Fantastic sheaves and where to find them

An MSP101 talk

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October 22, 2020 (Day 300 of the COVID Era)

Geometry

Geometry

Idea:

sheaves are 'continuously parametrized' objects on a topological space.

We are going to see

- 1. Sheaf condition as a continuity requirement
- 2. 'Local-to-global' behaviour
- 3. Sheaf cohomology

Basics

Let X be a topological space, $\mathcal{O}(X)$ its frame of open sets.

Definition

A **presheaf** on X is a functor

$$F: \mathcal{O}(X)^{\operatorname{op}} \longrightarrow \mathbf{Set}$$

Elements of F(U) are called **sections**. The map $F(V \subseteq U)$ is called **restriction**, and we write $s|_V := F(V \subseteq U)(s)$.

Definition

A **sheaf** on X is a presheaf F such that for every open covering $\{U_i\}_{i\in I}$:

sheaf condition :
$$F(\text{colim } U_i) \cong \text{lim } F(U_i)$$
.

The sheaf condition is a 'continuity' or a 'locality' condition.



Let's unpack it:

1. The colimit of a covering is

colim
$$U_i = \bigcup_i U_i =: U$$
.

Elements of F(U) are 'globally defined sections' (wrt to U).

2. Elements of $\lim F(U_i)$ are 'locally defined sections' which satisfy a **compatibility condition**:

$$\lim F(U_i) = \{(s_i)_{i \in I} \, | \, s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} \text{ for all } i, j \in I\}$$

3. There is a universal morphism

$$\varphi: F(\operatorname{colim} U_i) \longrightarrow \lim F(U_i)$$

$$s \longmapsto (s|_{U_i})_{i \in I}$$



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Then:

1. φ mono means

separation axiom:
$$s = t \in F(U)$$
 iff $s|_{U_i} = t|_{U_i}$ for all $i \in I$.

2. φ epi means

glueing axiom: every compatible assignment of sections
$$(s_i)_{i \in I} \in \lim F(U_i)$$
 'glues' to a global section $s \in F(U)$.

A presheaf satisfying separation is called **separated**.

A sheaf satisfies both.



Example

The canonical example is $C(-;\mathbb{R})$ of continuous real functions. Also: smooth functions, measurable functions, etc. (in fact many structures can be encoded directly in a *structure sheaf*).

Non-example

Separated presheaves which are not sheaves:

- 1. Constant functions: if U and V are disjoint open sets, there's no way to glue two constant functions with different values on U and V, even though they agree on $U \cap V$.
- 2. Bounded functions: choose an infinite covering, even though every local section might be bounded there's no guarantee their glueing will be bounded (e.g. $\lambda x.x^2|_{(n,n+1)}$)

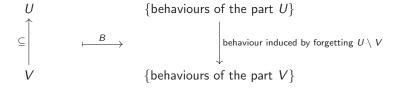
Non-separated presheaves are rare to find in practice



The sheaf condition can be read also in terms of **systems theory**:

Suppose X is a 'system', and its open sets are 'parts'.

Then the **functor of behaviours** is a presheaf:

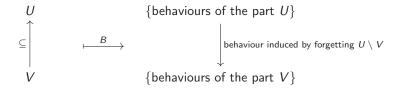




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Then the **functor of behaviours** is a presheaf:



Separation: behaviours can be distinguished at the level of parts.

Glueing: compatible behaviours of the parts form global behaviours.



Sheaf cohomology

Hence:

(pre)sheaves mediate the passage from local to global.

Most importantly, they enable the right tooling to study obstructions to this passage, namely **sheaf cohomology**.

Sheaf cohomology

Čech

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Sheaf cohomology Čech

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Čech

Reasons to prefer Čech to plain cohomology:

- 1. It's computational (it's a simplicial cohomology in disguise)
- 2. Easily generalized to non-abelian sheaves (e.g. **Set**-sheaves)

For this talk though, let's stick to the *abelian* version, hence we will assume F is a sheaf valued in \mathbf{Ab} (or any abelian cat if you know what that means)



Take a 'good' cover $\{U_i\}_{i\in I}$ of X. (e.g. contractible intersections)

Consider the following **cochain complex**: (meaning im $d_n \subseteq \ker d_{n+1}$)

$$0 \to \prod_i F(U_i) \xrightarrow{d_0} \prod_{i,j} F(U_i \cap U_j) \xrightarrow{d_1} \prod_{i,j,k} F(U_i \cap U_j \cap U_k) \xrightarrow{d_2} \cdots$$

whose coboundaries are

$$d_{0}((s_{i})_{i}) = (s_{i}|_{U_{i} \cap U_{j}} - s_{j}|_{U_{i} \cap U_{j}})_{i,j}$$

$$d_{1}((s_{i,j})_{i,j}) = (s_{i,j}|_{U_{i} \cap U_{j} \cap U_{k}} - s_{j,k}|_{U_{i} \cap U_{j} \cap U_{k}} + s_{k,i}|_{U_{i} \cap U_{j} \cap U_{k}})_{i,j,k}$$

$$\vdots$$

The cohomology of the complex measures how far is im d_n from coinciding with ker d_{n+1} : ('exactness')

$$0 \longrightarrow \prod_{i} F(U_{i}) \xrightarrow{d_{0}} \prod_{i,j} F(U_{i} \cap U_{j}) \xrightarrow{d_{1}} \prod_{i,j,k} F(U_{i} \cap U_{j} \cap U_{k}) \xrightarrow{d_{2}} \cdots$$

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$$H^{0} = \ker d_{0} \qquad H^{1} = \frac{\ker d_{1}}{\operatorname{im} d_{0}} \qquad H^{2} = \frac{\ker d_{2}}{\operatorname{im} d_{1}}$$

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Meaning:

$$H^0 = ext{local sections } (s_i)_i ext{ s.t. } s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} ext{ } \forall i,j \in I$$

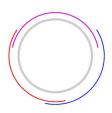
$$\stackrel{\text{if sheaf}}{=} ext{global sections}.$$

 $H^1=$ compatible local sections on $U_i\cap U_j$ which do not arise from an assignment on the opens

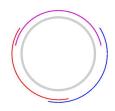
$$pprox$$
 1-holes

$$H^n = \cdots \approx n$$
-holes



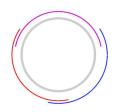


Cover S^1 as in the picture, let $F = \Delta K = {\rm constant}$ sheaf at K field.



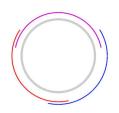
$$d_0 = egin{pmatrix} 1 & -1 & 0 \ 0 & 1 & -1 \ -1 & 0 & 1 \end{pmatrix}$$

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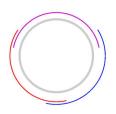
$$d_0 = egin{pmatrix} 1 & -1 & 0 \ 0 & 1 & -1 \ -1 & 0 & 1 \end{pmatrix} \implies \mathsf{rk}(d_0) = 2 \implies egin{cases} \mathsf{dim}\,\mathsf{ker}\,d_0 = 1, \ \mathsf{dim}\,\mathsf{im}\,d_0 = 2 \end{cases}$$



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$$d_1 = 0$$

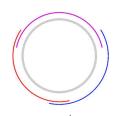


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$$d_1 = 0$$
 \Longrightarrow dim ker $d_1 = 3$



Cover S^1 as in the picture,

let $F = \Delta K = \text{constant sheaf at } K \text{ field.}$

Čech complex: $0 \longrightarrow K^3 \xrightarrow{d_0} K^3 \xrightarrow{d_1} 0$

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$$d_1 = 0$$

$$\implies$$
 dim ker $d_1 = 3$

1 0-hole = 1 connected component

Hence

$$H^{0} = K^{1}$$

$$H^1 \cong K^3/K^2 \cong K^1$$

$$H^{\mathbf{n}} = 0 \cong K^{\mathbf{0}}$$

0 n-holes for all n > 2

Cohomology is not completely determined by the topology of the space though, it is really determined by the structure of the sheaf (it's the cohomology of its étalé space) .

Example



Repeat the previous computation with a different *locally* constant sheaf.

Hence topological obstructions \neq local-to-global obstructions!

Let B (presheaf of behaviours) be a **pre**sheaf of abelian groups, let $\{U_i\}_{i\in I}$ be an open covering of U.

Its augmented Čech complex is:

$$0 \longrightarrow \underline{B(U)} \stackrel{d_{-1}}{\longrightarrow} \prod_i B(U_i) \stackrel{d_0}{\longrightarrow} \prod_{i,j} B(U_i \cap U_j) \stackrel{d_1}{\longrightarrow} \cdots$$

where
$$d_{-1}(s) = (s|_{U_i})_i$$
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→ emergent behaviour (= 0 if no emergence) [Adam, 2017]

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→ emergent behaviour (= 0 if no emergence) [Adam, 2017]

 $H^{\geq 1}$ = same as before! \rightsquigarrow higher-order emergent behaviour...?



Logic

Logic

Idea:

sheaves are 'locally defined sets' on a 'site'.

We are going to see

- 1. Sites & topoi
- 2. The internal language of a topos of sheaves
- 3. The 'locally' modality
- 4. Logical-flavoured applications: forcing, internalization

Let **C** be a small category.

Definition

A **sieve** on $U: \mathbf{C}$ is a collection S of morphisms $\{U_i \to U\}_{i \in I}$ closed by precomposition on the left:

$$V \to \underbrace{U_i \to U}_{\in S}$$

$$\Longrightarrow \in S$$

You generate a sieve by choosing some morphisms into U and then closing.



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Definition

A **site** is a small category \mathbf{C} together with a **Grothendieck topology** J, i.e. a choice of sieves for each object $U:\mathbf{C}$:

$$J(U) =$$
 covering sieves for U

such that J(U) satisfies some very reasonable conditions.



Definition

Let (\mathbf{C}, J) be a site. A presheaf $F : \mathbf{C}^{op} \to \mathbf{Set}$ is a sheaf iff for every $U : \mathbf{C}$ and any *covering sieve* $S \in J(U)$,

$$F(U) \cong \lim F(S)$$
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Warning: it's not always true that $U \cong \operatorname{colim} S!$

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Example

For X topological space:

$$Sh X = Sh(\mathcal{O}(X), open coverings)$$

$$\operatorname{Psh} X = \operatorname{Sh}(\mathcal{O}(X), \text{ trivial coverings})$$



Sites

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Example

For *X* topological space:

$$Sh X = Sh(\mathcal{O}(X), \text{ open coverings})$$

 $Psh X = Sh(\mathcal{O}(X), \text{ trivial coverings})$

Takeaway: locality is not a fixed concept! It depends on the topology, but not the one you expect.



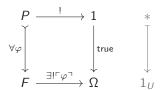
The topos of presheaves

Let X be a site. Sh X inherits a lot of structure from **Set**:

- 1. [Finite] completeness and cocompleteness (pointwise co/limits)
- 2. **Exponentials**:

$$G^F(U) \cong \operatorname{Nat}(\sharp U, G^F) \cong \operatorname{Nat}(\sharp U \times F, G)$$

3. **Subobject classifier**: $\Omega(U) =$ 'principal covering sieves on U'.



$$s \in F(U) \longmapsto \{V \xrightarrow{f} U \mid s|_f \in P(V)\}$$

Intuition: 'ways s enters in P at U'.

P(s) true at $U \underline{\text{iff}} s$ entered through $1_U \underline{\text{iff}} s \in P(U)$ already.



Internal language of topoi

A category satisfying these properties is called an **elementary topos**.

A topos \simeq to a topos of sheaves on a site is called **Grothendieck**.

The definition is tailored to provide a rich **internal language**:

A type	A object
t(x):A[x:X]	$X \stackrel{t}{ ightarrow} A$
$\varphi(x)$: Prop $[x:X]$	$X \xrightarrow{\varphi} \Omega$ (hence $\{x \varphi\} \rightarrowtail X$)
$\forall/\exists y:Y\ \varphi(x,y)[x:X]$	$\Omega^{X\times Y} \xrightarrow[\hspace{0.1cm} \forall y:Y]{\exists y:Y} \Omega^{Y}$

+ type/term constructors coming from exponentials, limits, colimits, ...

Basically the internal language of Set!



Kripke-Joyal semantics

Let X be a topological space, $U \subseteq X$, φ, ψ formulae of Sh X:

 $\begin{array}{lll} U\vDash\varphi\wedge\psi & & \underline{\mathrm{iff}} & U\vDash\varphi \text{ and } U\vDash\psi \\ U\vDash\varphi\vee\psi & & \underline{\mathrm{iff}} & \text{there exists an open covering } \{U_i\}_{i\in I} \text{ of } U \\ & & \text{such that } U_i\vDash\varphi \text{ or } U_i\vDash\psi \text{ for every } i\in I \\ U\vDash\varphi\Rightarrow\psi & & \underline{\mathrm{iff}} & \text{for all } V\subseteq U,\ V\vDash\varphi \text{ implies } V\vDash\psi \\ U\vDash\forall s\colon F\varphi(s) & & \underline{\mathrm{iff}} & \text{for any } s\in F(U) \text{ and } V\subseteq U,\ V\vDash\varphi(s) \\ U\vDash\exists s\colon F\varphi(s) & & \underline{\mathrm{iff}} & \text{there exists an open covering } \{U_i\}_{i\in I} \text{ of } U \\ & & \text{such that for all } i\in I \text{ there exists } s_i\in F(U_i) \\ & & \text{such that } U_i\vDash\varphi(s_i). \end{array}$

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This interpretation is **local**: if $\{U_i\}_{i\in I}$ is an open covering of U,

$$U \vDash \varphi$$
 iff for every $i \in I$, $U_i \vDash \varphi$

and **intuitionistically sound**: if $\varphi \vdash \psi$ in HIL, then

$$U \vDash \varphi$$
 implies $U \vDash \psi$.



Lawvere-Tierney topologies

Definition

A Lawvere–Tierney topology on a topos $\mathcal E$ is an operator $\square:\Omega\to\Omega$ such that

- 1. $\mathcal{E} \vDash \varphi \Rightarrow \Box \varphi$ (global truth entails local truth)
- 2. $\mathcal{E} \vDash \Box\Box\varphi \Rightarrow \Box\varphi$ (stability under refinements)
- 3. If $\mathcal{E} \vDash \varphi \Rightarrow \psi$ then $\mathcal{E} \vDash \Box \varphi \Rightarrow \Box \psi$ (internal soundness)

Define $\square: \mathcal{E} \to \mathcal{E}$:

$$\square X := \left\{ \square \text{-singletons of } X \right\}_{\big/\square(=_X)}$$

Proposition

An LT topology extends to a lex monad $\square = \square$.

Definition

A **sheaf** in \mathcal{E} is a modal type for \square , meaning $\square X \cong X$.



Topologies on topoi

Facts

 Any Lawvere–Tierney topology on Psh(C) gives rise to a Grothendieck topology on C:

$$J(U) = \{S \text{ sieve on } U \mid \Box S = S\}.$$

and viceversa

- 2. Moreover, any subtopos $\mathcal{E} \overset{i}{\hookrightarrow} \mathsf{Psh}(\mathbf{C})$ is the topos of sheaves for the LT topology induced by the monad $i_* \dashv i$.
- 3. Hence, for Grothendieck topoi:

Grothendieck topologies \equiv LT topologies \equiv subtopoi and they all agree on which are the sheaves.

Notice: LT topologies/subtopoi work in non-Grothendieck topoi too!



Forcing

Idea: existence is local in a sheaf topos, hence we can define global things by glueing together smaller approximations:

Rough recipe:

- Construct a poset P of 'finite' approximations of the object, ordered by (reverse) extension
- 2. Take a 'tautological sheaf' on it: A(p) = p
- 3. *A* will be the desired object in Sh *P*.



Forcing

Example (Cohen topos)

We want to construct a model $\mathcal M$ of ZFC where there exists A such that

$$\mathcal{M} \models \mathbb{N} \lneq A \lneq P_{\mathcal{M}} \mathbb{N}$$

Take $B = PP\mathbb{N}$. Then we force the above inclusions:

- 1. $P = \text{`partially defined monos } B \stackrel{p}{\rightarrowtail} 2^{\mathbb{N}}, \ \mathcal{M} = \text{Sh}(P, \neg\neg),$
- 2. $A(p) = \{(k, n) \mid n \in p(k)\},\$
- 3. We get $A \rightarrowtail \Delta B \times \Delta \mathbb{N}$, one can show defines a mono $\Delta B \rightarrowtail \Omega^{\Delta \mathbb{N}}$.

Then we can prove

Set
$$\vDash \mathbb{N} \lneq B$$
 implies $Sh(P, \neg \neg) \vDash \Delta \mathbb{N} \lneq \Delta B$

so that

$$\mathsf{Sh}(P,\neg\neg) \vDash \Delta \mathbb{N} \neq \Delta B \subsetneq \Omega^{\Delta \mathbb{N}}.$$

Disclaimer: several details under the rug!



Internalization

Idea: Sh X is naturally suited to describe mathematics 'over X'.

Example

Commutative algebra done in $Sh\ X$ is equivalent to algebraic geometry done over X.

Example (Bohr topos)

Let A be a non-commutative C^* -algebra. Classical physics done in

$$Bohr(A) = Sh(commutative subalgebras of A)$$

is equivalent to quantum physics done over A.

Example (Scott topos)

Let (X, Σ, \mathbb{P}) be a probability space. Classical calculus done in

$$\mathsf{Scott}(X) = \mathsf{Sh}(\Sigma/\ker\mathbb{P})$$

is equivalent to stochastic calculus done over X.



Systems theory

Idea: the internal language of Psh(S) can express constraints on the behaviour of a system (modelled by a site S).

Example

Let $B: Psh(\mathbf{S})$, with input/outputs maps $I \stackrel{f}{\leftarrow} B \stackrel{g}{\rightarrow} O$. Consider:

$$Tot(b) :\equiv \forall i : I \exists o : O \ (i = f(b) \land g(b) = o).$$

Then 'total behaviours in $B' = \{b : B \mid Tot(b)\} \rightarrow B$.

Example

Let B: Psh(S), let \square be the 'locally' modality better suited for S. Then

Extensional $:\equiv {}^{\iota}S \to \square S$ is injective

Non-generative : \equiv ' $S \rightarrow \Box S$ is surjective'

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Thanks for your attention!

Questions?