# Exact formulas for partial sums of the Möbius function expressed by partial sums weighted by the Liouville lambda function

Maxie Dion Schmidt Georgia Institute of Technology School of Mathematics

#### Abstract

The Mertens function,  $M(x) := \sum_{n \le x} \mu(n)$ , is defined as the summatory function of the classical Möbius function. The Dirichlet inverse function  $g(n) := (\omega + 1)^{-1}(n)$  is defined in terms of the shifted strongly additive function  $\omega(n)$  that counts the number of distinct prime factors of n without multiplicity. The Dirichlet generating function (DGF) of g(n) is  $\zeta(s)^{-1}(1+P(s))^{-1}$  for  $\mathrm{Re}(s) > 1$  where  $P(s) = \sum_{p} p^{-s}$  is the prime zeta function. We study the distribution of the unsigned functions |g(n)| with DGF  $\zeta(2s)^{-1}(1-P(s))^{-1}$  and  $C_{\Omega}(n)$  with DGF  $(1-P(s))^{-1}$  for  $\mathrm{Re}(s) > 1$ . We prove formulas for the average order and variance of both  $\mathrm{log}\,C_{\Omega}(n)$  and  $\mathrm{log}\,|g(n)|$  and prove a central limit theorem for the distribution of their values over  $n \le x$  as  $x \to \infty$ . Discrete convolutions of the partial sums of g(n) with the prime counting function provide new exact formulas for M(x) that are sums of the Liouville function weighted by the unsigned summands |g(n)|.

**Keywords and Phrases:** Möbius function; Mertens function; Liouville lambda function; prime omega function; Dirichlet inverse; Dirichlet generating function; prime zeta function; inversion of generalized convolutions.

Math Subject Classifications (2010): 11N37; 11A25; 11N60; and 11N64.

## Article Index

1	Introduction 1.1 Definitions	. 3
	1.2 Statements of the main results	
2	The function $C_{\Omega}(n)$ 2.1 Definitions	. 5
3	The function $g(n)$ 3.1 Definitions	. 7
4	The distribution of the function $C_{\Omega}(n)$	9
5	Applications to the Mertens function         5.1 Proofs of the new formulas	. 12
6	Conclusions 6.1 Summary	
A	knowledgements	15
Re	ferences	15
Aj	pendices on supplementary material	
$\mathbf{A}$	The distributions of $\omega(n)$ and $\Omega(n)$	17
В	The incomplete gamma function	18
$\mathbf{C}$	Inversion of partial sums of Dirichlet convolutions	20
D	The proof of Theorem 1.6	22

## 1 Introduction

### 1.1 Definitions

For integers  $n \ge 2$ , we define the strongly and completely additive functions, respectively, that count the number of prime divisors of n by

$$\omega(n) = \sum_{p|n} 1$$
, and  $\Omega(n) = \sum_{p^{\alpha}||n} \alpha$ .

We adopt the convention that the functions  $\omega(1) = \Omega(1) = 0$ . The Möbius function is defined as the multiplicative function that serves as a signed indicator function of the squarefree integers in the form of [23, A008683]

$$\mu(n) = \begin{cases} 1, & \text{if } n = 1; \\ (-1)^{\omega(n)}, & \text{if } n \ge 2 \text{ and } \omega(n) = \Omega(n) \text{ (i.e., if } n \text{ is squarefree}); \\ 0, & \text{otherwise.} \end{cases}$$

The Mertens function is defined by the partial sums [23, A002321]

$$M(x) = \sum_{n \le x} \mu(n), \text{ for } x \ge 1.$$
 (1.1)

The Liouville lamda function is the completely multiplicative function defined for all  $n \ge 1$  by  $\lambda(n) := (-1)^{\Omega(n)}$  [23, A008836]. The partial sums of this function are defined by [23, A002819]

$$L(x) := \sum_{n \le x} \lambda(n), \text{ for } x \ge 1.$$
 (1.2)

**Definition 1.1.** For any arithmetic functions f and h, we define their Dirichlet convolution at n by the divisor sum

$$(f * h)(n) := \sum_{d|n} f(d)h\left(\frac{n}{d}\right), \text{ for } n \ge 1.$$

The arithmetic function f has a unique inverse with respect to Dirichlet convolution, denoted by  $f^{-1}$ , if and only if  $f(1) \neq 0$ . When it exists, the Dirichlet inverse of f satisfies  $(f * f^{-1})(n) = (f^{-1} * f)(n) = \delta_{n,1}$ .

We define the Dirichlet inverse function [23, A341444]

$$g(n) := (\omega + 1)^{-1}(n), \text{ for } n \ge 1.$$
 (1.3)

Th inverse function in equation (1.3) is computed recursively by applying the formula [1, §2.7]

$$g(n) = \begin{cases} 1, & \text{if } n = 1; \\ -\sum_{\substack{d \mid n \\ d > 1}} (\omega(d) + 1) g\left(\frac{n}{d}\right), & \text{if } n \ge 2. \end{cases}$$

The function  $|g(n)| = \lambda(n)g(n)$  denotes the absolute value of g(n) (see Proposition 3.3). The summatory function of g(n) is defined as follows [23, A341472]:

$$G(x) := \sum_{n \le x} g(n) = \sum_{n \le x} \lambda(n) |g(n)|, \text{ for } x \ge 1.$$
 (1.4)

### 1.2 Statements of the main results

**Definition 1.2.** Let the partial sums of the Dirichlet convolution r \* h be defined by the function

$$S_{r*h}(x) := \sum_{n \le x} \sum_{d \mid n} r(d) h\left(\frac{n}{d}\right), \text{ for } x \ge 1.$$

The next theorem is proved by matrix methods in Appendix C.

**Theorem 1.3.** Let  $r, h : \mathbb{Z}^+ \to \mathbb{C}$  be any arithmetic functions such that  $r(1) \neq 0$ . Suppose that  $R(x) := \sum_{n \leq x} r(n)$ ,  $H(x) := \sum_{n \leq x} h(n)$ , and that  $R^{-1}(x) := \sum_{n \leq x} r^{-1}(n)$  for  $x \geq 1$ . The following formulas hold for all integers  $x \geq 1$ :

$$S_{r*h}(x) = \sum_{d=1}^{x} r(d) \times H\left(\left\lfloor \frac{x}{d} \right\rfloor\right)$$
$$S_{r*h}(x) = \sum_{k=1}^{x} H(k) \times \left(R\left(\left\lfloor \frac{x}{k} \right\rfloor\right) - R\left(\left\lfloor \frac{x}{k+1} \right\rfloor\right)\right).$$

Moreover, for any  $x \ge 1$ 

$$H(x) = \sum_{j=1}^{x} S_{r*h}(j) \times \left(R^{-1}\left(\left\lfloor \frac{x}{j}\right\rfloor\right) - R^{-1}\left(\left\lfloor \frac{x}{j+1}\right\rfloor\right)\right)$$
$$= \sum_{k=1}^{x} r^{-1}(k) \times S_{r*h}(x).$$

For integers  $x \ge 1$ , the function  $\pi(x) := \sum_{p \le x} 1$  is the classical prime counting function [23, A000720]. We find new exact formulas for M(x) by applying Theorem 1.3 to the expansion of the partial sums (see Remark 3.2)

$$\pi(x) + 1 = \sum_{n \le x} \sum_{d|n} (\omega(d) + 1) \mu\left(\frac{n}{d}\right), \text{ for } x \ge 1.$$

**Theorem 1.4.** For all  $x \ge 1$ 

$$M(x) = G(x) + \sum_{1 \le k \le x} |g(k)| \pi \left( \left\lfloor \frac{x}{k} \right\rfloor \right) \lambda(k), \tag{1.5a}$$

$$M(x) = G(x) + \sum_{1 \le k \le \frac{x}{2}} \left( \pi \left( \left\lfloor \frac{x}{k} \right\rfloor \right) - \pi \left( \left\lfloor \frac{x}{k+1} \right\rfloor \right) \right) G(k), \tag{1.5b}$$

$$M(x) = G(x) + \sum_{p \le x} G\left(\left\lfloor \frac{x}{p} \right\rfloor\right). \tag{1.5c}$$

The auxiliary unsigned function  $C_{\Omega}(n)$  studied by Fröberg [10] has an exact formula given by

$$C_{\Omega}(n) = \begin{cases} 1, & \text{if } n = 1; \\ (\Omega(n))! \times \prod_{p^{\alpha} || n} \frac{1}{\alpha!}, & \text{if } n \ge 2. \end{cases}$$
 (1.6)

**Proposition 1.5.** For all  $n \ge 1$ 

$$|g(n)| = \sum_{d|n} \mu^2 \left(\frac{n}{d}\right) C_{\Omega}(d). \tag{1.7}$$

**Theorem 1.6.** There is an absolute constant  $B_0 > 0$  such that

$$\frac{1}{n} \times \sum_{k \le n} \log C_{\Omega}(k) = B_0 \cdot (\log \log n) (\log \log \log n) \left( 1 + O\left(\frac{1}{\log \log n}\right) \right), \text{ as } n \to \infty.$$

The exact value of the constant is  $B_0 \equiv 1$ .

**Definition 1.7.** For any  $z \in (-\infty, \infty)$ , the cumulative density function of any standard normal random variable is given by

$$\Phi(z) = \frac{1}{\sqrt{2\pi}} \times \int_{-\infty}^{z} e^{-\frac{t^2}{2}} dt.$$

That is, if the random variable  $Z \sim \mathcal{N}(0,1)$ , then for any real z we have that  $\Phi(z) = \mathbb{P}[Z \leq z]$ .

**Theorem 1.8.** For  $x \ge 19$ , let  $\mu_x, \sigma_x := B_0 \cdot (\log \log x)(\log \log \log x)$ . For any  $z \in (-\infty, \infty)$ 

$$\frac{1}{x} \times \# \left\{ n \le x : \frac{\log C_{\Omega}(n) - \mu_x}{\sigma_x} \le z \right\} = \Phi(z) + o(1), \text{ as } x \to \infty.$$

## 1.3 Organization of the manuscript

The focus of the article is on the unsigned functions  $C_{\Omega}(n)$  and |g(n)|. The new formulas for M(x) given in Theorem 1.4 provide a window from which we can view this function in terms of these auxiliary unsigned functions. The appendix organizes supplementary material on several topics that can be separated from the main sections of the article.

## 2 The function $C_{\Omega}(n)$

The function  $C_{\Omega}(n)$  is key to understanding the unsigned inverse sequence |g(n)| through the formula in equation (1.7). In this section, we define the function  $C_{\Omega}(n)$  and explore its properties.

#### 2.1 Definitions

**Definition 2.1.** We define the following bivariate sequence for integers  $n \ge 1$  and  $k \ge 0$ :

$$C_k(n) := \begin{cases} \varepsilon(n), & \text{if } k = 0; \\ \sum_{d|n} \omega(d) C_{k-1} \left(\frac{n}{d}\right), & \text{if } k \ge 1. \end{cases}$$
 (2.1)

Using the notation for iterated convolution in Bateman and Diamond [2, Def. 2.3; §2], we have  $C_0(n) \equiv \omega^{*0}(n)$  and  $C_k(n) \equiv \omega^{*k}(n)$  for integers  $n, k \geq 1$ . The special case of (2.1) where  $k \coloneqq \Omega(n)$  occurs frequently in the next sections of the article. To avoid cumbersome notation when referring to this common function variant, we suppress the duplicate index n by writing  $C_{\Omega}(n) \coloneqq C_{\Omega(n)}(n)$  [23, A008480].

Remark 2.2. By recursively expanding the definition of  $C_k(n)$  at any fixed  $n \ge 2$ , we see that we can form a chain of at most  $\Omega(n)$  iterated (or nested) divisor sums by unfolding the definition of (2.1) inductively. We also see that at fixed n, the function  $C_k(n)$  is non-zero only possibly for  $1 \le k \le \Omega(n)$  when  $n \ge 2$ . By equation (1.6) we have that  $C_{\Omega}(n) \le (\Omega(n))!$  for all  $n \ge 1$  with equality precisely at the squarefree integers so that  $(\Omega(n))! = (\omega(n))!$  if and only if  $\mu^2(n) = 1$ .

## 2.2 Logarithmic average order and variance

The proof of Theorem 1.6 is lengthy at a few pages of typeset mathematics. We dedicate Appendix D to providing the full details needed to establish this result.

**Definition 2.3.** For any integers  $x \ge 1$ , we define the expectation (or mean value) of the function  $\log C_{\Omega}(n)$  on the integers  $1 \le n \le x$  by

$$\mathbb{E}\left[\log C_{\Omega}(x)\right] \coloneqq \frac{1}{x} \times \sum_{n \le x} \log C_{\Omega}(n).$$

The variance of this function is given by the centralized second moments

$$\operatorname{Var}\left[\log C_{\Omega}(x)\right] \coloneqq \frac{1}{x} \times \sum_{n \le x} \left(\log C_{\Omega}(n) - \mathbb{E}\left[\log C_{\Omega}(x)\right]\right)^{2}.$$

**Proposition 2.4.** For  $n > e^e$ , the variance of the function  $\log C_{\Omega}(n)$  is

$$\sqrt{\operatorname{Var}\left[\log C_{\Omega}(n)\right]} = B_0 \cdot (\log\log\log n)(\log\log\log n)(1 + o(1)), \text{ as } n \to \infty.$$

*Proof.* Suppose that  $n \ge 16$ . We have that for all  $n \ge 1$ 

$$S_{2,\Omega}(n) := \sum_{k \le n} \log^2 C_{\Omega}(k) - \left(\sum_{k \le n} \log C_{\Omega}(k)\right)^2 = \sum_{1 \le j < k \le n} 2 \log C_{\Omega}(j) \log C_{\Omega}(k). \tag{2.2}$$

Define the following sums:

$$E_{\Omega}(n) \coloneqq \frac{1}{n} \times \sum_{k \le n} \log C_{\Omega}(k), \text{ and } V_{\Omega}^{2}(n) \coloneqq \frac{1}{n} \times \sum_{k \le n} \log^{2} C_{\Omega}(k), \text{ for } n \ge 1.$$

The expansion on the right-hand-side of (2.2) is rewritten as

$$\frac{S_{2,\Omega}(n)}{n^2} = V_{\Omega}^2(n) - E_{\Omega}^2(n) = \sum_{1 \le j \le n} 2\log C_{\Omega}(j) \left( E_{\Omega}(n) - E_{\Omega}(j) \right). \tag{2.3}$$

Equation (2.3) implies that as  $n \to \infty$ 

$$V_{\Omega}^{2}(n) = B_{0}^{2} \left( 3E_{\Omega}^{2}(n) - 2(\log\log n)^{2} (\log\log\log n)^{2} + I_{A}(n) \right) (1 + o(1)),$$
  
=  $B_{0}^{2} \left( (\log\log n)^{2} (\log\log\log n)^{2} + I_{A}(n) \right) (1 + o(1)).$  (2.4)

The integral term in the last equations is defined by

$$I_A(x) \coloneqq 2 \times \int_{e^e}^x t(\log \log t)^2 (\log \log \log t)^2 dt.$$

For  $x > e^e$ , we can integrate exactly to find

$$\int_{e^e}^{x} \frac{(\log \log t)^2 (\log \log \log t)^2}{t(\log t)} dt = \frac{1}{3} (\log \log x)^3 (\log \log \log x)^3 (1 + o(1)), \text{ as } x \to \infty.$$

The mean value theorem shows that there is a bounded constant  $c \equiv c(x) \in [e^e, x]$  such that

$$I_A(x) = \frac{2}{3}c(x)\log c(x)(\log\log x)^3(\log\log\log x)^3(1+o(1)).$$

For  $x, y \in [0, \infty)$ , the function W(y) denotes the principal branch of the multi-valued Lambert W-function on the non-negative reals defined such that x = W(y) if and only if  $xe^x = y$ . We can differentiate the previous equation and discard the lower order terms to solve for the main term of c(x) as  $x \to \infty$  to see that

$$c(x) \ll \frac{\log\log\log\log\log x}{W\left(\log\log\log\log\log\log x\right)} \ll \frac{\log\log\log\log\log\log x}{\log\log\log\log\log\log x}.$$

The last equation implies that  $I_A(x) = o(E_{\Omega}(x))$ . The conclusion then follows from equation (2.4).

#### 2.3 Remarks

A formula for the average order of  $C_{\Omega}(n)$  is required to evaluate asymptotics for the average order of |g(n)|. An approach to evaluating the average order of the first function is to consider the order of growth of the next sums for  $1 \le k \le R \log \log x$  and  $|z| \le 9$  when  $R \in (1,2)$ .

$$\sum_{\substack{n \le x \\ \Omega(n) = k}} \frac{(-1)^{\omega(n)} C_{\Omega}(n) z^{2\Omega(n)}}{(\Omega(n))!}, \text{ and } \sum_{\substack{n \le x \\ \Omega(n) = k}} \frac{(-1)^{\omega(n)} C_{\Omega}(n)}{(\Omega(n))!}$$

The sums of these forms can be approximated by a long and technical argument that invokes the Selberg-Delange method [26, §II.6.1] [17, §7.4]. That is, we can extract the coefficients of  $z^{2\Omega(n)}$  from the expansions of the DGF products

$$\sum_{n\geq 1} \frac{C_{\Omega}(n)}{(\Omega(n))!} \cdot \frac{(-1)^{\omega(n)} z^{\Omega(n)}}{n^s} = \prod_{p} \left( 1 + \sum_{r\geq 1} \frac{z^{\Omega(p^r)}}{r! p^{rs}} \right)^{-1} = \exp\left(-zP(s)\right), \text{ for } \operatorname{Re}(s) > 1.$$

Integration by parts and the mean value theorem applied to the signed sums in Lemma B.3 yield exact asymptotic formulae for the partial sums of the function  $C_{\Omega}(n)$  over the  $n \leq x$  such that  $\Omega(n) = k$  that hold uniformly for  $1 \leq k \leq R \log \log x$ . The growth of the resulting main term of this average order is asymptotically very large.

## 3 The function g(n)

#### 3.1 Definitions

**Definition 3.1.** For integers  $n \ge 1$ , we define the Dirichlet inverse function taken with respect to the operation of Dirichlet convolution to be

$$g(n) = (\omega + 1)^{-1}(n)$$
, for  $n \ge 1$ .

The function |g(n)| denotes the unsigned inverse function.

Remark 3.2 (Motivation). Let  $\chi_{\mathbb{P}}(n)$  denote the characteristic function of the primes, suppose that  $\varepsilon(n) = \delta_{n,1}$  be the multiplicative identity with respect to Dirichlet convolution, and denote by  $\omega(n)$  the strongly additive function that counts the number of distinct prime factors of n (without multiplicity). We can see using elementary methods that

$$\chi_{\mathbb{P}} + \varepsilon = (\omega + 1) * \mu. \tag{3.1}$$

The result in (3.1) follows by Möbius inversion since  $\mu * 1 = \varepsilon$  and

$$\omega(n) = \sum_{d|n} \chi_{\mathbb{P}}(d), \text{ for } n \ge 1.$$

We recall the following statement of the inversion theorem of summatory functions for any Dirichlet invertible arithmetic function  $\alpha(n)$  proved in [1, §2.14]:

$$G(x) = \sum_{n \le x} \alpha(n) F\left(\frac{x}{n}\right) \Longrightarrow F(x) = \sum_{n \le x} \alpha^{-1}(n) G\left(\frac{x}{n}\right), \text{ for } x \ge 1.$$
 (3.2)

Hence, to express the new formulas for M(x) we may consider the inversion of the right-hand-side of the partial sums

$$\pi(x) + 1 = \sum_{n \le x} (\chi_{\mathbb{P}} + \varepsilon) (n) = \sum_{n \le x} (\omega + 1) * \mu(n), \text{ for } x \ge 1.$$

#### 3.2 Signedness

**Proposition 3.3.** The sign of the function g(n) is  $\lambda(n)$  for all  $n \ge 1$ .

Proof. The series  $D_f(s) := \sum_{n\geq 1} f(n) n^{-s}$  defines the Dirichlet generating function (DGF) of any arithmetic function f which is convergent for all  $s \in \mathbb{C}$  satisfying  $\text{Re}(s) > \sigma_f$  where  $\sigma_f$  is the abscissa of convergence of the series. Recall that  $D_1(s) = \zeta(s)$ ,  $D_{\mu}(s) = \zeta(s)^{-1}$  and  $D_{\omega}(s) = P(s)\zeta(s)$  for Re(s) > 1. Whenever  $f(1) \neq 0$  the DGF of  $f^{-1}(n)$  is  $D_f(s)^{-1}$ . By equation (3.1) we have

$$D_{(\omega+1)^{-1}}(s) = \frac{1}{\zeta(s)(1+P(s))}, \text{ for } Re(s) > 1.$$
(3.3)

It follows that  $(\omega + 1)^{-1}(n) = (h^{-1} * \mu)(n)$  for  $h := \chi_{\mathbb{P}} + \varepsilon$ . We first show that  $\operatorname{sgn}(h^{-1}) = \lambda$  which implies that  $\operatorname{sgn}(h^{-1} * \mu) = \lambda$ .

We recover exactly that [10, cf. §2]

$$h^{-1}(n) = \begin{cases} 1, & \text{if } n = 1; \\ \lambda(n)(\Omega(n))! \times \prod_{p^{\alpha} \mid n} \frac{1}{\alpha!}, & \text{if } n \ge 2. \end{cases}$$

In particular, by expanding the DGF of  $h^{-1}$  formally in powers of P(s), where |P(s)| < 1 whenever  $\text{Re}(s) \ge 2$ , we count that

$$\frac{1}{1+P(s)} = \sum_{n\geq 1} \frac{h^{-1}(n)}{n^s} = \sum_{k\geq 0} (-1)^k P(s)^k$$

$$= 1 + \sum_{\substack{n\geq 2\\ n=p_1^{\alpha_1} p_2^{\alpha_2} \times \dots \times p_k^{\alpha_k}}} \frac{(-1)^{\alpha_1 + \alpha_2 + \dots + \alpha_k}}{n^s} \times \binom{\alpha_1 + \alpha_2 + \dots + \alpha_k}{\alpha_1, \alpha_2, \dots, \alpha_k}$$

$$= 1 + \sum_{\substack{n\geq 2\\ n=p_1^{\alpha_1} p_2^{\alpha_2} \times \dots \times p_k^{\alpha_k}}} \frac{\lambda(n)}{n^s} \times \binom{\Omega(n)}{\alpha_1, \alpha_2, \dots, \alpha_k}.$$
(3.4)

Since  $\lambda$  is completely multiplicative we have that  $\lambda\left(\frac{n}{d}\right)\lambda(d) = \lambda(n)$  for all divisors d|n when  $n \ge 1$ . We also have that  $\mu(n) = \lambda(n)$  whenever n is squarefree so that

$$g(n) = (h^{-1} * \mu)(n) = \lambda(n) \times \sum_{d|n} \mu^2 \left(\frac{n}{d}\right) |h^{-1}(n)|, \text{ for } n \ge 1.$$

The function  $|h^{-1}(n)|$  from the last proof identically matches values of  $C_{\Omega}(n)$  at all  $n \geq 1$ . That is, the sequence  $\lambda(n)C_{\Omega}(n)$  has the Dirichlet generating function (DGF) of  $(1+P(s))^{-1}$  and  $C_{\Omega}(n)$  has the DGF  $(1-P(s))^{-1}$  for Re(s) > 1 where  $P(s) \coloneqq \sum_{p} p^{-s}$  is the prime zeta function.

## **3.3** Relations to the function $C_{\Omega}(n)$

**Lemma 3.4.** For all  $n \ge 1$ 

$$g(n) = \sum_{d|n} \mu\left(\frac{n}{d}\right) \lambda(d) C_{\Omega}(d).$$

*Proof.* We expand the recurrence relation for the Dirichlet inverse with q(1) = 1 as

$$g(n) = -\sum_{\substack{d \mid n \\ d > 1}} (\omega(d) + 1)g\left(\frac{n}{d}\right) \quad \Longrightarrow \quad (g * 1)(n) = -(\omega * g)(n). \tag{3.5}$$

For  $1 \le m \le \Omega(n)$ , we can inductively expand the implication on the right-hand-side of (3.5) in the form of  $(g * 1)(n) = F_m(n)$  where  $F_m(n) := (-1)^m (C_m(-) * g)(n)$  as

$$F_{m}(n) = -\begin{cases} (\omega * g)(n), & m = 1; \\ \sum_{\substack{d \mid n \\ d > 1}} F_{m-1}(d) \times \sum_{\substack{r \mid \frac{n}{d} \\ r > 1}} \omega(r) g\left(\frac{n}{dr}\right), & 2 \le m \le \Omega(n); \\ 0, & \text{otherwise.} \end{cases}$$

When  $n \ge 2$  and  $m := \Omega(n)$ , i.e., with the expansions in the previous equation taken to a maximal depth, we obtain

$$(g * 1)(n) = \lambda(n)C_{\Omega}(n). \tag{3.6}$$

The formula follows from equation (3.6) by Möbius inversion.

Proof of Proposition 1.5. The result follows from Lemma 3.4, Proposition 3.3 and the complete multiplicativity of  $\lambda(n)$ . Since  $\mu(n)$  is non-zero only at squarefree integers and since at any squarefree  $d \ge 1$  we have  $\mu(d) = (-1)^{\omega(d)} = \lambda(d)$ , we have

$$|g(n)| = \lambda(n) \times \sum_{d|n} \mu\left(\frac{n}{d}\right) \lambda(d) C_{\Omega}(d)$$
$$= \lambda(n^{2}) \times \sum_{d|n} \mu^{2}\left(\frac{n}{d}\right) C_{\Omega}(d).$$

The leading term  $\lambda(n^2) = 1$  for all  $n \ge 1$  since the number of distinct prime factors (counting multiplicity) of any square integer is even.

**Remark 3.5.** We have the following remarks on consequences of Corollary 1.5:

■ Whenever  $n \ge 1$  is squarefree

$$|g(n)| = \sum_{d|n} C_{\Omega}(d). \tag{3.7a}$$

Since all divisors of a squarefree integer are squarefree, for all squarefree integers  $n \ge 1$ , we have that

$$|g(n)| = \sum_{m=0}^{\omega(n)} {\omega(n) \choose m} \times m!. \tag{3.7b}$$

■ The formula in (1.7) shows that the DGF of the unsigned inverse function |g(n)| is given by the meromorphic function  $\zeta(2s)^{-1}(1-P(s))^{-1}$  for all  $s \in \mathbb{C}$  with Re(s) > 1. This DGF has a pole to the right of the line at Re(s) = 1 which occurs for the unique real  $\sigma \approx 1.39943$  such that  $P(\sigma) = 1$  on  $(1, \infty)$ .

## 4 The distribution of the function $C_{\Omega}(n)$

In this section, we prove a central limit theorem for the function  $\log C_{\Omega}(n)$ . The relations between |g(n)| and  $C_{\Omega}(n)$  proved in the last section are suggestive of applications of the result in Theorem 1.8 to bounding the partial sums of g(n).

*Proof of Theorem 1.8.* We sketch the next key steps to a complete proof of this result:

■ Given a fixed  $x \ge 1$ , we select another integer  $N \equiv N(x)$  uniformly at random from  $\{1, 2, ..., x\}$ . For each prime p we define

$$C_p^{(x)} \coloneqq \begin{cases} 0, & p+N(x); \\ \alpha, & p^{\alpha} || N(x), \text{ for some } \alpha \ge 1. \end{cases}$$

For integers  $k \ge 1$  and primes p, we have limiting convergence in distribution of  $C_p^{(x)} \stackrel{d}{\Longrightarrow} Z_p$  where  $Z_p$  is a geometric random variable with parameter  $p^{-1}$  so that [22, §1.2]

$$\lim_{x \to \infty} \mathbb{P}\left[C_p^{(x)} = k\right] = \left(1 - \frac{1}{p}\right) \left(\frac{1}{p}\right)^k.$$

■ For  $n \ge 1$ , we use equation (1.6) and Binet's log-gamma formula [21, §5.9(i)] to show that

$$\log C_{\Omega}(n) = \log(\Omega(n))! - \sum_{\substack{p^{\alpha} || n \\ \alpha \ge 2}} \log(\alpha!)$$

$$= \Omega(n) \log \Omega(n) - \sum_{\substack{p^{\alpha} || n \\ \alpha \ge 2}} \alpha \log(1 + \alpha) + O(\Omega(n)). \tag{4.1}$$

Since  $\Omega(n) = 1$  only for n within a subset of the positive integers with asymptotic density of zero (i.e., on the primes), it suffices to restrict our considerations to the  $n \ge 2$  such that  $\Omega(n) \ge 2$ .

■ We write the expansion from equation (4.1) as the difference  $\log C_{\Omega}(N(x)) := \Theta_{N(x)} - A_{N(x)} + O(1)$  where

$$\begin{split} \Theta_{N(x)} &\coloneqq \Omega(N(x)) \log \Omega(N(x)), \\ A_{N(x)} &\coloneqq \sum_{p \le x} C_p^{(x)} \log C_p^{(x)} \times \mathbb{1}_{\{C_p^{(x)} \ge 2\}}(p). \end{split}$$

We can show that as  $x \to \infty$ 

$$\mathbb{E}[A_{N(x)}] \ll \sum_{p \leq x} \mathbb{E}\left[C_p^{(x)} \log C_p^{(x)}\right] \times \mathbb{P}\left[C_p^{(x)} \geq 2\right] = o\left(\mathbb{E}[\Theta_{N(x)}]\right).$$

Analogous bounds can be proved to relate the variance of these two random variables as  $x \to \infty$ .

■ Let  $\mu_x := \mathbb{E}[\log C_{\Omega}(x)]$  and  $\sigma_x^2 := \operatorname{Var}[\log C_{\Omega}(x)]$  be defined as in Definition 2.3. The Lindeberg condition is that the following holds for any  $\varepsilon > 0$ :

$$\lim_{x \to \infty} \frac{1}{\sigma_x^2} \times \mathbb{E}\left[ \left( \log C_{\Omega}(N(x)) - \mu_x \right)^2 \times \mathbb{1}_{\{|\log C_{\Omega}(N(x)) - \mu_x| > \varepsilon \sigma_x\}} \right] = 0. \tag{4.2}$$

Provided that equation (4.2) holds for all  $\varepsilon > 0$ , we apply the Lindeberg central limit theorem (CLT) using Theorem 1.6 and Proposition 2.4 to show the convergence in distribution to a standard normal random variable as follows [4, §27]:

$$\mathbb{P}\left[\frac{\log C_{\Omega}(N(x)) - \mu_x}{\sigma_x} \le z\right] \sim \Phi(z), \text{ for any } z \in (-\infty, \infty), \text{ as } x \to \infty.$$
(4.3)

■ The analog of the Erdős-Kac theorem for the function  $\Omega(n)$  is given by [17, Thm. 7.21; §7.4]

$$\frac{1}{x} \times \# \left\{ n \le x : \frac{\Omega(n) - \log \log x}{\sqrt{\log \log x}} \le z \right\} = \Phi(z) + O\left(\frac{1}{\sqrt{\log \log x}}\right), \text{ for } z \in (-\infty, \infty).$$

Therefore, for any  $1 \le k \le \log_2(x)$ 

$$\mathbb{P}\left[\Omega(N(x)) = k\right] = \frac{e^{-\frac{(k-\log\log x)^2}{2\log\log x}}}{\sqrt{2\pi\log\log x}} (1 + o(1)), \text{ as } x \to \infty.$$

As  $x \to \infty$ , we compute that  $k \log k > (1+\varepsilon)\mu_x$  occurs for  $k > \frac{(1+\varepsilon)\mu_x}{W((1+\varepsilon)\mu_x)} \sim (1+\varepsilon)\log\log x$  where  $k \log k \ge (k+\frac{1}{2})\log(1+k) - k$  for any real k > 1.06975. For fixed  $\varepsilon > 0$  and large x, let

$$\widetilde{E}_{\Omega}(\varepsilon, x) := \frac{1}{\sigma_x^2} \times \mathbb{E}\left[\left(\log C_{\Omega}(N(x)) - \mu_x\right)^2 \times \mathbb{1}_{\left\{\left|\log C_{\Omega}(N(x)) - \mu_x\right| > \varepsilon \sigma_x\right\}}\right].$$

Then we have

$$\widetilde{E}_{\Omega}(\varepsilon, x) \ll \frac{1}{\sigma_x^2} \times \sum_{k=\log\log x}^{\log_2(x)} (\log(k!) - \mu_x)^2 \times \mathbb{P}\left[\Omega(N(x)) = k\right]$$

$$\ll \frac{1}{\sigma_x^2 \sqrt{\log\log x}} \times \int_{\log\log x}^{\log_2(x)} (\log\Gamma(1+t) - \mu_x)^2 e^{-\frac{t^2}{2\log\log x}} dt$$

$$\ll \frac{1}{\sigma_x^2} \times \int_{\sqrt{\log\log x}}^{\frac{\log_2(x)}{\sqrt{\log\log x}}} \left(\frac{t \log\log x}{2} + t^2 - \mu_x\right)^2 e^{-\frac{t^2}{2}} dt.$$

For all sufficiently large  $x \ge 19$ , the integral on the right-hand-side of the previous equation can be evaluated symbolically using *Mathematica*. The resulting terms on the right-hand-side of the previous equation vanish as  $x \to \infty$ . This argument shows that we indeed obtain the conclusion in equation (4.3).

**Remark 4.1** (Intuition). For  $n \ge 2$ , let the function  $\mathcal{E}[n] := (\alpha_1, \dots, \alpha_r)$  denote the unordered partition of exponents (r-tuple) for which  $\omega(n) = r$  and  $n = p_1^{\alpha_1} \times \dots \times p_r^{\alpha_r}$  is the factorization of n into powers of distinct primes. For any  $n_1, n_2 \ge 2$ 

$$\mathcal{E}[n_1] = \mathcal{E}[n_2] \implies C_{\Omega}(n_1) = C_{\Omega}(n_2) \text{ and } g(n_1) = g(n_2). \tag{4.4}$$

The function  $C_{\Omega}(n)$  is identified with the  $\Omega(n)$ -fold multiple Dirichlet convolution of the strongly additive  $\omega(n)$  with itself via Definition 2.1. This perspective provides more insight into why we should expect to find a limiting distribution associated with the distinct values of  $C_{\Omega}(n)$  over  $n \leq x$  (pointwise) and of  $\log C_{\Omega}(n)$  over  $n \leq x$  (smoothly via the CLT from Theorem 1.8). In particular, we associate the highly regular tendency of  $\omega(n)$  towards its average order with the Erdős-Kac theorem (cf. Appendix A)

$$\frac{1}{x} \times \# \left\{ n \le x : \frac{\omega(n) - \log \log x}{\sqrt{\log \log x}} \le z \right\} = \Phi(z) + o(1), \text{ for } z \in (-\infty, \infty), \text{ as } x \to \infty.$$

In the sense that multiple (Dirichlet) convolutions of a function perform a qualitative smoothing operation, the CLT statement underlying the distribution of  $\omega(n)$  á priori predicts the regularity in distribution we find in Theorem 1.8. Incidentally, equation (1.6) shows that the normalized function  $\frac{C_{\Omega}(n)}{(\Omega(n))!}$  is multiplicative (cf. [7]).

## 5 Applications to the Mertens function

In this section, we prove the formulas for M(x) involving the partial sums of the function g(n) stated in Theorem 1.4. The new formulas exactly identify the Mertens function with partial sums of positive unsigned arithmetic functions whose summands are weighted by the sign of  $\lambda(n)$ . These formulas show that better understanding of the asymptotics of the summatory function of g(n) provides insight into the behavior of M(x).

**Definition 5.1.** The summatory functions of g(n) and |g(n)|, respectively, are defined for all  $x \ge 1$  by the partial sums

$$G(x) \coloneqq \sum_{n \le x} g(n) = \sum_{n \le x} \lambda(n) |g(n)|$$
, and  $|G|(x) \coloneqq \sum_{n \le x} |g(n)|$ .

#### 5.1 Proofs of the new formulas

Proof of (1.5a) and (1.5b) of Theorem 1.4. By applying Theorem 1.3 to equation (3.1) we have that

$$M(x) = \sum_{k=1}^{x} \left( \pi \left( \left\lfloor \frac{x}{k} \right\rfloor \right) + 1 \right) g(k)$$

$$= G(x) + \sum_{k=1}^{\frac{x}{2}} \pi \left( \left\lfloor \frac{x}{k} \right\rfloor \right) g(k)$$

$$= G(x) + G\left( \left\lfloor \frac{x}{2} \right\rfloor \right) + \sum_{k=1}^{\frac{x}{2}-1} \left( \pi \left( \left\lfloor \frac{x}{k} \right\rfloor \right) - \pi \left( \left\lfloor \frac{x}{k+1} \right\rfloor \right) \right) G(k).$$

The upper bound on the sum is truncated to  $k \in [1, \frac{x}{2}]$  in the second equation above because  $\pi(1) = 0$ . The third formula above follows directly by summation by parts.

Proof of (1.5c) of Theorem 1.4. Lemma 3.4 shows that

$$G(x) = \sum_{d \le x} \lambda(d) C_{\Omega}(d) M\left(\left\lfloor \frac{x}{d} \right\rfloor\right).$$

The identity in (3.1) implies

$$\lambda(d)C_{\Omega}(d) = (g * 1)(d) = (\chi_{\mathbb{P}} + \varepsilon)^{-1}(d).$$

We recover the stated result from the classical inversion of summatory functions in equation (3.2).

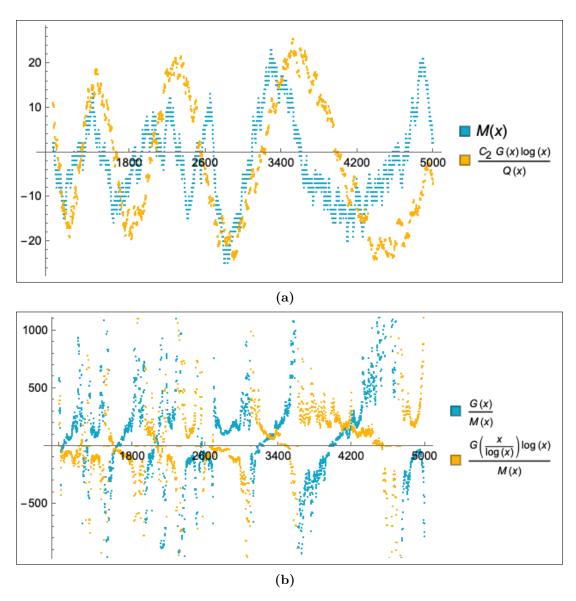


Figure 5.1

#### 5.2 Discrete plots and numerical experiments

The plots shown in the figures in this section compare the values of M(x) and G(x) with scaled forms of related auxiliary partial sums:

- In Figure 5.1, we plot comparisons of M(x) to scaled forms of G(x) for  $x \le 5000$ . The absolute constant  $C_2 := \frac{\pi^2}{6}$  where the partial sums defined by the function  $Q(x) := \sum_{n \le x} \mu^2(n)$  count the number of squarefree integers  $1 \le n \le x$ . In (a) the shift to the left on the x-axis of the former function is compared and seen to be similar in shape to the magnitude of M(x) on this initial subinterval. It is unknown whether the similar shape and magnitude of these two functions persists for much larger x. In (b) we have observed unusual reflections and symmetry between the two ratios plotted in the figure. We have numerically modified the plot values to shift the denominators of M(x) by one at each  $x \le 5000$  for which M(x) = 0.
- In Figure 5.2, we compare envelopes on the logarithmically scaled values of  $G(x)x^{-1}$  to other variants of the partial sums of g(n) for  $x \le 4500$ . In (a) we define  $G(x) := G_+(x) G_-(x)$  where the functions  $G_+(x) \ge 0$  and  $G_-(x) \ge 0$  for all  $x \ge 1$ , i.e., the signed component functions  $G_\pm(x)$  denote the unsigned contributions of only those summands |g(n)| over  $n \le x$  where  $\lambda(n) = \pm 1$ , respectively. The summatory

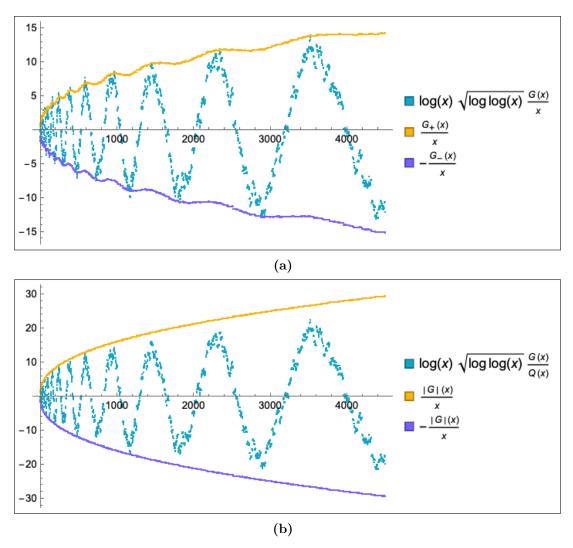


Figure 5.2

function  $Q(x) \sim \frac{6x}{\pi^2}$  in (b) has the same definition as in Figure 5.1 above. The second plot suggests that for large x

$$|G(x)| \ll \frac{|G|(x)}{(\log x)\sqrt{\log\log x}} = \frac{1}{(\log x)\sqrt{\log\log x}} \times \sum_{n \le x} |g(n)|.$$

## 5.3 Local cancellation in the formulas involving the partial sums of g(n)

**Definition 5.2.** Let  $p_n$  denote the  $n^{th}$  prime for  $n \ge 1$  [23,  $\underline{A000040}$ ]. The set of primorial integers is defined by [23,  $\underline{A002110}$ ]

$$\{n\#\}_{n\geq 1} = \left\{\prod_{k=1}^n p_k\right\}_{n\geq 1}.$$

**Proposition 5.3.** As  $m \to \infty$ , each of the following holds:

$$-G((4m+1)\#) \times (4m+1)!, \tag{A}$$

$$G\left(\frac{(4m+1)\#}{p_k}\right) \approx (4m)!, \text{ for any } 1 \le k \le 4m+1.$$
(B)

*Proof.* We have by (3.7b) that for all squarefree integers  $n \ge 1$ 

$$|g(n)| = \sum_{j=0}^{\omega(n)} {\omega(n) \choose j} \times j! = (\omega(n))! \times \sum_{j=0}^{\omega(n)} \frac{1}{j!}$$
$$= (\omega(n))! \times \left( e + O\left(\frac{1}{(\omega(n) + 1)!}\right) \right).$$

Let m be a large positive integer. We obtain main terms of the form

$$\sum_{\substack{n \le (4m+1)\#\\ \omega(n) = \Omega(n)}} \lambda(n)|g(n)| = \sum_{0 \le k \le 4m+1} {4m+1 \choose k} (-1)^k k! \times \left( e + O\left(\frac{1}{(k+1)!}\right) \right)$$

$$= -(4m+1)! + O\left(\frac{1}{4m+1}\right). \tag{5.2}$$

The formula for  $C_{\Omega}(n)$  stated in (1.6) implies the result in (A). This happens because the contributions from the summands of the inner summation on the right-hand-side of (5.2) off of the squarefree integers are at most a bounded multiple of  $(-1)^k \times k!$  when  $\Omega(n) = k$ .

We can similarly show that for any  $1 \le k \le 4m + 1$ 

$$G\left(\frac{(4m+1)\#}{p_k}\right) \times \sum_{0 \le k \le 4m} {4m \choose k} (-1)^k k! \times \left(e + O\left(\frac{1}{(k+1)!}\right)\right) = (4m)! + O\left(\frac{1}{4m+1}\right). \quad \Box$$

Remark 5.4. The Riemann hypothesis (RH) is equivalent to showing that

$$M(x) = O\left(x^{\frac{1}{2} + \varepsilon}\right)$$
, for all  $0 < \varepsilon < \frac{1}{2}$ . (5.3)

We expect that there is usually (almost always) a large amount cancellation between the successive values of the summatory function in (1.5c). Proposition 5.3 demonstrates the phenomenon well along the infinite subsequence of the primorials  $\{(4m+1)\#\}_{m\geq 1}$ . If the RH is true, the sums of the leading constants with opposing signs on the asymptotic bounds for the functions from the last proposition are necessarily required to match. In particular, we have that [5, 6]

$$n# \sim e^{\vartheta(p_n)} \asymp n^n (\log n)^n e^{-n(1+o(1))}, \text{ as } n \to \infty.$$

The observation on the necessary cancellation in (1.5c) follows from the fact that if we obtain a contrary result, then for some fixed  $\delta_0 > 0$ 

$$\frac{M((4m+1)\#)}{\sqrt{(4m+1)\#}} \gg [(4m+1)\#]^{\delta_0}$$
, as  $m \to \infty$ .

If the last equation holds, then we would find a contradiction to equation (5.3). Assuming the RH, we can state a stronger bound for the Mertens function along this subsequence by considering the error terms given in the proof of Proposition 5.3.

## 6 Conclusions

#### 6.1 Summary

We have identified a sequence,  $\{g(n)\}_{n\geq 1}$ , that is the Dirichlet inverse of the shifted strongly additive function  $\omega(n)$ . There is a natural combinatorial interpretation to the repetition of distinct values of |g(n)| in terms of the configuration of the exponents in the prime factorization of any  $n\geq 2$ . The sign of g(n) is given by  $\lambda(n)$  for all  $n\geq 1$ . This leads to a new relations between the summatory function G(x) to M(x) and L(x). We have also formalized a new perspective from which we might express our intuition about features of the distribution of G(x) via the properties of its  $\lambda(n)$ -sign-weighted summands. The new results proved within this article are significant in providing a new window through which we can view M(x) in terms of the unsigned sequences and their partial sums.

### 6.2 Discussion of the new results

Probabilistic models of the Möbius function lead us to consider the behavior of M(x) as a sum of independent and identically distributed (i.i.d.) random variables. Suppose that  $\{X_n\}_{n\geq 1}$  is a sequence of i.i.d.  $\{-1,0,1\}$ -valued random variables such that for all  $n\geq 1$ 

$$\mathbb{P}[X_n = -1] = \mathbb{P}[X_n = +1] = \frac{3}{\pi^2}$$
, and  $\mathbb{P}[X_n = 0] = 1 - \frac{6}{\pi^2}$ ,

i.e., so that the sequence provides a randomized model of the values of  $\mu(n)$  on the average. We may then approximate the partial sums as  $M(x) \cong S_x$  where  $S_x := \sum_{n \le x} X_n$  for all  $x \ge 1$ . This viewpoint models predictions of certain limiting asymptotic behavior of the Mertens function including [4, Thm. 9.4; §9]

$$\mathbb{E}[S_x] = 0, \text{Var}[S_x] = \frac{6x}{\pi^2}, \text{ and } \limsup_{x \to \infty} \frac{|S_x|}{\sqrt{x \log \log x}} = \frac{2\sqrt{3}}{\pi} \text{ (almost surely)}.$$

The Mertens function is related to the partial sums in (1.2) via the relation [14, 15]

$$M(x) = \sum_{d \le \sqrt{x}} \mu(d) L\left(\left\lfloor \frac{x}{d^2} \right\rfloor\right), \text{ for } x \ge 1.$$
 (6.1)

The relation in (6.1) gives an exact expression for M(x) with summands involving L(x) that are oscillatory. In contrast, the exact expansions for the Mertens function given in Theorem 1.4 express M(x) as finite sums over  $\lambda(n)$  with weighted coefficients that are unsigned. The property of the symmetry of the distinct values of |g(n)| with respect to the prime factorizations of  $n \ge 2$  in (4.4) suggests that the unsigned weights on  $\lambda(n)$  in the new formulas from the theorem yield new insights compared to equation (6.1).

Stating tight bounds on the distribution of L(x) is a problem that is equally as difficult as understanding the growth of M(x) along infinite subsequences (cf. [12, 9, 25]). Indeed,  $\lambda(n) = \mu(n)$  for all squarefree  $n \ge 1$  so that  $\lambda(n)$  agrees with  $\mu(n)$  at most large n. We infer that  $\lambda(n)$  must inherit the pseudo-randomized quirks of  $\mu(n)$  predicted by Sarnak's conjecture. On the other hand, the formulas in Theorem 1.4 are more desirable to explore than other classical formulae for M(x) for the following reasons:

- Breakthrough work in recent years due to Matomäki, Radziwiłł and Soundararajan to bound multiplicative functions in short intervals has proven fruitful when applied to  $\lambda(n)$  [24, 16]. The analogs of results of this type corresponding to the Möbius function are not clearly attained;
- The squarefree  $n \ge 1$  on which  $\lambda(n)$  and  $\mu(n)$  must identically agree are in some senses easier integer cases to handle insomuch as we can prove very regular properties that govern the distributions of the distinct values of  $\omega(n)$ ,  $\Omega(n)$  and their difference over  $n \le x$  as  $x \to \infty$  [17, cf. §2.4; §7.4];
- The function  $\lambda(n)$  is completely multiplicative. Hence, the function  $\lambda(n)$  may be a nicer cousin to the multiplicative  $\mu(n)$  on the integers  $n \ge 4$  for which  $\mu(n) = 0$ .

## Acknowledgements

The proofs of the results in Appendix B are closely adapted from communication with Gergő Nemes of the Alfréd Rényi Institute of Mathematics. We thank him very sincerely for the careful discussion of these results and for the helpful hints on applying the results from his articles.

## Reference

- [1] T. M. Apostol. Introduction to Analytic Number Theory. Springer-Verlag, 1976.
- [2] P. T. Bateman and H. G. Diamond. Analytic Number Theory. World Scientific Publishing, 2004.
- [3] P. Billingsley. On the central limit theorem for the prime divisor function. *Amer. Math. Monthly*, 76(2):132–139, 1969.

- [4] P. Billingsley. Probability and measure. Wiley, third edition, 1994.
- [5] P. Dusart. The  $k^{th}$  prime is greater than  $k(\log k + \log \log k 1)$  for  $k \ge 2$ . Math. Comp., 68(225):411–415, 1999.
- [6] P. Dusart. Estimates of some functions over primes without R.H., 2010.
- [7] P. D. T. A. Elliott. Probabilistic Number Theory I: Mean-Value Theorems. Springer New York, 1979.
- [8] P. Erdős and M. Kac. The Gaussian errors in the theory of additive arithmetic functions. *American Journal of Mathematics*, 62(1):738–742, 1940.
- [9] N. Frantzikinakis and B. Host. The logarithmic Sarnak conjecture for ergodic weights. *Ann. of Math.* (2), 187(3):869–931, 2018.
- [10] C. E. Fröberg. On the prime zeta function. BIT Numerical Mathematics, 8:87–202, 1968.
- [11] R. L. Graham, D. E. Knuth, and O. Patashnik. Concrete Mathematics: A Foundation for Computer Science. Addison-Wesley, 1994.
- [12] B. Green and T. Tao. The Möbius function is strongly orthogonal to nilsequences. *Ann. of Math.* (2), 175(2):541–566, 2012.
- [13] G. H. Hardy and E. M. Wright, editors. An Introduction to the Theory of Numbers. Oxford University Press, 2008 (Sixth Edition).
- [14] P. Humphries. The distribution of weighted sums of the Liouville function and Pólya's conjecture. J. Number Theory, 133:545–582, 2013.
- [15] R. S. Lehman. On Liouville's function. Math. Comput., 14:311–320, 1960.
- [16] K. Matomäki and M. Radziwiłł. Multiplicative functions in short intervals. Ann. of Math., 183:1015–1056, 2016.
- [17] H. L. Montgomery and R. C. Vaughan. *Multiplicative Number Theory: I, Classical Theory*. Cambridge, 2006.
- [18] G. Nemes. The resurgence properties of the incomplete gamma function II. Stud. Appl. Math., 135(1):86–116, 2015.
- [19] G. Nemes. The resurgence properties of the incomplete gamma function I. Anal. Appl. (Singap.), 14(5):631–677, 2016.
- [20] G. Nemes and A. B. Olde Daalhuis. Asymptotic expansions for the incomplete gamma function in the transition regions. *Math. Comp.*, 88(318):1805–1827, 2019.
- [21] F. W. J. Olver, D. W. Lozier, R. F. Boisvert, and C. W. Clark, editors. *NIST Handbook of Mathematical Functions*. Cambridge University Press, 2010.
- [22] A. D. Barbour R. Arratia and Simon Tavaré. Logarithmic Combinatorial Structures: A Probabilistic Approach. Preprint draft, 2002.
- [23] N. J. A. Sloane. The Online Encyclopedia of Integer Sequences, 2021. http://oeis.org.
- [24] K. Soundararajan. The Liouville function in short intervals (after Matomäki and Radziwiłł). arXiv:1606.08021, 2016.

- [25] T. Tao. The logarithmically averaged Chowla and Elliott conjectures for two-point correlations. *Forum of Mathematics*, 4:e8, 2016.
- [26] G. Tenenbaum. Introduction to Analytic and Probabilistic Number Theory. American Mathematical Society, 2015.

## A The distributions of $\omega(n)$ and $\Omega(n)$

As  $n \to \infty$ , we have that

$$\frac{1}{n} \times \sum_{k \le n} \omega(k) = \log \log n + B_1 + o(1),$$

and

$$\frac{1}{n} \times \sum_{k \le n} \Omega(k) = \log \log n + B_2 + o(1),$$

where  $B_1 \approx 0.261497$  and  $B_2 \approx 1.03465$  are absolute constants [13, §22.10]. The next theorems reproduced from [17, §7.4] bound the frequency of the number of times  $\Omega(n)$   $n \leq x$  diverges substantially from its average order at integers  $n \leq x$  when x is large (cf. [8, 3]).

**Theorem A.1.** For  $x \ge 2$  and r > 0, let

$$A(x,r) := \# \{ n \le x : \Omega(n) \le r \log \log x \},$$
  
 $B(x,r) := \# \{ n \le x : \Omega(n) \ge r \log \log x \}.$ 

If  $0 < r \le 1$ , then

$$A(x,r) \ll x(\log x)^{r-1-r\log r}$$
, as  $x \to \infty$ .

If  $1 \le r \le R < 2$ , then

$$B(x,r) \ll x(\log x)^{r-1-r\log r}$$
, as  $x \to \infty$ .

*Proof.* The proof of this theorem is given in [17, Thm. 7.20; §7.4]. It uses an adaptation of Rankin's method in combination with the result in proved in [17, Thm. 7.18; §7.4] to obtain the two upper bounds. Note that the upper bound for the function B(x,r) is stated using  $\gg_R$  in the reference. We omit this extra baggage in the notation since  $R \in [1,2)$  is at most a bounded constant.

**Theorem A.2.** For integers  $k \ge 1$  and  $x \ge 2$ 

$$\widehat{\pi}_k(x) \coloneqq \#\{1 \le n \le x : \Omega(n) = k\}.$$

For 0 < R < 2, uniformly for  $1 \le k \le R \log \log x$ 

$$\widehat{\pi}_k(x) = \frac{x}{\log x} \times \mathcal{G}\left(\frac{k-1}{\log\log x}\right) \frac{(\log\log x)^{k-1}}{(k-1)!} \left(1 + O_R\left(\frac{k}{(\log\log x)^2}\right)\right), \text{ as } x \to \infty.$$
(A.1)

For  $0 \le |z| < R$ , the leading factor in equation (A.1) is defined in terms of the function

$$\mathcal{G}(z) \coloneqq \frac{1}{\Gamma(1+z)} \times \prod_{p} \left(1 - \frac{z}{p}\right)^{-1} \left(1 - \frac{1}{p}\right)^{z}.$$

*Proof.* The proof of this theorem is given in [17, Thm. 7.19; §7.4]. The notation  $\widehat{\pi}_k(x)$  is distinct from that used in other references [17, Eqn. (7.61)] [26, cf. §II.6].

**Theorem A.3.** For integers  $k \ge 1$  and  $x \ge 2$ , we define

$$\pi_k(x) \coloneqq \#\{2 \le n \le x : \omega(n) = k\}.$$

For fixed 0 < R < 2, as  $x \to \infty$  we have uniformly for  $1 \le k \le R \log \log x$  that

$$\pi_k(x) = \frac{x}{\log x} \times \widetilde{\mathcal{G}}\left(\frac{k-1}{\log\log x}\right) \frac{(\log\log x)^{k-1}}{(k-1)!} \left(1 + O_R\left(\frac{k}{(\log\log x)^2}\right)\right). \tag{A.2}$$

The leading factor in equation (A.2) is defined in terms of the function

$$\widetilde{\mathcal{G}}(z) \coloneqq \frac{1}{\Gamma(1+z)} \times \prod_{p} \left(1 + \frac{z}{p-1}\right) \left(1 - \frac{1}{p}\right)^{z}, \text{ for } |z| \le R < 2.$$

*Proof.* We can extend the proofs in [17, §7] to obtain analogous results on the distribution of  $\omega(n)$ . This result is cited as an exercise in the reference.

## B The incomplete gamma function

**Definition B.1.** The (upper) incomplete gamma function is defined by [21, §8.4]

$$\Gamma(a,z) = \int_{z}^{\infty} t^{a-1}e^{-t}dt$$
, for  $a,z \in \mathbb{R}^{+}$ .

The function  $\Gamma(a,z)$  can be continued to an analytic function of z on the universal covering of  $\mathbb{C}\setminus\{0\}$ . For  $a\in\mathbb{Z}^+$ , the function  $\Gamma(a,z)$  is an entire function of z. A common notation for the (lower) incomplete gamma function is  $\gamma(a,z) := \Gamma(a) - \Gamma(a,z)$  [21, §8.2(i)]. We define the regularized incomplete gamma functions by  $Q(a,z) := \Gamma(a,z)\Gamma(a)^{-1}$  and  $P(a,z) := \gamma(a,z)\Gamma(a)^{-1}$ .

The following properties are known [21, §8.4; §8.11(i)]:

$$Q(a,z) = e^{-z} \times \sum_{k=0}^{a-1} \frac{z^k}{k!}, \text{ for } a \in \mathbb{Z}^+ \text{ and } z \in \mathbb{R}^+,$$
(B.1a)

$$\Gamma(a,z) \sim z^{a-1}e^{-z}$$
, for fixed  $a \in \mathbb{R}$  and  $z > 0$  as  $z \to \infty$ . (B.1b)

For z > 0, as  $z \to \infty$  we have that [18]

$$\Gamma(z,z) = \sqrt{\frac{\pi}{2}} z^{z-\frac{1}{2}} e^{-z} \left( 1 + O\left(\frac{1}{\sqrt{z}}\right) \right). \tag{B.1c}$$

For fixed, finite real  $\rho \neq 0$ , we define the sequence  $\{b_n(\rho)\}_{n\geq 0}$  by the following recurrence relation:

$$b_n(\rho) = \rho \cdot (1-\rho)b'_{n-1}(\rho) + \rho \cdot (2n-1)b_{n-1}(\rho) + \delta_{n,0}.$$

If  $z, a \to \infty$  with  $z = \rho a$  for some  $\rho > 1$  such that  $(\rho - 1)^{-1} = o(\sqrt{|a|})$ , then [18]

$$\Gamma(a,z) \sim z^a e^{-z} \times \sum_{n\geq 0} \frac{(-a)^n b_n(\rho)}{(z-a)^{2n+1}}.$$
 (B.1d)

**Proposition B.2.** Let a, z > 0 be taken such that as  $a, z \to \infty$  independently, we obtain a finite limit for the positive parameter  $\rho = \frac{z}{a}$ . We have the following formulae separated into disjoint ranges of the parameter  $\rho > 0$ :

• If 
$$\rho \in (0,1)$$
, then as  $z \to \infty$ 

$$\Gamma(a,z) = \Gamma(a) + O_{\rho}\left(z^{a-1}e^{-z}\right). \tag{B.2a}$$

• If  $\rho > 1$ , then as  $z \to \infty$ 

$$\Gamma(a,z) = \frac{z^{a-1}e^{-z}}{1-\rho^{-1}} + O_{\rho}\left(z^{a-2}e^{-z}\right).$$
(B.2b)

• If  $\rho > W(1) \approx 0.56714$ , then as  $z \to \infty$ 

$$\Gamma(a, ze^{\pm \pi i}) = -e^{\pm \pi i a} \frac{z^{a-1} e^z}{1 + \rho^{-1}} + O_\rho \left( z^{a-2} e^z \right). \tag{B.2c}$$

Proof of Proposition B.2. The first asymptotic estimate follows directly from the following asymptotic series expansion that holds as  $z \to \infty$  [20, Eq. (2.1)]:

$$\Gamma(a,z) \sim \Gamma(a) + z^a e^{-z} \times \sum_{k \ge 0} \frac{(-a)^k b_k(\rho)}{(z-a)^{2k+1}}.$$

Using the notation from (B.1d) and [19, Thm. 1.1]

$$\Gamma(a,z) = \frac{z^{a-1}e^{-z}}{1-\rho^{-1}} + z^a e^{-z} R_1(a,\rho).$$

From the bounds in  $[19, \S 3.1]$ , we have

$$|z^a e^{-z} R_1(a,\rho)| \le z^a e^{-z} \times \frac{a \cdot b_1(\rho)}{(z-a)^3} = \frac{z^{a-2} e^{-z}}{(1-\rho^{-1})^3}$$

The main and error terms in the previous equation can also be seen by applying the asymptotic series in (B.1d) directly.

The proof of the third equation above follows from the asymptotics [18, Eq. (1.1)]

$$\Gamma(-a,z) \sim z^{-a} e^{-z} \times \sum_{n>0} \frac{a^n b_n(-\rho)}{(z+a)^{2n+1}},$$

by setting  $(a, z) \mapsto (ae^{\pm \pi i}, ze^{\pm \pi i})$  so that  $\rho = \frac{z}{a} > W(1)$ . The restriction on the range of  $\rho$  over which the third formula holds is made to ensure that the formula from the reference is valid at negative real a.

Remark. The first two estimates in Proposition B.2 are only useful when  $\rho$  is bounded away from the transition point at one. We cannot write the last expansion above as  $\Gamma(a, -z)$  directly unless  $a \in \mathbb{Z}^+$  as the incomplete gamma function has a branch point at the origin with respect to its second variable. This function becomes a single-valued analytic function of its second input by continuation on the universal covering of  $\mathbb{C} \setminus \{0\}$ .

Lemma B.3.  $As x \rightarrow \infty$ 

$$\frac{x}{\log x} \times \left| \sum_{1 \le k \le \log \log x} \frac{(-1)^k (\log \log x)^{k-1}}{(k-1)!} \right| = \frac{x}{2\sqrt{2\pi \log \log x}} \left( 1 + O\left(\frac{1}{\log \log x}\right) \right). \tag{B.3a}$$

For any  $a \in (1, W(1)^{-1}) \subset (1, 1.76321)$ , as  $x \to \infty$ 

$$\left| \sum_{k=1}^{a \log \log x} \frac{(-1)^k (\log \log x)^{k-1}}{(k-1)!} \right| = \frac{a^{\frac{1}{2} - \{a \log \log x\}}}{\sqrt{2\pi}(a+1)} \times \frac{(\log x)^{a-a \log a}}{\sqrt{\log \log x}} \left( 1 + O\left(\frac{1}{\log \log x}\right) \right). \tag{B.3b}$$

The function  $\{x\} = x - |x| \in [0,1)$  in the previous equation denotes the fractional part of any  $x \in \mathbb{R}$ .

*Proof of Equation* (B.3a). We have for  $n \ge 1$  and any t > 0 by (B.1a) that

$$\sum_{1 \le k \le n} \frac{(-1)^k t^{k-1}}{(k-1)!} = -e^{-t} \times \frac{\Gamma(n,-t)}{(n-1)!}.$$

Suppose that  $t = n + \xi$  with  $\xi = O(1)$ . By the third formula in Proposition B.2 with the parameters  $(a, z, \rho) \mapsto (n, t, 1 + \frac{\xi}{n})$ , we deduce that as  $n, t \to \infty$ .

$$\Gamma(n,-t) = (-1)^{n+1} \times \frac{t^n e^t}{t+n} + O\left(\frac{nt^n e^t}{(t+n)^3}\right) = \frac{(-1)^{n+1} t^n e^t}{2n} + O\left(\frac{t^{n-1} e^t}{n}\right).$$

Accordingly, we see that

$$\sum_{1 \le k \le n} \frac{(-1)^k t^{k-1}}{(k-1)!} = \frac{(-1)^n t^n}{2n!} + O\left(\frac{t^{n-1}}{n!}\right).$$

The form of Stirling's formula in [21, cf. Eq. (5.11.8)] shows that

$$n! = \Gamma(1+t-\xi) = \sqrt{2\pi}t^{t-\xi+\frac{1}{2}}e^{-t}\left(1+O\left(t^{-1}\right)\right) = \sqrt{2\pi}t^{n+\frac{1}{2}}e^{-t}\left(1+O\left(t^{-1}\right)\right).$$

Hence, as  $n \to \infty$  with  $t := n + \xi$  and  $\xi = O(1)$ , we obtain that

$$\sum_{k=1}^{n} \frac{(-1)^k t^{k-1}}{(k-1)!} = \frac{(-1)^n e^t}{2\sqrt{2\pi t}} + O\left(e^t t^{-\frac{3}{2}}\right).$$

The conclusion follows by taking  $n := \lfloor \log \log x \rfloor$  and  $t := \log \log x$ .

Proof of Equation (B.3b). The argument is nearly identical to the proof of the first equation. The key modifications are to set  $t := an + \xi$  where  $\xi = O(1)$ , take the parameters  $(a, z, \rho) \mapsto \left(an, t, 1 + \frac{\xi}{an}\right)$ , and use the identity that  $a^{an} = e^{n \cdot a \log a}$  in simplifying the main term obtained from Stirling's formula.

## C Inversion of partial sums of Dirichlet convolutions

Proof of Theorem 1.3. Suppose that h, r are arithmetic functions such that  $r(1) \neq 0$ , i.e., so that the function r is invertible with respect to the operation of Dirichlet convolution. The following formulas hold for all  $x \geq 1$ :

$$S_{r*h}(x) := \sum_{n=1}^{x} \sum_{d|n} r(n)h\left(\frac{n}{d}\right) = \sum_{d=1}^{x} r(d) \times H\left(\left\lfloor \frac{x}{d}\right\rfloor\right)$$
$$= \sum_{i=1}^{x} \left(R\left(\left\lfloor \frac{x}{i}\right\rfloor\right) - R\left(\left\lfloor \frac{x}{i+1}\right\rfloor\right)\right) H(i). \tag{C.1}$$

The first formula on the right-hand-side above is well known from the references. The second formula is justified directly using summation by parts as [21, §2.10(ii)]

$$S_{r*h}(x) = \sum_{d=1}^{x} h(d) \times R\left(\left\lfloor \frac{x}{d} \right\rfloor\right)$$
$$= \sum_{i \le x} \left(\sum_{j \le i} h(j)\right) \times \left(R\left(\left\lfloor \frac{x}{i} \right\rfloor\right) - R\left(\left\lfloor \frac{x}{i+1} \right\rfloor\right)\right).$$

For Boolean-valued conditions cond, we adopt Iverson's convention that  $[cond]_{\delta}$  evaluates to one precisely when cond is true and to zero otherwise. We form the invertible matrix of coefficients (denoted by  $\hat{R}$  below) associated with the linear system that defines H(j) for  $1 \le j \le x$  in (C.1) by defining

$$R_{x,j} \coloneqq R\left(\left\lfloor \frac{x}{j} \right\rfloor\right) [j \le x]_{\delta},$$

and

$$r_{x,j} := R_{x,j} - R_{x,j+1}$$
, for  $1 \le j \le x$ .

Since  $r_{x,x} = R(1) = r(1) \neq 0$  for all  $x \geq 1$  and  $r_{x,j} = 0$  for all j > x, the matrix we have defined in this problem is lower triangular with a non-zero constant on its diagonals, and so is invertible. If we let  $\hat{R} := (R_{x,j})$ , then the next matrix is expressed by applying an invertible shift operation as

$$(r_{x,j}) = \hat{R}(I - U^T).$$

The  $N \times N$  square matrix U has  $(i,j)^{th}$  entries for all  $1 \le i,j \le N$  when  $N \ge x$  that are defined by  $(U)_{i,j} = \delta_{i+1,j}$  so that

$$\left[\left(I-U^T\right)^{-1}\right]_{i,j}=\left[j\leq i\right]_{\delta}.$$

We observe that

$$\left\lfloor \frac{x}{j} \right\rfloor - \left\lfloor \frac{x-1}{j} \right\rfloor = \begin{cases} 1, & \text{if } j | x; \\ 0, & \text{otherwise.} \end{cases}$$

The previous equation implies that

$$R\left(\left\lfloor \frac{x}{j}\right\rfloor\right) - R\left(\left\lfloor \frac{x-1}{j}\right\rfloor\right) = \begin{cases} r\left(\frac{x}{j}\right), & \text{if } j|x; \\ 0, & \text{otherwise.} \end{cases}$$
 (C.2)

We use the property in (C.2) to shift the matrix  $\hat{R}$ , and then invert the result to obtain a matrix involving the Dirichlet inverse of r as

$$\left(\left(I - U^T\right)\hat{R}\right)^{-1} = \left(r\left(\frac{x}{j}\right)[j|x]_{\delta}\right)^{-1} = \left(r^{-1}\left(\frac{x}{j}\right)[j|x]_{\delta}\right).$$

Our target matrix is

$$(r_{x,j}) = (I - U^T) \left( r \left( \frac{x}{j} \right) [j|x]_{\delta} \right) (I - U^T)^{-1}.$$

We can express its inverse by a similarity transformation conjugated by shift operators by

$$(r_{x,j})^{-1} = \left(I - U^T\right)^{-1} \left(r^{-1} \left(\frac{x}{j}\right) [j|x]_{\delta}\right) \left(I - U^T\right)$$

$$= \left(\sum_{k=1}^{\left\lfloor \frac{x}{j} \right\rfloor} r^{-1}(k)\right) \left(I - U^T\right)$$

$$= \left(\sum_{k=1}^{\left\lfloor \frac{x}{j} \right\rfloor} r^{-1}(k) - \sum_{k=1}^{\left\lfloor \frac{x}{j+1} \right\rfloor} r^{-1}(k)\right).$$

The summatory function H(x) is given exactly by a vector product with the inverse matrix from the previous equation as

$$H(x) = \sum_{k=1}^{x} \left( \sum_{j=\lfloor \frac{x}{k+1} \rfloor + 1}^{\lfloor \frac{x}{k} \rfloor} r^{-1}(j) \right) \times S_{r*h}(k), \text{ for } x \ge 1.$$

We can prove a second inversion formula by adapting our argument used to prove (C.1) above. This leads to the alternate expression for H(x) given by

$$H(x) = \sum_{k=1}^{x} r^{-1}(k) \times S_{r*h}\left(\left\lfloor \frac{x}{k} \right\rfloor\right), \text{ for } x \ge 1.$$

## D The proof of Theorem 1.6

Lemma D.1 proves the starting point of equation (D.1). In the proof of Theorem 1.6 given after the lemma is proved, we apply Binet's asymptotic formula for the log-gamma function and carefully establish the main and error terms of the average order of  $\log C_{\Omega}(n)$  that result from this expansion applied to equation (D.1).

Lemma D.1.  $As x \rightarrow \infty$ 

$$\sum_{n \le x} \log C_{\Omega}(n) \sim \sum_{k \ge 1} \#\{n \le x : \Omega(n) = k\} \times \log(k!). \tag{D.1}$$

*Proof.* Equation (1.6) shows that

$$\sum_{\substack{n \le x \\ \mu^2(n)=1}} \log C_{\Omega}(n) = \sum_{k \ge 1} \#\{n \le x : \Omega(n) = k\} \times \log(k!),$$

where the sum in the last equation is finite since  $\Omega(n) \leq \log_2(x)$  for all  $x \geq 2$ . The key to the rest of the proof is to understand that the main term of the sum on the left-hand-side of the equation is obtained by summing over only the squarefree  $n \leq x$ , i.e., the  $n \leq x$  such that  $\mu^2(n) = 1$ . We claim that

$$\sum_{k\geq 1} \sum_{\substack{n\leq x\\\Omega(n)=k}} \log C_{\Omega}(n) \sim \sum_{k\geq 1} \sum_{\substack{n\leq x\\\mu^{2}(n)=1\\\Omega(n)=k}} \log C_{\Omega}(n).$$

The function rad(n) is the radix (or squarefree part) of n which evaluates to the largest squarefree factor of n, or equivalently to the product of all primes p|n [23, A007913]. For integers  $x \ge 1$  and  $1 \le k \le \log_2(x)$ , let the sets

$$S_k\left(\left\{\varpi_j\right\}_{j=1}^k;x\right) \coloneqq \left\{2 \le n \le x : \mu(n) = 0, \omega(n) = k, \frac{n}{\mathrm{rad}(n)} = p_1^{\varpi_1} \times \cdots \times p_k^{\varpi_k}, \ p_i \ne p_j \text{ prime for } 1 \le i < j \le k\right\}.$$

Let the function

$$\mathcal{N}_k(\varpi_1,\ldots,\varpi_k;x) \coloneqq \frac{\left|\mathcal{S}_k\left(\{\varpi_j\}_{j=1}^k;x\right)\right|}{x}.$$

The special case where  $\{\varpi_j^*\}_{1\leq j\leq k} \equiv \{0,1\}$  (with value one of multiplicity exactly one) is denoted by

$$\widehat{T}_k(x) \coloneqq \mathcal{N}_k\left(\varpi_1^*, \dots, \varpi_k^*; x\right).$$

If  $2 \le n \le x$  is not squarefree and  $n \in \mathcal{S}_k\left(\{\varpi_j\}_{j=1}^k; x\right)$ , then we must have that  $\varpi_j \ge 1$  for at least one  $1 \le j \le k$ . Clearly for any  $k \ge 1$ 

$$\mathcal{N}_k(\varpi_1,\ldots,\varpi_k;x)\ll\widehat{T}_k(x).$$

We claim that (see proof below)

$$\widehat{T}_k(x) = o\left(\#\{n \le x : \omega(n) = k\}\right), \text{ for all } k \ge 1, \text{ as } x \to \infty.$$
(D.2)

The upper bounds on the functions  $\widehat{T}_k(x)$  in equation (D.2) show that the sum of denominator differences from (1.6) we subtract from the main term contributions from the squarefree  $n \leq x$  is asymptotically insubstantial. That is, we have proved that as  $x \to \infty$ 

$$\sum_{\substack{n \le x \\ \mu(n)=0}} \log C_{\Omega}(n) = o\left(\sum_{\substack{n \le x \\ \mu^2(n)=1}} \log C_{\Omega}(n)\right).$$

Proof of Equation (D.2). The bound can be proved by induction on  $k \ge 1$  using the inductive hypothesis (IH)

$$\widehat{T}_m(x) \ll \frac{x^{1-2^{-m}}}{(\log x)^{1+2^{1-m}}}, \text{ for all } 1 \le m \le k, \text{ as } x \to \infty.$$
 (IH)

We know that  $\pi(x) \sim \frac{x}{\log x}$  as  $x \to \infty$  [13, §22.4]. The case where k := 1 is evaluated by explicit computation as follows:

$$\widehat{T}_1(x) = \sum_{p \le \sqrt{x}} 1 \ll \frac{\sqrt{x}}{\log x}.$$

Suppose that  $k \ge 1$  and that the IH holds at k. Then we have by the IH and Hölder's inequality with  $(p^{-1}, q^{-1}) = (1 - 2^{-k}, 2^{-k})$  that

$$\widehat{T}_{k+1}(x) \ll \sum_{p \le \sqrt{x}} \widehat{T}_k\left(\frac{x}{p}\right) \ll \frac{x^{1-2^{-k}}}{(\log x)^{1+2^{-k}}} \times \left(\sum_{p \le x} p^{-1}\right)^{1-2^{-k}} \times \pi\left(\sqrt{x}\right)^{2^{-k}}, \text{ as } x \to \infty.$$

A famous theorem of Mertens stated as follows completes the proof by induction:  $\sum_{p \le x} p^{-1} \sim \log \log x$  as  $x \to \infty$  [13, §22.7–22.8]. Theorem A.3 combined with the previous argument shows that equation (D.2) holds for all  $k \ge 1$ .

Proof of Theorem 1.6. We will split the full sum on the left-hand-side of (D.1) into two sums, each over disjoint indices, that form the main and error terms,  $L_{\Omega}(x)$  and  $\widehat{L}_{\Omega}(x)$ , respectively. For  $x \geq 3$ , consider the following partial sums:

$$L_{M,\Omega}(x) \coloneqq \sum_{\substack{n \le x \\ \Omega(n) \le \log \log x}} \log C_{\Omega}(n),$$
$$L_{E,\Omega}(x) \coloneqq \sum_{\substack{n \le x \\ \Omega(n) > \log \log x}} \log C_{\Omega}(n).$$

The choice to break the main and error term ranges of summation into the disjoint ranges of  $\Omega(n) \in [1, \log \log x] \cup \left(\log \log x, \frac{\log x}{\log 2}\right]$  is somewhat arbitrary. The results in Appendix A suggest that the values of  $\Omega(n)$  are concentrated around  $\log \log x$  for large x so that we expect to obtain the main term summing over those  $n \leq x$  such that  $\Omega(n)$  is very close to the dominant term of the average order of this function.

We claim that the main term is given by

$$L_{M,\Omega}(x) = x(\log\log x)(\log\log\log x) \times \left(1 + O\left(\frac{1}{\log\log x}\right)\right). \tag{D.3}$$

Lemma D.1 and Theorem A.2 from the appendix show that

$$L_{M,\Omega}(x) = \frac{x}{\log x} \times \sum_{1 \le k \le \log \log x} \mathcal{G}\left(\frac{k-1}{\log \log x}\right) \frac{(\log \log x)^{k-1}}{(k-1)!} \times \log(k!) \times \left(1 + O\left(\frac{1}{\log \log x}\right)\right),$$

where

$$G(z) := \frac{1}{\Gamma(1+z)} \times \prod_{p} \left(1 - \frac{z}{p}\right)^{-1} \left(1 - \frac{1}{p}\right)^{z}, \text{ for } |z| < 2.$$

For any  $z \ge 0$ , Binet's formula for the log-gamma function is stated as follows [21, §5.9(i)]:

$$\log z! = \left(z + \frac{1}{2}\right) \log(1+z) - z + O(1).$$

Let the function

$$g(x,k) \coloneqq \frac{(\log \log x)^{k-1}}{(k-1)!} \times \left(\frac{(2k+1)}{2} \log(1+k) - k + O(1)\right).$$

Binet's formula for the log-factorial (log-gamma) function,  $\log(k!) = \log \Gamma(1+k)$ , shows that

$$L_{M,\Omega}(x) = \frac{x}{\log x} \times \sum_{1 \le k \le \log \log x} \mathcal{G}\left(\frac{k-1}{\log \log x}\right) g(x,k) \times \left(1 + O\left(\frac{1}{\log \log x}\right)\right).$$

The Euler-Maclaurin summation (EM) formula [11, §9.5] shows that for each fixed integer  $p \ge 1$  [23, A000367; A002445]

$$L_{M,\Omega}(x) = \frac{x}{\log x} \times \left( \int_{1}^{\log \log x} \mathcal{G}\left(\frac{t-1}{\log \log x}\right) g(x,t) dt + \frac{1}{2} \mathcal{G}\left(1 - \frac{1}{\log \log x}\right) g\left(x, \log \log x\right) - \frac{g(x,1)}{2} + O\left(\sum_{k=1}^{p} \frac{B_{k}}{k!} \times \frac{\partial^{(k-1)}}{\partial t^{(k-1)}} \left[ \mathcal{G}\left(\frac{t-1}{\log \log x}\right) g(x,t) \right]_{t=1}^{t=\log \log x} + \widehat{R}_{p}[g] \right) \right) \times \left(1 + O\left(\frac{1}{\log \log x}\right) \right).$$

The  $p^{th}$  remainder term is bounded by

$$\left|\widehat{R}_p[g]\right| = O\left(\frac{1}{p!} \times \int_1^{\log\log x} B_p(\{t\}) f^{(p)}(t) dt\right).$$

We find that it suffices to choose p := 1. We denote the degree-p EM formula error term by

$$E_p(x) := \sum_{k=1}^p \frac{B_k}{k!} \times \frac{\partial^{(k-1)}}{\partial t^{(k-1)}} \left[ \mathcal{G}\left(\frac{t-1}{\log\log x}\right) g(x,t) \right]_{t=1}^{t=\log\log x} + \int_1^{\log\log x} \frac{|B_p(\{t\})|}{m!} f^{(m)}(t) dt,$$

which upon specializing to p = 1 yields the upper bound

$$|E_{1}(x)| \ll \frac{(\log x)(\log \log \log x)}{\sqrt{\log \log x}} + \underbrace{\int_{1}^{\log \log x} t^{2} \log(1+t) \times \frac{(\log \log x)^{t}}{(t+1)!} dt}_{:=I_{1}(x)}$$

$$\ll (\log x)\sqrt{\log \log x}(\log \log \log x), \text{ as } x \to \infty.$$
(D.4)

The integral term in equation (D.4) is evaluated using Hölder's inequality with  $(p,q) := (1, \infty)$  and finding that the maximum value in the next equation occurs at the boundary of the interval  $t \equiv \log \log x$ .

$$|I_{1}(x)| \ll (\log \log x) \times \max_{1 \le t \le \log \log x} \frac{(\log \log \log x)(\log \log x)^{t+2}}{\Gamma(2+t)}$$

$$\ll (\log \log x)^{3} (\log \log \log x) \times \max_{1 \le t \le \log \log x} \frac{(\log \log x)^{t} e^{t}}{t^{t+\frac{3}{2}}}$$

$$\ll (\log x) \sqrt{\log \log x} (\log \log \log x), \text{ as } x \to \infty.$$
(D.5)

The mean value theorem states that there is some  $c(x) \in [1, \log \log x]$  such that

$$\int_{1}^{\log\log x} \mathcal{G}\left(\frac{t-1}{\log\log x}\right) g(x,t) dt = \mathcal{G}\left(\frac{c(x)-1}{\log\log x}\right) \int_{1}^{\log\log x} g(x,t) dt. \tag{D.6}$$

Let  $B_0^*(x) := \mathcal{G}\left(\frac{c(x)-1}{\log\log x}\right)$  denote the multiplier function (depending on x) given by the mean value theorem in equation (D.6). We can apply the EM formula once again to see that

$$L_{M,\Omega}(x) = \frac{x}{\log x} \times \left(\sum_{1 \le k \le \log \log x} B_0^*(x)g(x,k) + \frac{1}{2}\left(1 - B_0^*(x)\right)\mathcal{G}\left(\frac{3}{2} - \frac{1}{\log \log x}\right)g(x,\log \log x)\right) \tag{D.7}$$

$$+ O\left(1 + \sum_{1 \le k \le p} \frac{B_k}{k!} \times \frac{\partial^{(k-1)}}{\partial t^{(k-1)}} \left[ \left(1 + \mathcal{G}\left(\frac{t-1}{\log\log x}\right)\right) g(x,t) \right]_{t=1}^{t=\log\log x} + \widehat{R}_p[\mathcal{G} \cdot g] \right) \times \left(1 + O\left(\frac{1}{\log\log x}\right)\right).$$

It is straightforward to show that for p = 1, the EM formula error term satisfies  $|\widehat{R}_p[\mathcal{G} \cdot g]| \ll |I_1(x)|$  at large x where  $I_1(x)$  is the function from equation (D.5).

We have two remaining steps to establish equation (D.8):

- (i) To show that the sums on the right-hand-side of equation (D.7) give the main term of this expression for  $L_{M,\Omega}(x)$ ; and
- (ii) To show that there is a limiting constant obtained by letting  $B_0^*(x) \xrightarrow{x \to \infty} 1$ .

We can approximate the sums in the previous equation using Abel summation spplied to the following functions for  $1 \le u \le \log \log x$ :

$$A_x(u) := \sum_{1 \le k \le u} \frac{x(\log \log x)^{k-1}}{(\log x)(k-1)!} = \frac{B_0^* x \Gamma(u, \log \log x)}{\Gamma(u)};$$
$$f(u) := \frac{(2u+1)}{2} \log(1+u) - u + O(1).$$

Namely, we have by Proposition B.2 that

$$\frac{x}{\log x} \times \sum_{1 \le k \le \log \log x} g(x, k)$$

$$= A_x (\log \log x) f (\log \log x) - \frac{1}{\log \log x} \times \int_0^1 A_x (\alpha \log \log x) f'(\alpha \log \log x) d\alpha$$

$$= x (\log \log x) (\log \log \log x) \times \left(1 + O\left(\frac{1}{\sqrt{\log \log x}}\right)\right) \times \left(1 + O\left(\frac{1}{\log \log x}\right)\right)$$

$$- \frac{x}{\log \log x} \times \int_0^1 f'(\alpha \log \log x) \times \left(1 + O\left(\frac{\sqrt{\alpha} (\log x)^{\alpha - 1 - \alpha \log \alpha}}{\sqrt{\log \log x}}\right)\right)$$

$$= x (\log \log x) (\log \log \log x) \times \left(1 + O\left(\frac{1}{\log \log x}\right)\right). \tag{D.8}$$

For any  $1 \le k \le \log \log x$ , we have the bounds

$$1 = \mathcal{G}(0) \ge \mathcal{G}\left(\frac{k-1}{\log\log x}\right) \ge \mathcal{G}(1) \approx 0.00390208.$$

Moreover, the function  $\mathcal{G}((k-1)(\log\log x)^{-1})$  is continuous and piecewise monotone for all integers k within this range. The following observations are similarly not difficult to prove:

$$\mathcal{G}\left(1 - \frac{1}{\log\log x}\right) = \mathcal{G}\left(1\right)\left(1 + O\left(\frac{1}{\log\log x}\right)\right), \text{ as } x \to \infty,$$
 (D.9a)

$$g(x, \log \log x) = (\log \log \log x) \left(1 + O\left(\frac{1}{\log \log x}\right)\right), \text{ as } x \to \infty.$$
 (D.9b)

Equation (D.7), equation (D.8), and the last observations in (D.9) show that

$$L_{M,\Omega}(x) = B_0^*(x)x(\log\log x)(\log\log\log x)\left(1 + O\left(\frac{1}{\log\log x}\right)\right), \text{ as } x \to \infty.$$
 (D.10)

This accomplishes step (i).

We can differentiate both sides of equation (D.6) to form a first-order ordinary differential equation (ODE) for the function  $\mathcal{G}(v)$  where we perform the change of variable  $v = \frac{c(x)-1}{\log\log x}$ . The solution to this ODE subject

to the initial condition  $\mathcal{G}(0) := 1$  is given by  $\mathcal{G}(v) = 1$ . This shows that  $B_0^*(x) \equiv 1$ , which completes step (ii), and then which, upon a return to equation (D.10), implies that equation (D.8) holds.

We must bound the error term to complete the proof. To this end, we claim that

$$L_{E,\Omega}(x) = o\left(x(\log\log x)(\log\log\log x)\right), \text{ as } x \to \infty.$$
 (D.11)

We have that

$$\log C_{\Omega}(n) \ll \Omega(n) \log \Omega(n)$$
, for  $n \le x$ , as  $x \to \infty$ . (D.12)

The assertion on the upper bound for  $\log C_{\Omega}(n)$  in (D.12) holds for all  $n \ge 1$  even when the right-hand-side terms involving  $\Omega(n)$  oscillate in magnitude over  $1 \le n \le x$ . This is justified by maximizing (minimizing) the ratio of the right-hand-side of (D.12) to Binet's log-gamma formula numerically to find explicit bounded real  $z \equiv \Omega(n) \in [1, 11)$  that yield the extremum of the ratio.

A proof of the claim in equation (D.11) follows from (D.12), the Cauchy-Schwarz inequality, the result in [17, Thm. 7.21; §7.4], and since  $\Omega(n) \leq \log_2(n)$ . In particular, we have that as  $x \to \infty$ 

$$L_{E,\Omega}(x) \ll \sum_{\substack{n \leq x \\ \Omega(n) \geq \log \log x}} \Omega(n) \log \Omega(n)$$

$$\ll \left(\sum_{\substack{n \leq x \\ \Omega(n) \geq \log \log x}} \Omega(n)^q \right)^{\frac{1}{q}} \times \left(\sum_{\substack{n \leq x \\ \Omega(n) \geq \log \log x}} (\log \Omega(n))^{\frac{q}{q-1}} \right)^{\frac{q-1}{q}}$$

$$\ll \sqrt{\log \log x} \times \left(\frac{x}{\sqrt{\log \log x}} \times \int_{\log \log x}^{\log_2(x)} t^q e^{-\frac{(t-\log \log x)^2}{\log \log x}} dt \right)^{\frac{1}{q}} \times \# \left\{ n \leq x : \Omega(n) \geq \log \log x \right\}^{\frac{q-1}{q}}$$

$$\ll x (\log \log x)^{\frac{1}{2} - \frac{1}{2q}}, \text{ for some } q > 0.$$

The exponent of  $\log \log x$  in the last equation is strictly less than one whenever q > 0. Finally, we set q := 2, perform a change of variables and, apply Theorem A.1 to see that

$$L_{E,\Omega}(x) \ll x(\log\log x)^{\frac{1}{4}}.$$

Equations (D.8) and (D.11) imply the conclusion of the theorem since

$$\sum_{n \le x} \log C_{\Omega}(n) = L_{M,\Omega}(x) + L_{E,\Omega}(x), \text{ for all } x.$$