

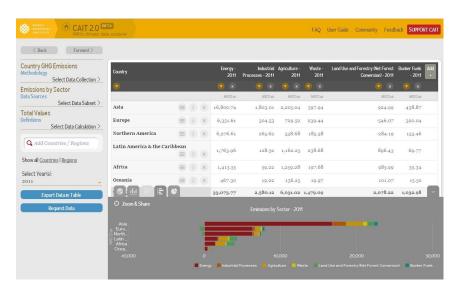
CAIT 2.0: COUNTRY GREENHOUSE GAS SOURCES & METHODS

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World Resources Institute

This document accompanies CAIT 2.0: WRI's Climate Data Explorer. http://cait2.wri.org

About this Document
This document describes the country greenhouse gas (GHG) emissions data sources, descriptions, and methodologies included in CAIT 2.0.



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List of Acronyms

CDIAC Carbon Dioxide Information Analysis Center (of the U.S. Dept. of Energy)

CH₄ Methane

CO₂ Carbon Dioxide

EIA Energy Information Administration (of the U.S. Dept. of Energy)

EPA United States Environmental Protection Agency

EU European Union

FAO Food and Agriculture Organization of the United Nations

GDP Gross Domestic Product

GHG Greenhouse Gas

GWP Global Warming Potential

HFC Hydrofluorocarbon

IEA International Energy Agency

IPCC Intergovernmental Panel on Climate Change

LUCF Land-use Change and Forestry

N₂O Nitrous Oxide

OECD Organization for Economic Co-operation and Development

PFC Perfluorocarbon SF₆ Sulfur Hexafluoride UN United Nations

UNFCCC United Nations Framework Convention on Climate Change

WRI World Resources Institute

1. Introduction

Effectively addressing climate change requires quality data and information. With this need in mind, the World Resources Institute (WRI) developed the Climate Analysis Indicators Tool (CAIT) — a data and analysis tool designed to help inform policy discussions and decisions under the United Nations Framework Convention on Climate Change (UNFCCC) and other forums.

CAIT 2.0 builds on the strengths of WRI's original CAIT¹ in providing a trusted and reliable online data platform, while taking advantage of advances in information technology to provide a more streamlined, intuitive, and user-friendly experience. CAIT 2.0 draws on key climate-relevant data from respected research centers, government agencies, and international bodies. As of May 2014, the platform contains sector-level greenhouse gas (GHG) emissions data for 185 countries for the period 1990-2011, including emissions of the six major GHGs from most major sources and sinks. It also contains historical country-level carbon dioxide (CO₂) emissions data going back to 1850, and energy sub-sector CO₂ emissions data going back to 1971.

With respect to country-level GHG emissions, there are several sources of emissions data widely used by the climate policy and science communities. The data reported directly by countries to the UNFCCC through their national GHG inventories generally include a six-gas inventory. That is, estimates by source and sector of CO₂ and non-CO₂ gases, where applicable. Non-CO₂ gases include methane (CH₄), nitrous oxide (N₂O), and F-gases (hydrofluorocarbons – HFCs; perfluorocarbons – PFCs; and sulfur hexafluoride – SF₆). WRI compiles data from a variety of non-governmental sources (see table, below), not to replace those data reported by countries to the UNFCCC, but to complement them. Our data sources are chosen based on criteria such as completeness and relative accuracy and country datasets are produced by applying a consistent methodology.

This supporting documentation to CAIT 2.0 describes the sources and methodologies used to compile the country GHG estimates included in CAIT 2.0. Other relevant supporting documentation can be found on the CAIT website (http://cait2.wri.org).

¹ The original CAIT, launched in 2003, was frequently cited in news articles, policy briefs, and government documents, and was regularly used to inform policy discussions within the United Nations Framework Convention on Climate Change and other forums – affirming its status as one of the most trusted sources of policy-relevant climate data. Unfortunately, CAIT was the victim of a hacking incident that took it offline in 2012 and resulted in a near total loss of our file system and database. All the information we have indicates that the hack was simply an automated act of vandalism, identical to attacks on numerous other web sites built with technology similar to that used by the old CAIT. Moreover, we've seen no evidence to suggest that CAIT was targeted specifically for its content or because of the work of the World Resources Institute.

Emission Sou	rce Key:
CDIAC	Boden, T.A., G. Marland, and R.J. Andres. 2013. Global, Regional, and National Fossil-Fuel CO ₂ Emissions. Carbon Dioxide Information Analysis Center, Oak Ridge National Laboratory, U.S. Department of Energy, Oak Ridge, Tenn., U.S.A. doi 10.3334/CDIAC/00001_V2013. Available online at: http://cdiac.ornl.gov/trends/emis/overview_2010.html .
EIA	U.S. Energy Information Administration (EIA). 2013. International Energy Statistics Washington, DC: U.S. Department of Energy. Available online at: http://www.eia.gov/countries/data.cfm .
EPA	U.S. Environmental Protection Agency (EPA). 2012. "Global Non-CO ₂ GHG Emissions: 1990-2030." Washington, DC: EPA. Available at: http://www.epa.gov/climatechange/EPAactivities/economics/nonco2projections.html .
FAO	Food and Agriculture Organization of the United Nations (FAO). 2013. FAOSTAT. Rome, Italy: FAO. Available at: http://faostat3.fao.org/faostat-gateway/go/to/download/G2/*/E
IEA	International Energy Agency (IEA). 2013. CO ₂ Emissions from Fuel Combustion (2013 edition). Paris, France: OECD/IEA. Available online at: http://data.iea.org/ieastore/statslisting.asp . © OECD/IEA, [2013].

1.1. Countries & Regions in CAIT 2.0

CAIT 2.0 includes *country*-level GHG emissions data for 185 countries that are Parties to the UNFCCC². This covers all UNFCCC Parties except Andorra, Liechtenstein, Marshall Islands, Micronesia, Monaco (combined with France), San Marino (combined with Italy), Somalia, Tuvalu, Timor-Leste, South Sudan, and Palestine. For these countries, there are generally inadequate emissions data. The European Union is also included as a "country" in CAIT 2.0 because the European Community (a unit of the EU) is a Party to the Convention.

A total of 15 of regions are also included in CAIT:³

- 1. 6 UN geographic regions: Africa, Asia, Europe, Oceania, Latin America & the Caribbean, Northern America and 2 other geographic regions: Middle East and North Africa, Sub-Saharan Africa
- 2. 6 UNFCCC party groupings: G-77 and China, Least Developed Countries, Alliance of Small Island States, EU 28, UNFCCC Annex I, UNFCCC Non-Annex I and 1 other grouping: G8

To the extent possible, CAIT includes emissions from all greenhouse gases and major emission sources for each country. Thus, as described in this document, data sources cover CO₂ emissions from energy, cement manufacture, and land-use changes as well as non-CO₂ gases. For a given country, as many as five GHG data sources may be used (including sector-level data).

² See UNFCCC, Parties to the Convention and Observer States, Available online at https://unfccc.int/parties and observers/parties/items/2352.php

³ See http://cait2.wri.org for a full listing of regions and their definitions.

2. CO₂ from Fossil Fuels and Cement Manufacture

This section describes the decision criteria, data sources, and methodologies used to compile the CO_2 emissions data in CAIT (excluding CO_2 emissions from land-use change and forestry).

2.1. CO₂ from Fossil Fuels: Data Sources and Selection Criteria

There are several sources of CO₂ emissions from fossil fuels widely used by the climate policy and science communities, including databases provided by the Carbon Dioxide Information Analysis Center (CDIAC)⁴, the International Energy Agency (IEA), the UNFCCC, and the Energy Information Administration (EIA). In deciding which database to use for CAIT 2.0, we adopted several criteria. Overall, *completeness* is an important overarching feature of CAIT. Accordingly, two important criteria include geographic coverage and temporal coverage of the data. Wide geographic coverage promotes comparability *across countries*, whereas wide temporal coverage enables comparability *over time*. A third criterion used is accuracy of the data.

Table 1. Evaluating Carbon Dioxide Databases Scoring: *= Low; **** = High					
Database	Geographic Coverage	Temporal Coverage	Accuracy		
CDIAC	****	****	**		
	Almost all countries†	(1751 - 2011)			
IEA	***	***	***		
	139 countries	(1971 - 2011)			
UNFCCC	**	*	****		
	Annex I; many others missing	(1990 - 2011)			
EIA	****	**	**		
	Almost all countries†	(1980 - 2011)			

Abbreviations. CDIAC (Carbon Dioxide Information Analysis Center); IEA (International Energy Agency); EIA (Energy Information Administration); UNFCCC (United Nations Framework Convention on Climate Change). **Sources**. CDIAC is Boden et al., 2013; IEA, 2013; UNFCCC, 2013; EIA, 2013.

Of the databases mentioned above, none score high for all criteria. Table 1 shows rough assessments relating to coverage and accuracy for databases that include CO₂ from fossil fuels. In terms of completeness, CDIAC's database scores highest (for both geographic and temporal coverage). IEA's database has the least country coverage, but it still covers more than 130 countries.

With respect to accuracy, UNFCCC data are regarded as the most accurate. These data are found in official submissions by Parties under the Climate Convention. UNFCCC inventories are generally meticulously prepared by national experts according to standardized methodologies and agreed upon criteria. These criteria include *transparency* (clear assumptions and methodologies), *consistency* (across time), *comparability* (across Parties), *completeness* (all sources and sinks, as well as gases) and *accuracy* (no systematic over- or under-estimation) (UNFCCC, 2000). Annex I (industrialized) countries tend to have excellent coverage from 1990 to 2011. For non-Annex I (developing) countries, most countries have reported at least one national communication from which inventory data can be derived. However, there may be gaps in temporal coverage since national communications are not submitted on an annual basis and/or may be missing source categories.

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[†] Even these databases exclude certain Parties to the UNFCCC, namely Liechtenstein, Marshall Islands, Micronesia, Monaco (included with France), San Marino (included with Italy), and Tuvalu. Thus, unfortunately, these Parties have not been included in CAIT 2.0.

⁴ CDIAC refers to Boden et al. 2013.

The UNFCCC datasets illustrate a clear trade-off between completeness and accuracy. WRI has dealt with this trade-off by excluding UNFCCC data from the country data reported in CAIT 2.0. However, as with the old CAIT, we provide a separate data collection within the CAIT 2.0 platform which has *only* UNFCCC data, so that users may compare "official" country-provided estimates and "unofficial" GHG estimates.

IEA's database also scores relatively high regarding accuracy. This is due to several factors, including the use of recognized Intergovernmental Panel on Climate Change (IPCC) methodologies, the wealth of available documentation explaining methodologies, and the use of both bottom-up (sectoral) and top-down (reference) emission estimates.

The ratings for accuracy are also influenced by WRI's own previous analysis of the datasets. This involved analyzing the degree to which CO₂ estimates from CDIAC,⁵ EIA, and IEA (reference approach) differ from one another ("outlier" analysis), as well as analyzing how close these datasets are to UNFCCC inventories of CO₂ emissions from fossil fuels ("closeness" analysis). There are obvious weaknesses and limitations to these kinds of analyses (e.g., an outlying data point may in fact be more accurate than figures in the other datasets which may be clustered around the same value). However, they did reveal cases where one database deviated significantly from others, signaling possible inaccuracies or unexplained inconsistencies. Some results are summarized here:

- IEA has the fewest overall "outliers" (across a range of error parameters).
- IEA data has the closest matches to UNFCCC data (and also explains some discrepancies).
- EIA tends to have the highest CO₂ values, followed by IEA (mid), then CDIAC (lowest).

To maximize the benefits of the different databases, while promoting completeness and accuracy, CAIT compiles data for CO₂ from fossil fuels in the following way:

- **IEA**. For all (34) industrialized (OECD) countries and 100 developing countries, IEA data are used in CAIT 2.0 for the years 1971 to 2011. Overall, IEA data covers 134 of 186 countries in CAIT. As of 2014, IEA's *sectoral* approach has been used (rather than *reference* approach) to represent *National Totals* in CAIT 2.0 (See Section 6).
- CDIAC. CDIAC data are used from 1850 to 1970 for all countries which have data available. Data prior to 1850, though available from CDIAC for some countries, was excluded due to especially limited geographic coverage (CDIAC covers around 15 CAIT countries in 1850, 35 CAIT countries in 1900, and 153 CAIT countries in 1970). For 50 countries that lack IEA data, CDIAC data is used up to 2010.
- **EIA**. For Lesotho, neither CDIAC nor IEA provided any CO₂ data. Thus, EIA data are used from 1980 to 2011. *EIA* is also used for the year 2011 in the reported national totals (See Section 6) for 50 countries (including Lesotho) that lack CDIAC and IEA data.

This approach has advantages and disadvantages. On the one hand, "filling" the gaps from different data sources improves the ability to make cross-country comparisons and related analyses. Yet comparability can be endangered when data points from different sources (using different methodologies) are placed side-by-side. Here, data covering the past two decades is mostly from IEA; however 50 countries are from CDIAC (and one from EIA). Similarly, the time-series data for ALL countries might include a "stitch" between 1970 and 1971 where the source changes from

⁵ Cement data was removed from CDIAC for the purposes of this analysis.

CDIAC to IEA, and for the 50 countries (all of which are non-Annex I countries) between 2010 and 2011 where the source changes from CDIAC to EIA.

However, these shortcomings may not be especially problematic. In many cases the difference between data for a "stitch year" is not particularly significant. Also, the 50 countries using EIA data for 2011 are generally small countries (together constituting about 0.2 percent of 2011 global emissions. In any case, users should be aware of "stitch years," particularly when performing a trend analysis.

Box 1. Information on Key CO2 Data Sources used in CAIT

CDIAC (1751-2010). CDIAC estimates rely on historical records of coal, brown coal, peat, and crude oil production (as well as imports and exports) by country and year. Estimates from more recent years (1950 to present) are derived primarily from energy statistics compiled from questionnaires distributed by the UN Statistical Office and supplemented by official national statistical publications. A more complete description of methodologies and sources is available from the Carbon Dioxide Information Analysis Center. See Boden et al. (2013) in References.

<u>EIA (1980-2011)</u>. The United States Energy Information Administration estimates CO₂ emissions by country and year, based on energy balances. A very limited amount of information on the data, methodology, and sources, as available from EIA (2013), is available in <u>References</u>.

IEA (1971-2011). IEA's Sectoral Approach contains total CO₂ emissions from fuel combustions as calculated using the IPCC Tier 1 Sectoral Approach and corresponds to IPCC Source/Sink Category 1 A. Emissions calculated using a Sectoral Approach include emissions only when the fuel is actually combusted. Also, international marine bunkers and international aviation bunkers (IPCC Source/Sink Category 1A 3 d i; 1 A 3 a i) are not included in Sectoral Approach estimates in the national level. For more information, see IEA (2013) in References.

2.2. CO₂ from Cement Manufacture

Carbon dioxide is a well-known byproduct of cement manufacturing (as cement is calcined to produce calcium oxide). Estimates of CO₂ emitted during cement production, based on data from the U.S. Geological Survey, are available from CDIAC (Boden et al., 2013).⁶

These estimates of CO₂ from cement manufacture, which cover 1928 to the present, are included in CAIT 2.0's CO₂ National Totals (See Section 6) for 1990-2011, although data for 2011 are considered preliminary and are only available for 66 countries and World total.⁷ CO₂ from cement manufacture can also be viewed under the *Industrial Processes* indicator in CAIT 2.0 (see Section 5.2).

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⁶ This is an important difference between CDIAC and IEA databases.

⁷ For more information, see http://cdiac.ornl.gov/trends/emis/meth_reg.html.

2.3. Methodology for Historical CO₂ Emissions Estimates

Country boundaries have changed significantly over the past century, particularly during the years following World War I and II and the early 1990s. Considering that CAIT uses CO₂ emissions data going back to the 1800s, a method was required to apportion historical carbon emissions in accordance with today's geographic boundaries. In total, WRI has made historical estimates for more than 50 countries whose borders have changed throughout the past 150 years. These include former Soviet Republics, former Yugoslav Republics, Germany (formerly split in two countries), as well as present-day countries that formerly belonged to colonial territories like French Indochina and French West Africa. This section describes the methodology employed and summarizes all estimates made relating to international borders changes (see Table 2). The methodology described below was employed separately for CO₂ from fossil fuels and CO₂ from cement manufacture, the two source categories described in the preceding sections.

For newly formed countries, such as the independent republics of the former Soviet Union, the share of carbon emissions for the years prior to country formation is estimated based on each country's carbon emissions in the five years immediately following its formation (or for the first five years which data are available). Specifically, the four step methodology below is used to make historical emission estimates for newly formed countries:

- 1. Add the emissions together for the first five years *after* independence or for which data are available (e.g., 1990-94 for Kazakhstan, a former Soviet Republic).
- 2. Add these five year totals together for all newly formed countries (e.g., sum *all* former Soviet Republics' emissions from 1990-94).
- 3. Divide the figure obtained in step 1 by the figure obtained in step 2. This yields a percentage "share" of emissions for each newly created country.
- 4. Apply the share of emissions obtained in step 3 to all pre-independence emissions data.

For example, Kazakhstan's emissions in 1990-94 were about 1,144 million tonnes of carbon dioxide equivalent (MtCO₂e) (step 1). Emissions for all former Soviet Republics in 1990-94 were about 15,889 MtCO₂e (step 2). Thus, Kazakhstan's share of Soviet emissions is calculated to be 7.2 percent (step 3). To estimate Kazakhstan's emissions prior to 1990 (when Kazakhstan was part of the Soviet Union), 7.2 percent is multiplied by the Soviet Union's emissions for any given year.

In step 1 above, five years is chosen rather than a single year. This is done to get a smoother average, rather than taking a single year of data, which may not be representative because of economic and social disruption that often accompanies border changes. A longer period was not used, since data many years after independence may poorly reflect that country's relative emissions share prior to independence (of course, this might also be the case even for the five year period used). Generally, there is no precise way to attribute historical emissions to countries when they did not exist. (This is one of several reasons why some governments and observers object to using historical data). Accordingly, the estimates made by WRI (or others) should be considered only rough approximations.

For countries that have *united*—like Germany, Yemen, and Vietnam—the methodology is more straightforward and less subjective: emissions from the former constituent countries are simply added together. For example, the historical emissions of East and West Germany (prior to 1991) are

attributed to present-day Germany. Table 2 below summarizes the estimates made for newly formed countries.

Former country(s)	Newly formed country(s)	Newly-Forme Dates estimates made	Emissions data available for new country(s)	Years used to determine shares	Estimated share of former country's emissions	
A.C					Fossil Fuels	Cemen
A. Countries Divided						
U.S.S.R		4050 4000	4000	4000.04	0.407	0.407
	Armenia	1850-1989	1990	1990-94	0.4%	0.4%
	Azerbaijan	1850-1989	1990	1990-94	1.4%	0.7%
	Belarus	1850-1989	1990	1990-94 1990-94	3.1%	2.5%
	Estonia	1850-1989	1990 1990	1990-94	0.8% 0.7%	0.7% 0.3%
	Georgia	1850-1989	1990		7.2%	4.7%
	Kazakhstan	1850-1989	1990	1990-94	0.5%	0.9%
	Kyrgyzstan Latvia	1850-1989 1850-1989	1990	1990-94 1990-94	0.5%	0.4%
	Lithuania	1850-1989	1990	1990-94	0.4%	1.3%
	Moldova	1850-1989	1990	1990-94	0.7%	0.3%
	Russian Federation	1850-1989	1990	1990-94	61.5%	62.5%
	Tajikistan	1850-1989	1990	1990-94	0.2%	0.3%
	Turkmenistan	1850-1989	1990	1990-94	1.1%	1.1%
	Ukraine	1850-1989	1990	1990-94	17.6%	17.3%
	Uzbekistan	1850-1989	1990	1990-94	3.7%	6.7%
	_	Note: Cement share				
Yugoslavia						
	Bosnia & Herzegovina	1890-1989	1990	1990-94	15.1%	3.6%
	Croatia	1890-1989	1990	1990-94	16.4%	35.4%
	Macedonia	1890-1989	1990	1990-94	8.2%	9.8%
	Serbia & Montenegro ⁸	1890-1989	1990	1990-94	47.6%	33.8%
	Slovenia	1890-1985	1986	1990-94	12.7%	17.3%
Note: Due to differ	ent data availability, an addition	al share calculation for	1986-1990 was require	ed; cement shares use	1992-96.	
Pakistan						
	Pakistan	1946-70	1971	1971-75	82.4%	97.0%
	Pakistan Bangladesh	1946-70 1946-70	1971 1971	1971-75 1971-75	82.4% 17.6%	97.0% 3.0%
(East and West)	Bangladesh		1971		17.6%	97.0% 3.0%
(East and West)	Bangladesh Czech Republic	1946-70 1860-1970	1971 1971	1971-75 1971-75	78.3%	3.0%
(East and West) Czechoslovakia	Bangladesh Czech Republic Slovakia	1946-70 1860-1970 1860-1970	1971 1971 1971	1971-75 1971-75 1971-75	17.6%	3.0%
(East and West) Czechoslovakia Note: For cement,	Bangladesh Czech Republic	1946-70 1860-1970 1860-1970	1971 1971 1971	1971-75 1971-75 1971-75	78.3%	3.0%
(East and West) Czechoslovakia Note: For cement,	Bangladesh Czech Republic Slovakia estimates were made from 1928	1946-70 1860-1970 1860-1970 to 1992, using 1993-97	1971 1971 1971 7 to determine the shar	1971-75 1971-75 1971-75 res.	78.3% 21.7%	3.0% 64.4% 35.6%
(East and West) Czechoslovakia Note: For cement,	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North)	1946-70 1860-1970 1860-1970 to 1992, using 1993-97	1971 1971 1971 7 to determine the shar 1945	1971-75 1971-75 1971-75 res.	78.3% 21.7% 31.5%	3.0% 64.4% 35.6%
(East and West) Czechoslovakia Note: For cement, Korea (United)	Bangladesh Czech Republic Slovakia estimates were made from 1928	1946-70 1860-1970 1860-1970 to 1992, using 1993-97	1971 1971 1971 7 to determine the shar	1971-75 1971-75 1971-75 res.	78.3% 21.7%	3.0% 64.4% 35.6%
(East and West) Czechoslovakia	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South)	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44	1971 1971 1971 7 to determine the shar 1945 1945	1971-75 1971-75 1971-75 res. 1948-52 1948-52	17.6% 78.3% 21.7% 31.5% 68.5%	3.0% 64.4% 35.6% 75.9% 24.1%
(East and West) Czechoslovakia Note: For cement, Korea (United)	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South)	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44	1971 1971 1971 7 to determine the shar 1945 1945	1971-75 1971-75 1971-75 res. 1948-52 1948-52	17.6% 78.3% 21.7% 31.5% 68.5% 3.8%	3.0% 64.4% 35.6% 75.9% 24.1%
(East and West) Czechoslovakia Note: For cement, Korea (United)	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63	1971 1971 1971 7 to determine the shar 1945 1945 1964 1964	1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5%
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South)	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44	1971 1971 1971 7 to determine the shar 1945 1945	1971-75 1971-75 1971-75 res. 1948-52 1948-52	17.6% 78.3% 21.7% 31.5% 68.5% 3.8%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5%
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63	1971 1971 1971 7 to determine the shar 1945 1945 1964 1964 1964	1971-75 1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8%
(East and West) Czechoslovakia Note: For cement, Korea (United)	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63	1971 1971 1971 7 to determine the share 1945 1945 1964 1964 1964	1971-75 1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73 1964-73	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8%
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic Chad	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63	1971 1971 1971 7 to determine the shart 1945 1945 1964 1964 1964 1964 1959 1959	1971-75 1971-75 1971-75 1971-75 1948-52 1948-52 1964-73 1964-73 1964-73 1959-63 1959-63	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8% 17.5% 14.3%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8% n/a n/a
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic Chad Congo	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63 1950-58 1950-58	1971 1971 1971 7 to determine the shart 1945 1945 1964 1964 1964 1964 1959 1959 1959	1971-75 1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73 1964-73 1959-63 1959-63 1959-63	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8% 17.5% 14.3% 47.9%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8% n/a n/a n/a
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland French Equat'l Africa	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic Chad	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63	1971 1971 1971 7 to determine the shart 1945 1945 1964 1964 1964 1964 1959 1959	1971-75 1971-75 1971-75 1971-75 1948-52 1948-52 1964-73 1964-73 1964-73 1959-63 1959-63	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8% 17.5% 14.3%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8%
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland French Equat'l Africa	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic Chad Congo Gabon	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63 1950-58 1950-58 1950-58 1950-58	1971 1971 1971 7 to determine the share 1945 1945 1964 1964 1964 1959 1959 1959 1959 1959	1971-75 1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73 1964-73 1959-63 1959-63 1959-63 1959-63	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8% 17.5% 14.3% 47.9% 20.3%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8% n/a n/a n/a
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic Chad Congo Gabon Benin	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63 1950-58 1950-58 1950-58 1950-58	1971 1971 1971 7 to determine the share 1945 1945 1964 1964 1964 1959 1959 1959 1959 1959	1971-75 1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73 1964-73 1959-63 1959-63 1959-63 1959-63	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8% 17.5% 14.3% 47.9% 20.3% 6.1%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8% n/a n/a n/a 0.0%
(East and West) Czechoslovakia Note: For cement, Korea (United) Rhodesia-Nyasaland French Equat'l Africa	Bangladesh Czech Republic Slovakia estimates were made from 1928 Korea (North) Korea (South) Malawi Zambia Zimbabwe Central African Republic Chad Congo Gabon	1946-70 1860-1970 1860-1970 to 1992, using 1993-97 1905-44 1905-44 1950-63 1950-63 1950-63 1950-58 1950-58 1950-58 1950-58	1971 1971 1971 7 to determine the share 1945 1945 1964 1964 1964 1959 1959 1959 1959 1959	1971-75 1971-75 1971-75 1971-75 res. 1948-52 1948-52 1964-73 1964-73 1964-73 1959-63 1959-63 1959-63 1959-63	17.6% 78.3% 21.7% 31.5% 68.5% 3.8% 36.5% 59.8% 17.5% 14.3% 47.9% 20.3%	3.0% 64.4% 35.6% 75.9% 24.1% 7.7% 41.5% 50.8% n/a n/a n/a

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 $^{^8}$ With the independence of Montenegro in 2006, the "Serbia & Montenegro" estimates here are attributed to Serbia only from 1890-1989. The emissions data from Montenegro can be viewed separately in CAIT.

Former country(s)	Newly formed country(s)	,	Emissions data available for new country(s)	Years used to determine shares	Estimated share of former country's emissions	
					Fossil Fuels	Cement
	Mali	1949-57	1959	1959-63	5.6%	0.0%
	Mauritania	1949-57	1959	1959-63	2.5%	0.0%
	Niger	1949-57	1958	1959-63	2.4%	0.0%
	Senegal	1949-57	1958	1959-63	30.6%	100.0%
French Indochina						
	Cambodia	1946-54	1955	1955-59	3.8%	0.0%
	Laos	1928-54	1955	1955-59	1.4%	0.0%
	Vietnam	1928-54	1955	1955-59	94.9%	100.0%
Note: Cement only	from 1928 to 1945; cement	t and fossil fuels from 1946	to 1954.			
Federation of Malaya-S	ingapore					
	Malaysia	1950-56	1957	1957-60	62.5%	100.0%
	Singapore	1950-56	1957	1957-60	37.5%	0.0%
Rwanda-Urundi						
	Burundi	1950-61	1962	1962-66	43.0%	n/a
	Rwanda	1950-61	1962	1962-66	57.0%	n/a
B. Countries United						
Germany	_			,		
(East and West)	Germany	1945-69	1970	n/a	100%	100%
	, data before 1970 appear t	o include only W. Germany	; cement estimates are	from 1947-1990.		
Vietnam				,		
(North and South)	Vietnam	1955-69	1970	n/a	100%	100%
Yemen				,		
(North and South)	Yemen	1950-70	1971	n/a	100%	100%
Tanganyika &				,		
Zanzibar	Tanzania	1950-69	1970	n/a	100%	100%

3. CO₂ from Land Use Change & Forestry

Previous iterations of CAIT included estimates of CO₂ from land use change and forestry (LUCF) developed by Houghton (2003a, b; 2008), including those published in the 2010 *World Development Report* (World Bank, 2010). For CAIT 2.0, we are including a new dataset, released by the Food and Agriculture Organization of the United Nations (FAO), which reports estimates of LUCF CO₂ emissions for all CAIT countries, as well as world total, from 1990-2010 (though time series for a few countries are incomplete).

The FAO Emissions-Land Use dataset includes estimates of CO₂ emissions by sources and removal by sinks from forest land, cropland, and grassland, as well as emissions of CO₂ and non-CO₂ from fires of biomass and organic soils. Specifically:

"Land Use Total contains all GHG emissions and removals produced in the different Land Use sub-domains, representing the three IPCC Land Use categories: cropland, forest land, and grassland, collectively called emissions/removals from the Forestry and Other Land Use (FOLU) sector. FOLU emissions consist of CO_2 (carbon dioxide), CH_4 (methane) and N_2O (nitrous oxide) associated with land management activities. CO_2 emissions/removals are derived from estimated net carbon stock changes in above and below-ground biomass pools of forest land, including forest land converted to other land uses. CH_4 and N_2O , and additional CO_2 emissions are estimated for fires and drainage of organic soils. Based on FAOSTAT and FRA activity data as well as on geospatial information analysis, they are computed at Tier 1 and Approach 1 of the 2006 IPCC Guidelines for National GHG Inventories. Estimates are available by country, with global coverage and relative to the period 1990-present, with annual updates." (FAO, 2014)

For the CAIT 2.0 dataset, the non-CO₂ emissions estimates from fires of biomass and organic soils are not included to avoid overlap with EPA-reported non-CO₂ emissions (see below). In addition, the 2010 value is also used for 2011 to provide a complete picture of world emissions totals.

Consequently, these data are useful as reference only and may not coincide with LUCF emissions reported by countries to the UNFCCC (these data can be viewed in the UNFCCC Annex I GHG Emissions data collection) and the Houghton data. More generally, users should note that the errors and uncertainties associated with these (and other LUCF) estimates may be significant. Additional methodological information for this dataset is available on the FAOSTAT website: http://faostat3.fao.org/faostat-gateway/go/to/download/G2/*/E

4. Non-CO₂ Gases

Five non-CO₂ gases are included in CAIT 2.0: methane (CH₄), nitrous oxide (N₂O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF₆)—with HFCs, PFCs, and SF₆ being presented in CAIT 2.0 as "F-gas emissions". Estimates for these gases are drawn from EPA (2012), which reports historic and projected non-CO₂ emissions data from 1990 to 2030 in five year intervals. For CAIT 2.0, we have linearly interpolated between reported values for each of the gases to provide estimates of country, gas, and sector totals, where applicable, in the intervening years from 1990 to 2011. All non-CO₂ emissions in CAIT 2.0 (as reported by EPA, 2012) are expressed in CO₂ equivalents using 100-year global warming potentials found in the IPCC Second Assessment Report (IPCC, 1996a).

EPA (2012) provides details regarding emission collection and estimation methodologies, as well as the typically high uncertainties associated with non-CO₂ estimates. The basic approach taken by EPA is to derive emission estimates whenever possible from national sources, including national communications, country studies, inventories submitted to the UNFCCC, or other prepared publications. To improve consistency and comparability, EPA has revised the national estimates to be consistent with the IPCC Guidelines. The differences between official data submitted to the UNFCCC (either through national inventories or national circumstances) and data reported by EPA (2012) are, in most cases, not substantial. Any differences may be due to the methodologies employed by EPA to improve consistency and/or a result of revisions to national estimates reported to the UNFCCC since the release of EPA's report. As noted, CAIT 2.0 users should be aware that emissions of non-CO₂ gases have high uncertainty levels.

4.1. CH_4 and N_2O

EPA (2012) estimates of CH₄ and N₂O emissions are broken down into sector and sub-sector level detail. The *National Total* CH₄ estimates in CAIT are calculated by summing the following individual sector or subsector estimates in EPA (2012):

- Biomass Combustion
- Stationary and Mobile Combustion
- Natural Gas and Oil Systems
- Coal Mining Activities
- Other Industrial non-Agricultural Sources
- Enteric Fermentation
- Manure Management
- Rice Cultivation

- Other Agricultural Sources
- Landfilling of Solid Waste
- Wastewater
- Other Non-Agricultural Sources (Waste and Other)
- Other Energy Sources (Fugitives from Natural Gas & Oil, Fugitives from Solid Fuels)

National Total N₂O estimates in CAIT are calculated by summing the following individual sector or subsector estimates in EPA (2012):

- Biomass Combustion
- Stationary and Mobile Combustion
- Adipic Acid and Nitric Acid Production
- Other Industrial non-Agricultural Sources
- Manure Management
- Agricultural Soils

- Other Agricultural Sources
- Human Sewage
- Other Non-Agricultural Sources (Waste and Other)
- Other Energy Sources (Fugitives from Natural Gas & Oil, Fugitives from Solid Fuels)

As discussed below in Section 5, the above source categories are also compiled in CAIT 2.0 according to the sector definitions promulgated by the IPCC (1996b). It should be noted that in many cases some countries do not have data available for some of the above source categories. This may affect *National Total* estimates in CAIT 2.0. In some cases, it is difficult to discern whether a data gap exists, or whether the emissions estimate is zero.

4.2. F-Gases: HFCs, PFCs, and SF₆

EPA (2012) includes estimates of HFCs, PFCs, and SF₆ emissions. HFC estimates include emissions from refrigeration/AC, aerosols, solvents, foams, fire extinguishing, semiconductors (HFC-23), flat panel displays, and HCFC-22 production. PFCs estimates include emissions from aluminum and semiconductors (CF₄, C_2F_6 , C_3F_8 , NF₃). SF₆ estimates include emissions from semiconductors, magnesium, and electricity transmission and distribution. As discussed in <u>Section 5.2</u>, all of these emissions fall within the *Industrial Processes* sector. These totals are summed and reported together in CAIT 2.0 as a single indicator: F-gas emissions.

5. Sector-Level GHG Emissions Data

CAIT 2.0 includes *sector-level* data from 1990-2011. This section describes the framework for examining sector-level GHG emissions in CAIT 2.0.

To the extent possible, in presenting sector data in CAIT 2.0, WRI has followed the IPCC Common Reporting Framework used by the UNFCCC (IPCC, 1996b). The sectors included in CAIT are: Energy, Industrial Processes, Agriculture, Land Use Change and Forestry, Waste, and International Bunkers. Energy also includes five subsectors (e.g., Electricity/Heat). International Bunkers are shown as a sector, but separately from Energy and National Total, in accordance with IPCC Guidelines. As shown in this section, all six GHGs are included within their appropriate sectors or subsectors, so far as the data will allow.

CAIT Sector Category CAIT Sector Contents Category Gas Data Source Energy 1 Electricity & Heat 1 Electricity & heat plants (fossil fuels)	
Energy 1	
	
Electricity & Heat Electricity & heat plants (fossil fuels)	
Executery & freat Plants (1000)	
- Public plants (electricity, heat, CHP) 1 A 1 a CO ₂ IEA	
- Autoproducers (electricity, heat, CHP) 1 A CO ₂ IEA	
Other Energy Industries (fossil fuels) 1 A 1 b,c CO ₂ IEA	
Manufacturing & Const. Manufacturing & Const. (fossil fuels) 1 A 2 CO ₂ IEA	
Transportation Transportation (fossil fuels) 1 A 3 CO ₂ IEA	
Other Fuel Combustion ² Other Sectors (fossil fuels) 1 A 4 CO ₂ IEA	
Biomass Combustion 1 A 5 CH ₄ , N ₂ O EPA	
Stationary and Mobile Sources 1 A 5 CH ₄ , N ₂ O EPA	
Fugitive Emissions Gas Venting/Flaring 1 B 2c CO ₂ EIA	
Oil & Natural Gas Systems 1 B 2 CH ₄ EPA	
Coal Mining 1 B 1 CH ₄ EPA	
Other Energy Sources 1 B 1, 2 CH4, N ₂ O EPA	
Industrial Processes Cement 2 A 1 CO ₂ CDIAC	
Adipic and Nitric Acid Production 2 B 2,3 N ₂ O EPA	
Other Industrial non-Agriculture 2 CH ₄ , N ₂ O EPA	
All Fluorinated Gases 2 HFCs,PFCs,SF ₆ EPA	
$ \frac{\text{Agriculture}^3}{\text{Enteric Fermentation}} $ Enteric Fermentation 4 A CH ₄ EPA	
Manure Management 4 B CH ₄ , N ₂ O EPA	
Rice Cultivation 4 C CH ₄ EPA	
Agricultural Soils 4 D N ₂ O EPA	
Other Agricultural Sources 4 CH ₄ , N ₂ O EPA	
Land Use Change & Forestry Land Use Total (Forest land, cropland, 5 CO ₂ FAO	
grassland and biomass burning)	
Waste Landfills (Solid Waste) 6 A CH ₄ EPA	
Wastewater Treatment 6 B CH ₄ EPA	
Human Sewage 6 B N ₂ O EPA	
Other Non-Agricultural Sources (Waste 6 D CH ₄ , N ₂ O EPA	
and Other)	
<u>International Bunkers</u> Aviation Bunkers 1 A 3ai CO ₂ IEA	
Marine Bunkers 1 A 3di CO ₂ IEA	

Sources: IPCC, 1996b; IEA, 2013; EPA 2012. Notes:

¹ Refers mainly, but not exclusively to electricity and heat (including CHP) produced by entities whose primary activity is to supply the public. Here, this category also includes *autoproducers* and *other energy industries*. *Autoproducers* generate electricity, wholly or partly for their own use as an activity which supports their primary activity. They may be privately or publicly owned. Ideally these emissions should be allocated to the sector for which the electricity and/or heat was generated. CO₂ and energy statistics from the IEA do not allow for this. *Other energy industries* refer to emissions from fuel combusted in oil refineries, for the manufacture of solid fuels, coal mining, oil and gas extraction and other energy-producing industries (IEA, 2013).

² Emissions from fuel combustion in commercial/institutional activities, residential, agriculture/forestry, fishing and other emissions not specified elsewhere. (IEA, 2013).

³ Consistent with IPCC Source/Sink categories, CO₂ associated with fuel combustion in the agricultural sector is included under the Energy, not Agriculture Sector.

Table 3 summarizes (1) all of the sector data included in CAIT, (2) how that data maps to IPCC categorizations, and (3) the sources of the data used. The remainder of this section examines in more detail the contents of CAIT sectors and subsectors. It also explains the ways in which CAIT sectors, due to either gaps or inconsistencies in the data, differ from IPCC Source/Sink Category definitions.

5.1. Energy

The Energy sector in CAIT consists of five subsectors: Electricity/Heat, Manufacturing/Construction, Transportation, Other Fuel Combustion, and Fugitive Emissions. Most energy emissions come from CO₂ from fossil fuel combustion, but emissions from CH₄ and N₂O may also be significant, particularly in the Fugitive Emissions subsector (CH₄). The information below pertaining to CO₂ is drawn primarily from IEA (2013). Information on CH₄ and N₂O is drawn from EPA (2012). More information about these sources can be found in the sections above dealing with CO₂ from fossil fuel combustion (Section 2) and non-CO₂ gases (Section 4). The discussion here is limited to primarily sectoral issues.

i. Electricity/Heat

The CAIT sub-sector *Electricity/Heat* includes CO₂ emissions from "Main activity producer of electricity and heat," "Unallocated autoproducers," as well as "Other energy industry own use," all drawn from IEA (2013). These three sectors are combined into a single subsector in CAIT so as to best approximate IPCC subsector 1 A 1 (Energy Industries). The three components of this subsector are described below in more detail.

According to the IEA (2013), "Main activity producer of electricity and heat" contains the sum of emissions from main activity producer electricity generation, combined heat and power generation and heat plants. Main activity producers (formerly known as public utilities) are defined as those undertakings whose primary activity is to supply the public. They may be publicly or privately owned. This corresponds to IPCC Source/Sink Category 1 A 1 a. See IEA (2013) for specific emission estimates of main activity producer electricity generation, combined heat and power generation, and heat plants (including disaggregated by fuel sources).

According to the IEA (2013), "Unallocated Autoproducers" contains the emissions from the generation of electricity and/or heat by autoproducers. Autoproducers are defined as undertakings that generate electricity and/or heat, wholly or partly for their own use as an activity which supports their primary activity. They may be privately or publicly owned. In the 1996 IPCC Guidelines, these emissions would normally be distributed between industry, transport and "other" sectors.

According to the IEA (2013), "Other energy industry own use" contains emissions from fuel combusted in petroleum refineries, for the manufacture of solid fuels, coal mining, oil and gas extraction, and other energy-producing industries. This category corresponds to the IPCC Source/Sink Categories 1 A 1 b and 1 A 1 c. The IEA notes that, according to the IPCC Guidelines, emissions from coke inputs to blast furnaces can either be counted here or in the Industrial Processes category. Accordingly, care must be taken not to double count certain emissions in this category with Industrial Processes. Following on the IEA, in CAIT 2.0 these

emissions have been included only here and not in Industrial Processes. There will be no double counting in CAIT 2.0 because, as described below, the only CO₂ data included in the Industrial Processes category is CO₂ from cement manufacturing.

ii. Manufacturing/Construction

The CAIT 2.0 sub-sector *Manufacturing/Construction*, drawn from IEA (2013), includes CO₂ emission from fossil fuel combustion in the following activities:

- Iron and Steel
- Chemicals and Petrochemicals
- Non-Ferrous Metals
- Non-Metallic Minerals
- Transport Equipment
- Machinery
- Mining and Quarrying
- Food and Tobacco
- Paper, Pulp and Printing
- Wood and Wood Products
- Construction
- Textile and Leather
- Non-specified Industry
- Non-Energy Use Ind/Transf/Energy

In CAIT 2.0, emissions from the above activities are summed into a single yearly estimate for *Manufacturing/Construction*. See IEA (2013) for specific emission estimates for each of the activities listed above (including disaggregated by fuel sources).

Manufacturing/Construction corresponds to the IPCC Source/Sink Category 1 A 2. However, the IEA (2013) notes that in the IPCC Guidelines (IPCC, 1996b), IPCC Category 1 A 2 also includes emissions from industry autoproducers that generate electricity and/or heat. These emissions are not included here. Because of IEA's data collection methods, emissions from autoproducers are shown as a separate item ("Unallocated Autoproducers"). The IEA (2013) also notes that Manufacturing Industries and Construction includes emissions from coke inputs into blast furnaces, which may be reported either in the transformation sector, the industry sector or the separate IPCC Source/Sink Category 2, Industrial Processes.

iii. Transportation

The CAIT 2.0 sub-sector *Transportation*, drawn from IEA (2013), includes CO₂ emission from fossil fuel combustion in the following activities:

- Domestic air transport (commercial, private, agricultural, military, etc.)
- Road vehicles
- Rail
- Pipeline transport
- National navigation
- Non-specified transport

Non-energy use in transport

In CAIT, emissions from the above activities are summed into a single yearly estimate for *Transportation*. See IEA (2013) for specific emission estimates for each of the activities listed above (including disaggregated by fuel sources).

Transportation corresponds to the IPCC Source/Sink Category 1 A 3. However, consistent with the IPCC Guidelines (1996b), emissions from international bunkers (aviation and marine) are accounted for separately.

iv. Other Fuel Combustion

The CAIT 2.0 sub-sector *Other Fuel Combustion* includes CO₂, CH₄, and N₂O emission from the following activities:

- CH₄ and N₂O from Biomass Combustion (EPA, 2012)
- CH₄ and N₂O from Stationary and Mobile Sources (EPA, 2012)
- CO₂ from Other Sectors (IEA, 2013)

In CAIT 2.0, emissions from the above activities are summed into a single yearly estimate for *Other Fuel Combustion*. This CAIT sector corresponds to the IPCC Source/Sink Categories 1 A 4 and 1 A 5.

CH₄ and N₂O emissions from "Stationary & Mobile Sources" and "Biomass Combustion" are drawn from EPA (2012). Along with CO₂ (which is accounted for elsewhere) and ambient air pollutants, emissions of CH₄ and N₂O can arise from fossil fuel combustion. These emissions are accounted for in "Stationary & Mobile Sources." For "Biomass Combustion," estimates may capture emissions that result from incomplete combustion of fuels such as charcoal or fuel wood.

Ideally, much of the emissions in these source categories would be distributed to the CAIT 2.0 sectors *Electricity/Heat* (1 A 1), *Manufacturing/Construction* (1 A 2), or *Transportation* (1 A 3). However, the underlying data did not permit allocation to these more specific categories. Accordingly, "Biomass Combustion and "Stationary & Mobile Sources" are included together in CAIT 2.0's *Other Fuel Combustion* category (consistent with the IPCC Guidelines, Category 1 A 5). The *Other Fuel Combustion* category in CAIT 2.0 also includes CO₂ from "Other Sectors" (IEA, 2012), which contains the emissions from commercial/institutional activities, residential, and agriculture/forestry/fishing as well as other emissions not specified elsewhere that are included in the IPCC Source/Sink Category 1 A 4.

v. Fugitive Emissions

The CAIT 2.0 Fugitive Emissions subsector includes the following CO_2 , CH_4 , and N_2O emissions:

- CO₂ from Natural Gas Flaring/Venting (EIA, 2013)
- CH₄ from Natural Gas & Oil Systems (EPA, 2012)
- CH₄ from Coal Mining (EPA, 2012)
- CH₄ and N₂O from Other Energy Sources (Fugitives from Natural Gas& Oil, and Solid Fuels) (EPA, 2012)

Gas flaring refers to the practice of burning of gas that is released in association with oil production. Gas is flared either as a means of disposal or for safety reasons. CO₂ emissions from gas flaring are drawn from EIA (2013). CH₄ and N₂O from "Oil & Natural Gas Systems", "Coal Mining", and "Other Energy Sources" are drawn from EPA (2012).

5.2. Industrial Processes

The CAIT 2.0 Industrial Processes sector includes:

- CO₂ emissions from Cement Manufacture (CDIAC; Boden et al., 2013)
- N₂O emissions from Adipic and Nitric Acid Production (EPA, 2012)
- N₂O and CH₄ emissions from Other Industrial (non-agriculture) (EPA, 2012)
- F-gases: HFCs, PFCs, and SF₆ (EPA, 2012)

CO₂ emissions from Cement Manufacture, drawn from CDIAC (Boden et al., 2013), is described in Section 2.2. N₂O emissions from Adipic and Nitric Acid Production are drawn from EPA (2012). Emissions estimates of high global warming potential (GWP) gases, namely HFCs, PFCs, and SF₆, are drawn from EPA (2012).

This sector is compiled so as to best match IPCC Source/Sink Category 2 (Industrial Processes). However, IPCC Guidelines (1996b) include several subsectors under Industrial Processes that, due to lack of data, are not included in CAIT 2.0. These include emissions from, *inter alia*, the chemical industry and iron and steel production. In CAIT 2.0, as noted above, some of these emissions may instead be included in the Energy sector under Manufacturing and Construction.

5.3. Agriculture

The CAIT 2.0 Agriculture sector includes CH₄ and N₂O emission from the following activities:

- CH₄ from Enteric Fermentation (Livestock) (EPA, 2012)
- CH₄ and N₂O from Livestock Manure Management (EPA, 2012)
- CH₄ from Rice Cultivation (EPA, 2012)
- N₂O from Agricultural Soils (EPA, 2012)
- CH₄ and N₂O from Other Agricultural Sources (EPA, 2012)

This sector is compiled in CAIT 2.0 so as to best match IPCC Source/Sink Category 4 (Agriculture) (IPCC, 1996b). Users should note, however, that this category does not include CO₂ emissions from fossil fuels associated with agricultural activities. These emissions are included in IPCC Source/Sink Category 1 A 4 (Energy, Other Sectors).

5.4. Land-Use Change & Forestry

Emissions in the CAIT 2.0 Land-Use Change and Forestry (Net emissions/removals) sector, drawing on data from the Food and Agriculture Organization of the United Nations, are described above in Section 3. This CAIT 2.0 sector best matches IPCC Source/Sink Category 5 (Land-Use Change & Forestry) (IPCC, 1996b), while might not be comprehensive of the LUCF sector.

5.5. Waste

The CAIT 2.0 Waste sector includes CH₄ and N₂O emission from the following activities:

- CH₄ from Landfills (Solid Waste) (EPA, 2012)
- CH₄ from Wastewater Treatment (EPA, 2012)
- N₂O from Human Sewage (EPA, 2012)
- CH₄ and N₂O from Other (Waste) (EPA, 2012)

This sector is compiled in CAIT 2.0 so as to best match IPCC Source/Sink Category 6 (Waste) (IPCC, 1996b).

5.6. International Bunkers

The CAIT 2.0 *International Bunkers* sector, drawn from IEA (2013), includes CO₂ emissions from the following activities:

- Aviation Bunkers
- Marine Bunkers

In CAIT 2.0, emissions from Aviation and Marine Bunkers are summed into a single yearly estimate for *International Bunkers*. See IEA (2013) for specific country-level emission estimates Aviation and Marine Bunkers (including disaggregated by fuel sources). These CAIT 2.0 sector matches to IPCC Source/Sink Category 1 A 3 (a i and d i). In accordance with IPCC methodologies, these emissions are to be excluded from national totals and reported separately. *Accordingly, unless specified, emissions from International Bunkers are not included in CAIT National Totals.*

6. National GHG Totals

CAIT 2.0 provides National Total GHG emissions with and without LUCF (Land-Use Change and Forestry) for each country. The contents of National Total calculations for *non-CO*₂ gases are described above in Section 4. This section concerns primarily National Total calculations for Total GHGs (i.e., CO₂, CH₄, N₂O, and F-gases).

First, National Total calculations are extremely sensitive to data availability. Accordingly, the National Total GHG Emissions might not be calculated in CAIT where only non-CO₂ data are available (e.g., Eritrea and Palau in 1990 and 1991), the National Total GHG Emissions might not be an accurate reflection of a country's true total when only partial CO₂ emissions data are available (e.g., fugitive emissions). More detailed country-by-country data availability can be viewed in Country Profile pages within CAIT¹⁰.

Second, the National Total is, in general, a sum of the data from each of the individual sectors/gases. Accordingly, a National Total will include emissions from Energy, Industrial Processes, etc., summed into a single number. However, there is an exception to this general rule. In accordance with IPCC Guidelines and UNFCCC GHG inventories, emissions from land-use change and forestry (LUCF) and international bunkers are not automatically included in national totals. For LUCF, this is in part due to high data uncertainties. For emissions from International Bunker fuels (which are estimated based on the location of marine and aviation refueling), this is mainly due to difficulties in attributing international emissions to individual countries. *Indicators for country GHG totals with LUCF and without LUCF are therefore presented separately. International Bunker totals are only available in the GHG Emissions by Sector table and are not included as part of a country's total reported emissions.*

As an example, the United States' National Total for the years 1990-2011 would therefore comprise the following sector data:

- Energy sector:
 - o CO₂ from fossil fuel combustion (IEA, 2013; sectoral approach)
 - o CO₂ from gas flaring (EIA, 2013)
- <u>Industrial Processes</u> sector:
 - o CO₂ from cement manufacture (Boden et al., 2013)
 - o F-gases: HFCs, PFCs, and SF₆ (EPA, 2012)
- Agriculture and Waste sectors: CH₄ and N₂O (EPA, 2012) (see Section 4.1 for a description of specific CH₄ and N₂O emission sources included)
- <u>Land Use Change and Forestry</u> sector ("including LUCF" indicator only): CO₂ (FAO, 2013).
- <u>International Bunkers</u> are included in the "Emissions by sector" table only): CO₂ (IEA, 2013)

However, it is important to note that our calculation approach for the national totals indicators actually relies on a gas aggregation method (i.e., the sum of CO₂ emissions (see Sector 2) and non-CO₂ emissions (see Sector 4)) to produce the estimated emissions totals and "emissions by gas"

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⁹ This appears as the first item on the left-side data table menu of CAIT 2.0 (http://cait2.wri.org).

¹⁰ This can be viewed by clicking the "i" icon next to a country/state/region name in the data tables.

estimates. This is due to limited data availability of sector-level emissions data for all countries. Thus, the sum of sectors might not coincide with the sum of gases for a particular country; this is especially true for the 50 countries which lack IEA data.

An example of how the United States' National Total for the year 2011 would be compiled using a gas aggregation approach is as follows:

- CO₂ emissions total
 - o CO₂ from fossil fuel combustion (IEA, 2013)
 - o CO₂ from gas flaring (EIA, 2013)
 - o CO₂ from cement manufacture (Boden et al., 2012)
 - o CO₂ from land use change and forestry ("with LUCF" indicator only; FAO, 2013)
- CH₄ emissions total (EPA, 2012)
- N_2O emissions total (EPA, 2012)
- F-gas emissions total (EPA, 2012)

In addition, CAIT reports the National Total CO₂ emissions from 1850 to 2011, which is included in the data subset: CO₂ Emissions Totals. See Section 2 for a detailed description of CO₂ emissions data.

7. Additional Methodological Issues

Data underpinning the GHG data in CAIT 2.0 may have substantial uncertainties. Despite uncertainties, WRI has chosen to err on the side of inclusiveness, by capturing the widest possible range of GHG sources and sinks that contribute to global climate change.

In general, for information about uncertainty, users should refer to documentation from individual data sources described above. These documents provide much more detail and information than can be included here. However, some brief discussion is also warranted.

First, even CO_2 emissions from fossil fuels may have significant errors. Most data sources derive these estimates from national energy use data, which may contain inaccuracies. To promote accuracy, annual revisions of national energy data are common, leading in some cases to significant revisions of recently reported emissions data. Analysis by Andres et al. (2000) showed that by 1993, revisions of 1983 data (10 years previous) amounted to an average 8.8 percent decrease, with 25 countries making revisions larger than ± 10 percent. CDIAC also has made expert judgments regarding national data quality, considering data from OECD countries to be the highest quality.

According to the most recent IPCC report (IPCC, 2014), estimated uncertainly for global CO₂ emissions from fossil fuels is relatively low, about 8%. For non-CO₂ GHG emissions, CH₄ and F-gases have relatively 'intermediate' uncertainties of around 20%, while N₂O has a higher uncertainty of around 60%. CO₂ emissions from land-use change and forestry have very large uncertainties of 50-75%. In total, when combining these uncertainties, estimates of global total GHG emissions have an uncertainty of around 10%.

The uncertainty of GHG emissions also depends on how recently the data have been compiled, since "in reality, the more recent data is generally more uncertain due to the preliminary nature of much of the information used to calculate estimates. Data for historical periods can also be more uncertain due to less extensive data collection infrastructure and the lack of emission factor measurements for technologies no longer in use." (IPCC, 2014)

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