantum mechanics, relativity, nuclear and particle physics, and atomic and molecular physics. In practice, modern astronomical research involves a substantial amount of physics. The name of a university's department ("astrophysics" or "astronomy") often has to do more with the department's history than with the contents of the programs. Astrophysics can be studied at the bachelors, masters, and Ph.D. levels in aerospace engineering, physics, or astronomy departments at many universities.



NGC 4414, a typical spiral galaxy in the constellation Coma Berenices, is about 56,000 light-years in diameter and approximately 60 million light-years distant.

History

Although astronomy is as ancient as recorded history itself, it was long separated from the study of physics. In the Aristotelian worldview, the celestial world tended towards perfection—bodies in the sky seemed to be perfect spheres moving in perfectly circular orbits—while the earthly world seemed destined to imperfection; these two realms were not seen as related.

Aristarchus of Samos (c. 310–250 BC) first put forward the notion that the motions of the celestial bodies could be explained by assuming that the Earth and all the other planets in the Solar System orbited the Sun. Unfortunately, in the geocentric world of the time, Aristarchus' heliocentric theory was deemed outlandish and heretical. For centuries, the apparently common-sense view that the Sun and other planets went round the Earth nearly went unquestioned until the development of Copernican heliocentrism in the 16th century AD. This was due to the dominance of the geocentric model developed by Ptolemy (c. 83–161 AD), a Hellenized astronomer from Roman Egypt, in his *Almagest* treatise.

The only known supporter of Aristarchus was Seleucus of Seleucia, a Babylonian astronomer who is said to have proved heliocentrism through reasoning in the 2nd century BC. This may have involved the phenomenon of tides,^[1] which he correctly theorized to be caused by attraction to the Moon and notes that the height of the tides depends on the Moon's position relative to the Sun.^[2] Alternatively, he may have determined the constants of a geometric model for the heliocentric theory and developed methods to compute planetary positions using this model, possibly using early trigonometric methods that were available in his time, much like Copernicus.^[3] B. L. van der Waerden has interpreted the planetary models developed by Aryabhata (476–550), an Indian astronomer, and Abu Ma'shar al-Balkhi (787–886), a Persian astronomer, to be heliocentric models^[4] but this view has been strongly disputed by

others.^[5]

In the 9th century AD, the Persian physicist and astronomer, Ja'far Muhammad ibn Mūsā ibn Shākir, hypothesized that the heavenly bodies and celestial spheres are subject to the same laws of physics as Earth, unlike the ancients who believed that the celestial spheres followed their own set of physical laws different from that of Earth.^[6] He also proposed that there is a force of attraction between "heavenly bodies",^[7] vaguely foreshadowing the law of gravity.^[8]

In the early 11th century, the Arabic Ibn al-Haytham (Alhazen) wrote the *Maqala fi daw al-qamar* (*On the Light of the Moon*) some time before 1021. This was the first successful attempt at combining mathematical astronomy with physics, and the earliest attempt at applying the experimental method to astronomy and astrophysics. He disproved the universally held opinion that the moon reflects sunlight like a mirror and correctly concluded that it "emits light from those portions of its surface which the sun's light strikes." In order to prove that "light is emitted from every point of the moon's illuminated surface," he built an "ingenious experimental device." Ibn al-Haytham had "formulated a clear conception of the relationship between an ideal mathematical model and the complex of observable phenomena; in particular, he was the first to make a systematic use of the method of varying the experimental conditions in a constant and uniform manner, in an experiment showing that the intensity of the light-spot formed by the projection of the moonlight through two small apertures onto a screen diminishes constantly as one of the apertures is gradually blocked up."^[9]

In the 14th century, Ibn al-Shatir produced the first model of lunar motion which matched physical observations, and which was later used by Copernicus.^[10] In the 13th to 15th centuries, Tusi and Ali Qushji provided the earliest empirical evidence for the Earth's rotation, using the phenomena of comets to refute Ptolemy's claim that a stationary Earth can be determined through observation. Kuşçu further rejected Aristotelian physics and natural philosophy, allowing astronomy and physics to become empirical and mathematical instead of philosophical. In the early 16th century, the debate on the Earth's motion was continued by Al-Birjandi (d. 1528), who in his analysis of what might occur if the Earth were rotating, develops a hypothesis similar to Galileo Galilei's notion of "circular inertia", which he described in the following observational test:^{[11] [12]}

The small or large rock will fall to the Earth along the path of a line that is perpendicular to the plane (*sath*) of the horizon; this is witnessed by experience (*tajriba*). And this perpendicular is away from the tangent point of the Earth's sphere and the plane of the perceived (*hissi*) horizon. This point moves with the motion of the Earth and thus there will be no difference in place of fall of the two rocks.

After heliocentrism was revived by Nicolaus Copernicus in the 16th century, Galileo Galilei discovered the four brightest moons of Jupiter in 1609, and documented their orbits about that planet, which contradicted the geocentric dogma of the Catholic Church of his time, and escaped serious punishment only by maintaining that his astronomy was a work of mathematics, not of natural philosophy (physics), and therefore purely abstract.

The availability of accurate observational data (mainly from the observatory of Tycho Brahe) led to research into theoretical explanations for the observed behavior. At first, only empirical rules were discovered, such as Kepler's laws of planetary motion, discovered at the start of the 17th century. Later that century, Isaac Newton bridged the gap between Kepler's laws and Galileo's dynamics, discovering that the same laws that rule the dynamics of objects on Earth rule the motion of planets and the moon. Celestial mechanics, the application of Newtonian gravity and Newton's laws to explain Kepler's laws of planetary motion, was the first unification of astronomy and physics.

After Isaac Newton published his book, *Philosophiae Naturalis Principia Mathematica*, maritime navigation was transformed. Starting around 1670, the entire world was measured using essentially modern latitude instruments and the best available clocks. The needs of navigation provided a drive for progressively more accurate astronomical observations and instruments, providing a background for ever more available data for scientists.

At the end of the 19th century, it was discovered that, when decomposing the light from the Sun, a multitude of spectral lines were observed (regions where there was less or no light). Experiments with hot gases showed that the same lines could be observed in the spectra of gases, specific lines corresponding to unique chemical elements. In

this way it was proved that the chemical elements found in the Sun (chiefly hydrogen) were also found on Earth. Indeed, the element helium was first discovered in the spectrum of the Sun and only later on Earth, hence its name. During the 20th century, spectroscopy (the study of these spectral lines) advanced, particularly as a result of the advent of quantum physics that was necessary to understand the astronomical and experimental observations.^[13]

See also:

- Timeline of knowledge about galaxies, clusters of galaxies, and large-scale structure
- Timeline of white dwarfs, neutron stars, and supernovae
- Timeline of black hole physics
- Timeline of gravitational physics and relativity

Observational astrophysics

The majority of astrophysical observations are made using the electromagnetic spectrum.

- Radio astronomy studies radiation with a wavelength greater than a few millimeters. Example areas of study are radio waves, usually emitted by cold objects such as interstellar gas and dust clouds; the cosmic microwave background radiation which is the redshifted light from the Big Bang; Pulsars, which were first detected at microwave frequencies. The study of these waves requires very large radio telescopes.
- Infrared astronomy studies radiation with a wavelength that is too long to be visible to the naked eye but is shorter than radio waves. Infrared observations are usually made with telescopes similar to the familiar optical telescopes. Objects colder than stars (such as planets) are normally studied at infrared frequencies.
- Optical astronomy is the oldest kind of astronomy. Telescopes paired with a charge-coupled device or spectrometers are the most common instruments used. The Earth's atmosphere interferes somewhat with optical observations, so adaptive optics and space telescopes are used to obtain the highest possible image quality. In this wavelength range, stars are highly visible, and many chemical spectra can be observed to study the chemical composition of stars, galaxies and nebulae.
- Ultraviolet, X-ray and gamma ray astronomy study very energetic processes such as binary pulsars, black holes, magnetars, and many others. These kinds of radiation do not penetrate the Earth's atmosphere well. There are two methods in use to observe this part of the electromagnetic spectrum—space-based telescopes and ground-based imaging air Cherenkov telescopes (IACT). Examples of Observatories of the first type are RXTE, the Chandra X-ray Observatory and the Compton Gamma Ray Observatory. Examples of IACTs are the High Energy Stereoscopic System (H.E.S.S.) and the MAGIC telescope.

Other than electromagnetic radiation, few things may be observed from the Earth that originate from great distances. A few gravitational wave observatories have been constructed, but gravitational waves are extremely difficult to detect. Neutrino observatories have also been built, primarily to study our Sun. Cosmic rays consisting of very high energy particles can be observed hitting the Earth's atmosphere.

Observations can also vary in their time scale. Most optical observations take minutes to hours, so phenomena that change faster than this cannot readily be observed. However, historical data on some objects is available spanning centuries or millennia. On the other hand, radio observations may look at events on a millisecond timescale (millisecond pulsars) or combine years of data (pulsar deceleration studies). The information obtained from these different timescales is very different.

The study of our own Sun has a special place in observational astrophysics. Due to the tremendous distance of all other stars, the Sun can be observed in a kind of detail unparalleled by any other star. Our understanding of our own sun serves as a guide to our understanding of other stars.

The topic of how stars change, or stellar evolution, is often modeled by placing the varieties of star types in their respective positions on the Hertzsprung-Russell diagram, which can be viewed as representing the state of a stellar object, from birth to destruction. The material composition of the astronomical objects can often be examined using:

- Spectroscopy
- Radio astronomy
- Neutrino astronomy (future prospects)

Theoretical astrophysics

Theoretical astrophysicists use a wide variety of tools which include analytical models (for example, polytropes to approximate the behaviors of a star) and computational numerical simulations. Each has some advantages. Analytical models of a process are generally better for giving insight into the heart of what is going on. Numerical models can reveal the existence of phenomena and effects that would otherwise not be seen.^[14] [15]

Theorists in astrophysics endeavor to create theoretical models and figure out the observational consequences of those models. This helps allow observers to look for data that can refute a model or help in choosing between several alternate or conflicting models.

Theorists also try to generate or modify models to take into account new data. In the case of an inconsistency, the general tendency is to try to make minimal modifications to the model to fit the data. In some cases, a large amount of inconsistent data over time may lead to total abandonment of a model.

Topics studied by theoretical astrophysicists include: stellar dynamics and evolution; galaxy formation; magnetohydrodynamics; large-scale structure of matter in the Universe; origin of cosmic rays; general relativity and physical cosmology, including string cosmology and astroparticle physics. Astrophysical relativity serves as a tool to gauge the properties of large scale structures for which gravitation plays a significant role in physical phenomena investigated and as the basis for black hole (*astro*)physics and the study of gravitational waves.

Some widely accepted and studied theories and models in astrophysics, now included in the Lambda-CDM model are the Big Bang, Cosmic inflation, dark matter, dark energy and fundamental theories of physics. Wormholes are examples of theories which are yet to be proven.

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External links

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Physical cosmology

Physical cosmology, as a branch of astronomy, is the study of the largest-scale structures and dynamics of the universe and is concerned with fundamental questions about its formation and evolution.^[1] For most of human history, it was a branch of metaphysics and religion. Cosmology as a science originated with the Copernican principle, which implies that celestial bodies obey identical physical laws to those on Earth, and Newtonian mechanics, which first allowed us to understand those laws.

Physical cosmology, as it is now understood, began with the twentieth century development of Albert Einstein's general theory of relativity and better astronomical observations of extremely distant objects. These advances made it possible to speculate about the origin of the universe, and allowed scientists to establish the Big Bang Theory as the leading cosmological model. Some researchers still advocate a handful of alternative cosmologies; however, cosmologists generally agree that the Big Bang theory best explains observations.

Cosmology draws heavily on the work of many disparate areas of research in physics. Areas relevant to cosmology include particle physics experiments and theory, including string theory, astrophysics, general relativity, and plasma physics. Thus, cosmology unites the physics of the largest structures in the universe with the physics of the smallest structures in the universe.

History of physical cosmology

Modern cosmology developed along tandem tracks of theory and observation. In 1915, Albert Einstein formulated his theory of general relativity, which provided a unified description of gravity as a geometric property of space and time. At the time, physicists believed in a perfectly static universe that had no beginning or end. Einstein added a cosmological constant to his theory in order to force it to model a static universe containing matter. This so-called *Einstein universe* is, however, unstable; it will eventually start expanding or contracting. The cosmological solutions of general relativity were found by Alexander Friedmann, whose equations describe the Friedmann-Lemaître-Robertson-Walker universe, which may expand or contract.

In the 1910s, Vesto Slipher (and later Carl Wilhelm Wirtz) interpreted the red shift of spiral nebulae as a Doppler shift that indicated they were receding from Earth. However, it is difficult to determine the distance to astronomical

objects. One way is to compare the physical size of an object to its angular size, but a physical size must be assumed to do this. Another method is to measure the brightness of an object and assume an intrinsic luminosity, from which the distance may be determined using the inverse square law. Due to the difficulty of using these methods, they did not realize that the nebulae were actually galaxies outside our own Milky Way, nor did they speculate about the cosmological implications. In 1927, the Belgian Roman Catholic priest Georges Lemaître independently derived the Friedmann-Lemaître-Robertson-Walker equations and proposed, on the basis of the recession of spiral nebulae, that the universe began with the "explosion" of a "primeval atom"—which was later called the Big Bang. In 1929, Edwin Hubble provided an observational basis for Lemaître's theory. Hubble showed that the spiral nebulae were galaxies by determining their distances using measurements of the brightness of Cepheid variable stars. He discovered a relationship between the redshift of a galaxy and its distance. He interpreted this as evidence that the galaxies are receding from Earth in every direction at speeds directly proportional to their distance. This fact is now known as Hubble's law, though the numerical factor Hubble found relating recessional velocity and distance was off by a factor of ten, due to not knowing at the time about different types of Cepheid variables.

Given the cosmological principle, Hubble's law suggested that the universe was expanding. There were two primary explanations put forth for the expansion of the universe. One was Lemaître's Big Bang theory, advocated and developed by George Gamow. The other possibility was Fred Hoyle's steady state model in which new matter would be created as the galaxies moved away from each other. In this model, the universe is roughly the same at any point in time.

For a number of years the support for these theories was evenly divided. However, the observational evidence began to support the idea that the universe evolved from a hot dense state. The discovery of the cosmic microwave background in 1965 lent strong support to the Big Bang model, and since the precise measurements of the cosmic microwave background by the Cosmic Background Explorer in the early 1990s, few cosmologists have seriously proposed other theories of the origin and evolution of the cosmos. One consequence of this is that in standard general relativity, the universe began with a singularity, as demonstrated by Stephen Hawking and Roger Penrose in the 1960s.

History of the Universe

The history of the universe is a central issue in cosmology. The history of the universe is divided into different periods called epochs, according to the dominant forces and processes in each period. The standard cosmological model is known as the Λ CDM model.

Equations of motion

The equations of motion governing the universe as a whole are derived from general relativity with a small, positive cosmological constant^[2]. The solution is an expanding universe; due to this expansion the radiation and matter in the universe are cooled down and become diluted. At first, the expansion is slowed down by gravitation due to the radiation and matter content of the universe. However, as these become diluted, the cosmological constant becomes more dominant and the expansion of the universe starts to accelerate rather than decelerate. In our universe this has already happened, billions of years ago.

Particle physics in cosmology

Particle physics is important to the behavior of the early universe, since the early universe was so hot that the average energy density was very high. Because of this, scattering processes and decay of unstable particles are important in cosmology.

As a rule of thumb, a scattering or a decay process is cosmologically important in a certain cosmological epoch if the time scale describing that process is smaller or comparable to the time scale of the expansion of the universe, which is $1/H$ with H being the Hubble constant at that time. This is roughly equal to the age of the universe at that time.

Timeline of the Big Bang

Observations suggest that the universe began around 13.7 billion years ago. Since then, the evolution of the universe has passed through three phases. The very early universe, which is still poorly understood, was the split second in which the universe was so hot that particles had energies higher than those currently accessible in particle accelerators on Earth. Therefore, while the basic features of this epoch have been worked out in the Big Bang theory, the details are largely based on educated guesses. Following this, in the early universe, the evolution of the universe proceeded according to known high energy physics. This is when the first protons, electrons and neutrons formed, then nuclei and finally atoms. With the formation of neutral hydrogen, the cosmic microwave background was emitted. Finally, the epoch of structure formation began, when matter started to aggregate into the first stars and quasars, and ultimately galaxies, clusters of galaxies and superclusters formed. The future of the universe is not yet firmly known, but according to the Λ CDM model it will continue expanding forever.

Areas of study

Below, some of the most active areas of inquiry in cosmology are described, in roughly chronological order. This does not include all of the Big Bang cosmology, which is presented in Timeline of the Big Bang.

The very early universe

While the early, hot universe appears to be well explained by the Big Bang from roughly 10^{-33} seconds onwards, there are several problems. One is that there is no compelling reason, using current particle physics, to expect the universe to be flat, homogeneous and isotropic (see the cosmological principle). Moreover, grand unified theories of particle physics suggest that there should be magnetic monopoles in the universe, which have not been found. These problems are resolved by a brief period of cosmic inflation, which drives the universe to flatness, smooths out anisotropies and inhomogeneities to the observed level, and exponentially dilutes the monopoles. The physical model behind cosmic inflation is extremely simple, however it has not yet been confirmed by particle physics, and there are difficult problems reconciling inflation and quantum field theory. Some cosmologists think that string theory and brane cosmology will provide an alternative to inflation.

Another major problem in cosmology is what caused the universe to contain more particles than antiparticles. Cosmologists can observationally deduce that the universe is not split into regions of matter and antimatter. If it were, there would be X-rays and gamma rays produced as a result of annihilation, but this is not observed. This problem is called the baryon asymmetry, and the theory to describe the resolution is called baryogenesis. The theory of baryogenesis was worked out by Andrei Sakharov in 1967, and requires a violation of the particle physics symmetry, called CP-symmetry, between matter and antimatter. Particle accelerators, however, measure too small a violation of CP-symmetry to account for the baryon asymmetry. Cosmologists and particle physicists are trying to find additional violations of the CP-symmetry in the early universe that might account for the baryon asymmetry.

Both the problems of baryogenesis and cosmic inflation are very closely related to particle physics, and their resolution might come from high energy theory and experiment, rather than through observations of the universe.

Big bang nucleosynthesis

Big Bang Nucleosynthesis is the theory of the formation of the elements in the early universe. It finished when the universe was about three minutes old and its temperature dropped below that at which nuclear fusion could occur. Big Bang nucleosynthesis had a brief period during which it could operate, so only the very lightest elements were produced. Starting from hydrogen ions (protons), it principally produced deuterium, helium-4 and lithium. Other elements were produced in only trace abundances. The basic theory of nucleosynthesis was developed in 1948 by George Gamow, Ralph Asher Alpher and Robert Herman. It was used for many years as a probe of physics at the time of the Big Bang, as the theory of Big Bang nucleosynthesis connects the abundances of primordial light elements with the features of the early universe. Specifically, it can be used to test the equivalence principle, to probe dark matter, and test neutrino physics. Some cosmologists have proposed that Big Bang nucleosynthesis suggests there is a fourth "sterile" species of neutrino.

Cosmic microwave background

The cosmic microwave background is radiation left over from decoupling after the epoch of recombination when neutral atoms first formed. At this point, radiation produced in the Big Bang stopped Thomson scattering from charged ions. The radiation, first observed in 1965 by Arno Penzias and Robert Woodrow Wilson, has a perfect thermal black-body spectrum. It has a temperature of 2.7 kelvins today and is isotropic to one part in 10^5 . Cosmological perturbation theory, which describes the evolution of slight inhomogeneities in the early universe, has allowed cosmologists to precisely calculate the angular power spectrum of the radiation, and it has been measured by the recent satellite experiments (COBE and WMAP) and many ground and balloon-based experiments (such as Degree Angular Scale Interferometer, Cosmic Background Imager, and Boomerang). One of the goals of these efforts is to measure the basic parameters of the Lambda-CDM model with increasing accuracy, as well as to test the predictions of the Big Bang model and look for new physics. The recent measurements made by WMAP, for example, have placed limits on the neutrino masses.

Newer experiments, such as QUIET and the Atacama Cosmology Telescope, are trying to measure the polarization of the cosmic microwave background. These measurements are expected to provide further confirmation of the theory as well as information about cosmic inflation, and the so-called secondary anisotropies, such as the Sunyaev-Zel'dovich effect and Sachs-Wolfe effect, which are caused by interaction between galaxies and clusters with the cosmic microwave background.

Formation and evolution of large-scale structure

Understanding the formation and evolution of the largest and earliest structures (i.e., quasars, galaxies, clusters and superclusters) is one of the largest efforts in cosmology. Cosmologists study a model of **hierarchical structure formation** in which structures form from the bottom up, with smaller objects forming first, while the largest objects, such as superclusters, are still assembling. One way to study structure in the universe is to survey the visible galaxies, in order to construct a three-dimensional picture of the galaxies in the universe and measure the matter power spectrum. This is the approach of the Sloan Digital Sky Survey and the 2dF Galaxy Redshift Survey.

Another tool for understanding structure formation is simulations, which cosmologists use to study the gravitational aggregation of matter in the universe, as it clusters into filaments, superclusters and voids. Most simulations contain only non-baryonic cold dark matter, which should suffice to understand the universe on the largest scales, as there is much more dark matter in the universe than visible, baryonic matter. More advanced simulations are starting to include baryons and study the formation of individual galaxies. Cosmologists study these simulations to see if they agree with the galaxy surveys, and to understand any discrepancy.

Other, complementary observations to measure the distribution of matter in the distant universe and to probe reionization include:

- The Lyman alpha forest, which allows cosmologists to measure the distribution of neutral atomic hydrogen gas in the early universe, by measuring the absorption of light from distant quasars by the gas.
- The 21 centimeter absorption line of neutral atomic hydrogen also provides a sensitive test of cosmology
- Weak lensing, the distortion of a distant image by gravitational lensing due to dark matter.

These will help cosmologists settle the question of when and how structure formed in the universe.

Dark matter

Evidence from Big Bang nucleosynthesis, the cosmic microwave background and structure formation suggests that about 23% of the mass of the universe consists of non-baryonic dark matter, whereas only 4% consists of visible, baryonic matter. The gravitational effects of dark matter are well understood, as it behaves like a cold, non-radiative fluid that forms haloes around galaxies. Dark matter has never been detected in the laboratory, and the particle physics nature of dark matter remains completely unknown. Without observational constraints, there are a number of candidates, such as a stable supersymmetric particle, a weakly interacting massive particle, an axion, and a massive compact halo object. Alternatives to the dark matter hypothesis include a modification of gravity at small accelerations (MOND) or an effect from brane cosmology.

Dark energy

If the universe is flat, there must be an additional component making up 73% (in addition to the 23% dark matter and 4% baryons) of the energy density of the universe. This is called dark energy. In order not to interfere with Big Bang nucleosynthesis and the cosmic microwave background, it must not cluster in haloes like baryons and dark matter. There is strong observational evidence for dark energy, as the total energy density of the universe is known through constraints on the flatness of the universe, but the amount of clustering matter is tightly measured, and is much less than this. The case for dark energy was strengthened in 1999, when measurements demonstrated that the expansion of the universe has begun to gradually accelerate.

Apart from its density and its clustering properties, nothing is known about dark energy. Quantum field theory predicts a cosmological constant much like dark energy, but 120 orders of magnitude larger than that observed. Steven Weinberg and a number of string theorists (see string landscape) have used this as evidence for the anthropic principle, which suggests that the cosmological constant is so small because life (and thus physicists, to make observations) cannot exist in a universe with a large cosmological constant, but many people find this an unsatisfying explanation. Other possible explanations for dark energy include quintessence or a modification of gravity on the largest scales. The effect on cosmology of the dark energy that these models describe is given by the dark energy's equation of state, which varies depending upon the theory. The nature of dark energy is one of the most challenging problems in cosmology.

A better understanding of dark energy is likely to solve the problem of the ultimate fate of the universe. In the current cosmological epoch, the accelerated expansion due to dark energy is preventing structures larger than superclusters from forming. It is not known whether the acceleration will continue indefinitely, perhaps even increasing until a big rip, or whether it will eventually reverse.

Other areas of inquiry

Cosmologists also study:

- whether primordial black holes were formed in our universe, and what happened to them.
- the GZK cutoff for high-energy cosmic rays, and whether it signals a failure of special relativity at high energies
- the equivalence principle, whether or not Einstein's general theory of relativity is the correct theory of gravitation, and if the fundamental laws of physics are the same everywhere in the universe.

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Application and influence

Applied physics

Applied physics is a general term for physics which is intended for a particular technological or practical use. "Applied" is distinguished from "pure" by a subtle combination of factors such as the motivation and attitude of researchers and the nature of the relationship to the technology or science that may be affected by the work.[1] It usually differs from engineering in that an applied physicist may not be designing something in particular, but rather is using physics or conducting physics research with the aim of developing new technologies or solving an engineering problem. This approach is similar to that of applied mathematics. In other words, applied physics is rooted in the fundamental truths and basic concepts of the physical sciences but is concerned with the utilization of these scientific principles in practical devices and systems. Applied physicists can also be interested in the use of physics for scientific research. For instance, people working on accelerator physics seek to build better accelerators for research in theoretical TIPUS.

Fields and areas of research



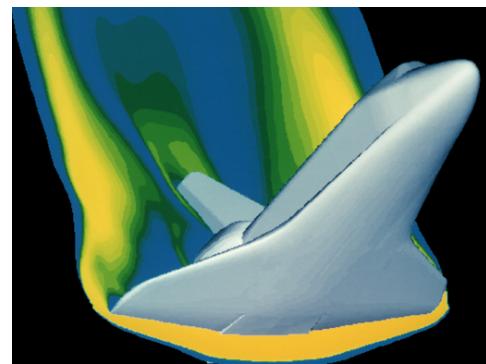
MRI Image

- Accelerator physics
- Acoustics
- Agrophysics
- Analog Electronics
- Force microscopy and imaging
- Ballistics
- Biophysics
- Communication Physics
- Computational physics
- Control Theory
- Digital Electronics
- Econophysics
- Engineering physics
- Fiber Optics
- Metrological Physics
- Microfluidics
- Nanotechnology
- Nondestructive testing
- Nuclear engineering
- Nuclear technology
- Optics
- Optoelectronics
- Photovoltaics
- Plasma physics
- Quantum electronics
- Semiconductor physics and devices
- Soil Physics
- Solid state physics

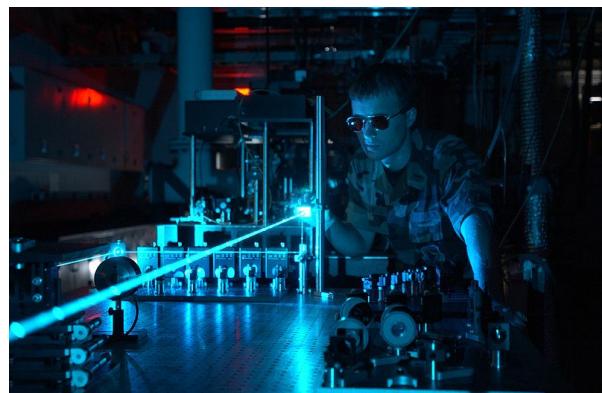
- Fluid dynamics
- Geophysics
- Laser physics
- Medical physics
- Space physics
- Spintronics
- Superconductors
- Vehicle dynamics

Prominent institutions

- Applied Physics, Harvard ^[2]
- Applied Physics Graduate Program, Northwestern University ^[3]
- Applied Physics Laboratory, Bengal Engineering and Science University, Shibpur ^[4]
- Applied Physics Laboratory, Johns Hopkins University ^[5]
- Department of Applied Physics and Applied Mathematics, Columbia University ^[6]
- Department of Applied Physics, California Institute of Technology ^[7]
- Department of Applied Physics & Electronic Engineering, Faculty of Engineering, University of Rajshahi, Bangladesh ^[8]
- Department of Applied Physics, Electronics & Communication Engineering, University of Chittagong, Bangladesh
- Department of Applied Physics, Hebrew University of Jerusalem, Israel ^[9]
- Department of Applied Physics and Instrumentation, Cork Institute of Technology, Cork, Ireland ^[10]
- Department of Applied Physics, Stanford University ^[11]
- Department of Applied Physics, University of Calcutta
- Department of Applied Physics, University of Engineering and Technology, Lahore, Pakistan
- Department of Applied Physics, University of Karachi, Pakistan ^[12]
- Department of Applied Physics, University of Michigan ^[13]
- Department of Applied Physics, Yale University ^[14]
- Department of Engineering Physics, Faculty of Industrial Technology, Institut Teknologi Bandung, Indonesia ^[15]
- Department of Physics, Applied and Engineering Physics, Kettering University ^[16]
- Department of Physics, Applied Physics & Astronomy, Rensselaer Polytechnic Institute ^[17]
- Department of Physics, School of Applied Mathematical and Physical Sciences, National Technical University of Athens ^[18]
- Department of Physics, University of South Florida ^[19]
- Institute for Research in Electronics and Applied Physics, University of Maryland ^[20]
- Institute of Applied Physics, University of Münster, Germany ^[21]
- School of Applied and Engineering Physics, Cornell University ^[22]



Computer modeling of the Space Shuttle during re-entry



Experiment using a (likely argon) laser

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- [9] <http://aph.huji.ac.il/>
- [10] <http://www.cit.ie/appliedphysicsandinstrumentati>
- [11] <http://www.stanford.edu/dept/app-physics/>
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- [15] <http://www.tf.itb.ac.id/>
- [16] <http://www.kettering.edu/futurestudents/undergraduate/appliedphysics.jsp>
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- [18] http://physics.ntua.gr/index_en.html
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Current research

List of unsolved problems in physics

This is a list of some of the major **unsolved problems in physics**. Some of these problems are theoretical, meaning that existing theories seem incapable of explaining a certain observed phenomenon or experimental result. The others are experimental, meaning that there is a difficulty in creating an experiment to test a proposed theory or investigate a phenomenon in greater detail.

Theoretical problems

The following problems are either fundamental theoretical problems, or theoretical ideas which lack experimental evidence and are in search of one, or both, as most of them are. Some of these problems are strongly interrelated. For example, extra dimensions or supersymmetry may solve the hierarchy problem. It is thought that a full theory of quantum gravity should be capable of answering most of these problems (other than the Island of stability problem).

Quantum gravity, cosmology, and general relativity

Vacuum catastrophe

Why does the predicted mass of the quantum vacuum have little effect on the expansion of the universe?

Quantum gravity

Can quantum mechanics and general relativity be realized as a fully consistent theory (perhaps as a quantum field theory)?^[1] Is spacetime fundamentally continuous or discrete? Would a consistent theory involve a force mediated by a hypothetical graviton, or be a product of a discrete structure of spacetime itself (as in loop quantum gravity)? Are there deviations from the predictions of general relativity at very small or very large scales or in other extreme circumstances that flow from a quantum gravity theory?

Black holes, black hole information paradox, and black hole radiation

Do black holes produce thermal radiation, as expected on theoretical grounds? Does this radiation contain information about their inner structure, as suggested by Gauge-gravity duality, or not, as implied by Hawking's original calculation? If not, and black holes can evaporate away, what happens to the information stored in them (quantum mechanics does not provide for the destruction of information)? Or does the radiation stop at some point leaving black hole remnants? Is there another way to probe their internal structure somehow, if such a structure even exists?

Extra dimensions

Does nature have more than four spacetime dimensions? If so, what is their size? Are dimensions a fundamental property of the universe or an emergent result of other physical laws? Can we experimentally "see" evidence of higher spatial dimensions?

Cosmic inflation

Is the theory of cosmic inflation correct, and if so, what are the details of this epoch? What is the hypothetical inflaton field giving rise to inflation? If inflation happened at one point, is it self-sustaining through inflation of quantum-mechanical fluctuations, and thus ongoing in some impossibly distant place?

Multiverses

Are there physical reasons to expect other universes that are fundamentally non-observable? For instance: Are there quantum mechanical "alternative histories" or "many worlds"? Are there "other" universes with physical laws resulting from alternate ways of breaking the apparent symmetries of physical forces at high energies, possibly incredibly far away due to cosmic inflation? Is the use of the anthropic principle to resolve global cosmological dilemmas justified?

The cosmic censorship hypothesis and the chronology protection conjecture

Can singularities not hidden behind an event horizon, known as "naked singularities", arise from realistic initial conditions, or is it possible to prove some version of the "cosmic censorship hypothesis" of Roger Penrose which proposes that this is impossible?^[2] Similarly, will the closed timelike curves which arise in some solutions to the equations of general relativity (and which imply the possibility of backwards time travel) be ruled out by a theory of quantum gravity which unites general relativity with quantum mechanics, as suggested by the "chronology protection conjecture" of Stephen Hawking?

Arrow of time

What do the phenomena that differ going forward and backwards in time tell us about the nature of time? How does time differ from space? Why are CP violations observed in certain weak force decays, but not elsewhere? Are CP violations somehow a product of the Second Law of Thermodynamics, or are they a separate arrow of time? Are there exceptions to the principle of causality? Is there a single possible past? Is the present moment physically distinct from the past and future or is it merely an emergent property of consciousness? Why do people appear to agree on what the present moment is? (See also Entropy (arrow of time) below)

Locality

Are there non-local phenomena in quantum physics? If they exist, are non-local phenomena limited to transfers of information, or can energy and matter also move in a non-local way? Under what circumstances are non-local phenomena observed? What does the existence or absence of non-local phenomena imply about the fundamental structure of spacetime? How does this relate to quantum entanglement? How does this elucidate the proper interpretation of the fundamental nature of quantum physics?

Future of the universe

Is the universe heading towards a Big Freeze, a Big Rip, a Big Crunch or a Big Bounce? Is our universe part of an infinitely recurring cyclic model?

High energy physics/Particle physics

Higgs mechanism

Does the Higgs particle exist? What are the implications if it does not? Is there only one of them?

Hierarchy problem

Why is gravity such a weak force? It becomes strong for particles only at the Planck scale, around 10^{19} GeV, much above the electroweak scale (100 GeV, the energy scale dominating physics at low energies). Why are these scales so different from each other? What prevents quantities at the electroweak scale, such as the Higgs boson mass, from getting quantum corrections on the order of the Planck scale? Is the solution supersymmetry, extra dimensions, or just anthropic fine-tuning?

Magnetic monopoles

Did particles that carry "magnetic charge" exist in some past, higher energy epoch? If so, do any remain today?(Paul Dirac showed the existence of some types of magnetic monopoles would explain charge quantization.^[3]

Proton decay and unification

How do we unify the three different quantum mechanical fundamental interactions of quantum field theory? As the lightest baryon, are protons absolutely stable? If not, then what is the proton's half-life?

Supersymmetry

Is spacetime supersymmetry realized in nature? If so, what is the mechanism of supersymmetry breaking? Does supersymmetry stabilize the electroweak scale, preventing high quantum corrections? Does the lightest supersymmetric particle comprise dark matter?

Generations of matter

Are there more than three generations of quarks and leptons? Why are there generations at all? Is there a theory that can explain the masses of particular quarks and leptons in particular generations from first principles (a theory of Yukawa couplings)?

Fundamental symmetries and Neutrinos

What is the nature of the neutrinos, what are their masses, and how have they shaped the evolution of the universe? Why is there now more detectable matter than antimatter in the universe? What are the unseen forces that were present at the dawn of the universe but disappeared from view as the universe evolved?

Nuclear physics

Quantum chromodynamics

What are the phases of strongly interacting matter, and what roles do they play in the cosmos? What is the internal landscape of the nucleons? What does QCD predict for the properties of strongly interacting matter? What governs the transition of quarks and gluons into pions and nucleons? What is the role of gluons and gluon self-interactions in nucleons and nuclei? What determines the key features of QCD, and what is their relation to the nature of gravity and spacetime?

Nuclei and Nuclear astrophysics

What is the nature of the nuclear force that binds protons and neutrons into stable nuclei and rare isotopes? What is the origin of simple patterns in complex nuclei? What is the nature of neutron stars and dense nuclear matter? What is the origin of the elements in the cosmos? What are the nuclear reactions that drive stars and stellar explosions?

Island of stability

What is the heaviest possible stable or metastable nucleus?

Other problems

Quantum mechanics in the correspondence limit (sometimes called Quantum chaos)

Is there a preferred interpretation of quantum mechanics? How does the quantum description of reality, which includes elements such as the superposition of states and wavefunction collapse or quantum decoherence, give rise to the reality we perceive? Another way of stating this is the Measurement problem - what constitutes a "measurement" which causes the wave function to collapse into a definite state?

Physical information

Are there physical phenomena, such as black holes or wave function collapse, which irrevocably destroy information about their prior states?

Theory of everything ("Grand Unification Theory")

Is there a theory which explains the values of all fundamental physical constants?^[4] Is there a theory which explains why the gauge groups of the standard model are as they are, why observed space-time has $3 + 1$ dimensions, and why all laws of physics are as they are? Do "fundamental physical constants" vary over time? Are any of the particles in the standard model of particle physics actually composite particles too tightly bound

to observe as such at current experimental energies? Are there fundamental particles that have not yet been observed and if so which ones are they and what are their properties? Are there unobserved fundamental forces implied by a theory that explains other unsolved problems in physics?

Gauge theory

Do non-Abelian gauge theories with a mass gap actually exist?

Empirical phenomena lacking clear scientific explanation

Cosmology and astronomy

Existence of the Universe

What is the origin of matter, energy and spacetime that form the universe / multiverse?

Baryon asymmetry

Why is there far more matter than antimatter in the observable universe?

Cosmological constant problem

Why doesn't the zero-point energy of the vacuum cause a large cosmological constant? What cancels it out?

Dark energy

What is the cause of the observed accelerated expansion (deSitter phase) of the Universe? Why is the energy density of the dark energy component of the same magnitude as the density of matter at present when the two evolve quite differently over time; could it be simply that we are observing at exactly the right time? Is dark energy a pure cosmological constant, or are models of quintessence such as phantom energy applicable?

Dark matter

What is dark matter?^[5] Is it related to supersymmetry? Do the phenomena attributed to dark matter point not to some form of matter but actually to an extension of gravity?

Entropy (arrow of time)

Why did the universe have such low entropy in the past, resulting in the distinction between past and future and the second law of thermodynamics?^[4]

Horizon problem

Why is the distant universe so homogeneous, when the Big Bang theory seems to predict measurable anisotropies of the night sky larger than those observed? Possible approaches to a solution are inflation and the variable speed of light hypothesis.

Ecliptic alignment of CMB anisotropy

Some large features of the microwave sky, at distances of over 13 billion light years, appear to be aligned with both the motion and orientation of the Solar System. Is this due to systematic errors in processing, contamination of results by local effects, or an unexplained violation of the Copernican principle?

Shape of the Universe

What is the 3-manifold of comoving space, i.e. of a comoving spatial section of the Universe, informally called the "shape" of the Universe? Neither the curvature nor the topology is presently known, though the curvature is known to be "close" to zero on observable scales. The cosmic inflation hypothesis suggests that the shape of the Universe may be unmeasurable, but since 2003, Jean-Pierre Luminet et al. and other groups have suggested that the shape of the Universe may be the Poincaré dodecahedral space. Is the shape unmeasurable, the Poincaré space, or another 3-manifold?

High energy physics/Particle physics

Electroweak symmetry breaking

What is the mechanism responsible for breaking the electroweak gauge symmetry, giving mass to the W and Z bosons? Is it the simple Higgs mechanism of the Standard Model,^[4] or does nature make use of strong dynamics in breaking electroweak symmetry, as proposed by Technicolor?

Neutrino mass

What is the mechanism responsible for generating neutrino masses? Is the neutrino its own antiparticle? Or could it be an antiparticle that simply cannot join and annihilate with a normal particle because of its irregular state?

Inertial mass/gravitational mass ratio of elementary particles

According to the equivalence principle of general relativity, the ratio of inertial mass to gravitational mass of all elementary particles is unity. However, there is no experimental confirmation for many particles. In particular, we do not know what the weight of a macroscopic lump of antimatter of known mass would be.

Proton spin crisis

As initially measured by the European Muon Collaboration, the three main ("valence") quarks of the proton account for about 12% of its total spin. Can the gluons that bind the quarks together, as well as the "sea" of quark pairs that are continually being created and annihilating, properly account for the rest of it?

Quantum chromodynamics (QCD) in the non-perturbative regime

The equations of QCD remain unsolved at energy scales relevant for describing atomic nuclei, and, among others, mainly numerical approaches seem to begin to give answers at this limit. How does QCD give rise to the physics of nuclei and nuclear constituents?

Confinement

Why has there never been measured a free quark or gluon, but only objects that are built out of them, like Mesons and Baryons? How does this phenomenon emerge from QCD?

Strong CP problem and axions

Why is the strong nuclear interaction invariant to parity and charge conjugation? Is Peccei-Quinn theory the solution to this problem?

Hypothetical particles

Which of the hypothetical particles predicted by supersymmetric theories and other fairly well-known theories actually occur in nature?

Astronomy and astrophysics

Accretion disc jets

Why do the accretion discs surrounding certain astronomical objects, such as the nuclei of active galaxies, emit relativistic jets along their polar axes? Why are there quasi-periodic oscillations in many accretion discs? Why does the period of these oscillations scale as the inverse of the mass of the central object? Why are there sometimes overtones, and why do these appear at different frequency ratios in different objects?

Coronal heating problem

Why is the Sun's Corona (atmosphere layer) so much hotter than the Sun's surface? Why is the magnetic reconnection effect many orders of magnitude faster than predicted by standard models?

Gamma ray bursts

How do these short-duration high-intensity bursts originate?^[4]

Supermassive black holes

What is the origin of the M-sigma relation between supermassive black hole mass and galaxy velocity dispersion?^[6]

Observational anomalies

Hipparcos anomaly: What is the actual distance to the Pleiades?

Pioneer anomaly^[5]: What causes the small additional sunward acceleration of the Pioneer spacecraft?^{[4] [5]}

Flyby anomaly: Why is the observed energy of satellites flying by earth different by a minute amount from the value predicted by theory?

Galaxy rotation problem: Is dark matter responsible for differences in observed and theoretical speed of stars revolving around the center of galaxies, or is it something else?

Supernovae

What is the exact mechanism by which an implosion of a dying star becomes an explosion?

Ultra-high-energy cosmic ray^[5]

Why is it that some cosmic rays appear to possess energies that are impossibly high (the so called *OMG particle*), given that there are no sufficiently energetic cosmic ray sources near the Earth? Why is it that (apparently) some cosmic rays emitted by distant sources have energies above the Greisen-Zatsepin-Kuzmin limit?^{[4] [5]}

Pulsar Time Dilation

Why do the emissions from pulsars at great cosmological distances fail to exhibit the predicted time dilation properties?

Rotation rate of Saturn

Why does the magnetosphere of Saturn exhibit a (slowly changing) periodicity close to that at which the planet's clouds rotate? What is the true rotation rate of Saturn's deep interior?^[7]

Condensed matter physics

Amorphous solids

What is the nature of the glass transition between a fluid or regular solid and a glassy phase? What are the physical processes giving rise to the general properties of glasses?^{[8] [9]}

Cold fusion

What is the explanation for the controversial reports of excess heat, radiation and transmutations?^{[5] [10] [11]}

Cryogenic electron emission

Why does the electron emission in the absence of light increase as the temperature of a photomultiplier is decreased?^{[12] [13]}

High-temperature superconductors

What is the mechanism that causes certain materials to exhibit superconductivity at temperatures much higher than around 50 kelvin?^[4]

Sonoluminescence

What causes the emission of short bursts of light from imploding bubbles in a liquid when excited by sound?^[14]

Turbulence

Is it possible to make a theoretical model to describe the statistics of a turbulent flow (in particular, its internal structures)?^[4] Also, under what conditions do smooth solutions to the Navier-Stokes equations exist? This is

probably the last unsolved problem in Classical or Newtonian Physics.

Biophysics

Synaptic plasticity

It is necessary for computational and physical models of the brain, but what causes it, and what role does it play in higher-order processing outside the hippocampus and visual cortex?

Axon guidance

How do axons branching out from neurons find their targets? This process is crucial to nervous system development, allowing the building up of the brain.

Stochasticity and robustness to noise in gene expression

How do genes govern our body, withstanding different external pressures and internal stochasticity? Certain models exist for genetic processes, but we are far from understanding the whole picture, in particular in development where gene expression must be tightly regulated.

Quantitative study of the immune system

What are the quantitative properties of immune responses? What are the basic building blocks of immune system networks? What roles are played by stochasticity?

Problems solved in recent decades

Long-duration gamma ray bursts (2003)

Long-duration bursts are associated with the deaths of massive stars in a specific kind of supernova-like event commonly referred to as a collapsar.

Solar neutrino problem (2002)

Solved by a new understanding of neutrino physics, requiring a modification of the Standard Model of particle physics—specifically, neutrino oscillation.

Age Crisis (1990s)

The estimated age of the universe was around 3 to 8 billion years younger than estimates of the ages of the oldest stars in our galaxy. Better estimates for the distances to the stars and the addition of dark energy into the cosmological model reconciled the age estimates.

Quasars (1980s)

The nature of quasars was not understood for decades.^[15] They are now accepted as a type of active galaxy where the enormous energy output results from matter falling into a massive black hole in the center of the galaxy.^[16]

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External links

- What don't we know? (<http://www.sciencemag.org/sciext/125th/>) Science journal special project for its 125th anniversary: top 25 questions and 100 more.
- Physics News Update (<http://www.aip.org/pnu/>) A weekly physics news bulletin hosted by the American Institute of Physics.
- List of links to unsolved problems in physics, prizes and research. (<http://www.openproblems.net/>)
- Ideas Based On What We'd Like to Achieve (<http://www.nasa.gov/centers/glenn/technology/warp/ideachev.html>)
- 2004 SLAC Summer Institute: Nature's Greatest Puzzles (<http://www-conf.slac.stanford.edu/ssi/2004/Default.htm>)
- Dual Personality of Glass Explained at Last (<http://technology.newscientist.com/article/dn14179-glass-dual-personality-explained-at-last.html>)

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