

# (APPENDIX 4 to "CASA-Design-static-Analysis-Notes")

Algorithm/Pseudo-code: CLEAN w/ Visibility-Domain Corrections for DDEs

Data: Calibrated Visibilities:  $\vec{V}_{n \times 1}^{\text{corr}} = [K_{n \times n}^{\text{vis}} + ] \vec{V}_{n \times 1}^{\text{obs}} = [K_{n \times n}^{\text{vis}}]^{-1} \vec{V}_{n \times 1}^{\text{obs}}$

Data: Gridding convolution function:  $\vec{K}_{ij}^{\text{dd}} \star \vec{P}_s$

→ GCF that corrects for DDEs for baseline  $ij$  during gridding. Several DDEs can be combined to form a single GCF.

Data: uv-sampling function:  $S_{n \times m}$

Data: Image noise threshold & loop gain  $\sigma_{\text{thr}}, g_s$

Result: Model image:  $\vec{I}_{m_I \times 1}^{\text{model}}$  → reconstructed estimate of  $\vec{I}_{\text{sky}}$

① Compute the PSF:  $\vec{I}^{\text{PSF}}$

→  $\vec{I}_{m_I \times 1}^{\text{PSF}} = W_{\text{sum}}^{-1} [I^{\text{PS}}]^{-1} [F^T R G^{\text{PS}} S^T W] \vec{I}_{n \times 1}$  (i.e., using only prolate spheroidal  $\vec{P}_s$  as GCF and no DDEs).

② Compute the weight image:  $\vec{I}^{\text{wt}}$ , using  $[S^{\text{dd}}]$  &  $[S^{\text{dd}^T}]$ .

$\vec{I}^{\text{wt}} = [F^T] \sum_{ij} \vec{K}_{ij}^{\text{dd}} w_{ij} \vec{K}_{ij}^{\text{dd}}$ , where  $\vec{K}_{ij}^{\text{dd}}$  is u-v domain convolution kernel for DDEs

$[S^{\text{dd}}]$  = sampling matrix/function that includes a baseline-based convolution of visibility function w/  $\vec{K}_{ij}^{\text{dd}}$ .

$[S^{\text{dd}}] = [S][G^{\text{pb}}] = [S][F P_b F^T]$ , where  $[P_b] = \text{diag}(\vec{P}_b)$  and  $\vec{P}_b = [F^T] \vec{K}^{\text{pb}} = \sqrt{\vec{I}^{\text{wt}} / W_{\text{sum}}}$

③ Compute  $P_b \vec{P}_b$  from  $\vec{I}^{\text{wt}}$ .

- When antenna PB is dominant DDE, an average PB is computed via  $\vec{P}_b = \sqrt{\vec{I}^{\text{wt}} / W_{\text{sum}}}$

Just getting to the start or setting up for major cycle

④ Compute the dirty image:  $\vec{I}^{\text{dirty}}$  using  $[S^{\text{dd}}]^{\dagger}$

$$\vec{I}^{\text{dirty}} = \vec{I}^{\text{res}}_{n_1 \times 1} = [F^{\dagger} R S^{\text{dd} \dagger} W_{\text{im}}] \vec{V}^{\text{res}}_{n \times 1}$$

(UV-domain to image domain)

Reverse transform step:

(FFT)

$\vec{V}^{\text{res}} = \vec{V}^{\text{corr}}$  here

Note: When this step is combined w/ forward transform step defined in step 16, dirty/residual image is equivalent to computing  $\nabla^2 \chi^2$  (Newton-Raphson), i.e.,

$$\vec{I}_{S,k}^{\text{D}} = - \left[ \frac{\partial^2 \chi^2}{\partial I_{S,k} \partial I_{S,k}} \right]^{-1} \frac{\partial \chi^2}{\partial I_{S,k}} \bigg|_{\vec{I}_{S,k}=0} \quad \text{where } \vec{I}_{S,k} = \begin{cases} \text{model} \\ \text{image} \end{cases}$$

polarized sky brightness, residual image

Hessian (pre-computed)

⑤ Measure the peak PSF sidelobe:  $f_{\text{sidelobe}} = \max. \{ \text{sidelobe}(\vec{I}^{\text{psf}}) \}$

Actual start of major cycle here

⑥ Initialize model image & residual image: Model image  $\vec{I}^{\text{model}}$  is initialized to zero or to an a-priori model, i.e.,  $\vec{I}^{\text{model}} = 0$ ,  $\vec{I}^{\text{res}} = \vec{I}^{\text{dirty}}$

⑦ Repeat

⑧ Normalize residual image:  $\vec{I}^{\text{res}} = [\vec{P}_b]^{\dagger} \vec{I}^{\text{dirty}}$

There are 2 ways of normalizing  $\vec{I}^{\text{res}}$  before beginning minor cycle iterations:

- (1) Calculate principle solution by dividing RHS by  $\vec{I}^{\text{wt}}$ , &
- (2) divide RHS by estimate of average  $\vec{P}_b$  and sum of weights

Flat Noise: Normalization by  $\vec{P}_b W_{\text{sum}}$  before deconvolution will result in model image described by  $\vec{I}^{\text{model}} = \vec{I}^{\text{sky}} \cdot \vec{P}_b$

$$\vec{I}_{(i)}^{\text{model}} = T(\vec{I}^{\text{res}}, \vec{I}^{\text{Psf}}) \quad \text{Computed earlier}$$

$\nabla \chi^2$  image (Newton Raphson), (Hessian)

Minor cycle starts here

⑨ Compute a flux limit:  $f_{\text{limit}} = \max\{0, f_{\text{sidelobe}} * \max\{\vec{I}^{\text{res}}\}\}$

Repeat

⑩ Find location & amplitude of peak:  $\delta \vec{I}^{\text{model}} = \text{peak}(\vec{I}^{\text{res}})$

Note:  $\delta \vec{I}^{\text{model}}$  (= update to existing model image) =  $\max[C \nabla \chi^2]$

$$= \max\left[C \frac{\partial \chi^2}{\partial \vec{I}^{\text{model}}}\right]$$

$$= \max\left\{-\left[\frac{\partial^2 \chi^2}{\partial \vec{I}^{\text{model}} \partial \vec{I}^{\text{model}}}\right]^{-1} \frac{\partial \chi^2}{\partial \vec{I}^{\text{model}}}\right\}$$

$$= \max(\Delta \vec{I}_i^{\text{dirty}})$$

⑫

Update model image:  $\vec{I}^{\text{model}} = \vec{I}^{\text{model}} + g_s \delta \vec{I}^{\text{model}}$   
 loop gain ( $0 < g_s < 1$ )

→ Accumulate flux components from iteration  $i$  onto a model image

⑬

Update residual image:  $\vec{I}^{\text{res}} = \vec{I}^{\text{res}} - g_s [\delta \vec{I}^{\text{model}} * \vec{I}^{\text{Psf}}]$

i.e., first update RHS:

Residual image,  $\vec{I}_{m \times 1}^{\text{res}} = [F^T R S^{dd^T} W_{\text{im}}] \vec{V}_{n \times 1}^{\text{res}}$ , is updated

by subtracting out contribution of flux components found in iteration  $i$ , damped by loop gain:

$$\vec{I}^{\text{res}} = \vec{I}^{\text{res}} - g(\vec{I}^{\text{Psf}} * \vec{I}_i^{\text{model}})$$

⑭

until  $\max\{\vec{I}^{\text{res}}\} < f_{\text{limit}}$ , i.e., until minor cycle flux limit is reached → until some termination criterion is satisfied (usually when  $T$  can no longer reliably extract any flux from  $\vec{I}^{\text{res}}$ )

END OF MINOR CYCLE: ( $\vec{I}^{\text{model}}$  is obtained)

MAJOR CYCLE

⑮

Correct for PB: Divide model image by PB:  $\vec{I}^{\text{model}} = \vec{I}^{\text{model}} / P_b$

→ Depending on choice of normalization, model image @ end of minor cycle has to be further processed before model visibilities can be predicted from it

MAJOR  
CYCLE  
(Continued)

GCF is  
used

Flat noise: New model image is computed as  $\vec{I}^{\text{model}} / \vec{P}_b$ , i.e.; division of model image by PB (known a-priori) will give an image of reconstructed sky brightness distribution.

(16) Predict model visibilities  $\vec{V}^{\text{model}}$  from  $\vec{I}^{\text{model}}$  using  $[S^{\text{dd}}]$ .  
(Forward Transform) (FFT) (image-domain to uv-domain).

- Prediction step of computing model visibilities from current sky model needs to re-introduce all DDEs that are being corrected for during gridding, before model can be compared w/ data for  $\chi^2$  computation, i.e., visibilities that would be measured for current sky model  $\vec{I}^{\text{model}}$  are computed so that model can be compared w/ data  $\vec{I}^{\text{corr}}$  & new residual visibilities computed

$$\vec{V}_{n \times 1}^{\text{model}} = [S^{\text{dd}} R^{\dagger} F] [\mathbf{I}^{\text{PS}}]^{-1} \vec{I}_{m_I \times 1}^{\text{model}}$$

↓ includes  $G^{\text{dd}}$

(17) Compute a new residual image  $\vec{I}^{\text{res}}$  from  $\vec{V}^{\text{res}} = \vec{V}^{\text{corr}} - \vec{V}^{\text{model}}$  using  $[S^{\text{dd}}]$ :

$$\vec{I}_{m_I \times 1}^{\text{res}} = [F^{\dagger} R S^{\text{dd} \dagger} W_{\text{im}}] \vec{V}_{n \times 1}^{\text{res}}$$

↓ includes  $G^{\text{dd}}$

(18) until  $\max \{ \vec{I}^{\text{res}} \} < \sigma_{\text{thr}}$ , i.e., final convergence criterion is satisfied (usually, when  $\vec{V}^{\text{res}}$  and  $\vec{I}^{\text{res}}$  are noise-like)

(19) Restore the final model image:

Final model image  $\vec{I}^{\text{model}}$  is restored by smoothing it w/ restoring beam, & adding back residuals computed via step (17) above, and then normalizing by  $\vec{I}^{\text{wt}}$  (principal solution):

Convolution kernel
restoring beam (Gaussian whose width is chosen as width of central lobe of PSF)

$$\vec{I}^{wt} = [F^+] \sum_{ij} \vec{K}_{ij}^{dd*} w_{ij} \vec{K}_{ij}^{dd}$$

$\Rightarrow$  Result:  $\vec{I}^{restored} = \vec{I}_{(final)}^{model} \star \vec{I}^{beam} + \vec{I}_{m_I \times 1}^{res}$

$\rightarrow$  and, normalize by/with  $\vec{I}^{wt}$

### Additional Notes

$[S^{dd}]$ : sampling matrix that includes a baseline-based convolution of visibility function with  $\vec{K}_{ij}^{dd}$ .  
 $[S^{dd}] = [S][G^{pb}] = [S][F P_b F^+] \equiv [S_{n \times m}][G_{m \times m}^{dd}]$   
 where  $[G^{pb}] = [F P_b F^+] =$  gridding convolution operator,  
 $P_b = [F^+] \vec{K}^{pb} = \sqrt{\vec{I}^{wt} / w_{sum}}$ ,

$$\vec{K}_{ij}^{pb} = \vec{J}_i \star \vec{J}_j = \text{convolution of 2 AIFs for 1 polarization pair}$$

$$[G_{ij}^{dd}]_{1 \times m} = \text{diag}([F K_{ij}^{sky}]_{m \times m})$$

• Note that in the Forward Fourier Transform steps (FFT),

$$\vec{V}_{n \times 1}^{model} = [S^{dd} R^+ F][I^{PS}]^{-1} \vec{I}_{m_I \times 1}^{model}$$

$[I^{PS}]^{-1}$  is the grid correction step, and

$[R^+]$  maps the model visibility function from coarse grid to fine grid, before interpolating across a fine grid via a convolution, to evaluate model visibilities at sampled spatial frequencies.

## Additional Notes /List of symbols

([http://www.aoc.nrao.edu/~rurvashi/DataFiles/UrvashiRV\\_PhDThesis.pdf](http://www.aoc.nrao.edu/~rurvashi/DataFiles/UrvashiRV_PhDThesis.pdf))

$[F_{m \times m}]$	matrix operator : Discrete Fourier Transform
<p><math>[F]</math> represents the forward transform (image-domain to <math>uv</math>-domain). <math>[F^*]</math> represents the reverse transform (<math>uv</math>-domain to image-domain). <math>[F^*F] = m1_m</math> where <math>1_m</math> is the <math>m \times m</math> Identity matrix. <math>[F^*]</math> gives an un-normalized Fourier inverse. <math>[F^{-1}] = \frac{1}{m}[F^*]</math> gives a normalized Fourier inverse.</p>	
$[G_{m \times m}]$	gridding convolution operator $[G] = [FXF^*]$ ( $uv$ -domain convolution operator with kernel given by $[F^*]X$ ) (image-domain element-by-element multiplication with $X$ )
$[G^{dd}]$	gridding convolution with $K^{dd}$
$[G^{pb}]$	gridding convolution with $K^{pb}$
$[G^{ps}]$	gridding convolution with the prolate spheroidal
$I^{dirty}$	dirty image
$I^{model}$	model image, reconstructed estimate of $I^{sky}$
$I^{psf}$	point spread function
$I^{sky}$	sky brightness distribution
$I^{wt}$	weight image
$[J_{2 \times 2}]$	Jones matrix
$\vec{J}$	$uv$ -plane aperture illumination function
$[J_{m \times m}]$	$uv$ -plane aperture illumination function in matrix form $[J] = diag(\vec{J})$
$[K_{4 \times 4}]$	outer product of two Jones matrices ( $[J_{2 \times 2}] \otimes [J_{2 \times 2}]$ )
$[K_{ij}(u, v)]$	$[K_{4 \times 4}]$ for baseline $ij$ and 2-D spatial frequency $u, v$
$\vec{K}$	$\vec{K} = \vec{J} \star \vec{J}$ is a $uv$ -plane convolution kernel
$[K_{m \times m}]$	$uv$ -plane convolution kernel $[K] = diag(\vec{K})$
$\vec{K}^{dd}$	$uv$ -domain convolution kernel for direction-dependent effects
$\vec{K}^{mos}$	convolution function for mosaicing
$\vec{K}^{pb}$	convolution of two aperture illumination functions
$\vec{P}_s$	prolate spheroidal function
$\vec{P}_b$	$\vec{P}_b = [V_p^*][V_p] = [F^*]\vec{K}^{pb}$ is the antenna primary beam
$[P_b]$	antenna primary beam in matrix form $[P_b] = diag(\vec{P}_b)$
$[R_{m_f \times m}]$	projection matrix, resampling operator $m$ to $m_f$ pixels
$[S]$	matrix operator : $uv$ -coverage, sampling function, transfer function
$[S^{dd}]$	sampling function with baseline-based convolution



$\vec{V}^{corr}$	corrected/calibrated visibilities
$\vec{V}^{model}$	model visibilities .....
$\vec{V}^{obs}$	observed visibilities .....
$\vec{V}^{res}$	residual visibilities .....
$\vec{V}_p$	$\vec{V}_p = [F^\dagger]J$ is the antenna voltage pattern .....
$[V_p]$	antenna coltage pattern in matrix form $[V_p] = diag(\vec{V}_p)$
$[W]$	diagonal matrix : measurement or visibility weights ...
$[W^G]$	gridded weights $[W^G] = [S^\dagger W S]$ .....
$[W^{im}]$	imaging weights