Revision notes - CS2100

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October 17, 2017

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1 Introduction

Definition 1.1 (Computer).

A computer is a device capable of solving problems according to designed programs. It simply augments our power of storage and speed of calculation.



Figure 1: Computers as information processors.

Definition 1.2 (Hardware Stack).

The hardware stack with the most basic on the top goes like:

- Transistor
- Logic Gate
- Circuits
- Memory
- Processor

Definition 1.3 (Transistor).

A transistor is

- a solid state switch. The input switches on or off the output.
- It is also an *amplifier*. The output signal is much stronger than the input so that things can be connected up.

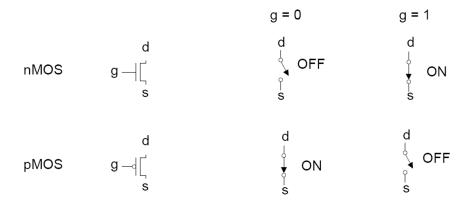
Definition 1.4 (Boolean logic gates). t

To compute, **Boolean logic gates** is built by transistors to compute Boolean logic functions.

The basic Boolean logic gates include:

- NOT
- OR, AND
- NAND, NOR

Theorem 1.1 (Behaviour of nMOS and pMOS transistor).



Examples of logic gates constructed by nMOS and pMOS include:

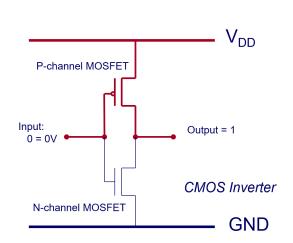


Figure 2: CMOS NOT Gate

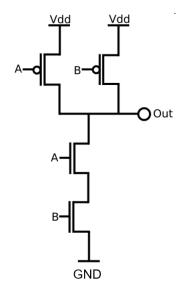


Figure 3: CMOS NAND Gate

2 Number Systems

2.1 Information Representation

Definition 2.1 (Bit).

Bit is the short form of binary digit.

- 0 and 1
- Represent false and true in logic
- \bullet Represent the *low* and *high* states in electronic devices

Other units include

• Byte: 8 bits

• Nibble: 4 bits

• Word: Multiple of byte

Obviously, N bits can represent up to 2^N values. Conversely, to represent M values, $\log_2 M$ bits are required.

Definition 2.2 (Weighted-positional Number System). A weighted-positional number system is one whose

- Base or radix is N.
- position is important, as the value of each symbol/digit is dependent on its **type** and **position** in the number.
- In general,

$$(a_n a_{n-1} \cdot a_1 a_0 \cdot b_1 b_2 \cdot)_N = \sum_{k=0}^n a_k N^k + \sum_{k=1}^\infty b_k N^{-k}$$

For example, in the decimal number system,

$$(593.68)_{10} = 5 \times 10^2 + 9 \times 10^1 + 3 \times 10^0 + 6 \times 10^{-1} + 8 \times 10^{-2}$$

The method of conversion between bases can be found in MA2213 Revision Note. In special cases, binary can be converted to octal and hexadecimal by partitioning the number in groups of 3 and 4 respectively.

2.2 Signed Binary Number

Any real number can be converted to a signed binary number. A **signed binary number** is defined by its

- sign
- absolute value

In general, a signed binary number can be represented as

$$\pm a_{n-1}a_{n-2}\cdots a_0.b_1b_2\cdots$$

where $a_i, b_j = 0$ or 1 for $i = [0..n], j = \mathbb{Z}^+$.

Definition 2.3 (String Representation of Signed Binary Number).

A string representation of signed binary numbers is a bijection from signed binary numbers to strings of bits.

Specifically for binary integers with sign s and absolute value v, define the bijective function f:

$$f: (\text{sign, absolute value}) \to \text{binary String}$$

 $(s, v) \mapsto \text{str}$

where str is the string representation f of that particular signed binary number defined by s and v.

Definition 2.4 (Negation of String Representation).

Negation is a unitary function —:

$$-:$$
 binary String \rightarrow binary String $\mathtt{str} \mapsto -\mathtt{str}$

where -str := f(-s, v)

There are three common string representations of signed binary number, namely

- Sign-and-Magnitude $f_{\rm sm}$
- 1s complement f_{1s}
- 2s complement f_{2s}

In the rest of this subsection, the length of string str is fixed to n, and str = $a_{n-1}a_{n-2}\cdots a_0$.

2.2.1 Sign-and-Magnitude Representation $f_{\rm sm}$

Definition 2.5 (f_{sm}) .

In **sign-and-magnitude** representation, sign s is represented by a **sign bit** in the leftmost position of string str, i,e, a_{n-1} .

- 0 for +
- 1 for -

The absolute value v will occupy the rest n-1 bits of $\operatorname{str}: a_{n-2}a_{n-3}\cdots a_0 := v$. For a n bit sign-and-magnitude representation, the domain of f_{sm} is $[-2^{n-1}+1, 2^{n-1}-1]\cap \mathbb{Z}$.

Clearly, say, for 8-bit sign-and-magnitude representation,

- Largest value: $011111111_{sm} = +127_{10}$
- Smallest value: $111111111_{sm} = -127_{10}$
- **Zeros**: $00000000_{\text{sm}} = +0_{10}$ and $10000000_{\text{sm}} = -0_{10}$
- Range: -127_{10} to $+127_{10}$

Theorem 2.1 (Negation of str in sign-and-magnitude representation).

To negate a str in sign-and-magnitude interpretation, invert the sign bit¹. Suppose $str = a_{n-1}a_{n-2}\cdots a_0$, then

$$-\mathtt{str} = \overline{a_{n-1}} a_{n-2} \cdots a_0$$

Theorem 2.2 $(f_{\rm sm}^{-1})$.

 $f^{-1}(str)$ is defined² as follows:

$$f_{\text{sm}}^{-1}(\text{str}) = f^{-1}(a_{n-1}a_{n-2}\cdots a_0)$$
$$:= (-1)^{a_{n-1}} \times \sum_{i=0}^{n-2} (a_i \times 2^i)$$

2.2.2 1s Complement

Definition 2.6 (f_{1s} for non-negative binary numbers).

Suppose a **nonnegative** number is defined by (+, v). In **1s complement** representation str,

- the positive sign defines $a_{n-1} := 0$;
- the absolute value v will occupy the rest n-1 bits of str: $a_{n-2}a_{n-3}\cdots a_0:=v$.

¹Inversion of bit b is denoted by \bar{b}

 $^{^{2}}f^{-1}$ is well defined as f is a bijection

Definition 2.7 (Negation).

Negation of 1s complement representation is defined as:

$$-:$$
 binary String \rightarrow binary String $\operatorname{str} = a_{n-1}a_{n-2}\cdots a_0 \mapsto \overline{a_{n-1}a_{n-2}\cdots a_0} := -\operatorname{str}$

Essentially, to negate a String of 1s complement, invert all the bits.

Definition 2.8 (f_{1s} for non-positive binary numbers).

The 1s complement representation of a **non-positive binary number** defined by (-, v) is defined by **negation** of $f_{1s}((+, v))$.

Essentially,

$$\mathtt{str} = 1\overline{a_{n-2}a_{n-3}\cdots a_0}$$

Together with the previous definition, for a n bit 1s complement representation, the domain of f_{1s} is $[-2^{n-1}+1, 2^{n-1}-1] \cap \mathbb{Z}$.

Clearly, say, for 8-bit 1s complement representation,

- Largest value: $011111111_{1s} = +127_{10}$
- Smallest value: $10000000_{1s} = -127_{10}$
- **Zeros**: $00000000_{1s} = +0_{10}$ and $11111111_{1s} = -0_{10}$
- Range: -127_{10} to $+127_{10}$.

Theorem 2.3 (Sign Bit of 1s Complement).

The leftmost position of string str, i,e, a_{n-1} , still represents the sign:

- 0 for +
- 1 for -

Theorem 2.4 (f_{1s}^{-1}) .

 $f^{-1}(str)$ is defined as follows:

$$\begin{split} f_{1\mathrm{s}}^{-1}(\mathtt{str}) &= f^{-1}(a_{n-1}a_{n-2}\cdots a_0) \\ &:= ((-2^{n-1}+1)\times a_{n-1}) + \sum_{i=0}^{n-2} a_i \times 2^i \end{split}$$

2.2.3 2s Complement

Definition 2.9 (f_{2s} for non-negative binary numbers).

Suppose a **nonnegative** number is defined by (+, v). In **2s complement** representation str,

- the positive sign defines $a_{n-1} := 0$;
- the absolute value v will occupy the rest n-1 bits of str: $a_{n-2}a_{n-3}\cdots a_0:=v$.

Definition 2.10 (Negation).

Negation of 2s complement representation is defined as:

-: binary String
$$\rightarrow$$
 binary String str = $a_{n-1}a_{n-2}\cdots a_0 \mapsto (\text{String})((\text{binary number})\overline{a_{n-1}a_{n-2}\cdots a_0} + 1) := -\text{str}$

Essentially, negation of a String of 2s complement equals to the sum of this String with all bits flipped and 1.

Definition 2.11 (f_{2s} for negative binary numbers).

The 2s complement representation of a **negative binary number** defined by (-, v) is defined by **negation** of $f_{2s}((+, v))$. Essentially,

$$\mathtt{str} = \overline{a_{n-1}a_{n-2}\cdots a_0} + 1$$

Together with the previous definition, for a n bit 2s complement representation, the domain of f_{2s} is $[-2^{n-1}, 2^{n-1} - 1] \cap \mathbb{Z}$.

Clearly, say, for 8-bit 2s complement representation,

- Largest value: $011111111_{2s} = +127_{10}$
- Smallest value: $10000000_{2s} = -128_{10}$
- **Zeros**: $00000000_{2s} = +0_{10}$
- Range: -128_{10} to $+127_{10}$.

Theorem 2.5 (Sign Bit of 2s Complement).

The leftmost position of string str, i,e, a_{n-1} , still represents the sign:

- 0 for +
- 1 for -

Theorem 2.6 (f_{2s}^{-1}) .

 $f_{2s}^{-1}(str)$ is defined as follows:

$$\begin{split} f_{2\mathrm{s}}^{-1}(\mathtt{str}) &= f_{2\mathrm{s}}^{-1}(a_{n-1}a_{n-2}\cdots a_0) \\ &:= (-2^{n-1}\times a_{n-1}) + \sum_{i=0}^{n-2} a_i \times 2^i \end{split}$$

2.3 Generalising complement

Definition 2.12 ((r-1)'s complement).

Let $a_{n-1}a_{n-2}\cdots a_0$ be string representation of a number in radix r. The (r-1)'s complement is the string $\overline{a_{n-1}a_{n-2}\cdots a_0}$ where $\overline{a_i}=r-1-a_i$.

The r's complement is just the (r-1)'s complement with 1 added to the least significant bit.

Theorem 2.7 (Complement on Fractions).

We can extend the operations of complement on fractions.

Theorem 2.8 (2s Complement Addition/Subtraction).

Algorithm for addition, $A_{2s} + B_{2s}$:

- Perform binary addition on the two (binary number) String.
- Ignore the carry out of the most significant bit(MSB).
- Check for overflow. Overflow occurs if
 - 1. the 'carry in' and 'carry out' of the MSB are different, or
 - 2. result is of opposite sign of A_{2s} and B_{2s} .

Algorithm for **subtraction** $A_{2s} - B_{2s}$: $A_{2s} - B_{2s} = A_{2s} + (-B)_{2s}$.

Theorem 2.9 (1s Complement Addition/Subtraction).

Algorithm for addition, $A_{1s} + B_{1s}$:

- Perform binary addition on the two (binary number) String.
- If there is a carry out of the MSB, add 1 to the result.
- Check for overflow. Overflow occurs if
 - 1. result is of opposite sign of A_{1s} and B_{1s} .

Algorithm for subtraction $A_{1s} - B_{1s}$: $A_{1s} - B_{1s} = A_{1s} + (-B)_{1s}$.

2.4 Excess-k Representation

Definition 2.13 $(f_{\text{excess}-k})$.

Suppose a number N is defined by (s, v). Clearly, this number N equals $\operatorname{sgn}(s) \times v$. Its excess-k representation (k > 0) str is defined as

$$\mathtt{str} = f_{\mathrm{excess}-k}((s,v)) := f_{\mathrm{sm}}(N+k)$$

For a *n* bit excess-*k* complement representation, the domain is $[-k, 2^n - k - 1] \cap \mathbb{Z}$. Note: $\mathbf{k}_{\text{excess}-k} = k_2$ numerically.

Definition 2.14 (Negation).

Negation of excess-k representation of is calculated as:

$$-\mathtt{str} := \mathtt{String}(2 \times k - \mathtt{(binary number)str})$$

Domain of the above negation operation is $[-\min\{2^n-k-1,k\},\min\{2^n-k-1,k\}]$ if $k<2^n$ and \varnothing otherwise.

There is no **sign bit** for excess-k representation.

Definition 2.15 $(f_{\text{excess}-k}^{-1})$.

 $f_{\text{excess}-k}^{-1}$ is defined as follows:

$$f_{\mathrm{excess}-k}^{-1}(\mathtt{str}) = \mathtt{(binary number)str} - k$$

2.5 Floating Point Numbers

Definition 2.16 (Fixed Point Numbers).

In fixed point representation, the binary point is assumed to be at fixed location. In general, the binary point may be assumed to be at any pre-fixed location.

Fixed point numbers have limited range. Floating point numbers allow us to represent very large or very small numbers.

Definition 2.17 (Floating Point Numbers).

Floating point numbers consists of 3 parts: sign, mantissa and exponent.

- The base (radix) is assumed to be 2.
- Sign bit: 0 for positive, 1 for negative.
- Mantissa is usually in **normalised form**.

Clearly, the trade-off of floating point numbers is

- More bits in mantissa \rightarrow better precision
- More bits in exponent \rightarrow larger range of values

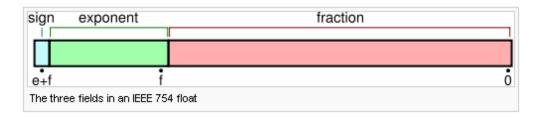
Definition 2.18 (IEEE Standard 754).

IEEE Standard 754 has the following properties:

- Two types of formats
 - Normalised numbers
 - **Denormalised** numbers
- Special values
 - Negative zero
 - Infinities
 - Not-a-Number(NaN)
- Distribution of bits in mantissa and exponent: See table below

Parameter	Single	Double
No. of fraction bits	23	52
Maximum exponent	+127	+1023
Minimum exponent	-126	-1022
Exponent bias	+127	+1023
Exponent width in bits	8	11
Format width in bits	32	64

The IEEE Standard 754 admits the following format:



Definition 2.19 (Normalised Number).

A normalised number, v, represented in IEEE 754 is

$$v = (-1)^{\text{sign}} \times 1.\text{fraction} \times 2^{\text{exponent}-bias}$$

Note: for normalised numbers, the integer part of the 8 bit fraction part is 1.

- Sign bit is 1 bit, followed by exponent and lastly mantissa
- Exponent must NOT be 0. It must be in $[1, 2^e 2]$, where e is the number of exponent bits.

All zero 0 or all one $2^e - 1$ exponents are reserved for special values and are not used for normalised numbers

- Suppose the exponent bias is b, then the exponent is in excess-b representation of the true power.
- A normalised fraction part is in the interval [1, 2).

Definition 2.20 (Denormalised numbers).

Denormalised numbers are to represent really small (positive or negative) numbers as following:

$$v = (-1)^{\text{sign}} \times 0.\text{fraction} \times 2^{-\text{bias}s+1}$$

To identify a number as **denormalised**, exponent must be 0 and mantissa must be non-zero. The system will interpret the exponent to be 1 - bias instead of $0_{\text{excess-bias}}$.

Definition 2.21 (Special values).

• 0: exponent = 0 fraction = 0

• $+\infty$: exponent = $2^e - 1$ fraction = 0

• $-\infty$: exponent = $2^e - 1$ fraction = 0

• NaN: exponent = $2^e - 1$ fraction $\neq 0$

Definition 2.22 (Comparison Rules).

Type	Sign	Exponent	Fraction
$+\infty$	0	<u>11···1</u>	$0\cdots 0$
		$\stackrel{\cdot}{e}$	\dot{f}
$-\infty$	1	$\underbrace{11\cdots 1}_{e}$	$\underbrace{0\cdots 0}_{t}$
NaN		<u>11···1</u>	non zero
0		$\underbrace{00\cdots0}^e$	$00\cdots 0$
		e	f
Denormalised Numbers		$\underbrace{0\cdots 0}_{e}$	non zero
Normalised Numbers		$\underbrace{[\underbrace{00\cdots 0}_{e-1}1,\underbrace{11\cdots 1}_{e-1}0]}$	$\underbrace{[\underbrace{00\cdots 0}_f,\underbrace{11\cdots 1}_f]}$

- Negative and positive zero compare equal
- Every NaN compares unequal to every value, including itself
- All values except NaN are strictly smaller than $+\infty$ and strictly larger than $-\infty$.

Definition 2.23 (Overflow, Underflow). In floating point representation, greater than the largest representable positive number or smaller than the smallest representable negative number results in **overflow**; greater than the largest representable negative number and smaller than the smallest representable positive number results in **underflow**.

Definition 2.24 (Rounding).

Rounding is defined as selecting a representable number as the result, from the two closest representable numbers.

Rounding destroys associativity of all operations on floating point numbers.

Definition 2.25 (Guard Bit, Round Bit, Sticky Bit).

To enable rounding, IEEE 754 specifies that all arithmetic must be performed with 3 extra bits at the end of the last fraction bit, from the order of the most significant bit to the least:

- Guard bit
- Round bit
- Sticky bit, which equals to 1 if any bits to the right of it is 1



Theorem 2.10 (Rounding Modes).

There are four rounding modes:

• Round to nearest (default)

```
if (GUARD == 1) {
  if ((ROUND == 1) or (STICKY == 1)) {
    return Y as the mantissa answer
  } else { // Must be (ROUND == 0) and (STICKY == 0)
    // Invoke IEEE tie breaker
    if (LSB, i.e., bit 23 is 1) {
      return Y as the mantissa answer
    } else {
      return X as the mantissa answer
    }
}
else {
    return X as the mantissa answer
}
```

• Round towards 0

```
Always report X as the mantissa answer. (if less than 0, then this becomes X)
```

• Round towards $+\infty$

```
if (result > 0) {
    report Y as the mantissa answer.
} else {
    report X as the mantissa answer.
}
```

• Round towards $-\infty$

```
if (result < 0) {
    report Y as the mantissa answer.
} else {
    report X as the mantissa answer.
}</pre>
```

Definition 2.26 (Error).

Rounding yields a representable floating point number x' that is an approximation of the real number x.

```
Define absolute error = |x' - x|.
Define relative error = \frac{|x' - x|}{x} (assuming x \neq 0)
```

Definition 2.27 (Machine Epsilon ε).

Informally, machine epsilon ε is defined as 1 added to the LSB.

Definition 2.28 (Unit in the Last Place(ulp)).

Given an IEEE floating point number x, say with an exponent E. The **unit in the last** place of x is defined as

$$\mathrm{ulp}(x) = \varepsilon \times 2^E$$

Round to nearest results in an absolute error that is less than $\frac{1}{2}ulp(x)$.

Theorem 2.11 (Floating Point Addition).

Given two decimal numbers in floating point notation:

- $\bullet \ X = 0.a_1 a_2 \cdots a_n \times 2^p$
- $\bullet \ Y = 0.b_1b_2\cdots b_n \times 2^q$

To perform X + Y,

- 1. align the decimal point by shifts such that two exponents are the same.
- 2. If p > q, then we need to adjust Y such that $Y' = 0.\underbrace{00\cdots 0}_{p-q} b_1 b_2 \cdots b_n \times 2^p$.

This is called **denormalisation shift**.

- 3. Addition is performed on fraction part of X and Y.
- 4. Normalise the result
- 5. Round the result

3 Boolean Algebra

Definition 3.1 (Digital Circuit).

Digital circuit is circuit with two voltage levels, known as

- High, true, 1, asserted
- Low, false, 0, deasserted

Advantages of digital circuits over analog circuits include:

- More reliable (simpler circuits, less noise-prone)
- Specified accuracy (determinable)
- Abstraction can be applied using simple mathematical model Boolean Algebra
- Ease design, analysis and simplification of digital circuit Digital Logic Design

Definition 3.2 (Type of Logic Blocks).

There are two types of logic blocks, known as

- 1. Combinatorial: no memory, output depends solely on the input
 - Gates
 - Decoders, multiplexers
 - Adders, multipliers
- 2. Sequential: with memory, output depends on both input and current states
 - Counters, registers
 - Memories

3.1 Boolean Algebra

Boolean algebra involves boolean values and connectives.

Definition 3.3 (Boolean Values).

There are two **boolean values** in boolean algebra:

- True (1)
- False (0)

Definition 3.4 (Connectives).

There are three **connectives** in boolean algebra, which maps given input boolean value(s) to a single output boolean value.

Truth tables defines a connective by providing a listing of every possible combination of inputs and its corresponding outputs.

Reminder: Inputs must list in ascending binary sequence.

The three connectives are:

• Conjunction (AND): $A \cdot B$

A	B	$A \cdot B$
0	0	0
0	1	0
1	0	0
1	1	1

• Disjunction (OR): A + B

A	B	$A \cdot B$
0	0	0
0	1	1
1	0	1
1	1	1

• Negation (NOT): A'

A	A'
0	1
1	0

Theorem 3.1 (Laws of Boolean Algebra).

• Identity laws

$$A + 0 = 0 + A = A$$
$$A \cdot 1 = 1 \cdot A = A$$

ullet Inverse/Complement laws

$$A + A' = 1$$
$$A \cdot A' = 0$$

• Commutative laws

$$A + B = B + A$$
$$A \cdot B = B \cdot A$$

• Associative laws

$$A + (B + C) = (A + B) + C$$
$$A \cdot (B \cdot C) = (A \cdot B) \cdot C$$

• Distributive laws

$$A \cdot (B+C) = A \cdot B + A \cdot C$$
$$A + (B \cdot C) = (A+B) \cdot (A+C)$$

Theorem 3.2 (Precedence of Connectives).

The precedence from highest to lowest is

- NOT
- AND
- OR

Parenthesis can be used to overwrite precedence.

Theorem 3.3 (Duality).

If the AND/OR operators and identity elements 0/1 in a Boolean equation are interchanged, it remains valid.

Theorem 3.4 (Basic Theorems).

1. Idempotency

$$X + X = X$$
$$X \cdot X = X$$

2. Zero and One Elements

$$X + 1 = 1$$
$$X \cdot 0 = 0$$

3. Involution

$$(X')' = X$$

4. Absorption

$$X + X \cdot Y = X$$
$$X \cdot (X + Y) = X$$

5. Absorption (variant)

$$X + X' \cdot Y = X + Y$$
$$X \cdot (X' + Y) = X \cdot Y$$

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6. DeMorgan's

$$(X + Y)' = X' \cdot Y'$$
$$(X \cdot Y)' = X' + Y'$$

Demorgan's Theorem can be generalised to more than two variables.

7. Consensus

$$X \cdot Y + X' \cdot Z + Y \cdot Z = X \cdot Y + X'Z$$
$$(X+Y) \cdot (X'+Z) \cdot (Y+Z) = (X+Y) \cdot (X'+Z)$$

Definition 3.5 (Boolean Functions).

Boolean functions are functions which takes in boolean variable and outputs an expression of these boolean variable.

Definition 3.6 (Complement of a Function).

Given a Boolean function F, the **complement** of F, denoted as F', is obtained by interchanging 1 with 0 in the function's output values.

3.2 Standard Forms

There are two standard forms:

- Sum-of-Products
- Product-of-Sums

Definition 3.7 (Literals).

A literal is a Boolean variable on its own or in its complemented form.

Definition 3.8 (Product Term).

A **product term** is a single literal or a logical product(AND) of several literals.

Definition 3.9 (Sum Term).

A sum term is a single literal or a logical sum(OR) of several literals.

Definition 3.10 (Sum-of-product(SOP) expression).

Sum-of-Products expression is a product term or a logical sum(OR) of several product terms.

Definition 3.11 (Product-of-Sums(POS) expression).

Product of Sum expression is a sum term or a logical product (AND) of several sum terms.

Theorem 3.5. Every Boolean expression can be expressed in SOP or POS.

Definition 3.12 (Minterm).

A minterm of n variables is a **product term** that contains n literals from all the variables.

Definition 3.13 (Maxterm).

A maxterm of n variables is a sum term that contains n literals from all the variables.

In general, with n variables, we have 2^n minterms and 2^n maxterms.

Definition 3.14 (Ordering of Minterms).

Suppose there are n ordered variable (x_1, x_2, \ldots, x_n) . Minterms are numbered by a binary encoding of the **complementation pattern** of the ordered variables. The convention assigns the value 1 to the direct form x_i and 0 to its complemented form x_i' . The index of the minterm $x_1 \cdot x_2 \cdot \cdots \cdot x_n$ is then $(v_1v_2 \cdots v_n)_2$ where v_i is the value of variable x_i .

Definition 3.15 (Indexing of Maxterms).

Suppose there are n ordered variable (x_1, x_2, \ldots, x_n) . Maxterms are numbered by a binary encoding of the **complementation pattern** of the ordered variables. The convention assigns the value 0 to the direct form x_i and 1 to its complemented form x_i' . The index of the maxterm $x_1 + x_2 + \cdots + x_n$ is then $(v_1v_2 \cdots v_n)_2$ where v_i is the value of variable x_i .

Theorem 3.6. Each minterm is the complement of the maxterm of the same index.

$$m_i' = M_i$$

Definition 3.16 (Canonical Forms).

Canonical form refers to a unique form of representation. It can be shown that

- Sum-of-minterms is the canonical sum-of-product
- Product-of-maxterms is the canonical product-of-sum

Theorem 3.7 (Defining Function from Sum-of-minterms).

A function F can be defined by the sum of minterms m_i for which $F(m_i) = 1$.

Theorem 3.8 (Defining Function from Product-of-Maxterms).

A function F can be defined by the product of maxterms M_i for which $F(M_i) = 0$.

Theorem 3.9 (Complementation of Function).

Complementation of functions can be easily done by complementation between sum-of-minterms and product-of-maxterms.

$$\left(\sum_{i \in I} m(i)\right)' = \prod_{i \in I} M(i)$$
$$\left(\prod_{i \in I} M(i)\right)' = \sum_{i \in I} m(i)$$

4 Logic Gates and Circuits

Name	Symbol	Truth Table
NOT Gate	A — A'	$egin{array}{ c c c c c c c c c c c c c c c c c c c$
AND Gate	A A · B	$\begin{array}{ c c c c c } \hline A & B & A \cdot B \\ \hline 0 & 0 & 0 \\ \hline 0 & 1 & 0 \\ \hline 1 & 0 & 0 \\ \hline 1 & 1 & 1 \\ \hline \end{array}$
OR Gate	A A+B	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
NAND Gate	A (A · B)'	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
NOR Gate	A(A + B)'	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
XOR Gate	A → B A ⊕ B	$\begin{array}{c ccccc} A & B & A \oplus B \\ \hline 0 & 0 & 0 \\ \hline 0 & 1 & 1 \\ \hline 1 & 0 & 1 \\ \hline 1 & 1 & 0 \\ \end{array}$
XNOR Gate	A	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$

4.1 Logic Circuit

Definition 4.1 (Fan-in).

Fan-in refers to the number of inputs of a gate.

Given a Boolean expression, we may implement it as a logic circuit.

4.2 Universal Gates

{AND, OR, NOT} gates are sufficient for building any Boolean function. Thus the set {AND, OR, NOT} is called a *complete* set of logic.

However, other gates are also used for

- Usefulness
- Economical
- Self-sufficient

Furthermore, {NAND} gate is a complete set of logic; {NOR} gate is also a complete set of logic by duality.

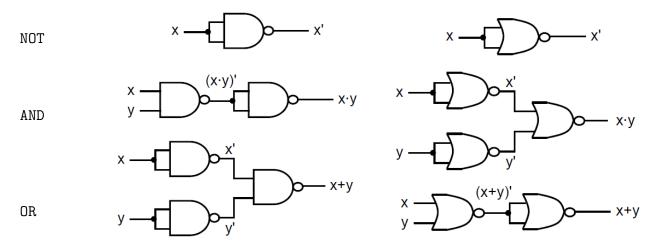


Figure 4: Implementation of OR, AND, OR using NAND and NOR respectively

4.2.1 SOP and NAND Circuits

An SOP expression can be easily implemented using

- 2-level AND-OR circuit
- 2-level NAND circuit

A 2-level AND-OR circuit can be converted to a 2-level NAND circuit by

- 1. Introduce 2 NOT gate after first level AND and before second level OR gates.
- 2. The first level AND have been converted to NAND gates; the second level negative-OR gate is equivalent to NAND gate.

4.2.2 POS and NOR Circuits

A POS expression can be easily implemented using

- 2-level OR-AND circuit
- 2-level NOR circuit

A 2-level OR-AND circuit can be converted to a 2-level NOR circuit by

- 1. Introduce 2 NOT gate after first level OR and before second level AND gates.
- 2. The first level OR have been converted to NOR gates; the second level negative-AND gate is *equivalent* to NOR gate.

5 Kaunaugh Map

Function simplification leads to simpler expressions which uses fewer logic gates and makes circuits cheaper, less power consuming and faster.

There are three techniques in function simplification: Boolean Algebra, Karnaugh Maps and Quine-McCluskey.

5.1 Boolean Algebra

Algebraic simplification aims to minimise

- Number of literals, and
- Number of terms

5.2 Half Adder

Definition 5.1 (Half Adder).

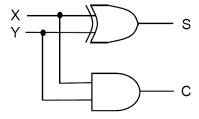
Half adder is a circuit that adds 2 single bits (X, Y) to produce a result of 2 bits (C, S).³ The truth table for half adder is

X	Y	C	S
0	0	0	0
0	1	0	1
1	0	0	1
1	1	1	0

In canonical form (sum-of-minterms):

- \bullet $C = X \cdot Y$
- $\bullet \ S = X \cdot Y' + X' \cdot Y^{4}$

The half adder can be implemented as



 $^{{}^3}C$ is known as the carry bit, where S is the sum bit.

⁴In fact, $S = X \oplus Y$.

5.3 Karnaugh Maps

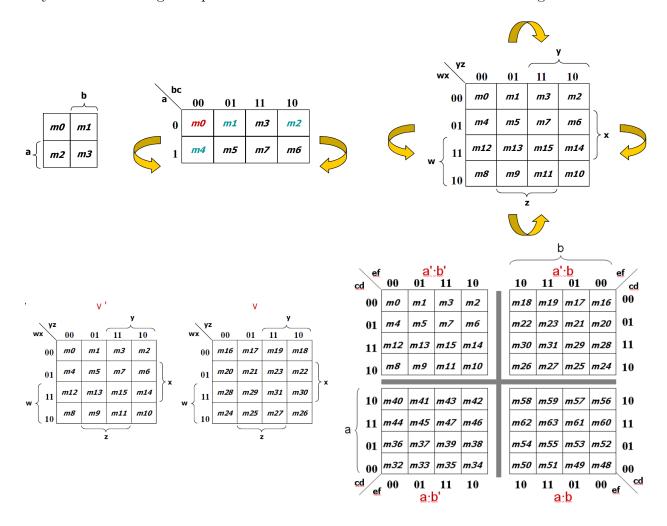
Karnaugh Maps is a systematic method to obtain simplified (minimal) sum-of-products(SOP) expressions. Its objective is to obtain *fewest* produc terms and literals.

Definition 5.2 (Kaunaugh Map).

Karnaugh Map is an abstract form of Venn diagram, organised as a matrix of squares, where

- Each square represents a minterm
- Two adjacent squares represent minterms that differ by exactly one literal

Layouts of Kaunaugh Maps from 2 variables to 6 variables are as following: Based on the



unifying theorem

$$A + A' = 1$$

In a K-map, each cell containing 1 corresponds to a minterm of a given function F. Each **valid grouping** of *adjacent cells* containing 1 then corresponds to a **simpler product term** of F.

Definition 5.3 (Valid Grouping).

- A valid grouping admits a rectangular shape.
- A valid grouping must have size in **powers of two**: 1, 2, 4, 8,
- Grouping 2^n adjacent cells eliminates n variables.

In simplification,

- 1. Group as many cells as possible, by considering **prime implicants**.
- 2. Select as few groups as possible to cover all the cells(minterms) of the function, by considering **essential prime implicants**.

If a function is not in sum-of-minterms form,

- Convert it into sum-of-products form
- Expand the SOP expression into sum-of-minterms expression.

Definition 5.4 (Implicant).

Implicant is a product term that could be used to cover minterms of the function.

Definition 5.5 (Prime Implicant).

Prime implicant is a product term obtained by combining the *maximum* possible number of minterms from adjacent squares in the map.

Definition 5.6 (Essential Prime Implicant).

Essential Prime Implicant is a prime implicant that includes at least one minterm that is not covered by any other prime implicant.

Theorem 5.1 (Algorithm for minimal SOP Expression).

- Circle all prime implicants on the K-map.
- Identify and select all essential prime implicants for the cover.
- Select a minimum subset of the remaining prime implicants to complete the cover.

Theorem 5.2 (Algorithm for simplified POS Expression).

- Group maxterms of F, equivalently minterms of F', identified as 9 entry in K-map of F. This gives the SOP of F'.
- The simplified POS expression of F, use DeMorgan's law.

Definition 5.7 (Don't care conditions).

Outputs that can be either 1 or 0 are called **don't care conditions**, denoted by X. The set of don't care minterms are denoted as $\sum d$.

Don't care conditions can be used to help simplify Boolean expression further in K-maps.

6 Combinatorial Circuits

In combinatorial circuit, each output depends entirely on the immediate(present) input.

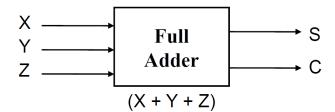
6.1 Gate Level Design

Theorem 6.1 (Gate Level Design Procedure). 1. State problems

- 2. Determine and label the inputs and outputs of circuit
- 3. Draw the truth table
- 4. Obtain simplified Boolean functions.
- 5. Draw logic diagram.

6.1.1 Full Adder

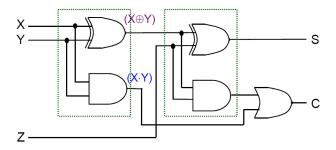
Full adder adds three bits X, Y, Z, which includes the carry, and output a sum bit S and carry bit C. Truth table: Simplified formulae:



X	Y	Z	C	S
0	0	0	0	0
0	0	1	0	1
0	1	0	0	1
0	1	1	1	0
1	0	0	0	1
1	0	1	1	0
1	1	0	1	0
1	1	1	1	1

$$C = X \cdot Y + (X \oplus Y) \cdot Z$$
$$S = X \oplus (Y \oplus Z)$$

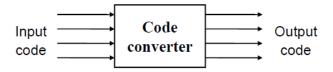
Full Adder can be made from half adders.



6.2 Code Converters

Definition 6.1 (Code Converters).

Code converter takes an input code and translates to its equivalent output code.



Definition 6.2 (Binary Code Decimal).

Binary code decimal is a representation system for coding a number in which each digit of a decimal number is represented individually by its binary equivalent.

Decimal digit	BCD
0	0000
1	0001
2	0010
3	0011
4	0100
5	0101
6	0110
7	0111
8	1000
9	1001

Definition 6.3 (f_{BCD}).

Let $(a_0a_1 \dots a_{n-1})_10$ be a decimal number. Its Binary Code Decimal is given by

$$f_{\text{BCD}}(a_0 a_1 \dots a_{n-1}) = s_{0,1} s_{0,2} s_{0,3} s_{0,4} \dots s_{n-1,4}$$

where $s_{i,1}s_{i,2}s_{i,3}s_{i,4}$ is the BCD of decimal a_i defined from the truth table.

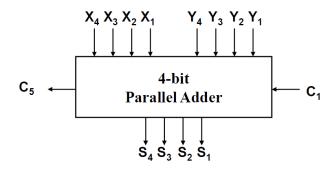
As a result, the length of binary code decimal is always in multiple of 4.

6.3 Block Level Design

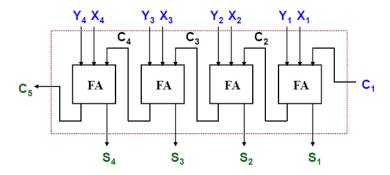
Block level design method relies on algorithms or formulae of the circuit, which are obtained by decomposing the main problem to sub-problems recursively.

6.3.1 4-bit adder

Consider a circuit to add two 4-bit unsigned numbers together and a carry-in, to produced a 5-bit result. With the idea that $C_{i+1}S_i = X_i + Y_i + C_i$, which is the same function of full



adder, 4-bit adder is implemented by cascading 4 full adders via their carries. The above is



called **parallel adder**, as inputs are presented in parallel.

6.3.2 BCD-to-Excess-3 Converter

Excess-3 code can be converted from BCD code using truth table. Therefore, gate-level design can be used since there are only 4 inputs.

However, alternative design is also possible, by identifying

Excess-3 code = BCD Code +
$$0011_2$$

	BCD			Excess-3				
	Α	В	С	D	W	X	Y	Z
0	0	0	0	0	0	0	1	1
1	0	0	0	1	0	1	0	0
2	0	0	1	0	0	1	0	1
3	0	0	1	1	0	1	1	0
4	0	1	0	0	0	1	1	1
5	0	1	0	1	1	0	0	0
6	0	1	1	0	1	0	0	1
7	0	1	1	1	1	0	1	0
8	1	0	0	0	1	0	1	1
9	1	0	0	1	1	1	0	0
10	1	0	1	0	X	X	X	X
11	1	0	1	1	X	X	X	X
12	1	1	0	0	X	X	X	X
13	1	1	0	1	X	X	Χ	Χ
14	1	1	1	0	X	X	X	X
15	1	1	1	1	X	X	X	X

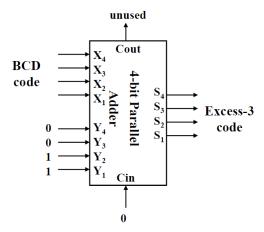
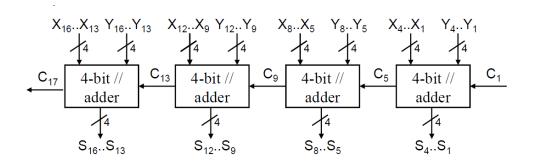


Figure 5: BCD-to-Excess-3 Code Converter

6.3.3 16-bit Parallel Adder

Larger parallel adders can be built from smaller ones.

A 16-bit parallel adder can be contructed from four 4-bit parallel adders:



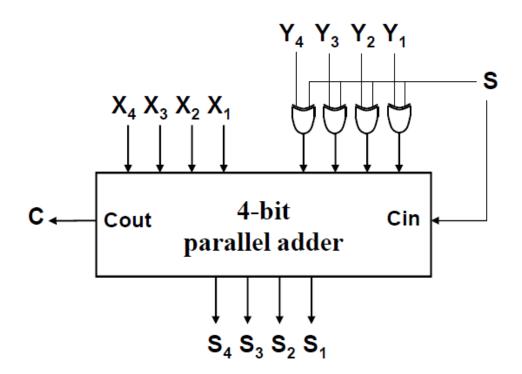
6.3.4 4-bit Adder cum Subtractor

4-bit Adder cum Subtractor is a circuit that can perform both addition and subtraction, using a parallel adder with a control signal. Recall

$$X - Y = X + (-Y)$$

= $X + 2$ s complement of Y
= $X + 1$ s complement of $Y + 1$

Therefore, XOR gates are used to flip bits⁵ and control signal S is connected to input carry-in.



⁵Note x XOR 0 = x, and x XOR 1 = x'

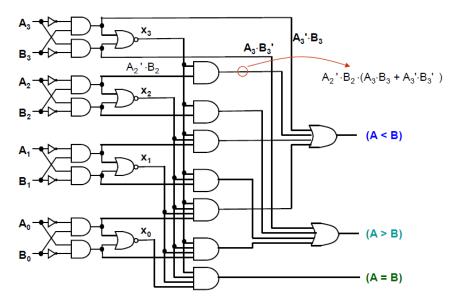
When S=1, it is subtracts by adding X with Y' and S=1, and when S=0, it adds by adding X with Y with S=0.

6.3.5 Magnitude Comparator

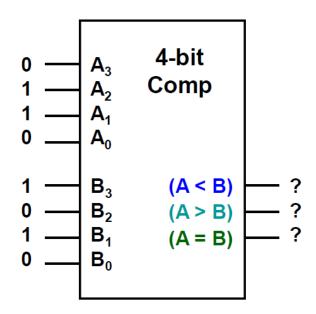
Magnitude comparator compares 2 values A and B, to output either A > B, A = B or A < B.

The key idea is that $X \cdot Y'$ outputs 1 when X > Y and 0 otherwise. Therefore, X = Y if and only if $(X \cdot Y')$ NOR $(X' \cdot Y) = X \cdot Y + X' \cdot Y' = 1$.

We first build a 4-bit magnitude comparator using the above logic. Let $A = A_3 A_2 A_1 A_0$, $B = B_3 B_2 B_1 B_0$. Denote $x_i = A_i \cdot B_i + A'_i \cdot B'_i$. This generates the block diagram of 4-bit



magnitude comparator



6.4 Circuit Delays

Definition 6.4 (Circuit Delay).

Given a logic gate with delay t. If inputs are stable at times t_1, \ldots, t_n , then the earliest time in which the output will be stable is

$$\max(t_1,\ldots,t_n)+t$$

Suppose a full adder has delay t_1, t_2 for X, Y and t_3 for carry in, S will have delay

$$S_{\text{delay}} = \max\{\max\{t_1 + t_2\} + t, t_3\} + t$$

C will have delay

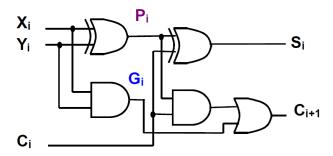
$$C_{\text{delay}} = \max\{\max\{t_1, t_2\} + t, t_3\} + 2t$$

According to the above, a n-bit ripple-carry parallel adder will experience the following delay⁶

$$S_n = 2nt$$
$$C_{n+1} = (2n+1)t$$

Therefore, propagation delay of ripple-carry parallel adders is proportional to the number of bits it handles.

6.4.1 Carry Look-ahead Adder



Consider the full adder, define intermediate signals P_i , G_i as follows

$$P_i = X_i \oplus Y_i$$

$$G_i = X_i \cdot Y_i$$

Therefore, the output S_i , C_{i+1} can be given in terms of C_i , P_i , G_i :

$$S_i = P_i \oplus C_i$$

$$C_{i+1} = G_i + P_i \cdot C_i \quad (\#)$$

 $^{^6}n$ is of index 1.

We can regard, G_i as the **carry generate** signal, since $G_i = 1$ suggests both X_i and Y_i is 1, which definitely *generates* a carry $C_{i+1} = 1$.

Also, P_i can be regarded as the **carry propagate** signal, as $P_i = 1$ suggests exactly $X_i = 1$ or $Y_i = 1$ but not both. Therefore, $C_{i+1} = 1$ if $C_i = 1$ and $P_i = 1$, which suggests that the status of carry in C_i is *propagated* to carry out C_{i+1} .

For the 4-bit ripple carry adder, the equation for C_{i+k} , k = 1, ..., 4 is only dependent on G_j , P_j , C_j , $1 \le j < i + k$, according to the recursively relation (#). By expanding the recursive relation into an iterative expression we have

$$C_{i+k} = \prod_{j=0}^{k-1} P_j \cdot C_i + \sum_{j=0}^{k-1} G_{i+j} \prod_{l=j+1}^{k-1} P_{i+l}$$

which is a two level sum of product expressions in terms of G, P, C.

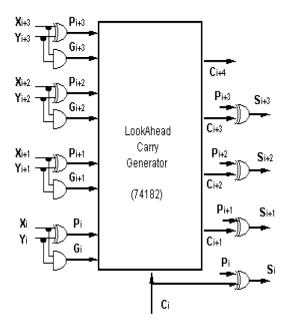


Figure 6: X_i, Y_i are preprocessed outside the block. Block inputs P, G only and outputs C only.

The generation of P, G of each bit takes time t from XOR and AND gate; generation of each carry C_{i+k} takes time 2t from the sum of product expression; generation of sum signals S_{i+k} of each bit takes time t from P_{i+k}, C_{i+k-1} . Therefore, the whole process takes time 4t.

Larger block carry look-ahead adder can be built from 4-bit carry look-ahead adder. Two additional output is needed: block carry generate and block carry propagate.

Let P_0, P_1, P_2, P_3 be the 4 carry propagate bits of the 4-bit carry look-ahead adder. Let G_0, G_1, G_2, G_3 be the 4 carry generate bits of the 4-bit carry look-ahead adder. Then the **block** carry propagate and generate bits, P^* and G^* , respectively are defined as

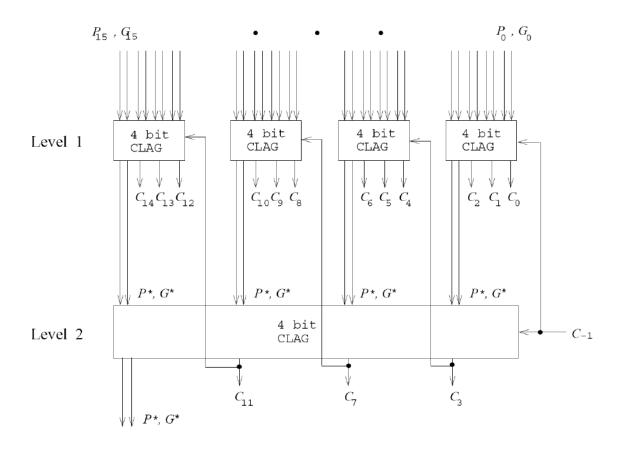
$$P^* = P_0 \cdot P_1 \cdot P_2 \cdot P_3$$

$$G^* = G_3 + G_2 \cdot P_3 + G_1 \cdot P_2 \cdot P_3 + G_0 \cdot P_1 \cdot P_2 \cdot P_3$$

It is easy to see that the carry out bit of block 4-bit carry look-ahead adder is

$$C_3 = G^* + P^* \cdot C_{-1}$$

where C_{-1} is the carry in to the 4-bit block. The sequence of availability of output is that



- Time 0: $P_0 \sim P_{15}, G_0 \sim G_{15}, C_{-1}$.
- Time 1: $C_0 \sim C_2$, all P^*, G^* between level 1 and level 2.
- Time 3: Rest $C_4 \sim C_{14}$.

 $^{{}^{7}}C_{4}$ is dependent on C_{3} , which is dependent on $P^{*}andG^{*}$, which is dependent on P,G.

7 More Building Blocks

7.1 Decoder

Definition 7.1 (Decoder).

A **decoder** converts binary information from n input lines to 2^n output lines.

7.1.1 Truth table

The truth table for 2^n output, when input is enumerated in increasing sequence, is diagonal. The column of output is arranged according to the increasing order of minterm of the function.

Theorem 7.1 (Building functions using decoder).

Any boolean function with n input with m output can be built using a $n:2^n$ decoder, which generates the minterms, and m OR gates to form the sum.

Decoders often come with an **enable control** signal, so that the device is only activated when the enable E = 1.

In most MSI decoders, enable signal is zero-enable, usually denoted by E'. The decoder is

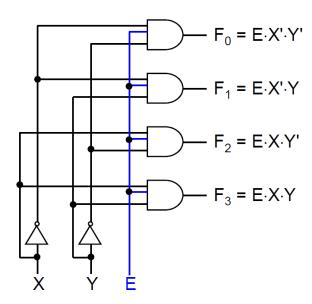


Figure 7: Implementation of 2:4 Encoder with **one-enable** control E=1 enabled when signal E is low.

7.1.2 Larger Decoder

Larger decoders can be constructed, with one inverter from smaller ones by treating E as the most significant bit which selects the smaller decoders.

7.1.3 Implementing functions

We may implement the functions using a decoder in several ways. Suppose a function is specified as $f(A, B, C) = \sum m(0, 1, 4, 6, 7) = \prod M(2, 3, 5)$, we may implement it

 \bullet using a decoder with active high outputs⁸ with a OR gate on minterms:

$$f = m_0 + m_1 + m_4 + m_6 + m_7$$

• using a decoder with active low outputs⁹ with a NAND gate on minterms:

$$f = (m_1' \cdot m_2' \cdot m_4' \cdot m_6' \cdot m_7')'$$

• Using a decoder with active high outputs with a NOR gate on maxterms:

$$f = (m_2 + m_3 + m_5)'$$

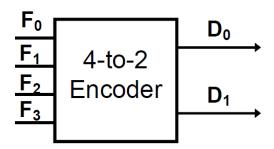
• Using a decoder with active low outputs with a AND gate on maxterms:

$$f = m_2' \cdot m_3' \cdot m_5'$$

7.2 Encoders

Definition 7.2 (Encoder).

Given 2^n input lines, of which exactly 1 is high, the **encoder** provides a n bit code that corresponds to that input line.



7.2.1 Truth Table

For the truth table of an encoder, when exactly 1 out of 2^n inputs is high, say F_i , the output $D_nD_{n-1}\cdots D_1D_0$ is the binary string i_2 ; if more than 1 input are high, the output becomes don't care.

⁸Given any input, only one of the output will be 1 and rest 0

⁹Given any input, only one of the output will be 0 and rest 1

$\mathbf{F_0}$	$\mathbf{F_1}$	$\mathbf{F_2}$	$\mathbf{F_3}$	$\mathbf{D_1}$	\mathbf{D}_0
1	0	0	0	0	0
0	1	0	0	0	1
0	0	1	0	1	0
0	0	0	1	1	1
0	0	0	0	X	X
0	0	1	1	X	X
0	1	0	1	X	X
0	1	1	0	X	X
0	1	1	1	X	X
1	0	0	1	X	X
1	0	1	0	X	X
1	0	1	1	X	X
1	1	0	0	X	X
1	1	0	1	X	X
1	1	1	0	X	X
1	1	1	1	X	X

Figure 8: $D_0 = F_1 + F_3$, $D_1 = F_2 + F_3$

The implementation of a specified output is the sum of inputs whose specified output are high, given the benefits of don't cares.

Therefore, encoders can be designed using OR gate.

7.2.2 Priority Encoder

In priority encoder, each of the inputs is assigned a **priority**.

The **most** significant bit of the input has the **highest** priority while the least significant bit has the lowest priority.

If two input lines goes high, only the *higher* priority one will be considered as high. This generates a truth table with don't cares in inputs.

$\mathbf{F_3}$	$\mathbf{F_2}$	$\mathbf{F_1}$	$\mathbf{F_0}$	$\mathbf{D_1}$	\mathbf{D}_0
1	X	X	X	1	1
0	1	X	X	1	0
0	0	1	X	0	1
0	0	0	X	0	0

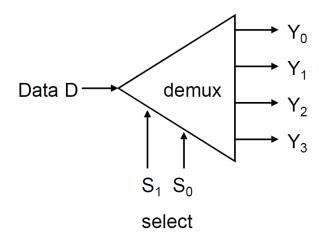
Figure 9: Truth table of priority encoder

7.3 Demultiplexers

Definition 7.3 (Demultiplexers).

Given an input line and a set of n selection lines, a **demultiplexer** directs data from the input to *one* selected output line out of 2^n .

Suppose the selection lines admits a $N = (S_{n-1} \dots S_0)_2$ binary number, the output line Y_N will correspondingly be selected such that $Y_N = D$, the input.



7.3.1 Truth Table

The truth table for outputs from demultiplexers of n selection lines, when the selection lines is enumerated in increasing sequence, is diagonal D, where D is the input.

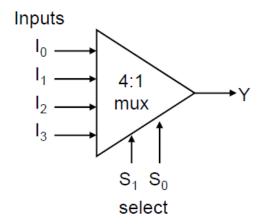
There is similarity of truth table between demultiplexers and decoders. In fact, a demultiplexer of n selection line can be implemented using a $n:2^n$ decoder with selection lines connected to the input of decoders and data input connected to the enable bit.

S_1	S_{o}	\mathbf{Y}_{0}	\mathbf{Y}_{1}	\mathbf{Y}_{2}	\mathbf{Y}_3
0	0	D	0	0	0
0	1	0	D	0	0
1	0	0	0	D	0
_1	1	0	0	0	D

7.4 Multiplexers

Definition 7.4 (Multiplexers).

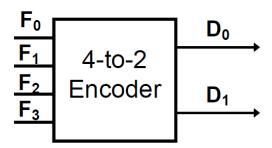
A multiplexer is a device with has 2^n input lines, n selection lines and 1 output line. It steers one of 2^n inputs to a single output line.



7.4.1 Truth Table

The output Y equals to I_i , the *i*th input, where binary representation of *i* equals to the binary string given by selection lines $S_{n-1} \dots S_0$.

Therefore, a 2^n : 1 multiplexer can be made from connecting selection lines to an n: 2^n decoder and adding the 2^n output to the 2^n input lines, each with AND gate, and OR the 2^n processed input. It is also common to see enable bit in multiplexers.



7.4.2 Larger Multiplexers

Larger multiplexers can be constructed from smaller ones, by seperating selection lines into multiple hierarchies of multiplexers.

7.4.3 Implementing functions

Just like decoder, Boolean functions can be implemented using multiplexers. Specifically, a 2^n : 1 multiplexer can implement a Boolean function of n input variables, as follows:

- Express in sum-of-minterms form.
- \bullet Connect *n* variables to the *n* selection lines.
- Put a 1 on data input if it is a minterm of the function or 0 otherwise.

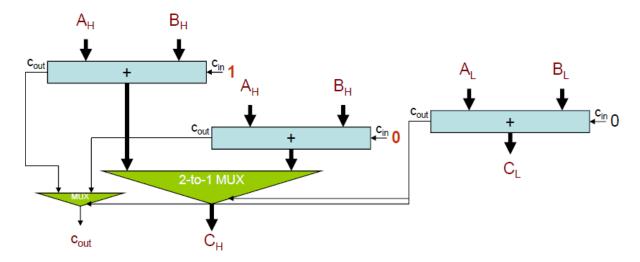
A Boolean function of n input variables can be implemented by a smaller $2^{n-1}:1$ multiplexer.

- Express Boolean function in sum-of-minterms form
- Reserve one variable for input lines and use the rest for selection lines.
- se a truth table and deduce multiplexer input by comparing the reserved variable and the function value for corresponding selection line values. It may take 1, 0, V, V', one of the four possibilities.

7.5 Carry-select Adders

Carry-Select Adders reduce waiting time of the carry chain by divide-and-conquer using multiplexers.

To add two *n*-bit numbers A and B to produce the result C, split A, B, C into two equal halves: $A_H A_L, B_H, B_L, C_H, C_L$.¹⁰ The idea is that the addition of $A_L + B_L$ will either has a



carry or not, so it computes the two scenarios for $A_H + B_H + c$ along with $A_L + B_L$, and the carry out c from $A_L + B_L$ will select the final carry out and C_H .

7.6 Shifters

Shifting is a common operation, as left shift by 1 bit is equivalent to multiplying by 2, and right shift by 1 bit is equivalent to dividing by 2, for positive numbers.

7.6.1 Arithmetic Shift

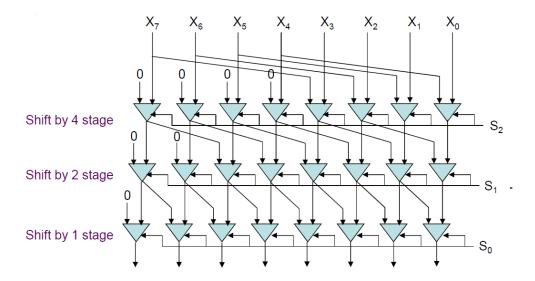
In arithmetic left shift, 0 is used to fil in the LSB.

In arithmetic right shift, the original MSB is duplicated at MSB; for 2's complement, only the part other than the sign bit is shifted.

 $^{^{10}}H$ stands for high, L stands for low.

7.6.2 Barrel Shifters

Barrel Shifters perform fast shifting in $O(\log n)$ time by always shifting in the power of 2. The fast shifting is implemented using multiplexers. At each shifting stage, the selection



line S_k , calculated from the amount of total shifts, we decide whether to shift by 2^k bits or remain unchanged.

¹¹Shifting by 11 is performed by shifting 8+2+1, a total of 3 times.

8 Sequential Logic

There are two types of sequential circuits:

- Synchronous: outputs change only at specific time
- Asynchronous: outputs change at any time

Definition 8.1 (Finite State Machines).

Finite State Machines are built with combinatorial logic and memory, which stores the state

Next state depends on current state and inputs.

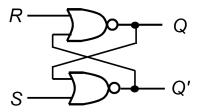
8.1 S-R Latch

S-R latch consists of two **inputs** S and R, stands for SET and RESET respectively. It has two **complementary output** Q and Q'. $Q=1 \Leftrightarrow$ latch is in SET state; $Q=0 \Leftrightarrow$ latch is in RESET state.

8.1.1 Characteristic Table

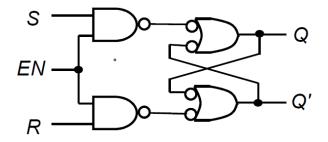
S	R	Q	Q'	
0	0	NC	NC	No change to present state
1	0	1	0	Latch SET
0	1	0	1	Latch RESET
1	1	0	0	Invalid Condition

From this table, we have $Q(t+1) = S + R' \cdot Q(t)$, with restriction $S \cdot R = 0$. The implementation of S - R latch is as follows A S - R latch is gated if it has an enable



input (EN). Its output will change only when EN is high. Its implementation becomes 12

 $^{^{12}\}mathrm{Note}$ the position of S and R relative to Q



8.2 Gated D Latch

If D := S and R := S' = D', a gated S - R latch becomes a gated D latch. D latch eliminates the invalid state by admitting the following characteristic table Hence,

EN	D	Q(t+1)	
1	0	0	RESET
1	1	1	SET
0	X	Q(t)	No change

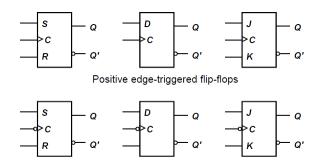
when EN = 1, Q follows D input in a sense Q(t+1) = D, and when EN = 0, Q(t+1) = Q(t).

8.3 Flip-flops

Definition 8.2 (Flip-flops).

Flip-flops are synchronous bistable devices. Output changes state at a specified point on a triggering input called the **clock**.

Flip-flops change state eigher at the positive edge or at the negative edge of the clock signal. Flip-flop family has S - R flip-flop, D flip-flop and J - K fllip-flop.



8.4 S-R flip-flop

S-R flip-flop has the only difference from the S-R latch in that its output changes only on the triggering edge of the clock pulse.

Its characteristic table is

S	R	CLK	Q(t+1)	
0	0	X	Q(t)	No change
0	1	↑	0	RESET
1	0	↑	1	SET
1	1	↑	?	Invalid

8.5 D flip-flop

D flip-flop has single input D and is similar to gated D latch. Its characteristic table is D

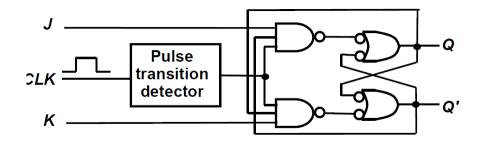
	D	CLK	Q(t+1)	
ĺ	1	↑	1	SET
Ì	0	↑	0	RESET

flip-flop is useful in parallel data transfer.

8.6 J-K flip-flop

J-K flip-flop is an enhancement of S-R flip-flop (J:=S,K:=R) by replacing the invalid state (S=1,R=1) by a **toggle** state, at which Q(t+1)=Q(t)'.

J-K flip-flop is achieved by feeding Q and Q' to the pulse steering NAND gates.



It admits the following characteristic table:

J	K	CLK	Q(t+1)	
0	0	↑	Q(t)	No change
0	1	↑	0	RESET
1	0	↑	1	SET
1	1	†	Q(t)'	Toggle

From the table, we have $Q(t+1) = J \cdot Q(t)' + K' \cdot Q$.

8.7 Pulse Detection Unit

The delay in the NOT gate is used for positive and negative edge detection:

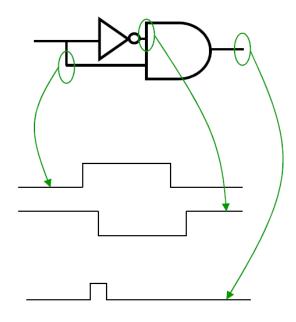
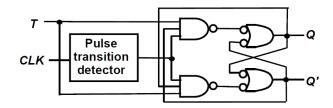


Figure 11: Negative Edge Detection

Figure 10: Positive Edge Detection

8.8 T flip-flop

T flip-flop is the single input version of the J-K flop-flop, formed by J:=T and K:=T. When T=0, there is no change on Q; when T=1, Q toggles.



It admits the following characteristic table: From the table, we have $Q(t+1) = T \cdot Q(t)' + Q$

T	CLK	Q(t+1)	
0	†	Q(t)	No change
1	†	Q(t)'	Toggle

 $T' \cdot Q(t)$.

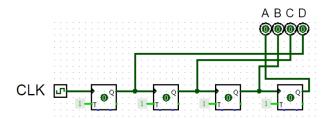
8.8.1 Frequency Divider

Frequency divider can be implemented using a T flip-flop with T set to 1. Therefore, the output Q will toggle on every rising edge of CLK, effectively making the output half the frequency of the clock.



8.8.2 4 bit countdown counter

The 4 bit countdown counter ABCD which starts from 1111, can be implemented as follows:



8.9 Asynchronous Inputs

S-R, D and J-K inputs are **synchronous inputs**, as data on these inputs are transferred to the flip-flop's output only on the *triggered* edge of the clock pulse.

On the other hand, **asynchronous** inputs affect the state of the flip-flop *indepedent* of the clock. Typical asynchronous inputs are **preset**(PRE) and **clear**(CLR).

- When PRE = 1, Q is immediately set to 1.
- When CLR = 1, Q is immediately cleared to o.

Therefore, flip-flop in normal operation mode when both PRE and CLR are low. In a sense, PRE and CLR overrides Q.

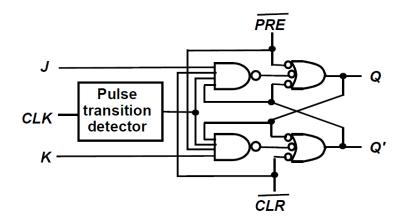


Figure 12: A J-K flop-flop with active low PRESET and CLEAR asynchronous inputs

8.10 Design Methodology for Sequential Logic

We illustrate the design procedures in the design of 3 bit counter.

Step 1 Formulate the problem as a truth table.

A(t)	B(t)	C(t)	A(t+1)	B(t+1)	C(t+1)
0	0	0	0	0	1
0	0	1	0	1	0
0	1	0	0	1	1
0	1	1	1	0	0
1	0	0	1	0	1
1	0	1	1	1	0
1	1	0	1	1	1
1	1	1	0	0	0

Step 2 Assign one flip-flop for each of the **current** state bits X(t). Here we use 3 J - K flip-flop for A, B, C.

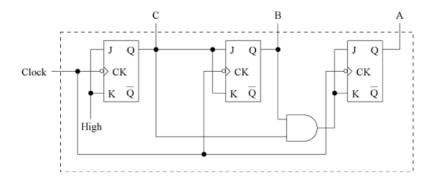
Step 3 Draw truth table for flip-flop inputs. Note any output will be dependent on **all** the input.

A(t)	B(t)	C(t)	A(t+1)	B(t+1)	C(t+1)	J_A	K_A
0	0	0	0	0	1	0	X
0	0	1	0	1	0	0	X
0	1	0	0	1	1	0	X
0	1	1	1	0	0	1	X
1	0	0	1	0	1	X	0
1	0	1	1	1	0	X	0
1	1	0	1	1	1	X	0
1	1	1	0	0	0	X	1

Step 4 Using K map, generate minimum SOP for all inputs in the assigned flip-flops.

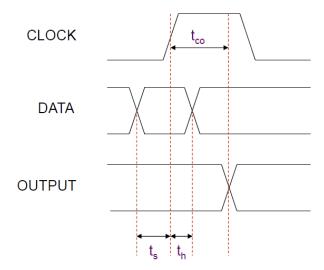
$$J_A = BC$$
$$K_A = BC$$

Step 5 Implement in circuit



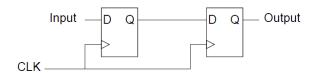
8.11 Metastability

In reality, nothing is instantaneous. Data will have a **setup time** t_s and a **hold time** t_h to observe, while **clock-to-ouput time** t_{co} , which is the propagation delay, is associated with the clock and output.



Metastability is introduced if setup and hold times are violated, as flip-flop may oscillate in an indeterminate state between 0 and 1. Although metastability cannot be absolutely avoided in practice, two way to resolve it can be

- Make sure clock period is long enough.
- Use flip-flop chain Probability of metastability gets closer and closer to zero as number



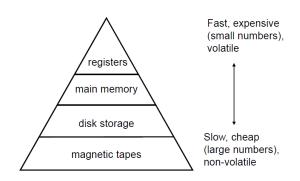
of flip-flops connected in series increase.

8.12 Memory Hierarchy

 $\bf Memory$ stores programs and data.

We define

- 1 byte = 8 bits
- 1 KB = 2^{10} bytes
- 1 MB = 2^{20} bytes
- 1 GB = 2^{30} bytes
- 1 TB = 2^{40} bytes



9 Understanding Performance

9.1 Defining Performance

To improve performance, we are interested to minimise

• Response time/**Execution time**: the time between the start and the completion of a task.

Execution time is *inversely* related to the performance.

$$performance = \frac{1}{execution time}$$

• Throughput – Total amount of work done in a given time Decreasing response time always improves throughput.

Remark: elapsed time do not equal execution time.

- CPU execution time(CPU time) is the time CPU spends working on a task
- CPU execution time does *not* include time (1) waiting for I/O or (2) running other programmes.

9.2 CPU Execution Time

Definition 9.1 (CPU Execution Time).

CPU execution time for a program is given by

CPU execution time = # of CPU clock cycle × clock cycle time (s)
$$= \frac{\text{# of CPU clock cycle}}{\text{clock rate (Hz)}}$$

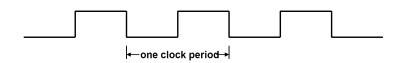
Therefore, there are 2 ways to improve performance, by either

- 1. Reducing the length of the clock cycle, or
- 2. Reducing the # of clock cycles required for a program

Definition 9.2 (Clock Rate).

Clock rate is the inverse of clock cycle time.

$$Clock rate(CR) = \frac{1}{Clock Cycle Time(CC)}$$



9.3 Clock Cycles per Instruction

Different instructions take different amount of time to execute. On average, we have

of CPU cycles = # of Instructions \times Average Clock Cycles per Instruction(CPI)

where Clock Cycles per Instruction is defined as:

Definition 9.3 (Clock Cycle per Instruction).

Clock cycles per instruction is the average number of clock cycles each instruction takes to execute.

This allows the measurement of clock cycles per instruction for different instruction class. Overall, we can calculate **effective CPI** defined as below.

Definition 9.4 (Effective CPI).

Overall effective CPI is calculated by a weighted average of clock cycle per instruction among all classes of instructions.

Overall effective
$$\text{CPI} = \sum_{i \in I} \text{CPI}_i \times \text{IC}_i$$

where IC stands for the **percentage** of instruction count, serving as the weight.

9.4 CPU performance

Definition 9.5 (Performance Equation).

The performance equation of CPU is

$$CPU time = instruction count(IC) \times CPI \times clock cycle = \frac{IC \times CPI}{clock \ rate}$$

This equation gives us a way to calculate average CPI.

There are multiple factors affecting CPU performance.

	Instruction Count	CPI	Clock Cycle
Algorithm	*	*	
Programming language	*	*	
Compiler	*	*	
ISA	*	*	*
Processor Organisation		*	*
Technology			*

10 MIPS

10.1 Basic Concepts

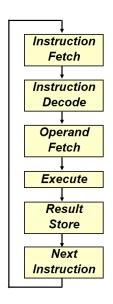
10.1.1 Program Translation

- Programs are written in high level programming languages like C, such as the arithemetic sum operation A+B.
- Compiler translates this into assembly language statement: add A, B.
- Finally, **Assembler** translates this statement into machine language instructions that processors can execute: 1000110010100000.

10.1.2 Instruction Execution Cycle

CPU follows the following instruction execution cycle: **fetch**, **decode**, **execute**.

- Fetch: fetch next instruction, using Program Counter, from memory to IR.
- Decode: decode the instruction
- Execute: execute instruction



10.1.3 Instruction Set Architecture(ISA)

Definition 10.1 (Instruction Set Architecture).

Instruction Set Architecture is an abstraction on the interface between the *hardware* and the *low-level software*.

Practically,

- Low-level software will be translated to the instruction set;
- Hardware is responsible for implementing the instruction set.

Instruction Set Architecture is determined by

• Organisation of programmable stroage

- Data types and data structures; encoding and representations
- Instruction formats
- Instruction(opcode) set
- Modes of addressing and accessing data items and instructions
- Exceptional conditions

However, regardless of ISA, the instruction execution cycle "fetch, decode, execute" is always adhered.

Definition 10.2 (Instruction Set).

Instruction set is the language of, and specified to the machine.

Instruction set is more premitive than high-level languages and has more restrictive instruction.

From now on, MIPS instruction set is th focus.

10.1.4 Assembly Language

Definition 10.3 (Machine Code).

Machine code is instruction represented in binary.

Definition 10.4 (Assembly Language).

Assembly language is the symbolic version of machine code, which can be translated to the latter by *assembler*.

Another advantage of assembly language over machine code is that assembly language can provide pseudo-instructions. ¹³

10.1.5 CISC vs RISC

CISC stands for complex instruction set computer, e.g. x86.

- Single Instruction performs complex operation
- Advantage: smaller program size which saves memory
- Disadvantage: complex implementation, no room for hardware optimisation

RISC, in contrast, stands for reduced instruction set computer, e.g., MIPS.

- Keep the instruction set small and simple
- Advantage: easier to build/optimise hardware
- **Disadvantage**: burden on software to combine simpler operations to implement highlevel language statements.

¹³When considering performance, only *real* instructions are counted.

10.2 Data Storage

10.2.1 Memory Organisation

- The main memory can be viewed as a large, single-dimension array of memory locations.
- Each location of the memory has an **address**, which is an **index** into the array.
- The number of bits in every location/address is specified by **data bus**.
- The length of memory is specified by the number of bits of address bus. A n-bit address bus supports 2^n addresses.
- There are two ways of addressing, namely byte addressing and word addressing.

Definition 10.5 (Byte addressing).

Byte addressing means the index points to *one* byte of memory.

In contrast, word addressing utilises the concepts of word.

Definition 10.6 (Word, word addressing).

word is a unit of transfer between processor and memory.

Word addressing is implemented by addressing memory with byte addresses in the multiple of the size of the word.

Suppose the memory has a 8-bit data bus and n-bit address bus, and uses 4-byte word addressing. There will be 2^{n-2} words addressable in total, with byte address $0, 4, 8, \ldots$

Theorem 10.1. Words are aligned in memory, in a sense the last $\log_2 m$ bits of the memory address is the same for m-byte word addressing with 8-bit data bus.

10.2.2 Registers

Definition 10.7 (Registers).

Registers are fast memories in the processor.

- Data are transferred from memory to registers for *faster* processing.
- Compiler will associate variables in program with registers.
- Registers have no type, unlike variables.
- Modern architectures predominantly use the **load-store** register architecture.
- Register is limited in numbers.

In MIPS assembly language, there are 32 registers, referred to by a number ($\$0 \sim \31) or a name(e.g. \$a0).¹⁴

¹⁴\$at(register 1) is reserved for the assembler; \$k0-\$k1 are reserved for the operation system.

Name	Register Number	Usage
\$zero	0	Constant value 0
\$v0-\$v1	2-3	Values for results and expression evalu-
		ation
\$a0-\$a3	4-7	Arguments
\$t0-\$t7	8-15	Temporaries
\$s0-\$s7	16-23	Program Variables
\$t8-\$t9	24-25	More temporaries
\$gp	28	Global pointer
\$sp	29	Stack pointer
\$fp	30	Frame pointer
\$ra	31	Return address

Table 1: MIPS registers

Different instruction set architecture may use different number of registers in the same operations.

10.3 Memory Addressing Mode

10.3.1 Memory Locations and Addresses

As mentioned above,

- Memory is viewed as a large one-dimensional array of bits.
- Group of n bits to store or retrieve in a single operation to/from the memory is a word.
- A word is usually a multiple of bytes and typically 2,4 or 8 bytes.
- Memory is addressed to access a **single word** or a byte using a distinct **address**.
- Given k-bit address, the address space is of size 2^k ,

In word addressing, there are two conventions in storing data, namely **big=endian** and **little-endian**.

- **Big-endian**: most significant byte stored in lowest address.
- Small-endian: least significant byte stored in lowest address.

In word addressing, a word is **aligned** in memory if it begins at a byte address that is a multiple of the number of bytes in a word.

10.3.2 Memory operations

There are two major memory operations, namely load and write.

- Load(Read): Transfers the contents of a specific memory location to the processor.
 - 1. The precessor sends address to the memory;
 - 2. Memory reads data at that address;
 - 3. Memory send data to the processor
- Store(Write): Data from the processor is written at a specified memory location. Process sends address and data to the memory.

The read/write from/to memory is controlled by **Control lines**, interfaced between processor and memory.

10.3.3 Addressing Modes

There are multiple addressing modes, 4 out of which are used more often.

Definition 10.8 (Register(direct) Mode).

Register Mode has the following machine code format:

where op stands for opcode, rs, rt, rd stands for source, target and destination registers respectively.

One register mode example code is

The above command add adds numbers in \$rs and \$rt and store the result in \$rd.

Definition 10.9 (Immediate Mode).

Immediate mode has the following machine code format:

where immed is a constant.

One immediate mode example code is

The above command addi adds number in \$rs with immed and store the result in \$rt.

Definition 10.10 (Displacement Mode).

Displacement mode has the following machine code format

One displacement mode example code is

The above command lw loads a word from the address obtained by the sum of address in \$rs and immed, to \$rt.

Definition 10.11 (PC-relative mode).

Program-counter(PC) relative mode has the following machine code format

One PC-relative mode example code is

The above command beq branches to the memory address which is immed amount away from the memory address in Program Counter, if the value in \$rs equals value in \$rt.

10.4 Operations in the Instruction Set

There are many standard operations for each instruction set, inleuding

- Data movement
 - load (from memory)
 - store (to memory)
 - memory-to-memory move
 - register-to-register move
 - input (from I/O device)
 - output (to I/O device)
 - push, pop (to/from stack)
- Arithmetic: (integer or floating point) add, substract, multiply divide
- Shift: shift (left/right), rotate (left/right)
- Logical: not, and, or, set, clear
- Control flow: Jump(unconditional), Branch(conditional)
- Subroutine Linkage: call, return
- Interrupt: trap, return
- Synchronisation: test, set
- String: search, move, compare
- Graphics: pixel and vertex operations

In these operations, load, conditional branch, compare and store are the four most executed instructions. By Amdahl's law, these instructions should be made fast.

There are two addressing modes for control-flow instructions:

- 1. **PC-relative**: destination address = displacement + value in Program Counter A consequence is that code can run independently of where in memory it is loaded, so it has position independence.
- 2. **Register Indirect Jump**: A register is specified, which will contain the target address Note: value in the specified register is usually not known at compile time, but is computed at run time

10.5 Instruction Formats

10.5.1 Instruction Length

The instruction can have either *variable* or *fixed* length. MIPS adopts **fixed-length** instructions of length 4 bytes.

10.5.2 Instruction Field

An instruction consists of **opcode** and a certain number (possibly zero) of **operands**. Each instruction has a *unique* opcode.

MIPS allow for three register operands. Since there are 2^5 registers, each register address requires 5 bits.

For every direct **memory** operand, at least one operand will be taken away.

10.5.3 Type and Size of Operands

The type of operand is designated by the **opcode**. 32-bit instruction set architecture should support

- 8, 16, 32-bit integer operations
- 32, 64-bit floating point operations

10.6 Encoding the Instruction Set

The instruction encoding has three choices: *variable*, *fixed* and *hybrid*. MIPS adopts **fixed-length** encoding.

10.6.1 Encoding for Fixed-length instructions

To maximise the possibilities of instruction bits, **expanding opcode scheme** is adopted, where the opcode has variable lengths for different instructions. Under this scheme, opcode can occupy bits unused by operands, so that a larger set of instructions can be supported. One constraint of this scheme is that: two opcode of different length should not be identical for the length of bits of the shorter opcode. This constraint should be adhered in design of encoding scheme.

10.7 Compiler's View

Considerations of compilers include

- Ease of Compilation
- Orthogonality: no special registers, few special cases, all operand modes available with any data type or instruction type
- Completeness: support for a wide range of operations and target applications
- Regularity: no overloading for the meanings of instruction fields
- Streamlined: resource needs to be easily determined
- Provide at least 16 general-purpose registers plus seperate floating point registers.
- Be sure all addressing modes apply to all data transfer instructions
- Aim for a minimalist instruction set

11 MIPS Assembly Language

In MIPS assembly language, each instruction executes a simple command. Note that each line of assembly code contains *at most one* instruction. # is used for comments.

11.1 Arithmetic Operations

11.1.1 Addition

Addition is specified by opcode add. It has the following syntax:

where the operation \$rd = \$rs + \$rt is performed.

By convention, program variables are stored in \$s? registers(register number 16-23) while temporaries are stored in \$t? registers(register number 8-15.

11.1.2 Subtraction

Subtraction is specified by opcode sub. It has the following syntax:

where the opration \$rd = \$rs - \$rt is performed.

Note that the position of \$rs and \$rt registers are important since subtraction is not commutative.

11.1.3 Complex Arithmetic Operations

Complex arithmetic operations are broken down into single instructions, by utilising the temporary registers to hold the intermediate result.

11.1.4 Constant/Immediate Operands

Immediates are numerical *constants*.

Examples of instructions involving immediate operands are addi and subi. Addition with immediate is specified by opcode addi. It has the following syntax:

where the operation \$rt = \$rs + immed is performed.

Similarly, subtraction with immediate is specified by opcode **subi**. It has the following syntax:

where the operation \$rt = \$rs - immed is performed.

11.1.5 Register Zero \$zero

Register zero \$0 or \$zero always has the value 0.

When setting one variable value to another variable, say f=g, it is equivalent to f=g+0, which can be done using add r \$r\$ \$zero.

Note that any instructions attempting to set \$zero to any value will not do anything.

11.2 Logical Operations

Unlike arithmetic instructions, which view content of registers as single quantity signed or unsigned integer, **logical operations** view register as 32 raw bits.

Logical instructions are to operate on individual bytes or bits within a word.

11.2.1 Shift Operation

Shift left operation is specified by opcode s11; shift right operation is specified by opcode srl.

It has the following syntax:

Behaviour: Move all the bits in a word to the left/right by a number of bits specified by immed; fill the emptied bits with zero.

Note that, shifting left by n bits is equivalent to multiplying by 2^n ; shifting right by n bits is equivalent to dividing by 2^n .

11.2.2 Bitwise AND instruction

Bitwise AND operation is specified by opcode and. It has the following syntax:

Behaviour: For each bit in \$rd, it will be 1 only if both the bits of the same position in \$rs and \$rt are 1.

Similarly, we have the andi instruction, which has the following syntax:

andi can be used to create a mask. It can force 0 in the specified position by putting 0 at these position in immed, and retain other bits by putting 1.

11.2.3 Bitwise OR instruction

Bitwise OR instruction is specified by opcode or. It has the following syntax:

Behaviour: For each bit in \$rd, it will be 1 if either bit of the same position in \$rs and \$rt is one.

Similarly, we have the ori instruction, which has the following syntax:

ori can be used to force some bits into 1s, by putting 1 at these position in immed, and retain other bits by putting 0.

11.2.4 Bitwise NOR instruction

Bitwise NOR instruction is specified by opcode nor. It has the followin syntax:

It is extensively used to toggles the bits of an operand(equivalently, NOT operation), by using the following command

11.3 Branching Operations

As instructions are stood in memory, they also have addresses. Furthermore, instruction addresses are word-aligned due to their 4-byte length.

Program Counter, as a register, holds the address of instrution currently being executed.

11.3.1 Branch if Equal, Branch if Not Equal

Branch if equal and branch if not equal is specified by opcode beq and bne respectively. They have the following syntax

Behaviour:

- beq checks whether values in \$rs and \$rt are equal; bne checks whether values in \$rs and \$rt are not equal.
- If the above check returns true, branch to the memory address¹⁵

$$PC \leftarrow PC + 4 + 4 \times immed$$

Therefore, beg and bne are conditional branches.

Since immed is only 16-bit long, it can branch to $\pm 2^{15}$ words(aligned memory addresses) from the PC(equivalently, 2^{17} bytes).

¹⁵The multiplier 4 is due to the convention that immed specifies how many words away it branches to.

11.3.2 Jump

Jump, j, in contrast against beq, is an **unconditional** branch. It has the following syntax:

Behaviour: We form the addressed to be jumped to as follows:

- Fill the 2 LSBs, bit 0 and 1, 00.
- Extract target address and fill the next 26 LSBs, bit 2 to bit 27.
- Fill the rest 4 MSBs, bit 28 to bit 31, same as the 4 MSBs in the Program Counter.

After this address is obtained, set the Program Counter value to this new address. Note that j can only branch in the domain of 256MB, further jump requires instruction jr.

11.4 MIPS Instruction Classification

Instructions are classified according to numbers and types of operands. There are three types of instructions for MIPS:

- Register format(R-format)
 It requires 2 source registers and 1 destination register.
- Immediate format(I-format)
 It requires 1 source register, 1 destination register and 1 immediate.
- Jump format(J-format)
 It requires 1 immediate only.

11.4.1 R-Format

Definition 11.1 (Register Format Instruction).

Register format has the following instruction format:

Here, a field is viewed as 5-/6-bit unsigned integer.

- opcode partially specifies what instruction it is, and is consistent across all instructions opcode is fixed 000000 for all R-Format instructions.
- funct is used together with opcode to exactly specify the instruction
- rs specifies source register
- rt specifies target register
- rd specifies destination register
- shamt specifies the amount a shift instruction will shift by. shamt is fixed 00000 for all instructions except shift instructions.

11.4.2 I-Format

Definition 11.2 (Immediate Format Instruction).

$$\underbrace{\text{opcode}}_{\text{6 bits}}\underbrace{\text{rs}}_{\text{5 bits}}\underbrace{\text{rt}}_{\text{16 bits}}\underbrace{\text{immediate}}_{\text{16 bits}}$$

- opcode uniquely specifies an instruction
- **immediate** is treated as a **signed** integer of 2s complement, or a bit string(for logical instructions).

It can be used to represent 2^{16} different values.

11.4.3 **J-Format**

Definition 11.3 (Jump Format Instruction). Jump format has the following instruction format:

Here, the target_address is viewed as a binary sequence.

11.5 Addressing Modes

Addressing modes of an instruction is determined by the type of instruction. Following are different addressing modes:

- Register Addressing: operand is a register, e.g., add
- Immediate Addressing: operand is a constant within the instruction itself, e.g., addi
- Base Addressing/Displacement Addressing: operand is at the memory location whose address is the sum of a register and a constant in the instruction, e.g., lw
- PC relative addressing: operand is at the memory location whose address is the sum of PC and constant in the instruction
- Pseudo-direct addressing: operand is at the memory location whose address is the contacenation of upper 4-bits of PC, 26-bit of instruction and 2-bit placeholder 00, e.g., j.