

By the calculus of residues we may write

$$\begin{aligned} I &= \frac{1}{(k-1)!} \frac{d^{k-1}}{dz^{k-1}} (G(z)(\log x)^z) \Big|_{z=0} \\ &= \sum_{\nu=0}^{k-1} \frac{G^{(\nu)}(0)}{\nu!} \frac{(\log \log x)^{k-1-\nu}}{(k-1-\nu)!}. \end{aligned}$$

This gives a more accurate, but more complicated, main term.

In Section 2.3 we saw that  $\Omega(n)$  rarely differs very much from  $\log \log n$ . In particular, from Theorem 2.12 we see that if  $r < 1$ , then the number of  $n \leq x$  for which  $\Omega(n) < r \log \log x$  is  $\ll_r x / \log \log x$ . We now give a much sharper upper bound for the number of occurrences of such large deviations.

**Theorem 7.20** *Let  $A(x, r)$  denote the number of  $n \leq x$  such that  $\Omega(n) \leq r \log \log x$ , and let  $B(x, r)$  denote the number of  $n \leq x$  for which  $\Omega(n) \geq r \log \log x$ . If  $0 < r \leq 1$  and  $x \geq 2$ , then*

$$A(x, r) \ll x(\log x)^{r-1-r \log r}.$$

*If  $1 \leq r \leq R < 2$  and  $x \geq 2$ , then*

$$B(x, r) \ll_R x(\log x)^{r-1-r \log r}.$$

*Proof* We argue directly from Theorem 7.18, using a modified form of Rankin's method. If  $0 \leq r \leq 1$  and  $\Omega(n) \leq r \log \log x$ , then  $r^{\Omega(n)} \leq r^{r \log \log x}$ . Hence

$$A(x, r) \leq (\log x)^{-r \log r} \sum_{n \leq x} r^{\Omega(n)}.$$

By Theorem 7.18 this is

$$\sim \frac{F(1, r)}{\Gamma(r)} x(\log x)^{r-1-r \log r}$$

where  $F(s, z)$  is taken as in (7.60). This gives the result since  $F(1, r) \ll 1$  and  $\Gamma(r) \gg 1$  uniformly for  $0 < r \leq 1$ .

Now suppose that  $1 \leq r \leq R < 2$  and that  $\Omega(n) \geq r \log \log x$ . Then  $r^{\Omega(n)} \geq r^{r \log \log x}$ , and hence

$$B(x, r) \leq (\log x)^{-r \log r} \sum_{n \leq x} r^{\Omega(n)}.$$

Thus we have only to proceed as before to obtain the result.  $\square$

In discussing Theorem 2.12 we proposed a probabilistic model, which in conjunction with the Central Limit Theorem would predict that the quantity

$$\alpha_n = \frac{\Omega(n) - \log \log n}{\sqrt{\log \log n}} \quad (7.64)$$

is asymptotically normally distributed. We now confirm this.

**Theorem 7.21** *Let  $\alpha_n$  be given by (7.64) and suppose that  $Y > 0$ . Then the number of  $n$ ,  $3 \leq n \leq x$ , such that  $\alpha_n \leq y$  is*

$$\Phi(y)x + O_Y \left( \frac{x}{\sqrt{\log \log x}} \right)$$

uniformly for  $-Y \leq y \leq Y$  where

$$\Phi(y) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^y e^{-t^2/2} dt.$$

*Proof* Let

$$\beta_n = \frac{\Omega(n) - \log \log x}{\sqrt{\log \log x}}.$$

Since  $\Phi'(y) \ll 1$  and  $\alpha_n - \beta_n \ll 1/\sqrt{\log \log x}$  when  $x^{1/2} \leq n \leq x$  and  $\Omega(n) \leq 2 \log \log x$ , it suffices to consider  $\beta_n$  in place of  $\alpha_n$ . We may of course also suppose that  $x$  is large.

Let  $k$  be a natural number and let  $u$  be defined by writing  $k = u + \log \log x$ . If  $|u| \leq \frac{1}{2} \log \log x$ , then by Stirling's formula (see (B.26) or the more general Theorem C.1) we see that

$$\begin{aligned} & \frac{(\log \log x)^{k-1}}{(k-1)!} \\ &= \frac{e^u \log x}{\sqrt{2\pi \log \log x}} \left( 1 + \frac{u}{\log \log x} \right)^{\frac{1}{2} - \log \log x - u} \left( 1 + O \left( \frac{1}{\log \log x} \right) \right). \end{aligned}$$

The estimate  $\log(1 + \delta) = \delta - \delta^2/2 + O(|\delta|^3)$  holds uniformly for  $|\delta| \leq 1/2$ . By taking  $\delta = u/\log \log x$  we find that

$$\begin{aligned} & \left( 1 + \frac{u}{\log \log x} \right)^{\frac{1}{2} - \log \log x - u} \\ &= \exp \left( -u + \frac{u - u^2}{2 \log \log x} - \frac{u^2}{4(\log \log x)^2} + O \left( \frac{|u|^3}{(\log \log x)^2} \right) \right). \end{aligned}$$

Suppose now that  $|u| \leq (\log \log x)^{2/3}$ . By considering separately  $|u| \leq (\log \log x)^{1/2}$  and  $(\log \log x)^{1/2} < |u| \leq (\log \log x)^{2/3}$  we see that

$$\frac{u}{\log \log x} \ll \frac{1}{\sqrt{\log \log x}} + \frac{|u|^3}{(\log \log x)^2}.$$

Similarly, by considering  $|u| \leq 1$  and  $|u| > 1$  we see that

$$\frac{u^2}{(\log \log x)^2} \ll \frac{1}{\sqrt{\log \log x}} + \frac{|u|^3}{(\log \log x)^2}.$$

On combining these estimates we deduce that

$$\begin{aligned} \frac{(\log \log x)^{k-1}}{(k-1)!} &= \frac{\log x}{\sqrt{2\pi \log \log x}} \exp\left(\frac{-u^2}{2 \log \log x}\right) \\ &\quad \times \left(1 + O\left(\frac{1}{\sqrt{\log \log x}}\right) + O\left(\frac{|u|^3}{(\log \log x)^2}\right)\right) \end{aligned}$$

uniformly for  $|u| \leq (\log \log x)^{2/3}$ . In Theorem 7.19 we have  $G(1) = 1$  and

$$G\left(\frac{k-1}{\log \log x}\right) = G(1) + O\left(\frac{1+|u|}{\log \log x}\right).$$

Hence by Theorem 7.19,

$$\begin{aligned} \sigma_k(x) &= \frac{x \exp\left(\frac{-(k-\log \log x)^2}{2 \log \log x}\right)}{\sqrt{2\pi \log \log x}} \\ &\quad \times \left(1 + O\left(\frac{1}{\sqrt{\log \log x}}\right) + O\left(\frac{|k - \log \log x|^3}{(\log \log x)^2}\right)\right). \end{aligned}$$

By Theorem 7.20 we know that the contribution of  $k \leq \log \log x - (\log \log x)^{2/3}$  is negligible. We sum over the range

$$\log \log x - (\log \log x)^{2/3} \leq k \leq \log \log x + y(\log \log x)^{1/2}.$$

This gives rise to three sums, one for the main term and two for error terms. Each of these sums can be considered to be a Riemann sum for an associated integral, and the stated result follows.  $\square$

### 7.4.1 Exercises

1. Let  $p_1, p_2, \dots, p_K$  be distinct primes. Show that the number of  $n \leq x$  composed entirely of the  $p_k$  is

$$\frac{(\log x)^K}{K! \prod_{k=1}^K \log p_k} + O((\log x)^{K-1}).$$

2. (a) Let  $d_z(n)$  be defined as in (7.56), and suppose that  $|z| \leq R$ . Show that  $|d_z(n)| \leq d_{|z|}(n) \leq d_R(n)$ .
- (b) Let  $F(s, z)$  be defined as in (7.60). Show that if  $0 < r < 1$  and  $\sigma > 1/2$ , then  $0 < F(\sigma, r) < 1$ .
- (c) Let  $F(s, z)$  be defined as in (7.60). Show that if  $1 < r < 2$ , then the Dirichlet series coefficients of  $F(s, r)$  are all non-negative.
3. (a) Show that if

$$F(s, z) = \prod_p \left( 1 + \frac{z}{p^s - 1} \right) \left( 1 - \frac{1}{p^s} \right)^z,$$

then  $F(s, z)$  converges for  $\sigma > 1/2$ , uniformly for  $|z| \leq R$ .

- (b) Show that if  $F(s, z)$  is taken as above, and if  $a_z(n)$  is defined as in Theorem 7.18, then  $a_z(n) = z^{\omega(n)}$ .
- (c) Let  $\rho_k(x)$  denote the number of  $n \leq x$  for which  $\omega(n) = k$ . Show that if  $x \geq 2$ , then

$$\rho_k(x) = G \left( \frac{k-1}{\log \log x} \right) \frac{x(\log \log x)^{k-1}}{(k-1)! \log x} \left( 1 + O_R \left( \frac{k}{(\log \log x)^2} \right) \right)$$

uniformly for  $1 \leq k \leq R \log \log x$  where  $G(z) = F(1, z) / \Gamma(z+1)$ .

- (d) Show that  $G(0) = G(1) = 1$ .
- (e) Let  $A(x, r)$  denote the number of  $n \leq x$  for which  $\omega(n) \leq r \log \log x$ . Show that

$$A(x, r) \ll x(\log x)^{r-1-r \log r}$$

uniformly for  $0 < r \leq 1$ .

- (f) Let  $B(x, r)$  denote the number of  $n \leq x$  for which  $\omega(n) \geq r \log \log x$ . Show that

$$B(x, r) \ll x(\log x)^{r-1-r \log r}$$

uniformly for  $1 \leq r \leq R$ .

4. (a) Show that if

$$F(s, z) = \prod_p \left( 1 + \frac{z}{p^s} \right) \left( 1 - \frac{1}{p^s} \right)^z,$$

then  $F(s, z)$  converges for  $\sigma > 1/2$ , uniformly for  $|z| \leq R$ .

- (b) Show that if  $F(s, z)$  is taken as above, and if  $a_z(n)$  is defined as in Theorem 7.18, then  $a_z(n) = \mu(n)^2 z^{\omega(n)}$ .
- (c) Let  $\pi_k(x)$  denote the number of square-free  $n \leq x$  for which  $\omega(n) = k$ . Show that if  $x \geq 2$ , then

$$\pi_k(x) = G \left( \frac{k-1}{\log \log x} \right) \frac{x(\log \log x)^{k-1}}{(k-1)! \log x} \left( 1 + O_R \left( \frac{k}{(\log \log x)^2} \right) \right)$$

uniformly for  $1 \leq k \leq R \log \log x$  where  $G(z) = F(1, z) / \Gamma(z+1)$ .