# Lecture #3 Quantum Mechanics: Introduction

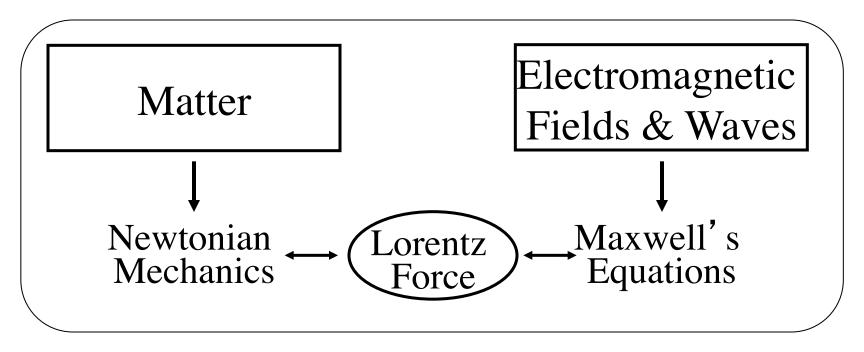
- Topics
  - Why QM for magnetic resonance?
  - Historical developments
  - Wavefunctions
- Handouts and Reading assignments
  - Levitt, Chapter 6 (optional)
  - Miller, Chapter 1-3 (optional).

#### Classical versus Quantum NMR

- QM is only theory that correctly predicts behavior of matter on the atomic scale, and QM effects are seen in vivo.
- Systems of isolated nuclei can be described with the intuitive picture of a classical magnetization vector rotating in 3D space (Bloch equations).
- Systems of interacting nuclei, in particular spin-spin coupling, require a more complete QM description (density matrix theory).
- We will develop a QM analysis of MR, based on density matrix theory, but retaining the intuitive concepts of classical vector models (product operator formalism).

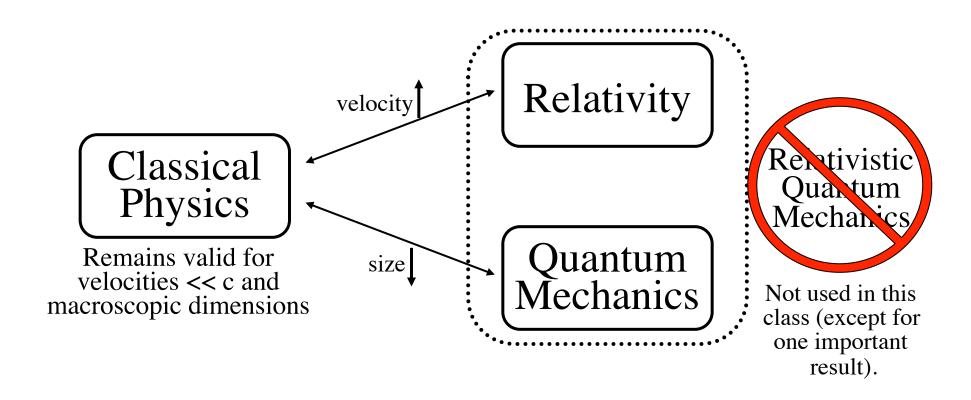
#### 19th Century Physics

• At the end of the 19th century, physicists divided the world into two entities:



Classical Physics

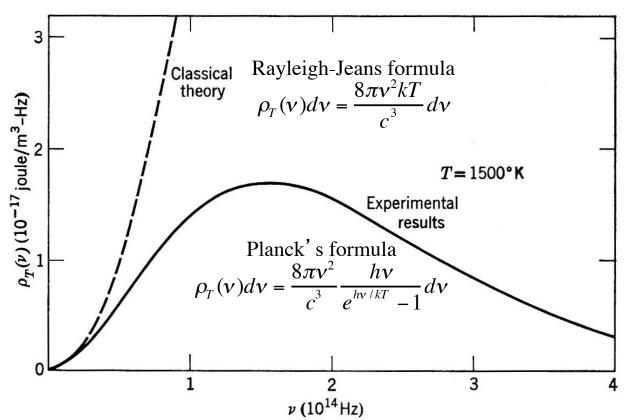
#### Early 20th Century Physics



Analogy: geometric optics versus inclusion of diffraction effects

### Blackbody Radiation

 A blackbody is an object that absorbs all incident thermal radiation.



- Classical theory leads to the "ultraviolet catastrophe"
- Max Planck solved problem by assuming energy is <u>quantized</u> such that

E=nh $\nu$  where n=integer and h=6.63 $\times$ 10<sup>-34</sup> j·s.

Planck's Theory of Cavity Radiation (1900) => energy quantization

#### **Photons**

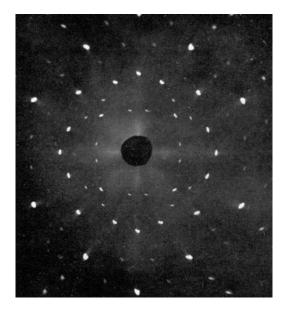
- Einstein generalized Planck's results
  - Proposed a return to the particle theory of light. i.e.
     light = photons each with energy hv.
  - Photons explain the photoelectric effect (1905).
  - Note: photons not experimentally shown to exist until the Compton effect (1924).
- EM waves (radiation) exhibit both wave and particle features with parameters linked by

$$E = hv = \hbar\omega$$
 $\vec{p} = \hbar\vec{k}$  where  $\begin{vmatrix} \vec{k} \end{vmatrix} = 2\pi/\lambda$  wavelength  $\hbar = h/2\pi$ 

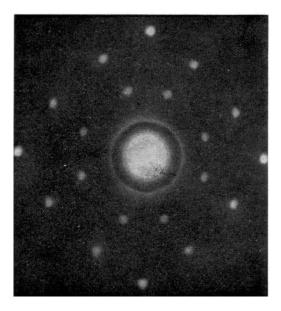
**Planck-Einstein relations** 

#### Matter Wave-Particle Duality

- de Broglie (1923) hypothesis: material particles (e.g. electrons, protons, etc), just like photons, can have wavelike aspects.
- Wave properties of matter later demonstrated via interference patterns obtained in diffraction experiments.



x-ray diffraction by single NaCl crystal



neutron diffraction by single NaCl crystal

#### Matter Wave-Particle Duality

• With each particle we associate:

energy 
$$E$$

momentum  $\vec{p}$ 

angular frequency  $\omega = 2\pi v$ 

wave number  $\vec{k}$ 

(later we'll add spin)

$$E = hv = \hbar \omega$$

$$\vec{p} = \hbar \vec{k}$$

$$\lambda = \frac{2\pi}{|\vec{k}|} = \frac{h}{|\vec{p}|}$$

de Broglie wavelength

(remember  $h$  is very small)

$$E = h\mathbf{v} = \hbar\omega$$

$$\vec{p} = \hbar\vec{k}$$

$$\lambda = \frac{2\pi}{|\vec{k}|} = \frac{h}{|\vec{p}|}$$
 de Broglie wavelength (remember  $h$  is **very** small)

- Example 1: baseball moving at v = 10 m/s (assume m = 0.1 kg) de Broglie  $\lambda = \frac{h}{m} = 6.6 \times 10^{-34} \text{ m} = 6.6 \times 10^{-24} \text{ Å}$ wavelength
- Example 2: dust speck with  $m = 10^{-15}$  kg and  $v = 10^{-3}$  m/s  $\lambda = \frac{h}{p} = \frac{h}{mv} = 6.6 \times 10^{-16} \text{ m} = 6.6 \times 10^{-6} \text{ Å}$ de Broglie wavelength

Conclusion: living in a macroscopic world, we have little intuition regarding the behavior of matter on the atomic scale.

#### Quantum vs Classical Physics

- QM does not deal directly with observable physical quantities (e.g. position, momentum,  $M_x$ ,  $M_y$ ,  $M_z$ ).
- QM deals with the state of the system, as described by a wavefunction  $\psi(t)$  or the density operator  $\rho(t)$ , independent of the observable to be detected.
- Probability is fundamental.

Classical physics: "If we know the present exactly, we can predict the future."

Quantum mechanics: "We *cannot* know the present exactly, as a matter of principle."

#### Wave Functions

- For the classical concept of a trajectory (succession in time of the state of a classical particle), we substitute the concept of the quantum state of a particle characterized by a wave function,  $\psi(\vec{r},t)$ .
- $\psi(\vec{r},t)$ 
  - contains all info possible to obtain about the particle
  - interpreted as a probability amplitude of the particle's presence with the probability density given by:

$$dP(\vec{r},t) = C|\psi(\vec{r},t)|^2 d^3 \vec{r}$$
, C constant.

$$\int d\mathbf{P}(\vec{r},t) = 1 \Longrightarrow \frac{1}{C} = \int |\psi(\vec{r},t)|^2 d^3 \vec{r} << \infty$$
square-integrable!

- wave functions typically normalized, i.e.  $\int |\psi(\vec{r},t)|^2 d^3 \vec{r} = 1$ 

### Schrödinger's Equation

- How does  $\psi(\vec{r},t)$  change with time?
- Time evolution given by the Schrödinger's equation:

$$i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) = -\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{r}, t) + V(\vec{r}, t) \psi(\vec{r}, t)$$
Laplacian: 
$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

• Often written:  $\frac{\partial}{\partial t}\psi(\vec{r},t) = -\frac{i}{\hbar}H\psi(\vec{r},t)$ 

where 
$$H = -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}, t)$$
 (*H* operator for total energy called the *Hamiltonian*)

kinetic energy potential energy

Note, classically  $H = \frac{p^2}{2m} + V$   $\Rightarrow$   $p = -i\hbar \frac{\partial}{\partial \vec{r}}$   $\frac{\ln QM, \text{ physical quantities are expressed as operators.}}{\ln QM, \text{ physical quantities are expressed as operators.}}$ 

## Quantum Description of a Free Particle

• For a particle subject to no external forces:

$$V(\vec{r},t) = 0 \implies i\hbar \frac{\partial}{\partial t} \psi(\vec{r},t) = -\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{r},t)$$

• Easy to show that this equation is satisfied by:

$$\psi(\vec{r},t) = Ae^{i(\vec{k}\cdot\vec{r}-\omega t)} \quad \text{where} \quad \omega = \frac{\hbar\vec{k}^2}{2m}$$
plane wave with wave number  $\vec{k} = \vec{p}/\hbar$ 

• Since,  $|\psi(\vec{r},t)|^2 = |A|^2$ , the probability of finding the particle is uniform throughout space.

Note, strictly speaking,  $\psi(\vec{r},t)$  is not square integrable, but as engineers we won't worry too much about this (comparable to dealing with  $\delta(x)$  in Fourier theory).

## Quantum Description of a Free Particle (cont.)

• Linearity of Schrodinger's equation implies superposition holds, i.e. general linear combination of plane waves is also a solution.

$$\psi(\vec{r},t) = \frac{1}{(2\pi)^{3/2}} \int g(\vec{k}) e^{i(\vec{k}\cdot\vec{r} - \omega(\vec{k})t)} d^3\vec{k}$$

• Consider 1D case evaluated at a fixed time, say t=0:

$$\psi(x,0) = \frac{1}{\sqrt{2\pi}} \int g(k)e^{ikx}dk$$

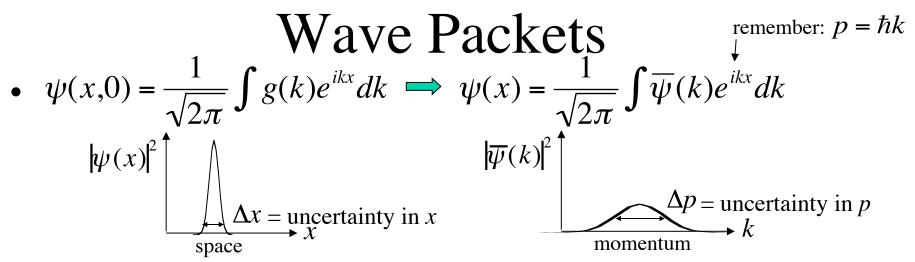
#### Wave Packets

• Example: dust speck with  $m = 10^{-15}$  kg and  $v = 10^{-3}$  m/s de Broglie wavelength  $\lambda = \frac{h}{p} = \frac{h}{mv} = 6.6 \times 10^{-16}$  m =  $6.6 \times 10^{-6}$  Å

Probability of finding the dust speck at a given point in space.  $|\psi(x)|^2$   $\Delta x = \text{uncertainty in } x$ 

- In quantum terms, the dust speck is described by a wave packet:
  - group velocity  $v = 10^{-3}$  m/s
  - average momentum  $p = 10^{-18}$  kg m/s
  - maximum represents the "position"

How accurately can we measure the dust speck's position?



Fourier theory of equivalent widths immediately yields most common form of the uncertainty principle  $\Rightarrow \Delta x \cdot \Delta p \ge \hbar/2$ 

Example: dust speck with  $m = 10^{-15}$  kg and  $v = 10^{-3}$  m/s. If the position is measured to an accuracy of  $\Delta x = 0.01 \,\mu$ , then

$$\Rightarrow \Delta p \cong \frac{\hbar}{\Delta x} = 10^{-26} \text{ kg} \cdot \text{m/s} \Rightarrow$$

Since no momentum measuring device can the dust speck as a classical particle.

- At the atomic level,  $\Delta x$  and  $\Delta p$  are *not* negligible.
- For NMR, we'll not be dealing with x and p, but rather another intrinsic property of matter known as spin.

#### Summary: Wave Functions

- Replaces the classical concept of a trajectory.
- $\psi(\vec{r},t)$  contains all information possible to obtain about a particle.
- Probability of finding particle in differential volume  $d^3\vec{r}$  is given by

$$dP(\vec{r},t) = C |\psi(\vec{r},t)|^2 d^3 \vec{r}$$
normalization
constant

• Time evolution given by the Schrödinger's equation:

$$\frac{\partial}{\partial t}\psi(\vec{r},t) = -\frac{i}{\hbar}H\psi(\vec{r},t)$$

#### Next Lecture: Mathematics of QM

## Appendix I

#### Plausibility of Schrodinger's Eqn.

Given...

Newton: 
$$F = \frac{d}{dt}p$$
force momentum 
$$E = \frac{p^2}{2m} + V$$
energy kinetic potential roglie-Einstein: 
$$k = 2\pi/2 = p/4$$

$$E = \omega\hbar$$

de Broglie-Einstein: 
$$k = 2\pi/\lambda = p/\hbar$$
  $E = \omega\hbar$ 
wavenumber frequency

Reasonable to look for a QM wave of the form:

$$\psi(x,t) = e^{i(kx-\omega t)}$$
 Sinusoidal traveling wave with constant wavenumber and frequency (momentum and energy). For example, this satisfies Newton and de Broglie-Einstein for V=constant.

### Plausibility of Schrodinger's Eqn.

Consider:

$$\frac{\partial}{\partial x}\psi(x,t) = i\frac{p}{\hbar}e^{i(kx-\omega t)} \longrightarrow -i\hbar\frac{\partial}{\partial x}\psi(x,t) = p\psi(x,t)$$
operator form:  $\hat{p} = -i\hbar\frac{\partial}{\partial x}$ 

$$\frac{\partial}{\partial t}\psi(x,t) = -i\frac{E}{\hbar}e^{i(kx-\omega t)} \longrightarrow i\hbar\frac{\partial}{\partial t}\psi(x,t) = E\psi(x,t)$$

operator form: 
$$\hat{E} = i\hbar \frac{\partial}{\partial t}$$

Substituting into operator form of energy equation:

$$\hat{E}\psi(x,t) = \frac{\hat{p}^2}{2m}\psi(x,t) + \hat{V}\psi(x,t) \implies i\hbar \frac{\partial}{\partial t}\psi(x,t) = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2}\psi(x,t) + \hat{V}\psi(x,t)$$

Note: equation linear in  $\psi$ , hence waves can add yielding interference effects, etc.