



Optimal control of trajectory of reusable launcher in OpenMDAO/dymos

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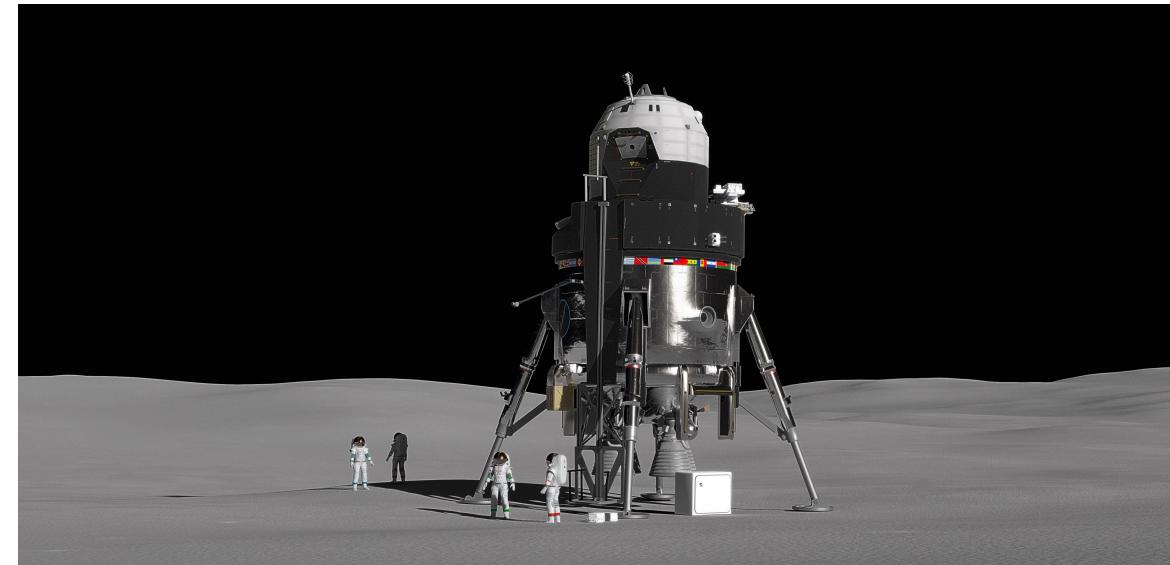
Outline

- *Context*
- *Optimal control problem*
- *State of the Art*
- *2D Ascent Trajectory*
- *2D Descent Trajectory*
- *3D Ascent Trajectory*
- *Conclusions & Future achievements*

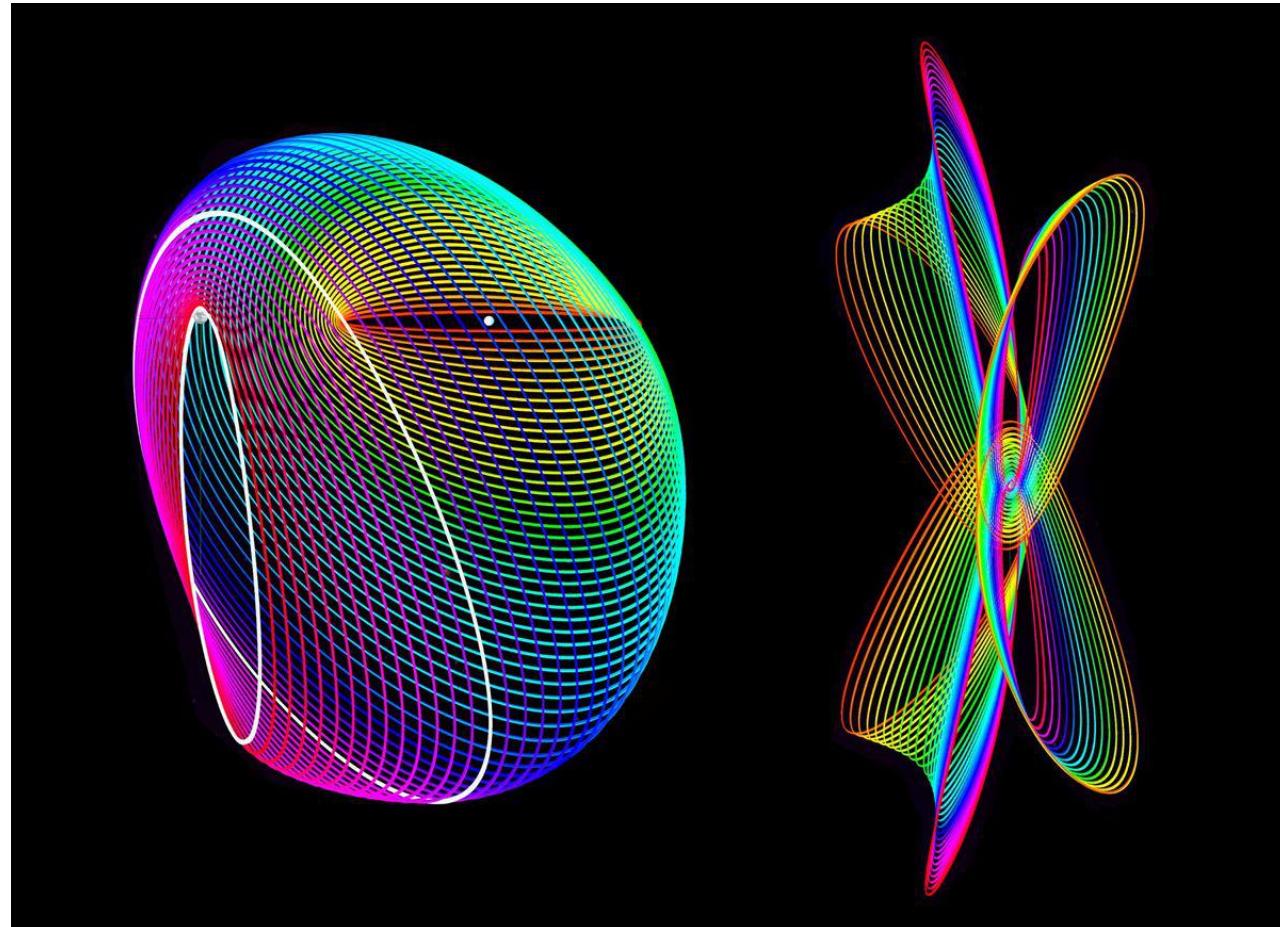
Moon and Mars Exploration

In-situ resources exploitation

Lunar Orbital Platform Gateway



HALO orbits



credits: Purdue University

Optimal control problem: formulation

Mathematical formulation of a continuous-time optimal control problem

Minimize:

$$J = \phi(t_f, \mathbf{x}_f) + \int_{t_0}^{t_f} L(t, \mathbf{x}, \mathbf{u}) dt$$

Subject to:

- | | |
|--|----------------------|
| $\dot{\mathbf{x}} = \mathbf{f}(t, \mathbf{x}, \mathbf{u})$ | dynamics |
| $\mathbf{x}(t_0) = \mathbf{x}_0$ | initial conditions |
| $\mathbf{u} \in U$ | controls |
| $\Psi(t_f, \mathbf{x}_f) = \mathbf{0}$ | terminal constraints |
| $S(\mathbf{x}) \geq 0$ | path constraints |

Optimal control problem: solution

Solution of an optimal control problem - indirect methods

Calculus of variations

Euler-Lagrange first-order optimality
conditions

Pontryagin Minimum Principle

Two-Points Boundary Value Problem
(TPBVP)

Pros:

- **highly accurate solution**
- **low computational effort**

Cons:

- **heavy algebraic manipulation of EOMs**
- **small region of convergence**

Optimal control problem: solution

Solution of an optimal control problem - direct methods

Direct transcription

States and controls discretization

Non Linear Programming
problem (NLP)

Iterative solution

Collocation defects driven to zero

Pros:

- no algebraic manipulation of EOMs
- wider region of convergence

Cons:

- high number of decision variables
- high computational effort

State of the Art: Tools

Direct transcription methods:
High-Order Gauss-Lobatto
Radau Pseudospectral

NLP solvers:
Gradient-based (SNOPT¹, IPOPT^{2,3}, fmincon⁴)
Gradient-free (PSO, DE, SA)

Libraries:

- GPOPS-II⁵ (MATLAB⁴)
- OpenMDAO/dymos^{6,7} (Python)

¹Gill et al., SIAM Review, 2005

²Wächter et al., Mathematical Programming, 2006

³HSL, <http://www.hsl.rl.ac.uk/>

⁴Matworks, <https://www.mathworks.com/>

⁵Patterson et al., ACM Trans. Math. Softw., 2014

⁶Gray et al., Structural and Multidisciplinary Optimization, 20197

⁷Hendricks et al., AIAA, 2017

Implementation

Optimal control problem for spacecraft trajectory optimization

Direct transcription
High-Order Gauss-Lobatto, OpenMDAO/dymos library

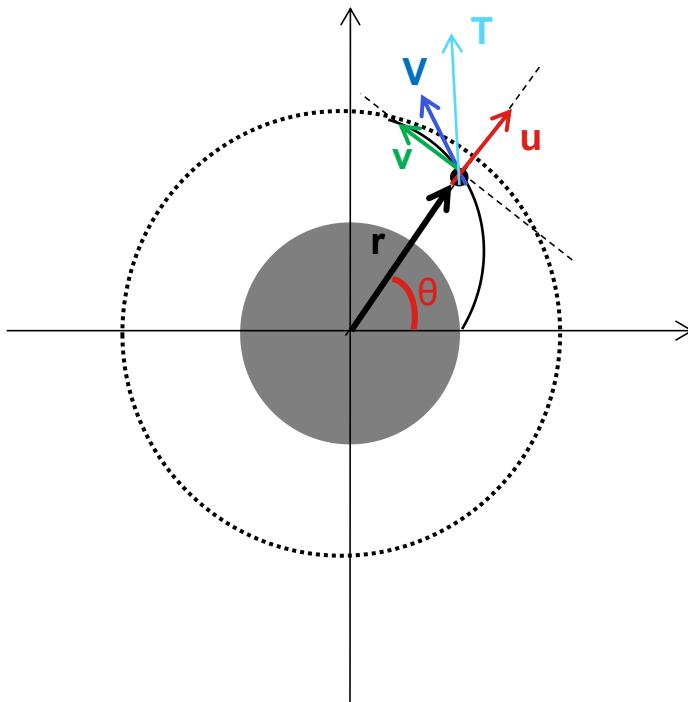
NLP problem
IPOPT solver, linear solver MA57

Validation
IVP from initial conditions and optimal control profile, *simulate* method

2D Ascent Trajectory: EOMs

Equations of motions (EOMs):

$$\dot{r} = u$$



$$\dot{\theta} = \frac{v}{r}$$

$$\dot{u} = -\frac{\mu}{r^2} + \frac{v^2}{r} + \frac{T}{m} \sin \alpha$$

$$\dot{v} = -\frac{uv}{r} + \frac{T}{m} \cos \alpha$$

$$\dot{m} = -\frac{T}{Isp g_0}$$

legend:

r : radial distance

θ : swept angle

u : radial velocity

v : tangential velocity

m : mass

T : thrust magnitude

α : thrust direction

Isp : specific impulse

g_0 : standard gravitational acceleration

μ : Moon standard gravitational parameter

2D Ascent: Optimal control problem

Minimize:

$$J = -m(t_f)$$

Subject to:

$$\dot{\mathbf{x}} = \mathbf{f}(t, \mathbf{x}, \mathbf{u})$$

dynamics

$$\mathbf{x} = [r, \theta, u, v, m]$$

state variables

$$\mathbf{u} = [T, \alpha]$$

controls

$$\mathbf{x}(t_0) = \mathbf{x}_0$$

initial conditions

$$\Psi(t_f, \mathbf{x}_f) = \mathbf{0}$$

terminal constraints

$$S(\mathbf{x}) \geq 0$$

path constraints

legend:

R : Moon radius

H : target orbit altitude

m_0 : initial spacecraft mass

T_{min}, T_{max} : minimum and maximum thrust

Initial conditions \mathbf{x}_0 and terminal constraints $\Psi(t_f, \mathbf{x}_f)$:

$$\begin{cases} t_0 = 0 \\ r(t_0) = R \\ \theta(t_0) = 0 \\ u(t_0) = 0 \\ v(t_0) = 0 \\ m(t_0) = m_0 \end{cases}$$

$$\begin{cases} t_f = free \\ r(t_f) = R + H \\ \theta(t_f) = free \\ u(t_f) = 0 \\ v(t_f) = \sqrt{\mu/(R + H)} \\ m(t_f) = free \end{cases}$$

Path constraints $S(\mathbf{x})$:

$$\begin{cases} r(t) > R \\ m(t) > 0 \\ T_{min} < T < T_{max} \end{cases}$$

2D Ascent: Solutions

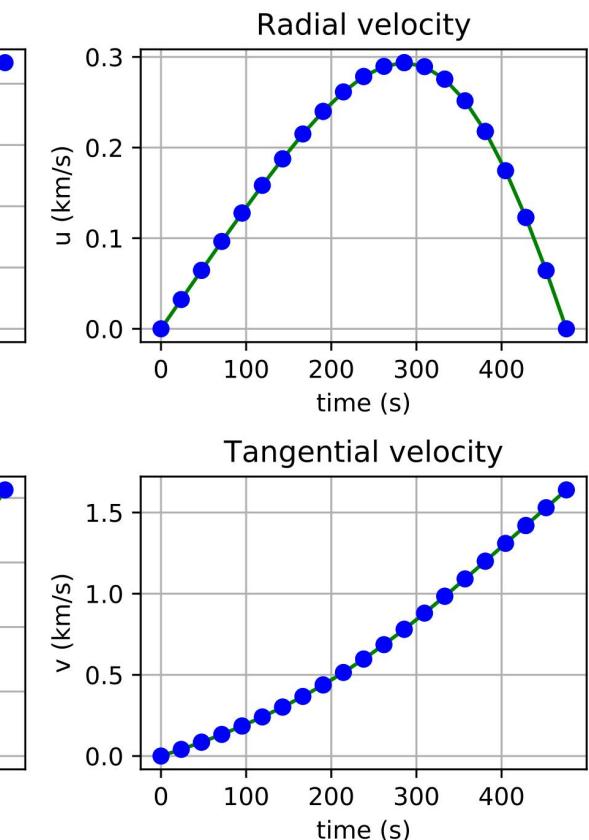
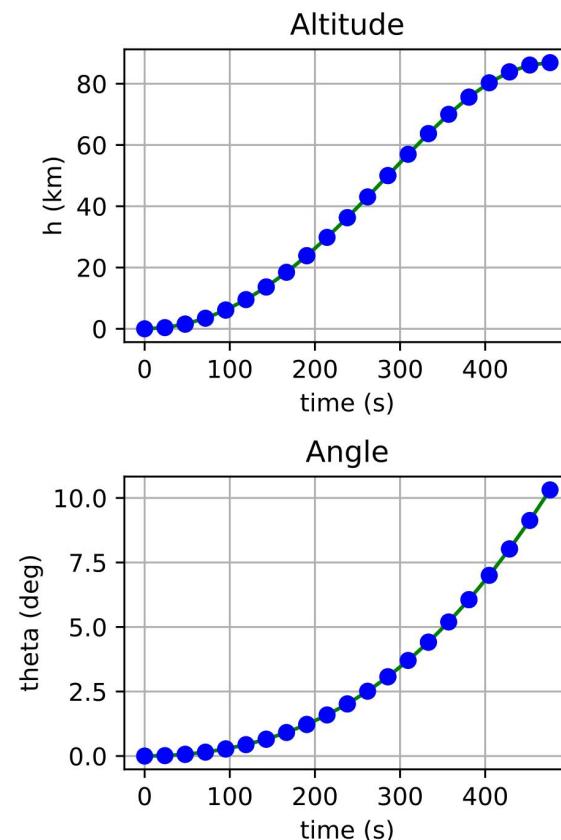
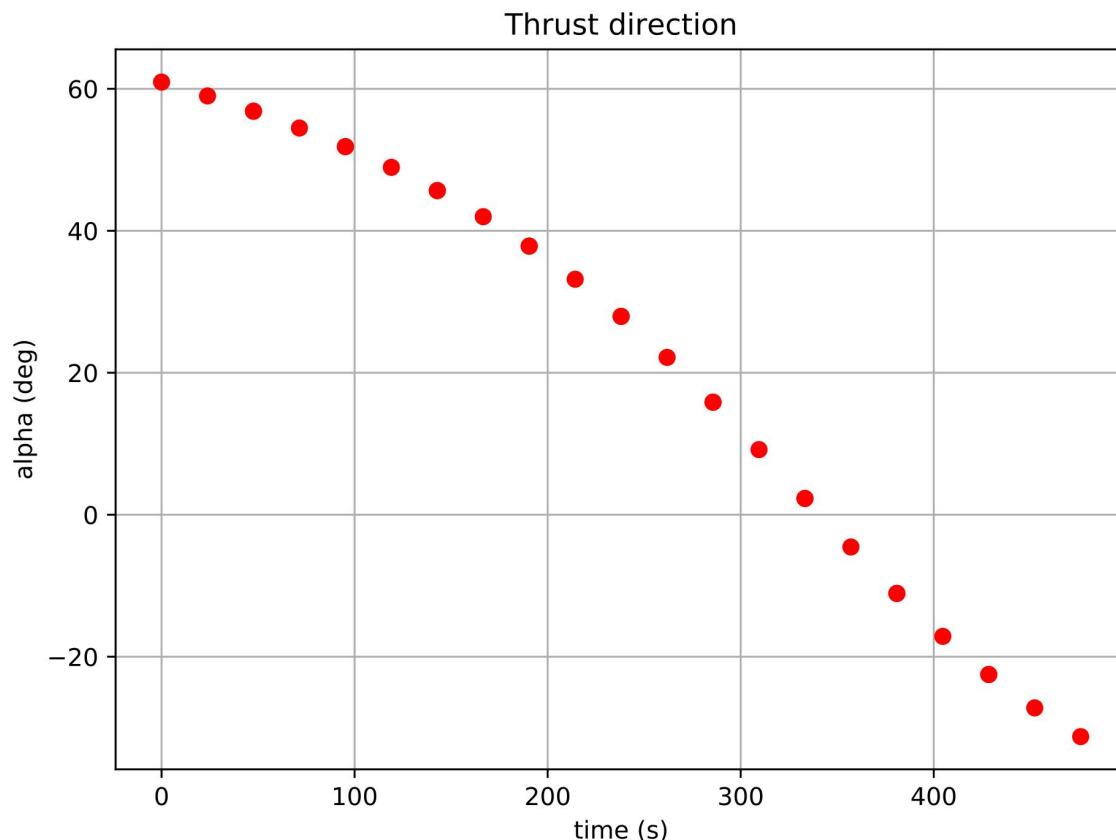
Common parameters:

Target orbit altitude	86.87 km
Isp	450 s
Initial thrust/weight ratio	2.1

Proposed solutions:

Ascent Trajectory	Time of Flight	Propellant consumption
Constant Thrust	476.13 s	36.80%
Variable Thrust	3697.56 s	33.64%
Semianalytic initial guess	3803.26 s	32.81%
Variable Thrust, Minimum safe altitude	3367.77 s	35.50%

2D Ascent: Constant thrust



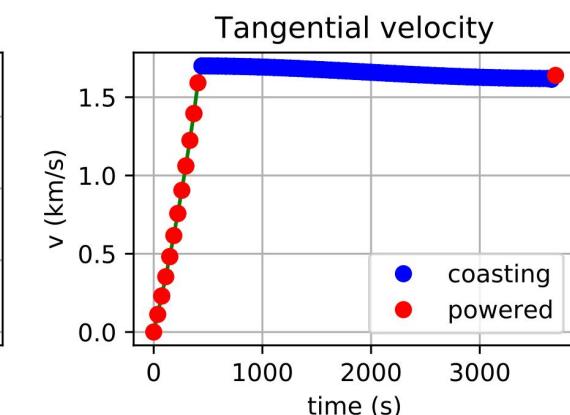
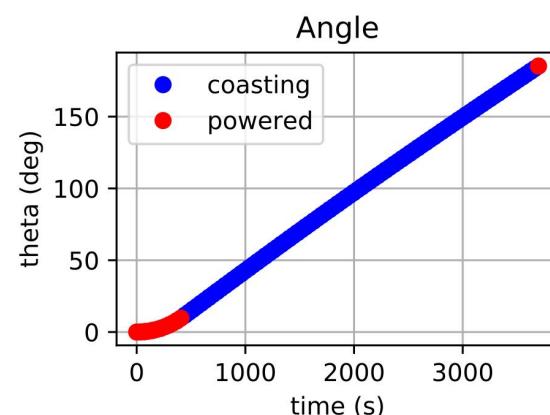
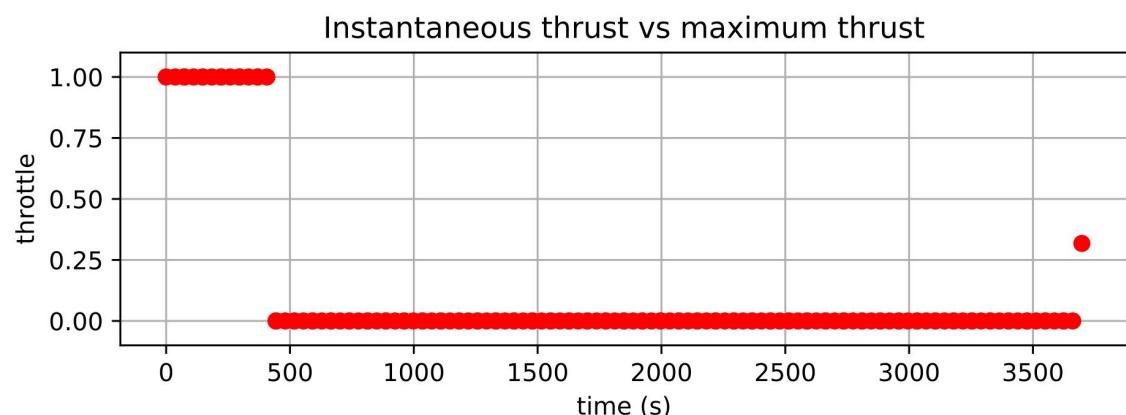
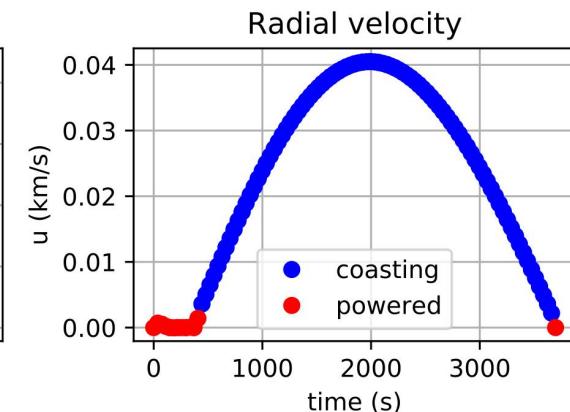
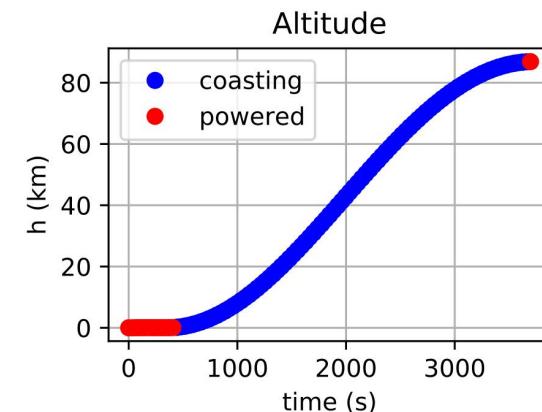
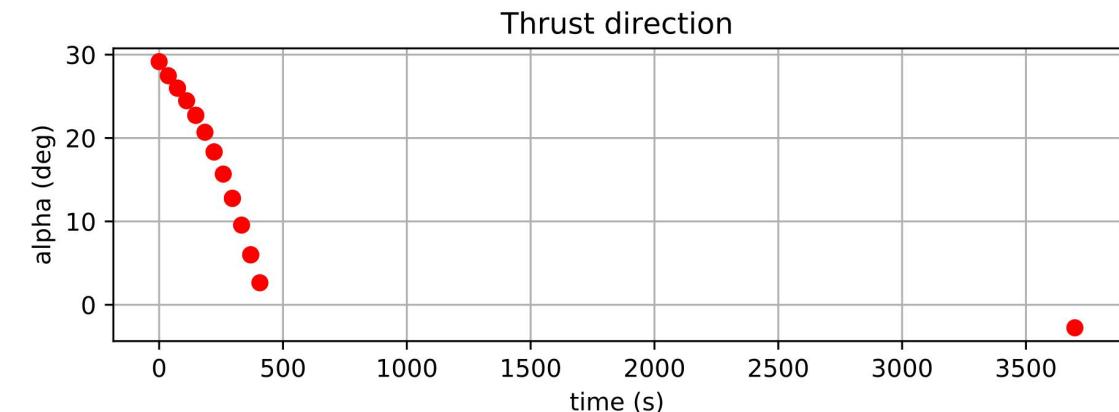
Time of Flight

476.13 s

Propellant consumption

36.80%

2D Ascent: Variable thrust



Time of Flight

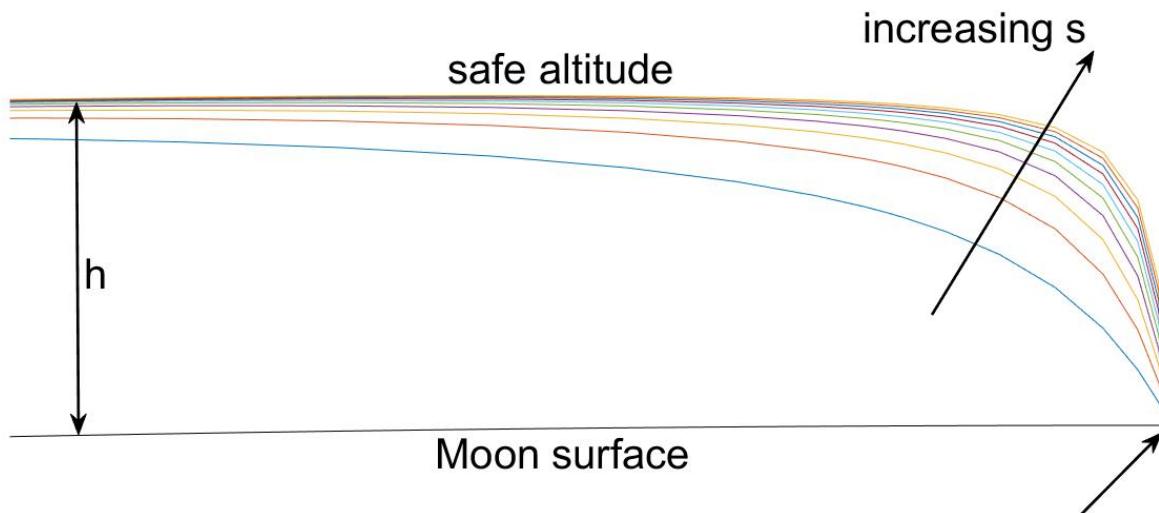
3697.56 s

Propellant consumption

33.64%

2D Ascent: Minimum safe altitude

Path constraint to avoid lunar highlands:



legend:

r : radial distance

θ : swept angle

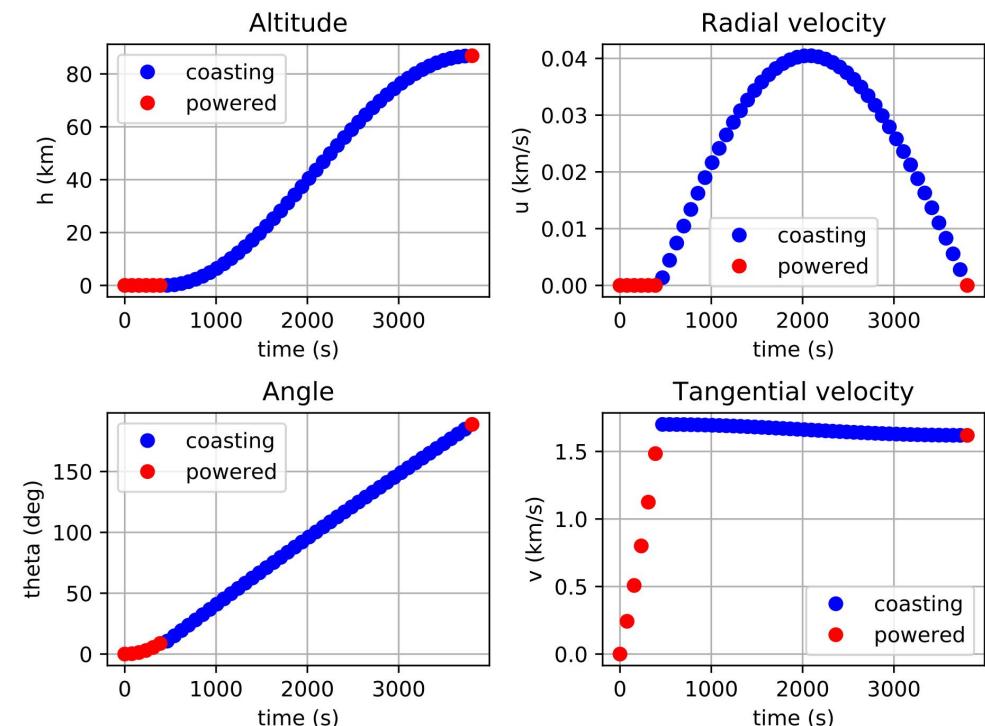
R : Moon radius

h : safe altitude

s : constraint shape parameter

$$r > R + \frac{hR\theta}{R\theta + h/s}$$

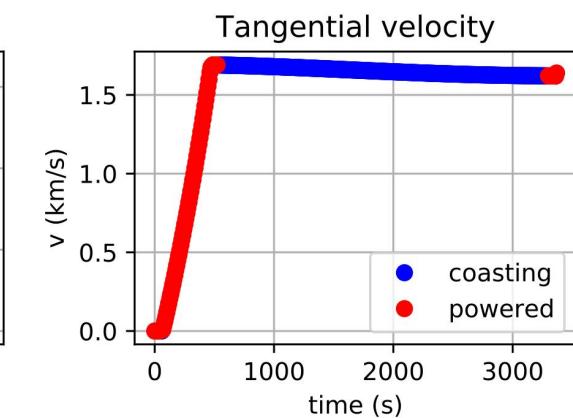
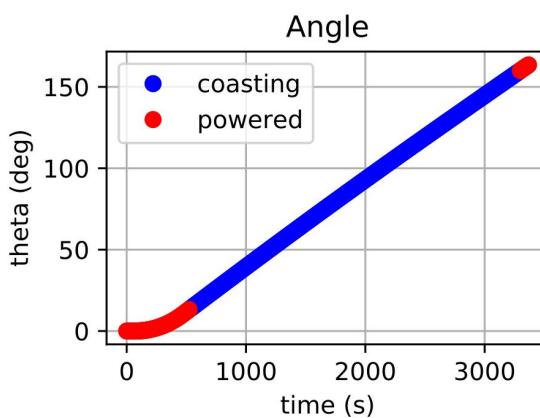
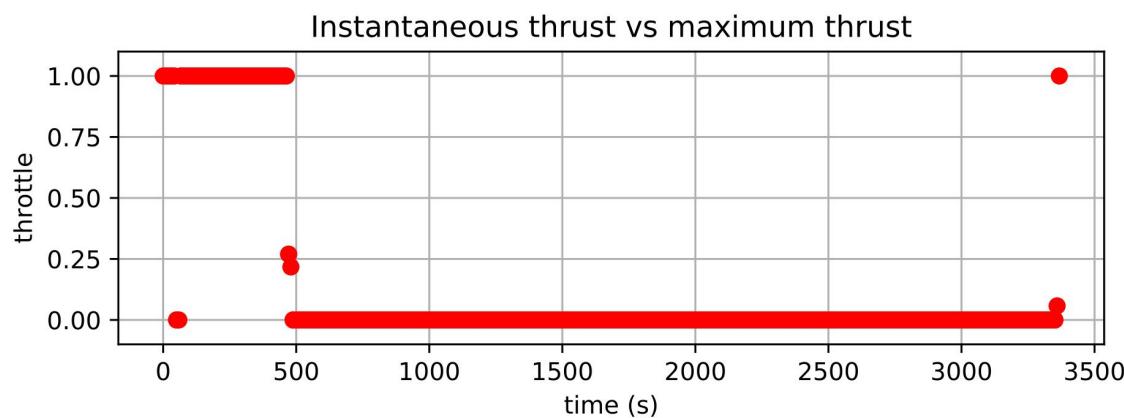
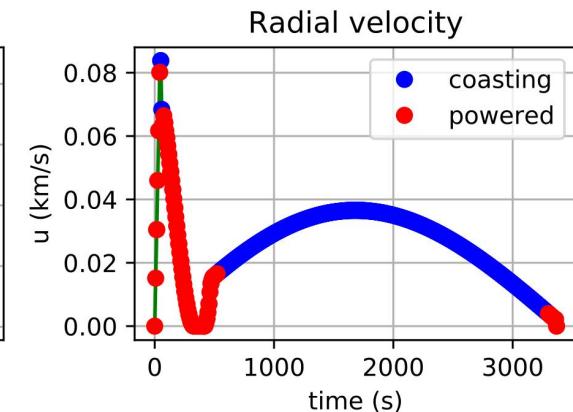
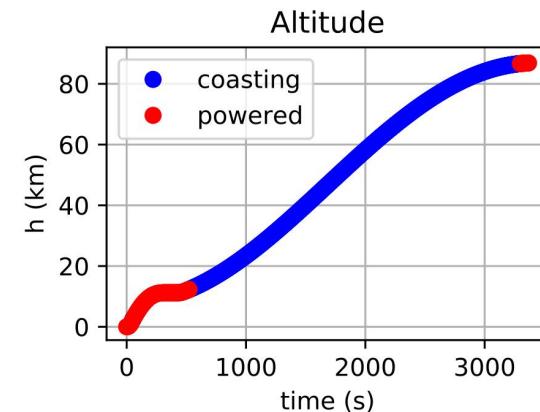
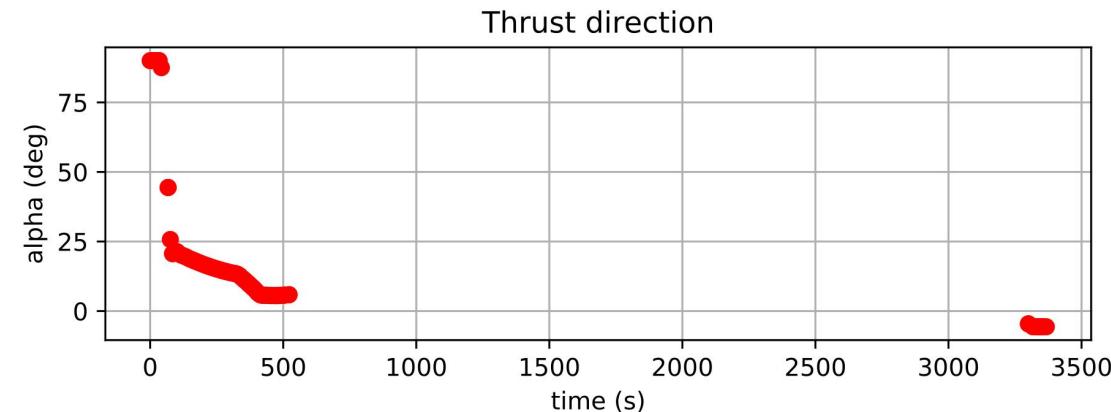
Seminanalytic initial guess:



Three-phases trajectory:

1. powered phase at constant radius $r=R$
2. Hohmann transfer
3. impulsive injection burn

2D Ascent: Minimum safe altitude



Ascent Trajectory

Time of Flight

Propellant consumption

Initial guess

3803.26 s

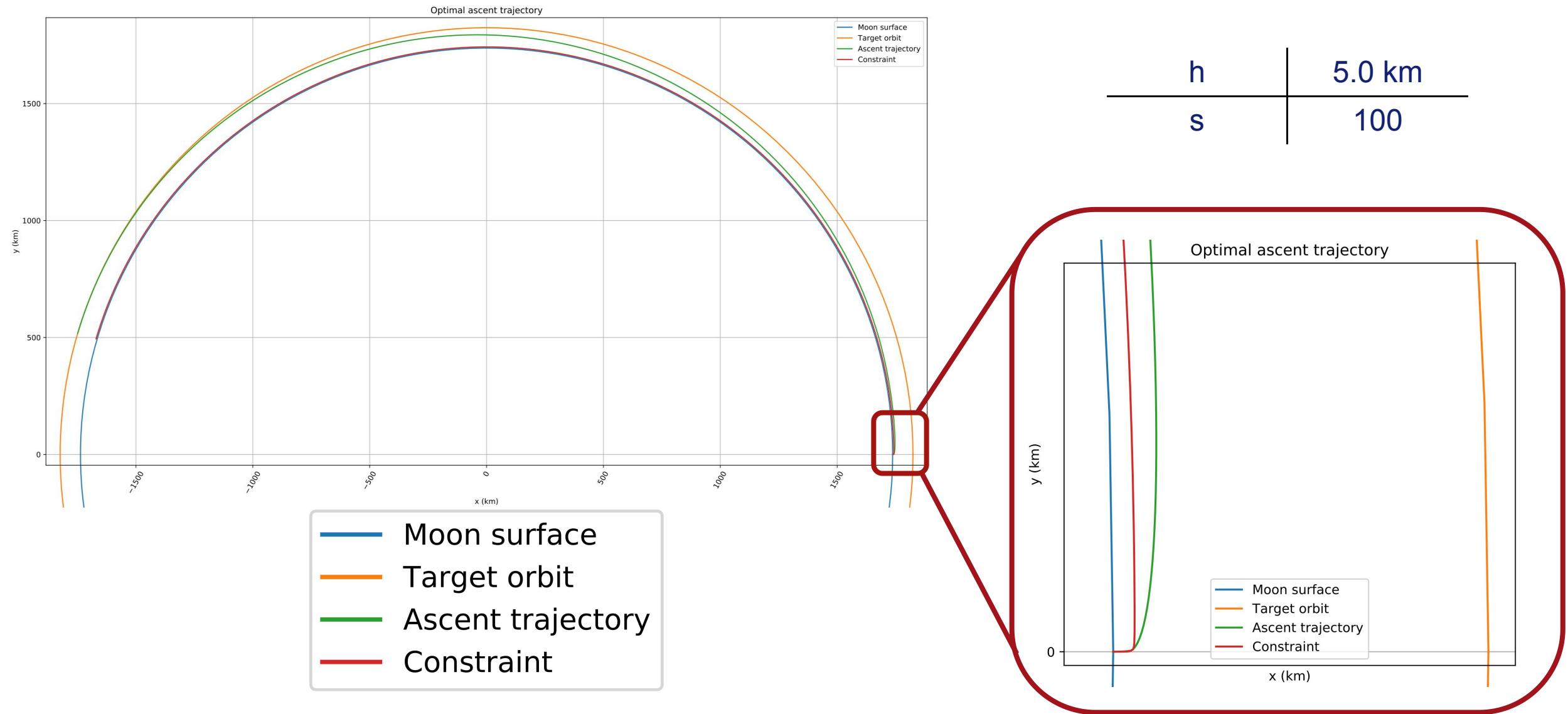
32.81%

Variable Thrust, Minimum safe altitude

3367.77 s

35.50%

2D Ascent: Minimum safe altitude



2D Descent: Optimal control problem

Minimize:

$$J = -m(t_f)$$

Subject to:

$$\dot{\mathbf{x}} = \mathbf{f}(t, \mathbf{x}, \mathbf{u})$$

dynamics

$$\mathbf{x} = [r, \theta, u, v, m]$$

state variables

$$\mathbf{u} = [T, \alpha]$$

controls

$$\mathbf{x}(t_0) = \mathbf{x}_0$$

initial conditions

$$\Psi(t_f, \mathbf{x}_f) = \mathbf{0}$$

terminal constraints

$$S(\mathbf{x}) \geq 0$$

path constraints

legend:

R : Moon radius

h_p : Hohmann transfer periapsis altitude

v_p : Hohmann transfer periapsis velocity

h_v : vertical landing initial altitude

m_0 : initial spacecraft mass

Δm : deorbit burn propellant mass

Initial conditions \mathbf{x}_0 and terminal constraints $\Psi(t_f, \mathbf{x}_f)$:

$$\begin{cases} t_0 = 0 \\ r(t_0) = R + h_p \\ \theta(t_0) = 0 \\ u(t_0) = 0 \\ v(t_0) = v_p \\ m(t_0) = m_0 - \Delta m \end{cases}$$

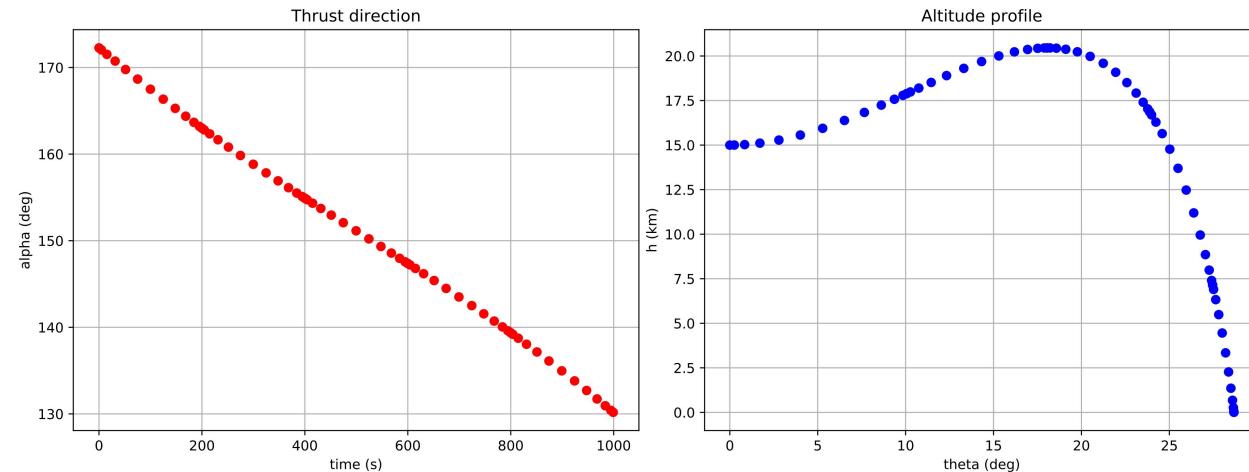
$$\begin{cases} t_f = \text{free} \\ r(t_f) = R \\ \theta(t_f) = \text{free} \\ u(t_f) = 0 \\ v(t_f) = 0 \\ m(t_f) = \text{free} \end{cases}$$

Path constraints to enforce vertical landing:

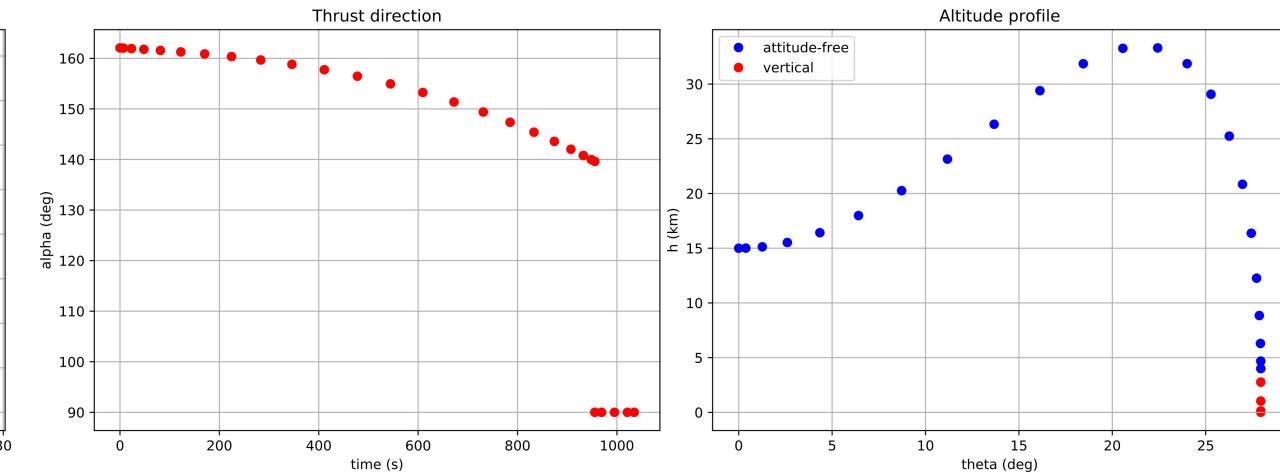
$$\begin{cases} v(r < R + h_v) = 0 \\ \alpha(r < R + h_v) = 90^\circ \end{cases}$$

2D Descent Trajectory

Descent Trajectory without final constraints



Descent Trajectory with final constraints



Parking orbit altitude

100 km

Powered descent phase initial altitude

15 km

Vertical phase initial altitude

4 km

Isp

310 s

initial thrust/weight ratio

0.90

Time of Flight

Propellant consumption

Free

999.05 s

48.51%

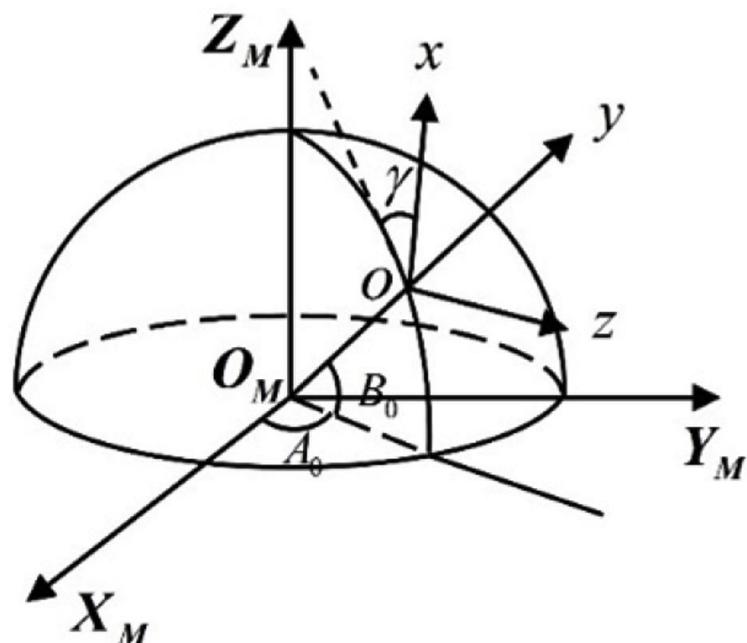
Vertical constrained

1034.77 s

50.24%

Equations of motion (EOMs):

$$\dot{\boldsymbol{r}} = \boldsymbol{v}$$



$$\dot{\boldsymbol{v}} = -\frac{\mu}{r^3} \boldsymbol{r} + \frac{T}{m} \hat{\boldsymbol{u}}$$

$$\dot{m} = -\frac{T}{Isp g_0}$$

$$\boldsymbol{r} = [x, y, z]$$

$$\boldsymbol{v} = [v_x, v_y, v_z]$$

$$\hat{\boldsymbol{u}} = [u_x, u_y, u_z]$$

legend:

\boldsymbol{r} : position vector

\boldsymbol{v} : velocity vector

m : mass

T : thrust magnitude

$\hat{\boldsymbol{u}}$: thrust direction

Isp : specific impulse

g_0 : standard gravitational acceleration

μ : Moon standard gravitational parameter

3D Ascent: Optimal control problem

Minimize:

$$J = -m(t_f)$$

Subject to:

$$\dot{\boldsymbol{x}} = \boldsymbol{f}(t, \boldsymbol{x}, \boldsymbol{u})$$

dynamics

$$\boldsymbol{x} = [\boldsymbol{r}, \boldsymbol{v}, m]$$

state variables

$$\boldsymbol{u} = [T, \hat{u}]$$

controls

$$\boldsymbol{x}(t_0) = \boldsymbol{x}_0$$

initial conditions

$$\Psi(t_f, \boldsymbol{x}_f) = \mathbf{0}$$

terminal constraints

$$S(\boldsymbol{x}) \geq 0$$

path constraints

Initial conditions \boldsymbol{x}_0 and terminal constraints $\Psi(t_f, \boldsymbol{x}_f)$:

$$\begin{cases} t_0 = 0 \\ \boldsymbol{r}(t_0) = \boldsymbol{r}_0 \\ \boldsymbol{v}(t_0) = \mathbf{0} \\ m(t_0) = m_0 \end{cases}$$

$$\begin{cases} t_f = free \\ \boldsymbol{h}(t_f) - \boldsymbol{h}_{tgt} = \mathbf{0} \\ \boldsymbol{e}(t_f) - \boldsymbol{e}_{tgt} = \mathbf{0} \\ m(t_f) = free \end{cases}$$

Path constraints $S(\boldsymbol{x})$:

$$\begin{cases} \|\boldsymbol{r}(t)\| > R \\ m(t) > 0 \\ T_{min} < T < T_{max} \\ \|\hat{u}\| = 1 \end{cases}$$

legend:

\boldsymbol{r}_0 : initial position vector

m_0 : initial spacecraft mass

\boldsymbol{h} : specific angular momentum vector

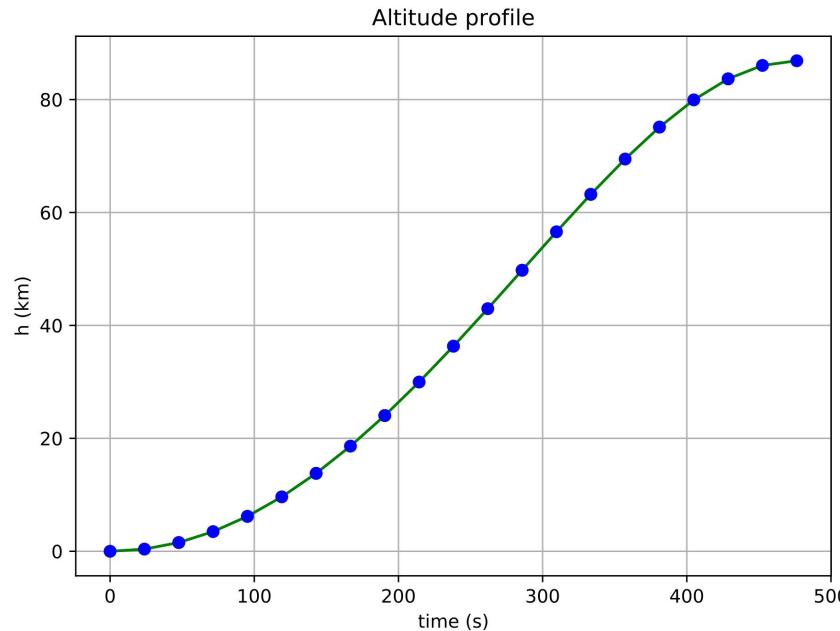
\boldsymbol{e} : eccentricity vector

R : Moon radius

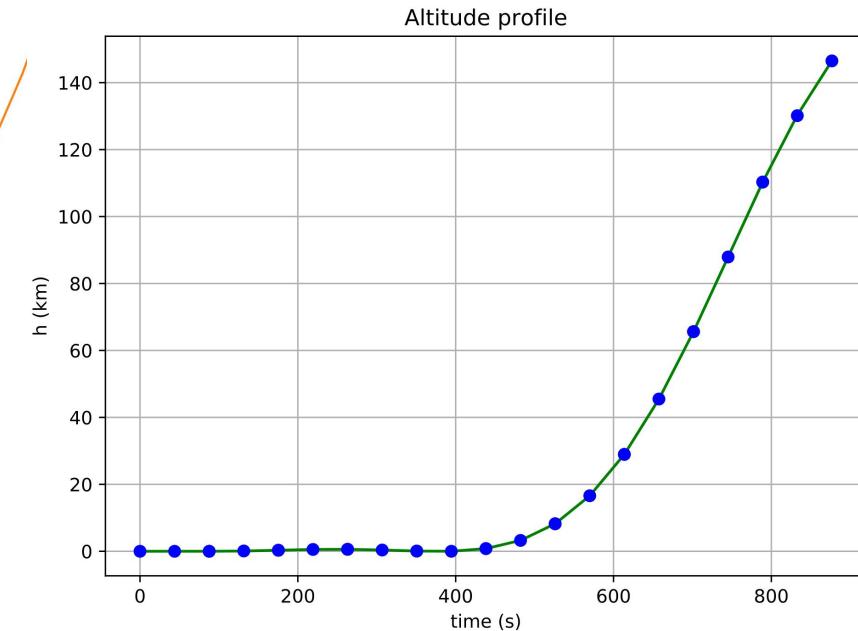
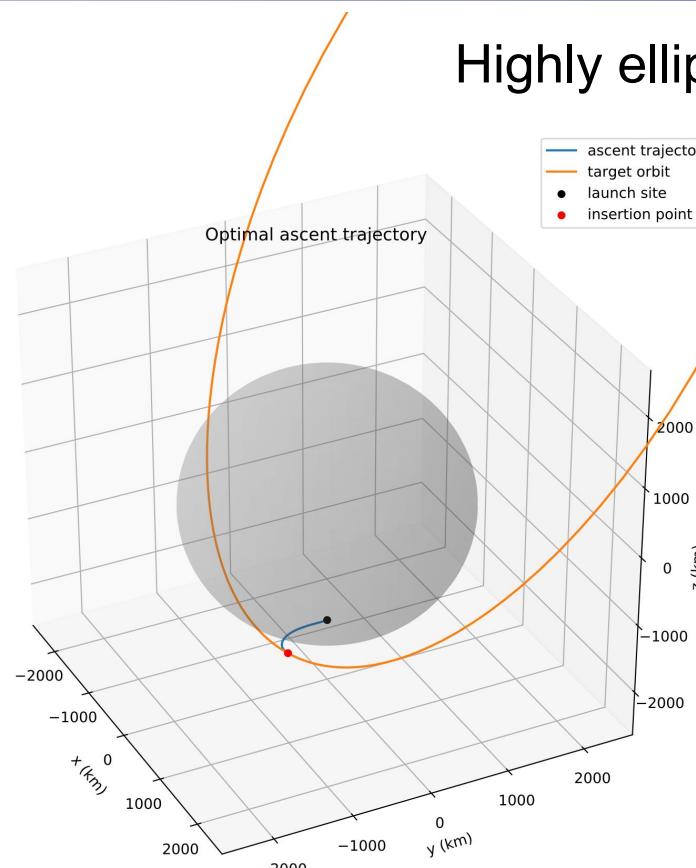
T_{min}, T_{max} : minimum and maximum thrust

3D Ascent: Constant thrust

Polar orbit - coplanar transfer



Highly elliptical orbit - out-of-plane manoeuvre



Type of orbit	Eccentricity	Inclination	Argument of perilune	Semimajor axis	Time of flight	Propellant consumption
Polar	0	90°	-	1824.27 km	476.29 s	36.81%
Highly elliptical	0.7	60°	270°	6080.90 km	876.73 s	67.76%

Conclusions & Future achievements

3D ascent trajectory improvements:

- Variable thrust
- Limits on attitude rates
- Constraints on ground clearance

Other developments:

- 3D descent trajectory
- High fidelity gravity model
- Moon rotation

Main issues:

- Understanding OpenMDAO/dymos framework
- Inadequate NLP solvers (SLSQP)
- Poor State of the Art
- Difficulties while selecting correct transcription
- High sensitivity to variables scaling

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Thank you for your attention

Any question?