

Revision notes - CS2100

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1 Introduction

Definition 1.1 (Computer).

A computer is a device capable of solving problems according to designed programs. It simply augments our power of storage and speed of calculation.

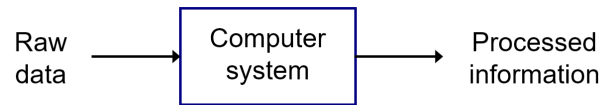


Figure 1: Computers as information processors.

Definition 1.2 (Hardware Stack).

The hardware stack with the most basic on the top goes like:

- Transistor
- Logic Gate
- Circuits
- Memory
- Processor

Definition 1.3 (Transistor).

A **transistor** is

- a *solid state switch*. The input switches on or off the output.
- It is also an *amplifier*. The output signal is much stronger than the input so that things can be connected up.

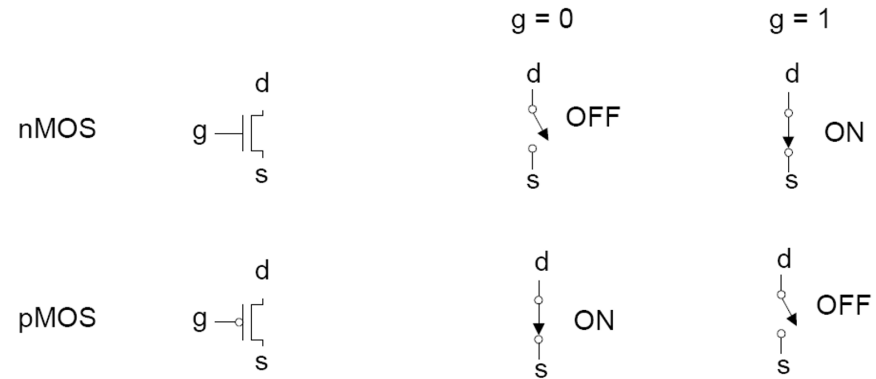
Definition 1.4 (Boolean logic gates). *t*

To compute, **Boolean logic gates** is built by transistors to compute Boolean logic functions.

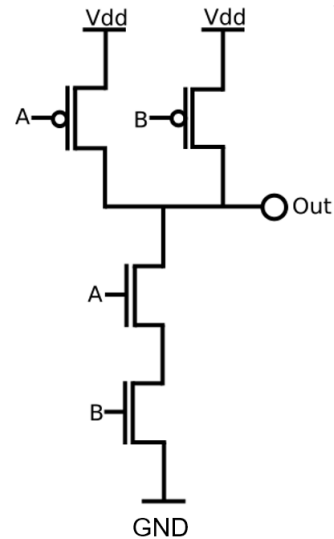
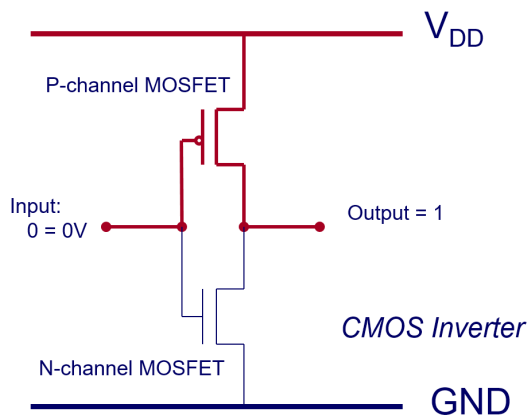
The basic Boolean logic gates include:

- NOT
- OR, AND
- NAND, NOR

Theorem 1.1 (Behaviour of nMOS and pMOS transistor).



Examples of logic gates constructed by nMOS and pMOS include:



2 Number Systems

2.1 Information Representation

Definition 2.1 (Bit).

Bit is the short form of *binary digit*.

- 0 and 1
- Represent **false** and **true** in logic
- Represent the *low* and *high* states in electronic devices

Other units include

- Byte: 8 bits
- Nibble: 4 bits
- Word: Multiple of byte

Obviously, N bits can represent up to 2^N values.

Conversely, to represent M values, $\log_2 M$ bits are required.

Definition 2.2 (Weighted-positional Number System).

A **weighted-positional number system** is one whose

- **Base** or **radix** is N .
- position is important, as the value of each symbol/digit is dependent on its **type** and **position** in the number.
- In general,

$$(a_n a_{n-1} \cdot a_1 a_0 . b_1 b_2 \cdot)_N = \sum_{k=0}^n a_k N^k + \sum_{k=1}^{\infty} b_k N^{-k}$$

For example, in the decimal number system,

$$(593.68)_{10} = 5 \times 10^2 + 9 \times 10^1 + 3 \times 10^0 + 6 \times 10^{-1} + 8 \times 10^{-2}$$

The method of conversion between bases can be found in **MA2213 Revision Note**.

In special cases, binary can be converted to octal and hexadecimal by partitioning the number in groups of 3 and 4 respectively.

2.2 Signed Binary Number

Any real number can be converted to a signed binary number. A **signed binary number** is defined by its

- **sign**
- **absolute value**

In general, a signed binary number can be represented as

$$\pm a_{n-1}a_{n-2} \cdots a_0.b_1b_2 \cdots$$

where $a_i, b_j = 0$ or 1 for $i = [0..n]$, $j = \mathbb{Z}^+$.

Definition 2.3 (String Representation of Signed Binary Number).

A **string representation** of signed binary numbers is a **bijection** from **signed binary numbers** to **strings of bits**.

Specifically for binary integers with sign s and absolute value v , define the bijective function f :

$$\begin{aligned} f : (\text{sign}, \text{absolute value}) &\rightarrow \text{binary String} \\ (s, v) &\mapsto \mathbf{str} \end{aligned}$$

where \mathbf{str} is the string representation f of that particular signed binary number defined by s and v .

Definition 2.4 (Negation of String Representation).

Negation is a unitary function $-$:

$$\begin{aligned} - : \text{binary String} &\rightarrow \text{binary String} \\ \mathbf{str} &\mapsto -\mathbf{str} \end{aligned}$$

where $-\mathbf{str} := f(-s, v)$

There are three common string representations of signed binary number, namely

- Sign-and-Magnitude f_{sm}
- 1s complement f_{1s}
- 2s complement f_{2s}

In the rest of this subsection, the length of string \mathbf{str} is fixed to n , and $\mathbf{str} = a_{n-1}a_{n-2} \cdots a_0$.

2.2.1 Sign-and-Magnitude Representation f_{sm}

Definition 2.5 (f_{sm}).

In **sign-and-magnitude** representation, sign s is represented by a **sign bit** in the leftmost position of string **str**, i.e, a_{n-1} .

- 0 for +
- 1 for −

The absolute value v will occupy the rest $n - 1$ bits of **str**: $a_{n-2}a_{n-3} \cdots a_0 := v$.

For a n bit sign-and-magnitude representation, the domain of f_{sm} is $[-2^{n-1} + 1, 2^{n-1} - 1] \cap \mathbb{Z}$.

Clearly, say, for 8-bit sign-and-magnitude representation,

- **Largest value**: $01111111_{\text{sm}} = +127_{10}$
- **Smallest value**: $11111111_{\text{sm}} = -127_{10}$
- **Zeros**: $00000000_{\text{sm}} = +0_{10}$ and $10000000_{\text{sm}} = -0_{10}$
- **Range**: -127_{10} to $+127_{10}$

Theorem 2.1 (Negation of str in sign-and-magnitude representation).

To **negate** a **str** in sign-and-magnitude interpretation, **invert the sign bit**¹. Suppose $\text{str} = a_{n-1}a_{n-2} \cdots a_0$, then

$$-\text{str} = \overline{a_{n-1}}a_{n-2} \cdots a_0$$

Theorem 2.2 (f_{sm}^{-1}).

$f_{\text{sm}}^{-1}(\text{str})$ is defined² as follows:

$$\begin{aligned} f_{\text{sm}}^{-1}(\text{str}) &= f^{-1}(a_{n-1}a_{n-2} \cdots a_0) \\ &:= (-1)^{a_{n-1}} \times \sum_{i=0}^{n-2} (a_i \times 2^i) \end{aligned}$$

2.2.2 1s Complement

Definition 2.6 (f_{1s} for non-negative binary numbers).

Suppose a **nonnegative** number is defined by $(+, v)$. In **1s complement** representation **str**,

- the positive sign defines $a_{n-1} := 0$;
- the absolute value v will occupy the rest $n - 1$ bits of **str**: $a_{n-2}a_{n-3} \cdots a_0 := v$.

¹Inversion of bit b is denoted by \bar{b}

² f^{-1} is well defined as f is a bijection

Definition 2.7 (Negation).

Negation of 1s complement representation is defined as:

$$\begin{aligned} - : \text{binary String} &\rightarrow \text{binary String} \\ \mathbf{str} = a_{n-1}a_{n-2} \cdots a_0 &\mapsto \overline{a_{n-1}a_{n-2} \cdots a_0} := -\mathbf{str} \end{aligned}$$

Essentially, to negate a **String** of 1s complement, **invert all the bits**.

Definition 2.8 (f_{1s} for non-positive binary numbers).

The 1s complement representation of a **non-positive binary number** defined by $(-, v)$ is defined by **negation** of $f_{1s}((+, v))$.

Essentially,

$$\mathbf{str} = 1\overline{a_{n-2}a_{n-3} \cdots a_0}$$

Together with the previous definition, for a n bit 1s complement representation, the domain of f_{1s} is $[-2^{n-1} + 1, 2^{n-1} - 1] \cap \mathbb{Z}$.

Clearly, say, for 8-bit 1s complement representation,

- **Largest value:** $01111111_{1s} = +127_{10}$
- **Smallest value:** $10000000_{1s} = -127_{10}$
- **Zeros:** $00000000_{1s} = +0_{10}$ and $11111111_{1s} = -0_{10}$
- **Range:** -127_{10} to $+127_{10}$.

Theorem 2.3 (Sign Bit of 1s Complement).

The leftmost position of string **str**, i.e, a_{n-1} , still represents the sign:

- 0 for +
- 1 for -

Theorem 2.4 (f_{1s}^{-1}).

$f_{1s}^{-1}(\mathbf{str})$ is defined as follows:

$$\begin{aligned} f_{1s}^{-1}(\mathbf{str}) &= f^{-1}(a_{n-1}a_{n-2} \cdots a_0) \\ &:= ((-2^{n-1} + 1) \times a_{n-1}) + \sum_{i=0}^{n-2} a_i \times 2^i \end{aligned}$$

2.2.3 2s Complement

Definition 2.9 (f_{2s} for non-negative binary numbers).

Suppose a **nonnegative** number is defined by $(+, v)$. In **2s complement** representation **str**,

- the positive sign defines $a_{n-1} := 0$;
- the absolute value v will occupy the rest $n - 1$ bits of **str**: $a_{n-2}a_{n-3} \cdots a_0 := v$.

Definition 2.10 (Negation).

Negation of 2s complement representation is defined as:

$$\begin{aligned} - : \text{binary String} &\rightarrow \text{binary String} \\ \mathbf{str} = a_{n-1}a_{n-2} \cdots a_0 &\mapsto (\text{String})((\text{binary number})\overline{a_{n-1}a_{n-2} \cdots a_0} + 1) := -\mathbf{str} \end{aligned}$$

Essentially, negation of a **String** of 2s complement equals to the sum of this **String** with all bits flipped and 1.

Definition 2.11 (f_{2s} for negative binary numbers).

The 2s complement representation of a **negative binary number** defined by $(-, v)$ is defined by **negation** of $f_{2s}((+, v))$.

Essentially,

$$\mathbf{str} = \overline{a_{n-1}a_{n-2} \cdots a_0} + 1$$

Together with the previous definition, for a n bit 2s complement representation, the domain of f_{2s} is $[-2^{n-1}, 2^{n-1} - 1] \cap \mathbb{Z}$.

Clearly, say, for 8-bit 2s complement representation,

- **Largest value:** $01111111_{2s} = +127_{10}$
- **Smallest value:** $10000000_{2s} = -128_{10}$
- **Zeros:** $00000000_{2s} = +0_{10}$
- **Range:** -128_{10} to $+127_{10}$.

Theorem 2.5 (Sign Bit of 2s Complement).

The leftmost position of string **str**, i.e, a_{n-1} , still represents the sign:

- 0 for +
- 1 for -

Theorem 2.6 (f_{2s}^{-1}).

$f_{2s}^{-1}(\mathbf{str})$ is defined as follows:

$$\begin{aligned} f_{2s}^{-1}(\mathbf{str}) &= f_{2s}^{-1}(a_{n-1}a_{n-2} \cdots a_0) \\ &:= (-2^{n-1} \times a_{n-1}) + \sum_{i=0}^{n-2} a_i \times 2^i \end{aligned}$$

2.3 Generalising complement

Definition 2.12 ($(r-1)$'s complement).

Let $a_{n-1}a_{n-2} \cdots a_0$ be string representation of a number in radix r . The $(r-1)$'s **complement** is the string $\overline{a_{n-1}a_{n-2} \cdots a_0}$ where $\overline{a_i} = r - 1 - a_i$.

The r 's **complement** is just the $(r-1)$'s complement with 1 added to the least significant bit.

Theorem 2.7 (Complement on Fractions).

We can extend the operations of complement on fractions.

Theorem 2.8 (2s Complement Addition/Subtraction).

Algorithm for **addition**, $A_{2s} + B_{2s}$:

- Perform binary addition on the two (binary number) String.
- Ignore the carry out of the most significant bit(MSB).
- Check for overflow. Overflow occurs if
 1. the 'carry in' and 'carry out' of the MSB are different, or
 2. result is of opposite sign of A_{2s} and B_{2s} .

Algorithm for **subtraction** $A_{2s} - B_{2s}$: $A_{2s} - B_{2s} = A_{2s} + (-B)_{2s}$.

Theorem 2.9 (1s Complement Addition/Subtraction).

Algorithm for **addition**, $A_{1s} + B_{1s}$:

- Perform binary addition on the two (binary number) String.
- If there is a carry out of the MSB, add 1 to the result.
- Check for overflow. Overflow occurs if
 1. result is of opposite sign of A_{1s} and B_{1s} .

Algorithm for **subtraction** $A_{1s} - B_{1s}$: $A_{1s} - B_{1s} = A_{1s} + (-B)_{1s}$.

2.4 Excess- k Representation

Definition 2.13 ($f_{\text{excess}-k}$).

Suppose a number N is defined by (s, v) . Clearly, this number N equals $\text{sgn}(s) \times v$. Its **excess- k** representation ($k > 0$) **str** is defined as

$$\mathbf{str} = f_{\text{excess}-k}((s, v)) := f_{\text{sm}}(N + k)$$

For a n bit excess- k complement representation, the domain is $[-k, 2^n - k - 1] \cap \mathbb{Z}$.

Note: $k_{\text{excess}-k} = k_2$ numerically.

Definition 2.14 (Negation).

Negation of excess- k representation of is calculated as:

$$-\mathbf{str} := \text{String}(2 \times k - (\text{binary number})\mathbf{str})$$

Domain of the above negation operation is $[-\min\{2^n - k - 1, k\}, \min\{2^n - k - 1, k\}]$ if $k < 2^n$ and \emptyset otherwise.

There is no **sign bit** for excess- k representation.

Definition 2.15 ($f_{\text{excess}-k}^{-1}$).

$f_{\text{excess}-k}^{-1}$ is defined as follows:

$$f_{\text{excess}-k}^{-1}(\mathbf{str}) = (\text{binary number})\mathbf{str} - k$$

2.5 Floating Point Numbers

Definition 2.16 (Fixed Point Numbers).

In fixed point representation, the binary point is assumed to be at fixed location. In general, the binary point may be assumed to be at any pre-fixed location.

Fixed point numbers have limited range. Floating point numbers allow us to represent very large or very small numbers.

Definition 2.17 (Floating Point Numbers).

Floating point numbers consists of 3 parts: **sign**, **mantissa** and **exponent**.

- The base (radix) is assumed to be 2.
- Sign bit: 0 for positive, 1 for negative.
- Mantissa is usually in **normalised form**.

Clearly, the trade-off of floating point numbers is

- More bits in mantissa \rightarrow better precision
- More bits in exponent \rightarrow larger range of values

Definition 2.18 (IEEE Standard 754).

IEEE Standard 754 has the following properties:

- Two types of formats
 - **Normalised** numbers
 - **Denormalised** numbers
- Special values
 - Negative zero
 - Infinities
 - Not-a-Number(NaN)
- Distribution of bits in mantissa and exponent: See table below

Parameter	Single	Double
No. of fraction bits	23	52
Maximum exponent	+127	+1023
Minimum exponent	-126	-1022
Exponent bias	+127	+1023
Exponent width in bits	8	11
Format width in bits	32	64

The IEEE Standard 754 admits the following format:



Definition 2.19 (Normalised Number).

A **normalised number**, v , represented in IEEE 754 is

$$v = (-1)^{\text{sign}} \times 1.\text{fraction} \times 2^{\text{exponent} - \text{bias}}$$

Note: for normalised numbers, the integer part of the 8 bit fraction part is 1.

- Sign bit is 1 bit, followed by exponent and lastly mantissa
- Exponent must NOT be 0. It must be in $[1, 2^e - 2]$, where e is the number of exponent bits.
All zero 0 or all one $2^e - 1$ exponents are reserved for special values and are not used for normalised numbers
- Suppose the exponent bias is b , then the exponent is in excess- b representation of the true power.
- A normalised fraction part is in the interval $[1, 2)$.

Definition 2.20 (Denormalised numbers).

Denormalised numbers are to represent really small (positive or negative) numbers as following:

$$v = (-1)^{\text{sign}} \times 0.\text{fraction} \times 2^{-\text{bias}+1}$$

To identify a number as **denormalised**, exponent must be 0 and mantissa must be non-zero. The system will interpret the exponent to be $1 - \text{bias}$ instead of $0_{\text{excess-bias}}$.

Definition 2.21 (Special values).

- 0: exponent = 0 fraction = 0
- $+\infty$: exponent = $2^e - 1$ fraction = 0
- $-\infty$: exponent = $2^e - 1$ fraction = 0
- NaN: exponent = $2^e - 1$ fraction $\neq 0$

Definition 2.22 (Comparison Rules).

Type	Sign	Exponent	Fraction
$+\infty$	0	$\underbrace{11 \dots 1}_e$	$\underbrace{0 \dots 0}_f$
$-\infty$	1	$\underbrace{11 \dots 1}_e$	$\underbrace{0 \dots 0}_f$
NaN		$\underbrace{11 \dots 1}_e$	non zero
0		$\underbrace{00 \dots 0}_e$	$\underbrace{00 \dots 0}_f$
Denormalised Numbers		$\underbrace{0 \dots 0}_e$	non zero
Normalised Numbers		$[\underbrace{00 \dots 0}_{e-1} 1, \underbrace{11 \dots 1}_{e-1} 0]$	$[\underbrace{00 \dots 0}_f, \underbrace{11 \dots 1}_f]$

- Negative and positive zero compare *equal*
- Every NaN compares *unequal* to every value, including itself
- All values except NaN are strictly smaller than $+\infty$ and strictly larger than $-\infty$.

Definition 2.23 (Overflow, Underflow). *In floating point representation, greater than the largest representable positive number or smaller than the smallest representable negative number results in **overflow**; greater than the largest representable negative number and smaller than the smallest representable positive number results in **underflow**.*

Definition 2.24 (Rounding).

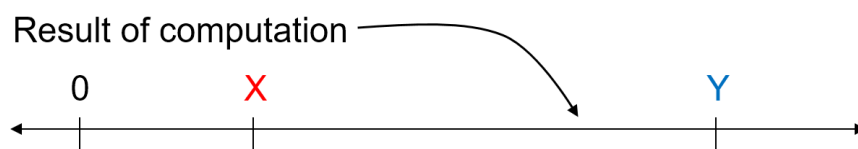
Rounding is defined as selecting a representable number as the result, from the two closest representable numbers.

Rounding destroys associativity of all operations on floating point numbers.

Definition 2.25 (Guard Bit, Round Bit, Sticky Bit).

To enable rounding, IEEE 754 specifies that all arithmetic must be performed with 3 extra bits at the end of the last fraction bit, from the order of the most significant bit to the least:

- Guard bit
- Round bit
- Sticky bit, which equals to 1 if any bits to the right of it is 1



Theorem 2.10 (Rounding Modes).

There are four rounding modes:

- Round to nearest (default)

```

if (GUARD == 1) {
    if ((ROUND == 1) or (STICKY == 1)) {
        return Y as the mantissa answer
    } else { // Must be (ROUND == 0) and (STICKY == 0)
        // Invoke IEEE tie breaker
        if (LSB, i.e., bit 23 is 1) {
            return Y as the mantissa answer
        } else {
            return X as the mantissa answer
        }
    }
} else {
    return X as the mantissa answer
}

```

- Round towards 0

Always report X as the mantissa answer.
(if less than 0, then this becomes X)

- Round towards $+\infty$

```

if (result > 0) {
    report Y as the mantissa answer.
} else {
    report X as the mantissa answer.
}

```

- Round towards $-\infty$

```

if (result < 0) {
    report Y as the mantissa answer.
} else {
    report X as the mantissa answer.
}

```

Definition 2.26 (Error).

Rounding yields a representable floating point number x' that is an approximation of the real number x .

Define absolute error = $|x' - x|$.

Define relative error = $\frac{|x' - x|}{x}$ (assuming $x \neq 0$)

Definition 2.27 (Machine Epsilon ε).

Informally, **machine epsilon** ε is defined as 1 *added to the LSB*.

Definition 2.28 (Unit in the Last Place(ulp)).

Given an IEEE floating point number x , say with an exponent E . The **unit in the last place** of x is defined as

$$\text{ulp}(x) = \varepsilon \times 2^E$$

Round to nearest results in an absolute error that is less than $\frac{1}{2}\text{ulp}(x)$.

Theorem 2.11 (Floating Point Addition).

Given two decimal numbers in floating point notation:

- $X = 0.a_1a_2 \cdots a_n \times 2^p$
- $Y = 0.b_1b_2 \cdots b_n \times 2^q$

To perform $X + Y$,

1. align the decimal point by shifts such that two exponents are the same.
2. If $p > q$, then we need to adjust Y such that $Y' = 0.\underbrace{00 \cdots 0}_{p-q}b_1b_2 \cdots b_n \times 2^p$.

This is called **denormalisation shift**.

3. Addition is performed on fraction part of X and Y .
4. Normalise the result
5. Round the result

3 Boolean Algebra

Definition 3.1 (Digital Circuit).

Digital circuit is circuit with two voltage levels, known as

- High, true, 1, asserted
- Low, false, 0, deasserted

Advantages of digital circuits over analog circuits include:

- More reliable (simpler circuits, less noise-prone)
- Specified accuracy (determinable)
- Abstraction can be applied using simple mathematical model – Boolean Algebra
- Ease design, analysis and simplification of digital circuit – Digital Logic Design

Definition 3.2 (Type of Logic Blocks).

There are two types of logic blocks, known as

1. **Combinatorial:** *no* memory, output depends *solely* on the input
 - Gates
 - Decoders, multiplexers
 - Adders, multipliers
2. **Sequential:** *with* memory, output depends on *both* input and *current states*
 - Counters, registers
 - Memories

3.1 Boolean Algebra

Boolean algebra involves **boolean values** and **connectives**.

Definition 3.3 (Boolean Values).

There are *two* **boolean values** in boolean algebra:

- True (1)
- False (0)

Definition 3.4 (Connectives).

There are *three* **connectives** in boolean algebra, which maps given input boolean value(s) to a single output boolean value.

Truth tables defines a connective by providing a listing of every possible combination of inputs and its corresponding outputs.

Reminder: Inputs must list in *ascending* **binary sequence**.

The three connectives are:

- Conjunction (AND): $A \cdot B$

A	B	$A \cdot B$
0	0	0
0	1	0
1	0	0
1	1	1

- Disjunction (OR): $A + B$

A	B	$A + B$
0	0	0
0	1	1
1	0	1
1	1	1

- Negation (NOT): A'

A	A'
0	1
1	0

Theorem 3.1 (Laws of Boolean Algebra).

- Identity laws

$$A + 0 = 0 + A = A$$

$$A \cdot 1 = 1 \cdot A = A$$

- Inverse/Complement laws

$$A + A' = 1$$

$$A \cdot A' = 0$$

- Commutative laws

$$A + B = B + A$$

$$A \cdot B = B \cdot A$$

- Associative laws

$$A + (B + C) = (A + B) + C$$

$$A \cdot (B \cdot C) = (A \cdot B) \cdot C$$

- Distributive laws

$$A \cdot (B + C) = A \cdot B + A \cdot C$$

$$A + (B \cdot C) = (A + B) \cdot (A + C)$$

Theorem 3.2 (Precedence of Connectives).

The precedence from highest to lowest is

- NOT
- AND
- OR

Parenthesis can be used to overwrite precedence.

Theorem 3.3 (Duality).

If the AND/OR operators and identity elements 0/1 in a Boolean equation are interchanged, it remains valid.

Theorem 3.4 (Basic Theorems).

1. Idempotency

$$X + X = X$$

$$X \cdot X = X$$

2. Zero and One Elements

$$X + 1 = 1$$

$$X \cdot 0 = 0$$

3. Involution

$$(X')' = X$$

4. Absorption

$$X + X \cdot Y = X$$

$$X \cdot (X + Y) = X$$

5. Absorption (variant)

$$X + X' \cdot Y = X + Y$$

$$X \cdot (X' + Y) = X \cdot Y$$

6. DeMorgan's

$$\begin{aligned}(X + Y)' &= X' \cdot Y' \\ (X \cdot Y)' &= X' + Y'\end{aligned}$$

Demorgan's Theorem can be generalised to more than two variables.

7. Consensus

$$\begin{aligned}X \cdot Y + X' \cdot Z + Y \cdot Z &= X \cdot Y + X'Z \\ (X + Y) \cdot (X' + Z) \cdot (Y + Z) &= (X + Y) \cdot (X' + Z)\end{aligned}$$

Definition 3.5 (Boolean Functions).

Boolean functions are functions which takes in **boolean variable** and outputs an expression of these boolean variable.

Definition 3.6 (Complement of a Function).

Given a Boolean function F , the **complement** of F , denoted as F' , is obtained by interchanging 1 with 0 in the function's output values.

3.2 Standard Forms

There are two standard forms:

- Sum-of-Products
- Product-of-Sums

Definition 3.7 (Literals).

A **literal** is a Boolean variable on its own or in its complemented form.

Definition 3.8 (Product Term).

A **product term** is a single literal or a logical product(AND) of several literals.

Definition 3.9 (Sum Term).

A **sum term** is a single literal or a logical sum(OR) of several literals.

Definition 3.10 (Sum-of-product(SOP) expression).

Sum-of-Products expression is a product term or a logical sum(OR) of several product terms.

Definition 3.11 (Product-of-Sums(POS) expression).

Product of Sum expression is a sum term or a logical product(AND) of several sum terms.

Theorem 3.5. Every Boolean expression can be expressed in SOP or POS.

Definition 3.12 (Minterm).

A **minterm** of n variables is a **product term** that contains n literals from *all* the variables.

Definition 3.13 (Maxterm).

A **maxterm** of n variables is a **sum term** that contains n literals from *all* the variables.

In general, with n variables, we have 2^n minterms and 2^n maxterms.

Definition 3.14 (Ordering of Minterms).

Suppose there are n ordered variable (x_1, x_2, \dots, x_n) . Minterms are numbered by a binary encoding of the **complementation pattern** of the ordered variables. The convention assigns the value 1 to the direct form x_i and 0 to its complemented form x'_i . The index of the minterm $x_1 \cdot x_2 \cdots x_n$ is then $(v_1 v_2 \cdots v_n)_2$ where v_i is the value of variable x_i .

Definition 3.15 (Indexing of Maxterms).

Suppose there are n ordered variable (x_1, x_2, \dots, x_n) . Maxterms are numbered by a binary encoding of the **complementation pattern** of the ordered variables. The convention assigns the value 0 to the direct form x_i and 1 to its complemented form x'_i . The index of the maxterm $x_1 + x_2 + \cdots + x_n$ is then $(v_1 v_2 \cdots v_n)_2$ where v_i is the value of variable x_i .

Theorem 3.6. Each minterm is the complement of the maxterm of the same index.

$$m'_i = M_i$$

Definition 3.16 (Canonical Forms).

Canonical form refers to a unique form of representation. It can be shown that

- Sum-of-minterms is the canonical sum-of-product
- Product-of-maxterms is the canonical product-of-sum

Theorem 3.7 (Defining Function from Sum-of-minterms).

A function F can be defined by the sum of minterms m_i for which $F(m_i) = 1$.

Theorem 3.8 (Defining Function from Product-of-Maxterms).

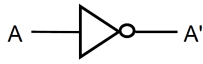
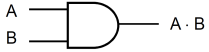
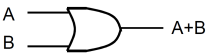
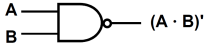
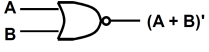
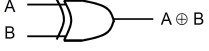
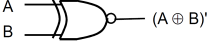
A function F can be defined by the product of maxterms M_i for which $F(M_i) = 0$.

Theorem 3.9 (Complementation of Function).

Complementation of functions can be easily done by complementation between sum-of-minterms and product-of-maxterms.

$$\begin{aligned} \left(\sum_{i \in I} m(i) \right)' &= \prod_{i \in I} M(i) \\ \left(\prod_{i \in I} M(i) \right)' &= \sum_{i \in I} m(i) \end{aligned}$$

4 Logic Gates and Circuits

Name	Symbol	Truth Table		
NOT Gate		A	A'	
		0	1	
		1	0	
AND Gate		A	B	$A \cdot B$
		0	0	0
		0	1	0
		1	0	0
		1	1	1
OR Gate		A	B	$A + B$
		0	0	0
		0	1	1
		1	0	1
		1	1	1
NAND Gate		A	B	$(A \cdot B)'$
		0	0	1
		0	1	1
		1	0	1
		1	1	0
NOR Gate		A	B	$(A + B)'$
		0	0	1
		0	1	0
		1	0	0
		1	1	0
XOR Gate		A	B	$A \oplus B$
		0	0	0
		0	1	1
		1	0	1
		1	1	0
XNOR Gate		A	B	$(A \oplus B)'$
		0	0	1
		0	1	0
		1	0	0
		1	1	1

4.1 Logic Circuit

Definition 4.1 (Fan-in).

Fan-in refers to the number of inputs of a gate.

Given a Boolean expression, we may implement it as a **logic circuit**.

4.2 Universal Gates

{AND, OR, NOT} gates are sufficient for building any Boolean function. Thus the set {AND, OR, NOT} is called a *complete* set of logic.

However, other gates are also used for

- Usefulness
- Economical
- Self-sufficient

Furthermore, {NAND} gate is a complete set of logic; {NOR} gate is also a complete set of logic by duality.

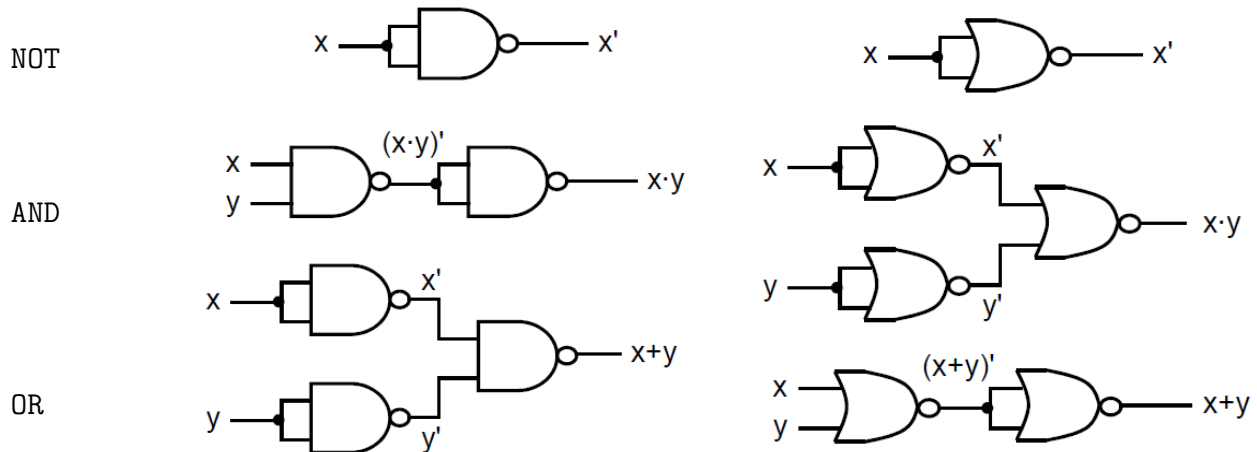


Figure 4: Implementation of OR, AND, OR using NAND and NOR respectively

4.2.1 SOP and NAND Circuits

An SOP expression can be easily implemented using

- 2-level AND-OR circuit
- 2-level NAND circuit

A 2-level AND-OR circuit can be converted to a 2-level NAND circuit by

1. Introduce 2 NOT gate after first level AND and before second level OR gates.
2. The first level AND have been converted to NAND gates; the second level negative-OR gate is *equivalent* to NAND gate.

4.2.2 POS and NOR Circuits

A POS expression can be easily implemented using

- 2-level OR-AND circuit
- 2-level NOR circuit

A 2-level OR-AND circuit can be converted to a 2-level NOR circuit by

1. Introduce 2 NOT gate after first level OR and before second level AND gates.
2. The first level OR have been converted to NOR gates; the second level negative-AND gate is *equivalent* to NOR gate.

5 Kaunaugh Map

Function simplification leads to simpler expressions which uses fewer logic gates and makes circuits cheaper, less power consuming and faster.

There are three techniques in function simplification: Boolean Algebra, Karnaugh Maps and Quine-McCluskey.

5.1 Boolean Algebra

Algebraic simplification aims to minimise

- Number of literals, and
- Number of terms

5.2 Half Adder

Definition 5.1 (Half Adder).

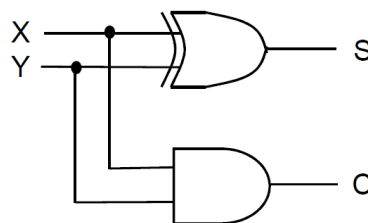
Half adder is a circuit that adds 2 single bits (X, Y) to produce a result of 2 bits (C, S).³ The truth table for half adder is

X	Y	C	S
0	0	0	0
0	1	0	1
1	0	0	1
1	1	1	0

In canonical form (sum-of-minterms):

- $C = X \cdot Y$
- $S = X \cdot Y' + X' \cdot Y$ ⁴

The half adder can be implemented as



³ C is known as the carry bit, where S is the sum bit.

⁴In fact, $S = X \oplus Y$.

5.3 Karnaugh Maps

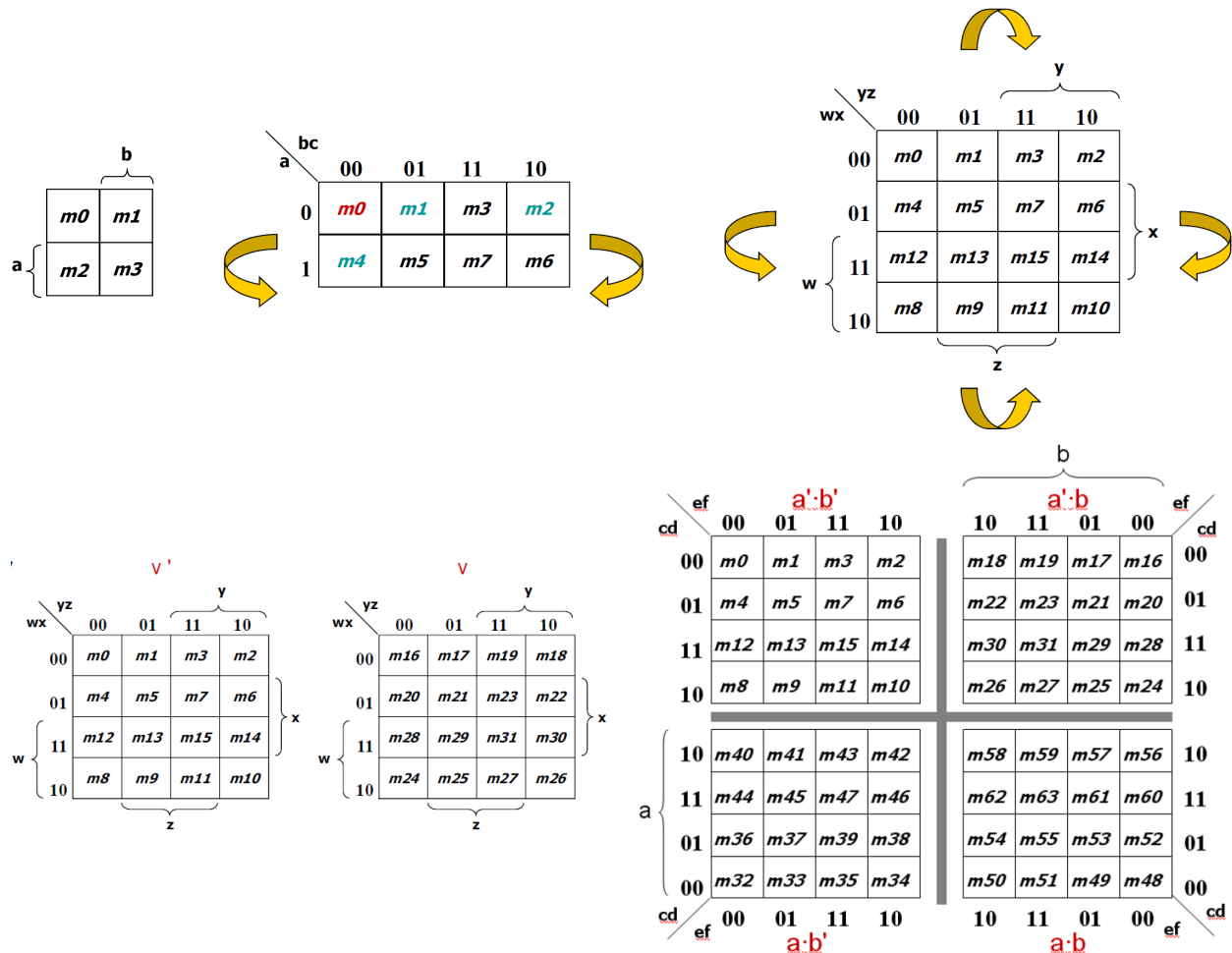
Karnaugh Maps is a systematic method to obtain simplified (minimal) sum-of-products(SOP) expressions. Its objective is to obtain *fewest* product terms and literals.

Definition 5.2 (Karnaugh Map).

Karnaugh Map is an abstract form of Venn diagram, organised as a matrix of squares, where

- Each square represents a minterm
- Two adjacent squares represent minterms that differ by *exactly one* literal

Layouts of Karnaugh Maps from 2 variables to 6 variables are as following: Based on the



unifying theorem

$$A + A' = 1$$

In a K-map, each cell containing 1 corresponds to a minterm of a given function F .

Each **valid grouping** of *adjacent cells* containing 1 then corresponds to a **simpler product term** of F .

Definition 5.3 (Valid Grouping).

- A valid grouping admits a rectangular shape.
- A valid grouping must have size in **powers of two**: $1, 2, 4, 8, \dots$
- Grouping 2^n adjacent cells eliminates n variables.

In simplification,

1. Group as many cells as possible, by considering **prime implicants**.
2. Select as few groups as possible to cover all the cells(minterms) of the function, by considering **essential prime implicants**.

If a function is not in sum-of-minterms form,

- Convert it into sum-of-products form
- Expand the SOP expression into sum-of-minterms expression.

Definition 5.4 (Implicant).

Implicant is a product term that could be used to cover minterms of the function.

Definition 5.5 (Prime Implicant).

Prime implicant is a product term obtained by combining the *maximum* possible number of minterms from adjacent squares in the map.

Definition 5.6 (Essential Prime Implicant).

Essential Prime Implicant is a prime implicant that includes at least one minterm that is not covered by any other prime implicant.

Theorem 5.1 (Algorithm for minimal SOP Expression).

- Circle all prime implicants on the K-map.
- Identify and select all essential prime implicants for the cover.
- Select a minimum subset of the remaining prime implicants to complete the cover.

Theorem 5.2 (Algorithm for simplified POS Expression).

- Group maxterms of F , equivalently minterms of F' , identified as 0 entry in K-map of F . This gives the SOP of F' .
- The simplified POS expression of F , use DeMorgan's law.

Definition 5.7 (Don't care conditions).

Outputs that can be either 1 or 0 are called **don't care conditions**, denoted by X .

The set of don't care minterms are denoted as $\sum d$.

Don't care conditions can be used to help simplify Boolean expression further in K-maps.

6 Combinatorial Circuits

In combinatorial circuit, each output depends entirely on the immediate(present) input.

6.1 Gate Level Design

Theorem 6.1 (Gate Level Design Procedure). 1. *State problems*

2. *Determine and label the inputs and outputs of circuit*

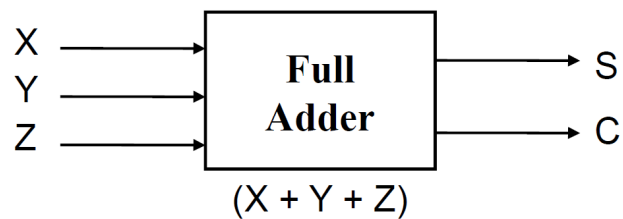
3. *Draw the truth table*

4. *Obtain simplified Boolean functions.*

5. *Draw logic diagram.*

6.1.1 Full Adder

Full adder adds three bits X, Y, Z , which includes the carry, and output a sum bit S and carry bit C . Truth table: Simplified formulae:

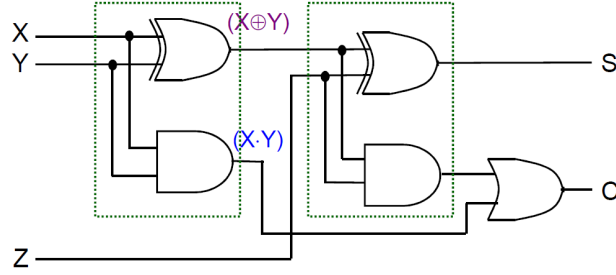


X	Y	Z	C	S
0	0	0	0	0
0	0	1	0	1
0	1	0	0	1
0	1	1	1	0
1	0	0	0	1
1	0	1	1	0
1	1	0	1	0
1	1	1	1	1

$$C = X \cdot Y + (X \oplus Y) \cdot Z$$

$$S = X \oplus (Y \oplus Z)$$

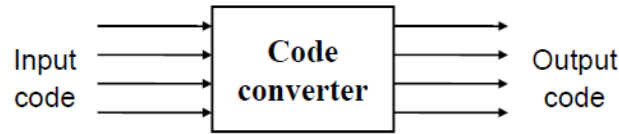
Full Adder can be made from half adders.



6.2 Code Converters

Definition 6.1 (Code Converters).

Code converter takes an input code and translates to its equivalent output code.



Definition 6.2 (Binary Code Decimal).

Binary code decimal is a representation system for coding a number in which each digit of a decimal number is represented individually by its binary equivalent.

Decimal digit	BCD
0	0000
1	0001
2	0010
3	0011
4	0100
5	0101
6	0110
7	0111
8	1000
9	1001

Definition 6.3 (f_{BCD}).

Let $(a_0a_1 \dots a_{n-1})_{10}$ be a decimal number. Its Binary Code Decimal is given by

$$f_{\text{BCD}}(a_0a_1 \dots a_{n-1}) = s_{0,1}s_{0,2}s_{0,3}s_{0,4} \dots s_{n-1,4}$$

where $s_{i,1}s_{i,2}s_{i,3}s_{i,4}$ is the BCD of decimal a_i defined from the truth table.

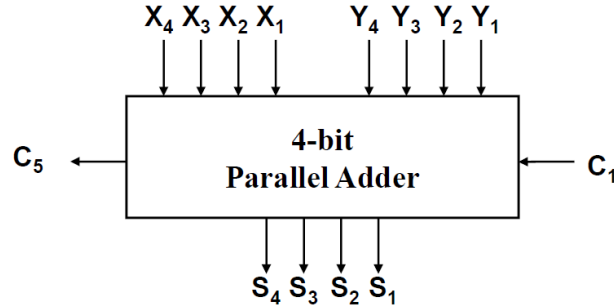
As a result, the length of binary code decimal is always in multiple of 4.

6.3 Block Level Design

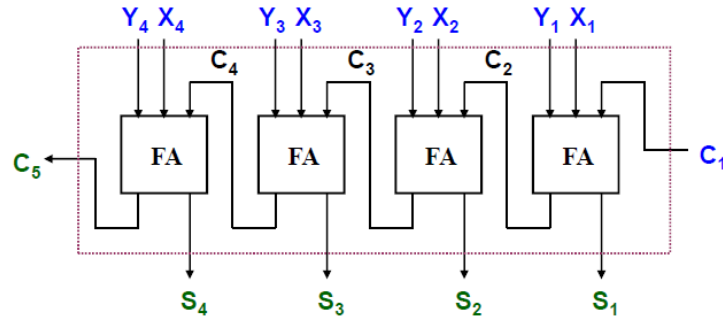
Block level design method relies on algorithms or formulae of the circuit, which are obtained by decomposing the main problem to sub-problems recursively.

6.3.1 4-bit adder

Consider a circuit to add two 4-bit unsigned numbers together and a carry-in, to produce a 5-bit result. With the idea that $C_{i+1}S_i = X_i + Y_i + C_i$, which is the same function of full



adder, 4-bit adder is implemented by cascading 4 full adders via their carries. The above is



called **parallel adder**, as inputs are presented in parallel.

6.3.2 BCD-to-Excess-3 Converter

Excess-3 code can be converted from BCD code using truth table. Therefore, gate-level design can be used since there are only 4 inputs.

However, alternative design is also possible, by identifying

$$\text{Excess-3 code} = \text{BCD Code} + 0011_2$$

	BCD				Excess-3			
	A	B	C	D	W	X	Y	Z
0	0	0	0	0	0	0	1	1
1	0	0	0	1	0	1	0	0
2	0	0	1	0	0	1	0	1
3	0	0	1	1	0	1	1	0
4	0	1	0	0	0	1	1	1
5	0	1	0	1	1	0	0	0
6	0	1	1	0	1	0	0	1
7	0	1	1	1	1	0	1	0
8	1	0	0	0	1	0	1	1
9	1	0	0	1	1	1	0	0
10	1	0	1	0	X	X	X	X
11	1	0	1	1	X	X	X	X
12	1	1	0	0	X	X	X	X
13	1	1	0	1	X	X	X	X
14	1	1	1	0	X	X	X	X
15	1	1	1	1	X	X	X	X

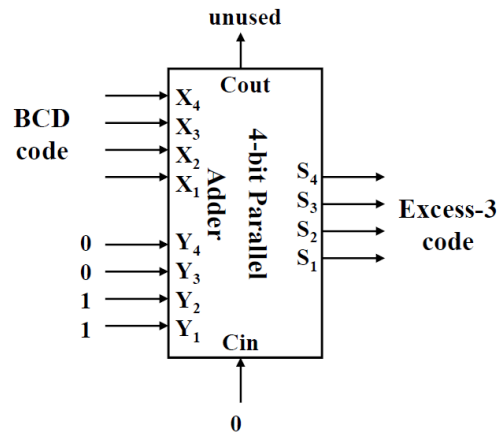
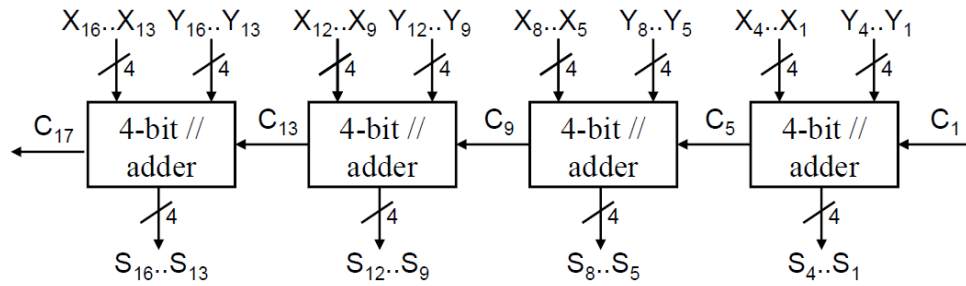


Figure 5: BCD-to-Excess-3 Code Converter

6.3.3 16-bit Parallel Adder

Larger parallel adders can be built from smaller ones.

A **16-bit parallel adder** can be constructed from four 4-bit parallel adders:

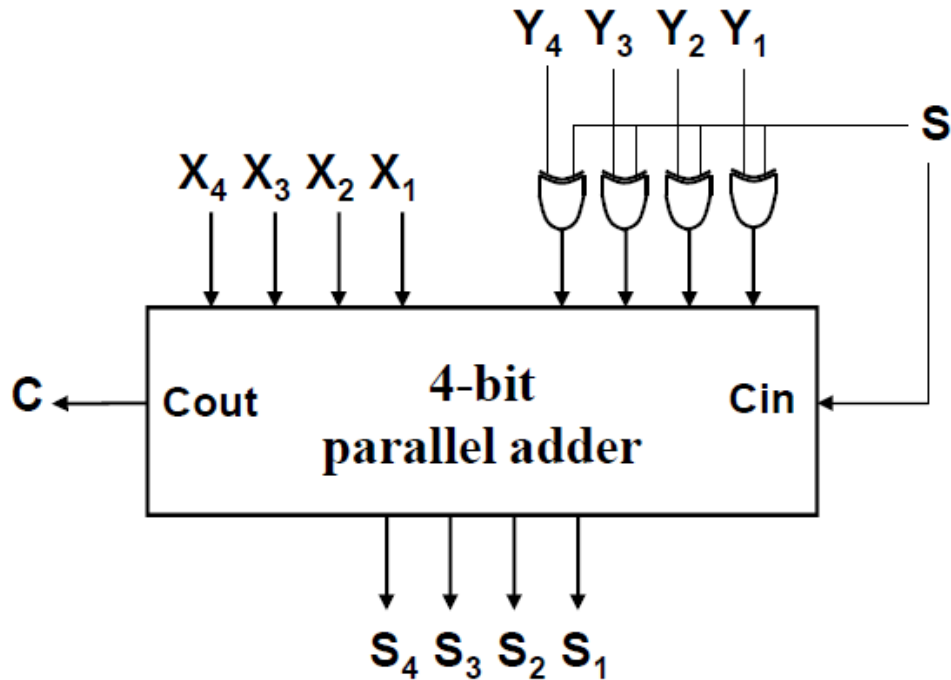


6.3.4 4-bit Adder cum Subtractor

4-bit Adder cum Subtractor is a circuit that can perform both addition and subtraction, using a parallel adder with a control signal. Recall

$$\begin{aligned}
 X - Y &= X + (-Y) \\
 &= X + 2s \text{ complement of } Y \\
 &= X + 1s \text{ complement of } Y + 1
 \end{aligned}$$

Therefore, XOR gates are used to flip bits⁵ and control signal S is connected to input carry-in.



⁵Note $x \text{ XOR } 0 = x$, and $x \text{ XOR } 1 = x'$

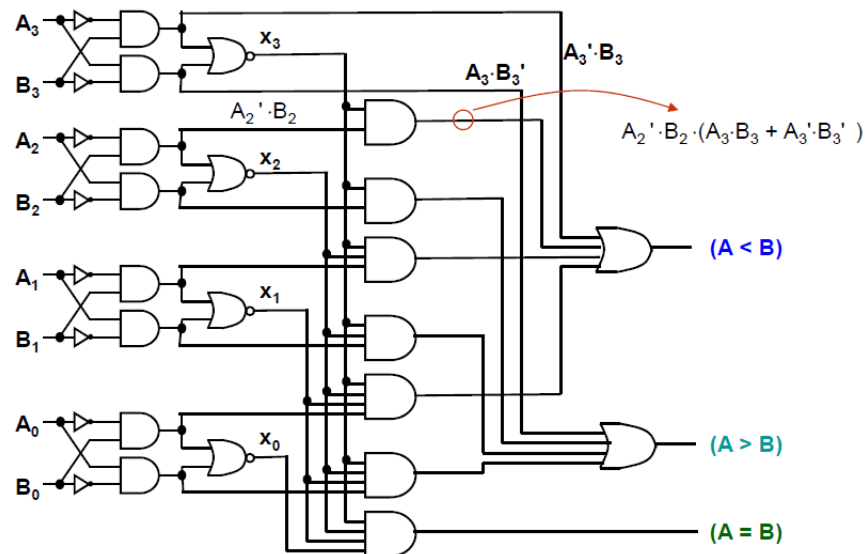
When $S = 1$, it subtracts by adding X with Y' and $S = 1$, and when $S = 0$, it adds by adding X with Y with $S = 0$.

6.3.5 Magnitude Comparator

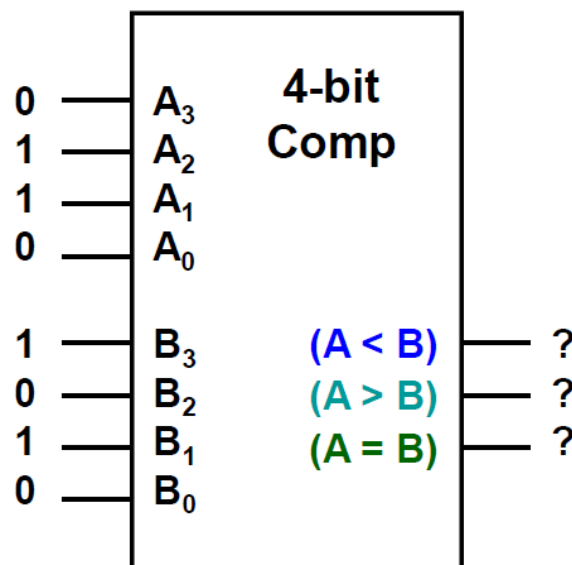
Magnitude comparator compares 2 values A and B , to output either $A > B$, $A = B$ or $A < B$.

The key idea is that $X \cdot Y'$ outputs 1 when $X > Y$ and 0 otherwise. Therefore, $X = Y$ if and only if $(X \cdot Y') \text{ NOR } (X' \cdot Y) = X \cdot Y + X' \cdot Y' = 1$.

We first build a 4-bit magnitude comparator using the above logic. Let $A = A_3A_2A_1A_0$, $B = B_3B_2B_1B_0$. Denote $x_i = A_i \cdot B_i + A'_i \cdot B'_i$. This generates the block diagram of 4-bit



magnitude comparator



6.4 Circuit Delays

Definition 6.4 (Circuit Delay).

Given a logic gate with delay t . If inputs are stable at times t_1, \dots, t_n , then the earliest time in which the output will be stable is

$$\max(t_1, \dots, t_n) + t$$

Suppose a full adder has delay t_1, t_2 for X, Y and t_3 for carry in, S will have delay

$$S_{\text{delay}} = \max\{\max\{t_1 + t_2\} + t, t_3\} + t$$

C will have delay

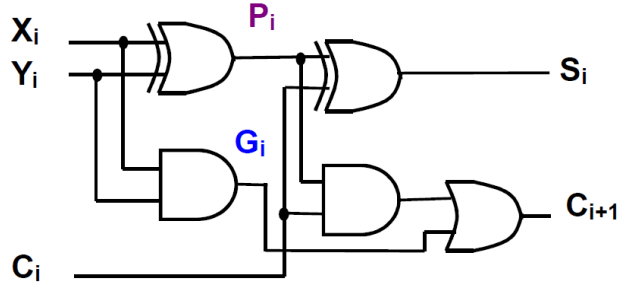
$$C_{\text{delay}} = \max\{\max\{t_1, t_2\} + t, t_3\} + 2t$$

According to the above, a n -bit ripple-carry parallel adder will experience the following delay⁶

$$\begin{aligned} S_n &= 2nt \\ C_{n+1} &= (2n + 1)t \end{aligned}$$

Therefore, propagation delay of ripple-carry parallel adders is proportional to the number of bits it handles.

6.4.1 Carry Look-ahead Adder



Consider the full adder, define intermediate signals P_i, G_i as follows

$$\begin{aligned} P_i &= X_i \oplus Y_i \\ G_i &= X_i \cdot Y_i \end{aligned}$$

Therefore, the output S_i, C_{i+1} can be given in terms of C_i, P_i, G_i :

$$\begin{aligned} S_i &= P_i \oplus C_i \\ C_{i+1} &= G_i + P_i \cdot C_i \quad (\#) \end{aligned}$$

⁶ n is of index 1.

We can regard, G_i as the **carry generate** signal, since $G_i = 1$ suggests both X_i and Y_i is 1, which definitely *generates* a carry $C_{i+1} = 1$.

Also, P_i can be regarded as the **carry propagate** signal, as $P_i = 1$ suggests exactly $X_i = 1$ or $Y_i = 1$ but not both. Therefore, $C_{i+1} = 1$ if $C_i = 1$ and $P_i = 1$, which suggests that the status of carry in C_i is *propagated* to carry out C_{i+1} .

For the 4-bit ripple carry adder, the equation for $C_{i+k}, k = 1, \dots, 4$ is only dependent on $G_j, P_j, C_j, 1 \leq j < i + k$, according to the recursively relation (#). By expanding the recursive relation into an iterative expression we have

$$C_{i+k} = \prod_{j=0}^{k-1} P_j \cdot C_i + \sum_{j=0}^{k-1} G_{i+j} \prod_{l=j+1}^{k-1} P_{i+l}$$

which is a two level sum of product expressions in terms of G, P, C .

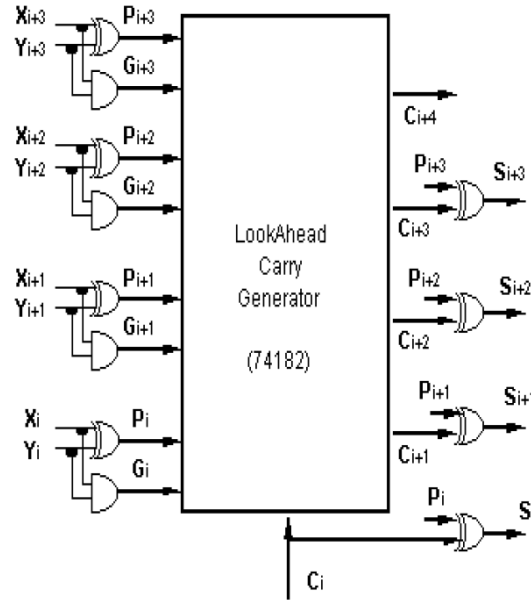


Figure 6: X_i, Y_i are preprocessed outside the block. Block inputs P, G only and outputs C only.

The generation of P, G of each bit takes time t from XOR and AND gate; generation of each carry C_{i+k} takes time $2t$ from the sum of product expression; generation of sum signals S_{i+k} of each bit takes time t from P_{i+k}, C_{i+k-1} . Therefore, the whole process takes time $4t$.

Larger block carry look-ahead adder can be built from 4-bit carry look-ahead adder. Two *additional* output is needed: **block carry generate** and **block carry propagate**.

Let P_0, P_1, P_2, P_3 be the 4 carry propagate bits of the 4-bit carry look-ahead adder. Let G_0, G_1, G_2, G_3 be the 4 carry generate bits of the 4-bit carry look-ahead adder. Then the **block** carry propagate and generate bits, P^* and G^* , respectively are defined as

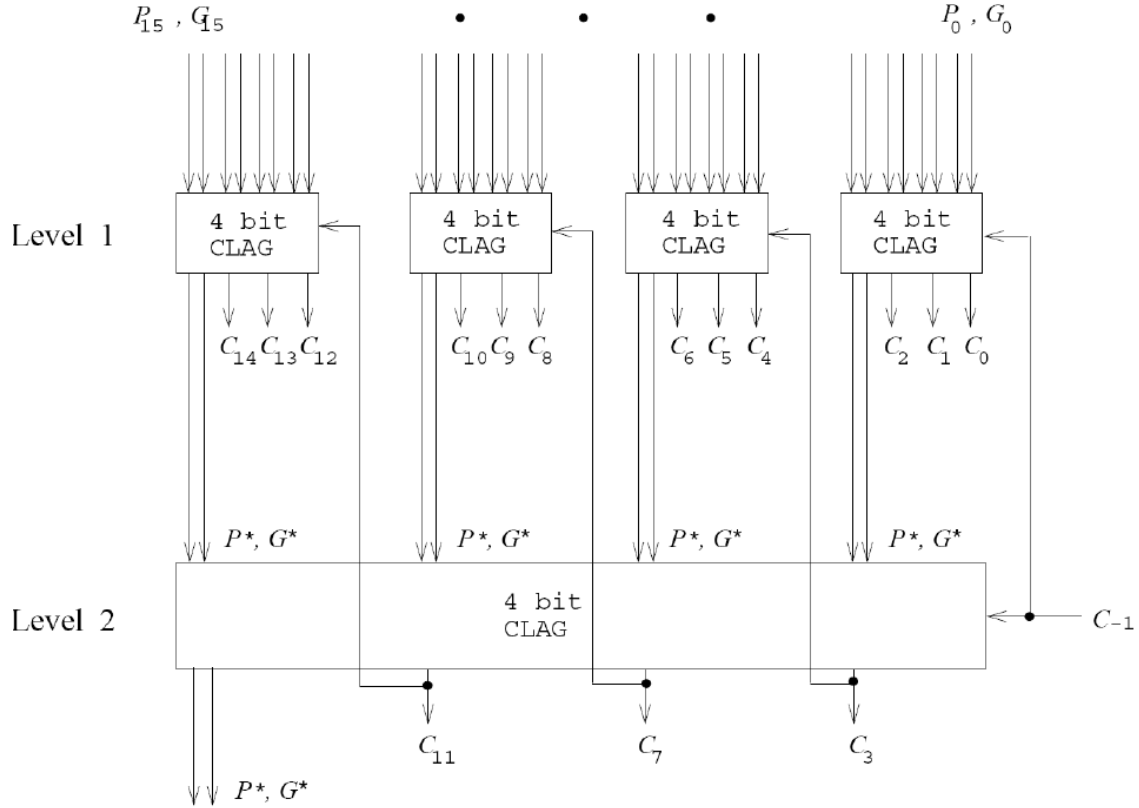
$$P^* = P_0 \cdot P_1 \cdot P_2 \cdot P_3$$

$$G^* = G_3 + G_2 \cdot P_3 + G_1 \cdot P_2 \cdot P_3 + G_0 \cdot P_1 \cdot P_2 \cdot P_3$$

It is easy to see that the carry out bit of block 4-bit carry look-ahead adder is

$$C_3 = G^* + P^* \cdot C_{-1}$$

where C_{-1} is the carry in to the 4-bit block. The sequence of availability of output is that



- Time 0: $P_0 \sim P_{15}, G_0 \sim G_{15}, C_{-1}$.
- Time 1: $C_0 \sim C_2$, all P^*, G^* between level 1 and level 2.
- Time 2: $C_4, C_7, C_{11}, P^*, G^*$ after level 2
- Time 3: Rest $C_4 \sim C_{14}$.⁷

⁷ C_4 is dependent on C_3 , which is dependent on P^* and G^* , which is dependent on P, G .

7 More Building Blocks

7.1 Decoder

Definition 7.1 (Decoder).

A **decoder** converts binary information from n input lines to 2^n output lines.

7.1.1 Truth table

The truth table for 2^n output, when input is enumerated in increasing sequence, is diagonal. The column of output is arranged according to the increasing order of minterm of the function.

Theorem 7.1 (Building functions using decoder).

Any boolean function with n input with m output can be built using a $n : 2^n$ decoder, which generates the minterms, and m OR gates to form the sum.

Decoders often come with an **enable control** signal, so that the device is only activated when the enable $E = 1$.

In most MSI decoders, enable signal is zero-enable, usually denoted by E' . The decoder is

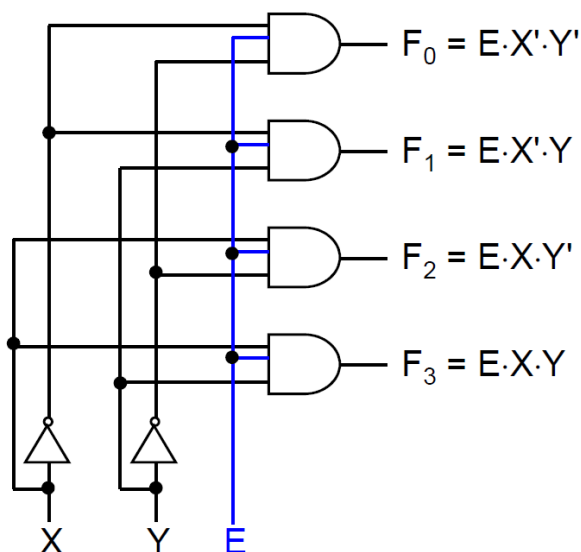


Figure 7: Implementation of 2:4 Encoder with **one-enable** control $E = 1$

enabled when signal E is low.

7.1.2 Larger Decoder

Larger decoders can be constructed, with one inverter from smaller ones by treating E as the most significant bit which selects the smaller decoders.

7.1.3 Implementing functions

We may implement the functions using a decoder in several ways.

Suppose a function is specified as $f(A, B, C) = \sum m(0, 1, 4, 6, 7) = \prod M(2, 3, 5)$, we may implement it

- using a decoder with active high outputs⁸ with a OR gate on minterms:

$$f = m_0 + m_1 + m_4 + m_6 + m_7$$

- using a decoder with active low outputs⁹ with a NAND gate on minterms:

$$f = (m'_1 \cdot m'_2 \cdot m'_4 \cdot m'_6 \cdot m'_7)'$$

- Using a decoder with active high outputs with a NOR gate on maxterms:

$$f = (m_2 + m_3 + m_5)'$$

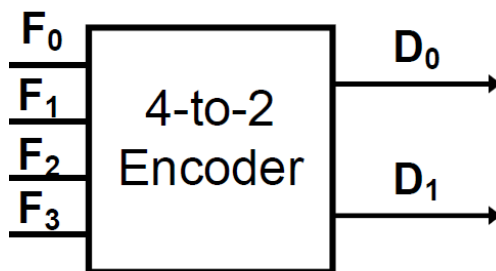
- Using a decoder with active low outputs with a AND gate on maxterms:

$$f = m'_2 \cdot m'_3 \cdot m'_5$$

7.2 Encoders

Definition 7.2 (Encoder).

Given 2^n input lines, of which *exactly* 1 is high, the **encoder** provides a n bit code that corresponds to that input line.



7.2.1 Truth Table

For the truth table of an encoder, when exactly 1 out of 2^n inputs is high, say F_i , the output $D_n D_{n-1} \cdots D_1 D_0$ is the binary string i_2 ; if more than 1 input are high, the output becomes don't care.

⁸Given any input, only one of the output will be 1 and rest 0

⁹Given any input, only one of the output will be 0 and rest 1

F_0	F_1	F_2	F_3	D_1	D_0
1	0	0	0	0	0
0	1	0	0	0	1
0	0	1	0	1	0
0	0	0	1	1	1
0	0	0	0	X	X
0	0	1	1	X	X
0	1	0	1	X	X
0	1	1	0	X	X
0	1	1	1	X	X
1	0	0	1	X	X
1	0	1	0	X	X
1	0	1	1	X	X
1	1	0	0	X	X
1	1	0	1	X	X
1	1	1	0	X	X
1	1	1	1	X	X

Figure 8: $D_0 = F_1 + F_3$, $D_1 = F_2 + F_3$

The implementation of a specified output is the sum of inputs whose specified output are high, given the benefits of don't cares.

Therefore, encoders can be designed using OR gate.

7.2.2 Priority Encoder

In priority encoder, each of the inputs is assigned a **priority**.

The **most** significant bit of the input has the **highest** priority while the least significant bit has the lowest priority.

If two input lines goes high, only the *higher* priority one will be considered as high. This generates a truth table with don't cares in inputs.

F_3	F_2	F_1	F_0	D_1	D_0
1	X	X	X	1	1
0	1	X	X	1	0
0	0	1	X	0	1
0	0	0	X	0	0

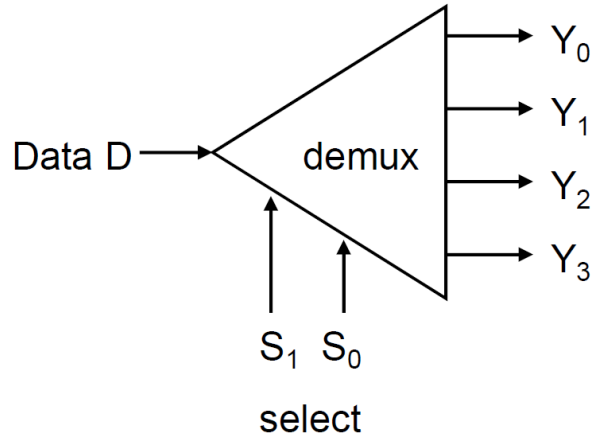
Figure 9: Truth table of priority encoder

7.3 Demultiplexers

Definition 7.3 (Demultiplexers).

Given an input line and a set of n selection lines, a **demultiplexer** directs data from the input to *one* selected output line out of 2^n .

Suppose the selection lines admits a $N = (S_{n-1} \dots S_0)_2$ binary number, the output line Y_N will correspondingly be selected such that $Y_N = D$, the input.



7.3.1 Truth Table

The truth table for outputs from demultiplexers of n selection lines, when the selection lines is enumerated in increasing sequence, is diagonal D , where D is the input.

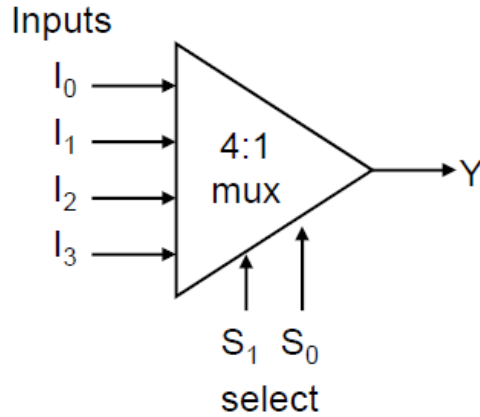
There is similarity of truth table between demultiplexers and decoders. In fact, a demultiplexer of n selection line can be implemented using a $n : 2^n$ decoder with selection lines connected to the input of decoders and data input connected to the enable bit.

S_1	S_0	Y_0	Y_1	Y_2	Y_3
0	0	D	0	0	0
0	1	0	D	0	0
1	0	0	0	D	0
1	1	0	0	0	D

7.4 Multiplexers

Definition 7.4 (Multiplexers).

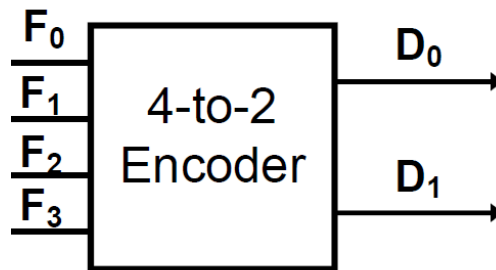
A **multiplexer** is a device with has 2^n input lines, n selection lines and 1 output line. It steers one of 2^n inputs to a single output line.



7.4.1 Truth Table

The output Y equals to I_i , the i th input, where binary representation of i equals to the binary string given by selection lines $S_{n-1} \dots S_0$.

Therefore, a $2^n : 1$ multiplexer can be made from connecting selection lines to an $n : 2^n$ decoder and adding the 2^n output to the 2^n input lines, each with AND gate, and OR the 2^n processed input. It is also common to see enable bit in multiplexers.



7.4.2 Larger Multiplexers

Larger multiplexers can be constructed from smaller ones, by separating selection lines into multiple hierarchies of multiplexers.

7.4.3 Implementing functions

Just like decoder, Boolean functions can be implemented using multiplexers. Specifically, a $2^n : 1$ multiplexer can implement a Boolean function of n input variables, as follows:

- Express in sum-of-minterms form.
- Connect n variables to the n selection lines.
- Put a 1 on data input if it is a minterm of the function or 0 otherwise.

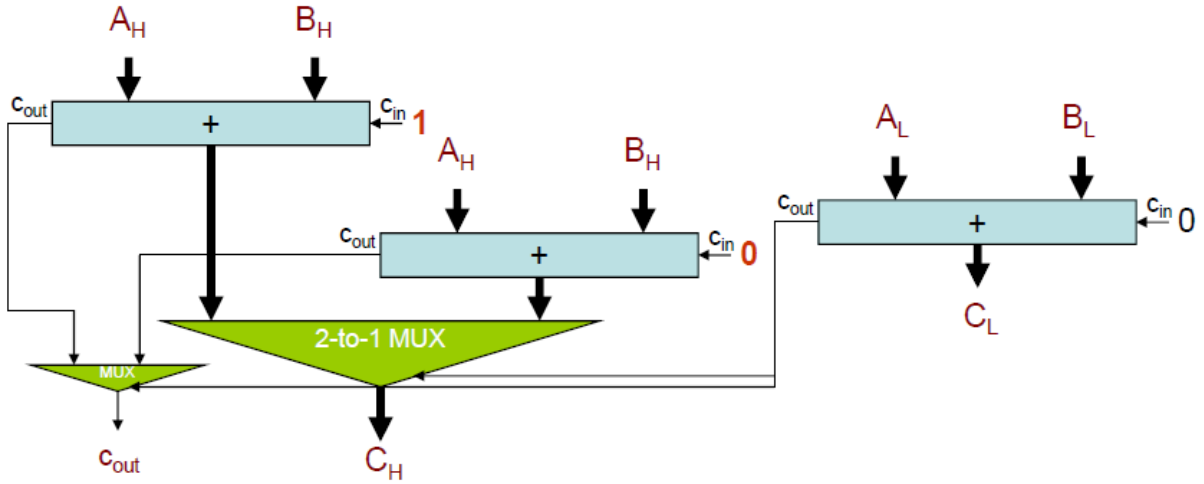
A Boolean function of n input variables can be implemented by a smaller $2^{n-1} : 1$ multiplexer.

- Express Boolean function in sum-of-minterms form
- Reserve one variable for input lines and use the rest for selection lines.
- Use a truth table and deduce multiplexer input by comparing the reserved variable and the function value for corresponding selection line values. It may take 1, 0, V , V' , one of the four possibilities.

7.5 Carry-select Adders

Carry-Select Adders reduce waiting time of the carry chain by divide-and-conquer using multiplexers.

To add two n -bit numbers A and B to produce the result C , split A, B, C into two equal halves: $A_H, A_L, B_H, B_L, C_H, C_L$.¹⁰ The idea is that the addition of $A_L + B_L$ will either have a



carry or not, so it computes the two scenarios for $A_H + B_H + c$ along with $A_L + B_L$, and the carry out c from $A_L + B_L$ will select the final carry out and C_H .

7.6 Shifters

Shifting is a common operation, as left shift by 1 bit is equivalent to multiplying by 2, and right shift by 1 bit is equivalent to dividing by 2, for positive numbers.

7.6.1 Arithmetic Shift

In arithmetic left shift, 0 is used to fill in the LSB.

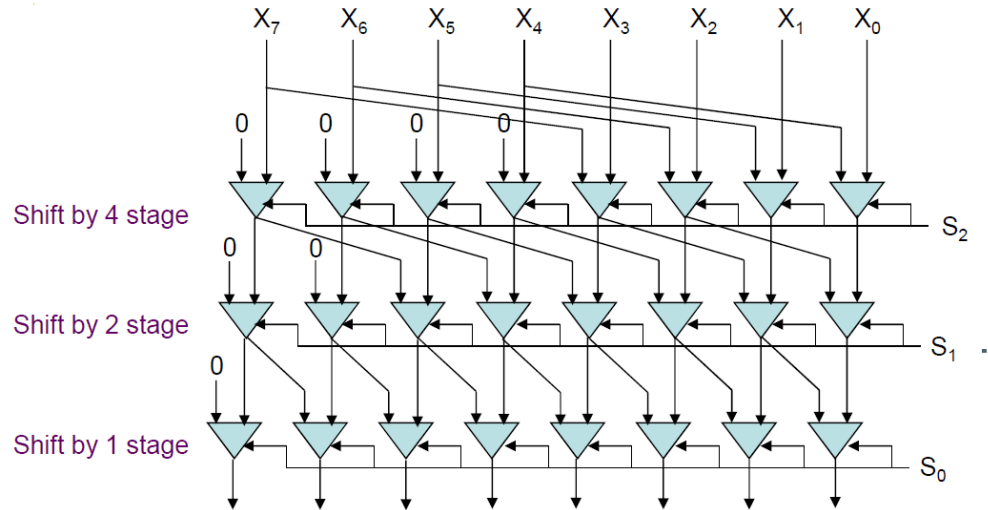
In arithmetic right shift, the original MSB is duplicated at MSB; for 2's complement, only the part other than the sign bit is shifted.

¹⁰ H stands for high, L stands for low.

7.6.2 Barrel Shifters

Barrel Shifters perform fast shifting in $O(\log n)$ time by always shifting in the power of 2.

¹¹ The fast shifting is implemented using multiplexers. At each shifting stage, the selection



line S_k , calculated from the amount of total shifts, we decide whether to shift by 2^k bits or remain unchanged.

¹¹Shifting by 11 is performed by shifting $8 + 2 + 1$, a total of 3 times.

8 Sequential Logic

There are two types of sequential circuits:

- **Synchronous:** outputs change only at specific time
- **Asynchronous:** outputs change at any time

Definition 8.1 (Finite State Machines).

Finite State Machines are built with combinatorial logic and **memory**, which stores the state.

Next state depends on current state and inputs.

8.1 $S - R$ Latch

$S - R$ latch consists of two **inputs** S and R , stands for SET and RESET respectively.

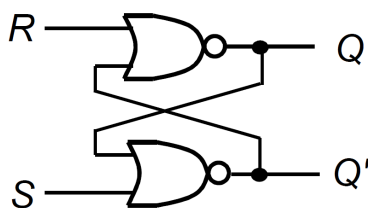
It has two **complementary output** Q and Q' . $Q = 1 \Leftrightarrow$ latch is in SET state; $Q = 0 \Leftrightarrow$ latch is in RESET state.

8.1.1 Characteristic Table

S	R	Q	Q'	
0	0	NC	NC	No change to present state
1	0	1	0	Latch SET
0	1	0	1	Latch RESET
1	1	0	0	Invalid Condition

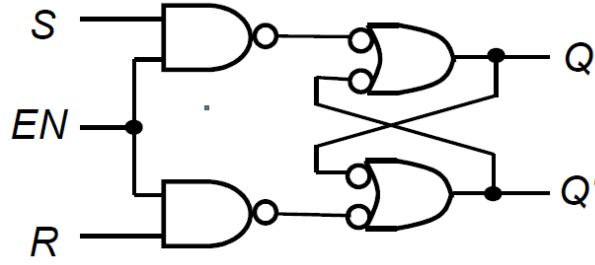
From this table, we have $Q(t+1) = S + R' \cdot Q(t)$, with restriction $S \cdot R = 0$.

The implementation of $S - R$ latch is as follows A $S - R$ latch is gated if it has an enable



input(EN). Its output will change only when EN is high. Its implementation becomes¹²

¹²Note the position of S and R relative to Q



8.2 Gated D Latch

If $D := S$ and $R := S' = D'$, a gated $S - R$ latch becomes a gated D latch.

D latch eliminates the invalid state by admitting the following characteristic table Hence,

EN	D	$Q(t+1)$	
1	0	0	RESET
1	1	1	SET
0	X	$Q(t)$	No change

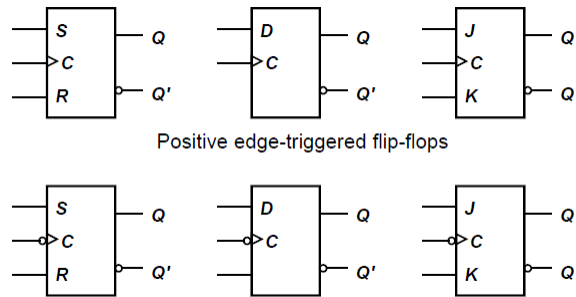
when $EN = 1$, Q follows D input in a sense $Q(t+1) = D$, and when $EN = 0$, $Q(t+1) = Q(t)$.

8.3 Flip-flops

Definition 8.2 (Flip-flops).

Flip-flops are **synchronous bistable** devices. Output changes state at a specified point on a triggering input called the **clock**.

Flip-flops change state either at the positive edge or at the negative edge of the clock signal. Flip-flop family has $S - R$ flip-flop, D flip-flop and $J - K$ flip-flop.



8.4 $S - R$ flip-flop

$S - R$ flip-flop has the only difference from the $S - R$ latch in that its output changes only on the triggering edge of the clock pulse.

Its characteristic table is

S	R	CLK	$Q(t+1)$	
0	0	X	$Q(t)$	No change
0	1	\uparrow	0	RESET
1	0	\uparrow	1	SET
1	1	\uparrow	?	Invalid

8.5 D flip-flop

D flip-flop has single input D and is similar to gated D latch. Its characteristic table is D

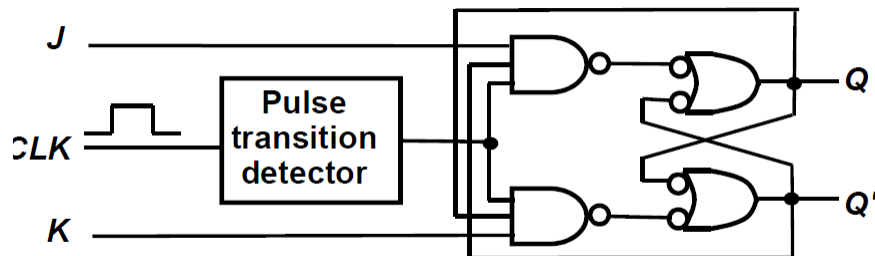
D	CLK	$Q(t+1)$	
1	\uparrow	1	SET
0	\uparrow	0	RESET

flip-flop is useful in parallel data transfer.

8.6 $J - K$ flip-flop

$J - K$ flip-flop is an enhancement of $S - R$ flip-flop($J := S, K := R$) by replacing the invalid state($S = 1, R = 1$) by a **toggle** state, at which $Q(t+1) = Q(t)'$.

$J - K$ flip-flop is achieved by feeding Q and Q' to the pulse steering NAND gates.



It admits the following characteristic table:

J	K	CLK	$Q(t+1)$	
0	0	\uparrow	$Q(t)$	No change
0	1	\uparrow	0	RESET
1	0	\uparrow	1	SET
1	1	\uparrow	$Q(t)'$	Toggle

From the table, we have $Q(t+1) = J \cdot Q(t)' + K' \cdot Q$.

8.7 Pulse Detection Unit

The delay in the NOT gate is used for positive and negative edge detection:

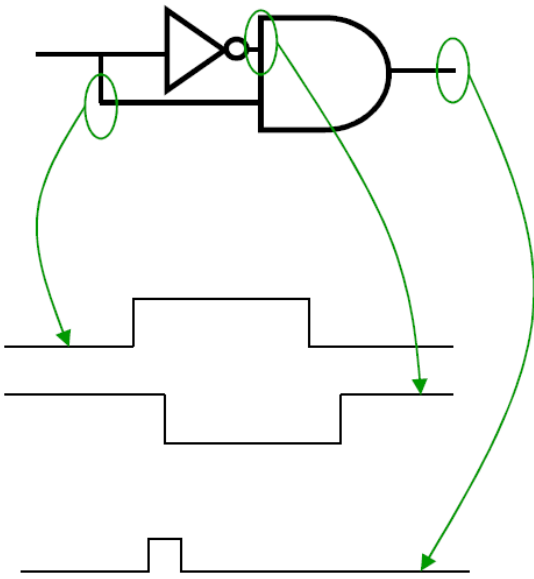


Figure 10: Positive Edge Detection

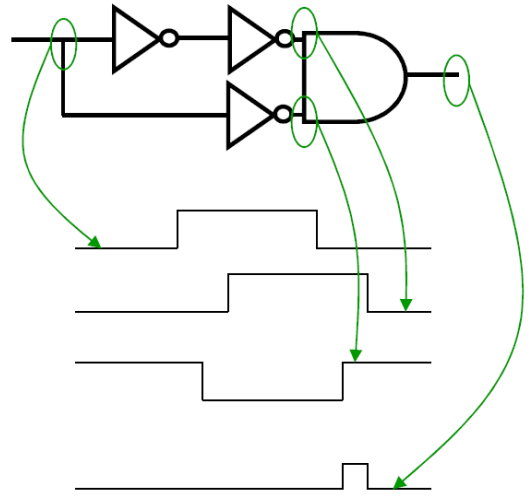
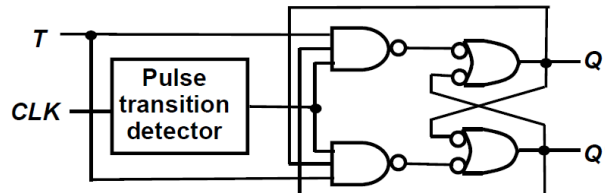


Figure 11: Negative Edge Detection

8.8 T flip-flop

T flip-flop is the single input version of the $J - K$ flop-flop, formed by $J := T$ and $K := T$. When $T = 0$, there is no change on Q ; when $T = 1$, Q toggles.



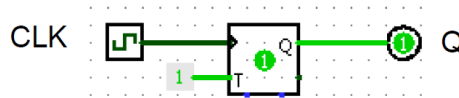
It admits the following characteristic table: From the table, we have $Q(t+1) = T \cdot Q(t)' +$

T	CLK	$Q(t+1)$	
0	\uparrow	$Q(t)$	No change
1	\uparrow	$Q(t)'$	Toggle

$T' \cdot Q(t)$.

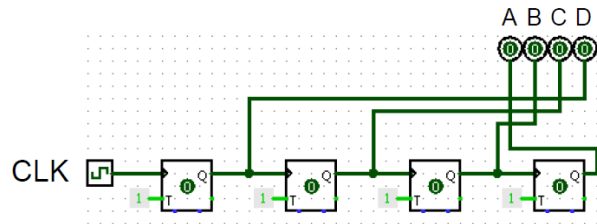
8.8.1 Frequency Divider

Frequency divider can be implemented using a T flip-flop with T set to 1. Therefore, the output Q will toggle on every rising edge of CLK , effectively making the output half the frequency of the clock.



8.8.2 4 bit countdown counter

The 4 bit countdown counter $ABCD$ which starts from 1111, can be implemented as follows:



8.9 Asynchronous Inputs

$S-R$, D and $J-K$ inputs are **synchronous inputs**, as data on these inputs are transferred to the flip-flop's output only on the *triggered* edge of the clock pulse.

On the other hand, **asynchronous** inputs affect the state of the flip-flop *independent* of the clock. Typical asynchronous inputs are **preset**(PRE) and **clear**(CLR).

- When $PRE = 1$, Q is *immediately* set to 1.
- When $CLR = 1$, Q is *immediately* cleared to 0.

Therefore, flip-flop in normal operation mode when *both* PRE and CLR are low.

In a sense, PRE and CLR overrides Q .

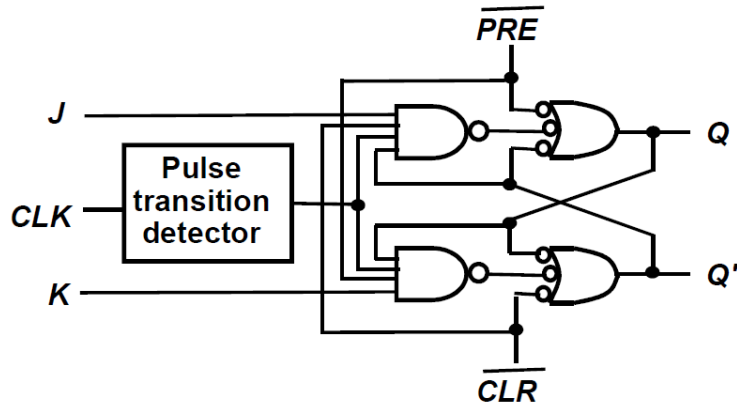


Figure 12: A $J - K$ flop-flop with active low PRESET and CLEAR asynchronous inputs

8.10 Design Methodology for Sequential Logic

We illustrate the design procedures in the design of 3 bit counter.

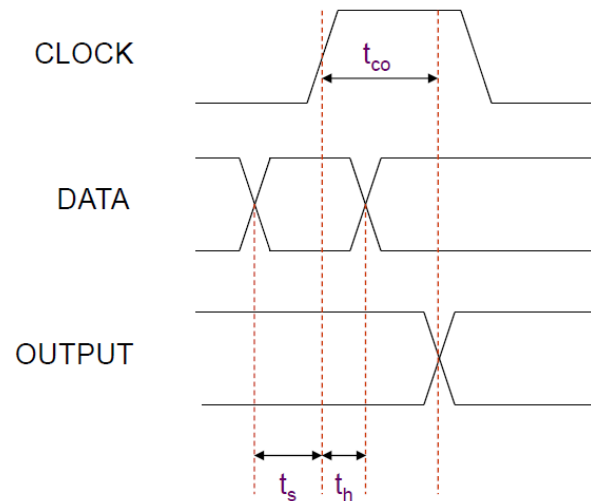
Step 1 Formulate the problem as a truth table.

$A(t)$	$B(t)$	$C(t)$	$A(t + 1)$	$B(t + 1)$	$C(t + 1)$
0	0	0	0	0	1
0	0	1	0	1	0
0	1	0	0	1	1
0	1	1	1	0	0
1	0	0	1	0	1
1	0	1	1	1	0
1	1	0	1	1	1
1	1	1	0	0	0

Step 2 Assign one flip-flop for each of the **current** state bits $X(t)$.

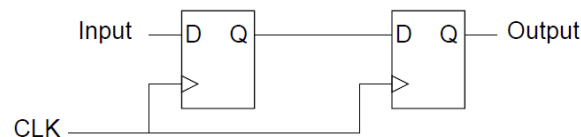
Here we use 3 $J - K$ flip-flop for A , B , C .

Step 3 Draw truth table for flip-flop inputs. Note any output will be dependent on **all** the input.



Metastability is introduced if setup and hold times are violated, as flip-flop may oscillate in an indeterminate state between 0 and 1. Although metastability cannot be absolutely avoided in practice, two way to resolve it can be

- Make sure clock period is long enough.
- Use flip-flop chain Probability of metastability gets closer and closer to zero as number



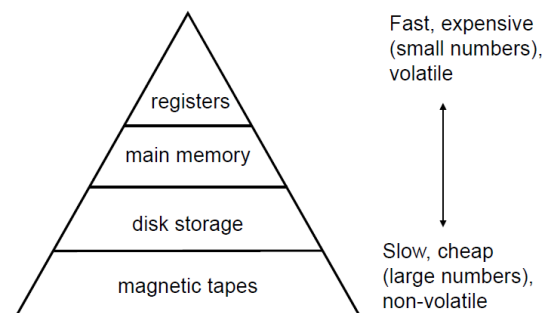
of flip-flops connected in series increase.

8.12 Memory Hierarchy

Memory stores programs and data.

We define

- 1 byte = 8 bits
- 1 KB = 2^{10} bytes
- 1 MB = 2^{20} bytes
- 1 GB = 2^{30} bytes
- 1 TB = 2^{40} bytes



9 Understanding Performance

9.1 Defining Performance

To improve performance, we are interested to minimise

- Response time/**Execution time**: the time between the start and the completion of a task.

Execution time is *inversely* related to the performance.

$$\text{performance} = \frac{1}{\text{execution time}}$$

- **Throughput** – Total amount of work done in a given time
Decreasing response time always improves throughput.

Remark: *elapsed* time do not equal execution time.

- CPU execution time(CPU time) is the time CPU spends working on a task
- CPU execution time does *not* include time (1) waiting for I/O or (2) running other programmes.

9.2 CPU Execution Time

Definition 9.1 (CPU Execution Time).

CPU execution time for a program is given by

$$\begin{aligned}\text{CPU execution time} &= \# \text{ of CPU clock cycle} \times \text{clock cycle time (s)} \\ &= \frac{\# \text{ of CPU clock cycle}}{\text{clock rate (Hz)}}\end{aligned}$$

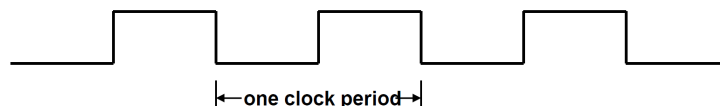
Therefore, there are 2 ways to improve performance, by either

1. Reducing the length of the clock cycle, or
2. Reducing the # of clock cycles required for a program

Definition 9.2 (Clock Rate).

Clock rate is the inverse of clock cycle time.

$$\text{Clock rate(CR)} = \frac{1}{\text{Clock Cycle Time(CC)}}$$



9.3 Clock Cycles per Instruction

Different instructions take different amount of time to execute. On average, we have

$$\# \text{ of CPU cycles} = \# \text{ of Instructions} \times \text{Average Clock Cycles per Instruction (CPI)}$$

where Clock Cycles per Instruction is defined as:

Definition 9.3 (Clock Cycle per Instruction).

Clock cycles per instruction is the average number of clock cycles each instruction takes to execute.

This allows the measurement of clock cycles per instruction for different instruction class. Overall, we can calculate **effective CPI** defined as below.

Definition 9.4 (Effective CPI).

Overall effective CPI is calculated by a weighted average of clock cycle per instruction among all classes of instructions.

$$\text{Overall effective CPI} = \sum_{i \in I} \text{CPI}_i \times \text{IC}_i$$

where IC stands for the **percentage** of instruction count, serving as the weight.

9.4 CPU performance

Definition 9.5 (Performance Equation).

The performance equation of CPU is

$$\text{CPU time} = \text{instruction count(IC)} \times \text{CPI} \times \text{clock cycle} = \frac{\text{IC} \times \text{CPI}}{\text{clock rate}}$$

This equation gives us a way to calculate average CPI.

There are multiple factors affecting CPU performance.

	Instruction Count	CPI	Clock Cycle
Algorithm	*	*	
Programming language	*	*	
Compiler	*	*	
ISA	*	*	*
Processor Organisation		*	*
Technology			*

10 MIPS

10.1 Basic Concepts

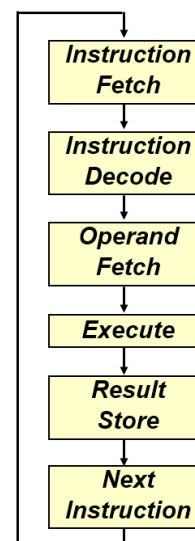
10.1.1 Program Translation

- Programs are written in high level programming languages like C, such as the arithmetic sum operation $A+B$.
- **Compiler** translates this into **assembly language** statement: `add A, B`.
- Finally, **Assembler** translates this statement into machine language instructions that processors can execute: `1000110010100000`.

10.1.2 Instruction Execution Cycle

CPU follows the following instruction execution cycle: **fetch**, **decode**, **execute**.

- Fetch: fetch next instruction, using Program Counter, from memory to IR.
- Decode: decode the instruction
- Execute: execute instruction



10.1.3 Instruction Set Architecture(ISA)

Definition 10.1 (Instruction Set Architecture).

Instruction Set Architecture is an abstraction on the interface between the *hardware* and the *low-level software*.

Practically,

- Low-level software will be translated to the instruction set;
- Hardware is responsible for implementing the instruction set.

Instruction Set Architecture is determined by

- Organisation of programmable storage

- Data types and data structures; encoding and representations
- Instruction formats
- Instruction(opcode) set
- Modes of addressing and accessing data items and instructions
- Exceptional conditions

However, regardless of ISA, the instruction execution cycle "fetch, decode, execute" is always adhered.

Definition 10.2 (Instruction Set).

Instruction set is the language of, and specified to the machine.

Instruction set is more primitive than high-level languages and has more restrictive instruction.

From now on, **MIPS instruction set** is the focus.

10.1.4 Assembly Language

Definition 10.3 (Machine Code).

Machine code is instruction represented in binary.

Definition 10.4 (Assembly Language).

Assembly language is the symbolic version of machine code, which can be translated to the latter by *assembler*.

Another advantage of assembly language over machine code is that assembly language can provide **pseudo-instructions**.¹³

10.1.5 CISC vs RISC

CISC stands for **complex instruction set computer**, e.g. x86.

- Single Instruction performs complex operation
- **Advantage:** smaller program size which saves memory
- **Disadvantage:** complex implementation, no room for hardware optimisation

RISC, in contrast, stands for **reduced instruction set computer**, e.g., MIPS.

- Keep the instruction set small and simple
- **Advantage:** easier to build/optimize hardware
- **Disadvantage:** burden on software to combine simpler operations to implement high-level language statements.

¹³When considering performance, only *real* instructions are counted.

10.2 Data Storage

10.2.1 Memory Organisation

- The main memory can be viewed as a large, single-dimension array of memory locations.
- Each location of the memory has an **address**, which is an **index** into the array.
- The number of bits in every location/address is specified by **data bus**.
- The length of memory is specified by the number of bits of **address bus**. A n -bit address bus supports 2^n addresses.
- There are two ways of addressing, namely byte addressing and word addressing.

Definition 10.5 (Byte addressing).

Byte addressing means the index points to *one* byte of memory.

In contrast, word addressing utilises the concepts of **word**.

Definition 10.6 (Word, word addressing).

word is a unit of transfer between processor and memory.

Word addressing is implemented by addressing memory with byte addresses in the multiple of the size of the word.

Suppose the memory has a 8-bit data bus and n -bit address bus, and uses 4-byte word addressing. There will be 2^{n-2} words addressable in total, with byte address 0, 4, 8, ...

Theorem 10.1. Words are aligned in memory, in a sense the last $\log_2 m$ bits of the memory address is the same for m -byte word addressing with 8-bit data bus.

10.2.2 Registers

Definition 10.7 (Registers).

Registers are fast memories **in the processor**.

- Data are transferred from memory to registers for *faster* processing.
- Compiler will associate variables in program with registers.
- Registers have *no* type, unlike variables.
- Modern architectures predominantly use the **load-store** register architecture.
- Register is limited in numbers.

In MIPS assembly language, there are 32 registers, referred to by a number (\$0 ~ \$31) or a name(e.g. \$a0).¹⁴

¹⁴\$at(register 1) is reserved for the assembler; \$k0-\$k1 are reserved for the operation system.

Name	Register Number	Usage
\$zero	0	Constant value 0
\$at	1	Assembler temporary
\$v0-\$v1	2-3	Values for results and expression evaluation
\$a0-\$a3	4-7	Arguments
\$t0-\$t7	8-15	Temporaries
\$s0-\$s7	16-23	Program Variables
\$t8-\$t9	24-25	More temporaries
\$k0-\$k1	26-27	Kernel
\$gp	28	Global pointer
\$sp	29	Stack pointer
\$fp	30	Frame pointer
\$ra	31	Return address

Table 1: MIPS registers

Different instruction set architecture may use different number of registers in the same operations.

10.3 Memory Addressing Mode

10.3.1 Memory Locations and Addresses

As mentioned above,

- Memory is viewed as a large one-dimensional array of bits.
- Group of n bits to store or retrieve in a single operation to/from the memory is a **word**.
- A word is usually a multiple of bytes and typically 2,4 or 8 bytes.
- Memory is addressed to access a **single word** or a byte using a distinct **address**.
- Given k -bit address, the address space is of size 2^k ,

In word addressing, there are two conventions in storing data, namely **big-endian** and **little-endian**.

- **Big-endian**: most significant byte stored in lowest address.
- **Small-endian**: least significant byte stored in lowest address.

In word addressing, a word is **aligned** in memory if it begins at a byte address that is a multiple of the number of bytes in a word.

10.3.2 Memory operations

There are two major memory operations, namely **load** and **write**.

- **Load(Read)**: Transfers the contents of a specific memory location to the processor.
 1. The processor sends address to the memory;
 2. Memory reads data at that address;
 3. Memory send data to the processor
- **Store(Write)**: Data from the processor is written at a specified memory location.
Process sends address and data to the memory.

The read/write from/to memory is controlled by **Control lines**, interfaced between processor and memory.

10.3.3 Addressing Modes

There are multiple addressing modes, 4 out of which are used more often.

Definition 10.8 (Register(direct) Mode).

Register Mode has the following machine code format:

`op rs rt rd`

where `op` stands for **opcode**, `rs`, `rt`, `rd` stands for **source**, **target** and **destination registers** respectively.

One register mode example code is

`add $rd, $rs, $rt`

The above command `add` adds numbers in `$rs` and `$rt` and store the result in `$rd`.

Definition 10.9 (Immediate Mode).

Immediate mode has the following machine code format:

`op rs rt imm`

where `imm` is a constant.

One immediate mode example code is

`addi $rt, $rs, imm`

The above command `addi` adds number in `$rs` with `imm` and store the result in `$rt`.

Definition 10.10 (Displacement Mode).

Displacement mode has the following machine code format

`op rs rt imm`

One displacement mode example code is

`lw $rt imm($rs)`

The above command `lw` loads a word from the address obtained by the sum of address in `$rs` and `imm`, to `$rt`.

Definition 10.11 (PC-relative mode).

Program-counter(PC) relative mode has the following machine code format

op rs rt immed

One PC-relative mode example code is

beq \$rs \$rt, immed

The above command **beq** branches to the memory address which is **immed** amount away from the memory address in Program Counter, if the value in **\$rs** equals value in **\$rt**.

10.4 Operations in the Instruction Set

There are many **standard operations** for each instruction set, including

- Data movement
 - load (from memory)
 - store (to memory)
 - memory-to-memory move
 - register-to-register move
 - input (from I/O device)
 - output (to I/O device)
 - push, pop (to/from stack)
- Arithmetic: (integer or floating point) add, subtract, multiply divide
- Shift: shift (left/right), rotate (left/right)
- Logical: not, and, or, set, clear
- Control flow: Jump(unconditional), Branch(conditional)
- Subroutine Linkage: call, return
- Interrupt: trap, return
- Synchronisation: test, set
- String: search, move, compare
- Graphics: pixel and vertex operations

In these operations, load, conditional branch, compare and store are the four most executed instructions. By Amdahl's law, these instructions should be made fast.

There are two addressing modes for control-flow instructions:

1. **PC-relative:** destination address = displacement + value in Program Counter
A consequence is that code can run independently of where in memory it is loaded, so it has position independence.
2. **Register Indirect Jump:** A register is specified, which will contain the target address
Note: value in the specified register is usually not known at compile time, but is computed at run time

10.5 Instruction Formats

10.5.1 Instruction Length

The instruction can have either *variable* or *fixed* length.
MIPS adopts **fixed-length** instructions of length 4 bytes.

10.5.2 Instruction Field

An instruction consists of **opcode** and a certain number (possibly zero) of **operands**. Each instruction has a *unique* opcode.

MIPS allow for three register operands. Since there are 2^5 registers, each register address requires 5 bits.

For every direct **memory** operand, *at least* one operand will be taken away.

10.5.3 Type and Size of Operands

The type of operand is designated by the **opcode**.
32-bit instruction set architecture should support

- 8, 16, 32-bit integer operations
- 32, 64-bit floating point operations

10.6 Encoding the Instruction Set

The instruction encoding has three choices: *variable*, *fixed* and *hybrid*.
MIPS adopts **fixed-length** encoding.

10.6.1 Encoding for Fixed-length instructions

To maximise the possibilities of instruction bits, **expanding opcode scheme** is adopted, where the opcode has variable lengths for different instructions. Under this scheme, opcode can occupy bits unused by operands, so that a larger set of instructions can be supported. One constraint of this scheme is that: two opcode of different length should not be identical for the length of bits of the shorter opcode. This constraint should be adhered in design of encoding scheme.

10.7 Compiler's View

Considerations of compilers include

- Ease of Compilation
- Orthogonality: no special registers, few special cases, all operand modes available with any data type or instruction type
- Completeness: support for a wide range of operations and target applications
- Regularity: no overloading for the meanings of instruction fields
- Streamlined: resource needs to be easily determined
- Provide at least 16 general-purpose registers plus separate floating point registers.
- Be sure all addressing modes apply to all data transfer instructions
- Aim for a minimalist instruction set

11 MIPS Assembly Language

In MIPS assembly language, each instruction executes a simple command. Note that each line of assembly code contains *at most one* instruction. `#` is used for comments.

11.1 Arithmetic Operations

11.1.1 Addition

Addition is specified by opcode `add`. It has the following syntax:

```
add $rd,$rs,$rt
```

where the operation $\$rd = \$rs + \$rt$ is performed.

By convention, program variables are stored in `$s?` registers(register number 16-23) while temporaries are stored in `$t?` registers(register number 8-15).

11.1.2 Subtraction

Subtraction is specified by opcode `sub`. It has the following syntax:

```
sub $rd,$rs,$rt
```

where the operation $\$rd = \$rs - \$rt$ is performed.

Note that the position of `$rs` and `$rt` registers are important since subtraction is not commutative.

11.1.3 Set If Less Than

Set-if-less-than is specified by opcode `slt`. It has the following syntax:

```
slt $rd, $rs, $rt
```

Behaviour: if the value stored in `$rs` is less than that in `$rt`, `$rd` is set to 1; otherwise, `$rd` is set to 0.

11.1.4 Complex Arithmetic Operations

Complex arithmetic operations are broken down into single instructions, by utilising the temporary registers to hold the intermediate result.

11.1.5 Constant/Immediate Operands

Immediates are numerical *constants*.

Examples of instructions involving immediate operands are `addi` and `subi`. Addition with immediate is specified by opcode `addi`. It has the following syntax:

```
addi $rt,$rs,immed
```

where the operation `$rt = $rs + immed` is performed.
Similarly, subtraction with immediate is specified by opcode `subi`. It has the following syntax:

`subi $rt,$rs,immed`

where the operation `$rt = $rs - immed` is performed.

11.1.6 Register Zero `$zero`

Register zero `$0` or `$zero` *always* has the value 0.

When setting one variable value to another variable, say `f=g`, it is equivalent to `f = g + 0`, which can be done using `add $rt $rs $zero`.

Note that any instructions attempting to set `$zero` to any value will *not* do anything.

11.2 Logical Operations

Unlike arithmetic instructions, which view content of registers as single quantity signed or unsigned integer, **logical operations** view register as 32 **raw bits**.

Logical instructions are to operate on individual bytes or bits within a word.

11.2.1 Shift Operation

Shift left operation is specified by opcode `sll`; shift right operation is specified by opcode `srl`.

It has the following syntax:

`sll $rt,$rs,immed`

`srl $rt,$rs,immed`

Behaviour: Move all the bits in a word to the left/right by a number of bits specified by `immed`; fill the emptied bits with zero.

Note that, shifting left by n bits is equivalent to multiplying by 2^n ; shifting right by n bits is equivalent to dividing by 2^n .

11.2.2 Bitwise AND instruction

Bitwise AND operation is specified by opcode `and`. It has the following syntax:

`and $rd,$rs,$rt`

Behaviour: For each bit in `$rd`, it will be 1 only if both the bits of the same position in `$rs` and `$rt` are 1.

Similarly, we have the `andi` instruction, which has the following syntax:

`andi $rt,$rs,immed`

`andi` can be used to create a **mask**. It can force 0 in the specified position by putting 0 at these position in `immed`, and retain other bits by putting 1.

11.2.3 Bitwise OR instruction

Bitwise OR instruction is specified by opcode **or**. It has the following syntax:

```
or $rd,$rs,$rt
```

Behaviour: For each bit in **\$rd**, it will be 1 if either bit of the same position in **\$rs** and **\$rt** is one.

Similarly, we have the **ori** instruction, which has the following syntax:

```
ori $rt,$rs,immed
```

ori can be used to force some bits into 1s, by putting 1 at these position in **immed**, and retain other bits by putting 0.

11.2.4 Bitwise NOR instruction

Bitwise NOR instruction is specified by opcode **nor**. It has the following syntax:

```
nor $rd,$rs,$rt
```

It is extensively used to toggle the bits of an operand (equivalently, NOT operation), by using the following command

```
nor $rd,$rs, $zero
```

11.3 Branching Operations

As instructions are stored in memory, they also have addresses. Furthermore, instruction addresses are word-aligned due to their 4-byte length.

Program Counter, as a register, holds the address of instruction **currently** being executed.

11.3.1 Branch if Equal, Branch if Not Equal

Branch if equal and branch if not equal is specified by opcode **beq** and **bne** respectively. They have the following syntax

```
beq $rs $rt immed
```

```
bne $rs $rt immed
```

Behaviour:

- **beq** checks whether values in **\$rs** and **\$rt** are equal;
 bne checks whether values in **\$rs** and **\$rt** are not equal.
- If the above check returns **true**, **branch** to the memory address¹⁵

$$PC \leftarrow PC + 4 + 4 \times \text{immed}$$

¹⁵The multiplier 4 is due to the convention that **immed** specifies how many *words* away it branches to.

Therefore, **beq** and **bne** are **conditional** branches.

Since **immed** is only 16-bit long, it can branch to $\pm 2^{15}$ words (aligned memory addresses) from the PC (equivalently, 2^{17} bytes).

11.3.2 Jump

Jump, **j**, in contrast against **beq**, is an **unconditional** branch.

It has the following syntax:

j target_address

Behaviour: We form the address to be jumped to as follows:

- Fill the 2 LSBs, bit 0 and 1, 00.
- Extract **target address** and fill the next 26 LSBs, bit 2 to bit 27.
- Fill the rest 4 MSBs, bit 28 to bit 31, same as the 4 MSBs in the Program Counter.

After this address is obtained, set the Program Counter value to this new address.

Note that **j** can only branch in the domain of 256MB, further jump requires instruction **jr**.

11.4 Memory Access Instructions

11.4.1 Load/Store word

Load word and store word instructions are specified by opcode **lw** and **sw** respectively. They have the following syntax

lw \$rt immed(\$rs)

sw \$rt immed(\$rs)

Behaviour: We perform the load/store word as follows:

- In **\$rs** stores a memory address. **immed** specifies the offset (in **bytes**)¹⁶.
- The address of the word to be loaded is calculated: **addr=immed+\$rs**.
- **lw** stores the word at the address **addr** to **\$rt**.
sw stores the word in **\$rt** in memory address **addr**.

11.4.2 Load/Store byte

Load byte and store byte instructions are specified by opcode **lb** and **sb** respectively. They have the following syntax

lb \$rt immed(\$rs)

sb \$rt immed(\$rs)

Behaviour: Similar to **lw/sw**, but only one byte is loaded/stored.

Specifically, the first byte of word at **addr** will be loaded to the first byte of **\$rt**.

¹⁶**immed** is always in the multiple of 4, to preserve word alignment

11.4.3 Load Upper Immediate

Load upper immediate is specified by opcode `lui`. They have the following syntax

`lui $rt immed`

Behaviour: The 16-bit integer specified by `immed` is concatenated with 16-bit 0's, and the result is loaded into register `$rt`.

11.4.4 Load Address

Load address instruction is specified by opcode `la`. It has the following syntax

`la $rd, exp`

Behaviour: Suppose the data has the tag `exp`. Then `la` will load the **address** of data tagged `exp` into the register `$rd`.

11.4.5 Other Load/Store Word Instructions

Note that MIPS disallows loading/storing a word that crosses the word boundary. Load/store word unaligned are *pseudo*-instructions.

Load word unaligned and store word unaligned are specified by the opcode `ulw` and `usw`. Other instructions include

- `lh` and `sh`: load halfword and store halfword
- `lwl`, `lwr`, `swl`, `swr`: load word left, load word right, store word left, store word right.

11.5 Procedure Call

11.5.1 Jump and Link

Jump and link is specified by the opcode `jal`. It has the following syntax:

`jal procedure_address`

Behaviour:

1. `PC+4` is stored in `$ra`. Note now `$ra` refers to the second instruction after the `jal` instruction.
2. The next instruction, known located in the branch delay slot is executed.
3. Unconditional jump is performed: `j procedure_address`.
4. At the end of the procedure, return with `jr $ra`.

11.5.2 Jump return

The jump return instruction is specified by the opcode `jr`. It has the following syntax:

`jr $ra`

Behaviour: It copies the contents of `$ra` into the PC. Then it executes the *next* instruction, before the next fetch occurs.

Remark: `jr` can be used with any register, not just `$ra`.

11.6 MIPS Instruction Classification

Instructions are classified according to numbers and types of operands.

There are three types of instructions for MIPS:

- Register format(R-format)
It requires 2 source registers and 1 destination register.
- Immediate format(I-format)
It requires 1 source register, 1 destination register and 1 immediate.
- Jump format(J-format)
It requires 1 immediate only.

11.6.1 R-Format

Definition 11.1 (Register Format Instruction).

Register format has the following instruction format:

$\underbrace{\text{opcode}}_{6 \text{ bits}}$	$\underbrace{\text{rs}}_{5 \text{ bits}}$	$\underbrace{\text{rt}}_{5 \text{ bits}}$	$\underbrace{\text{rd}}_{5 \text{ bits}}$	$\underbrace{\text{shamt}}_{5 \text{ bits}}$	$\underbrace{\text{funct}}_{6 \text{ bits}}$
---	---	---	---	--	--

Here, a field is viewed as 5-/6-bit unsigned integer.

- **opcode** partially specifies what instruction it is, and is consistent across all instructions
opcode is fixed 000000 for **all** R-Format instructions.
- **funct** is used together with **opcode** to exactly specify the instruction
- **rs** specifies **source register**
- **rt** specifies **target register**
- **rd** specifies **destination register**
- **shamt** specifies the amount a shift instruction will shift by.
shamt is fixed 00000 for all instructions except shift instructions.

11.6.2 I-Format

Definition 11.2 (Immediate Format Instruction).

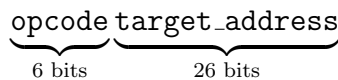


- **opcode** uniquely specifies an instruction
- **immediate** is treated as a **signed** integer of 2s complement, or a bit string (for logical instructions).
It can be used to represent 2^{16} different values.

11.6.3 J-Format

Definition 11.3 (Jump Format Instruction).

Jump format has the following instruction format:



Here, the `target_address` is viewed as a binary sequence.

11.7 Addressing Modes

Addressing modes of an instruction is determined by the type of instruction. Following are different addressing modes:

- **Register Addressing:** operand is a register, e.g., `add`
- **Immediate Addressing:** operand is a constant within the instruction itself, e.g., `addi`
- **Base Addressing/Displacement Addressing:** operand is at the memory location whose address is the sum of a register and a constant in the instruction, e.g., `lw`
- **PC relative addressing:** operand is at the memory location whose address is the sum of PC and constant in the instruction
- **Pseudo-direct addressing:** operand is at the memory location whose address is the concatenation of upper 4-bits of PC, 26-bit of instruction and 2-bit placeholder 00, e.g., `j`.

12 Programming in MIPS Assembly Code

Programming in MIPS concerns both data and operations.

Data has the following conventions:

- MIPS memory is an array of 2^{32} bytes.
- Each byte has a 32 bit address.
- **Important:** User programs and data are restricted to the first 2^{31} bytes, i.e., $0x00000000 \sim 0x7FFFFFFF$.
- Second half of the memory is used by operating system.

whereas operations may concern about load, store and arithmetic-logic operations.

12.1 Registers

It is noted that the registers of MIPS consists of

- **general purpose registers**, each of 32 bits long, which may contain integers or addresses; and
- **floating point registers**

Registers interact with ALU.

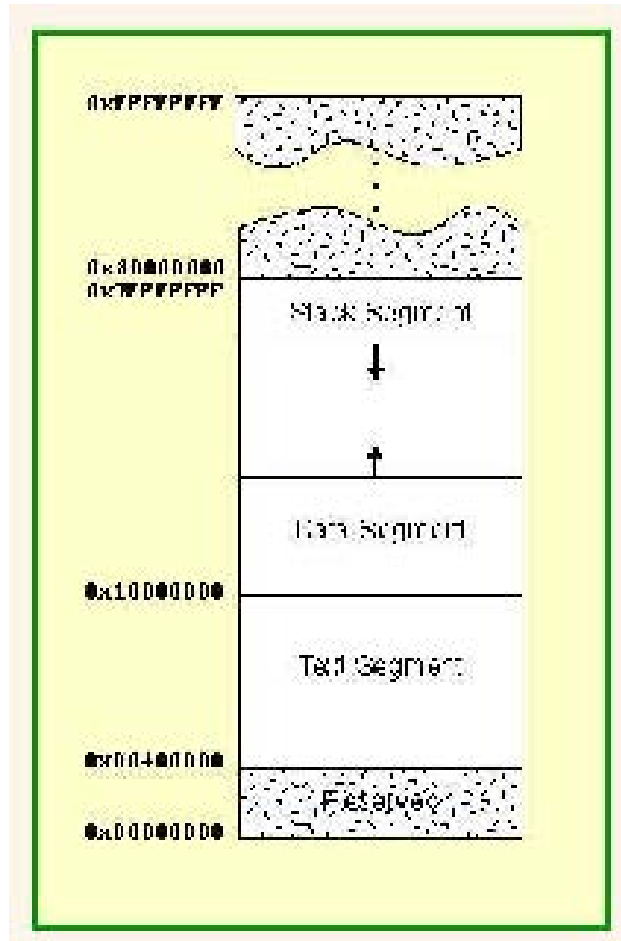
Register has a usage convention, which is listed in the previous chapter's table.

12.2 Memory Layout

There are three memory segments, namely

- **Text segment:** holds the machine code of the user program (the *text*)
- **Data segment:** data that the program operates on.
 - *Static:* size in bytes does not change during execution
 - *Dynamic:* This is data that is allocated and deallocated as the program executes.
- **Stack segment:** stack is on the *top* of user address.
Local variables and parameters are pushed and popped on the stack as procedures are activated and deactivated.

A sample illustration could be:



Arrays are a continuous span of aligned words, so the next word will have a offset of `immed=4` from the current word.

12.3 Constants

Small constants, which is less than $2^{16} - 1$, can be contained in the `immed` field. Therefore, immediate format instructions can be used to manipulate these constants.

Large constants require loading into the registers before usage. To load these constants, logical instructions `or`, `ori`, `and`, and `andi`, can be used.

Specifically, two instructions load upper immediate `lui` and `ori` can be used. Suppose an 32-bit integer is required loading into `$t0`, then

- `lui $t0 a31 ... a16.`
- `ori $t0 $t0 a15 ... a0.`

Alternatively, suppose an 32-bit integer has the tag `exp`, then

- `la $t0 exp`
- `lw $s0 0($t0)`

12.4 Decision Making

12.4.1 If block

If block will utilise `beq`, `bne` and `j`, and tags to specify the jump.

For efficiency, in terms of minimisation of branching instructions, `if (i == j) f = g + h; else f = g - h;` has the following code

```
                bne $s3, $s4, Else
                add $s0, $s1, $s2
                j Exit
Else:          sub $s0, $s1, $s2
Exit:
```

Note, in order to minimise jumps, always check for the negation of the condition in the higher-order code.

12.4.2 Loop Block

The steps to transform loops in higher-order code to MIPS instructions include

1. Rewrite higher-order code with `if` and `goto` statements, and with labels.
2. Transform the `if` block and `goto` to branching instructions.

12.4.3 Inequalities

Branching-if-less-than is achieved by two instructions:

```
                slt $t0, $s1, $s2
                bne $t0, $zero, L
```

has the same effect as `if (a < b) goto L;`

By changing the `bne` to `beq`, we can achieve branch-if-greater-than functionality instead.

12.5 Calling Procedures

Usually, `jal p_address` is used to call a procedure, whose memory address can be accessed using `j p_address`.

After the execution of the procedure, `jr $ra` is used to return.

12.5.1 Register Use

Calling procedure concerns not only jumping, but also register management. Specifically, register `$s0-$s7` are **saved registers**; they *must* be preserved by callee:

- save in the **stack** at the start of procedure

- restore at the end of procedure

In the contrary, temporary registers `$t0-$t9` does not need to be preserved by callee. Therefore, the attempt to save them are the responsibility of the caller.

12.5.2 Stack

In MIPS, stack is a continuous array in memory containing only 32-bit full words. The **stack pointer register** `$sp` points at the *top* item of the stack.

Note the elements in the stack has increasing address when going inside the stack.

Definition 12.1 (Push).

Push operation is used to storing item to the stack. The procedure involves the allocation of space and the storing of the word.

```
subu $sp, $sp, 4
sw $t0, 0($sp)
```

Definition 12.2 (Pop).

Pop operation is used to removing item from the stack. The procedure involves the removal of the word followed by a deallocation of space.

```
lw $t0, 0($sp)
addu $sp, $sp, 4
```

12.5.3 Jump and Link `jal`

With `j`, the subroutine, when get called, can only be used once. Therefore, the convention is to use `jal` to jump and link, and `jr $ra` to return from subroutine.

Remark: The `$ra` holds the address of the *second* instruction after the `jal` instruction.

This is because the machine cycle has already incremented the PC by 4 when reaching `jal`, and the instruction `jal` increase PC by *another* 4, and put that inside register `$ra`.

The first instruction after the `jal` is called a **branch delay slot**.

The workflow of `jal` instruction is

1. **Fetch:** When `jal` is fetched, PC_{fetch} has the address of the `jal` instruction.
2. **Increment:** The PC_{inc} is incremented to $PC_{\text{fetch}} + 4$.
3. **Execute:** `$ra` is set to $PC_{\text{inc}} + 4$, and PC_{execute} is updated to the address of the subroutine.
4. The instruction in the branch delay slot is executed.
5. Execution continues with the instruction whose address is in the PC is being fetched. Control is passed to subroutine, whose return address is `$ra`.

12.5.4 Return

`jr $ra` will return from the subroutine, by working as following:

- Copy addresses in `$ra` to PC.
- Execute the next instruction immediately below `jr`.
- Execution continues with the instruction whose address is in the PC is being fetched. Control is surrendered by the subroutine.

12.6 Simple Linkage Convention

In simple linkage convention, the following rules are preserved

1. A subroutine is called using `jal`.
2. A subroutine will **NOT** call another subroutine.
3. The subroutine return to its called using `jr $ra`.
4. Registers are used as follows:
 - `$t0-$t9`: the subroutine is free to change these registers.
 - `$s0-$s7`: the subroutine must **not** change these registers.
 - `$a0-$a3`: these registers contain **arguments for the subroutine**. The subroutine can change them.
 - `$v0-$v1`: these registers contain values returned from the subroutine
5. the **main** routine returns control by using the exit service of the SPIM exception handler.

12.7 Stack Linkage

Simple linkage convention do not allow nested subroutine calls, as some registers should not change value, for example `$ra`.

Stack linkage resolves this problem by storing relevant registers in the memory stack, calling another subroutine, and when returned from subroutine, retrieve the correct `$ra` from the memory stack.

The stack linkage convention has the following rules

- Subroutine call (done by the caller)
 - 1 Push onto the stack any registers `$t0-$t9` that contain value that must be saved.¹⁷
 - 2 Put arguments values into `$a0-$a3`.
 - 3 Call the subroutine using `jal`.

¹⁷This is because the subroutine can and might change these registers.

- Subroutine Prolog (done by the subroutine/callee at the beginning)
 - 4 If this subroutine might call other subroutines, push `$ra` onto the stack.
 - 5 Push onto the stack any registers `$s0-$s7` that this subroutine might alter.
- Subroutine Body
 - 6 The subroutine may alter and `$t?` or `$a?` registers, or any `$s?` register that has been saved in the prolog(step 5).
 - 7 If the subroutine calls another subroutine, then it does so by following these rules again.
- Subroutine Epilog (done by the subroutine/callee just before it returns to the caller)
 - 8 Put returned values in `$v0-$v1`.
 - 9 Pop from the stack (in reverse order) any registers `$s0-$s7` that were pushed in the prolog (step 5)
 - 10 If the return address was pushed in the prolog(step 4), pop it from the stack into `$ra`.
 - 11 return to the caller by `jr $ra`.
- Regaining Control (done by the caller)
 - 12 Pop from the stack (in reverse order) any registers `$t0-$t9` that were previously pushed.

12.8 Frame Linkage

Frame-based linkage convention is similar to that of the stack linkage:

- Calling a subroutine (done by the caller)
 1. Push any registers `$t0-$t9` that contain values that must be saved. Push the registers in numerical order.
 2. Put argument values into `$a0-$a3`.
 3. Call the subroutine using `jal`.
- Subroutine Prolog (done by the subroutine)
 - 4 Push `$ra` (always).
 - 5 Push the caller's frame pointer `$fp`.
 - 6 Push any of the registers `$s0-$s7` that the subroutine might alter.

7 Initialize the frame pointer:

$$\text{\$fp} = \text{\$sp} - \text{space_for_variables}$$

The "space for variables" is four times the number of local variables. (Remember that subtracting from `\$sp` grows the stack, and that our variables are always four bytes wide).

8 Initialize the stack pointer:

$$\text{\$sp} = \text{\$fp}$$

- Subroutine Body:

9 The subroutine may alter any `\$t?`, `\$a?`, or `\$v?` register, or any `\$s?` register that it saved in the prolog.

10 The subroutine refers to local variables as `disp(\$fp)`.

11 The subroutine may push and pop values on the stack using `\$sp`.

12 If the subroutine calls another subroutine, then it does so by these rules again.

- Subroutine Epilog (done at the end of the subroutine)

13 Put return values in `\$v0-$v1`.

14 `\$sp = \$fp + space_for_variables`

15 Pop into `\$s0-$s7` any values for them that were previously saved in the frame (in step 6).

16 Pop the caller's frame pointer into `\$fp`.

17 Pop `\$ra` (always).

18 Return to the caller using `jr \$ra`.

- Regaining Control from a Subroutine (done by the caller)

19 Pop any registers `\$t0-$t9` that the caller previously pushed.

12.9 Syscall

In MIPS, request to OS is done by the `syscall` function. It follows the 2 lines below

```
li $v0, code
syscall
```

The OS will be invoked according to the code stored in `\$v0`.

Service	Code in \$v0	Arguments	Returned Value
print integer	1	\$a0	
print float	2	\$f12	
print double	3	\$f12, \$f13	
print string	4	\$a0, address of the string	
read integer	5		\$v0
read float	6		\$f0
read double	7		\$f0, \$f1
read string	8	\$a0, buffer address, \$a1, buffer length	
allocate memory	9	\$a0, number of bytes	\$v0, address
exit	10		

13 Basic Datapath

Definition 13.1 (Single Cycle Datapath).

In **single cycle datapath**, *all* instructions execute in a single cycle of the clock.

The generic implementation of processor requires the realisation of instruction processing cycle. MIPS has the following implementation:

- Use the program counter(PC) to supply the instruction address, fetch the instruction from instruction memory and update PC
- Decode the instruction in Register File
- Execute the instruction

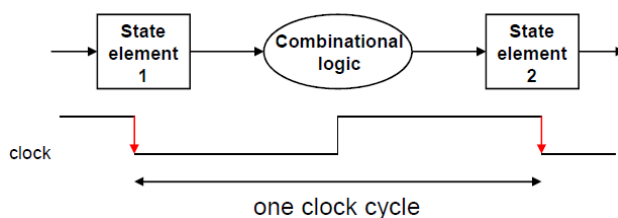
Note that all instructions except *j* involves the ALU after reading the registers.

13.1 Clocking methodology

The clocking methodology defines when signals can be read and when they are written. MIPS uses a **edge-triggered** methodology.

Typical execution involves

- Read contents of state elements
- Send values through combinatorial logic
- Write results to one or more state elements



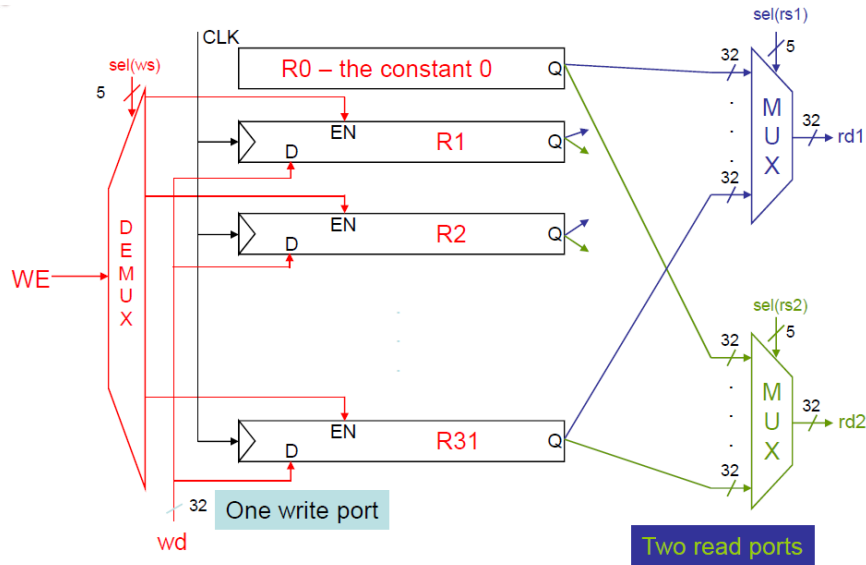
State elements are assumed to be written on every clock cycle.

If there is explicit **write control** signal, then write will only occur when **both** the write control is asserted **and** clock edge occurs.

13.2 Fetching Instructions

Fetching instructions involves

- Reading the instruction from the **Instruction Memory**¹⁸
- Updating the PC to hold the address of the next instruction



The Register File interfaces with the ALU:

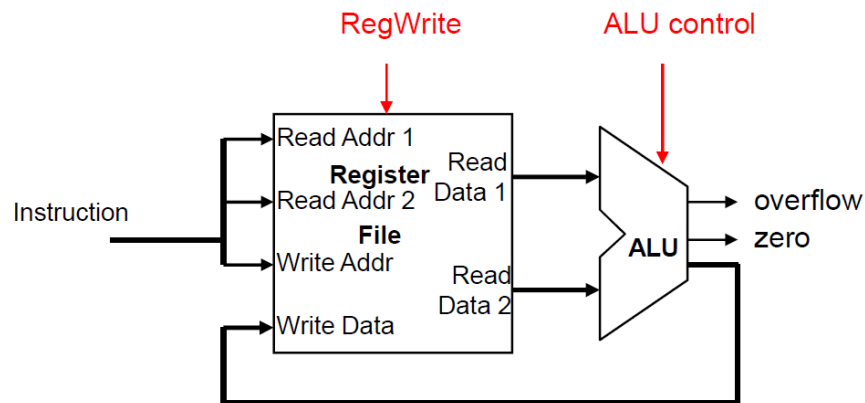


Figure 13: Datapath during add instruction

Remark: the Register File is not written every cycle, so an explicit write control signal is required.

13.3.2 ALU

ALU is a combination of arithmetic instructions and logical operations. Operations to be done is selected by control signals, which is generated during *decode time*.

ALU has additional outputs, say zero and overflow.

ALU interfaces with Data Memory, during load and store operations.

Remark:

- Sign Extend combinatorial logic is used in I-format instructions to realise **ZeroExtImm** and **SignExtImm**.¹⁹

¹⁹Refer to MIPS Instruction Sheet for details.

- Register File can accept data either from ALU or from Data Memory output Read Data, depending on the instructions.
- During **lw** and **sw**, ALU interfaces with Data Memory and specifies the data address. In **sw**, one data output Read Data 2 from Register File interfaces with Data Memory instead of ALU and specifies what data to write.

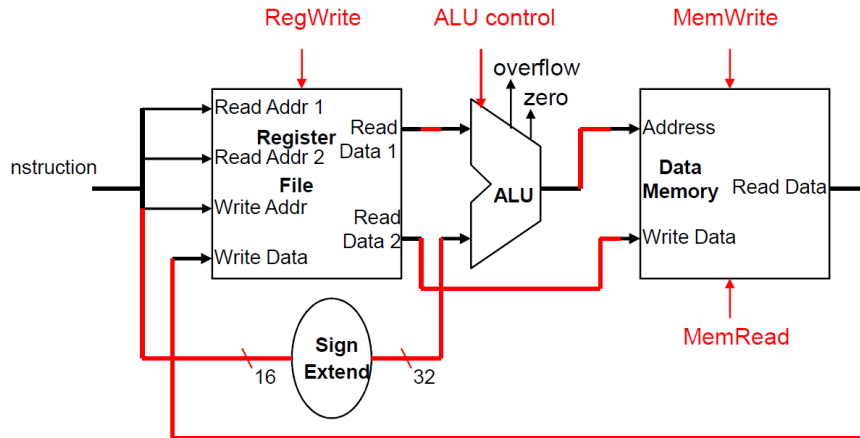


Figure 14: Datapath during **lw** instruction

- During branching instructions **beq** and **bne**, ALU interfaces with branch control logic using its **zero** ALU input, which indicates whether the two registers has the same data. Note that the left shift 2 and addition operations are performed by combinatorial logic *outside* ALU.

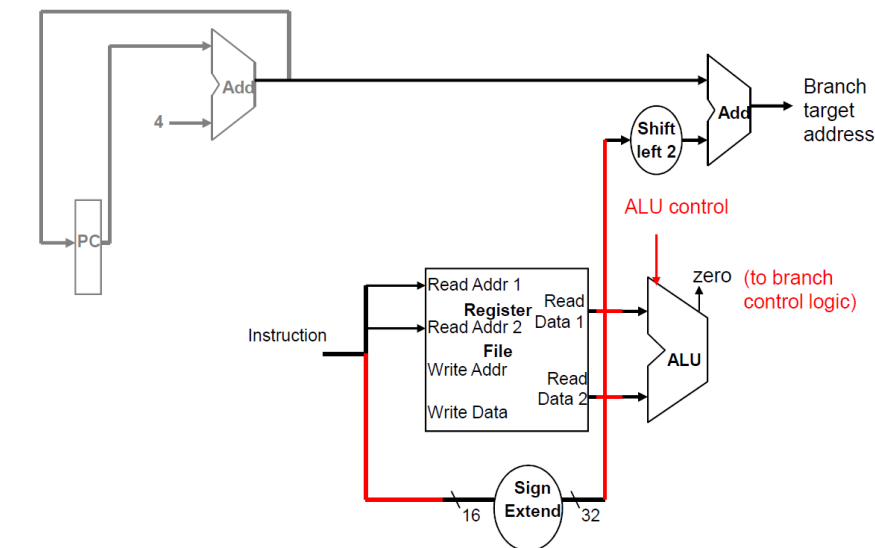


Figure 15: Datapath during **beq** instruction

- ALU will not be used in jump instructions **jr**, since it is unconditional.

From the above we can conclude the role of each building block, allowing us to design a **single cycle** processor. In addition, we need

- **multiplexors**, at the input of shared elements with control lines to do the selection
- **control signals**

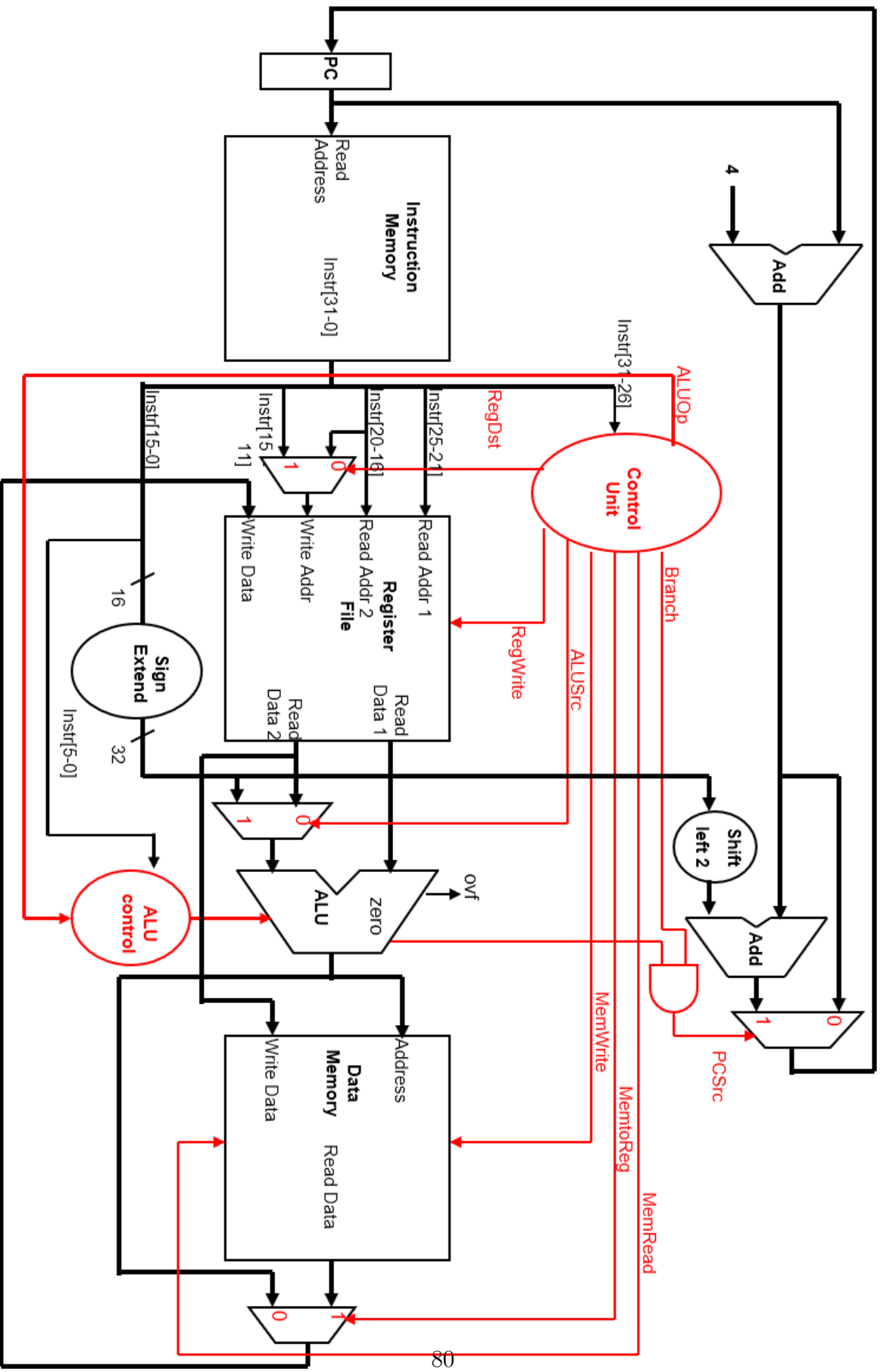
Remark:

- No datapath resource can be used more than once per instruction in single cycle processor, a shortcoming overcome by pipelined processor.
- Cycle time is determined by length of the longest path.

On the next page is the outline of single cycle processor.

Control signals will follow the convention of the table below.

Instruction [31..26]	RegDst	ALUSrc	MemtoReg	RegWrite	MemRead	MemWrite	Branch	ALUOp ²⁰	Jump
R type 000000	1	0	0	1	0	0	0	10	0
lw 100011	0	1	1	1	1	0	0	00	0
sw 101011	X	1	X	0	0	1	0	00	0
beq 000100	X	0	X	0	0	0	1	01	0
j 000010	X	X	X	0	0	0	0	00	1
nop	0	0	0	0	0	0	0	00	0



The ALUControl is another control signal of length 4 bits.

- 0000: AND
- 0001: OR
- 0010: add
- 0110: subtract
- 0111: set less than
- 1100: NOR

However, ALUControl also depends on ALUOp:

ALUOp1	ALUOp0	Funct	ALUOperation
0	0	XXXXXX	0010
X	1	XXXXXX	0110
1	X	XX0000	0010
1	X	XX0010	0110
1	X	XX0100	0000
1	X	XX0101	0001
1	X	XX1010	0111

14 Basic Pipelining

14.1 Introduction

A **pipelined** MIPS processor starts the next instruction before the current one has completed. Pipelining

- improves **throughput** - total amount of work done in a given time, but
- instruction **latency** remains the same

Modification of datapath is required for enabling pipelining. Specifically, **state registers** are added between each **pipeline stage** to *isolate* them.

Definition 14.1 (State Registers).

Pipeline registers are arrays of D flip-flops driven by the clock. The clock rate is determined by the **slowest stage**.

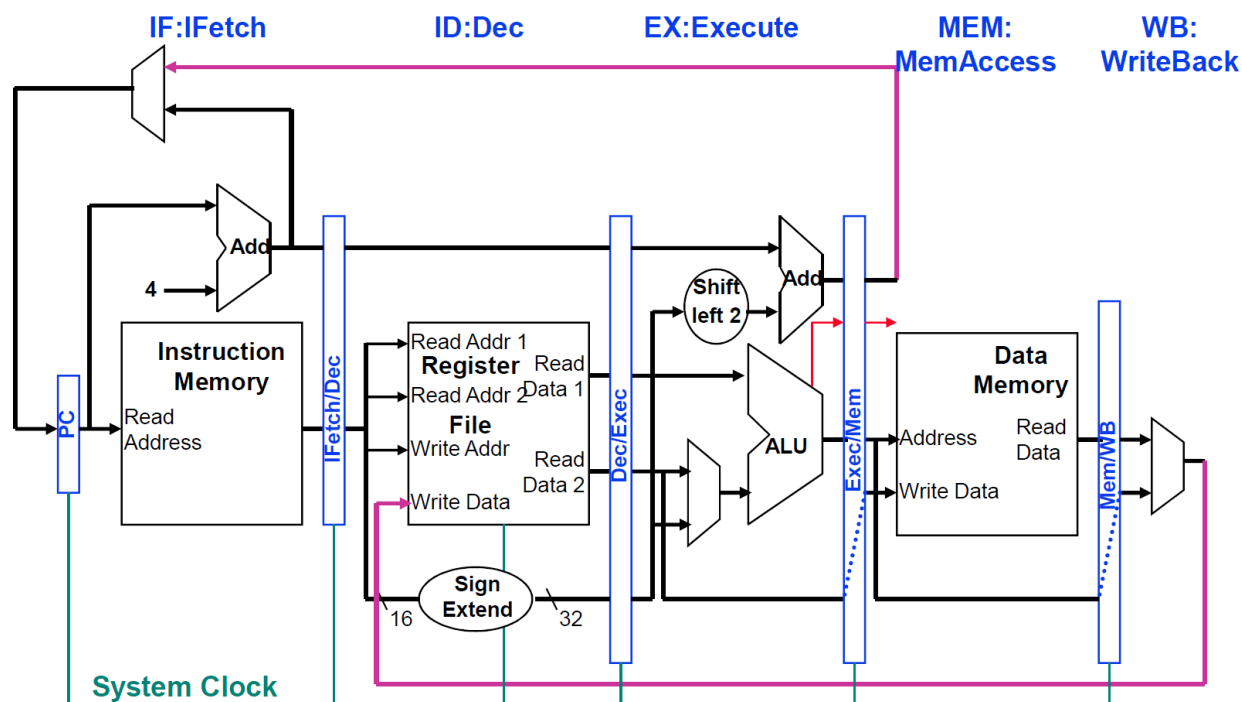
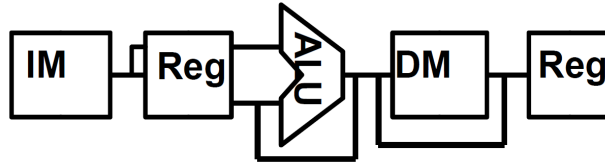


Figure 16: A simplistic modification to accommodate pipelining

14.2 MIPS Pipeline

MIPS pipelining follows the Instruction Execution Cycle.

In this pipeline, once the pipeline is full, one instruction will be completed every cycle, so CPI



14.3 Pipeline Hazards

There are three types of pipeline hazards:

- **Structural hazards:** attempt to use the **same resource** by two different instructions at the same time
- **Data hazards:** attempt to use data **before it is ready**
- **Control hazards:** attempt to make a decision about program control flow before the evaluation of condition

One simplistic but suboptimal way to resolve hazards is **waiting**.

14.3.1 Structural Hazard

Register usage can cause data hazards in terms of **dependencies backward in time**.²¹ Dependencies backward in time can cause

- Read before write data hazard
- Load-use data hazard

Proper way to fix a data hazard is **forwarding** results as soon as they are available to where they are needed.

Read before write data hazard can be resolved by forwarding the ALU output towards the ALU input.

Load-use data hazard can be resolved by forwarding the Data Memory output towards the ALU input, and **stall for one cycle** if the immediately next instruction needs the output.

14.3.2 Control Hazard

Control hazards can be caused by dependencies backward in time too, in branching instructions.

Proper way to fix a control hazard is to stall (3 clock cycles) until address to be branched to is determined by the ALU output.

14.4 Corrected Datapath (Without Forwarding)

Next instructions could **corrupt Register Write information and control signals**. Therefore, the datapath need to be modified, so that these information are saved in the state registers and propagates along with the instruction execution.

²¹Suppose **\$rd** is also the **\$rs** of the next instruction, then the register will not be updated in the decode stage next instruction.


```

and (MEM/WB.RegisterRd=ID/EX.RegisterRs))
  ForwardA = 01
  if (MEM/WB.RegWrite
and (MEM/WB.RegisterRd!=0)
and (EX/MEM.RegisterRd!=ID/EX.RegisterRt) //Do nothing if being overridden
and (MEM/WB.RegisterRd=ID/EX.RegisterRt))
    ForwardB = 01

```

Remark:Forwarding always crosses the stages. In MIPS, the data will always be forwarded to the EX stage, so the data source are from DM and WB stage respectively.

14.5.1 Forwarding Unit

Forwarding Unit is a combinatorial logic which has

- 5 inputs
 1. ID/EX.RegisterRs
 2. ID/EX.RegisterRt
 3. EX/MEM.RegisterRd
 4. MEM/WB/RegisterRd
 5. MEM/WB.RegWrite control signal
- 2 outputs
 1. FA selection bits
 2. FB selection bits

The forwarding also requires multiplexor, which selects according to the following rule:

Signal	Source
00	Register File
01	Data to be written back to Register File in WB stage
10	Output from ALU in DM stage

14.5.2 Memory-to-Memory Copies

Memory-to-Memory copies is a forwarding strategy in DM stage. It is applicable in cases that load instruction is immediately followed by store instruction.

Memory-to-Memory copies can be realised by adding a forward unit and MUX to the DM stage, which will not be implemented later.

14.6 Hazard Unit

As noted above, load-use data hazard will still need one cycle of stall of the instruction in the ID stage²². Therefore, we need the **hazard unit** to detect and implement the stall.

The hazard can be detected by the following logic:

```
if(ID/EX.MemRead //Current Instruction loads from DM
and ((ID/EX.RegisterRt = IF/ID.RegisterRs) //next instruction uses loaded data
or (ID/EX/ RegisterRt = IF/ID/ RegisterRt)))
    //stall the pipeline
    IF/ID.write = 0
    IsZeroForEX/MEM/WB = 1
```

The stall operation includes the following operations:

- Prevent the instruction in the IF and ID stages from progressing down the pipeline.
This is achieved by
 - Prevent writing of PC, by controlling the control signal of PC: `PC.write`
 - Prevent writing of IF/ID state register, by controlling the control signal of IF/ID: `IF/ID.write`
- Insert NOP instruction between load instruction in EX stage and the use instruction in ID stage.
This is achieved by
 - Set the control bits in the EX, MEM, WB control fields of ID/EX state register to 0, by means of multiplexors.
- Let the load instruction and instructions after it proceed normally down the pipeline.

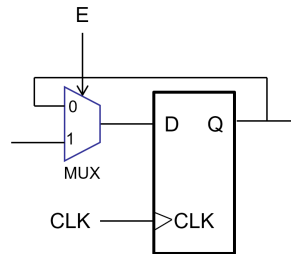
Therefore, the hazard unit has

- 5 inputs
 1. ID/EX.MemRead Control Signal
 2. IF/ID.RegisterRs
 3. IF/ID.RegisterRt
 4. ID/EX.RegisterRt
 5. Branch Control Signal
- 2 outputs
 1. IF/ID.write control signal
 2. Control signal to all EX, MEM, WB control signal output from control unit

²²Since the current instruction is in EX stage and data will only be available at the next unit time when current instruction is in DM stage

14.6.1 Zeroing Control Signal

The following figure shows the proper way to zeroing the control signal, where the original data is cycled back to the multiplexor 0 position and 0 is connected to the 1 position.



14.6.2 NOP Instruction

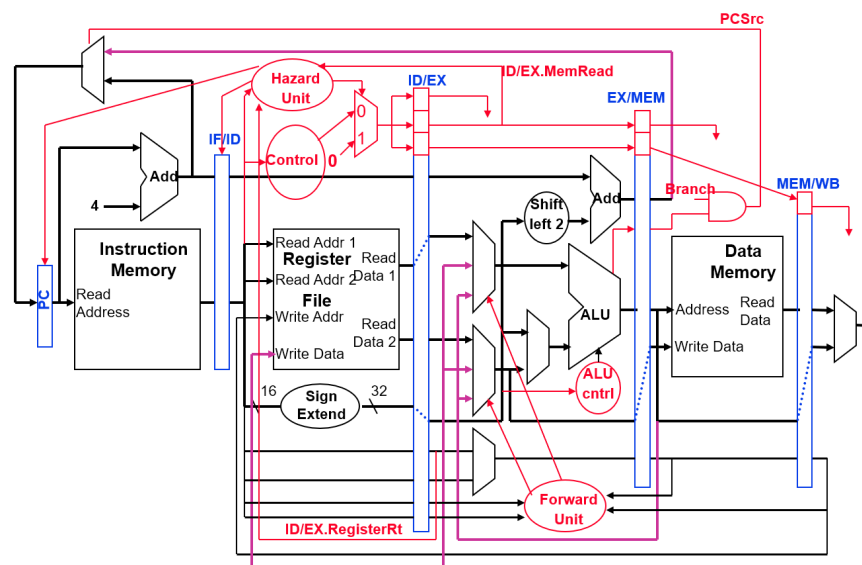
Definition 14.2 (NOP).

The NOP instruction is defined as

`sll $0, $0, 0`

Equivalently, NOP has instruction value 0x0000 0000.

14.7 Further Corrected Datapath (Without Jump and Branch Optimisation)



14.8 Control Hazard

Control hazard arises when the flow of instruction addresses is not sequential, which is incurred by the change of flow using branch and jump instruction.

Control hazard can be solved by

- Stall
- Move decision point as early as possible to reduce stall
- Delay decision with compiler support
- Predict and Hope for the best

14.8.1 Jump

Jump instruction mandates one flush, since new address is only available at the second half of ID stage.

There are two ways to implement the stall, either by inserting NOP instruction(used in solving load-use hazard), or by replacing the instruction in the pipeline with a NOP instruction(used in instructions sequentially after j instruction).

14.8.2 Branch

Branch instructions can incur minimum one stall after itself, by adding hardware in ID stage to evaluate the branch decision.

However, previous instructions may a dependency, so it is possible there is one stall before branching instruction. This can be implemented by the hazard unit, with additional inputs. After resolving the aforementioned stall, the branch decision involves the following logic:

```
if(IDcontrol.Branch //is Branch Instruction
and (EX/MEM.RegisterRd!=0) //Previous Instruction will affect evaluation
and (EX/MEM.RegisterRd = IF/ID.RegisterRs)) //Two instructions before
    ForwardC = 1
if(IDcontrol.Branch
and (EX/MEM.RegisterRd!=0)
and (EX/MEM.RegisterRd = IF/ID.RegisterRt))
    ForwardD = 1
```

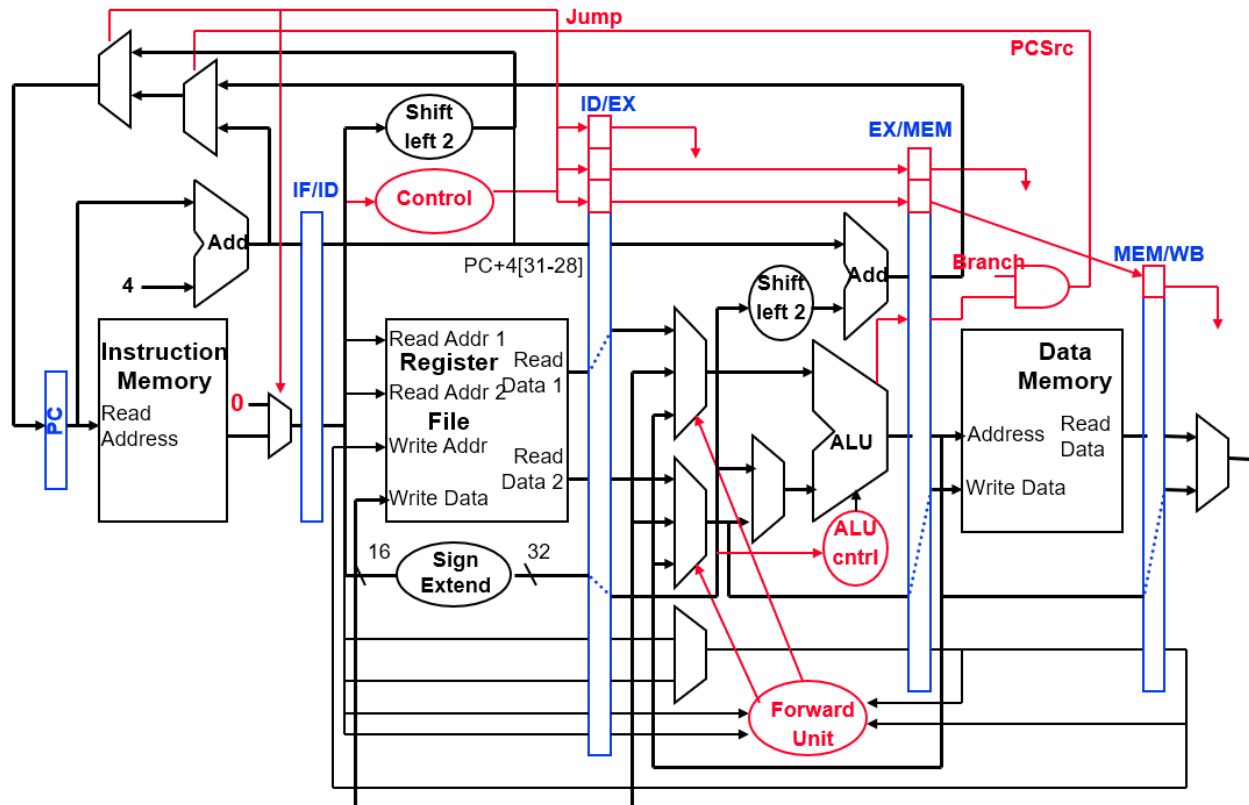
If the branching is found to be taken, a flush will be done for the instruction in IF stage, performed by the Hazard Unit.

The branch/jump detection and forwarding is realised by another Forward Unit. The Forward Unit has

- 3 inputs
 - IF/ID.RegisterRs
 - IF/ID.RegisterRt

- EX/MEM.RegisterRd
- 2 outputs
 - ForwardC selector bit
 - ForwardD selector bit

14.9 Final Corrected Datapath



14.10 Other Resolutions

14.10.1 Delayed Decision

Aforementioned is one way to resolve control hazard, that is to move decision point earlier. Another way is **delayed decision**, where compiler will **reorder** instruction in attempt to reduce stall hence decreases CPI.

14.10.2 Static Branch Prediction

Static Branch Prediction is another way to resolve control hazard, by *assuming* a given outcome and proceeding *without* waiting to see the actual branch outcome.

For example, in **predict not taken** scheme, pipeline will always predict branches not to be

taken and continue to fetch from the sequential instruction stream. Only when branch is taken, the pipeline will be stalled:

- If the branch logic is realised in **MEM/WB**, then 3 stalls are required since **IF**, **ID**, **EX** stages need to be flushed.
- If the branch logic is realised in **EX**, then 2 stalls.
- If the branch logic is realised in **IF**, then 1 stall.

Remark:

Predict not taken works well for “top of the loop” branching instructions, but not well for “bottom of the loop” branching instructions.

14.10.3 Dynamic Branch Prediction

Dynamic Branch Prediction works with a **branch prediction buffer**, on which its action depends.

In 1-bit predictor scheme will invert the prediction if incorrect, whereas the 2-bit predictor will only invert if it predicts incorrectly **twice**.

15 Processor Cache

15.1 Cache Basics

Definition 15.1 (Cache Block).

Cache block/line is the unit of transfer between memory and cache

Block size is typically one or more words.

Remark: Addresses of words within a 2^N byte block have identical $(32 - N)$ most significant bits.

Specifically, bit $31 \sim N$ is the **block number**, whereas $N - 1 \sim 0$ is the **byte offset**.

Definition 15.2 (Hit, Miss).

Hit happens when block is in cache. Hit rate is the fraction of memory accesses that hit and hit time is the time to access cache.

Miss happens when block is not in cache. Miss rate = $1 - \text{hit rate}$. Miss penalty denotes the time to **replace** cache block and deliver data.

Usually, hit time $<$ miss penalty.

Definition 15.3 (Average Memory Access Time).

Average access time is calculated by

$$\text{Hit rate} \times \text{Hit Time} + (1 - \text{Hit rate}) \times \text{Miss penalty}$$

15.2 Directed Mapped Cache

Definition 15.4 (Cache Index).

In directed mapped cache, cache index is determined as follows

$$\text{Cache Index} = \text{MemoryBlockNumber} \bmod \text{NumberOfCacheBlocks}$$

Remark: Suppose there are 2^M cache blocks, the last M bits of the memory block number is the **cache index**.

Definition 15.5 (Tag Number).

Tag is a unique identifier for blocks of the same cache index. It is determined by

$$\text{Tag} = \text{Block Number} / \text{Number of Cache Blocks}$$

Therefore, a memory address can be regarded as

$$\underbrace{\text{Tag}}_{32-N-M} \mid \underbrace{\text{Index}}_M \mid \underbrace{\text{Offset}}_N$$

where there are 2^M cache blocks, and each cache block has size of 2^N bytes.

15.2.1 Cache Structure

Along with a data block, cache contains

- **Tag** of the memory block
- **valid** bit indicating whether the cache line contains valid data.

Theorem 15.1 (Cache Hit Check).

A cache hit happens when

$$\text{Tag}[\text{index}] = \text{Tag}[\text{Memory Address}] \ \&\& \ \text{Valid}[\text{index}] = \text{true}$$

15.3 Memory Load Instruction

Cache initially is empty. All valid bits are set to 0. When loading data from memory, cache performs as such

- Suppose there is a cold/compulsory **miss**, load from memory the cache line; and set tag and valid bit.
- Suppose there is a hit, return the requested word to register.

15.4 Memory Store Instruction

There are two write policies, namely **write-through** cache and **write-back** cache.

15.4.1 Write-through Cache

In write-through cache, data will be written to both cache **and** main memory. Writing to memory uses **write buffer**, a FIFO device, which works without overflow if store frequency $< 1/\text{DRAM write cycle}$.

15.4.2 Write-back Cache

In write-back cache, an additional **dirty** bit is added to each cache block.

If there is a write request to memory, write data to cache and set dirty bit to 1, without writing to main memory.

Only when a cache block is replaced, if the dirty bit is 1, write that cache block to main memory. Equivalently, cache write occurs **only** on replacement.

15.5 Cache Miss on Write

On a read miss, the only option is to load data into the cache and load cache into register. In contrast, there are two options on a write miss, namely **write allocate** and **write around**.

15.5.1 Write Allocate

In write allocate,

- Load the complete block into cache,
- Change only the required word in cache
- Write to main memory depends on the write policy

15.5.2 Write Around

In write around,

- Do not load the block to cache
- Write directly to **main memory** only

MIPS Reference Data

①



CORE INSTRUCTION SET

NAME, MNEMONIC	FOR-MAT	OPERATION (in Verilog)	OPCODE / FUNCT (Hex)
Add	add R	$R[rd] = R[rs] + R[rt]$	(1) 0 / 20 _{hex}
Add Immediate	addi I	$R[rt] = R[rs] + \text{SignExtImm}$	(1,2) 8 _{hex}
Add Imm. Unsigned	addiu I	$R[rt] = R[rs] + \text{SignExtImm}$	(2) 9 _{hex}
Add Unsigned	addu R	$R[rd] = R[rs] + R[rt]$	0 / 21 _{hex}
And	and R	$R[rd] = R[rs] \& R[rt]$	0 / 24 _{hex}
And Immediate	andi I	$R[rt] = R[rs] \& \text{ZeroExtImm}$	(3) c _{hex}
Branch On Equal	beq I	if($R[rs] == R[rt]$) $PC = PC + 4 + \text{BranchAddr}$	(4) 4 _{hex}
Branch On Not Equal	bne I	if($R[rs] != R[rt]$) $PC = PC + 4 + \text{BranchAddr}$	(4) 5 _{hex}
Jump	j J	$PC = \text{JumpAddr}$	(5) 2 _{hex}
Jump And Link	jal J	$R[31] = PC + 8; PC = \text{JumpAddr}$	(5) 3 _{hex}
Jump Register	jr R	$PC = R[rs]$	0 / 08 _{hex}
Load Byte Unsigned	lbu I	$R[rt] = \{24'b0, M[R[rs] + \text{SignExtImm}](7:0)\}$	(2) 24 _{hex}
Load Halfword Unsigned	lhu I	$R[rt] = \{16'b0, M[R[rs] + \text{SignExtImm}](15:0)\}$	(2) 25 _{hex}
Load Linked	ll I	$R[rt] = M[R[rs] + \text{SignExtImm}]$	(2,7) 30 _{hex}
Load Upper Imm.	lui I	$R[rt] = \{\text{imm}, 16'b0\}$	f _{hex}
Load Word	lw I	$R[rt] = M[R[rs] + \text{SignExtImm}]$	(2) 23 _{hex}
Nor	nor R	$R[rd] = \sim (R[rs] R[rt])$	0 / 27 _{hex}
Or	or R	$R[rd] = R[rs] R[rt]$	0 / 25 _{hex}
Or Immediate	ori I	$R[rt] = R[rs] \text{ZeroExtImm}$	(3) d _{hex}
Set Less Than	slt R	$R[rd] = (R[rs] < R[rt]) ? 1 : 0$	0 / 2a _{hex}
Set Less Than Imm.	slti I	$R[rt] = (R[rs] < \text{SignExtImm}) ? 1 : 0$	(2) a _{hex}
Set Less Than Imm. Unsigned	sltiu I	$R[rt] = (R[rs] < \text{SignExtImm}) ? 1 : 0$	(2,6) b _{hex}
Set Less Than Unsig.	sltu R	$R[rd] = (R[rs] < R[rt]) ? 1 : 0$	(6) 0 / 2b _{hex}
Shift Left Logical	sll R	$R[rd] = R[rt] \ll \text{shamt}$	0 / 00 _{hex}
Shift Right Logical	srl R	$R[rd] = R[rt] \gg \text{shamt}$	0 / 02 _{hex}
Store Byte	sb I	$M[R[rs] + \text{SignExtImm}](7:0) = R[rt](7:0)$	(2) 28 _{hex}
Store Conditional	sc I	$M[R[rs] + \text{SignExtImm}] = R[rt];$ $R[rt] = (\text{atomic}) ? 1 : 0$	(2,7) 38 _{hex}
Store Halfword	sh I	$M[R[rs] + \text{SignExtImm}](15:0) = R[rt](15:0)$	(2) 29 _{hex}
Store Word	sw I	$M[R[rs] + \text{SignExtImm}] = R[rt]$	(2) 2b _{hex}
Subtract	sub R	$R[rd] = R[rs] - R[rt]$	(1) 0 / 22 _{hex}
Subtract Unsigned	subu R	$R[rd] = R[rs] - R[rt]$	0 / 23 _{hex}

- (1) May cause overflow exception
- (2) $\text{SignExtImm} = \{16\{\text{immediate}[15]\}, \text{immediate}\}$
- (3) $\text{ZeroExtImm} = \{16\{1b'0\}, \text{immediate}\}$
- (4) $\text{BranchAddr} = \{14\{\text{immediate}[15]\}, \text{immediate}, 2'b0\}$
- (5) $\text{JumpAddr} = \{PC + 4[31:28], \text{address}, 2'b0\}$
- (6) Operands considered unsigned numbers (vs. 2's comp.)
- (7) Atomic test&set pair; $R[rt] = 1$ if pair atomic, 0 if not atomic

BASIC INSTRUCTION FORMATS

R	opcode	rs	rt	rd	shamt	funct
	31	26 25	21 20	16 15	11 10	6 5
	0					
I	opcode	rs	rt	immediate		
	31	26 25	21 20	16 15		
	0					
J	opcode	address				
	31	26 25				
	0					

ARITHMETIC CORE INSTRUCTION SET

② OPCODE

NAME, MNEMONIC	FOR-MAT	OPERATION	FMT / FUNCT (Hex)
Branch On FP True	bclt FI	if($FPcond$) $PC = PC + 4 + \text{BranchAddr}$	(4) 11/8/1/--
Branch On FP False	bclft FI	if(! $FPcond$) $PC = PC + 4 + \text{BranchAddr}$	(4) 11/8/0/--
Divide	div R	$Lo = R[rs] / R[rt]; Hi = R[rs] \% R[rt]$	0/--/--1a
Divide Unsigned	divu R	$Lo = R[rs] / R[rt]; Hi = R[rs] \% R[rt]$	(6) 0/--/--1b
FP Add Single	add.s FR	$F[fd] = F[fs] + F[ft]$	11/10/--0
FP Add Double	add.d FR	$\{F[fd], F[fd+1]\} = \{F[fs], F[fs+1]\} + \{F[ft], F[ft+1]\}$	11/11/--0
FP Compare Single	c.x.s* FR	$FPcond = (F[fs] \text{ op } F[ft]) ? 1 : 0$	11/10/--y
FP Compare Double	c.x.d* FR	$FPcond = (\{F[fs], F[fs+1]\} \text{ op } \{F[ft], F[ft+1]\}) ? 1 : 0$	11/11/--y
* (x is eq, lt, or le) (op is ==, <, or <=) (y is 32, 3c, or 3e)			
FP Divide Single	div.s FR	$F[fd] = F[fs] / F[ft]$	11/10/--3
FP Divide Double	div.d FR	$\{F[fd], F[fd+1]\} = \{F[fs], F[fs+1]\} / \{F[ft], F[ft+1]\}$	11/11/--3
FP Multiply Single	mul.s FR	$F[fd] = F[fs] * F[ft]$	11/10/--2
FP Multiply Double	mul.d FR	$\{F[fd], F[fd+1]\} = \{F[fs], F[fs+1]\} * \{F[ft], F[ft+1]\}$	11/11/--2
FP Subtract Single	sub.s FR	$F[fd] = F[fs] - F[ft]$	11/10/--1
FP Subtract Double	sub.d FR	$\{F[fd], F[fd+1]\} = \{F[fs], F[fs+1]\} - \{F[ft], F[ft+1]\}$	11/11/--1
Load FP Single	lwc1 I	$F[rt] = M[R[rs] + \text{SignExtImm}]$	(2) 31/--/--
Load FP Double	ldc1 I	$F[rt] = M[R[rs] + \text{SignExtImm}];$ $F[rt+1] = M[R[rs] + \text{SignExtImm} + 4]$	(2) 35/--/--
Move From Hi	mfhi R	$R[rd] = Hi$	0 /--/--10
Move From Lo	mflo R	$R[rd] = Lo$	0 /--/--12
Move From Control	mfc0 R	$R[rd] = CR[rs]$	10 /0/--0
Multiply	mult R	$\{Hi, Lo\} = R[rs] * R[rt]$	0/--/--18
Multiply Unsigned	multu R	$\{Hi, Lo\} = R[rs] * R[rt]$	(6) 0/--/--19
Shift Right Arith.	sra R	$R[rd] = R[rt] \gg \text{shamt}$	0/--/--3
Store FP Single	swc1 I	$M[R[rs] + \text{SignExtImm}] = F[rt]$	(2) 39/--/--
Store FP Double	sdc1 I	$M[R[rs] + \text{SignExtImm}] = F[rt];$ $M[R[rs] + \text{SignExtImm} + 4] = F[rt+1]$	(2) 3d/--/--

FLOATING-POINT INSTRUCTION FORMATS

FR	opcode	fmt	ft	fs	fd	funct
	31	26 25	21 20	16 15	11 10	6 5
	0					
FI	opcode	fmt	ft	immediate		
	31	26 25	21 20	16 15		
	0					

PSEUDOINSTRUCTION SET

NAME	MNEMONIC	OPERATION
Branch Less Than	blt	if($R[rs] < R[rt]$) $PC = \text{Label}$
Branch Greater Than	bgt	if($R[rs] > R[rt]$) $PC = \text{Label}$
Branch Less Than or Equal	b1e	if($R[rs] \leq R[rt]$) $PC = \text{Label}$
Branch Greater Than or Equal	bge	if($R[rs] \geq R[rt]$) $PC = \text{Label}$
Load Immediate	li	$R[rd] = \text{immediate}$
Move	move	$R[rd] = R[rs]$

REGISTER NAME, NUMBER, USE, CALL CONVENTION

NAME	NUMBER	USE	PRESERVED ACROSS A CALL?
\$zero	0	The Constant Value 0	N.A.
\$at	1	Assembler Temporary	No
\$v0-\$v1	2-3	Values for Function Results and Expression Evaluation	No
\$a0-\$a3	4-7	Arguments	No
\$t0-\$t7	8-15	Temporaries	No
\$s0-\$s7	16-23	Saved Temporaries	Yes
\$t8-\$t9	24-25	Temporaries	No
\$k0-\$k1	26-27	Reserved for OS Kernel	No
\$gp	28	Global Pointer	Yes
\$sp	29	Stack Pointer	Yes
\$fp	30	Frame Pointer	Yes
\$ra	31	Return Address	Yes

OPCODES, BASE CONVERSION, ASCII SYMBOLS

MIPS opcode (31:26)	(1) MIPS funct (5:0)	(2) MIPS funct (5:0)	Binary	Deci- mal	Hexa- decim- al	ASCII Char- acter	Deci- mal	Hexa- decim- al	ASCII Char- acter
(1)	sll	add.f	00 0000	0	0	NUL	64	40	@
j	srl	sub.f	00 0001	1	1	SOH	65	41	A
jal	sra	mul.f	00 0010	2	2	STX	66	42	B
beq	sllv	div.f	00 0011	3	3	ETX	67	43	C
bne	sllv	sqrt.f	00 0100	4	4	EOT	68	44	D
blez	srlv	abs.f	00 0101	5	5	ENQ	69	45	E
bgtz	srlv	mov.f	00 0110	6	6	ACK	70	46	F
addi	sra	neg.f	00 0111	7	7	BEL	71	47	G
addi	jr		00 1000	8	8	BS	72	48	H
addiu	jalr		00 1001	9	9	HT	73	49	I
slli	movz		00 1010	10	a	LF	74	4a	J
sltiu	movn		00 1011	11	b	VT	75	4b	K
andi	syscall	round.w.f	00 1100	12	c	FF	76	4c	L
ori	break	trunc.w.f	00 1101	13	d	CR	77	4d	M
xori		ceil.w.f	00 1110	14	e	SO	78	4e	N
lui	sync	floor.w.f	00 1111	15	f	SI	79	4f	O
(2)	mfhi		01 0000	16	10	DLE	80	50	P
mthi			01 0001	17	11	DC1	81	51	Q
mflo	movz.f		01 0010	18	12	DC2	82	52	R
mtlo	movn.f		01 0011	19	13	DC3	83	53	S
			01 0100	20	14	DC4	84	54	T
			01 0101	21	15	NAK	85	55	U
			01 0110	22	16	SYN	86	56	V
			01 0111	23	17	ETB	87	57	W
			01 1000	24	18	CAN	88	58	X
			01 1001	25	19	EM	89	59	Y
			01 1010	26	1a	SUB	90	5a	Z
			01 1011	27	1b	ESC	91	5b	[
			01 1100	28	1c	FS	92	5c	\
			01 1101	29	1d	GS	93	5d]
			01 1110	30	1e	RS	94	5e	^
			01 1111	31	1f	US	95	5f	_
lb	add	cvt.s.f	10 0000	32	20	Space	96	60	`
lh	addu	cvt.d.f	10 0001	33	21	!	97	61	a
lwl	sub		10 0010	34	22	"	98	62	b
lw	subu		10 0011	35	23	#	99	63	c
lbu	and	cvt.w.f	10 0100	36	24	\$	100	64	d
lhu	or		10 0101	37	25	%	101	65	e
lwr	xor		10 0110	38	26	&	102	66	f
	nor		10 0111	39	27	'	103	67	g
sb			10 1000	40	28	(104	68	h
sh			10 1001	41	29)	105	69	i
swl	slt		10 1010	42	2a	*	106	6a	j
sw	sltu		10 1011	43	2b	+	107	6b	k
			10 1100	44	2c	,	108	6c	l
			10 1101	45	2d	-	109	6d	m
			10 1110	46	2e	.	110	6e	n
			10 1111	47	2f	/	111	6f	o
ll	tge	c.f.f	11 0000	48	30	0	112	70	p
lwc1	tgeu	c.un.f	11 0001	49	31	1	113	71	q
lwc2	tlr	c.eq.f	11 0010	50	32	2	114	72	r
pref	tlru	c.ueq.f	11 0011	51	33	3	115	73	s
	teq	c.olt.f	11 0100	52	34	4	116	74	t
ldc1		c.ult.f	11 0101	53	35	5	117	75	u
ldc2	tne	c.ole.f	11 0110	54	36	6	118	76	v
		c.ule.f	11 0111	55	37	7	119	77	w
sc		c.sf.f	11 1000	56	38	8	120	78	x
swc1		c.ngle.f	11 1001	57	39	9	121	79	y
swc2		c.seq.f	11 1010	58	3a	:	122	7a	z
		c.ngl.f	11 1011	59	3b	;	123	7b	{
		c.ltr.f	11 1100	60	3c	<	124	7c	
sdc1		c.ngf.f	11 1101	61	3d	=	125	7d	}
sdc2		c.le.f	11 1110	62	3e	>	126	7e	~
		c.ngt.f	11 1111	63	3f	?	127	7f	DEL

(1) opcode(31:26) == 0

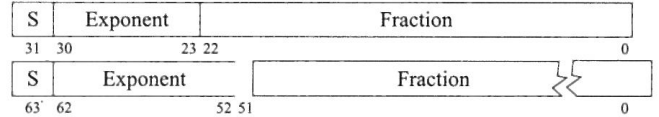
(2) opcode(31:26) == 17_{ten} (11_{hex}); if fmt(25:21) == 16_{ten} (10_{hex}) f = s (single);
if fmt(25:21) == 17_{ten} (11_{hex}) f = d (double)

IEEE 754 FLOATING-POINT STANDARD

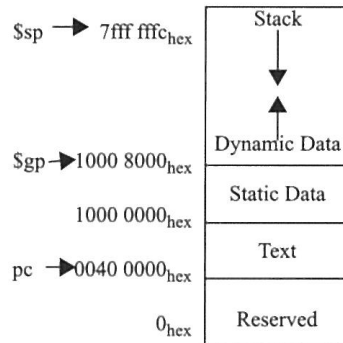
$$(-1)^S \times (1 + \text{Fraction}) \times 2^{(\text{Exponent} - \text{Bias})}$$

where Single Precision Bias = 127,
Double Precision Bias = 1023.

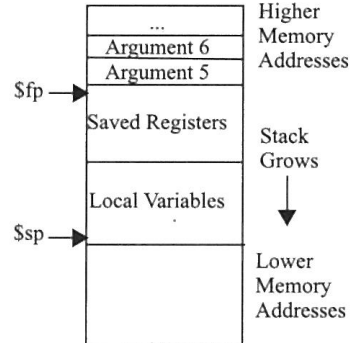
IEEE Single Precision and Double Precision Formats:



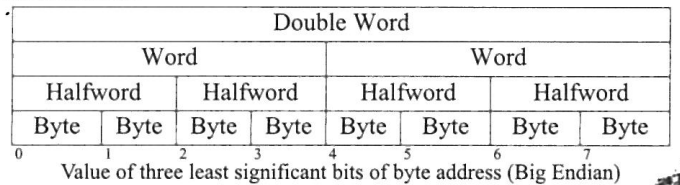
MEMORY ALLOCATION



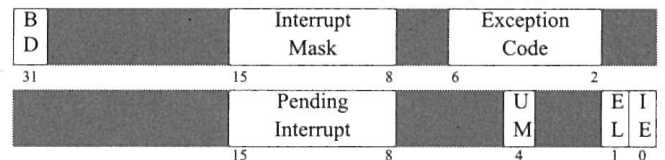
STACK FRAME



DATA ALIGNMENT



EXCEPTION CONTROL REGISTERS: CAUSE AND STATUS



BD = Branch Delay, UM = User Mode, EL = Exception Level, IE = Interrupt Enable

EXCEPTION CODES

Number	Name	Cause of Exception	Number	Name	Cause of Exception
0	Int	Interrupt (hardware)	9	Bp	Breakpoint Exception
4	AdEL	Address Error Exception (load or instruction fetch)	10	RI	Reserved Instruction Exception
5	AdES	Address Error Exception (store)	11	CpU	Coprocessor Unimplemented
6	IBE	Bus Error on Instruction Fetch	12	Ov	Arithmetic Overflow Exception
7	DBE	Bus Error on Load or Store	13	Tr	Trap
8	Sys	Syscall Exception	15	FPE	Floating Point Exception

SIZE PREFIXES (10^x for Disk, Communication; 2^x for Memory)

SIZE	PRE-FIX	SIZE	PRE-FIX	SIZE	PRE-FIX	SIZE	PRE-FIX
10 ³ , 2 ¹⁰	Kilo-	10 ¹⁵ , 2 ⁵⁰	Peta-	10 ⁻³	milli-	10 ⁻¹⁵	femto-
10 ⁶ , 2 ²⁰	Mega-	10 ¹⁸ , 2 ⁶⁰	Exa-	10 ⁻⁶	micro-	10 ⁻¹⁸	atto-
10 ⁹ , 2 ³⁰	Giga-	10 ²¹ , 2 ⁷⁰	Zetta-	10 ⁻⁹	nano-	10 ⁻²¹	zepto-
10 ¹² , 2 ⁴⁰	Tera-	10 ²⁴ , 2 ⁸⁰	Yotta-	10 ⁻¹²	pico-	10 ⁻²⁴	yocto-

The symbol for each prefix is just its first letter, except μ is used for micro.