The Security and Performance of the Galois/Counter Mode (GCM) of Operation

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Abstract. The recently introduced Galois/Counter Mode (GCM) of operation for block ciphers provides both encryption and message authentication, using universal hashing based on multiplication in a binary finite field. We analyze its security and performance, and show that it is the most efficient mode of operation for high speed packet networks, by using a realistic model of a network crypto module and empirical data from studies of Internet traffic in conjunction with software experiments and hardware designs. GCM has several useful features: it can accept IVs of arbitrary length, can act as a stand-alone message authentication code (MAC), and can be used as an incremental MAC. We show that GCM is secure in the standard model of concrete security, even when these features are used. We also consider several of its important system-security aspects.

1 Introduction

The Galois/Counter Mode (GCM) of operation for block ciphers was designed to meet the need for an authenticated encryption mode that can efficiently achieve speeds of 10 gigabits per second and higher in hardware, can perform well in software, and is free of intellectual property restrictions. It was recently submitted to several standards venues, including the NIST Modes of Operation process [18], IEEE 802.1AE Link Security [21], where it is the mandatory-to-implement cryptoalgorithm in the current draft standard, and IPsec [24]. In the following, we consider its performance and security.

The counter mode of operation (CTR) has become the mode of choice for high speed applications, because it can be efficiently pipelined in hardware implementations. However, it provides no message authentication. GCM incorporates CTR and builds on it by adding a message authentication code (MAC) based on universal hashing [25, 16]. It uses polynomial hashing in the finite field $GF(2^w)$, the core operation of which is multiplication by a fixed field element. The binary field multiplication can be implemented easily in hardware, and can be made surprisingly efficient in software via table-driven methods. Additionally, GCM can be used as a stand-alone MAC, and can be used as an incremental MAC [3].

This paper is structured as follows. In Section 1.1 we review existing work on authenticated encryption with associated data (AEAD) methods [19]. In Section 2 we briefly review the GCM definition. In Section 3 we analyze and describe its performance in hardware are software, and compare it to other AEAD modes of operation. In Section 4, we review our analysis of GCM in the concrete model; this paper is an extended abstract, and proofs of our results are provided in the full version. In Section 5 we consider several important system-security aspects.

1.1 Overview of Authenticated Encryption Modes

Recently, many authenticated encryption modes have been proposed, because of the efficiency and usability benefits of the combined approach. The first such mode was Jutla's IAPM (Integrity-Aware Parallelizable) mode [13]. The better known OCB (Offset Code Book) mode [20] is a refinement of IAPM. Both of these modes are parallelizable, making them suitable for high-speed hardware implementations (though they cannot take complete advantage of pipelining; see Section 3). Independently, Gligor and Donescu proposed several authenticated encryption modes [11]. All of the above modes are covered by patents, which has motivated some other work in this space. CCM [26] uses a single key and combines CTR mode with CBC-MAC to produce an authenticated encryption scheme. However, CCM is not suited to high-speed implementations, because CBC-MAC is neither pipelinable nor parallelizable. EAX [8] is a patent-free mode similar to CCM, combining CTR with the OMAC [12] variant of CBC-MAC. OMAC cannot be pipelined or parallelized, so neither can EAX. However, EAX solves some minor issues unique to CCM: it is not on-line, meaning that the message length must be known before one can start processing the message, and there are cases in which it does not preserve word alignment. CWC mode [15] is both patent-free and fully parallelizable; it combines CTR with a MAC based on a universal hash function over $GF(2^{127}-1)$. Due to its use of an integer multiply operation, CWC is relatively expensive to implement in hardware.

One useful feature of many authenticated encryption schemes is the ability to authenticate associated data that is not part of the message, such as packet headers. IAPM and OCB are the only two modes we have discussed that have no facilities for this. Another interesting feature, introduced by EAX, is the ability to accept arbitrary-length IVs (most modes use IVs no longer than the cipher block width). This facility increases the usability of the mode, but has the disadvantage of requiring additional processing - particularly in hardware, where a pipeline stall caused by IV processing can significantly impact throughput. GCM supports arbitrary sized IVs, but is optimized for the 12-byte case. As with most modes, GCM uses a single key, supports additional authenticated data, preserves data alignment in all cases, and is on-line.

GCM's design draws from several sources. It uses CTR for encryption, and uses a polynomial hash, like CWC, but with a relatively inexpensive binary field. Its architecture follows that of the Universal Security Transform [17], which enables it to be efficiently pipelined.

2 GCM Definition

We briefly review the definition of GCM, closely following its specification [18], but considering a block cipher with a width of $w \ge 64$ bits, instead of focusing on the 128-bit wide Advanced Encryption Standard (AES) [23]. We assume that w is even. The two main functions that GCM uses are block cipher encryption and multiplication over the field $GF(2^w)$; it defines a particular field, but its details are irrelevant to our analysis. The block cipher encryption of the value $X \in \{0,1\}^w$ with the key K is denoted as E(K,X). The multiplication of two elements $X,Y \in GF(2^w)$ is denoted as $X \cdot Y$, and the addition of X and Y is denoted as $X \oplus Y$. The function len(S) takes a bit string S with a length between zero and $2^{w/2} - 1$, inclusive, and returns a w/2-bit string containing the nonnegative integer describing the number of bits in its argument, with the least significant bit on the right. The expression 0^l denotes a string of l zero bits, and $A \parallel B$ denotes the concatenation of two bit strings A and B. The function $MSB_t(S)$ takes a bit string S and returns the bit string containing only the leftmost t bits of S, and the symbol $\{\}$ denotes the bit string with zero length.

The authenticated encryption operation takes as inputs a secret key K, initialization vector IV, a plaintext P, and additional authenticated data A, and gives as its outputs a ciphertext C and an authentication tag T. These values are bit strings with lengths given as follows:

$$0 \le \operatorname{len}(P) \le (2^{32} - 2)w$$

$$0 \le \operatorname{len}(A) \le 2^{w/2}$$

$$0 < \operatorname{len}(IV) \le 2^{w/2}$$

$$\operatorname{len}(C) = \operatorname{len}(P)$$

$$\operatorname{len}(T) = t < w,$$

$$(1)$$

where the parameter t is fixed for each instance of the key. The secret key has a length appropriate to the block cipher, and is only used as an input to that cipher. For each fixed value of K, each value of the IV must be distinct, but those values need not have equal lengths. The authenticated decryption operation has five inputs: K, IV, C, A, and T, as defined above. It has only one output, either the plaintext value P or the special symbol **FAIL** that indicates that its inputs are not authentic.

During the encryption and decryption processes, the bit strings P, C, and A are broken up into w-bit blocks. We let n and u denote the unique pair of positive integers such that the total number of bits in the plaintext is (n-1)w+u, where $1 \le u \le w$, when $\operatorname{len}(P) > 0$; otherwise n = u = 0. The plaintext consists of a sequence of n bit strings, in which the bit length of the last bit string is u, and the bit length of the other bit strings is w. The sequence is denoted $P_1, P_2, \ldots, P_{n-1}, P_n^*$, and the bit strings are called data blocks, although the last bit string, P_n^* , may not be a complete block. Similarly, the ciphertext is denoted as $C_1, C_2, \ldots, C_{n-1}, C_n^*$, where the number of bits in the final block C_n^* is u. The additional authenticated data A is denoted as $A_1, A_2, \ldots, A_{m-1}, A_m^*$,

where the last bit string A_m^* may be a partial block of length v, and m and v denote the unique pair of positive integers such that the total number of bits in A is (m-1)w+v and $1 \le v \le w$, when len(A) > 0; otherwise m=v=0. The authenticated encryption operation is defined by the following equations:

$$H = E(K, 0^{w})$$

$$Y_{0} = \begin{cases} IV \parallel 0^{31} 1 & \text{if len}(IV) = w - 32 \\ \text{GHASH}(H, \{\}, IV) & \text{otherwise.} \end{cases}$$

$$Y_{i} = \text{incr}(Y_{i-1}) \text{ for } i = 1, \dots, n$$

$$C_{i} = P_{i} \oplus E(K, Y_{i}) \text{ for } i = 1, \dots, n - 1$$

$$C_{n}^{*} = P_{n}^{*} \oplus \text{MSB}_{u}(E(K, Y_{n}))$$

$$T = \text{MSB}_{t}(\text{GHASH}(H, A, C) \oplus E(K, Y_{0}))$$

$$(2)$$

Successive counter values are generated using the function incr(), which treats the rightmost 32 bits of its argument as a nonnegative integer with the least significant bit on the right, and increments this value modulo 2^{32} . More formally, the value of incr(F||I) is $F||(I+1 \mod 2^{32})$. The function GHASH is defined by GHASH $(H,A,C) = X_{m+n+1}$, where the inputs A and C are formatted as described above, and the variables X_i for $i=0,\ldots,m+n+1$ are defined as

$$X_{i} = \begin{cases} 0 & \text{for } i = 0\\ (X_{i-1} \oplus A_{i}) \cdot H & \text{for } i = 1, \dots, m-1\\ (X_{m-1} \oplus (A_{m}^{*} || 0^{w-v})) \cdot H & \text{for } i = m\\ (X_{i-1} \oplus C_{i-m}) \cdot H & \text{for } i = m+1, \dots, m+n-1\\ (X_{m+n-1} \oplus (C_{n}^{*} || 0^{w-u})) \cdot H & \text{for } i = m+n\\ (X_{m+n} \oplus (\text{len}(A) || \text{len}(C))) \cdot H & \text{for } i = m+n+1. \end{cases}$$
(3)

3 Performance

We considered the performance of various modes of operation of the AES-128 block cipher in both hardware and software. We use a simple model of a network crypto module in order to analyze the performance of different AEAD modes under realistic conditions. The module consists of a device that accepts a continuous stream unprotected data packets on one interface and then outputs the stream of encrypted and authenticated packets out another interface. We assume that the key is present in the module, and that the mode and data encapsulation are fixed, in order to focus on the data processing performance. We assume that the module contains a clock which runs at a fixed rate. In general, the number of clock cycles C(s) required to process a packet with s bytes varies as a function of s. We assume that the packet sizes are distributed probabilistically, where the probability of having size s is $\mathbf{P}[S=s]$. The expected number of clocks per byte C of the module is $C = \sum_s C(s) f(s)$, where

$$f(s) = \frac{\mathbf{P}[S=s]}{\sum_{r} r\mathbf{P}[S=r]}$$
(4)

is the expected fraction of bytes that are carried in packets of size s. The function f(s) is important because it can be empirically observed. Studies of Internet traffic reveal a predominance of small packets, with peaks in the distribution of packet sizes at 44, 552, 576, and 1500 bytes, and very few packets with larger sizes [9], reflecting the nature of the TCP/IP protocol family. About half of the data on the Internet is carried in packets of 576 bytes or less, and most of the remainder is carried in packets of about 1500 bytes. We defined the *Internet Performance Index* (IPI) as the expected number of bits processed per clock cycle when the packet distribution has the values f(1500) = .6, f(576) = .2, f(552) = .15, and f(44) = .05, using data from [9]. This index is a useful indicator of the performance of a crypto module that protects IP traffic using e.g. the Encapsulating Security Payload (ESP) [14] in tunnel mode, the protocol which underlies most Virtual Private Networks.

3.1 Hardware

A typical high-speed AES-128 implementation consists of a pipeline of ten units, each of which implements a single AES round. At each clock cycle, data moves from one unit to the next, and 128 bits enter the pipeline and the same number leave the pipeline as output. In the following, we describe and analyze the best GCM, CWC, and OCB implementations that use a single instance of this AES pipeline. We disregard the other modes, since they use cipher block chaining and thus cannot be implemented in this manner. We require that packets be processed sequentially, rather than concurrently, because the complexity and circuit cost of the concurrent approach makes sequential processing more desirable in practice. In our performance analysis we determine the value of C(s) for each mode and tabulate the results, and also compare the circuit costs for the modes. Data from multiple packets may be in the module simultaneously. To account for this fact, we measure C(s) by counting the number of cycles between the time when the last data from one protected packet leaves the module and when the initial data from the next protected packet leaves the module. Our hardware implementation model is not detailed, but it very effectively reveals the effects of pipeline stalls on performance; a stall occurs when a circuit is not generating outputs for some number of clock cycles.

GCM can easily take advantage of the AES pipeline (Figure 1, top), as long as a 96-bit IV is used (as is recommended for high-speed implementations). We use a finite-field multiplier over $GF(2^w)$ that executes in a single clock cycle. An important property of the mode is that the counter Y_0 that is used to encrypt the GHASH output can immediately follow the other counters through the AES pipeline, so that after the plaintext is encrypted, only one additional clock is needed to compute the authentication tag. Thus GCM can achieve $C(s) = \lceil s/16 \rceil + 1$ by having the data from each packet immediately follow that of the previous packet through the pipeline. CWC is similar but requires an additional AES encryption to process the authentication tag. This causes a

pipeline stall of 10 clock cycles during which the tag passes through the AES pipeline; thus for CWC, $C(s) = \lceil s/16 \rceil + 11$.

In OCB (Figure 1, bottom), the AES pipline is used in three distinct ways: to encrypt the IV, to encrypt the plaintext, and to compute the authentication tag. The pipeline stalls for ten clock cycles while the IV is being encrypted. After that computation, the stall continues for another ten clock cycles, until the plaintext that is being encrypted appears at the output of the pipeline as ciphertext. After all of the plaintext has been encrypted, the 'checksum' value is encrypted; this operation requires only a single clock cycle, because the data from the IV-encryption of the next packet can follow the data from the checksum-encryption through the pipeline. Thus OCB can achieve $C_{\rm OCB} = \lceil s/16 \rceil + 21$. In Table 1,

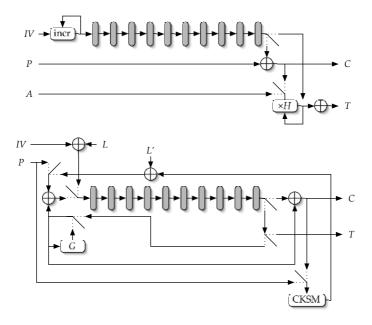


Fig. 1. Pipelined high-speed implementations of AES-128 GCM (top) and AES-128 OCB (bottom). During each clock cycle, 128 bits of data move across each arrow. Some details have been omitted for clarity

we compare the GCM, CWC, and OCB implementations described above. Various data sizes are included, along with the Internet Performance Index, and throughput is shown in bits per clock cycle. GCM excels the other modes in every category, especially at shorter lengths, because it keeps its pipeline full. In a crypto module that can process 128 bits per clock cycle, an ten-cycle pipeline stall has a considerable opportunity cost: 160 bytes could be encrypted during that time. GCM performance on the IPI is over twice that of CWC and over three times that of OCB. The circuit cost of GCM is higher than that of OCB because of its finite-field multiplier, but GCM is still the most economical mode

for high-speed operation. Even in the unlikely case that this multiplier required a circuit as large as the entire AES pipeline, a single GCM instance would have higher throughput on Internet data than three OCB implementations, while having less total circuit area. The cost of a single-clock $GF(2^{128})$ -multiplier has been estimated at 30% of the cost of the AES-128 pipeline; a detailed analysis of this cost is beyond the scope of this paper. The circuit cost of CWC is significantly higher than that of GCM because it uses an integer multiplier rather than a binary-field multiplier.

Table 1. Hardware performance in bits per clock cycle, with three significant digits, for a variety of packet sizes and the Internet Performance Index (IPI)

Bytes	16	20	40	44	64	128	256	552	576	1024	1500	8192	IPI
GCM	64.0	71.1	91.4	93.9	102	114	120	124	124	126	127	128	77.7
CWC	10.7	13.1	23.7	25.6	34.1	53.9	75.9	97.0	98.0	109	115	125	35.3
OCB	5.82	7.19	13.6	14.8	20.5	35.3	55.4	79.6	80.8	96.4	105	123	22.8

3.2 Software

We tested software implementations of GCM, EAX, CCM, CWC, and OCB, each instantiated with the AES-128 cipher [23]. We also included CBC with HMAC-SHA1 to represent common current practice. We used the best available implementation of each mode, modified to use the fastest available AES implementation. All experiments took place on a 1Ghz Motorola G4 CPU using the GNU C compiler version 3.3 with full optimization. In this environment, AES-128 itself ran at 25 cycles per byte¹. Qualitatively similar results were found on an Intel P4 [10], though CWC performed better on that CPU². We tested GCM with both of the GHASH implementation strategies described in its specification, using 256 byte and 4Kb tables with Shoup's method [22] and 64Kb with the straightforward method. Table 2 shows our results. GCM has the best performance for the Internet Performance Index and on packets up to 576 bytes, while OCB has the best performance on larger packets. This result is easy to understand: OCB uses one more AES encryption per packet, while GCM does a $GF(2^w)$ -multiply operation per block that OCB does not. The point at which their performance is equal reflects the number of multiplies that can be done in the time taken for a single AES encryption.

3.3 Other Applications

GCM can be used in an authentication-only mode, in which the data to be authenticated is included in A and the plaintext has zero length. In this mode

¹ Faster implementations have been reported for some CPUs, but are not publicly available. The table-driven GHASH algorithm, which uses the same basic operations as AES, may be able to benefit from similar implementation techniques.

² Gladman's Intel implementations used Bernstein's floating-point multiplication techniques [6], which provide significant advantages on some processors.

Table 2. Software performance in bits per kilocycle (or equivalently, megabits per second on a 1GHz processor) to three significant digits, on various packet sizes, and the Internet Performance Index (IPI), for various AES-128 modes of operation. GCM256, GCM4K, and GCM64K refer to GCM with 256, 4K, and 64K byte table sizes, respectively. The highest entry in each column is highlighted

Bytes	16	20	40	44	64	128	256	552	576	1024	1500	8192	IPI
GCM64K	136	167	227	253	223	263	267	273	273	266	266	258	268
GCM4K	116	140	190	207	192	213	229	237	233	239	247	240	240
GCM256	88.4	107	148	160	177	162	171	183	184	181	183	182	182
OCB	89.5	85.7	140	150	185	225	255	261	265	273	275	282	260
CWC	45.7	51.9	73.4	75.5	88.1	104	116	127	126	131	124	135	121
EAX	46.0	44.9	73.4	80.0	102	129	148	157	160	165	167	174	156
CCM	91.3	88.9	123	133	142	171	163	168	168	174	172	175	168
CBC-HMAC	6.3	8.0	15.2	16.6	23.4	39.0	64.5	96.0	97.0	117	129	156	88.6

(called GMAC), GCM has even more compelling advantages over most other modes because it avoids calling the block cipher once per block of data. (CWC is the only other mode with this property.) For instance, GMAC in our software test environment can process 1500-byte packets in 10.2 cycles per byte, whereas PMAC, a stand-alone MAC based on OCB [7], requires 27.6 cycles per byte.

The Secure Real-time Transport Protocol (SRTP) encrypts and authenticates real-time traffic, such as conversational voice, at the transport layer [1]. Typical plaintext sizes for this traffic include 20 bytes for the G.729 encoding, and 80 bytes for the G.721 encoding; GCM's performance on short packets makes it ideal for this application.

4 Security

The security of GCM stands on a single cryptographic conjecture: the block cipher E is assumed to be a secure pseudorandom permutation (PRP). To paraphrase Occam, we do not multiply conjectures beyond necessity. This requirement is met when E cannot be distinguished from a random permutation by an adversary that can choose its inputs and view its outputs. To formalize this idea, we use standard definitions from concrete security analysis, following [4]. The permutation oracle has the same interface as does the block cipher E with a fixed key. It takes as input a plaintext in $\{0,1\}^w$ and returns a ciphertext in $\{0,1\}^w$. We consider the experiment in which the adversary is given access to a permutation oracle and is challenged to determine whether it is the block cipher E with a randomly selected key (we denote this event as B_E), or a random permutation (which we denote as B_E^c). Each of these cases occurs with probability of 1/2. During the experiment, the adversary makes queries to the oracle and receives its responses. Afterwards, the adversary returns a bit that indicates its guess as to the content of the oracle. We denote as D the event that it guesses that B_E occurred, and denote as D^c the guess that B_E^c occurred.

We define the distinguishing advantage A_E as the adversary's true positive probability less her false positive probability, that is,

$$A_E = \mathbf{P}[D \mid B_E] - \mathbf{P}[D \mid B_E^c]. \tag{5}$$

Here we use the conventional notation that $\mathbf{P}[\mathcal{X}]$ denotes the probability that the event \mathcal{X} occurs, and $\mathbf{P}[\mathcal{X} \mid \mathcal{Y}] = \mathbf{P}[\mathcal{X} \cap \mathcal{Y}]/\mathbf{P}[\mathcal{Y}]$ denotes the probability that \mathcal{X} occurs, given that the event \mathcal{Y} has occured. We also use $\mathcal{X} \cap \mathcal{Y}$ to denote the event in which both events \mathcal{X} and \mathcal{Y} occur, and use \mathcal{X}^c to denote the complement of \mathcal{X} , that is, the event that \mathcal{X} does not occur. We make the simplifying assumption that $A_E > 0$, because an adversary that is consistently wrong can turn itself into one that is consistently right by just inverting its output. Thus the value A_E ranges between 0 and 1, inclusive.

Our model for the security of an AEAD system follows Rogaway [19]. The authenticated encryption oracle models the GCM authenticated encryption operation. It takes as input the bit strings IV, A, and P and returns the bit strings C and T, whose lengths obey the restrictions of Equations 1. The authenticated decryption oracle accepts inputs of the form (IV, A, C, T) and returns as its outputs either the special symbol FAIL or the plaintext P, where all of the bit strings are as defined above. We let the adversary choose the IVs, but assume that she is nonce-respecting and will not submit the same IV value to the same oracle multiple times (though she is free to submit a value to both oracles). We allow the adversary to interleave queries to these oracles. For our definition of confidentiality, we use the indistinguishability of ciphertext from random under a chosen plaintext attack and indistinguishability of plaintext from random under a chosen ciphertext attack. This strong definition has been shown to be equivalent to several other definitions [2]. Under these assumptions, GCM encryption is secure if an adversary presented with these oracles cannot tell if they contain GCM with a randomly selected key (we denote this event as B_{GCM}) or if C and T are a random function of the other inputs (which we denote as B^c_{GCM}). Each of these cases occurs with probability 1/2. Because GCM is not a generic composition of a cipher and a MAC, we cannot use the results of Bellare and Namprempre [5]. Most importantly, the use of the same secret value H for both hashing the IV and for computing the authentication tag provides the adversary a potential attack vector against confidentiality. For this reason, we need to give adversary access to the authenticated decryption oracle.

GCM uses E as a pseudorandom function (PRF). In our analysis, we make use of the well-known result on the use of a PRP as a PRF [4]. Our definition of PRF security considers the experiment in which we are given access to the function oracle, and are challenged to determine whether it contains a true random function or a PRF. That oracle has the same interface as does the permutation oracle; unlike that oracle, the function oracle may not be invertible. We use the convention that $B_{\rm PRF}$ denotes the PRF case and $B_{\rm PRF}^c$ denotes the random function case. The advantage of a PRF-distinguisher is given by $A_{\rm PRF} = \mathbf{P}[D \mid B_{\rm PRF}] - \mathbf{P}[D \mid B_{\rm PRF}^c]$. The distinguishing advantage against a PRF is similar to that against a PRP, and has similar properties. The following Lemma bounds $A_{\rm PRF}$ in terms of A_E .

Lemma 1 (A PRP can be a Good PRF). The advantage A_{PRF} of an adversary in distinguishing a w-bit PRP E from a random function is bounded by $A_{PRF} \leq A_E + q(q-1)2^{-w-1}$, where A_E is the adversary's advantage in distinguishing E from a random permutation, and the value q is the number of queries to the function oracle.

Theorem 1 (GCM Encryption Is Secure). If there is an adversary that can distinguish GCM encryption from a random function with advantage A_{GCM} , when the output of that function is limited to q queries to the authenticated encryption and decryption oracles, where the total number of plaintext bits processed is l_P and where $len(C) + len(A) \le l$ and $len(IV) \le l_{IV}$ for each query, then that adversary can distinguish E from a random permutation with advantage A_E , where

$$A_E \ge A_{GCM} - (l_P/w + 2q)^2 2^{-w-1} - q((l_P/w + 2q)\lceil l_{IV}/w + 1\rceil 2^{1-w} + \lceil l/w + 1\rceil 2^{-t}).$$
(6)

This result is similar to that for counter mode, with a term that is quadratic in $l_{\rm P}$. It also has a term that is linear in both $l_{\rm P}$ and $ql_{\rm IV}$, which is due to the fact that collisions in the counter values are more likely when the lengths of the IVs that are hashed becomes greater. This term is dominant when $ql_{\rm IV}>l_{\rm P}$. The implication is that when long IVs are used, fewer queries should be made before a key is changed. However, in most cases $l_{\rm IV}$ will be no greater than l, and thus the accommodation of variable length IVs comes at negligible security cost.

The authentication tag size t affects the security of GCM encryption, but its effect is relatively weak. The term containing 2^{-t} in the bound on A_E does not dominate that value as long as t is greater than about $w - \lg (q \lceil l/w \rceil + \lceil l_{\text{IV}}/w \rceil)$.

4.1 Authentication

We use the standard model for the security of a MAC in the presence of a chosen-message attack, in which an adversary is given access to a tag generation oracle and a message/tag verification oracle. The adversary can pass messages to the tag generation oracle and construct any message/tag pairs that it likes and send these to the verification oracle. Queries to the oracles can be interleaved by the adversary, if desired. The forgery advantage $F_{\rm GCM}$ is the probability that the adversary can get the verification oracle to accept a message/tag pair other than one generated by the tag generation oracle, after making q queries to the tag-generation oracle and the verification oracle.

Theorem 2 (GCM Authentication Is Secure). An adversary with forgery advantage F_{GCM} against GCM, when q, l_P , l and l_{IV} are as defined in Theorem 1, has a distinguishing advantage A_E against the pseudorandom permutation E used in GCM of at least $F_{GCM} - (l_P/w + 2q)^2 2^{-w-1} - q((l_P/w + 2q + 1)\lceil l_{IV}/w + 1\rceil 2^{1-w} + \lceil l/w + 1\rceil 2^{-t})$.

Like most authentication modes, the forgery advantage has a term that is quadratic in the amount of data that is authenticated. This term is dominant whenever many short messages are processed, as is typical for network crypto modules. When very long messages are processed, the term proportional to l will dominate. This term is characteristic of MACs that are based on universal hashing.

4.2 AES GCM Security

To tie our analysis to current practice, we apply it to the AES GCM specification for IPsec [24], for which $l_{\rm IV}=96$ and t=96. Any of the AES key lengths (of 128, 192, and 256 bits) can be used; for each variant, the block width w=128. We use the typical Internet maximum packet size of 1500 bytes ($l \leq 12000$). The security of AES-N-GCM (for N=128, 192, or 256) is captured in the following corollary.

Corollary 1. If there are no attacks against AES-N that can distinguish it from a random permutation with advantage greater than A_{AES-N} , and no more than q packets are processed, then

- there are no distinguishing attacks against AES-N-GCM that work with distinguishing advantage greater than $A_{AES-N} + q^2 2^{-116} - q 2^{-89.4}$, and
- there are no forgery attacks against AES-N-GCM that work with forgery advantage greater than $A_{AES-N}+q^22^{-116}-q2^{-89.4}-q2^{-128}$.

In these equations, the key size appears implicitly in the value of $A_{\text{AES-}N}$. To provide a concrete example, these results show that, if AES is indistinguishable from a random permutation, and fewer than 2^{48} packets are protected, then the attacker's advantage is no more than 2^{-18} .

5 Other Security Aspects

We next consider system-security aspects. Having shown GCM secure when used properly, we consider what can go wrong. One often overlooked aspect of mode security is the consequence of IV misuse. It is well known that reusing a key/IV pair in CTR results in a loss of confidentiality for the messages that used the common IV value. Since GCM is built on top of CTR, it shares this property. However, the reuse of an IV in the GCM authenticated encryption operation (e.g. on the sender's side) causes even worse problems. It allows the attacker to solve for the underlying GHASH key H, making subsequent forgeries trivial and also enabling the attacker to choose IVs that will cause colliding counters. However, the reuse of an IV in the authenticated decryption operation (on the receiver's side) does not cause this problem. If an attacker convinces a receiver to decrypt multiple messages with the same IV, she still cannot exploit this situation to glean information about H efficiently. Fortunately, it is often comparatively easy for a sender to protect against IV reuse, for example, by using

a simple message counter as an IV. Additionally, GCM's ability to accept an arbitrary-length IVs makes it easier to ensure all IVs are unique, by including any possible distinguishing information, no matter how verbose. Interestingly, CWC avoids some of these issues by using the underlying block cipher to encrypt the output of its universal hash function. But this aspect of its design is responsible for causing the pipeline stalls that significantly degrade CWC's performance.

It is possible that $H=E(K,0^w)=0$, and in this case, GHASH $(H,A,C)=0^w$ for all values of A and C. If E behaves as a random permutation, then the expected number of keys for which $H=0^w$ is the fraction 2^{-w} times the number of keys. This fact does not degrade the effectiveness of the message authentication; it is implicitly dealt with in the proof of security. When $H=0^w$, the authentication tags will not be predictable; that case is no easier to detect than any other value of the key. However, that value causes all IVs to hash to the same value (if 96-bit IVs are not used). For this reason, some users may want to avoid using that key, e.g. by using the convention that H is set to a fixed value whenever the zero value is detected at key setup time. Of course, that key is so unlikely to arise in practice that its effect on the bounds in the security proofs are negligible, and it is equally reasonable not to bother to check for it.

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