



# LASER RADAR SYSTEMS

OPTICAL REMOTE SENSING  
(F. Rocadenbosch)

## PROBLEM 2: LINK-BUDGET (ELASTIC-RAMAN LIDAR)

Consider an elastic-Raman lidar defined by the following system parameters:

### LASER

- Quantel (Nd:YAG 2 $\omega$ )
- Emission wavelength,  $\lambda_0$  532 nm
- Energy, E (parameter) mJ
- Pulse-repetition frequency, PRF (parameter) Hz
- Beam width,  $w_0$   $5 \times 10^{-3}$  m (\*)
- Divergence (half-width angle),  $\theta_{1/2}$  0.5 mrad (\*)

### TELESCOPE

- Celestron Schmidt-Cassegrain
- Primary lens diameter,  $d_p$  (parameter) m
- Shade diameter,  $d_{sh}$  0.06858 m
- Focal length,  $f$  2 m
- Transmissivity,  $T_1$  60 %

### ELASTIC-RECEIVING CHANNEL

- Reception wavelength,  $\lambda_0$  532 nm

### INTERFERENCE FILTER

- Bandwidth,  $d\lambda_0$  10 nm
- Transmissivity,  $T_2$  65 %

### PHOTODIODE

- APD (EGG C30956E)
- Active area diameter,  $D_{APD}$  3 mm
- Multiplication factor,  $M$  (parameter)
- Excess-noise factor,  $F$  (parameter)
- Dark surface current,  $I_{ds}$   $7.64 \times 10^{-8}$  A
- Dark bulk current,  $I_{db}$   $3.10 \times 10^{-10}$  A
- Intrinsic responsivity,  $R_{io}$  240 mA/W

### SIGNAL-CONDITIONING STAGES

- Transimpedance Gain (1<sup>st</sup> stage),  $G_t$  5750  $\Omega$
- Voltage conditioning Gain (2<sup>nd</sup> stage),  $G_{ac}$  20.3 V/V
- Noise-equivalent bandwidth,  $B$  10 MHz
- Equivalent input noise (chain input),  $\sigma_{th,i}$  5 pA·Hz<sup>-1/2</sup>

### ACQUISITION SYSTEM

- Type: Analog-to-digital recorder ADC
- Sampling frequency,  $f_s$  20 Msps ( $20 \times 10^6$ )

## RAMAN-RECEIVING CHANNEL

- Reception wavelength,  $\lambda_R$  607.4 nm

## INTERFERENCE FILTER

- Bandwidth,  $d\lambda_R$  (parameter) nm
- Transmissivity,  $T_2$  65 %

## PMT (PHOTO-MULTIPLIER TUBE)

(Please note APD-equivalent notation in use)

- Equivalent active area diameter, D 3 mm
- Multiplication factor, M (parameter)
- Excess-noise factor, F 1.8
- Anode dark current,  $I_{da}$  (i.e.  $I_{ds}=0$ ,  $I_{db}=I_{da}/M$ ) (parameter) nA
- Anode radiant sensitivity,  $R_i$  ( $R_{io}=R_i/M$ ) (parameter) A/W

## SIGNAL-CONDITIONING STAGE

- PMT-load resistance, R (i.e.  $G_i$ ) 50  $\Omega$
- Noise-equivalent bandwidth, B 10 MHz

## ACQUISITION SYSTEM (See also "Hamamatsu Appl. Note" in pdf.)

- Type: Photon counter PC
- Temporal resolution,  $\Delta t_{PC}$  1 bin
- Sampling ("binning") period 50 ns/bin

## ATMOSPHERE

Aerosol component at  $\lambda_0$ :

- Visibility margin (532 nm),  $V_M$  (parameter) km
- Lidar ratio,  $S_M(\lambda_0)=\alpha_{Mie}(\lambda_0)/\beta_{Mie}(\lambda_0)$  (parameter) sr

Aerosol component at  $\lambda_R$ :

- Wavelength-dependency coef.,  $\kappa=1.8$ . I.e., consider  $\frac{\alpha_{Mie}(\lambda_R)}{\alpha_{Mie}(\lambda_0)} = \left(\frac{\lambda_R}{\lambda_0}\right)^{-\kappa}$

Molecular components at  $\lambda_0, \lambda_R$  (Height-dependent, US-standard atmosphere approx.)

- Rayleigh's extinction,  $\alpha_{Ray}(\lambda_i, R)$   $\text{km}^{-1}$  with R [km]

$$\alpha_{Ray}(\lambda_0, R) \approx 1.2569 \times 10^{-2} - 7.7599 \times 10^{-4} R$$

$$\alpha_{Ray}(\lambda_R, R) \approx 7.3219 \times 10^{-3} - 4.5204 \times 10^{-4} R$$

- Rayleigh's ratio,  $S_R(\lambda)=\alpha_{Ray}(\lambda)/\beta_{Ray}(\lambda)$   $8\pi/3$
- $N_2$ -Raman backscattering cross-section,  $d\sigma_{N_2}(\pi)/d\Omega$   $3.71 \times 10^{-41} [\text{km}^2 \cdot \text{sr}^{-1}]$
- $N_2$ -molecule number density,  $N_{N_2}(R)$  (height-dep.)  $[\text{km}^{-3}]$  with R [km]

$$N_{N_2}(R) \approx 2.1145 \times 10^{34} - 2.0022 \times 10^{33} R + 5.4585 \times 10^{31} R^2$$

Boundary-layer height,  $R_{PBL}$

(parameter) km

Background-radiance component

- Moon's radiance (full Moon),  $L_{Moon}$   $3 \times 10^{-11} \text{ W} \cdot \text{cm}^{-2} \cdot \text{nm}^{-1} \cdot \text{sr}^{-1}$
- Solar radiance,  $L_{Sun}$  (typ.)  $3 \times 10^{-6} \text{ W} \cdot \text{cm}^{-2} \cdot \text{nm}^{-1} \cdot \text{sr}^{-1}$

## OTHER PARAMETERS

- Full-overlap range,  $R_{ovf}$  200 m
- Maximum-range criterion  $SNR(R_{max})=1$
- Observation time (to simulate),  $t_{obs}$   $[1/PRF, 10^4]$  s

## PHYSICAL CONSTANTS

- Electron charge,  $q$   $1.602 \times 10^{-19}$  C
- Planck's constant,  $h$   $6.6262 \times 10^{-34}$  J·s
- Light speed,  $c$   $2.99793 \times 10^8$  m·s<sup>-1</sup>
- Boltzmann's constant,  $K$   $1.38 \times 10^{-23}$  J·K<sup>-1</sup> (\*)

(\*) Parameter not used.

## Questions:

1. Determine the system constant,  $K$  [W·km<sup>3</sup>].
2. Estimate the received background power for both the elastic and Raman channels ( $P_{back,0}$  and  $P_{back,R}$ , respectively) under (see “day-time/night-time” parameter) operation.
3. Plot both elastic- and Raman-return powers ( $P_0(R)$  and  $P_R(R)$ , respectively). Superimpose  $P_{back,0}$  and  $P_{back,R}$  plots from question 2 results.
4. Compute, for the elastic and Raman channels, receiver-chain voltage responsivities ( $R_{v,0}$  and  $R_{v,R}$ , respectively), and net voltage responsivities (i.e., including spectral optical losses;  $R_{v,0}'$  and  $R_{v,R}'$ , respectively).
5. a) Assuming analog detection, plot the elastic range-dependent signal-to-noise ratio,  $SNR_0(R)$ , at the output of the receiver chain (i.e., voltage ratio) and related shot photo-induced, shot-dark and thermal variances ( $\sigma_{sh,s}^2$ ,  $\sigma_{sh,d}^2$ ,  $\sigma_{th}^2$  in units of [V<sup>2</sup>]).  
b) Identify where you have different noise-dominant system-operation modes.
6. a) (See Hamamatsu Appl. Note, Sect.1 and Sect. 3.8.2, pp. 14-15) Assuming, photon counting detection, plot the Raman range-dependent photon-count signal-to-noise ratio,  $SNR_R(R)$  (Eq.(2), p.15) and related shot photo-induced, shot-dark and shot-background variances (in units of [counts/bin]).
7. (Raman-channel only). Departing from your results of question (6) above, give a plot of the required observation time (Y-axis) versus range (X-axis) in order to ensure a goal SNR of  $SNR_{min}=2 \times 10^3$  (linear units) at each successive range.
8. Compute and compare photodiode's NEP ( $NEP_{APD}$ ) and PMT's NEP ( $NEP_{PMT}$ ).
9. (OPTIONAL) Assume that only elastic- and Raman-return powers are known (question (3)). Plot the maximum lidar range (Y-axis) versus required observation time (X-axis; i.e. consider pulse integration) for both elastic and Raman channels (see  $R_{max}$  criterion).

## Figs.1-4. ANSWER PLOT FORMAT

Q3. Fig.1. Abscissae range 0 to 15 km; linear-X, log-Y.  $P_0(R)$ ,  $P_R(R)$ ,  $P_{back,0}$  and  $P_{back,R}$  in the same plot.

Q5 & Q6. Fig.2. Abscissae range 0 to 15 km; linear-X, log-Y.  $SNR_0(R)$  and  $SNR_R(R)$  superimposed. Fig.3. Abscissae range 0 to 15 km; linear-X, log-Y, 2 subplots: Fig.3(a) Superimposed elastic variances; Fig.3(b) Superimposed Raman variances.

Q7. Fig.4. Abscissae range 0 to 15 km; linear-X, log-Y.

Q9. Fig.5. Abscissae range  $10^{-2}$  to  $10^4$  s; log-X, log-Y.

## COMMENTS AND SUPPORT FORMULAE

In this problem an elastic-backscatter and a Raman lidar channel operate simultaneously at  $\lambda_0$  and  $\lambda_R$ . The elastic-backscatter lidar equation

$$P_{\lambda_0}(z) = \frac{K_{\lambda_0}}{z^2} [\beta_{\lambda_0}^{aer}(z) + \beta_{\lambda_0}^{mol}(z)] \times \exp \left\{ -2 \int_0^z [\alpha_{\lambda_0}^{aer}(\xi) + \alpha_{\lambda_0}^{mol}(\xi)] d\xi \right\} \xi(z)$$

has already been addressed in Chap.3 with  $z=R$  the vertical direction.

In the case of the Raman channel, the reception channel is spectrally tuned to receive backscattered radiation from atmospheric nitrogen molecules. In Chap. 7, we will see that since nitrogen is an atmospheric abundant species, it is of advantage to calibrate the co-operative elastic channel and hence, to obtain independent estimations of both extinction and backscatter atmospheric optical components. In comparison with the elastic-backscatter lidar equation, in which both the optical emission path (i.e., from the laser source to the atmosphere) and return path (i.e., from the atmosphere back to the telescope) were operating at the same wavelength,  $\lambda_0$ , now, in the Raman case, the emission path operates at  $\lambda_0$  while the return path operates at  $\lambda_R$ . This translates into a two-way path Raman transmittance

$$T(\lambda_0, z) T_R(\lambda_R, z); \quad T(\lambda_i, z) = \exp \left[ - \int_0^z \alpha_{\lambda_i}^{mol}(\xi) + \alpha_{\lambda_i}^{aer}(\xi) d\xi \right]$$

instead of the well-known two-way path elastic transmittance,

$$T(\lambda_0, z)^2$$

In addition, since the Raman receiver is specifically tuned to receive backscattered radiation from  $N_2$  atmospheric molecules at the Raman- $N_2$  shifted wavelength ( $\lambda_R=607.4$  nm), the Raman backscatter coefficient is computed as,

$$\beta_{\lambda_R}(z) = N_R(z) \frac{d\sigma_{\lambda_R}(\pi)}{d\Omega}$$

where  $N_{\lambda_R}(z)$  is the nitrogen molecule number density at  $\lambda_R$ , and  $d\sigma_{\lambda_R}(\pi)/d\Omega$  is the range-independent nitrogen Raman backscatter cross-section per solid angle unit (see also Chap.2).

Thus, the Raman lidar equation takes the form

$$P_{\lambda_R}(z) = \frac{K_{\lambda_R}}{z^2} \left[ N_R(z) \frac{d\sigma_{\lambda_R}(\pi)}{d\Omega} \right] \times \exp \left\{ - \int_0^z [\alpha_{\lambda_0}^{mol}(\xi) + \alpha_{\lambda_0}^{aer}(\xi) + \alpha_{\lambda_R}^{mol}(\xi) + \alpha_{\lambda_R}^{aer}(\xi)] d\xi \right\} \xi(z)$$

where all the variables have already been defined either here or in Chap.3.

In the proposed problem,  $N_{\lambda_R}(z), \alpha_{\lambda_i}^{mol}(z), \alpha_{\lambda_i}^{aer}(z)$  magnitudes have been approximated from a US-standard atmosphere model. Note, all these magnitudes progressively decrease with height in the troposphere.

Computation of the Raman-channel range-dependent signal-to-noise ratio is completely analogous to the link-budget relations presented in Chap.4 when Analog Detection is used. Yet, in this problem (as well as in practice), Photon Counting Detection is used. Please refer to Sect. 3.8.2, pp. 14-15 of Hamamatsu's Application Note and use Eq.(2).