

Carnegie Mellon University

Ph.D. Thesis Proposal

White-box Analysis for Modeling and Debugging the Performance of Configurable Systems

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Abstract

Most software systems today are configurable. The flexibility to customize these systems, however, comes with the cost of increased complexity. The large number of configuration options makes tracking how options and their interactions affect performance, in terms of execution time, and often directly correlated energy consumption and operational costs, a difficult task. For this reason, users often struggle to configure their systems to run them efficiently and developers often have difficulty debugging surprising performance behaviors.

Several approaches existing to understand how options and their interactions affect the performance of configurable systems. The approaches, however, treat systems as black-boxes, combining different sampling and machine learning techniques, resulting in tradeoffs between measurement effort, accuracy, and interpretability of the analysis results. Additionally, the techniques only analyze the end-to-end performance of the systems, whereas developers debugging unexpected performance behaviors usually need to understand how options affect the performance in the implementation.

In this thesis, we aim to analyze the performance of configurable systems using white-box techniques. By analyzing the implementation of configurable systems, we efficiently and accurately model the end-to-end performance of the systems, which allows users to make informed tradeoff and configuration decisions to run systems efficiently and helps developers understand how options affect the performance of their systems.

To further help developers debug the performance of their systems in the implementation, we efficiently and accurately model the local performance of regions and aid developers to trace how options affect the performance of those regions. This information helps developers locate where options affect the performance of a system and how options are used in the implementation to affect the performance.

The contributions in this thesis help reduce the energy consumption and operational costs of running configurable systems by helping (1) users to make informed configurations decisions and (2) developers to debug performance behavior issues in their systems.

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Chapter 1

Introduction

Most of today’s software systems, such as databases, Web servers, libraries, frameworks, and compilers, provide configuration options to customize the behavior of a system to satisfy a large variety of users’ requirements [Apel et al., 2013]. Configuration options allow a system to be configured satisfy specific needs, in terms of functionality and quality attributes.

The flexibility provided by configuration options, however, comes at a cost. The large number of configuration options makes tracking how options and their interactions influence the functionality and quality attributes of systems a difficult task. For this reason, users are often overwhelmed with the large number of options and change options in a trial-and-error fashion without understanding the resulting effects [Apel et al., 2013; Hubaux et al., 2012; Xu et al., 2015, 2013]. Likewise, a large number of options complicates the process that developers follow to develop, test, and maintain large configuration spaces [Behrang et al., 2015; Halin et al., 2018; Jin et al., 2014; Melo et al., 2016, 2017].

Performance, in terms of execution time, and often directly correlated energy consumption and operational costs, is one of the most important quality attributes for users and developers of configurable systems [Gelenbe and Caseau, 2015; Manotas et al., 2016; Pinto and Castor, 2017]. From the user’s perspective, they want to efficiently run systems to reduce energy consumption and operational costs, but at the same time, with the functionality that satisfies their specific needs, which may require making tradeoff decisions between operational costs and functionality [Jabbarvand et al., 2015; Kern et al., 2011; Munoz, 2017; Wilke et al., 2013; Zhang et al., 2014]. From the developer’s perspective, they want to release efficient configurable systems to provide high quality user experience for attracting new and retaining existing users [Chowdhury and Hindle, 2016; Gui et al., 2016; Hasan et al., 2016; Li et al., 2016; Malik et al., 2015; Pereira et al., 2016]. However understanding how options and their interactions affect the performance of these systems, for users to make informed configuration decisions and for developers to design, implement, and maintain efficient software, is challenging due to their large configuration spaces.

In this thesis, we seek to help users and developers understand the impact that options have on the performance of configurable systems to empower users to make conscious configuration decisions to run systems efficiently, in terms of performance, and aid developers in the process of debugging the performance of configurable systems.

1.1 Motivating Scenario

We next describe a scenario to showcase the challenge that users and developers face to understand how options and their interactions affect the performance in large configuration spaces.

Berkeley DB is an open source embedded database library with over 50 options that affect the functionality of the database, its components, and the quality attributes of the system, including performance.¹ Fig. 1.1 shows a ranking of 2000 randomly selected configurations, in terms of execution time, from the fastest to the slowest configurations when populating a database with 500,000 entries. In this system, the options not only drastically change the execution time from the fastest to the slowest configurations, but they also produce complex performance behavior (i.e., numerous steps in the figure) that hinders users and developers from easily understanding how options affect the performance of the system.

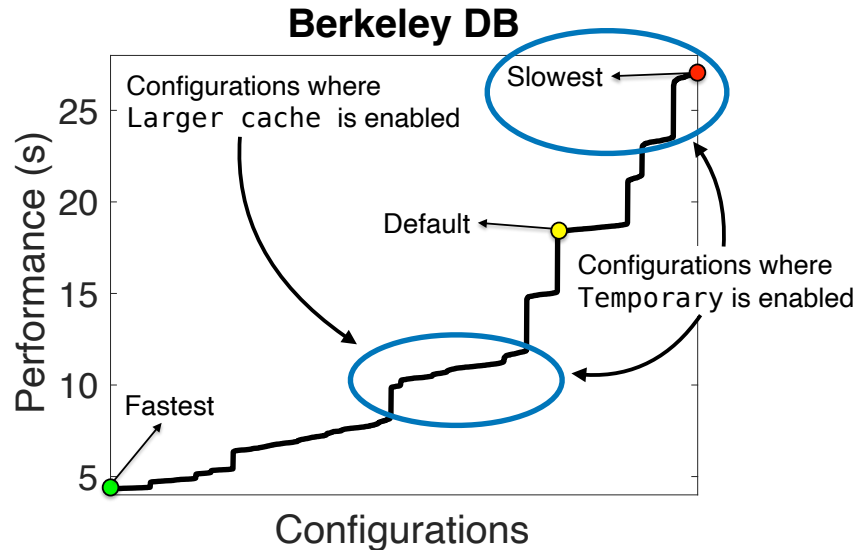


Figure 1.1: Ranked performance behavior, from fastest to slowest execution time, of 2000 randomly selected Berkeley DB configurations when populating a database with 500,000 entries. Note the influence on the execution time of the interactions between Temporary and Larger cache. Enabling both options decreases the execution time compared to the default configuration, while only enabling the former increases the execution time.

User's perspective

Users of Berkeley DB typically want to efficiently run the system for their specific needs, but are often unaware of how options affect the functionality and performance of the system due to the large and complex performance behavior of the configuration space. For instance, users who want to populate a database could enable both Temporary and Large cache to reduce the execution time if their requirements allow for an in-memory database and their environment has large enough memory. However, identifying those configuration decisions and effects

¹<https://www.oracle.com/database/technologies/related/berkeleydb.html>

on the performance of the system is challenging in large configuration spaces with complex performance behavior (e.g., 2^{50} configurations if only considering two values for each option). For these reasons, users often resort to using the default configuration, resulting in executing their systems inefficiently and increase energy consumption and operational costs.

Users concerned with minimizing performance, energy consumption, and operational costs could use a search strategy to optimize the performance of the system [Nair et al., 2017; Oh et al., 2017]. However, such strategies only aim to find the fastest configurations and do not take into account the functionality that users need.

Ideally, users would *understand* how options and their interactions affect the performance of the system to make informed tradeoff and configuration decisions to run the system efficiently for their specific needs, while reducing energy consumption and operational costs.

Developer’s perspective

When developers of Berkeley DB observe a surprising non-crashing performance behavior, for the same inputs, workloads, environment, but different configurations, they are often unaware which and how options interact to produce the observed performance behavior [Han and Yu, 2016; Nistor et al., 2013a]. For example, the slowest configuration in Fig. 1.1 might appear to be performing unexpectedly, as the option `Temporary` is enabled, which should decrease the execution time as indicated in the documentation. To debug this surprising performance behavior, developers could compare the configuration changes with the default. However, the changes differ in several options in addition to `Temporary`, requiring developers identify how the changed options interact with each other, and with the options with default values, to produce the unexpected performance behavior. Additionally, when developers narrow down the options that are potentially producing the unexpected behavior, they need to debug the implementation to understand where and how options are being used and interacting with other options to produce the unexpected behavior. In this example, a developer would need to identify in the implementation that a temporary database can page to disk if the cache is not large enough to hold the database’s contents (i.e., `Large cache` should also be enabled to reduce the execution time).

This debugging process of identifying potential options and analyzing where and how options interact in the implementation to produce the surprising behavior is challenging in large configuration spaces with complex performance behavior (e.g., 2^{50} configurations if only considering two values for each option). Ideally, developers would *understand* how options and their interactions affect the performance of the system *both globally and in the implementation* to debug surprising performance behaviors. With this information, developers can determine whether the system was misconfigured and is behaving as expected (such as in the example presented above), or there is a performance bug that they need to fix.

Problem statement

On one hand, users of configurable systems want to make informed configuration decisions to efficiently execute the systems, thus reducing energy consumption and operational costs. On the other hand, developers of configurable systems want to debug surprising performance be-

haviors and configuration-related performance bugs, similarly to reduce energy consumption and operational costs. Both of these activities require *understanding* how options and their interactions affect the performance of a system. However, understanding this information becomes intractable as the configuration spaces of the systems increase and their performance behavior becomes more complex.

1.2 Existing research

Existing research to *understand* how configuration options influence the performance of configurable systems has focused on building performance-influence models [Siegmund et al., 2015], which describe the performance of a system in terms of its configuration options for a specific workload and running in a specific environment. For example, the sparse linear model $17.2 + 9.2 \cdot \text{TEMPORARY} - 7.9 \cdot \text{LARGER_CACHE} \cdot \text{TEMPORARY} - 7.9 \cdot \text{LARGER_CACHE} + \dots$ predicts how options and their interactions influence the performance of the system in Fig. 1.1 to predict the performance of arbitrary configurations. This model can be used, for example, by users and developers to determine that both Temporary and Larger cache need to be enabled to reduce the execution time of Berkeley DB.

Most approaches to build performance-influence models treat the system as a black-box, measuring the system’s execution time for a subset of all configurations and extrapolating a model from those observations [Grebhahn et al., 2019; Ha and Zhang, 2019; Ha and Zhang, 2019; Kaltenecker et al., 2020; Sarkar et al., 2015; Siegmund et al., 2015]. One problem with such approaches is that they typically need to measure a large number of configurations to build accurate models, resulting in a tradeoff between the cost to build the models and their accuracy. For example, fewer configurations are cheaper to measure, but usually lead to less accurate models. This tradeoff affects users, as they might be misled by an inaccurate model when making configuration decisions, as well as developers, as they might spend an extremely long time building an accurate model. In addition, the models only describe the influence that configuration options have globally (i.e., end-to-end) on the performance of the system, whereas developers debugging the performance the system usually also want to know where and how, in the implementation, configuration options influence the performance of the system. Without this information, developers may need to navigate a large code base of many components to answer those questions.

In this thesis, we seek to overcome the limitations of black-box approaches by analyzing the performance of configurable systems, both globally and in the implementation, *using a white-box approach*. By accurately analyzing the implementation of configurable systems, we can help users understand how options affect the performance of these systems.

1.3 Thesis

The main goal of this thesis is to reduce the energy consumption and operational costs of running configurable systems. The work in this thesis contributes towards that goal by using *white-box analyses* to efficiently and accurately *model* the performance of configurable sys-

tems to help users and developers *understand* how options and their interactions affect the performance of such systems. With this information, users can make conscious configuration decisions to efficiently run configurable systems. With additional white-box information of *where and how* options interact in the implementation, developers can *debug* the performance of configurable systems to reason and potentially fix unexpected performance behaviors.

Thesis Statement: *White-box analysis of how options influence the performance of code-level structures in configurable systems (1) helps to efficiently build accurate and interpretable global and local performance-influence models and (2) guides developers to inspect, understand, and debug configuration-related performance behaviors.*

Our white-box approach to model the performance of configurable systems analyzes and instruments the source code to accurately capture configuration-specific performance behavior, without using machine learning to extrapolate incomplete samples. We reduce measurement cost by *simultaneously analyzing and measuring* multiple regions of the system, building a local linear performance-influence model per region with a few configurations (an insight that we call *compression*). Subsequently, we *compose* the local models into a global model for the entire system. We use a taint analysis to identify *where* and *how* load-time configuration options influence control-flow statements in the system, through *control-flow and data-flow dependencies*.

Our empirical evaluation on several widely-used open-source systems demonstrates that our white-box approach efficiently builds accurate performance-influence models with the additional benefit of generating *interpretable* and *local* models, which not only predict performance of configurations, but also quantify the influence on performance of individual options and interactions and can even map the influence to code regions.

In an exploratory analysis, we demonstrate that local performance-influence models are a useful tool for debugging, as they indicate where options affect the performance in configurable systems and help navigate more targeted components when debugging performance. While these models help locate where options affect the performance of a system, the models do not indicate *how* options, in the implementation, affect the performance of the regions.

To further help developers understand how options affect the performance of configurable systems in the implementation, we propose to develop new tool support to provide developers with relevant information for inspecting, understanding, and debugging the performance of configurable systems. We propose to guide the design of the tool support based on user studies that explore the process that developers follow and the information needs that they have when debugging the performance of configurable systems. Subsequently, we propose to conduct a user study to validate the usefulness of all the tools that we present in this thesis to help developers in the process of debugging the performance of configurable systems.

In summary, we make the following contributions to support the thesis statement:

Completed Work

- We identify the limitations of current approaches to analyze, model, and debug the performance of configurable systems (Chapter 2). These limitations serve as the motivation to explore white-box techniques.
- Inspired by white-box insights of how options interact and affect the performance of configurable systems, we introduce the insights of *compositionality* and *compression* to locally and globally model the performance of configurable systems, which help users and developers understand how options and their interactions affect the performance of systems (Chapter 3).
- We compare two prototypes that operationalize the insights of composition and compression. Our prototypes ConfigCrusher and Comprer are implemented consider different design decisions to model the performance of small-, medium-, and large-scale configurable systems (Chapter 3).
- Our evaluation on 13 configurable systems show that our prototypes can efficiently and accurately model the performance of configurable systems, often more efficiently than black-box approaches with comparable accuracy. Additionally, our models are interpretable and can be mapped to specific code regions (Chapter 3).
- Our comparison between the two prototypes shows that the design decisions made for Comprer, namely (1) using a dynamic taint analysis to identify the influence of options on regions of systems and (2) measuring the performance of methods as regions with an off-the-shelf sampling profiler, scales our white-box analysis to model the performance of medium- to large-scale configurable systems (Chapter 3).

Proposed Work

- Inspired by the limitations of global performance-influence models to help developers debug the performance of configurable systems, we propose to conduct a user study to explore the process that developers follow and the information needs that they have when debugging the performance of configurable systems (Chapter 4).
- Guided by the insights of the study above, we propose to develop additional tool support to compare performance profiles and trace how options are propagated through a system to further help developers to debug the performance of configurable systems (Chapter 4).
- We propose to conduct a user study to empirically validate the usefulness of the tools that we present in this thesis, namely global and local performance-influence models, and additional tools support, to help developers inspect, reason, and debug the performance of configurable systems (Chapter 4).

The contributions in this thesis enable efficiently and accurately modeling the global performance of configurable systems for users to make informed configuration decisions to run

their systems efficiently. Additionally, the contributions help developers understand and debug the global and local performance of configurable systems to improve software quality in general. Overall, the work in this thesis contributes towards the goal of reducing the energy consumption and operational costs of running configurable systems. Finally, we hope that our contributions inspire the configurable systems and performance analysis research communities to use and develop new and scalable white-box techniques to benefit from the information that can be obtained with such analyses.

The remainder of the proposal is structured as follows:

- Chapter 2 introduces existing research on analyzing, modeling, and debugging the performance of configurable systems and the limitations of current approaches.
- Chapter 3 describes the key insights for efficient and accurate performance modeling of configurable systems, the implementation of our two prototypes, and their evaluation.
- Chapter 4 outlines our proposed work to develop additional tool support, guided and validated by user studies, to help developers debug the performance of configurable systems.
- Chapter 5 concludes the thesis proposal with a research plan.

Chapter 2

State of the Art on Performance Analysis of Configurable Systems

This chapter introduces the state of the art of analyzing, modeling, and debugging the performance of configurable systems. The insights and limitations that we identify in this discussion motivate the work and the techniques used in this thesis.

The rest of this chapter is organized as follows: We first present the terminology used in this thesis (Sec. 2.1) and describe configurable systems in general (Sec. 2.2). Subsequently, we describe the state of the art black-box approaches for modeling the performance of configurable systems (Sec. 2.3). Based on the limitations of such approaches, we explore how white-box analysis have been used to analyze the performance and other characteristics of configurable and (non-configurable) software systems (Sec. 2.4). Subsequently, we describe how white-box approaches have been used specifically to debug the performance of configurable systems, but also to debug, more generally, configurable and (non-configurable) software systems (Sec. 2.5).

This chapter is derived in part from our ASE Journal'20 article "ConfigCrusher: Towards White-box Performance Analysis for Configurable Systems" [Velez et al., 2020a] and a conference submission under review at the time of writing – "White-box Analysis over Machine Learning: Modeling Performance of Configurable Systems" [Velez et al., 2020b].

2.1 Terminology

Performance analysis of configurable systems has been explored in the past using different terminologies. We first establish and define the terms that we will use throughout the document.

Option refers to an input that modifies the operation of a system. An option is also known as *variation*, *feature*, or *flag* in the literature. The distinction between inputs and options is subjective and domain specific. We consider options as a special type of inputs with a small finite domain (e.g., Boolean options), that a user might explore to change functionality or quality attributes. We consider fixed values for other inputs. For simplicity, we describe the

work in this thesis in terms of Boolean options, but other non-binary option types can be encoded or discretized as Boolean options.

Configuration refers to a complete setting of all options in a system [Apel et al., 2013].

Configuration space refers to all configurations of a system.

Misconfiguration a configuration error under which the system behaves correctly, but not as desired.

Control-flow statement refers to a program statement that affects the flow of execution of a system based on a decision. Examples of control-flow statements include if and switch statements and for and while loops.

Control-flow decision refers to an actual execution of a control-flow statement in which a decision is made and a specific branch is executed.

Environment refers to the underlying software and hardware in which the system executes. We consider the environment to be fixed when developers model and debug the performance of a configurable system.

Performance refers to the execution time of a system or a region in a system.

Performance-influence model describes the performance behavior of a system, in terms of options and interactions, for a specific workload running on a specific environment.

2.2 Configurable Systems

Most software systems today are configurable, which allow users to customize the functionality and quality attributes of the systems to satisfy their requirements and needs [Apel et al., 2013]. The systems are usually built with some reusable core functionality and implement some deferred design decisions, such as which specific algorithm implementation to use or whether to enable or disable some functionality, as configuration options [Becker et al., 2009; Esfahani et al., 2013], allowing users to choose between multiple alternative and optional implementations.

The benefits of developing and customizing configurable systems, however, come with the cost of increased complexity. The large number of options makes tracking how options and their interactions influence the functionality and quality attributes of systems a difficult task. For this reason, users are often overwhelmed with the large number of options and change options in a trial-and-error fashion without understanding the resulting effects [Apel et al., 2013; Hubaux et al., 2012; Xu et al., 2015, 2013]. Likewise, a large number of options complicates the process that developers follow to develop, test, and maintain large configuration spaces [Behrang et al., 2015; Halin et al., 2018; Jin et al., 2014; Melo et al., 2016, 2017].

Performance in Configurable Systems. Performance, in terms of execution time, and often directly correlated energy consumption and operational costs, is one of the most important quality attributes for users and developers of configurable systems [Gelenbe and Caseau, 2015; Manotas et al., 2016; Pinto and Castor, 2017]. The options enable or disable functionality, and

execute different implementations that affect the performance of the systems. For example, enabling encryption increase the security of a system, but encryption usually also increases the execution time. Likewise, selecting which algorithm to use when compressing a video will affect, among several aspects, the quality of the output video and the time to compress the video. However understanding how options and their interactions affect the performance of these systems is challenging due to their large configuration spaces.

In this thesis, we seek to help users and developers understand the impact that options have on the performance of configurable systems, for users to make informed tradeoff and configuration decisions to run systems efficiently [Jabbarvand et al., 2015; Kern et al., 2011; Munoz, 2017; Wilke et al., 2013; Zhang et al., 2014] and for developers to debug the performance of the systems [Chowdhury and Hindle, 2016; Gui et al., 2016; Hasan et al., 2016; Li et al., 2016; Malik et al., 2015; Pereira et al., 2016].

2.3 Modeling Performance in Configurable Systems

In this section, we describe how existing performance modeling research helps users and developers understand how options influence the performance of configurable systems.

In the design state of a project, designers and developers usually model the performance of a system’s architecture (e.g., using Queuing networks, Petri Nets, and Stochastic Process Algebras) and workload [Harchol-Balter, 2013; Kounev, 2006; Serazzri et al., 2006]. At this state, design decision are usually modeled as configuration options [Becker et al., 2009; Esfahani et al., 2013], allowing users to choose between multiple alternative and optional implementations.

When a configurable system has been implemented, *performance-influence models* are typically used to explain the performance of a system in terms of configuration options and their interactions, and predict the performance of the entire configuration space [Guo et al., 2013; Ha and Zhang, 2019; Ha and Zhang, 2019; Jamshidi et al., 2017a, 2018, 2017b; Kolesnikov et al., 2018; Siegmund et al., 2015; Valov et al., 2017]. For example, the sparse linear model $8 + 15A + 10C + 3AB + 30AC$ captures the execution time of the system in Fig. 2.1, which predicts the performance of arbitrary configurations, and explains how the options A, B, and C and their interactions influence the system’s performance. The models are typically built by executing the system with an specific workload and in a specific environment under different configurations, to learn how configuration options affect the performance of the system. The model are useful for users to make deliberate configuration decisions and for developers to understand and debug how configuration options affect the performance of their systems.

2.3.1 Use Cases of Performance-Influence Modeling

Performance-influence models can be used for different tasks in different scenarios, which benefit from different characteristics of the type of model that is used.

Performance optimization. In the simplest case, a user wants to optimize the performance of a system by selecting the fastest configuration for a specific workload and running the sys-

tem in a specific environment. Performance-influence models have been used for optimization [Guo et al., 2013; Nair et al., 2017; Oh et al., 2017; Zhu et al., 2017], though metaheuristic search (e.g., hill climbing) is often more effective at pure optimization problems [Hutter et al., 2011; Jamshidi and Casale, 2016; Oh et al., 2017; Olachea et al., 2014; Zhu et al., 2017], as they do not need to understand the entire configuration space.

Performance prediction. In other scenarios, users want to predict the performance of individual configurations. Scenarios include *automatic reconfiguration* and *runtime adaptation*, where there is no human-in-the-loop and online search is impractical. For example, when dynamically deciding during a robot’s mission which options to change to react to low battery levels [Jamshidi et al., 2018, 2017b; Wang et al., 2018; Zhu et al., 2017]. In these scenarios, the model’s prediction accuracy over the entire configuration space is important, but understanding the structure of the model is irrelevant. In this context, deep regression trees [Guo et al., 2013, 2017; Sarkar et al., 2015], Fourier Learning [Ha and Zhang, 2019], and neural networks [Ha and Zhang, 2019] are commonly used, which build accurate models, with a large enough number of sampled configurations, but are not easy to interpret by humans [Grebhahn et al., 2019; Kaltenecker et al., 2020; Kolesnikov et al., 2018; Molnar, 2019; Siegmund et al., 2015].

Performance understanding. When users want to make deliberate configuration decisions [Grebhahn et al., 2019; Kaltenecker et al., 2020; Kolesnikov et al., 2018; Siegmund et al., 2015; Wang et al., 2018; Xu et al., 2013] (e.g., whether to accept the performance overhead of encryption), which is one of the concerns that we address in this thesis, *interpretability* regarding how options and interactions influence performance becomes paramount. In these settings, researchers usually suggest sparse linear models, such as $8 + 15 \cdot A + 10 \cdot C + 3 \cdot A \cdot B + 30 \cdot A \cdot C$, typically learned with stepwise linear regression or similar variations [Kaltenecker et al., 2019; Siegmund et al., 2015, 2012a,b]. Such models are generally accepted as *inherently interpretable* [Molnar, 2019], as the information of how configuration options and their interactions influence the performance of a system is easy to inspect and interpret by users [Kaltenecker et al., 2020; Kolesnikov et al., 2018; Molnar, 2019]. By contrast, opaque machine-learned models (e.g., random forests and neural networks) are not considered inherently interpretable [Molnar, 2019]. While there are many approaches to provide *post-hoc explanations* [Lundberg and Lee, 2017; Molnar, 2019; Ribeiro et al., 2016; Štrumbelj and Kononenko, 2014], such approaches are not necessarily faithful and may provide misleading and limited explanations [Rudin, 2019].

Performance debugging. In addition to users who configure a system, developers who maintain the system can also benefit from performance-influence models to understand and debug the performance behavior of their systems, which is one of the concerns that we address in this thesis. For example, when presenting performance-influence models to developers in high-performance computation, Kolesnikov et al. [2018] reported that a developer “was surprised to see that [an option] had only a small influence on system performance,” indicating

```

1 def main(List workload)
2   a = getOpt("A"); b = getOpt("B");
3   c = getOpt("C"); d = getOpt("D");
4   ... // execution: 1s
5   int i = 0;
6   if(a)
7     ... // execution: 1s
8     foo(b);
9     i = 20;
10  else
11    ... // execution: 2s
12    i = 5;
13  while(i > 0)
14    bar(c);
15    i--;
16 def foo(boolean x)
17   if(x) ... // execution: 4s
18   else ... // execution: 1s
19 def bar(boolean x)
20   if(x) ... // execution: 3s
21   else ... // execution: 1s

```

Figure 2.1: Example configurable system with 4 configuration options that influence the performance of the system.

a potential bug. In such setting, understanding how individual options and interactions influence performance is again paramount, favoring interpretable models.

Partial thesis goal: We aim to build interpretable performance-influence models to help users understand and help developers debug how options and their interactions affect the performance of configurable systems.

2.3.2 Building Performance-Influence Models

Performance-influence models are typically built by measuring the execution time of a system with a specific workload in a specific environment under different configurations [Siegmund et al., 2015]. Almost all existing approaches are *black-box* in nature: They do not take the system’s implementation into account and measure the end-to-end execution time of the system.

Brute-force. The simplest approach is to observe the execution of *all* configurations in a *brute-force* approach. The approach obviously does not scale, but for the smallest configuration spaces, as the number of configurations grows exponentially with the number of options. In our example system in Fig. 2.1, the approach will measure all configurations, inefficiently exploring interactions with option D, which does not affect the performance of the system.

Sampling and Learning. In practice, most current approaches measure executions only for a *sampled* subset of all configurations and extrapolate performance behavior for the rest of the configuration space using machine learning [Grebhahn et al., 2019; Ha and Zhang, 2019; Ha and Zhang, 2019; Kaltenecker et al., 2020; Sarkar et al., 2015; Siegmund et al., 2015], which we collectively refer to as *sampling and learning* approaches. Specific approaches differ in how they sample, learn, and represent models: Common sampling techniques include uniform random, feature-wise, and pair-wise sampling [Medeiros et al., 2016], design of experiments [Montgomery, 2006], and combinatorial sampling [Al-Hajjaji et al., 2016; Halin et al., 2018; Hervieu et al., 2011, 2016; Nie and Leung, 2011]. Common learning techniques include linear regression [Kaltenecker et al., 2019; Siegmund et al., 2015, 2012a,b], regression trees [Grebhahn et al., 2019; Guo et al., 2013, 2017; Sarkar et al., 2015], Fourier Learning [Ha and Zhang, 2019], Gaussian Processes [Jamshidi et al., 2017b], and neural networks [Ha and Zhang, 2019].

Different sampling and learning techniques yield different tradeoffs between measurement effort, prediction accuracy, and interpretability of the learned models [Grebhahn et al., 2019; Kaltenecker et al., 2020; Kolesnikov et al., 2018]. For example, larger samples are more expensive, but usually lead to more accurate models; random forests, with large enough samples, tend to learn more accurate models than those built with linear regressions, but the models are harder to interpret when users want to understand performance or developers want to debug their systems [Grebhahn et al., 2019; Kaltenecker et al., 2020; Molnar, 2019].

Although some sampling strategies rely on a coverage criteria to sample specific interaction degrees, such as t-wise sampling [Medeiros et al., 2016; Nie and Leung, 2011], the strategies might miss important interactions, leading to inaccurate models, or measure interactions that are not relevant for performance. In our example system in Fig. 2.1, a sampling strategy might inefficiently measure interactions between options B and C, which do not affect the performance of the system, or interactions with option D.

Limitation of existing black-box approaches: Current black-box performance modeling approaches do not consider the internals of the system that they analyze. Instead, the approaches rely on sampling strategies to potentially capture performance relevant interactions to learn the performance behavior of a system from incomplete samples. Using sampling strategies results in either under-approximating or over-approximating the number of configurations that are measured, which affects the cost to build models and the accuracy of the models. The approaches are also extremely sensitive to the learning technique that is used, in terms of the accuracy of the models that are built and the interpretability of the models.

In this thesis, we seek to overcome the limitations of black-box approaches by analyzing the performance of configurable systems *using a white-box approach*, with the goal of efficiently building accurate and interpretable performance-influence models.

2.4 Analyzing Performance in Configurable Systems

In this thesis, we seek to use white-box analyses to overcome the limitations of black-box approaches to analyze and help debug the performance of configurable systems. To determine which program analysis techniques are applicable for the work in this thesis, we first discuss, in this section, program analyses in general (Sec. 2.4.1), and then explore how the techniques have been used to analyze the performance of software systems (Sec. 2.4.2) and various characteristics of configurable systems (Sec. 2.4.3) Finally, we explore how the techniques, have been used, specifically, to analyze the performance of configurable systems (Sec. 2.4.4).

In Sec. 2.5, we explore how these program analyses have been used, specifically, for debugging the performance of configurable systems.

2.4.1 Analysis of Software Systems

White-box analysis seeks to automatically inspect the source code to study the behavior of software systems regarding some property, such as correctness, safety, complexity, and performance [Arzt et al., 2014; Bell and Kaiser, 2014; King, 1976; Nielson et al., 2010; Schwartz et al., 2010; Vallée-Rai et al., 1999; Weiser, 1981]. While the realm of program analysis is too broad to cover in a single chapter (e.g., data-flow analyses [Nielson et al., 2010; Vallée-Rai et al., 1999], Hoare logic [Hoare, 1969], Satisfiability Modulo Theories [Barrett and Tinelli, 2018], program synthesis [Gulwani et al., 2017], concolic execution [Sen, 2007], model checking [Clarke Jr et al., 2018]), here we describe the program analyses techniques more relevant to the context of analyzing and debugging configurable systems, and subsequently describe how the analyses have been used, specifically, for these tasks.

Static and dynamic program analyses have been used extensively to analyze and debug configurable and (non-configurable) software systems.

Symbolic execution is an approach to execute a system abstractly to cover the execution of multiple inputs [King, 1976; Schwartz et al., 2010]. During the execution of a system, symbolic values, in terms of inputs and variables in the system, are propagated to analyze the behavior of the system, such as which inputs cause each part of the system to execute. In the context of this thesis, the technique has been used for performance debugging [Bornholt and Torlak, 2018] and studying characteristics of configurable systems [Reisner et al., 2010].

In contrast to symbolic execution, variational execution is an approach to dynamically analyze the effects of multiple inputs by tracking concrete values [Meinicke et al., 2016; Wong et al., 2018]. In other communities (e.g., security), this technique is called faceted execution [Austin and Flanagan, 2012]. In the context of this thesis, the technique has been used to debug configurable systems [Meinicke et al., 2018], exhaustively test configurable systems [Wong et al., 2018], as well as, similarly to symbolic execution, to study characteristics of configurable systems [Meinicke et al., 2016].

Taint analysis, also known as information flow analysis, is a static [Arzt et al., 2014] or dynamic [Austin and Flanagan, 2009; Bell and Kaiser, 2014] data-flow analysis typically used in security research to detect, for example, information leaks and code injection attacks [Newsome and Song, 2005; Schwartz et al., 2010]. A value is initially marked as tainted, and all values derived (directly or indirectly) from the initial value are tainted as well, which is used

to identify if the values are used in locations where they should not (e.g., sent over the network). In the context of this thesis, the technique has been used to track how options are used [Lillack et al., 2018] and modify data [Toman and Grossman, 2016a,b] in configurable systems. Additionally, we use both static and dynamic taint analysis to track how options influence the execution of specific regions in a system (see Chapter 3).

In contrast to taint analysis, program slicing is an approach to compute the relevant fragments of a system based on a criteria either statically [Weiser, 1981] and dynamically [Agrawal and Horgan, 1990; Korel and Laski, 1988]. While the approach has not been used in the context of configurable systems, the approach has been successful in helping developers debug software systems [Ko and Myers, 2004; LaToza and Myers, 2011; Xu et al., 2005], making it a promising technique to be used for debugging configurable systems by helping developers understand how options affect the code structures of a system (see Chapter 4). For example, the technique has been used for narrowing the parts of the system that developers need to analyze when debugging software systems [Agrawal and Horgan, 1990; Korel and Laski, 1988; Weiser, 1981], as well as in the backend of visualization tools, which help developers navigate [LaToza and Myers, 2011] and debug software systems [Ko and Myers, 2004].

2.4.2 Performance Analysis of Software Systems

Static and dynamic program analyses have been used extensively to analyze the performance of software systems. Typically developers use off-the-shelf performance profilers, such as JProfiler [JPR, 2019], Valgrind [Nethercote and Seward, 2007], and VisualVM [VVM, 2020], to analyze the performance of software systems. These profilers either instrument the systems or use sampling techniques to measure the performance as the system executes. In addition to performance profilers, researchers have developed more targeted program analyses that identify inefficient code structures [Bornholt and Torlak, 2018; Grechanik et al., 2012; Han et al., 2012; Jin et al., 2012; Jovic et al., 2011; Liu et al., 2014; Nistor et al., 2015, 2013b; Song and Lu, 2014, 2017] and synchronization bottlenecks [Alam et al., 2017; Curtsinger and Berger, 2016; Yu and Pradel, 2016, 2018].

Performance profilers and targeted performance analyses seek to help developers to debug the performance of software systems, which we discuss in more detail in Sec. 2.5. In the context of this thesis, we use an off-the-self sampling profiler to measure the performance of specific regions under different configurations to build performance-influence models (see Chapter 3).

2.4.3 Analysis of Configurable Systems

In the context of configurable systems, several researchers have leveraged some kind of static and dynamic program analyses to track and characterize options [Dong et al., 2016; Hoffmann et al., 2011; Lillack et al., 2018; Meinicke et al., 2016; Nguyen et al., 2016; Rabkin and Katz, 2011; Reisner et al., 2010; Souto and d’Amorim, 2018; Toman and Grossman, 2016a,b; Wang et al., 2013; Xu et al., 2016]. Thüm et al. [2014] presented a comprehensive survey of analyses for software product lines also applicable to configurable systems.

Taint analysis has been used to track how options are propagated and used in configurable systems [Hoffmann et al., 2011; Lillack et al., 2018; Toman and Grossman, 2016a,b]. For exam-

ple, Lotrack [Lillack et al., 2018] used a static analysis to identify under which configurations code fragments may be executed. Likewise, Staccato [Toman and Grossman, 2016b] used dynamic taint analysis to identify the use of stale configuration data. In the context of this thesis, we use both static and dynamic taint analysis to track how options influence the execution of specific regions in a system (see Chapter 3).

Other program analysis techniques have been used to analyze the behavior of interactions in configurable systems [Meinicke et al., 2016; Nguyen et al., 2016; Reisner et al., 2010; Wong et al., 2018]. Reisner et al. [2010] and Meinicke et al. [2016] used symbolic execution and variational execution, respectively, to identify that (1) not all options tend to affect the execution of a system on a given workload, (2) not all options tend to interact with each other in a system, and (3) options tend to interact only with a few other options in specific parts of a system. In the context of this thesis, these insights helped us identify additional insights for efficiently and accurately modeling the performance of configurable systems (see Chapter 3).

Insights of how options interact in configurable systems: Not all options tend to affect the execution of a system on a given workload. Not all options tend to interact with each other in a system. Options tend to interact only with a few other options in specific parts of a system.

2.4.4 Performance Analysis of Configurable Systems

Static and dynamic approaches have been scarcely used to analyze the performance of configurable systems [Li et al., 2020; Siegmund et al., 2013], which is one of the concerns that we address in this thesis.

For several years, the only existing white-box approach to analyze the performance of configurable systems was Family-Based Performance Measurement [Siegmund et al., 2013]. Similarly to black-box approaches (see Sec. 2.3.2), the approach builds performance-influence models to help users and developers understand how options interact and affect the performance of a system.

Specifically, the approach uses a static mapping between options to code regions and instruments the system to measure the execution time spent in the regions. Subsequently, it executes the system once with all options enabled, tracking how much each option contributes to the execution time. The approach works well when all options are directly used in control-flow statements and only contribute extra behavior. That is, an option would not switch between two implementations, but only activate additional code. Current implementations, however, derive the static map from compile-time variability mechanisms (preprocessor directives) and do not handle systems with load-time variability (i.e., loading and processing options in variables at runtime). Furthermore, the static map only covers direct control-flow interactions from nested preprocessor directives, and can lead to inaccurate models when indirect data-flow interactions occur. In our example system in Fig. 2.1, data-flow analysis is needed to detect that the while loop in line 13 indirectly depends on the option A, with implicit data-flow through the variable `i`, leading to an inaccurate performance-influence model otherwise.

Limitation of the existing white-box modeling approach: The only existing white-box approach for performance modeling imposes strict constraints on the structure of the system and the performance behavior of the options of the system.

More recently, LearnConf [Li et al., 2020] used static taint analysis with intraprocedural control-flow analysis to identify usage patterns of individual options in the source code for predicting performance properties based on the patterns (e.g., linear relationship). The approach, however, is orthogonal to performance-influence modeling, as the approach can only predict the performance property of an option (e.g., selecting an option would increase the performance by a constant amount), not the actual performance effect, in terms of execution time, which is relevant information that users need to make informed tradeoff decisions and developers need to debug the performance of a system. Additionally, the approach does not consider how interacting options might affect the performance of a system.

We are inspired by the goal of the Family-Based approach to overcome the limitations of black-box approaches, but we seek, in this thesis, to lift its strict limitations. Additionally, we aim to build performance-influence models that indicate the actual performance effect that options and their interactions have on the performance of configurable systems.

In this section, we sought to determine which program analysis techniques are applicable for the work in this thesis. To achieve this goal, we first discussed program analyses in general, and then explored, more relevant to the context of this thesis, how the techniques have been used to analyze the performance and other characteristics of configurable and (non-configurable) software systems. As we will discuss in Chapter 3, we use a taint analysis, tracking data-flow and control-flow dependencies, including implicit flows, to identify how options influence the execution of specific regions in a system and use an off-the-shelf sampling performance profiler to measure the execution time of these regions.

In the next section, we explore how program analyses have been used, specifically, for debugging the performance of configurable systems.

2.5 Debugging Performance in Configurable Systems

In this thesis, we seek to use white-box analyses to overcome the limitations of black-box approaches to analyze and help debug the performance of configurable systems. To determine which program analysis techniques are applicable for the work in this thesis, we discussed, in Sec. 2.4, program analyses in general, and then explored how the techniques have been used to analyze the performance and other characteristics of configurable and (non-configurable) software systems. To determine how to use program analysis techniques to help developers inspect, understand, and debug the performance of configurable systems, we explore, in this section, how the techniques are used to help developers debug software systems in general (Sec. 2.5.1), debug the performance of software systems (Sec. 2.5.2), debug configurable systems in general (Sec. 2.5.3), and, relevant to the context of this thesis, debug the performance of configurable systems (Sec. 2.5.4).

2.5.1 Debugging in Software Systems

Debugging software systems is the process of inspecting and understanding the behavior of a system when an unexpected behavior occurs [Zeller, 2009]. Regardless of the root cause of the unexpected behavior (e.g., software bugs or misconfigurations), systems often misbehave with similar symptoms, such as crashes, missing functionality, incorrect results [Andrzejewski et al., 2007; Attariyan and Flinn, 2010; Medeiros et al., 2016; Meinicke et al., 2018; Zeller, 1999, 2009], and, in terms of performance, long execution times and increased energy consumption [Han and Yu, 2016; He et al., 2020; Jin et al., 2012; Li et al., 2016; Song and Lu, 2017; Wilke et al., 2013]. When a system misbehaves, users usually report the problem to developers, who often spend a long time diagnosing the system to localize and fix a bug or determine that the system was misconfigured [Breu et al., 2010; Chaparro et al., 2017; Han and Yu, 2016; Jovic et al., 2011; Park et al., 2012; Parnin and Orso, 2011; Zeller, 2009].

In the simplest case, developers could manually analyze the implementation of a system to debug an unexpected behavior. This approach, however, is limited to small systems and simple bugs, as even expert developers of large systems need automatic techniques or tool support to understand, locate, and diagnose unexpected behaviors [Burg et al., 2013; Ko and Myers, 2008; Ko et al., 2006; LaToza and Myers, 2010; Lawrance et al., 2013; Scaffidi et al., 2011; Zeller, 2009].

Traditionally, developers rely on some kind of technique or tool support to narrow down and debug the set of potential causes for unexpected behaviors [Burg et al., 2013; Ko et al., 2006; Lawrance et al., 2013; Parnin and Orso, 2011; Scaffidi et al., 2011; Zeller, 2009]. While some techniques can automatically fix bugs [Le Goues et al., 2012], we consider debugging and repairing as two different processes, in which the latter is focused on automatically finding a patch for a buggy system, whereas the former is the process that a developer follows to inspect and understand unexpected behaviors in a system.

There are several prominent program analysis techniques that facilitate debugging of software systems [Agrawal and Horgan, 1990; Andrzejewski et al., 2007; King, 1976; Korel and Laski, 1988; Weiser, 1981; Zeller, 1999]. Delta debugging [Zeller, 1999] has helped developers debug unexpected behaviors by automatically and systematically narrowing down the inputs that are relevant for causing a fault. Likewise, program slicing [Agrawal and Horgan, 1990; Korel and Laski, 1988; Weiser, 1981] also facilitates debugging by providing developers with a slice of the relevant fragments of a system based on a criteria. These and similar techniques have been useful for developers, since the techniques narrow down and isolate relevant inputs and parts of a system where developers should focus their debugging efforts. In the context of this thesis, we aim to guide developers to relevant parts of the systems to analyze and debug unexpected configuration-related performance behaviors (see Chapter 4).

Researchers have also implemented program analyses in the backend of tools to help developers debug software systems [Burg et al., 2013; Ko and Myers, 2004; LaToza and Myers, 2011; Pothier et al., 2007]. For instance, the Whyline [Ko and Myers, 2004] combines static and dynamic slicing to allow developers to ask "why did " and "why did not" questions directly about a system's output. The tool then presents relevant code to answers those questions. Similarly, Reacher [LaToza and Myers, 2011] uses static data-flow analysis to help developers answer reachability questions as they navigate call graphs. These and similar tools have been

useful for developers, since the tools provide relevant and useful information to guide developers through the implementation to understand and debug the behavior of the system. In the context of this thesis, we aim to develop tool support that provides developers with relevant information to analyze and debug unexpected configuration-related performance behaviors (see Chapter 4).

2.5.2 Performance Debugging in Software Systems

When developers debug the performance of software systems, developers typically use off-the-shelf performance profilers [JPR, 2019; VVM, 2020; Nethercote and Seward, 2007] (either sampling- or instrumentation-based). Profilers are typically used to identify the places in a system that are taking the most time to execute, commonly known as hotspots [Castro et al., 2015; Cito et al., 2018; Curtsinger and Berger, 2016; Gregg, 2016; Yu and Pradel, 2018]. After identifying hotspots, developers locate them in the code for analysis and potentially optimize and performance issues. In the context of this thesis, we use an off-the-shelf sampling profiler to measure the performance of code regions under different configurations (see Chapter 3).

In addition to performance profilers, researchers have developed targeted techniques to help developers identify inefficient code structures [Bornholt and Torlak, 2018; Grechanik et al., 2012; Han et al., 2012; Jin et al., 2012; Jovic et al., 2011; Liu et al., 2014; Nistor et al., 2015, 2013b; Song and Lu, 2014, 2017] and synchronization bottlenecks [Alam et al., 2017; Curtsinger and Berger, 2016; Yu and Pradel, 2016, 2018]. For instance, statistical debugging has been used to identify performance anti-patterns by analyzing program predicates in regular and slow executions and using statistical models [Song and Lu, 2014]. Likewise, Toddler [Nistor et al., 2013b] detects performance bugs by identifying repetitive memory read sequences across loop iterations. Furthermore, Coz [Curtsinger and Berger, 2016] introduced causal profiling to help developers identify which components in their concurrent system they should optimize to improve performance. While this line of work is orthogonal to the work in this thesis, our work can potentially complement these techniques in considering how options are used in the implementation to cause performance bottlenecks in software systems.

2.5.3 Debugging in Configurable Systems

In the context of configurable systems, several techniques and tools have used to help developers debug in the presence of a large number of options [Attariyan and Flinn, 2010; Dong et al., 2016; Hoffmann et al., 2011; Lillack et al., 2018; Meinicke et al., 2018; Rabkin and Katz, 2011; Toman and Grossman, 2016a,b; Wang et al., 2013; Wong et al., 2018; Xu et al., 2016; Zhang and Ernst, 2013, 2014, 2015]. Most techniques aim to identify the option or interaction that cause the unexpected behavior, as well as to guide developers to debug relevant parts of a system.

In general, the techniques track how options are propagated through the system to identify how they cause an unexpected behavior. For instance, ConfAid [Attariyan and Flinn, 2010] is a misconfiguration troubleshooting tool based on causal analysis. The tool instruments the system to record information flow during the execution and attributes undesired behavior to configuration options. Likewise, Varviz [Meinicke et al., 2018] generates variational traces to help developers understand how data- and control-flow influence executions, and thus, how

different configurations cause a fault. Furthermore, Staccato [Toman and Grossman, 2016b] used dynamic taint analysis to identify the use of stale or inconsistent configuration data. In the context of this thesis, we seek to develop tools that indicate where and how options interact in the implementation (see Chapter 4).

Related to debugging, researchers have also used techniques for testing large configuration spaces [Kim et al., 2013; Souto and d’Amorim, 2018; Souto et al., 2017]. SPLat [Kim et al., 2013] instruments a system to dynamically track the configurations that produce distinct execution paths. It reexecutes the system until all configurations with distinct paths are explored. In the context of this thesis, we build performance-influence models by measuring the performance of distinct execution paths in independent regions instead of the entire system (see Chapter 3).

2.5.4 Performance Debugging in Configurable Systems

In recent years, researchers have explored more closely the area of performance debugging of configurable systems, in terms of empirically studying the characteristics and prevalence of configuration-related performance bugs [Han and Yu, 2016; Han et al., 2018] and providing tool support that developers can use to debug unexpected performance behaviors in configurable systems [He et al., 2020; Li et al., 2020; Siegmund et al., 2015].

Recent empirical studies have shown that, similar to functional bugs [Park et al., 2012; Yin et al., 2011] and performance bugs in software systems [Jin et al., 2012; Nistor et al., 2013a], configuration-related performance bugs are prevalent in software systems today [Han and Yu, 2016; Han et al., 2018]. Options affect the performance of configurable systems at control-flow statements, depending on which branch is executed and how many times the branches are executed [Han and Yu, 2016; Han et al., 2018; Siegmund et al., 2013], similar to the pattern observed in (non-configurable) software systems [Jin et al., 2012; Nistor et al., 2015, 2013a]. Most configuration-related performance bugs are caused by a single option ($\sim 72\%$), but a non-trivial amount of performance bugs are caused by an interaction of two or more options ($\sim 28\%$). Additionally, configuration-related performance bugs are usually more complex to debug than general performance bugs.

Insight of how options affect the performance of configurable systems: The performance of configurable systems tends to change at control-flow statements, depending on which branch is executed and how many times the branches are executed.

Based on the above insights, researchers have developed techniques that developers can use to analyze and debug the performance of configurable systems [He et al., 2020; Li et al., 2020]. As discussed in Sec. 2.4, LearnConf [Li et al., 2020] used intraprocedural control-flow analysis to identify usage patterns of individual options in the source code for predicting performance properties, not actual effects, based on the patterns. Without using program analysis techniques, yet relevant to debug the performance of configurable systems, He et al. [2020] suggested using developers’ expected performance behavior of individual and pairs of options as a testing oracle for identifying the incorrect implementation of configurations. These

approaches work well when developers already *know* the options that caused unexpected performance behaviors. However, developers usually first need to understand how options affect the performance of the entire configuration space of a system to, subsequently, identify the option or interaction that are causing an unexpected performance behavior.

Limitation of existing work on debugging the performance of configurable systems: Current techniques to help developers debug the performance of configurable systems assume that developers already know the options or interactions that cause the unexpected performance behavior. Instead, developers typically first need to understand the performance behavior of the entire configuration space to identify the options that are potentially causing an unexpected performance behavior.

As discussed in Sec. 2.3.1, sparse linear performance-influence models can be helpful for developer to debug the performance of configurable systems, as the models are easy to inspect and interpret how options and their interactions affect the performance of a system [Kaltenacker et al., 2020; Kolesnikov et al., 2018; Molnar, 2019]. For example, the sparse linear model $8 + 15A + 10C + 3AB + 30AC$ for the system in Fig. 2.1 can be used, for example, to determine whether the increase of 30 seconds in the execution time when both A and C are selected complies with the system’s requirements. Ideally though, the models would also indicate *where* the influence of options occurs in the implementation and *how* options influence, in the implementation, the performance of those locations in the system. However, current performance-influence models, before the work on this thesis, only provide information of how options influence the performance of the system globally, requiring developers to probably navigate the entire code base to debug the performance of the systems.

Limitation of existing performance-influence models for performance debugging: Current performance-influence models only describe how options influence the performance of the entire system. The models do not indicate where the influence occurs and how options are used in the implementation to influence the performance of the system, which is useful for developers to debug the performance of configurable systems.

Partial thesis goal: We aim to build tool support to help developers inspect, understand, and debug how options and their interactions affect, both globally and in the implementation, the performance of configurable systems.

In this section, we sought to determine how to use program analysis techniques to help developers inspect, understand, and debug the performance of configurable systems. To achieve

this goal, we explored how the techniques are used to help developers debug software systems in general and, more relevant to the context of this thesis, how the techniques have been used to debug the performance and other characteristics of configurable and (non-configurable) software systems. As we will discuss in Chapter 4, we seek to develop tool support that helps developers locate and understand how options affect, in the implementation, the performance of configurable systems.

2.6 Summary

In this chapter, we discussed the state of the art of analyzing, modeling, and debugging the performance of configurable systems. The insights and limitations that identified in this discussion motivate the work and the techniques used in this thesis.

Several performance modeling approaches existing to understand how options and their interactions affect the performance of configurable systems. Most approaches, however, treat systems as black-boxes, combining different sampling and machine learning techniques, resulting in tradeoffs between measurement effort, accuracy, and interpretability of the analysis results. The sole white-box performance modeling approach imposes strict constraints on the the types of systems that it can analyze. In this thesis, we aim to use white-box analysis techniques (e.g., taint analysis and performance profilers to measure method-level execution time) to build interpretable performance-influence models to help users understand and help developers debug how options and their interactions affect the performance of configurable systems.

Existing performance debugging techniques for configurable systems provide limited support to developers who diagnose unexpected performance behaviors. Some techniques only model the end-to-end performance of the systems, while others assume that developers already know which option or interaction cause unexpected performance behaviors. In this thesis, we aim to build tool support that helps developers inspect, understand, and debug how options and their interactions affect, both globally and in the implementation, the performance of configurable systems.

Chapter 3

White-box Performance Modeling of Configurable Systems

In Chapter 2, we discussed the limitations of existing approaches for modeling the performance of configurable systems (e.g., black-box approaches rely on sampling and machine learning strategies that tradeoff the interpretability and accuracy of the models and the cost to learn the models) and the insights from existing white-box analyses of how options influence the performance of configurable system (e.g., only a few options tend to interact in specific parts of the system). Inspired by those limitations and insights, we propose, in this chapter, to use a white-box analysis to efficiently and accurately model the performance of configurable systems.

Our white-box approach to model the performance of configurable systems analyzes and instruments the source code to accurately capture configuration-specific performance behavior, without using machine learning to extrapolate incomplete samples. We reduce measurement cost by *simultaneously analyzing and measuring* multiple regions of the system, building a local linear performance-influence model per region with a few configurations (an insight that we call *compression*). Subsequently, we *compose* the local models into a global model for the entire system. We use a taint analysis to identify *where* and *how* load-time configuration options influence control-flow statements in the system, through *control-flow and data-flow dependencies*.

Our empirical evaluation on several widely-used open-source systems demonstrates that our white-box approach efficiently builds accurate performance-influence models with the additional benefit of generating *interpretable* and *local* models, which not only predict performance of configurations, but also quantify the influence on performance of individual options and interactions and can even map the influence to code regions.

In summary, we make the following contributions:

- The insights of *compositionality* and *compression* to accurately infer the influence of options on the performance of numerous independent regions of a system, with a few configurations, composing them to a global performance model.
- The use of a taint analysis to identify how configurations influence the performance of independent code regions.

- A discussion of design decisions to operationalize our white-box approach and the implementation of two prototypes.
- An empirical evaluation of the two prototypes on several widely-used open-source systems demonstrating that our white-box approach efficiently builds interpretable and accurate performance-influence models.

The rest of this chapter is organized as follows: We first present our key insights of *compositionality* and *compression* for efficiently and accurately modeling the performance of configurable systems (Sec. 3.1). Afterwards, we describe the three technical components of our approach to operationalize our key insights (Sec. 3.2). Next, we discuss design decisions to implement our approach (Sec. 3.2) and describe two prototypes (Sec. 3.4 and Sec. 3.5). Finally, we evaluate the two prototypes against state of the art approaches to model the performance of configurable systems (Sec. 3.6) and discuss the impact of the design decisions made in each prototype (Sec. 3.7).

The work in this chapter is an excerpt of our ASE Journal’20 article "ConfigCrusher: Towards White-box Performance Analysis for Configurable Systems" [Velez et al., 2020a] and of a conference submission under review at the time of writing – "White-box Analysis over Machine Learning: Modeling Performance of Configurable Systems" [Velez et al., 2020b].

3.1 Key Insights for Efficient and Accurate Performance Modeling of Configurable Systems

Inspired by the limitations of existing performance modeling approaches for configurable systems and the insights from existing white-box analyses of how options influence the performance of configurable systems (Chapter 2), we present our key insights to efficiently and accurately analyze and model the performance of configurable systems.

We seek to develop a *white-box analysis* to efficiently and accurately analyze the performance of configurable systems, *without the use of machine learning* to avoid inaccuracies of extrapolating from incomplete measurements. The analysis contributes to the thesis goal of reducing the energy consumption and operational costs of running configurable systems since the analysis helps model the global performance of configurable systems for users to make informed configuration decisions. In Chapter 4, we will discuss how the models contribute to the thesis goal by helping developers debug the performance of their systems.

To analyze the performance of configurable systems, we measure the execution time of multiple configurations, similar to existing approaches, but we guide the exploration with a white-box analysis of the internals of the system. For a given set of inputs, a configurable system with a set of Boolean options O can exhibit up to $2^{|O|}$ distinct execution paths, one per configuration.¹ If we measure the execution time of each distinct path, we can map per-

¹For simplicity, we describe our in terms of Boolean options, but other finite option types can be encoded or discretized as Boolean options. The distinction between inputs and options is subjective and domain specific. We consider options as a special type of inputs with a small finite domain (e.g., Boolean options), that a user might explore to change functionality or quality attributes. We consider fixed values for other inputs. Note that a user

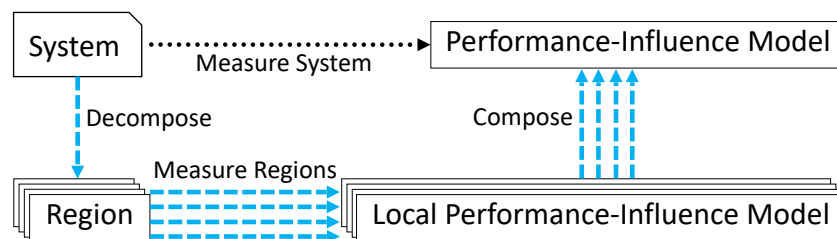


Figure 3.1: Building performance-influence models is compositional: Instead of building a single model for the entire system (dotted black arrow), we can simultaneously build a local model per region and compose those models (dashed blue arrows).

formance differences to options and their interactions, without any approximation through machine learning.

Our approach to efficiently and accurately analyze the performance of configurable systems relies on two insights inspired by prior work (see Sec. 2.4.3) and that we identified by analyzing how options contribute to the performance of configurable systems: (1) Performance-influence models can be built *compositionally*, composing models built independently for *smaller regions* of the code than the entire system (cf. Fig. 3.1). (2) Multiple performance-influence models for *smaller regions* can be built simultaneously by measuring the execution of a system often with only a few configurations, which we refer to as *compression*.

Compositionality. Building performance-influence models is compositional: We can measure the time that smaller regions in a system (e.g., considering each method as a region) spend executing in the CPU and build a performance-influence model per region, which describes the performance behavior of each region in terms of options.² Subsequently, we can compose the local models to describe the performance of the entire system; computed as the sum of the individual influences in each model (e.g., composing $5 + 4A$ and $1 - 1A + 2B$ to $6 + 3A + 2B$).

Compositionality helps reduce the cost to model the performance of configurable systems, as many smaller regions of a system are often influenced only by a subset of all options, a common case confirmed by prior empirical research described in Sec. 2.4.3. Hence, the number of distinct paths in a region is usually much smaller than the number of distinct paths in the entire system. If we have an analysis to find the subset of options that directly and indirectly influence smaller regions (see Sec. 3.2.1), we can build a local performance-influence model by observing all distinct paths in a region often with only a few configurations.

might fix some configuration options as inputs and consider alternative values for inputs as options (e.g., use an option for different workloads). We analyze the performance influence of options with finite domains, assuming all other inputs are fixed at specific values, thus resulting in a finite, but typically very large configuration space.

²Note that we measure performance as the time that regions spend executing in the CPU, similar to the measurement performed by performance profilers, which measure the time that methods spend executing, or the `time util`, which tracks the time that threads spend executing. This time is commonly referred to as "user-time"; the time the CPU spends in "user-mode". By contrast, wall-clock time is the actual time taken from the start of execution to the end.

```
1 if(a) // variable depends on option A
2   ... // execution: 1s
3 if(b) // variable depends on option B
4   ... // execution: 2s
5 if(c) // variable depends on option C
6   ... // execution: 3s
```

Figure 3.2: Three independent regions influenced by different options.

Our insight for modeling the performance of configurable systems: Performance-influence models can be built by composing models built independently for smaller regions of the code.

Compression. Compression makes our approach scale without relying on machine learning approximations: When executing a single configuration, we can *simultaneously* measure the execution time of multiple regions. If the regions are influenced by different options, a common case confirmed by prior empirical research described in Chapter 2.4.3, we can measure the performance of all regions with a few configurations, instead of exploring all combinations of all options. For example, the three independent regions in Fig. 3.2 influenced by options A, B, and C, respectively, each have two distinct paths. Instead of exploring all 8 combinations of the three options, we can explore all distinct paths in each region with only 2 configurations, as long as each option is enabled in one configuration and disabled in the other configuration.

Our insight for modeling the performance of configurable systems: Compression allows us to simultaneously explore paths in multiple independent regions with a few configurations.

Combining compositionality and compression. We combine compositionality and compression to efficiently build accurate performance-influence models, without traditional sampling or machine-learning techniques. To help users and developers understand the influence of options on the performance of systems, the resulting models can be presented in an interpretable format (e.g., sparse linear models) and even be mapped to individual code regions. Key to our approach is the property that not all options interact in the same region, instead influencing different parts of the system independently; a pattern observed empirically in configurable systems (Sec. 2.4.3).

To operationalize compositionality and compression for efficiently building accurate and interpretable performance-influence models, we need three technical components, shown in Fig. 3.3: First, we identify which regions are influenced by which options to select configurations to explore all paths per region and map measured execution time to options and

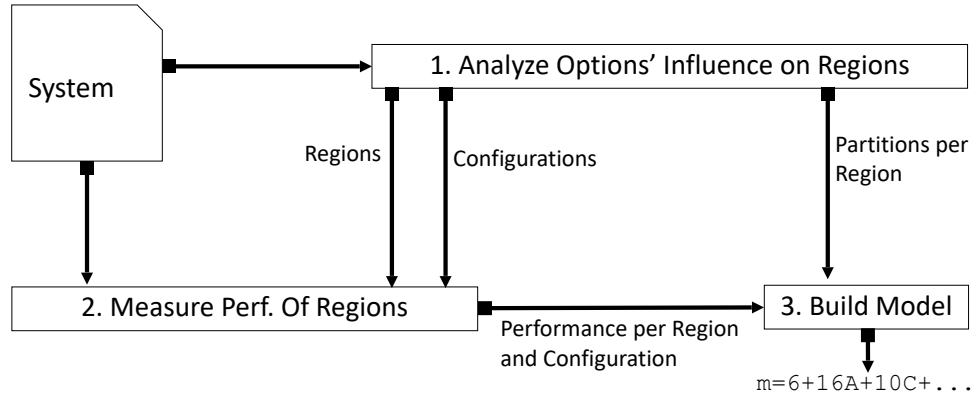


Figure 3.3: Overview of components to efficiently building accurate and interpretable performance-influence models.

their interactions (Sec. 3.2.1). Second, we execute the system to measure the performance of all paths of all regions (Sec. 3.2.2). Third, we build local performance-influence models per region and compose them into one global model for the system (Sec. 3.2.3).

3.2 Components for Modeling the Performance of Configurable Systems

In this section, we describe the three technical components of our approach to operationalize our insights of compositionality and compression for efficiently building accurate and interpretable performance-influence models.

3.2.1 Analyze Options' Influence on Regions

As a first step of our approach, we identify which options (directly or indirectly) influence control-flow statements in which regions, which we use to select configurations to explore all paths per region and map measured performance differences to options and their interactions (Sec. 3.2.2).³ To this end, we track *information flow* from options (sources) to *control-flow statements* (sinks) in each region. If an option flows, directly or indirectly (including implicit flows), into a control-flow statement in a region, it implies that selecting or deselecting the option may lead to different execution paths within the region. Thus, we should observe at least one execution with a configuration in which the options is selected and another execution in which the option is not selected.

³As previously discussed in Chapter 2, we focus on configuration changes in control-flow statements, as a system's execution time changes in those statements, depending on which branch is executed and how many times it is executed, confirmed by empirical research [Han and Yu, 2016; Jin et al., 2012; Nistor et al., 2015, 2013a; Siegmund et al., 2013]. Execution differences caused by nondeterminism are orthogonal and must be handled in conventional ways (e.g., averaging multiple observations or controlling the environment).

```

1 def main(List workload)
2   a = getOpt("A"); b = getOpt("B");
3   c = getOpt("C"); d = getOpt("D");
4   ... // execution: 1s
5   int i = 0;
6   if(a) // variable depends on option A
7     ... // execution: 1s
8     foo(b); // variable depends on option B
9     i = 20;           Region depends on option A
10  else
11    ... // execution: 2s
12    i = 5;
13  while(i > 0)
14    bar(c); // variable depends on option C
15    i--;
16 def foo(boolean x)           Region depends on options A and B
17   if(x) ... // execution: 4s
18   else ... // execution: 1s
19 def bar(boolean x)           Region depends on options A and C
20   if(x) ... // execution: 3s
21   else ... // execution: 1s

```

Figure 3.4: Example configurable system with 4 configuration options and 3 highlighted regions as methods, in which the options influence the performance of the system.

More specifically, we conservatively partition the configuration space per region into subspaces, such that every configuration in each subspace takes the same path through the control-flow statements within a region, and that all distinct paths are explored when taking one configuration from each subspace. A *partition* of the configuration space is a grouping of configurations into nonempty subsets, which we call *subspaces*, such that each configuration is part of exactly one subspace. For notational convenience, we describe subspaces using propositional formulas over options. For example, $\llbracket A \wedge \neg B \rrbracket$ describes the subspace of all configurations in which option A is selected and option B is deselected.

To track information flow between options and control-flow statements in regions, we use a *taint analysis*. During the analysis, we track how API calls load configuration options (sources) and propagate them along data-flow and control-flow dependencies, including implicit flows, to the decisions of control-flow statements (sinks). By tracking how options flow through the system, we can identify, for each control-flow statement, the set of options that reach the statement, potentially leading to different execution paths in a region. Subsequently, we conservatively partition the configuration space of a region into subspaces based on the set of options that reach the statement.

Example: The options in our running example in Fig. 3.4 (Lines 2–3) are the fields A – D. Lines 4–5 are not influenced by any options. Lines 6–12 and Lines 13–15 are influenced by the set of options $\{A\}$, which leads to the partition $\{\llbracket A \rrbracket, \llbracket \neg A \rrbracket\}$. Lines 17–18 by $\{A, B\}$, which leads to the partition $\llbracket \neg A \wedge \neg B \rrbracket, \llbracket \neg A \wedge B \rrbracket, \llbracket A \wedge \neg B \rrbracket, \llbracket A \wedge B \rrbracket$. Lines 20–21 by $\{A, C\}$, which leads to the partition $\llbracket \neg A \wedge \neg C \rrbracket, \llbracket \neg A \wedge C \rrbracket, \llbracket A \wedge \neg C \rrbracket, \llbracket A \wedge C \rrbracket$.

Table 3.1: Performance map per region and configuration for our running example in Fig. 3.4.

Configurations				Regions			
A	B	C	D	Base	$R_1 \equiv \{A\}$	$R_2 \equiv \{A, B\}$	$R_3 \equiv \{A, C\}$
F	F	F	F	1s	2s	0s	5s
F	T	T	F	1s	2s	0s	15s
T	F	F	F	1s	1s	1s	20s
T	T	T	F	1s	1s	4s	60s

F: False; T: True. We show the set of options that influence each region.

3.2.2 Measure Performance of Regions

We measure the time the system spends in each region when executing a configuration, resulting in performance measurements for each pair of configuration and region. We measure *self-time* per region to track the time spent in the region itself, which excludes the time of calls to execute code from other regions.

Ideally, we want to find a minimal set of configurations, such that we explore at least one configuration per subspace for each region's partition. Since finding the optimal solution is NP-complete⁴ and existing heuristics from combinatorial interaction testing [Al-Hajjaji et al., 2016; Hervieu et al., 2011, 2016; Kuhn et al., 2013] are expensive, we developed our own simple greedy algorithm: Incrementally intersecting subspaces that overlap in at least one configuration, until no further such intersections are possible. Then, we simply pick one configuration from each subspace.

Example: In our running example in Fig. 3.4, four configurations cover all subspaces, for instance, $\{\{\}, \{A\}, \{B, C\}, \{A, B, C\}\}$, where each set represent the options that are selected in the configuration. Table 3.1 presents a performance map per region and executed configuration of our running example.

3.2.3 Building the Performance-Influence Model

In the final step, we build performance-influence models for each region based on the (1) the partitions identified per region and (2) the performance map per region and configuration. We then compose the local models into a performance-influence model for the entire system.

Since we collect at least one measurement per distinct path through a region, building models is straightforward, without the need of using machine learning to extrapolate from incomplete samples. For a region with a partition and a set of configurations with corresponding performance measurements, we associate each measurement with the subspace of the partition to which the configuration belongs. If multiple measured configurations belong to the same subspace, we expect the same performance behavior for that region (modulo measurement noise) and average the measured results. As a result, we can map each subspace of

⁴The problem can be reduced to the set cover problem, in which the union of a collection of subsets (all subspaces) equals a set of elements called "the universe" (the union of all subspaces). The goal is to identify the smallest sub-collection whose union equals the universe.

a region's partition to a performance measurement. For instance, for the region in `foo` in our running example in Fig. 3.4, all configurations in which `A` is deselected take 0 seconds, all configurations in which `A` is selected and `B` is deselected take 1 second, and all configurations in which `A` and `B` are selected take 4 seconds.

For interpretability, to highlight the influence of options and avoid negated terms, we write linear models in terms of options and interactions, for example $m_{\text{foo}} = 1A + 3AB$.

The global performance-influence model is obtained simply by aggregating all local models; we add the individual influences of each model. Note the local models can be useful for understanding and debugging individual regions, as they describe the performance behavior of each region (see Chapter 4).

Example: With the performance map per region and configuration in Table 3.1 for our running example in Fig. 3.4, we build the local models $m_{\text{base}} = 1$, $m_{\text{R1}} = 2 - 1A$, $m_{\text{R2}} = 1A + 3AB$, and $m_{\text{R3}} = 5 + 15A + 10C + 30AC$, which can be composed into the global performance-influence model $m = 8 + 15A + 10C + 3AB + 30AC$.

3.3 Design Decisions for Modeling the Performance of Configurable Systems

In this section, we discuss the tradeoffs of our white-box approach to analyze and measure the influence of options on regions, and how different decisions impact our approach for modeling the performance of configurable systems.

3.3.1 Analyze Option's Influence on Regions

In the first step of our approach, we analyze the (direct and indirect) influence of options on the decisions of control-flow statements (Sec. 3.2.1). The analysis can be performance statically or dynamically with different tradeoffs.

The main benefit of a static taint analysis is that it covers all execution paths in a single analysis of the system [Arzt et al., 2014]. However, the analysis might cover parts of the system that are never executed, which can increase the time of the analysis and threaten its scalability in large-scale systems. Additionally, the analysis only indicates the options or interactions that *might* affect the decisions in control-flow statements (i.e., there might be false positives), which might unnecessarily increase the number of configurations that we measure.

The main benefit of a dynamic taint analysis is that it executes the system tracking how options actually influence the decisions in control-flow statements (i.e., no false positives) [Bell and Kaiser, 2014]. However, dynamic analyses are, by definition, unsound; we cannot know how options influence the decisions in control-flow statements in the parts of the system that are not executed. Accordingly, we would need to execute the analysis multiple times with different configurations, which might threaten its scalability in systems with large configuration spaces.

These considerations can affect the accuracy of the models that we generate, as well as the scale of the systems that our approach can analyze.

3.3.2 Granularity of Regions, Compression, and Measuring Performance

We can consider regions at different granularities, which impact how much compression we obtain and the effort to measure the performance of the regions (Sec. 3.2.2).

On one extreme, we could consider the entire system as a single region (as black-box approaches do), but would not benefit from compression. At the other extreme, we could consider each control-flow statement as the start of its own region, ending with its immediate post-dominator, which results in maximum compression, but in excessive measurement cost; this fine-grained granularity is analogous to using an *instrumentation profiler*, but instead of focusing on a few locations of interest, as usually recommended [Lange, 2011], we would add instrumentation throughout the entire system at control-flow statements.

We can also consider methods as regions. In this case, we may lose some compression potential compared to more fine-grained regions, if multiple control-flow statements within a method are influenced by distinct options. On the other hand, we can use off-the-shelf *sampling profilers* that accurately capture performance with low overhead, and simply map the performance of methods to the closest regions on the calling stack.

These considerations can affect the cost to generate our models, in terms of the number of configurations to measure and the effort to measure regions.

3.3.3 Implementing Two Prototypes

We implemented two prototypes for modeling the performance of configurable systems to empirically evaluate the tradeoffs of the taint analyses and granularity of regions discussed above. We implemented ConfigCrusher [Velez et al., 2020a], which uses a static taint analysis considering control-flow statements as regions, and Compex [Velez et al., 2020b], which uses a dynamic taint analysis considering methods as regions. In the following sections, we describe the implementation of each prototype.

3.4 ConfigCrusher

In this section, we describe the implementation of our approach to model the performance of configurable systems using a static taint analysis and considering control-flow statements as regions.

3.4.1 Analyze Options' Influence on Regions

We used the state of the art object-, field-, context-, and flow-sensitive static taint analysis engine FlowDroid for Java systems [Arzt et al., 2014]. We tracked control-flow and data-flow dependencies (including implicit flows) as described in Sec. 3.2.1 considering control-flow statements as regions.

3.4.2 Measure Performance of Regions

To measure the performance of control-flow statements as regions, we need to instrument the regions. We developed an algorithm to identify and instrument the start and end of regions (more details in [Velez et al., 2020a]). One important task of the algorithm is to find the end of a region where all the paths originating from a control-flow statement meet again (i.e., the immediate post-dominator).

We instrument the start and end of regions with statements to log their execution time. We also instrument the entry point of the system (e.g., the main method in a Java system) to measure the performance of code not influenced by any options. The result of executing an instrumented system is the total time spent in each region.

Optimization. When we executed our instrumented systems, we observed excessive execution overhead even in small systems. We found that the overhead arose from redundant, nested regions (i.e., regions with the same set of influencing options), and regions executed repeatedly in loops. Consequently, we identified optimizations to reduce measurement overhead through instrumenting regions differently without altering the measurements that we collect.

Specifically, we developed two algorithms to propagate the options that influence statements up and down a control-flow graph (i.e., intraprocedurally), as well as across graphs (i.e., interprocedurally), to combine regions and pull out nested regions. The algorithms never create new interactions nor do they alter the performance measurements that we collect, but significantly reduce the overhead of measuring the instrumented system (more details of the algorithms can be found in [Velez et al., 2020a]).

3.5 Complex

In this section, we describe the implementation of our approach to model the performance of configurable systems using a dynamic taint analysis and considering methods as regions.

3.5.1 Analyze Options' Influence on Regions

We used Phosphor, the state of the art tool for dynamic taint analysis in Java [Bell and Kaiser, 2014]. We tracked control-flow and data-flow dependencies (including implicit flows) as described in Sec. 3.2.1 considering methods as regions. However, to partition the configuration space per region, we iteratively execute the dynamic taint analysis with different configurations until we have explored all distinct paths in each region.

Incrementally partitioning the configuration space. We developed an algorithm to partition the configuration space per region, based on incremental updates from our dynamic taint analysis (more details in [Velez et al., 2020b]). Intuitively, we execute the system in a configuration and observe when data-flow and control-flow taints from options reach each control-flow decision in each region, and subsequently update each region's partition: Whenever we reach

	{A,D}	{A,B,C}	{}	{C}
<pre> 1 def main(List workload) 2 a = getOpt("A"); b = getOpt("B"); 3 c = getOpt("C"); d = getOpt("D"); 4 ... 5 int i = 0; 6 if(a) 7 ... 8 foo(b); 9 i = 20; 10 else 11 ... 12 i = 5; 13 while(i > 0) 14 bar(c); 15 i--; 16 def foo(boolean x) 17 if(x) ... 18 else ... 19 def bar(boolean x) 20 if(x) ... 21 else ... </pre>				
	[[A]], [[¬A]]	[[A]], [[¬A]]	[[A]], [[¬A]]	[[A]], [[¬A]]
	[[A∧B]], [[A∧¬B]], [[¬A]]	[[A∧B]], [[A∧¬B]], [[¬A]]	[[A∧B]], [[A∧¬B]], [[¬A]]	[[A∧B]], [[A∧¬B]], [[¬A]]
	[[A∧C]], [[A∧¬C]], [[¬A]]	[[A∧C]], [[A∧¬C]], [[¬A]]	[[A∧C]], [[A∧¬C]], [[¬A∧C]], [[¬A∧¬C]]	[[A∧C]], [[A∧¬C]], [[¬A∧C]], [[¬A∧¬C]]

Figure 3.5: Example of iteratively executing the taint analysis on our running example in Fig. 3.4. Four configurations explore all subspaces for the three regions in the system, where each set represents the options selected in the configuration. For each configuration, we show the subspaces generated for each region. Subspaces in **red** still need to be explored, whereas subspaces in **green** have been explored in previous configurations. Note how we explore the nested if statement in method foo with 3 instead of 4 subspaces by separately tracking data-flow and control-flow taints. Also note how we update the $[[\neg A]]$ subspace in method bar after the third configuration to explore the region with both values of C when A is deselected.

a control-flow statement during execution, we identify, based on taints that reach the condition of the statement, the sets of configurations that would possibly make different decisions, thus updating the partition that represents different paths for this region. Since a dynamic taint analysis can only track information flow in the current execution, but not for alternative executions (i.e., for paths not taken), we repeat the process with new configurations, selected from the partitions identified in prior executions, updating partitions until we have explored one configuration from each subspace of each partition; that is, until we have observed each distinct path in each region at least once. Note that some subspaces in the region might make the same control-flow decision as other subspaces, but we do not know which subspace will make which decision until we actually execute those configurations.

Distinguishing data-flow taints from control-flow taints allows us to perform an additional optimization to more efficiently explore nested decisions (e.g., `if(a){ if(b) ... }`). Control-flow taints specify which options (directly or indirectly) influenced outer control-flow decisions, which indicate that different assignments to options in the control-flow taints *may* lead to paths where the current decision is not reached in the first place. Hence, we do not necessarily need to explore all interactions of options affecting outer and inner decisions. Instead of exploring combinations for all options of data-flow and control-flow taints, as we did when using a static taint analysis, we first split the configuration space into those configurations for which we know that they will reach the current decision, because they share the assignments

of options in control-flow taints, and the remaining configurations which may not reach the current decision. Then, we only create subspaces for interactions of options in data-flow taints for configurations that reach the current decision, and consider the entire set of configurations that may not reach the decision as a single subspace. The iterative nature of our analysis ensures that at least one of the configurations which may not reach the current decision will be explored, and, if the configuration also reaches the same decision, the region's partition will be further divided.

The iterative analysis executes the system in different configurations until one configuration from each subspace of each partition in each region has been explored. That is, we start by executing any configuration (e.g., the default configuration), which reveals the subspaces per regions that could make different decisions. The algorithm then selects the next configuration to explore unseen subspaces in the regions, which may further update the regions' partitions. To select the next configuration, we use a greedy algorithm to pick a configuration that explores the most unseen subspaces across all regions.⁵

Example: Fig. 3.5 presents an example of executing the iterative analysis on our running example in Fig. 3.4.

Dynamic Taint Analysis Overhead. We observed that tracking control-flow dependencies imposes significant overhead in the system's execution. For instance, one execution of our subject system Berkeley DB takes about 1 hour with the dynamic taint analysis, whereas around 300 configurations can be executed in the same time! In general, we observe $26\times$ to $300\times$ overhead from taint tracking, which varies widely between systems. In fact, the iterative analysis did not finish executing after 24 hours in all subject systems, except for Apache Lucene, which executed in 11 hours. To reduce cost, we execute the iterative analysis with a *drastically* reduced workload size.

This optimization is feasible when the workload is *repetitive* and repetitions of operations are affected similarly by options, which we conjecture to be common in practice. Many performance benchmarks execute many operations, which are similarly affected by configuration options. For instance, Berkeley DB's MeasureDiskOrderedScan benchmark populates a database, which can be scaled by a parameter that controls the number of entries to insert, but does not affect which *operations* are performed. In our evaluation, we show that we can generate accurate performance-influence models using a significantly smaller workload in the iterative analysis.

3.5.2 Measure Performance of Regions

To measure the performance of methods as regions, we use JProfiler, an off-the-shelf sampling profiler that accurately captures performance of methods with low overhead [JPR, 2019].

⁵To avoid enumerating an exponential number of configurations, we use a greedy algorithm that picks a random subspace and incrementally intersects it with other non-disjoint subspaces, which seems sufficiently effective in practice. The problem can also be encoded as a MAXSAT problem, representing subspaces as propositional formulas, to find the configuration that satisfies the formula with the most subspaces.

Table 3.2: State of the art approaches compared to ConfigCrusher in [Velez et al., 2020a] and Comprehex in [Velez et al., 2020b].

Approach	Compared to ConfigCrusher	Compared to Comprehex
Feature-wise & SL	✗	✓
Feature-wise & LL	✗	✓
Feature-wise & SLR	✓	✓
Feature-wise & EN	✗	✓
Feature-wise & SDT	✗	✓
Feature-wise & DT	✗	✓
Feature-wise & RF	✗	✓
Feature-wise & NN	✗	✓
Pair-wise & SL	✗	✓
Pair-wise & LL	✗	✓
Pair-wise & SLR	✓	✓
Pair-wise & EN	✗	✓
Pair-wise & SDT	✗	✓
Pair-wise & DT	✗	✓
Pair-wise & RF	✗	✓
Pair-wise & NN	✗	✓
50 random configurations & SL	✗	✓
50 random configurations & LL	✗	✓
50 random configurations & SLR	✗	✓
50 random configurations & EN	✗	✓
50 random configurations & SDT	✗	✓
50 random configurations & DT	✗	✓
50 random configurations & RF	✗	✓
50 random configurations & NN	✗	✓
200 random configurations & SL	✗	✓
200 random configurations & LL	✗	✓
200 random configurations & SLR	✗	✓
200 random configurations & EN	✗	✓
200 random configurations & SDT	✗	✓
200 random configurations & DT	✗	✓
200 random configurations & RF	✗	✓
200 random configurations & NN	✗	✓
Family-based ¹	✓	Not Applicable

SL: Simple linear regression; LL: Lasso linear regression; SLR: Stepwise linear regression; EN: Elastic net linear regression; SDT: Shallow decision tree (max depth=3); DT: Decision tree; RF: Random forest; NN: Multi-layer perceptron;

¹ Originally evaluated in [Velez et al., 2020a], as it was the current white-box state of the art approach. We do not propose to evaluate the approach with Comprehex, due to the former’s known limitations (Chapter 2).

3.6 Evaluation

We evaluated ConfigCrusher [Velez et al., 2020a] and Comprehex [Velez et al., 2020b], separately, using different subject systems and against different state of the art approaches to build

Table 3.3: Subject systems evaluated with ConfigCrusher in [Velez et al., 2020a] and Comprer in [Velez et al., 2020b].

System	Domain	#SLOC	#Opt.	#Conf.	Prototype used for evaluation
Pngtastic Counter	Image processor	1250	5	32	ConfigCrusher
Pngtastic Optimizer	Image optimizer	2553	5	32	ConfigCrusher
Elevator	SPL benchmarkr	575	6	20	ConfigCrusher
Grep	Utility	2152	7	128	ConfigCrusher
Kanzi	Compressor	20K	7	128	ConfigCrusher
Email	SPL benchmark	696	9	40	ConfigCrusher
Prevayler	Database	1328	9	512	ConfigCrusher
Sort	Utility	2163	12	4096	ConfigCrusher
H2	Database	142K	16	65K	Comprer
Berkeley DB	Database	164K	16	65K	Comprer
Apache Lucene	Index/Search	396K	17	131K	Comprer
Density Converter V1 ¹	Image processor	1359	22	4.9M	ConfigCrusher
Density Converter V2 ¹	Image processor	49K	22	4.9M	Comprer

Opt: Options; Conf: Configurations; ¹: The system is an interface to several libraries for processing images. We included and analyzed all Java dependencies in this version of the system.

performance-influence models, for a specific workload, input size, and underlying hardware. Table 3.2 shows an overview of the state of the art approaches we evaluated.

Proposed work: For completeness, we propose to evaluate ConfigCrusher and Comprer against the same state of the art approaches.

Subject Systems

We selected 13 configurable widely-used open-source Java systems that satisfy the following criteria (common in our domain): (a) systems from a variety of domains to increase external validity, (b) systems with binary and non-binary options, and (c) systems with fairly stable execution time (we observed execution times within usual measurement noise for repeated execution of the same configuration). Table 3.3 provides an overview of all subject systems.

ConfigCrusher limitation. We observed that the scalability of ConfigCrusher is limited by the used static taint analysis. Specifically, the analysis is challenged by the size of the call graph, which restricts the size of the systems that our implementation can analyze [Arzt et al., 2014; Avdiienko et al., 2015; Bodden, 2018; Do et al., 2017; Lerch et al., 2015; Pauck et al., 2018; Qiu et al., 2018; Wang et al., 2016]; the largest subject system for which the static taint analysis terminated was Kanzi, which has over 20K SLOC. Accordingly, we only evaluated ConfigCrusher using the 9 subject systems with under 20K SLOC.

Comprer. We originally evaluated Comprer on medium- to large-scale systems in [Velez et al., 2020b] to demonstrate that a white-box analysis can model the performance of large systems in terms of SLOC.

System	Brute-force	Feature-wise	Pair-wise	Family-Based ¹	ConfigCrusher ²
Pngtastic Counter	32 [2.9m]	5 [27.2s]	16 [1.5m]	N/A	4 [21.9s, 7.8s]
Pngtastic Optimizer	32 [42.2m]	5 [1.6m]	16 [10.0m]	N/A	10 [10.7m, 30.6s]
Elevator	20 [10.8m]	3 [50.0s]	9 [3.3m]	1 [49.5s]	64 [—]
Grep	128 [10.6m]	7 [22.1s]	29 [1.9m]	N/A	64 [5.1m, 10.2s]
Kanzi	128 [1.2h]	7 [1.5m]	29 [8.8m]	N/A	64 [35.4m, 12.6s]
Email	40 [16.9m]	4 [23.5s]	11 [1.7m]	1 [1.1m]	8 [1.5m, 12.8s]
Prevayler	512 [3.7h]	9 [2.7m]	46 [16.0m]	N/A	32 [14.5m, 12.6s]
Sort	1298 [18.4h]	12 [13.1m]	79 [1.4h]	N/A	256 [3.7h, 21.6s]
Density Converter V1	1414 [14.7h]	22 [21.3m]	254 [4.1h]	N/A	256 [2.1h, 42.1s]

¹ Not applicable to systems without static map derived from compile-time variability.

² Time includes the overhead of the static taint analysis.

(a) Cost of building performance-influence models, in terms of number of configurations measured and the time to measure the configurations. For ConfigCrusher, we indicate the time to run the static taint analysis.

System	Feature-wise	Pair-wise	Family-Based ¹	ConfigCrusher
Pngtastic Counter	0.8	2.0	N/A	1.1
Pngtastic Optimizer	19.7	0.9	N/A	1.1
Elevator	51.1	1.5	2.7	∅
Grep	32.1	114.7	N/A	3.6
Kanzi	1.9	1.3	N/A	2.7
Email	100	44.2	2.3	23.0
Prevayler	111.2	29.2	N/A	9.2
Sort	90.0	653.0	N/A	1.6
Density Converter V1	635.2	218.9	N/A	4.3

Bolded values in **cells** indicate indistinguishable lowest errors. ∅ approach sampled all configurations, thus no performance to predict.

¹ Not applicable to systems without static map derived from compile-time variability.

(b) Mean Absolute Percentage Error (MAPE) comparison (lower is better).

Table 3.4: Cost and accuracy comparison.

Proposed work: We propose to evaluate all subject systems with Complex in the final dissertation document.

3.6.1 ConfigCrusher

We compared ConfigCrusher to the Family-Based approach [Siegmund et al., 2013], and two combinations of sampling approaches with stepwise linear regression [Siegmund et al., 2015, 2012a,b]: feature-wise sampling (i.e., enable one option at a time) and pair-wise sampling (i.e., cover all combinations of all pairs of options) [Medeiros et al., 2016]. Specifically, we measured the accuracy of the models and the cost to build the models, in terms of the number of configurations measured, the time to measure those configurations, and for ConfigCrusher, the time to run the static taint analysis.

Table 3.4 summarizes the cost and error results. ConfigCrusher’s prediction error is indistinguishable or lower than other approaches. Furthermore, ConfigCrusher’s high accuracy is usually achieved with lower cost compared to the other accurate approaches. The efficiency originates from ConfigCrusher’s white-box analysis to identify a small number of relevant configurations to capture the performance-relevant interactions.

Though feature-wise and pair-wise tended to measure fewer configurations than ConfigCrusher, when their errors are taken into account, we can conclude that more configurations had to be measured to make more accurate predictions. By comparison, for those systems, ConfigCrusher sampled more configurations, but attained significantly lower errors.

3.6.2 Complex

We compared Complex to numerous combinations of sampling and learning approaches (see Table 3.2). For learners, we evaluate variations of linear regressions [Siegmund et al., 2015, 2012a,b], decision trees and random forest [Grebhahn et al., 2019; Guo et al., 2013, 2017; Sarkar et al., 2015], and a neural network. For sampling, we evaluate uniform random sampling with 50 and 200 configurations, feature-wise sampling (i.e., enable one option at a time), and pair-wise sampling (i.e., cover all combinations of all pairs of options) [Medeiros et al., 2016]. We selected 50 and 200 random configurations to use more configurations than other sampling strategies and use sampling sets comparable to ones used in related research. In this proposal, we highlight the results for stepwise linear regression and random forest. Similar conclusions can be drawn when comparing Complex to the other black-box approaches. Specifically, we measured the accuracy of the models and the cost to build the models, in terms of the number of configurations measured, the time to measure those configurations, and for Complex, the time to run the iterative taint analysis. Since we compare Complex to non-linear models, we also discuss the interpretability of the models generated by each approach.

Interpretability. We intend the models generated with our approach to be used in performance understanding and debugging tasks. Hence, is beneficial for these tasks if the models are easy to interpret by users and developers.

Despite much research on the interpretability of models, there is no generally agreed measure or even definition for interpretability [Doshi-Velez and Kim, 2017; Molnar, 2019]. Nevertheless, interpretability typically captures the ability of humans to make predictions, understand predictions, or understand the decisions of the model. Researchers typically distinguish between (1) *inherently interpretable* models, which humans can inspect and directly reason about the model structure and parameters, and (2) *post-hoc explanations*, where tools provide explanations [Lundberg and Lee, 2017; Molnar, 2019; Ribeiro et al., 2016; Štrumbelj and Kononenko, 2014] while model internals are not directly shown [Molnar, 2019]. Our discussion focuses on the former, since post-hoc explanations may be unreliable or misleading [Rudin, 2019].

Sparse linear models, with dozens of individual and interacting terms are generally accepted as inherently interpretable [Molnar, 2019]. Humans can inspect them, reason about factors, and make and understand predictions. For instance, machine learning researchers recommend these models in high-stakes decisions, when auditing the model is paramount [Rudin, 2019].

Sample	Apache Lucene	H2	Berkeley DB	Density Converter V2
BF	2 ¹⁷ [~48.4d]	2 ¹⁶ [~16.0d]	2 ¹⁶ [~8.6d]	2 ²² [~3.6y]
R50	50 [26.7m]	50 [16.4m]	50 [9.1m]	50 [10.1m]
R200	200 [1.8h]	200 [1.1h]	200 [36.4m]	200 [40.4m]
FW	17 [8.6m]	16 [2.4m]	16 [4.8m]	22 [8.2m]
PW	154 [1.3h]	137 [21.1m]	137 [39.4m]	254 [1.8h]
Comprex	26 [14.9m]	64 [22.6m]	144 [30.2m]	88 [16.6m]

The time to measure configurations for BF is extrapolated from 2000 randomly selected configurations.

(a) Number and cost of sampling configurations.

Approach	Apache Lucene	H2	Berkeley DB	Density Converter V2
R50 & LR	8.9s	6.6s	5.7s	14.9s
R200 & LR	6.8m	4.6m	4.9m	1.6m
FW & LR	9.4s	4.3s	7.7s	19.8s
PW & LR	1.7m	44.8s	3.6m	5.5m
* & RF	≤0.2s	≤0.2s	≤0.3s	≤0.2s
Comprex	28.9m	9.3m	11.2m	8.5m

(b) Learning/Analysis time.

Approach	Apache Lucene	H2	Berkeley DB	Density Converter V2
R50 & LR	4.5	124.1	19.7	1037.2
R200 & LR	2.9	93.9	14.9	434.5
FW & LR	7.9	129.3	768.7	1596.0
PW & LR	4.7	113.3	34.2	1596.0
R50 & RF	0.8	6.5	14.1	268.7
R200 & RF	0.3	0.7	1.1	5.5
FW & RF	8.7	119.0	106.1	1185.9
PW & RF	4.0	124.6	53.5	403.6
Comprex	3.2	2.9	5.0	9.4

LR: Stepwise linear regression; RF: Random forest; R50: 50 random configurations; R200: 200 random configurations; FW: Feature-wise; PW: Pair-wise; **Bolded** values in **cells** indicate similarly low errors.

(c) Mean Absolute Percentage Error (MAPE) comparison (lower is better).

Table 3.5: Cost and accuracy comparison.

Likewise, interviews have shown that developers understand linear performance models with a few dozen terms [Kolesnikov et al., 2018]. Hence, we argue that the kind of models we build are interpretable.

Decision trees are also often considered as inherently interpretable [Rudin, 2019] when understanding the decisions behind a single prediction, as following a specific path and all involved decisions in a model is easy. However, identifying influences of factors globally is more challenging, as a factor may occur in many places in a tree and one has to reason about

many or all paths (e.g., how much interacting options slow down the systems). When decision trees get deep, the models becomes more tedious to understand.

In contrast to decision trees, *random forests* are not considered inherently interpretable, because they are an ensemble of numerous (e.g. 100) decision trees. Understanding random forests would require to understand the *average* effect of options around all trees, which are usually fairly deep.

Results. Table 3.5 summarizes the cost and error results. Overall, Comprex builds models that are similarly accurate to those learned by the most accurate and expensive black-box approach (random forests with 200 samples), but our models are interpretable and usually built more efficiently, despite the cost of the iterative analysis. Comprex outperforms other approaches that build linear models by a wide margin.

While random forest with 200 samples produced slightly more accurate models than Comprex, Comprex was usually more efficient, in some cases building models in half the time, while also generating local models and interpretable models. The efficiency originates from Comprex’s white-box analysis to identify a small number of relevant configurations to capture the performance-relevant interactions. By contrast, as our results show, black-box approaches perform significantly worse on such small samples (e.g., compare R50 and R200 results).

Thesis contribution: Our white-box prototypes can efficiently and accurately model the performance configurable systems. The accurate models are often built more efficiently than approaches with comparable accuracy.

Overall, the interpretable models can help users make informed configuration decisions to run systems more efficiently, thus reducing the energy consumption and operational costs of running configurable systems.

3.7 Discussion

Based on our empirical evaluation, we now discuss the impact of the design decisions made in ConfigCrusher and Comprex on the types of systems that can be analyzed and the cost to build models.

3.7.1 Static vs. Dynamic Taint Analysis

The different types of running a taint analysis resulted in different tradeoffs when modeling the performance of configurable systems. On one hand, we executed the static taint analysis once in a few seconds, which reduces the cost to build our models. However, the static taint analysis was limited to relatively small systems. On the other hand, we executed multiple configurations to run the iterative taint analysis, but we were able to analyze medium- to large-scale systems by executing the analysis with a reduced workload size. While both analyses

allowed us to generate accurate models, our results indicate that a dynamic taint analysis can be used to model the performance of large systems, in terms of SLOC.

Originally, we did not evaluate Compex on the relatively small configurable systems for which ConfigCrusher efficiently generate accurate performance-influence models. We propose to evaluate ConfigCrusher and Compex more closely by evaluating the relatively small configurable systems with Compex to compare the cost to build the models, including the analyses times, and their accuracy. We expect the comparison to yield similar results to evaluating the two versions of Density Converter with ConfigCrusher in Table 3.4 and Compex in Table 3.5; the approaches generated similarly accurate models (4.3 vs. 9.4 in terms of MAPE), but Compex measured far fewer configurations (256 vs 88), and overall took less time to build the model (2.1 hours vs. 25.1 minutes), thanks to (1) actually tracking, with a dynamic analysis, how options influence the decisions of control-flow statements and (2) separately tracking control-flow and data-flow taints to identify that some options are only relevant in certain executions of outer control-flow decisions.

3.7.2 Granularity of Regions, Compression, and Measuring Performance

Considering different granularities of regions yielded different tradeoffs between compression potential and measuring the performance of regions. On one hand, considering control-flow statements as regions in ConfigCrusher resulted in maximum compression, but caused excessive measurement overhead, as we instrument numerous locations in the system, but overcame by optimizing how we instrumented regions. On the other hand, we considered methods as regions in Compex, which allowed us to use an off-the-shelf sampling profiler to accurately measure the performance of methods with low overhead, but potentially lost some compression opportunities.

We explored the impact of choosing regions at different granularities on the *number of configurations* to measure. Specifically, we executed Compex’s iterative analysis considering each method as a region. We additionally tracked partitions for control-flow statements and derived partitions for the entire system by combining the partitions of all methods. We performed the analysis using the 4 subject systems that we originally evaluated in [Velez et al., 2020b], but conjecture that we will obtain similar results in the other systems, as all systems evaluated with both prototypes have similar characteristics of how options are used and interact in configurable systems.

Results. Table 3.6 reports the size of the minimum set of configurations needed to cover each subspace of each region’s partition for each granularity. When considering the entire system as a region, significantly more configurations need to be explored, as we do not benefit from compression. Interestingly though, while there are, as expected, fewer regions at the method level than at the control-flow statement level, the number of configurations needed is the same. These results show that compression at finer-grained levels than the method level does not yield additional benefits in our subject systems.

We found that the control-flow statement regions combined within a method are usually

Table 3.6: Number of regions and configurations to measure with compression at different region granularities.

System	Control-flow		Method		Program	
	#Reg.	#Conf.	#Reg.	#Conf.	#Reg.	#Conf.
Lucene	1654	26	551	26	1	16384
H2	2483	64	932	64	1	256
Berkeley DB	2152	144	718	144	1	2048
Density Converter	190	88	62	88	1	4608

#Reg: Number of regions; #Conf: Number of configurations. **Bolded** values in **cells** indicate the fewest number of configurations to cover all partitions' subspaces.

partitioned in the same way. Only in 3 out of 2263 method level regions, the method's partition had more subspaces than the corresponding control-flow statement regions (e.g., two if statements depending on different options). However, in all three cases, the additional subspaces were already explored in other parts of the system. Hence, no additional configurations needed to be explored.

We conclude that fined-grained compression is highly effective, but that control-flow granularity does not appear to offer significant compression benefits over method granularity. Accordingly, we conclude that considering methods as regions is highly efficient to reduce (1) the number of configurations to measure and (2) the overhead to measure the performance of regions.

3.8 Summary

This chapter presented *compositionality* and *compression*, the key insights for efficiently and accurately modeling the performance of configurable systems. Based on different alternatives to implement our approach, in terms of which type of taint analysis to use and which granularity to consider for regions, we presented two prototypes, ConfigCrusher and Complex. Our evaluation of ConfigCrusher and Complex demonstrated that a white-box analysis can be used to efficiently build accurate and interpretable performance-influence models. However, using a dynamic taint analysis and measuring the performance of methods as regions, which is how Complex was implemented, can scale the analysis to medium- and large-scale configurable systems.

Chapter 4

White-box Performance Debugging in Configurable Systems

In Chapter 2, we discussed existing research indicating that global performance-influence models provide useful, yet limited, information for debugging the performance of configurable systems in the implementation. In Chapter 3, we used a white-box analysis to efficiently build accurate and interpretable global performance-influence models, which can help developers understand how options affect the end-to-end performance of configurable systems. In this chapter, we propose to design and evaluate debugging tools to help developers in the process to debug the performance, in the implementation, of configurable systems.

Our white-box approach to model the performance of configurable systems (Chapter 3) builds local performance-influence models for regions in the systems. We conducted an empirical exploratory evaluation, which demonstrates that these models are a useful tool for debugging, as they indicate where options affect the performance in configurable systems and help navigate more targeted components when debugging performance. While these models help locate where options affect the performance of a system, the models do not indicate *how* options, in the implementation, affect the performance of the regions.

To further help developers understand how options affect the performance of configurable systems in the implementation, we propose to develop new tool support to provide developers with relevant information for inspecting, understanding, and debugging the performance of configurable systems. We propose to guide the design of the tool support based on user studies that explore the process that developers follow and the information needs that they have when debugging the performance of configurable systems. Subsequently, we propose to conduct a user study to validate the usefulness of all the tools that we present in this thesis to help developers in the process of debugging the performance of configurable systems.

In summary, we make the following contributions:

- A discussion of the usefulness of local performance-influence models to identify how options affect the performance of systems in the implementation.
- Proposed work: The results of a user study to understand the process that developers follow and the information needs that they have when debugging the performance of configurable systems.

- Proposed work: Tool support, guided by the insights from our user study, that provides relevant information to help developers in the process of debugging the performance of configurable systems.
- Proposed work: The results of a user study validating the usefulness of the tools that we provide in this thesis.

The rest of this chapter is organized as follows: We first explore the usefulness of local performance-influence models to understand how options affect the performance of a system in the implementation (Sec. 4.1). Based on the information and lack thereof provided by local models, we set out to explore, through a user study, the process that developers follow and the information that they need to debug the performance of configurable systems (Sec. 4.2). Based on preliminary results, we outline potential tool designs to provide that information (Sec. 4.3). Finally, we propose to conduct a user study to validate the usefulness of the information that we provide to debug the performance of configurable systems. (Sec. 4.4).

The work in this chapter is derived in part from our ASE Journal’20 article "ConfigCrusher: Towards White-box Performance Analysis for Configurable Systems" [Velez et al., 2020a].

4.1 Exploratory Analysis of Local Models

The global performance-influence models that we generate with our white-box technique (Chapter 3) can help developers understand the influence of options on the end-to-end performance of a system. Ideally though, the models would also indicate *where* the influence of options occurs in the implementation and *how* options influence, in the implementation, the performance of those locations in the system. Currently, however, developers would probably need to navigate the entire code base to answer those questions.

In Chapter 3, we presented local performance-influence models, which can further help developers debug the performance of configurable systems, as the models indicate *where* the influence of options occurs in the implementation.

To investigate the usefulness of local performance-influence models to understand how options affect the performance behavior of a system *in the implementation*, we conducted an exploratory study of the local models of the smaller systems we evaluated in Chapter 3. Specifically, we examined local performance-influence models and analyzed their corresponding regions in the code. We classified regions according to how options affect the regions’ performance, determined how many options influence the performance of the regions, and identified the code structures that cause the performance changes in the regions.

Table 4.1 summarizes the analysis of the local performance-influence models and their corresponding regions. The local models helped us identify that the influence of options on performance can be localized to a few regions in a system, where only subsets of all options interact. Additionally, we easily located these regions in the source code to further analyze their performance behavior.

The performance behavior of the regions was caused by options influencing a loop or a control-flow statement within a loop, which either manipulated data structures, performed I/O operations, or caused threads to sleep. The structures that caused the performance behavior in

Table 4.1: Analysis of options in local performance-influence models.

System	PNIO		Performance influenced by options			
	NEG	Non-NEG	Regions	Min ID	Max ID	Structure
	Regions	Regions				
Pngtastic Counter	13	2	0	N/A	N/A	Loop, I/O
Pngtastic Optimizer	3	1	3	3	3	Loop, I/O
Grep	0	0	1	6	6	Loop
Kanzi	19	2	2	6	6	Loop, I/O
Email	7	0	4	2	8	Loop, Sleep
Prevayler	22	1	5	2	5	Loop, I/O
Sort	0	0	1	8	8	Loop
Density Converter V1	8	1	1	8	8	Loop, I/O

PNIO: Performance not influenced by options; NEG: Negligible execution time (region which contribute $< 5\%$ of the execution time of the system); ID: Interaction degree.

a region were sometimes located in the same method where the region was instrumented (e.g., a loop performing I/O operations). In other cases, though, we found, by navigating through the code, that the structures were located in other methods called by the region (e.g., a loop that calls methods that perform I/O operations).

In the vast majority of regions, the control-flow statements were *indirectly* influenced by configuration options; options were not directly used those statements. Rather, the objects, collections, or variables used in those statements were manipulated by options, *often outside of the region*. That is, the local models helped us localize *where* options affect the performance behavior of a system (symptom), but the reasons for *how* options manipulate objects, collections, or variables that are propagated through the system to regions are, in the vast majority of cases, located in other parts of the system (the causes of the symptom). Fig. 4.2 shows an example of the difference between *where* and *how* options affect performance. Nevertheless, locating the corresponding regions of local models helped us to navigate the code to find and understand how options affect the performance behavior of the system, instead of potentially analyzing the entire system if we did not have that white-box information.

The analysis of the source code also helped us debug surprising performance behaviors between the documentation and actual implementation of options. For instance, we discovered that two options of Pngtastic Counter did not affect performance as we expected based on the documentation. The valid range of one option was $0.0 - 1.0$, and we conjectured that the system would behave differently when different values are selected. However, a control-flow statement where this option was used always executed the same branch if the value was > 0 . Locating *where and how* the option is used, allowed us to debug these inconsistencies, which are common in configurable systems [Cashman et al., 2018; Han and Yu, 2016; He et al., 2020; Rabkin and Katz, 2011; Xu et al., 2013].

Discussion

While local performance-influence models helped us to further understand how options affect the performance of configurable systems, by locating *where* options influence performance, we had to manually navigate the code to identify *how* options affect the objects, collections,

or variables used in those regions.

To further help developers understand how options affect the performance of configurable systems in the implementation, we propose to develop new tool support to provide developers with relevant information for inspecting, understanding, and debugging the performance of configurable systems. The information that we provide, from global and local performance-influence models, and additional tool support, contributes to the thesis goal of reducing the energy consumption and operational costs of running configurable systems since the information will help developers debug configuration-related performance behaviors.

4.2 Proposed Work: Explore Information Needs

To ground the additional information that we will provide developers to debug the performance of configurable systems, we propose to conduct two users studies to *explore the process that developers follow and the information needs that they have* when (1) they begin debugging the performance of a configurable system and (2) they debug, later in the debugging process, after identifying potential options that affect the performance of certain regions, how options influence the performance of specific hotspot regions in the system. The insights from the first study will help us identify how global and local performance-influence models can help developers in the process of debugging the performance of configurable systems. The insights from the second study will guide our design of new tool support to provide relevant information to debug the performance of configurable systems. Overall, the studies will help us understand the process that participants follow and the information they need to understanding *which, where, and how* options affect the performance of a system.

Since both studies focus on understanding how developers debug the performance of configurable systems at different stages of the process (at the beginning and once developers have more information about the performance behavior of the system), we propose to conduct the studies together as two parts of a performance debugging study. The study asks participants to help a user understand why a system is taking some specific time to execute with a configuration.

Participant recruitment. We propose to conduct the study with developers and researchers with various levels of experience in performance analysis and debugging, and working with configurable systems. We have already conducted 14 studies with researches/developers working in academia and expect to recruit at least 5 developers working in industry.

Analysis. We propose to analyze the studies using quantitative and qualitative research methods [Saldaña, 2015; Schreier, 2012] used in related research [LaToza et al., 2007; LaToza and Myers, 2010; Lawrance et al., 2013; Scaffidi et al., 2011]. We propose to transcribe and code the studies to identify the process that developers follow and the information needs that they have.

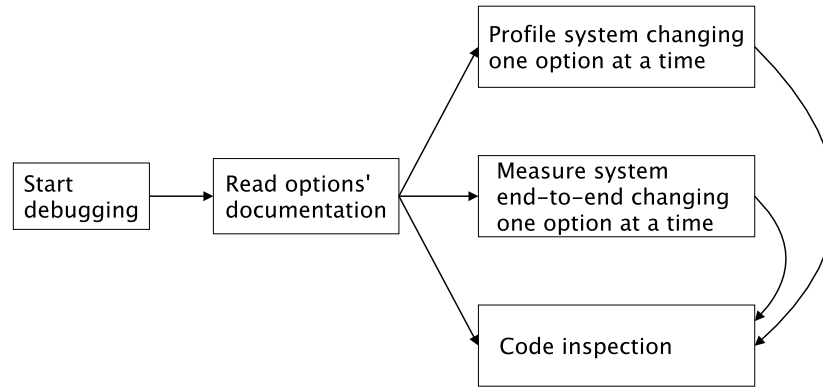


Figure 4.1: Preliminary results of the process that developers follow and information that they collect to debug the performance of a configurable system.

Proposed work: We propose to conduct and analyze two users studies to explore the process that developers follow and the information needs that they have when (1) they begin debugging the performance of a configurable system (Study 1.1) and (2) they debug, later in the debugging process, how options influence the performance of specific hotspot regions in the system (Study 1.2).

4.2.1 Study 1.1: Process of Debugging Performance in Configurable Systems

In the first study, we propose to explore the process developers follow and the information needs that they have when they begin debugging the performance of a configurable system. The insights that we collect from this study will help us identify how global and local performance-influence models can help developers in the process of debugging the performance of configurable system. Specifically, we seek to answer the following research questions:

RQ1.1.1: What activities do developers perform to understand the performance behavior of configurable systems?

RQ1.1.2: What information do developers need to understand the performance behavior of configurable systems?

RQ1.1.3: How do developers obtain this information?

RQ1.1.4: What are the challenging actions to understand the performance behavior of configurable systems?

Preliminary Results

Based on a simple analysis, we show the process that participants followed and information that they collected when they began debugging the performance of a configurable system in Fig. 4.1. All participants started debugging the system by reading the options' documenta-

tion, with the goal of identifying potential options that affect the performance of the system. Once they identified potential options of interest, a small number of participants inspected how those options were used in the implementation, with the goal of understanding how the options might affect the performance of the system. The majority of participants either profiled the system or measured the end-to-end performance of the system changing one option at a time, with the goal of identifying which options affect the execution time of the system. The participants that profiled the system, however, also wanted to identify the methods where the system spends the most time executing (i.e., hotspots). Once participants identified which options affected the performance of the system, they inspected the code to understand how those options were used in the system. The participants that profiled the system usually started analyzing how the options were used in the hotspots of the system and some traced how the options were propagated through the system to the hotspots.

Based on this simple analysis, we have some evidence that when most developers start debugging the performance of a configurable system, they want to first determine how options and their interactions affect the *global* performance of the system to, subsequently, analyze *how* the options with the biggest performance impact are used in the system. Hence, for the moment, we hypothesize that global and local performance-influence models are useful for these tasks, as the global models indicate *which options* have the biggest performance impact on the system and the local models indicate *where* those options affect the performance of the system.

Proposed work: We propose to finish conducting this study with developers working in industry and analyze all studies using quantitative and qualitative research methods to understand the process that developers follow and the information needs that they have when they begin debugging the performance of a configurable system.

4.2.2 Study 1.2: Debugging How Options Influence the Performance of Hotspot Regions

In the second study, we propose to explore the process that developers follow and the information needs that they have when they debug, later in the debugging process, after identifying potential options that affect the performance of certain regions, how options influence the performance of specific hotspot regions in the system. The insights that we collect from this study will guide our design of new tool support to provide relevant information to debug the performance of configurable systems. Specifically, we seek to answer the following research questions:

RQ1.2.1: What activities do developers perform to understand how options affect the performance of hotspots?

RQ1.2.2: What information do developers need to understand how options affect the performance of hotspots?

RQ1.2.3: How do developers obtain this information?

RQ1.2.4: What are the challenging actions to understand how options affect the performance of hotspots?

Preliminary Results

Based on a simple analysis, we observed that most participants were surprised that options which affected the performance of hotspots were not directly used in the hotspots regions. That is, most participants assumed that the hotspots regions had the following code structure `if(option == true) { expensiveCall() }`. Rather, as we discussed in Sec. 4.1, the hotspots were *indirectly* influenced by the option, which manipulated objects, collections, or variables used in the hotspot. That is, in the vast majority of cases, a hotspot indicates the location of the symptom (i.e., the performance behavior), but the causes of the symptom are usually located somewhere else in the code. After analyzing the hotspot, participants traced how the option affected objects, collections, or variables, *in other parts of the code*, to influence the performance of hotspots.

Participants, either manually or with their IDE's debugger, analyzed how options were propagated through the system, manipulating objects, collections, or variables. Several participants also compared performance profiles for different configurations, which provides the stack traces of the hotspots. The stack traces helped participants identify whether different calls to the hotspots were made depending on which configuration was executed.

All participants struggled to completely trace how options were propagated through the system and eventually reached the hotspots to influence the hotspots' performance. Several participants mentioned that, while comparing performance profiles was useful, identifying how profiles differed was difficult, as they had to manually compare long stack traces with long method names and signatures and different execution times. Participants indicated that they would like to see the differences in the execution time and stack traces highlighted to easily spot them. Additionally, several participants mentioned that the manual tracing was tedious and error-prone, and that they would like a tool guide them through this process.

Based on this simple analysis, we hypothesize, for the moment, that developers who debug how options influence the performance of specific hotspot regions in the system want to (a) trace how options reach hotspots and (b) compare performance profiles.

Proposed work: We propose to finish conducting this study with developers working in industry and analyze all studies using quantitative and qualitative research methods to understand the process that developers follow and the information needs that they have when they debug how options influence the performance of specific hotspot regions in the system.

4.3 Proposed Work: Tool Support to Provide Information for Debugging Performance in Configurable Systems

Based on the process that developers follow and the information needs that they have, we propose to develop tool support to address those needs to further help developers in the process of debugging the performance of configurable systems. Examples of the tool support that we may develop are, based on the preliminary results, (1) comparing performance profiles between configurations and (2) tracing how options are propagated through the system to

	Methods Traversed	Code Structures Manipulated
<pre> 1 def main(List workload) 2 a = getOpt("A"); b = getOpt("B"); 3 c = getOpt("C"); d = getOpt("D"); 4 ... 5 if(b) 6 x = true; 7 else 8 x = false; 9 foo(x); 10 ... 11 def foo(boolean x) // Region influenced 12 if(x) ... // by option B 13 else ... </pre>	main foo	main: Option B is assigned to variable b main: Variable b is used in an if statement main: Value of x depends on the variable b main: Variable x is passed as an argument to method foo foo: Variable x is passed as the argument x foo: Variable x is used in an if statement

Figure 4.2: Example of the information that we could potentially provide developers to help them trace how options are propagated through the system. We might indicate the methods that options traverse, as well as the code structures manipulated in each method. We use option B and hotspot foo as an example.

influence the performance of hotspots.

4.3.1 Example of Proposed Tool Support: Comparing Performance Profiles

Based on the preliminary results, we may compare the hotspot view of performance profiles between configurations. We could highlight the differences in stack traces in terms of the execution time and the methods that are called.

4.3.2 Example of Proposed Tool Support: Tracing Options Through the System

Based on the preliminary results, we potentially will trace how options are directly and indirectly propagated from the entry point of the system to hotspots. We could indicate the methods that options traverse to reach hotspots, as well as the objects, collections, or variables that are manipulated within each method and are passed around to influence the performance of the hotspot. Fig. 4.2 shows an example of the information that we could potentially provide developers to help them trace the options through the system.

4.3.3 Proposed Tool Support

Proposed work: We propose to develop new tool support, based on the information that we collect from our user studies, that will provide developers with relevant information to debug the performance of configurable systems in the implementation.

4.4 Proposed Work: Study 2: Validate Tool Support

We propose to conduct a user study to validate the usefulness of the tools presented in this thesis to help developers debug the performance of configurable systems. Specifically, we propose to validate the usefulness of the existing tool support that we provide: (1) global and (2) local performance-influence models, and (3) the additional tools that we develop. The study will help us validate how useful the information provided by the tools is for developers to understand *which, where, and how* options affect the performance of a system. Specifically, we seek to answer the following research questions:

RQ2.1: To what extent do global performance-influence models help developers understand the influence of configuration options on the performance of a system?

RQ2.2: To what extent do local performance-influence models help developers locate and understand the influence of configuration options on the performance of methods?

RQ2.3: To what extent does additional tool support help developers understand how options influence the performance of hotspots?

Study design. We propose to conduct the same performance debugging study that we conduct in our exploratory studies in Sec. 4.2. However, we will provide participants with global and local performance-influence models, and any additional tool support, and will encourage them to use the tools to debug the performance of the system.

We propose to *not* have a control group in this study. Despite some time to collect the information with our tools, the tools automatically provide developers with information to debug the performance of configurable systems. By contrast, developers without tools *interactively* collect that information (e.g., running and profiling the system with multiple configurations), which our exploratory studies have shown to take a significant amount of time. Additionally, all participants struggled to understand how options affect the performance of hotspots, even after we told them which hotspots to focus on and the options that affect the performance of the hotspots. Hence our exploratory studies have shown that without tools and information, debugging takes a long time, whereas we now seek to demonstrate, in this study, that participants who have tools and information available to them, up front, can solve the task.

Participant recruitment. Similarly to our exploratory studies, we propose to conduct the study with developers and researchers with various levels of experience in performance analysis and debugging, and working with configurable systems. We expect to recruit at least 10 researchers/developers working in academia.

Analysis. Similarly to our exploratory studies, we propose to analyze the studies using quantitative and qualitative research methods [Saldaña, 2015; Schreier, 2012] used in related research [LaToza et al., 2007; LaToza and Myers, 2010; Lawrance et al., 2013; Scaffidi et al., 2011]. We propose to transcribe and code the studies to identify how developers use the tools that we provide in this thesis in the process of debugging the performance of configurable systems.

Proposed work: We propose to conduct and analyze a user study to validate the usefulness of the tools that we present in this thesis to help developers debug the performance of configurable systems, namely (1) global and (2) local performance-influence models, and (3) the additional tools that we develop.

Thesis contribution: We propose to demonstrate that global and local performance-influence models, and additional tool support that we propose to develop, are useful for developers in the process of debugging the performance of configurable systems, which helps reduce the energy consumption and operational costs of running systems.

4.4.1 Proposed Optional Work: Study 3: Validate Tool Support in the Field

To further validate the usefulness of the tools that we present in the thesis to help developers debug real bug reports and real systems, we propose, *depending on availability and opportunity*, to evaluate our tools in the field with practitioners working in industry or open-source communities. There are several study designs that we could conduct.

Ideally, practitioners will independently use our tools to debug the performance of their own configurable systems. In this design, we will instrument the tools to collect information of how developers use the tools to determine how useful the tools are. We could compliment the study with surveys or interviews to further understand how developers used the tools when debugging the performance of their systems. This study design would take a significant time to perform and we would lose control of how practitioners use our tools, but the design would help us demonstrate that our tools can be independently used to debug the performance of real configurable systems. However, conducting such a study would require a significant amount of engineering effort for developers to independently use the tools, making it not the best viable option.

Alternatively, we could work with a few practitioners to help them debug a bug report in their own configurable systems. In this design, we would help practitioners to set up and use our tools while we observe them debugging their own systems. Afterwards, we would interview the practitioners to get their perspectives on the usefulness of the tools. This study design, however, would be biased, as we will be interacting with practitioners as they use our tools, but the design would help us demonstrate that our tools are useful in real systems and real bug reports.

Another option is to conduct case studies, where we use the tools to debug open-source configurable systems. In this design, we would select bug reports from mailing lists or issue trackers and debug the systems ourselves. Subsequently, we will respond to the bug reports indicating any findings, misconfigurations, or bug fixes. While this study design does not involve practitioners using our tools, the design would provide some evidence that developers

who are unfamiliar with a system can use our tools to debug the performance of configurable systems.

Depending on availability and opportunity, we propose to conduct one of the study design options outlined.

Proposed optional work: Depending on availability and opportunity, we propose to conduct a user study to validate the usefulness of the tools that we present in this thesis with practitioners working in industry or open-source communities.

4.5 Summary

In this chapter, we explored the usefulness, and limitations, of local performance-influence models to understand how options affect the performance of a system in the implementation. Based on the limitations, we proposed to develop additional tool support to help developers debug the performance of configurable systems in the implementation. We proposed to guide the design of the tool support based on two user studies to explore the process that developers follow and the information needs that they have when debugging the performance of configurable systems at different stages of the debugging process. Finally, we proposed to validate the usefulness of all the tools that we present in this thesis to help developers in the process of debugging the performance of configurable systems.

Chapter 5

Research Plan

In this chapter, we summarize the remaining steps and estimate the time to complete the thesis.

At the time of writing, the work presented in Chapter 3 about ConfigCrusher is completed and published [Velez et al., 2020a]. Additionally, the work presented in Chapter 3 about Comprax is completed [Velez et al., 2020b], but still under review. We estimate the time to polish the writing for the conference submission to be **2 weeks**. To polish the evaluation of ConfigCrusher and Comprax, we propose to (1) evaluate both prototypes against the same state of the art approaches and (2) evaluate Comprax with all subject systems. We estimate time to set up and run the experiments to be **2 weeks**.

The exploratory analysis of local performance-influence models presented at the beginning of Chapter 4 is completed and published [Velez et al., 2020a].

The major missing component of the proposed thesis is providing and validating additional tool support to debug the performance of configurable systems, discussed in Chapter 4. The remaining steps are:

- Conduct a user study to explore the process that developers follow and the information needs that they have when debugging the performance of configurable systems at different stages of the debugging process (Study 1.1 and Study 1.2). We have already conducted 14 studies with researches/developers working in academia and expect to recruit at least 5 developers working in industry. We estimate the time to recruit and conduct the studies with the remaining participants to be **1 month**.
- Quantitatively and qualitatively analyze the studies to identify the process and information needs of developers when debugging the performance of configurable systems. We estimate the time to complete this analysis to be **1 month**.
- Design and develop new tool support, based on the findings of our user studies, to help developers understand how options affect, in the implementation, the performance of configurable systems. We estimate the time to implement our tool to be **6 months**.
- Conduct a user study to validate the tools presented in this thesis to help developers debug the performance of configurable systems (Study 2), namely (1) global and (2) local

performance-influence models, and (3) the additional tools that we develop. We estimate the time to recruit and conduct the study to be **2 months**. Depending on availability and opportunity, we might conduct one of the discussed validation studies in the field (optional Study 3). We will start attempting to conduct this optional study in parallel with Study 2.

- Finally, we reserve another **1 month** to write and submit the work to a major conference.

Finally, we reserve **2 months** for writing and defending the proposed thesis. In summary, we expect to finish the proposed thesis in **13 months**.

5.1 Risks

Some potential risks of the remaining work of this thesis are:

- **We cannot recruit experienced developers in industry for Study 1.1 and Study 1.2.** There is a possibility that we might not be able to recruit developers in industry who have experience debugging performance on configurable systems. To mitigate this risk, we plan to contact people in our professional network to direct us to potential participants. After conducting the studies with those participants, we will ask them to point us to additional people to gather more participants. As a last resort, we could interview additional developers/researchers in academia to potentially obtain additional perspectives.
- **We cannot validate the tools presented in this thesis in the field (Proposed optional Study 3).** There is a possibility that we do not have the opportunity to deploy our tools in the field to validate their usefulness to debug real systems and real bug reports. We discussed a few options in Chapter 4 to mitigate this risk, such as helping developers to use our tools to debug their systems or performing case studies where we debug open-source systems.

5.2 Final dissertation outline

We structured this proposal document to highlight the work that we have already completed and the work that we propose to conduct to finish the proposed thesis. We propose the outline of the final dissertation document to be:

- Introduction
- Information needs for understanding and debugging the performance of configurable systems

- Exploratory study of the process that developers follow and information needs that they have when debugging the performance of configurable systems (Study 1.1 and Study 1.2).
- State of the art on performance analysis of configurable systems
- White-box performance modeling of configurable systems
 - Key insights for efficient and accurate performance modeling of configurable systems
 - Components for modeling the performance of configurable systems
 - Design decisions for modeling the performance of configurable systems
 - ConfigCrusher
 - Comprex
 - Evaluation of prototypes
 - Discussion of design decisions
 - Validation study of the usefulness of global and local performance-influence models for debugging the performance in configurable systems (part of Study 2)
- White-box performance debugging in configurable systems
 - Additional tool support
 - Validation study of the usefulness of the above tool support for debugging the performance in configurable systems (part of Study 2)
- Conclusion and future work

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