

Mapper graphs for voting analysis

Hazel N. Brenner 

Cornell University, USA

Emarie De La Nuez 

Tufts University, USA

Moon Duchin 

Tufts University, USA

Jordan Phan 

University of Virginia, USA

1 Abstract

Outputs from the Mapper algorithm are graph (or more generally cell-complex) representations of high-dimensional data, used to infer its "shape" and learn its structure. Here, we use the open-source package `KeplerMapper` to analyze voting patterns in Chicago mayoral elections. As part of this analysis, we create and refine techniques that enable dimension detection and feature selection with Mapper.

2012 ACM Subject Classification Applied computing → Law; Mathematics of computing → Discrete mathematics; Mathematics of computing → Topology

Keywords and phrases Mapper, topological data analysis, clustering, elections.

Funding *Moon Duchin*: partially supported by NSF DMS-2005512.

Acknowledgements The authors wish to thank the participants in the MGGG Redistricting Lab summer research cluster for their feedback and engagement throughout the development of this project. We thank the Democracy Fund for their generous support of the summer research group. Author order is alphabetical, following the convention in mathematical publication.

7 1 Introduction and background

Topological data analysis, or TDA, is a set of computational methods that provides a framework to simplify, visualize, and qualitatively describe high-dimensional datasets. Persistent homology is one dominant technique in the field, building simple structures called persistence diagrams to summarize features and their relationships. A second important method was initiated by Singh–Mémoli–Carlsson with their proposal of the Mapper algorithm [8]. Whereas linear regression implicitly assumes a linear "shape" is appropriate to describe your data, Mapper builds a cell complex—most often, a graph—where the nodes represent clusters in the data. In this project, we build a data analysis pipeline using the open-source Python package `KeplerMapper` to study Chicago's 2015 and 2019 mayoral elections.

17 1.1 Chicago mayoral elections

Chicago employs a two-round nonpartisan election system for its mayoral elections: a first round of voting is conducted in February, often with a dozen or more candidates competing, every four years. If no single candidate secures a majority of the vote, then the top two vote-getters compete in a runoff contest in April.

Chicago elections form an interesting dataset for analysis in a number of ways. First, Chicago mayoral contests historically provide a famous and extreme example of racialized voting behavior: in 1983, a majority of White Chicagoans, despite lifelong histories of supporting Democratic candidates, cast votes for a Republican in order to avoid supporting



© CC-BY;
licensed under Creative Commons License CC-BY 4.0



Leibniz International Proceedings in Informatics
Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

XX:2 Mapper graphs for voting analysis

the first Black candidate to win a Democratic primary election in the city. That candidate, Harold Washington, narrowly won anyway, becoming the city's first Black mayor. Secondly, the stark racial segregation in residential housing allows us to visualize voting patterns detected below in the context of well-known neighborhoods. We will focus on the elections from 2015 and 2019; together with this year's (2023) election, these are the only three to have advanced to a runoff since that system was implemented in 1999. Two-round elections give us the added benefit of understanding shifts in patterns when fewer candidates are available, which gives a partial glimpse into voters' ranked preferences. Runoff elections are additionally clean because the candidates' support is complementary (summing to one). Finally, Chicago voting data is available in a clean and spatialized format.

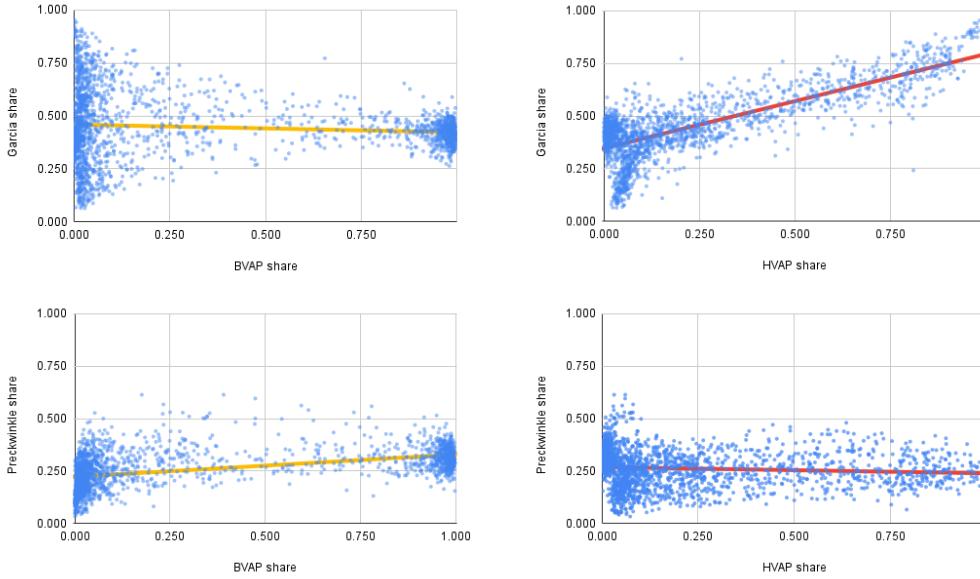
1.2 Racially polarized voting

The Voting Rights Act of 1965 was intended by Congress to secure protection of minoritized groups from devices that "deny or abridge" the vote. A state or locality can be challenged when it enacts a system of election (including a districting plan) that can be shown to be responsible for reducing access to effective representation. In 1986, in a major case called *Thornburg v. Gingles*, the court adopted a three-pronged test that had been proposed in an academic paper to serve as a sort of checklist of "preconditions" before advancing to a lawsuit. These are now known as the three *Gingles factors* in voting rights law: plaintiffs must show that (1) it is possible to draw an additional majority-minority district while conforming to traditional principles; (2) the minority votes mostly as a bloc for the same candidates of choice; (3) the majority votes in a manner that prevents those chosen candidates from election. Together, *Gingles* operationalize a notion of *racially polarized voting* (RPV). For instance, if a lawsuit is filed on behalf of Black voters, the RPV burden on the plaintiffs is first to show that Black voters vote cohesively for common candidates in recent elections, then to show that the (typically mostly White) majority is also cohesive, but supporting different candidates, with the effect that Black-preferred candidates are not prevailing.

Because American elections are conducted by secret ballot, the experts in voting rights cases will always lack direct evidence of voting by race. Instead, voting patterns are inferred from precinct-level results. In other words, voting records that are given to us with one aggregation—by precinct, which is typically a territorial area with a few thousand residents—are subjected to statistical inference to reaggregate them in a different way, namely by race/ethnicity. This kind of inferential regrouping is a long-studied problem sometimes called the *ecological inference problem*, which can lead to errors known as the *ecological paradox* or *Simpson's paradox*. There is no foolproof way to get around the missing data problem.

The standard method going back several decades is the first one we might expect: testing whether the racial balance of the precincts is correlated with the voting with a simple linear regression. Two *ecological regression* plots (as they are called) are shown in Figure 1.

Several facts about Chicago are visible from these plots directly. For instance, there are precincts with very high levels of Black population and others with very low levels, but relatively fewer that are between 15 and 85% BVAP. By contrast, the HVAP has much more even distribution across precincts over the levels from 15% to 100%. This is true despite the fact that the citywide share of BVAP in this dataset is not too far off from the HVAP share, at 33.5% and 27.3%, respectively. We also see that neither fit line is sharply sloped, but the slight upward trend in the BVAP plot indicates that Black voters were slightly more likely to support Preckwinkle than others, while Hispanic voters were estimated to prefer Lightfoot to Preckwinkle 75-25, just like non-Hispanic voters. A very clear preference is only evident in one of the four cases: Hispanic/Latino voters had a pronounced tendency to support Chuy



63 ■ **Figure 1** Four scatterplots whose points represent the 2069 precincts our Chicago dataset. The
 64 Black or Hispanic share of the voting age population (BVAP and HVAP, respectively) are plotted
 65 against the share of the vote that went to the runner-up in the 2015 and 2019 mayoral runoff
 66 elections. The slopes of the fit lines might indicate that Black voters were slightly more likely to
 67 support Preckwinkle than others

81 Garcia, who did not achieve a majority among other Chicago voters and indeed lost overall.¹

82 What is not produced by this kind of analysis is any kind of assessment of how
 83 race/ethnicity variables interact with social, economic, geographic, and other factors to
 84 explain patterns of voting. And indeed ecological regression plots are most often conducted
 85 for one racial group at a time—while it is possible to conduct non-linear regressions on
 86 higher-dimensional data, it is not clear that regression analysis is the right tool for the job.
 87 In this paper we explore the use of Mapper as a tool for viewing racial polarization in context
 88 of a much richer picture of human geography.

89 1.3 TDA and Mapper

90 Mapper graphs are discrete objects that carry topological information thought to be helpfully
 91 descriptive of high-dimensional data. They are analogs of tools originally developed in Morse
 92 theory for the study of manifolds. Given a manifold and a function thought of as "height,"
 93 a construction called the Reeb graph records the information of how the constant-height
 94 slices (i.e., level sets) change as the height varies over its range. The nodes of the graph
 95 correspond to birth, death, splitting and merging events for connected components of the
 96 level sets. The edges of the graph are drawn between nodes representing a given connected
 97 component and nodes representing events involving that component. The Mapper algorithm
 98 mimics the Reeb graph in the setting that the object of analysis is a point cloud. It proceeds

68 ¹ Typically, it is only the slope and the intercepts with the $x = 0$ and $x = 1$ lines that are used in court.
 69 The intercepts are interpreted as predicted levels of support for the candidate by non-members and
 70 members of the indicated group, respectively. The closeness of fit is seldom mentioned.

XX:4 Mapper graphs for voting analysis

99 with the following steps.

- 100 1. **Filter function.** Apply a continuous $f : \mathbb{R}^n \rightarrow \mathbb{R}$, called a *filter function*, to the dataset
101 in \mathbb{R}^n .
- 102 2. **Interval cover.** Cover the image of the data in \mathbb{R} by overlapping intervals I_1, \dots, I_n .
103 Commonly, this is done with a fixed number of intervals overlapping on a fixed fraction
104 of their length
- 105 3. **Pullback cover.** The dataset is covered by the pre-images $f^{-1}(I_i)$ in \mathbb{R}^n . The collection
106 of these is called the *pullback cover*.
- 107 4. **Clustering algorithm.** For each i , apply a chosen clustering algorithm to the pullback
108 set $f^{-1}(I_i)$. This yields a family of subsets $\{C_{i,1}, \dots, C_{i,k_i}\}$ corresponding to the k_i
109 clusters in I_i .
- 110 5. **Mapper complex.** Construct a simplicial complex with a 0-simplex (nodes) for each
111 cluster $C_{i,j}$, a 1-simplex (edge) between each pair of clusters that shares at least one
112 common point, a 2-simplex (face) where a triple of clusters shares a member data point,
113 etc. This is equivalent to the *nerve* of the cover $\{C_{i,j}\}$. The Mapper graph is the
114 1-skeleton of this complex.

115 Mapper is widely studied as a TDA tool because of its flexibility and interpretability. In
116 his survey paper *Topology and Data* [1], Carlsson lists three main advantages of using Mapper:
117 insensitivity to the choice of metric on the feature space, transparent reliance on parameters,
118 and adaptability to multiple scales of resolution. In addition, graphs are a relatively simple
119 and interpretable format for output. This is highly desirable for exploratory analysis of
120 complex real-world datasets. The task of analysis becomes that of lining up several views of
121 the dataset through various choices of Mapper parameters and then synthesizing a coherent
122 narrative across them.

123 As the description of steps makes clear, there are numerous of choices that must be made
124 for a given run. In our raw data, the data points correspond to precincts, embedded in a
125 high-dimensional space of socio-demographic features. We have chosen the share of support
126 for a particular candidate to serve as the filter function for most of the analysis presented here.
127 This choice enables us to readily visualize patterns in voting behavior. There is no uniform
128 choice for interval cover in Mapper applications, though there are selection regimes described
129 by Carriere et al. [2]. In our application, we primarily used a combination of hand-tuning
130 and the *adaptive cover* algorithm from Wang et al. [3]. Finally, the clustering algorithm
131 is a crucial choice that often does not receive enough discussion in Mapper-related work.
132 We used a combination of DBSCAN, X-Means and centroid-linkage agglomerative hierarchical
133 clustering (AHC) in order to get strategically different views of the data, and will focus on
134 DBSCAN and X-Means here.

135 The mapper pipeline here runs code built on open-source Python packages: KeplerMapper,
136 the Optimal Transport (OT) Library, and Scikit-Learn [9, 5, 6]. We pulled social, economic,
137 and demographic information from two data products of the U.S. Census Bureau: Decennial
138 Census data via NHDGS and annual releases of the American Community Survey. Electoral
139 data is sourced to the City of Chicago.

140 2 Clustering for shape detection

141 KeplerMapper is commonly used with Scikit-Learn clustering algorithms, defaulting to
142 DBSCAN, which identifies core areas in the data by looking for well-separated regions of
143 high point density. We make heavy use of a second alternative for clustering via X-Means,
144 which runs k-means with a refinement step that can result in significant sub-cluster splitting.

145 Between DBSCAN and X-Means, each has advantages and disadvantages for gaining insight
 146 into data in our application, and we will use this section to begin to build up to interpreting
 147 summarized outputs such as we will create below in Figure 8. We include a test run showing
 148 how DBSCAN and X-Means Mapper graphs perform when trying to distinguishing data made
 149 by noising simple manifolds. We find that DBSCAN gives superior ability to distinguish the
 150 underlying manifold, but it does so without reliably learning the dimension of the manifold.
 151 In addition it discards data points in areas of data scarcity, which is helpful for a summary
 152 but undesirable for a finer-grained analysis of patterns. By contrast, X-Means gives a very
 153 satisfying picture of dimension but has less discernment of other topological features.

154 DBSCAN is run by specifying parameters ε and minPts. It identifies a *core point* as
 155 one whose ε neighborhood contains at least minPts other points; the algorithm works by
 156 processing the data into core points and their near-neighbors, and discarding the rest. A
 157 network of near-neighboring core points will be collapsed to a single cluster. This means
 158 that for well-chosen parameters, a DBSCAN mapper graph for uniform random noise in a unit
 159 d -dimensional cube will be essentially the nerve of the cubical cover—i.e., the Mapper graph
 160 is simply a path in the case of an \mathbb{R} -valued filter function, no matter the “true” dimension d
 161 of the point cloud.

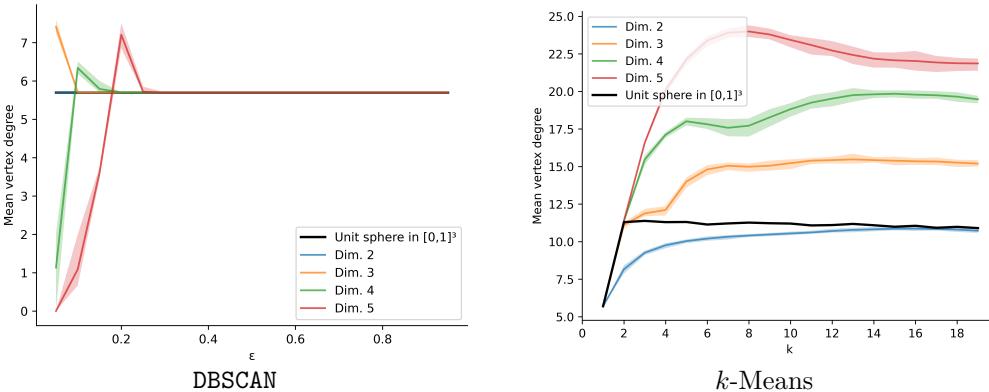
162 The X-Means algorithm is built as a variant on classic k -means clustering, which
 163 proceeds by initializing some k sites in the feature space and assigning data points to the
 164 closest site to form k clusters; then updating sites as centroids for the newly formed clusters;
 165 then repeating the process until the sites have sufficiently converged. But the choice of
 166 how many clusters to use is made in advance. Qualitatively, choosing k too high tends to
 167 create a large number of extraneous nodes and edges, while too low a value can fail to
 168 capture the complexity of the data. X-Means avoids these artifacts by tuning k over each
 169 element of the pullback cover. This algorithm starts as in k -means but then considers possible
 170 refinements, such as by splitting a cluster and assessing whether the Akaike information
 171 criterion (AIC)/Bayesian information criterion (BIC) improves in comparison to the parent
 172 cluster. X-Means attempts to optimize the number of clusters in this way. In areas with
 173 dense points and detailed structure, X-Means will therefore do a better job of describing the
 174 detail, where DBSCAN would return a larger cluster.

175 2.1 Dimension

176 Figure 2 follows the idea proposed by Dłotko for use with the BallMapper algorithm [4],
 177 showing how mean vertex degree relates to the dimension of the manifold from which points
 178 were sampled. Both plots use uniform random noise in $[0, 1]^d$, as well as points sampled
 179 uniformly from a round sphere in $[0, 1]^3$, as test data. The datasets are built from 10,000
 180 points distributed uniformly at random from $[0, 1]^d$ for $d = 2, 3, 4, 5$. We run DBSCAN on these
 181 datasets 50 times at various levels of ε . We find that for each dimension, the vertex degrees
 182 collapse to a constant level when ε gets large enough; for lower values of ε , experimentation
 183 showed high volatility and no clear signal.

184 We then compare to runs with k -means for values of k between 1 and 20 and find, as we
 185 would expect, that higher-dimensional data produces higher-degree vertices—each cluster
 186 has more neighbors. In the plots, the baseline curves represent the mean of 50 trials and the
 187 shaded regions reflect the range for those trials.

188 We propose to use the k -means plot to infer that X-Means will perform well on dimension
 189 detection, because X-Means corresponds to k -means with “good” local choices for k —i.e.,
 190 high enough k where needed.

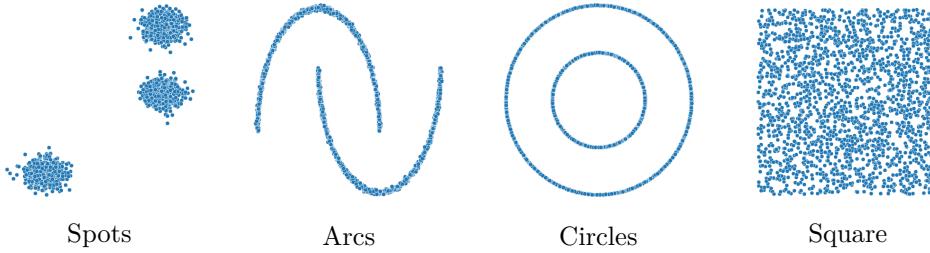


188 ■ **Figure 2** In the example plot generated with this methodology, we estimate that the experimental
189 data has dimension strictly less than 3. This is consistent with our expectation for the intrinsic
190 dimensionality of data on the surface of a 2-dimensional sphere.

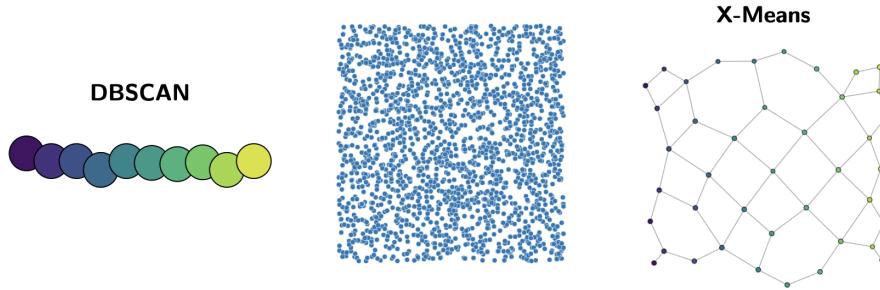
194 2.2 Distinguishing shapes

195 In this section, we pull ideas from Mémoli [7] and Singh et al. [8] to deepen the comparison
196 of DBSCAN and X-Means. We consider how each algorithm performs at distinguishing noised
197 instances of toy datasets, using dissimilarity matrices as a visualization device.

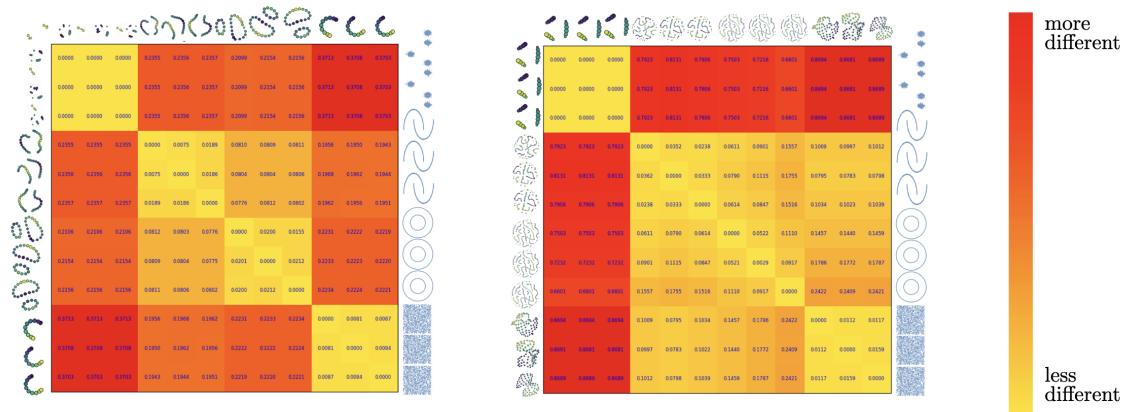
198 Here, each individual Mapper graph is metrized via the path metric, where the length of
199 an edge is taken to be the difference between the average value of the filter function at the
200 endpoint nodes.



201 ■ **Figure 3** We will make three noised images from each of four manifolds: three spots (small disks);
202 a pair of arcs; a pair of circles; and a square section of a plane.



203 ■ **Figure 4** In particular, DBSCAN and X-Means handle the noisy planar square very differently,
204 with X-Means succeeding beautifully at rendering a lattice-like Mapper graph, while DBSCAN simply
205 returns the nerve of the interval cover.



206 ■ **Figure 5** Dissimilarity matrices for Mapper graphs produced by DBSCAN (left) and X-Means (right)
207 when four simple datasets are noised three times each. DBSCAN is much better at clearly distinguishing
208 whether shapes come from the same source data. However, X-Means still passes the test that each
209 noisy set is classified with its own cohort.

210 2.3 Feature importance

211 Our full dataset has 77 categorical variables (listed in Supplemental Table 1), which we
212 classify into six buckets.

- 213 1. **Personal.** Gender, veteran status, marriage status, and insurance.
- 214 2. **Education.** Highest level of education achieved.
- 215 3. **Household.** Type of housing units, occupied housing units, and household characteristics,
216 including language spoken at home.
- 217 4. **Income.** Brackets come in intervals of 10K from 0 to 200K+.
- 218 5. **Work.** Modes of commute, commuting time, occupation type (service, office, natural
219 resources, transportation, and law enforcement), and rate of employment.
- 220 6. **Race/Ethnicity.** Voting age population (VAP) share that is White, Black, Hispanic,
221 and Asian.

XX:8 Mapper graphs for voting analysis



222 **Figure 6** Pairwise Wasserstein distances between DBSCAN Mapper graphs based on the full dataset
223 and the six alternatives made by holding back one bucket of variables at a time. This view shows
224 that holding back race/ethnicity variables makes a bigger change to the Mapper graph in the 2015
225 election than in 2019.

226 To measure the explanatory power of categorical variables in voter behavior, we create an
227 initial Mapper graph with the full set of variables, and create six ancillary graphs in which
228 each one of the six buckets of variables, in turn, is held out.

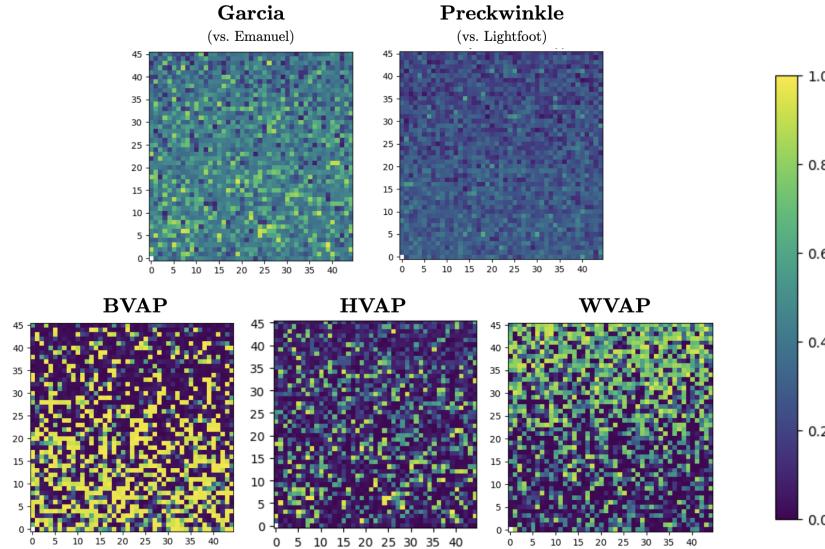
229 The general picture is one that suggests major interrelations and correlations among the
230 types of variables. This outcome is compatible, for instance, with the observation that the
231 2015 runoff was highly racially polarized.

232 **3 Case study: 2019 runoff election**

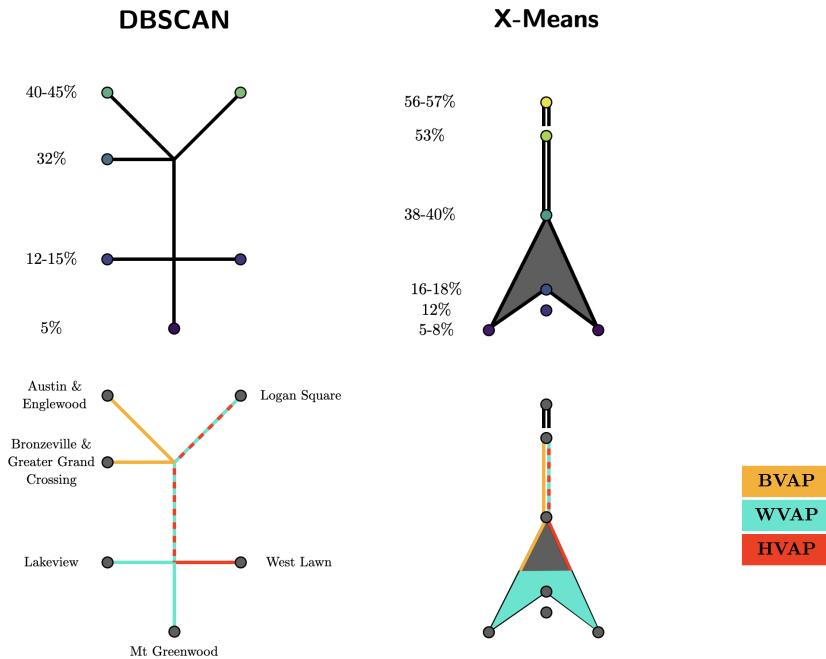
233 **3.1 Overview**

234 Chicago's 2019 mayoral election culminated in a runoff between the top two candidates:
235 relative political outsider Lori Lightfoot against city council stalwart Toni Preckwinkle, both
236 Black women. The first-round vote had been divided many ways, with 560,701 votes cast of
237 1,581,755 registered voters. Lightfoot won the first-round plurality with only 17.54% of the
238 vote, followed by Preckwinkle's 16.06%, and the remaining two-thirds of votes divided many
239 ways among the other candidates. The runoff had only slightly lower turnout of 526,886, and
240 the outcome was not close: Lightfoot won in a landslide, ending with 73.7% of the final vote
241 and a majority in every one of Chicago's 50 wards. Preckwinkle's 23.6% runoff performance
242 put her well behind the runner-up in the 2015 race, Jesús "Chuy" Garcia, who clocked about
243 33.6% against Rahm Emanuel. In Figure 7 we can see that Preckwinkle's support was also
244 remarkably constant over the precincts of Chicago, in sharp contrast to the racial variation
245 observable at the precinct level.

246 We set up two kinds of mapper runs to study the 2019 runoff: one mapper graph using
247 DBSCAN clustering and one with X-Means. Both versions use an adaptive cover after the nodes
248 are filtered by the share of support for Preckwinkle. In all colorations, yellow represents
249 high levels of the variable, while dark purple represents low levels. The data in this run
250 did not include race, ethnicity, or geographic variables because we are interested in seeing
251 whether clustering on the other variables will recover those as distinctive structures. That is,
252 it would not be informative to find that high-BVAP precincts are clustered together in a
253 Mapper graph when BVAP is one of the variables: in this case, the metric on feature space
254 will necessarily consider racially similar precincts to be closer than racially dissimilar ones,
255 so will be more likely to keep them together in a cluster.



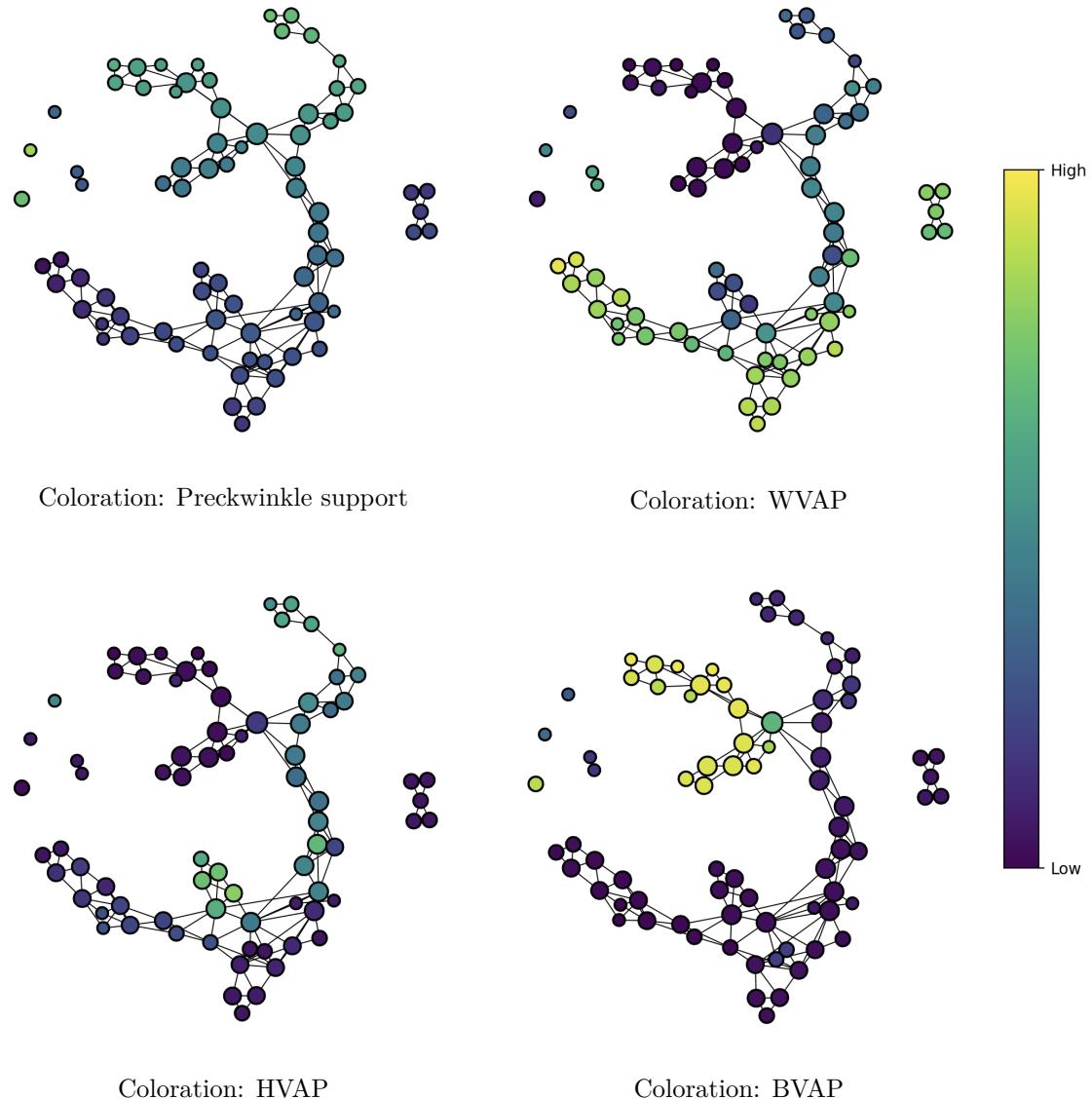
246 ■ **Figure 7** Nodes in the mapper graphs below fuse collections of these 2069 precincts, arrayed here
 247 in an arbitrary lexicographic order to give a sense of the level of variation.



258 ■ **Figure 8** High-level summary of the information contained in the topology of the Mapper graphs
 259 on the 2019 runoff election, filtered by Preckwinkle support (Figs 9-11). Race trends appear in
 260 the outputs even though the election was not highly racially polarized and race variables were not
 261 included in the point cloud embedding. The endpoints of the DBSCAN arms pick out geographically
 262 recognizable neighborhoods, even though geography variables were also excluded. The X-Means plot
 263 tells us that there is one main progression of clusters tending to higher Preckwinkle support, while
 264 Lightfoot support is fundamentally two-dimensional.

265 **3.2 Enumerating themes with dbSCAN**

266 Figure 9 shows a typical DBSCAN mapper graph when the runoff election is used with a large
 267 and varied set of variables. The same graph is colored in turn by Preckwinkle support,
 268 WVAP share, HVAP share, and BVAP share. From this graph, we can identify six key
 269 directions or themes among Chicago precincts: two trending to (relatively) high Preckwinkle
 270 support, one trending to Lightfoot, and three spurs at constant support levels.



271 ■ **Figure 9** DBSCAN Mapper graph on 2019 runoff data. In all cases, the filter function is the share
 272 of Preckwinkle support in the precinct; the coloring function varies as indicated.

273 The high-BVAP path in Figure 9 encompasses much of Chicago's South Side, as well
 274 as neighborhoods on the West Side around the Garfield Park region. Both these areas are
 275 historically majority-Black and the extreme concentration of Black Chicagoans in these
 276 regions has gone far to earn Chicago's reputation for high segregation.

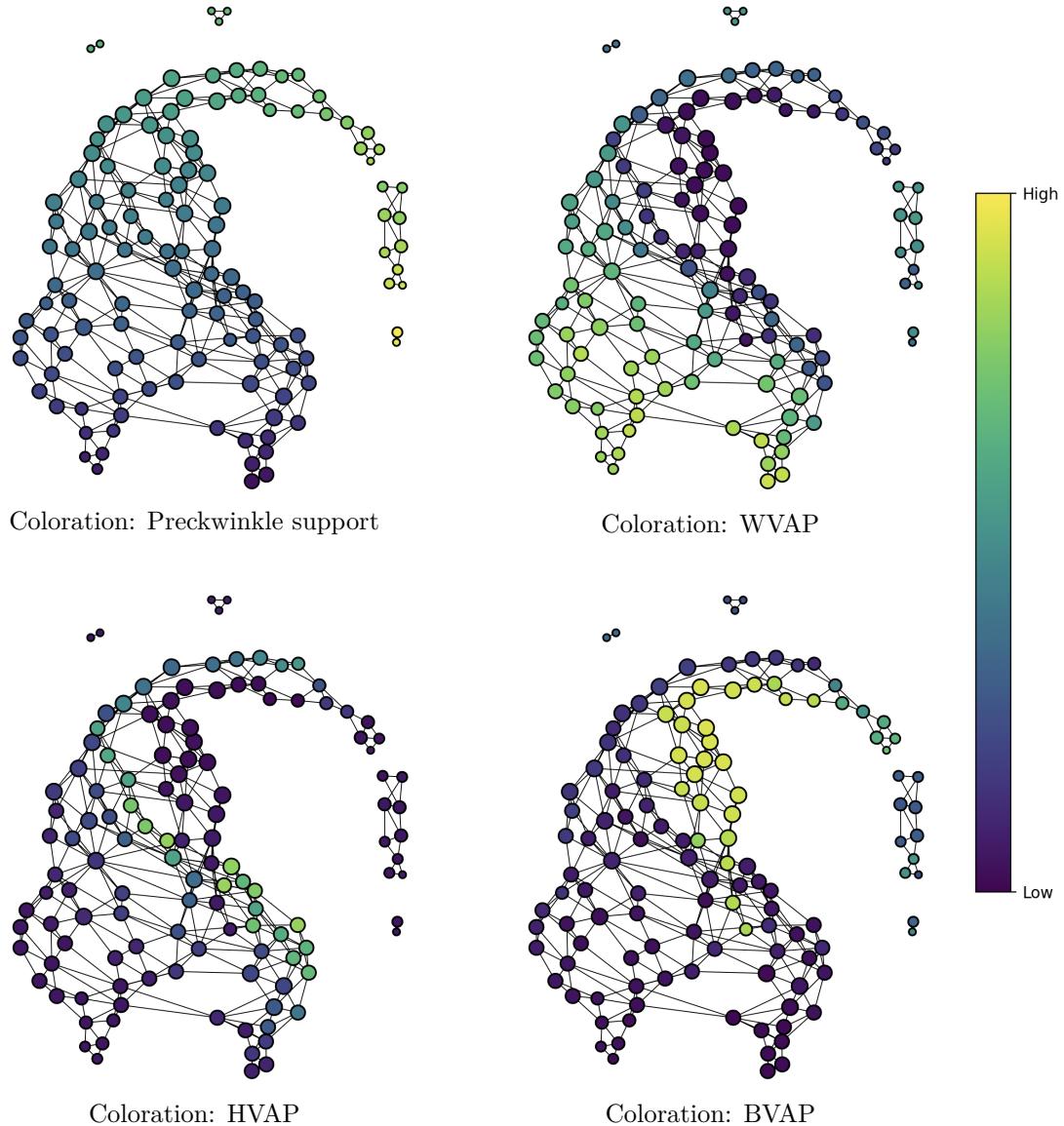


277 **Figure 10** Geolocating the precincts that constitute the extreme nodes in the six major directions
 278 picked out by the DBSCAN Mapper graph. Top row: the single most extreme nodes, representing
 279 5-16 precincts each. Bottom row: several nodes collected from along each branch, until roughly 100
 280 precincts are included.

281 Besides the six dominant directions, it can also be interesting to look at nodes that are
 282 high-degree and centrally located. Coloring by BVAP in Figure 9 highlights only a single
 283 node as having an intermediate level of BVAP—it is a large node constituting 186 precincts.
 284 Its degree is nine, and it serves as a hub connecting the high-BVAP and high-HVAP spines.
 285 This node represents areas throughout the South and East Sides of Chicago, not concentrated
 286 in any particular neighborhood but scattered throughout. While the high degree of this
 287 node indicates that many of these precincts also belong to surrounding nodes, these 186
 288 precincts have enough common webbing in their social, economic, and demographic features
 289 to be clustered together by DBSCAN. This node does not have a one-to-one counterpart in the
 290 X-Means version of this graph, but splits into several nodes along the area next to both the
 291 HVAP and BVAP regions. For community organizers, this might merit study as an emergent
 292 area with common issues and needs.

293 **3.3 Learning dimensionality with xmeans**

294 Above, we argued that X-Means clustering is especially well suited to detecting dimensionality
 295 of data. Accordingly, most notable feature of the X-Means graphs is the difference in form
 296 between the tapered end at the high-Preckwinkle side to the lattice-like triangle of high
 297 Lightfoot support. As we see from the WVAP coloration panel of Figure 11, the whole flaring
 298 end is characterized by being composed of mainly high-WVAP precincts.



299 ■ **Figure 11** X-Means Mapper graph on 2019 runoff data. In all cases, the filter function is the
 300 share of Preckwinkle support in the precinct; the coloring function varies as indicated.

301 The two extreme tips of the high-Lightfoot triangle represent distinct subsets of White-
 302 majority neighborhoods in the Chicago landscape. One side corresponds to Lakeview, Lincoln
 303 Park, the Near North Side, and the Loop, which are generally affluent. The other side picks
 304 up several lower-income neighborhoods that turn out to be characterized by sharply higher-

305 than-average police residency, including the three main police neighborhoods of Norwood
 306 Park, Garfield Ridge, and Mount Greenwood.

307 These two directions—one more wealthy and one less—are pulled together by one "hub"
 308 node that is well connected to much of the high-Lightfoot edge of the graph. This hub
 309 maps onto part of the area around Norridge, and together with its neighbors covers parts
 310 of the Near North Side, Garfield Ridge, and Southeast Chicago near the Southeast police
 311 neighborhoods. From manual inspection of the data, these precincts in the hub node seem to
 312 have been clustered together because of the income variable and its lower police population
 313 compared to the rest of the high-police clump. Generally, the popularity of Lightfoot in the
 314 police neighborhoods emerges strongly from the analysis here.

315 4 Conclusion

316 In geography, a *choropleth* is a geographical map where the units have been divided up
 317 and shaded according to the levels of some variable. Mapper graphs function as a kind of
 318 reverse-choropleth; rather than using a geographic map to reference voter preferences, our
 319 Mapper graphs are a representation of voter preferences that can be used to identify trends
 320 in geography, but also in race and socio-economic variables. A finding of geographically
 321 coherent areas in the Mapper graph conveys information about significant communities,
 322 bonded by some set of shared features, without having to guess in advance whether geography,
 323 economics, or race/ethnicity variables will be the most important and explanatory.

324 This paper offers a solid, if preliminary, theoretical and example-driven pitch for TDA-
 325 enabled analysis of how voting behavior draws out patterns in the human geography of one
 326 major American city. We hope this mainly serves as grounding and provocation, and that
 327 other authors will take up this research direction to develop this promising tool.

328 References

- 329 1 Gunnar Carlsson. Topology and data. *Bull. Amer. Math. Soc. (N.S.)*, 46(2):255–308, 2009.
 doi:10.1090/S0273-0979-09-01249-X.
- 330 2 Mathieu Carrière, Bertrand Michel, and Steve Oudot. Statistical analysis and parameter
 selection for mapper. 19(12):1–39. URL: <http://jmlr.org/papers/v19/17-291.html>.
- 331 3 Nithin Chalapathi, Youjia Zhou, and Bei Wang. Adaptive covers for mapper graphs using
 information criteria. In *2021 IEEE International Conference on Big Data (Big Data)*, pages
 3789–3800. doi:10.1109/BigData52589.2021.9671324.
- 332 4 Paweł Dłotko. Ball mapper: a shape summary for topological data analysis. URL: <http://arxiv.org/abs/1901.07410>, arXiv:1901.07410 [math], doi:10.48550/arXiv.1901.07410.
- 333 5 Rémi Flamary, Nicolas Courty, Alexandre Gramfort, Mokhtar Z. Alaya, Aurélie Boisbunon,
 Stanislas Chambon, Laetitia Chapel, Adrien Corenflos, Kilian Fatras, Nemo Fournier, Léo
 Gautheron, Nathalie T.H. Gayraud, Hicham Janati, Alain Rakotomamonjy, Ievgen Redko,
 Antoine Rolet, Antony Schutz, Vivien Seguy, Danica J. Sutherland, Romain Tavenard,
 Alexander Tong, and Titouan Vayer. Pot: Python optimal transport. 22(1):3571–3578.
 URL: <http://jmlr.org/papers/v22/20-451.html>.
- 334 6 Oliver Kramer. Scikit-learn. In Oliver Kramer, editor, *Machine Learning for Evolution
 Strategies*, Studies in Big Data, pages 45–53. Springer International Publishing. doi:10.1007/
 978-3-319-33383-0_5.
- 335 7 Facundo Mémoli. Gromov–wasserstein distances and the metric approach to object
 matching. 11(4):417–487. URL: <http://link.springer.com/10.1007/s10208-011-9093-5>.
 doi:10.1007/s10208-011-9093-5.
- 336 8 Gurjeet Singh, Facundo Memoli, and Gunnar Carlsson. *Topological Methods for the Analysis
 of High Dimensional Data Sets and 3D Object Recognition*. The Eurographics Association.

XX:14 Mapper graphs for voting analysis

352 Accepted: 2014-01-29T16:52:11Z ISSN: 1811-7813. URL: [https://diglib.eg.org:443/xmlui/
353 handle/10.2312/SPBG.SPBG07.091-100](https://diglib.eg.org:443/xmlui/handle/10.2312/SPBG.SPBG07.091-100), doi:10.2312/SPBG/SPBG07/091-100.
354 9 Hendrik Jacob van Veen, Nathaniel Saul, David Eargle, and Sam W. Mangham. Kepler
355 mapper: A flexible python implementation of the mapper algorithm. 4(42):1315. URL:
356 <https://joss.theoj.org/papers/10.21105/joss.01315>, doi:10.21105/joss.01315.

A Historical information on Chicago elections

358 In the 1980s, Chicago earned an ugly reputation for racially rigid voting when White voters
359 with lifetime Democratic voting history crossed over in large numbers to avoid voting for
360 Harold Washington, the first Black man to earn the Democratic nomination for mayor. That
361 reputation has continued into the present day, and ties in to the stark racial segregation
362 prevalent in the city.

363 Today, Chicago has become a deeply multiracial. As of the 2020 census, Chicago had a
364 total population of 2,746,388, and population trends had brought White, Black, and Latino
365 residents into near parity. Nearly a third of the population, 33.1%, identified as non-Hispanic
366 White alone on the Census; 29.2% as non-Hispanic Black alone; and 28.7% as Hispanic or
367 Latino. Additionally, 6.8% identified as Asian, and 7.4% as belonging to two or more racial
368 groups.

369 In the 2015 mayoral election, the first round was held between eight most significant
370 candidates: incumbent Rahm Emanuel, Jesús G. "Chuy" Garcia, Robert Fioretti, William
371 "Dock" Walls, Willie Wilson, William H. Calloway, Christopher Ware, and Mary Vann.
372 In the first round, no candidate received a 50% majority: Emanuel received 46% of the
373 vote and Garcia received 34%, with the others trailing Garcia by 20 or more percentage
374 points. Therefore, a runoff was held between Emanuel and Garcia. Despite both candidates'
375 membership in the Democratic party, Emanuel and Garcia's politics displayed more differences
376 than similarities. A staunch centrist, Emanuel began his career representing Illinois in the
377 House of Representatives from 2003 to 2009. He then served as White House Chief of Staff
378 in the Obama Administration from 2009 to 2010. Similarly, Garcia began his career in
379 local politics, first as a member of Chicago's city council in 1986, later becoming the first
380 Mexican-American member of the Illinois State Senate in 1992. Garcia continued pursuing a
381 career in local Chicago politics in 2010 as county commissioner on the Cook County Board.

382 The Garcia campaign launched initiatives to increase turnout and support among Hispanic
383 voters in the runoff, as well as endorsements by Black community leaders. Garcia also earned
384 endorsements from Congressman Danny Davis, Jesse Jackson's Rainbow/PUSH Coalition,
385 Willie Wilson, and labor unions. Ultimately, Emanuel won the election with 56% of the
386 runoff vote.

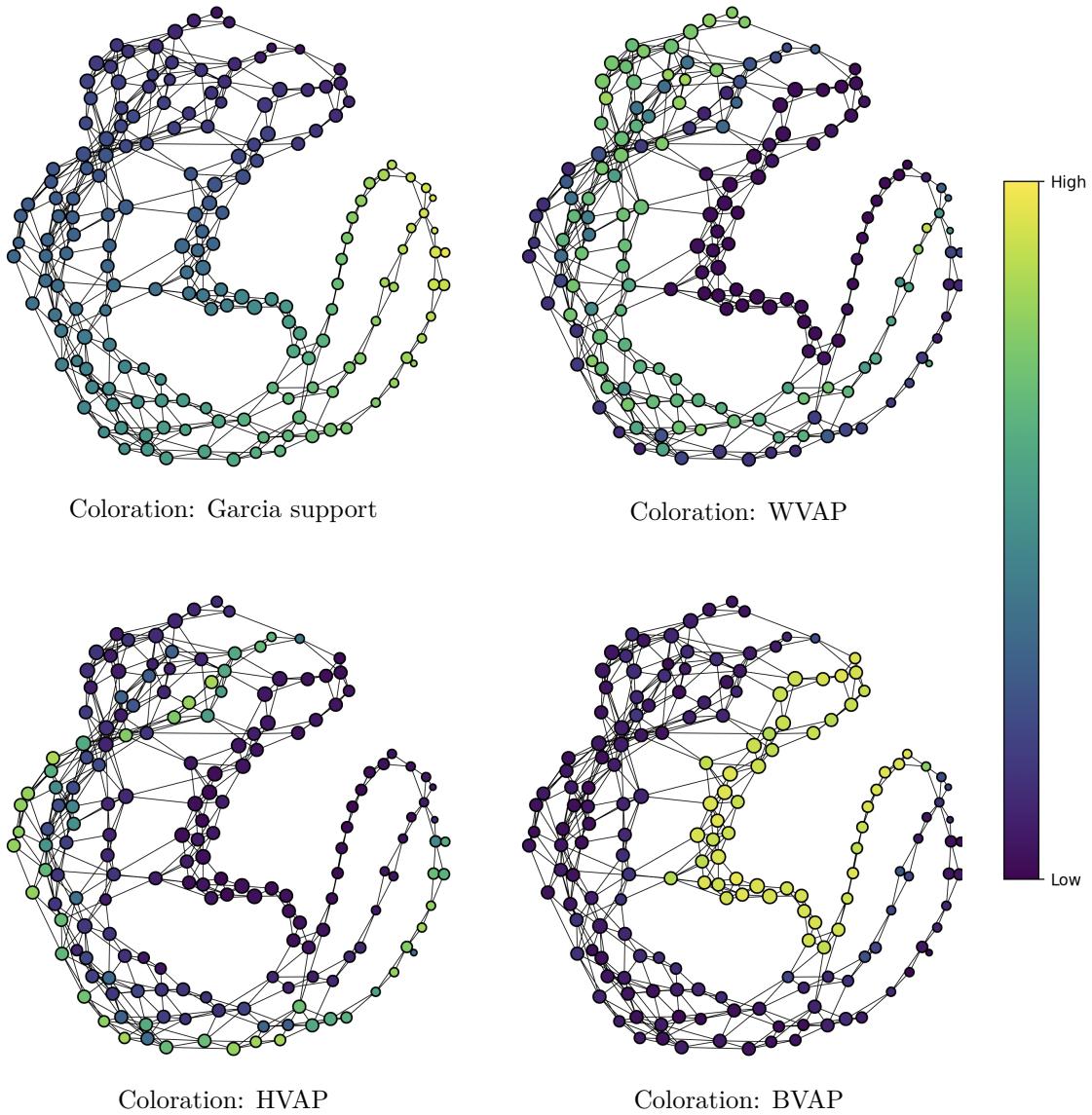
387 The 2019 mayoral election saw 14 candidates running for the seat, with front-runners Lori
388 Lightfoot and Toni Preckwinkle. Preckwinkle was a firmly established Chicago politician,
389 having served on the Chicago City Council from 1991 to 2010, where she was seen as an
390 ally to the club of politicians that were holdovers from the Machine Era of Chicago politics.
391 Preckwinkle then transitioned to the Cook County Board of Commissioners, where she has
392 served as President ever since. In contrast, Lightfoot was considered a political outsider, as
393 her candidacy for mayor was the first time she had made a bid for public office. She was the
394 first openly lesbian candidate for the mayor seat, and had previously served as appointee
395 of the Emanuel administration to the Chicago Police Accountability Task force and the
396 Chicago Police Board. Her years of work with members of the police force would later lead
397 progressive groups in Chicago to complain vocally that she was too close to the police, and
398 ill-suited to hold their feet to the fire.

B 2015 Mayoral contest

400 2015's mayoral contest was a contentious race that was publicly viewed through a racialized
401 lens. Figure 12 shows a run of Mapper graphs on the first round using the X-Means clusterer
402 with adaptive cover, filtered by Garcia support.

403 **B.1 First-round**

404 A common perception of the 2015 mayoral election is that Hispanic voters voted in a solid
 405 bloc for Chuy Garcia, but one interesting observation in Figure 12 is that the three major
 406 racial groups are represented with pathlike structures that reach from high to low levels of
 407 Garcia support.

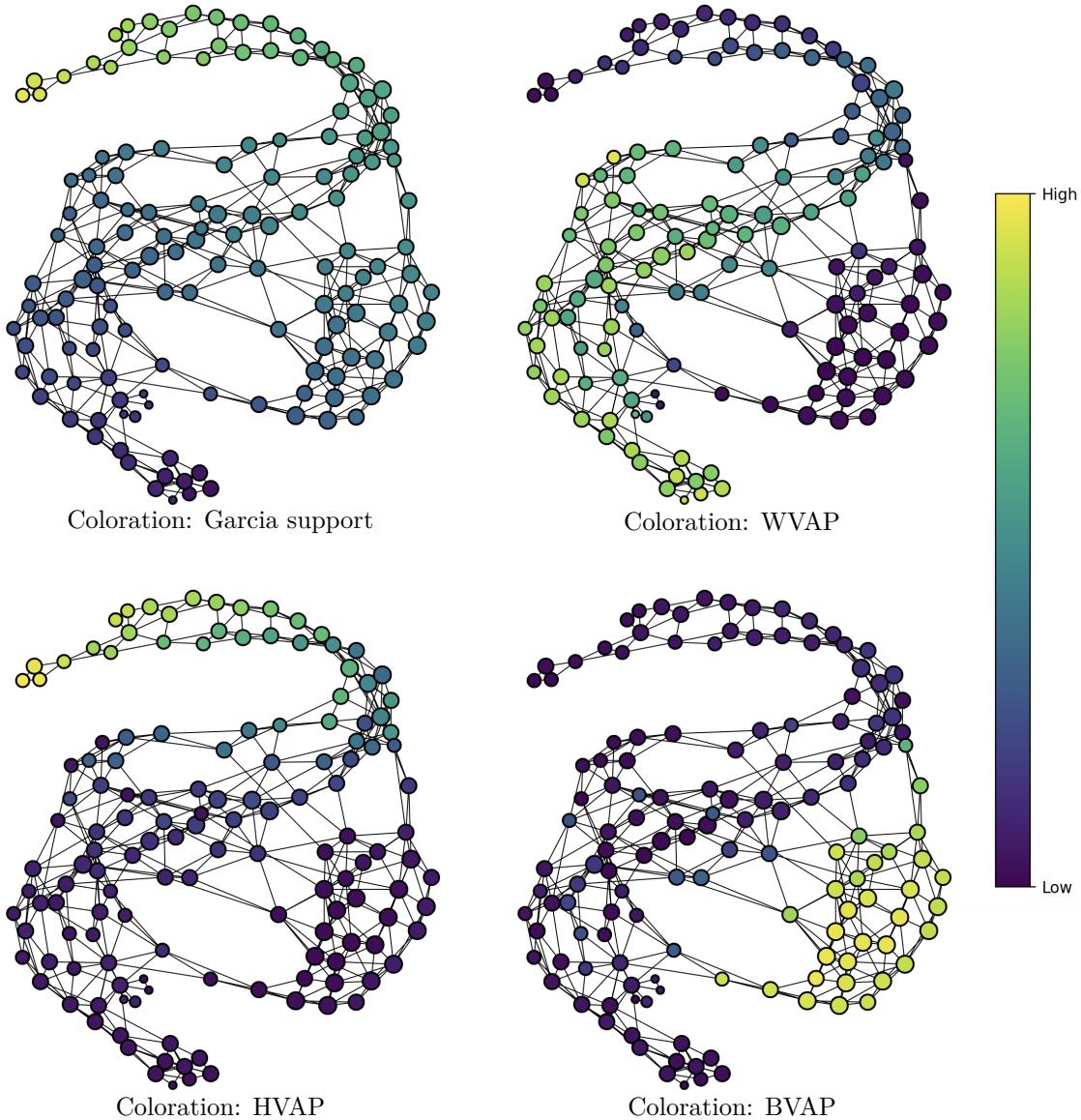


408 ■ **Figure 12** DBSCAN Mapper graph on 2015 first-round data. In all cases, the filter function is the
 409 share of Garcia support in the precinct; the coloring function varies as indicated.

410 These structures indicate that there were Hispanic-majority precincts falling at all levels
 411 of support for Garcia, and highlights more variety in Latino voting behavior than is suggested
 412 by the regression plots.

413 **B.2 Runoff**

414 The election went to a runoff. In the resulting graphs, support for all candidates sums to one.
 415 This graph uses the same variables and procedures as the first-round graph, and was made
 416 with X-Means.



417 **Figure 13** DBSCAN Mapper graph on 2015 runoff data. In all cases, the filter function is the share
 418 of Garcia support in the precinct; the coloring function varies as indicated.

419 The three race and ethnicity colorations of Figure 13 reveal three separate segments of
 420 the graph with varying levels of support for Garcia. This time, as opposed to our primary
 421 study of the 2019 runoff, the signs of racial polarization are unmistakable. One candidate's
 422 pole of support is heavily White while the other's is heavily Hispanic/Latino. And heavily
 423 Black precincts are very clearly off to the side, ranging over middling levels of support.

C Data dictionary

Variable	Definition
425 426 full_text	Ward and precinct IDs
427 precinct	Precinct ID
428 ward	Ward ID
429 ward_prec	Alternate code for Ward and precinct IDs
430 shape_area	Precinct area in square feet
431 shape_len	Precinct perimeter in feet
432 TOTPOP	Total population from 2010 census
433 NH_WHITE	Non-hispanic White population from 2010 census
434 NH_BLACK	Non-hispanic Black population from 2010 census
435 NH_AMIN	Non-hispanic American Indian and Alaska Native population from 2010 census
436 NH_ASIAN	Non-hispanic Asian population from 2010 census
437 NH_NHPI	Non-hispanic Native Hawaiian and Pacific Islander population from 2010 census
438 NH_OTHER	Non-hispanic population of other race from 2010 census
439 NH_2MORE	Non-hispanic population of two or more races from 2010 census
440 HISP	Hispanic population from 2010 census
441 H_WHITE	Hispanic White population from 2010 census
442 H_BLACK	Hispanic Black population from 2010 census
443 H_AMIN	Hispanic American Indian and Alaska Native population from 2010 census
444 H_ASIAN	Hispanic Asian population from 2010 census
445 H_NHPI	Hispanic Native Hawaiian and Pacific Islander population from 2010 census
446 H_OTHER	Hispanic population of other race from 2010 census
447 H_2MORE	Hispanic population of two or more races from 2010 census
448 VAP	Voting age population from 2010 census
449 HVAP	Hispanic voting age population from 2010 census
450 WVAP	White voting age population from 2010 census
451 BVAP	Black voting age population from 2010 census
452 AMINVAP	American Indian and Alaska Native voting age population from 2010 census
453 ASIANVAP	Asian voting age population from 2010 census
454 NHPIVAP	Native Hawaiian and Pacific Islander voting age population from 2010 census
455 OTHERVAP	Voting age population of other race from 2010 census
456 2MOREVAP	Voting age population of two or more races from 2010 census
457 TOTHH	Total number of households from 2013-2017 ACS
458 LESS_10K	Number of households w/income under \$10,000 from 2013-2017 ACS
459 10K_15K	Num households w/income between \$10,000 and \$14,999 from 2013-2017 ACS
460 15K_20K	Num households w/income between \$15,000 and \$19,999 from 2013-2017 ACS
461 20K_25K	Num households w/income between \$20,000 and \$24,999 from 2013-2017 ACS
462 25K_30K	Num households w/income between \$25,000 and \$29,999 from 2013-2017 ACS
463 30K_35K	Num households w/income between \$30,000 and \$34,999 from 2013-2017 ACS
464 35K_40K	Num households w/income between \$35,000 and \$39,999 from 2013-2017 ACS
465 40K_45K	Num households w/income between \$40,000 and \$44,999 from 2013-2017 ACS
466 45K_50K	Num households w/income between \$45,000 and \$49,999 from 2013-2017 ACS
467 50K_60K	Num households w/income between \$50,000 and \$59,999 from 2013-2017 ACS
468 60K_75K	Num households w/income between \$60,000 and \$74,999 from 2013-2017 ACS
469 75K_100K	Num households w/income between \$75,000 and \$99,999 from 2013-2017 ACS
470 100K_125K	Num households w/income between \$100,000 and \$124,999 from 2013-2017 ACS
471 125K_150K	Num households w/income between \$125,000 and \$149,999 from 2013-2017 ACS
472 150K_200K	Num households w/income between \$150,000 and \$199,999 from 2013-2017 ACS
473 200K_MORE	Number of households w/income over \$200,000 from 2013-2017 ACS
474 JOINID	Unique ID
475 TOTV_19	Number of votes cast in the 2019 mayoral general election
476 JOYCE_19	Number of votes for Jerry Joyce in 2019 mayoral general election
477 VALLAS_19	Number of votes for Paul Vallas in 2019 mayoral general election
478 WILSON_19	Number of votes for Willie Wilson in 2019 mayoral general election
479 PRECK_19	Number of votes for Toni Preckwinkle in 2019 mayoral general election
480 DALEY_19	Number of votes for Bill Daley in 2019 mayoral general election
481 MCCART_19	Number of votes for Gary McCarthy in 2019 mayoral general election
482 CHICO_19	Number of votes for Gery Chico in 2019 mayoral general election
483 MEND_19	Number of votes for Susana Mendoza in 2019 mayoral general election
484 ENYIA_19	Number of votes for Amara Enyia in 2019 mayoral general election
485 FORD_19	Number of votes for La Shawn Ford in 2019 mayoral general election
486 SALGRIF_19	Number of votes for Neal Sales-Griffin in 2019 mayoral general election
487 LHGTFT_19	Number of votes for Lori Lightfoot in 2019 mayoral general election
488 FIORETTI_1	Number of votes for Bob Fioretti in 2019 mayoral general election
489 KOZLAR_19	Number of votes for John Kozlar in 2019 mayoral general election
490 TOTV_RO15	Number of votes cast in the 2015 mayoral runoff election
491 RO_E15	Number of votes for Rahm Emanuel in 2015 mayoral runoff election

492	RO_G15	Number of votes for Jesus "Chuy" García in 2015 mayoral runoff election
493	TOTV_G15	Number of votes cast in the 2015 mayoral general election
494	EMAN_G15	Number of votes for Rahm Emanuel in 2015 mayoral general election
495	WILS_G15	Number of votes for Willie Wilson in 2015 mayoral general election
496	FIORET_G15	Number of votes for Robert Fioretti in 2015 mayoral general election
497	GARCIA_G15	Number of votes for Jesus "Chuy" García in 2015 mayoral general election
498	WALLS_G15	Number of votes for William Walls in 2015 mayoral general election
499	TOTPOP19	Total population from 2015-2019 ACS
500	NH_WHITE19	Non-hispanic White population from 2015-2019 ACS
501	NH_BLACK19	Non-hispanic Black population from 2015-2019 ACS
502	NH_AMTN19	Non-hispanic American Indian and Alaska Native pop from 2015-2019 ACS
503	NH_ASIAN19	Non-hispanic Asian population from 2015-2019 ACS
504	NH_NHPI19	Non-hispanic Native Hawaiian and Pacific Islander pop from 2015-2019 ACS
505	NH_OTHER19	Non-hispanic population of other race from 2015-2019 ACS
506	NH_2MORE19	Non-hispanic population of two or more races from 2015-2019 ACS
507	HISP19	Hispanic population from 2015-2019 ACS
508	H_WHITE19	Hispanic White population from 2015-2019 ACS
509	H_BLACK19	Hispanic Black population from 2015-2019 ACS
510	H_AMTN19	Hispanic American Indian and Alaska Native population from 2015-2019 ACS
511	H_ASIAN19	Hispanic Asian population from 2015-2019 ACS
512	H_NHPI19	Hispanic Native Hawaiian and Pacific Islander population from 2015-2019 ACS
513	H_OTHER19	Hispanic population of other race from 2015-2019 ACS
514	H_2MORE19	Hispanic population of two or more races from 2015-2019 ACS
515	MAY19LL	Number of votes for Lori Lightfoot in 2019 mayoral runoff election
516	MAY19TP	Number of votes for Toni Preckwinkle in 2019 mayoral runoff election
517	GARCIA_G15_pct	GARCIA_G15 as a percent of TOTV_G15
518	EMAN_G15_pct	EMAN_G15 as a percent of TOTV_G15
519	RO_GARCIA_G15_pct	RO_GARCIA_G15 as a percent of TOTV_RO15
520	RO_EMAN_G15_pct	RO_EMAN_G15 as a percent of TOTV_RO15
521	LL_19_pct	LHGTTFT_19 as a percent of TOTV_19
522	TP_19_pct	PRECK_19 as a percent of TOTV_19
523	RO_LL_19_pct	MAY19LL as a percent of MAY19LL + MAY19TP
524	RO_TP_19_pct	MAY19TP as a percent of MAY19LL + MAY19TP
525	normalized_first_round_garcia	GARCIA_G15 as a percent of GARCIA_G15 + EMAN_G15
526	normalized_first_round_eman	EMAN_G15 as a percent of GARCIA_G15 + EMAN_G15
527	normalized_area	Precinct area normalized by the area of the largest precinct
528	normalized_log_area	Precinct log-area normalized by the log-area of the largest precinct
529	centroid_x	The longitude of precinct centroid
530	centroid_y	The latitude of precinct centroid
531	normalized_centroid_x	Min-max normalized longitude of precinct centroid
532	normalized_centroid_y	Min-max normalized longitude of precinct centroid
533	tot_pop_acs	Total population
534	tot_vap_acs	Total voting age population
535	civ_vap_acs	Total civilian voting age population
536	cvap_acs	Total citizen voting age population
537	gt_19_uninst_civs	Total population of uninstitutionalized civilians older than 19
538	gt_25_pop	Total population older than 25
539	gt_16_working_pop	Total working population older than 16
540	poverty_ratio_ref_pop	Total working population older than 16 for whom poverty status is determined
541	gt_15_pop	Total population older than 15
542	tot_h_units_acs	Total housing units
543	tot_hh_acs	Total households
544	tot_occ_h_units_acs	Total occupied housing units
545	uninsured_pct	Pct of gt_19_uninst_civs with no form of health insurance
546	medicare_medicaid_pct	Pct of gt_19_uninst_civs enrolled in Medicare and/or Medicaid
547	tricare_va_pct	Pct of gt_19_uninst_civs enrolled in TRICARE or VA health insurance
548	female_pct	Pct of tot_vap_acs who are female
549	veteran_pct	Pct of civ_vap_acs who are veterans
550	married_pct	Pct of gt_15_pop who are married
551	divorced_pct	Pct of gt_15_pop who are divorced
552	lt_highschool_pct	Pct of gt_25_pop who have less than a high school education
553	highschool_pct	Pct of gt_25_pop who have a high school education
554	some_college_pct	Pct of gt_25_pop who have some college education
555	associates_pct	Pct of gt_25_pop who have an associates degree
556	bachelors_pct	Pct of gt_25_pop who have a bachelors degree
557	grad_and_professional_pct	Pct of gt_25_pop who have a grad or professional degree
558	drives_alone_work_pct	Pct of gt_16_working_pop who drive alone to work
559	public_transit_work_pct	Pct of gt_16_working_pop who take public transit to work
560	walk_to_work_pct	Pct of gt_16_working_pop who walk to work
561	bike_to_work_pct	Pct of gt_16_working_pop who bike to work
562	lt_10_min_pct	Pct of gt_16_working_pop whose commute is less than 10 minutes
563	10_to_30_min_pct	Pct of gt_16_working_pop whose commute is 10 to 30 minutes
564	30_to_60_min_pct	Pct of gt_16_working_pop whose commute is 30 to 60 minutes

XX:20 Mapper graphs for voting analysis

565	gt_60_min_pct	Pct of gt_16_working_pop whose commute is greater than 60 minutes
566	receiving_public_assistance_pct	Pct of tot_hh_acs received public asst or SNAP in past 12 months
567	eng_only_pct	Pct of tot_hh_acs where English is the only language spoken at home
568	esp_lim_pct	Pct of tot_hh_acs with primary Spanish, limited English
569	esp_not_lim_pct	Pct of tot_hh_acs with primary Spanish, not limited English
570	other_lang_lim_pct	Pct of tot_hh_acs w/another lang (not Spanish) primary, limited English
571	other_lang_not_lim_pct	Pct of tot_hh_acs w/another lang (not Spanish) primary, not limited English
572	non_computer_pct	Pct of tot_hh_acs without a computer
573	internet_pct	Pct of tot_hh_acs with a computer and internet
574	family_pct	Pct of tot_hh_acs consisting of two or more individuals who are related
575	living_alone_pct	Pct of tot_hh_acs consisting of one person living alone
576	non_family_multi_member_pct	Pct of tot_hh_acs consisting of multiple unrelated people
577	mbsa_occupation_pct	Pct of gt_16_working_pop in management, business, science or arts
578	service_occupation_pct	Pct of gt_16_working_pop in the service category
579	sales_and_office_occupation_pct	Pct of gt_16_working_pop in sales or office
580	nrcm_occupation_pct	Pct of gt_16_working_pop in natl resources, construction, maintenance
581	ptmm_occupation_pct	Pct of gt_16_working_pop in production, transportation, moving
582	cop_pct	Pct of gt_16_working_pop who are law enforcement workers
583	poverty_ratio_lt_p50_pct	Pct of poverty_ratio_ref_pop under 0.50 of poverty level
584	poverty_ratio_p50_p99_pct	Pct of poverty_ratio_ref_pop between 0.50 and 0.99 of poverty level
585	poverty_ratio_1p00_1p24_pct	Pct of poverty_ratio_ref_pop between 1.00 and 1.24 of poverty level
586	poverty_ratio_1p25_1p49_pct	Pct of poverty_ratio_ref_pop between 1.25 and 1.49 of poverty level
587	poverty_ratio_1p50_1p84_pct	Pct of poverty_ratio_ref_pop between 1.50 and 1.84 of poverty level
588	poverty_ratio_1p85_1p99_pct	Pct of poverty_ratio_ref_pop between 1.85 and 1.99 of poverty level
589	poverty_ratio_gt_2p00_pct	Pct of poverty_ratio_ref_pop greater than 2.00 of poverty level
590	occ_per_room_lt_p50_pct	Pct of tot_occ_h_units_acs w/occupancy per room less than 0.50
591	occ_per_room_p51_1p00_pct	Pct of tot_occ_h_units_acs w/occupancy per room btw 0.51 and 1.00
592	occ_per_room_1p01_1p50_pct	Pct of tot_occ_h_units_acs w/occupancy per room btw 1.01 and 1.50
593	occ_per_room_1p51_2p00_pct	Pct of tot_occ_h_units_acs w/occupancy per room btw 1.51 and 2.00
594	occ_per_room_gt_2p00_pct	Pct of tot_occ_h_units_acs w/occupancy per room greater than 2.00
595	built_after_2014_pct	Pct of tot_h_units_acs built after 2014
596	built_2010_2013_pct	Pct of tot_h_units_acs built between 2010 and 2013
597	built_00s_pct	Pct of tot_h_units_acs built between 2000 and 2009
598	built_90s_pct	Pct of tot_h_units_acs built between 1990 and 1999
599	built_80s_pct	Pct of tot_h_units_acs built between 1980 and 1989
600	built_70s_pct	Pct of tot_h_units_acs built between 1970 and 1979
601	built_60s_pct	Pct of tot_h_units_acs built between 1960 and 1969
602	built_50s_pct	Pct of tot_h_units_acs built between 1950 and 1959
603	built_40s_pct	Pct of tot_h_units_acs built between 1940 and 1949
604	built_pre_40s_pct	Pct of tot_h_units_acs built before 1940
605	tot_h_units10	Total housing units from 2010 census
606	occ_h_units10	Total occupied housing units from 2010 census
607		

608 **Table 1** The full list of variables joined to our dataset. Subsets of these were used in the paper,
 609 as described above.