

A Family of Simple C^* -Algebras Related to Weighted Shift Operators

JOHN W. BUNCE AND JAMES A. DEDDENS*

Department of Mathematics, University of Kansas, Lawrence, Kansas 66045

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In this paper we study a family of C^* -algebras which occurs naturally in the study of C^* -algebras generated by weighted shifts. We show that these algebras are simple modulo the compacts, and while they share many of the properties of uniformly hyperfinite C^* -algebras, they are not approximately finite dimensional.

INTRODUCTION

In this paper we study a family of C^* -algebras which occurs naturally in the study of C^* -algebras generated by weighted shifts [3]. For any separable infinite dimensional complex Hilbert space \mathcal{H} , let $\mathcal{B}(\mathcal{H})$ denote the set of all bounded linear operators on \mathcal{H} , let \mathcal{K} denote the ideal of all compact operators, and let ν be the canonical quotient map from $\mathcal{B}(\mathcal{H})$ onto the Calkin algebra $\mathcal{B}(\mathcal{H})/\mathcal{K}$. For each strictly increasing sequence of integers $\{n_k\}$, with n_k dividing n_{k+1} for all k , let $\mathcal{O}(n_k)$ be the C^* -algebra generated by all periodic weighted shifts of period n_k for some k . The algebra $\mathcal{O}(n_k)$ contains \mathcal{K} and in Section 1 we prove that $\nu(\mathcal{O}(n_k))$ is a simple C^* -algebra. It is of interest to construct new examples of simple C^* -algebras because classifying simple C^* -algebras would be a first step in classifying all C^* -algebras.

In Section 2 we show that $\nu(\mathcal{O}(n_k))$ is not a UHF C^* -algebra, although it shares many of the properties of UHF algebras. For example, the same condition on the sequence $\{n_k\}$ that classifies UHF algebras of type $\{n_k\}$ up to isomorphism [8] also classifies $\mathcal{O}(n_k)$ and $\nu(\mathcal{O}(n_k))$ up to isomorphism. The algebra $\nu(\mathcal{O}(n_k))$ contains a UHF algebra $\nu(\mathcal{M}(n_k))$ and is contained in the Calkin algebra $\nu(\mathcal{B}(\mathcal{H}))$. In Section 3 we represent $\nu(\mathcal{O}(2^k))$ as an algebra of multiplications and

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translations on $L^2[0, 1]$ and use this representation to show that the relative commutant of $\nu(\mathcal{M}(2^k))$ in $\nu(\mathcal{O}(2^k))$ is the scalars. We are interested in relative commutants because of Dixmier's conjecture that the relative commutant of a simple C^* -algebra in a containing simple C^* -algebra is again simple [6]. We remark that this question is only of interest when the subalgebra in question has the same identity as the containing C^* -algebra, since if $\mathcal{O} \subseteq \mathcal{B}$ with E the identity of \mathcal{O} and F the identity of \mathcal{B} , then $(F - E)\mathcal{B}(F - E)$ is a two-sided ideal of $\mathcal{O}' \cap \mathcal{B}$. It is easy to give examples of simple C^* -algebras \mathcal{O} and \mathcal{B} with $\mathcal{O} \subseteq \mathcal{B}$ and the identity of \mathcal{O} not equal to the identity of \mathcal{B} . We are unable to determine whether the commutant of $\nu(\mathcal{O}(n_k))$ in the (simple) Calkin algebra is simple or not, but in Section 3 we do show that the commutant of $\nu(\mathcal{O}(2^k))$ in the Calkin algebra is not abelian. Finally in Section 4 we construct a representation of the canonical anticommutation relations [12, p. 4] as elements of $\mathcal{O}(2^k)$. While this representation is unitarily equivalent to the Fock representation, it has the property that the operators are given directly as bounded operators on a familiar Hilbert space.

We now give some definitions and fix our notation. We fix a separable Hilbert space \mathcal{H} and an orthonormal basis $\{e_n\}_{n=0}^\infty$ for \mathcal{H} . A bounded linear operator S on \mathcal{H} is called a *weighted shift* with weights $\{\alpha_n\}_{n=1}^\infty \in l^\infty$ if $Se_n = \alpha_{n+1}e_{n+1}$ for all $n \geq 0$. We assume throughout that $\alpha_n \geq 0$. When $\alpha_n \equiv 1$, we obtain the unilateral shift U_+ defined by $U_+e_n = e_{n+1}$. A weighted shift with weights $\{\alpha_n\}$ is called *periodic of period p* if there exists a positive integer p such that $\alpha_n = \alpha_{n+p}$ for all n . If S is any weighted shift, then $S = U_+D$ where D is the diagonal operator given by $De_n = \alpha_{n+1}e_n$. For any bounded linear operator A on \mathcal{H} , we denote by $C^*(A)$ the smallest C^* -subalgebra of $\mathcal{B}(\mathcal{H})$ containing A and the identity I . Since we are assuming that $\alpha_n \geq 0$, note that $D = (S^*S)^{1/2} \in C^*(S)$, and that $S = U_+D$ is the polar decomposition of S if $\alpha_n > 0$ for all n . If the weights $\{\alpha_n\}$ are bounded below away from zero, then $U_+ = SD^{-1} \in C^*(S)$.

Let $\{n_k\}_{k=1}^\infty$ be a strictly increasing sequence of positive integers such that n_k divides n_{k+1} for all k . Let $S(n_k)$ be the weighted shift with weights $\alpha_m = 1$ if $m = 1 + ln_k$, $l \geq 0$ and $\alpha_m = 1/2$ otherwise. Then $C^*(S(n_k))$ is the C^* -algebra generated by all weighted shifts of period n_k and $C^*(S(n_k)) \subseteq C^*(S(n_{k+1}))$. Let $\mathcal{O}(n_k)$ be the norm closure of the union of all the $C^*(S(n_k))$. The algebras $\mathcal{O}(n_k)$ were first studied in [3]. Since $\mathcal{H} \subseteq C^*(U_+)$, we have that $\mathcal{H} \subseteq C^*(S(n_k))$ for all k . It follows from [3, proof of Theor. 2.2] that $\nu(C^*(S(n_k)))$ is isomorphic to $M_{n_k}(C(T)) \equiv$ the algebra of all $n_k \times n_k$ matrices whose

entries are continuous functions on the unit circle T . Under this isomorphism, if S is a shift of period n_k and weights $\alpha_1, \alpha_2, \dots, \alpha_{n_k}$ then we have that $\nu(S)_{1, n_k}(z) = \alpha_{n_k} z$, $\nu(S)_{i+1, i}(z) = \alpha_i I$ for $1 \leq i \leq n_k - 1$, and $\nu(S)_{i, j} = 0$ for all other i, j .

For each k , let $P_j^{(k)}$, $1 \leq j \leq n_k$, be the diagonal projection with weights $\alpha_m = 1$ if $m = j + ln_k$, $l \geq 0$, and $\alpha_m = 0$ otherwise. For $1 \leq j \leq i \leq n_k$ let $E^{(k)}(i, j) = U_+^{(i-j)} P_j^{(k)}$ and for $1 \leq j \leq i \leq n_k$ let $E^{(k)}(i, j) = P_i^{(k)} U_+^{*(i-j)}$. Then the family $\{E^{(k)}(i, j): 1 \leq i, j \leq n_k\}$ forms a system of $n_k \times n_k$ matrix units in $C^*(S(n_k))$. Let $N(n_k)$ denote the C^* -algebra generated by the family $\{E^{(k)}(i, j): 1 \leq i, j \leq n_k\}$. Then $N(n_k) \subseteq N(n_{k+1})$ and if $\mathcal{M}(n_k)$ is the C^* -algebra generated by the union of all the $N(n_k)$, then $\mathcal{M}(n_k)$ is a uniformly hyperfinite (UHF) C^* -algebra of type $\{n_k\}$ [8]. The algebra $\mathcal{O}(n_k)$ is the C^* -algebra generated by $\mathcal{M}(n_k)$ and U_+ .

1. SIMPLICITY OF $\nu(\mathcal{O}(n_k))$

The UHF algebra $\mathcal{M}(n_k)$ is simple (that is, has no nonzero proper two-sided ideals) because it is the norm closure of the union of an ascending sequence of simple C^* -algebras [8]. Since the C^* -algebras $\nu(C^*(S(n_k)))$ have nontrivial ideals, it is interesting that $\nu(\mathcal{O}(n_k))$ is a simple C^* -algebra. In order to prove this we need the following (probably known) lemma.

LEMMA 1. *Let f be a continuous function on the unit circle T . Then if n is any positive integer, there are continuous functions f_0, f_1, \dots, f_{n-1} on T such that $f(z) = \sum_{j=0}^{n-1} z^j f_j(z^n)$ for all z . The mapping f to f_j is linear and norm continuous for each j .*

Proof. Let $\rho = \exp(2\pi i/n)$ and let $g_j(z) = (\bar{z}^j/n) \sum_{k=0}^{n-1} \bar{\rho}^{jk} f(\rho^k z)$. Then $f(z) = \sum_{j=0}^{n-1} z^j g_j(z)$. It is easily seen that $g_j(z) = g_j(\rho^m z)$ for all $z \in T$ and all integers m . Hence if $y^n = z^n$ then $g_j(y) = g_j(z)$, and hence the definition $f_j(z) = g_j(z^{1/n})$ defines a well-defined continuous function on T . Then $f(z) = \sum_{j=0}^{n-1} z^j f_j(z^n)$ and the lemma follows.

THEOREM 2. *The C^* -algebras $\nu(\mathcal{O}(n_k))$ are simple.*

Proof. Since $\nu(\mathcal{O}(n_k))$ is a Banach algebra with identity, it suffices to show that $\nu(\mathcal{O}(n_k))$ has no nonzero proper closed two-sided ideals. Let J be a nonzero closed two-sided in $\nu(\mathcal{O}(n_k))$. Since

$$\nu(\mathcal{A}(n_k)) = \bigcup_{k=1}^{\infty} \nu(C^*(S(n_k))),$$

it is easily seen (for example, see the proof of Lemma 3.1 in [1]) that

$$J = \overline{\bigcup_{k=1}^{\infty} J_{n_k}},$$

where $J_{n_k} = \nu(C^*(S(n_k))) \cap J$. Since J is nonzero, some J_{n_j} must be a nonzero ideal of $\nu(C^*(S(n_j)))$. Since $\nu(C^*(S(n_j)))$ is isomorphic to $M_{n_j}(C(T))$, it follows that J_{n_j} can be identified with $M_{n_j}(Z(F_{n_j}))$, where $Z(F_{n_j})$ denotes the set of continuous functions on the unit circle which vanish on the closed set F_{n_j} . If J were a proper ideal, then J_{n_k} would be a proper ideal of $\nu(C^*(S(n_k)))$ for arbitrarily large k , and hence F_{n_k} would be nonempty for arbitrarily large k . Let $z_0 \in F_{n_k}$, where $k \geq j$. We will show that every (n_k/n_j) -root of z_0 is in F_{n_j} . Thus the closed set F_{n_j} would contain a dense subset of the unit circle, and we would have that $F_{n_j} = T$ and consequently $J_{n_j} = \{0\}$, which is a contradiction. The proof will thus be complete after we show that if $k \geq j$ and $z_0 \in F_{n_k}$ then every (n_k/n_j) -root of z_0 is in F_{n_j} . The proof of this depends on the embedding of $M_{n_k}(C(T))$ into $M_{n_{k+1}}(C(T))$ that results from identifying $M_{n_k}(C(T))$ with $\nu(C^*(S(n_k)))$.

For k a positive integer let $q_k = n_{k+1}/n_k$. Then in $\nu(C^*(S(n_k)))$, $A = (\nu(U_+))^{n_k}$ corresponds to the element of $M_{n_k}(C(T))$ with the identity function ($z \mapsto z$) on each coordinate of the main diagonal and zeros elsewhere. But in $\nu(C^*(S(n_{k+1})))$, A corresponds to the element of $M_{n_{k+1}}(C(T))$ given by

$$A_{n_k+i, i}(z) = 1 \quad \text{if } 1 \leq i \leq n_{k+1} - n_k$$

and

$$A_{i, n_{k+1}-n_k+i}(z) = z \quad \text{if } 1 \leq i \leq n_k$$

and $A_{i, j} = 0$ otherwise. We then assert that if B is an element of $\nu(C^*(S(n_k)))$ whose matrix in $M_{n_k}(C(T))$ has a fixed continuous function f on each coordinate of the main diagonal and zeros elsewhere, then in $\nu(C^*(S(n_{k+1})))$, B corresponds to the element of $M_{n_{k+1}}(C(T))$ given by

$$B_{jn_k+i, i}(z) = f_j(z) \quad \text{if } 0 \leq j \leq q_k - 1, \quad 1 \leq i \leq n_{k+1} - jn_k$$

and

$$B_{i, jn_k+i}(z) = zf_{q_k-j}(z) \quad \text{if } 1 \leq j \leq q_k - 1, \quad 1 \leq i \leq n_{k+1} - jn_k,$$

and $B_{m, n} = 0$ elsewhere; here the functions f_j are the functions given by Lemma 1. In order to check this assertion, first check it for

$f(z) = z^n$ and $f(z) = \bar{z}^n$ (which is straightforward), and then use the linearity and norm continuity properties from Lemma 1.

Now suppose z_0 is such that $z_0^{q_j} \in F_{n_{j+1}}$ and let $f \in C(T)$ be such that f vanishes on F_{n_j} . Then let B be the element of J_{n_j} which corresponds to the matrix in $M_{n_j}^*(C(T))$ which has f on each coordinate of the main diagonal and zeros elsewhere. Then B also belongs to $J_{n_{j+1}}$, and so by the previous paragraph $f_l(z_0^{q_j}) = 0$, $0 \leq l \leq q_j - 1$. So by Lemma 1 we have that $f(z_0) = 0$. Hence $z_0 \in F_{n_j}$. Thus every (q_j) -root of a number in $F_{n_{j+1}}$ is in F_{n_j} . So if $k \geq j$ and $z_0 \in F_{n_k}$ then every (n_k/n_j) -root of z_0 is in F_{n_j} . This completes the proof.

2. UHF ALGEBRAS AND $\nu(\mathcal{O}(n_k))$

In [3, Theor. 3.8] it was shown that $\mathcal{O}(n_k)$ has a unique central state f , and that $\pi_f(\mathcal{O}(n_k))'' = \pi_f(\mathcal{M}(n_k))''$, where π_f is the cyclic representation corresponding to f . Thus $\mathcal{O}(n_k)$, and hence $\nu(\mathcal{O}(n_k))$, has a representation as a hyperfinite II_1 -factor. However $\nu(\mathcal{O}(n_k))$ is not a UHF C^* -algebra, in fact, $\nu(\mathcal{O}(n_k))$ is not approximately finite dimensional in the sense of Bratteli [1]. A C^* -algebra \mathcal{O} is approximately finite dimensional if $\mathcal{O} = \overline{\bigcup \mathcal{O}_n}$, where each \mathcal{O}_n is a finite dimensional C^* -algebra, and $\mathcal{O}_n \subseteq \mathcal{O}_{n+1}$. The proof that $\nu(\mathcal{O}(n_k))$ is not approximately finite dimensional uses the recent concept of a quasitriangular operator [7, 9].

THEOREM 3. *The algebra $\nu(\mathcal{O}(n_k))$ is not approximately finite dimensional.*

Proof. Suppose there exist finite dimensional C^* -algebras \mathcal{B}_l such that $\mathcal{B}_l \subseteq \mathcal{B}_{l+1}$ and $\nu(\mathcal{O}(n_k)) = \overline{\bigcup_{l=1}^{\infty} \mathcal{B}_l}$. Then for any $\epsilon > 0$ there is a $B \in \bigcup \mathcal{B}_l$ with $\|\nu(U_+) - B\| < \epsilon$. Let $A \in \mathcal{O}(n_k)$ be such that $\nu(A) = B$. Then $\|\nu(U_+ - A)\| < \epsilon$. Hence there exists a compact operator $C \in \mathcal{K}$ with $\|U_+ - A - C\| < \epsilon$. But B is algebraic, so there is a polynomial p with $p(B) = p(\nu(A)) = 0$. Thus A is polynomially compact and hence quasitriangular [7, Theor. 6]. But then $A + C$ is also quasitriangular, and we obtain that U_+ is in the norm closure of the quasitriangular operators, and is thus itself quasitriangular [9]. But U_+ is not quasitriangular [9]. Thus $\nu(\mathcal{O}(n_k))$ is not approximately finite dimensional.

We have not been able to determine whether $\nu(\mathcal{M}(n_k))$ is a maximal UHF subalgebra of $\nu(\mathcal{O}(n_k))$. Nor have we been able to determine whether $\nu(\mathcal{M}(n_k))$ is a maximal quasitriangular C^* -subalgebra of

$\nu(\mathcal{O}(n_k))$, where a subalgebra is called quasitriangular if each of its elements is quasitriangular.

We now show that Glimm's classification of the $*$ -isomorphism classes of UHF algebras [8] carries over to our situation.

THEOREM 4. *The algebras $\nu(\mathcal{O}(n_k))$ and $\nu(\mathcal{O}(q_j))$ are $*$ -isomorphic if and only if for every k there is a j such that n_k divides q_j , and for every j there is a k such that q_j divides n_k .*

Proof. The proof of Theorem 3.7 in [3] carries over with only slight changes.

We denote by $a(A)$ the approximate point spectrum of an operator A and by $\text{sp}(A)$ the spectrum of A . Since by [2, Prop. 5].

$$a(A) = \{\lambda \in \text{sp}(A): C^*(A)(A - \lambda I) \neq C^*(A)\},$$

the notion of approximate point spectrum is not really spatial and can be defined for elements of an abstractly given C^* -algebra.

LEMMA 5. *Let \mathcal{O} be a C^* -algebra. Then $\{B \in \mathcal{O}: a(B) = \text{sp}(B)\}$ is closed in \mathcal{O} .*

Proof. By [5, 2.6.1] we may assume that \mathcal{O} is faithfully represented on a Hilbert space \mathcal{H} . Now let $A_n \in \mathcal{B}(\mathcal{H})$ be a sequence of operators with $a(A_n) = \text{sp}(A_n)$ and $\|A_n - A\| < 1/n$. We will show that $a(A) = \text{sp}(A)$. Let $\lambda \in \text{sp}(A)$. If for all N there is an $n \geq N$ such that $A_n - \lambda I$ is invertible, then by [13, Theor. 1.5.4] $A - \lambda I$ is a left topological divisor of zero. So there exists a sequence of operators $C_n \in \mathcal{B}(\mathcal{H})$ such that $\|C_n\| = 1$ and $\|(A - \lambda I)C_n\|$ converges to zero. It is then clear that $\lambda \in a(A)$. Now if there exists an N such that $A_n - \lambda I$ is singular for all $n \geq N$, then we may assume that $\lambda \in \text{sp}(A_n) = a(A_n)$ for all n . So for each n there exists a unit vector x_n such that $\|(A_n - \lambda I)x_n\| < 1/n$. Then $\|(A - \lambda I)x_n\| < 2/n$ and $\lambda \in a(A)$.

THEOREM 6. *If \mathcal{M} is a UHF C^* -algebra, then $a(A) = \text{sp}(A)$ for all $A \in \mathcal{M}$. Likewise if $A \in \nu(\mathcal{O}(n_k))$ then $a(A) = \text{sp}(A)$.*

Proof. Let $\mathcal{M} = \overline{\bigcup_{k=1}^{\infty} \mathcal{M}_{n_k}}$ where \mathcal{M}_{n_k} is a $n_k \times n_k$ matrix algebra and $\mathcal{M}_{n_k} \subseteq \mathcal{M}_{n_{k+1}}$. Since each \mathcal{M}_{n_k} can be faithfully represented on a finite dimensional Hilbert space, $a(A) = \text{sp}(A)$ for all $A \in \bigcup_{k=1}^{\infty} \mathcal{M}_{n_k}$. Lemma 5 then implies that $a(A) = \text{sp}(A)$ for all $A \in \mathcal{M}$. Now if $A \in \nu(C^*(S(n_k)))$ and $\lambda \notin a(A)$ then there exists a $B \in \nu(C^*(S(n_k)))$ with $B(A - \lambda I) = I$. But if π is an irreducible representation of $\nu(C(S(n_k)))$, then by [3, Theor. 2.2] \mathcal{H}_{π} is finite dimensional, and $\pi(B)\pi(A - \lambda I) =$

$I = \pi(A - \lambda I) \pi(B)$, so $(A - \lambda I)B = I$ and $\lambda \in \text{sp}(A)$. Thus $a(A) = \text{sp}(A)$ for all $A \in \nu(C^*(S(n_k)))$ and all k . Lemma 5 then implies $a(A) = \text{sp}(A)$ for all $A \in \nu(\mathcal{U}(n_k))$.

3. RELATIVE COMMUTANTS

We now construct a representation of $\nu(\mathcal{U}(2^k))$ as an algebra of multiplications and translations on $L^2[0, 1]$. Let ϕ be defined from $[0, 1]$ into $[0, 1]$ as in Fig. 1. That is,

$$\phi(x) = \begin{cases} x + 1/2 & 0 \leq x < 1/2 \\ x - 1/4 & 1/2 \leq x < 3/4 \\ x - 5/8 & 3/4 \leq x < 7/8 \\ \vdots & \vdots \\ x - \frac{2^{n+1} - 3}{2^{n+1}} & \frac{2^n - 1}{2^n} \leq x < \frac{2^{n+1} - 1}{2^{n+1}} \\ \vdots & \vdots \\ 0 & x = 1. \end{cases}$$

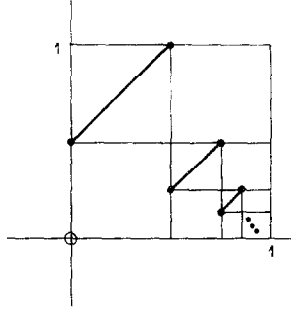


FIGURE 1

Then define T_ϕ on $L^2[0, 1]$ by $(T_\phi f)(x) = f(\phi(x))$. Then T_ϕ is a well defined unitary operator on $L^2[0, 1]$. Theorem 3 of [4] then implies that there is $*$ -representation π of $C^*(U_+)$ onto $C^*(T_\phi)$ with $\pi(U_+) = T_\phi$. The representation π then induces a representation, also called π , from $\nu(C^*(U_+)) = C(T)$ onto $C^*(T_\phi)$ by $\pi(\nu(U_+)) = T_\phi$. We then have a representation

$$\pi^{(k)}: M_{2^k}(C(T)) \rightarrow M_{2^k}(C^*(T_\phi)),$$

which is just π acting on each matrix unit. We denote by $L^2[a, b]$ the projection of $L^2[0, 1]$ onto the subspace of functions vanishing off $[a, b]$.

Let $\mathcal{H}_j^{(k)}$ be the range of the projection $L^2(\phi^{-j+1}[1 - 1/2^k, 1])$, $1 \leq j \leq 2^k$. Then clearly $\mathcal{H}_j^{(k)}$ is the range of T_ϕ^{j-1} restricted to $\mathcal{H}_1^{(k)}$. Let $W_j^{(k)}$ be the natural unitary mapping of $\mathcal{H}_j^{(k)}$ onto $L^2[0, 1]$ (that is, if $\mathcal{H}_j^{(k)} = L^2[b_j^{(k)}/2^k, (b_j^{(k)} + 1)/2^k]$, $0 \leq b_j \leq 2^k - 1$, then $(W_j^{(k)}f)(x) = f(x/2^k + b_j^{(k)}/2^k)$). Then let $W^{(k)}$ be the unitary mapping of $L^2[0, 1]$ onto the direct sum of 2^k copies of $L^2[0, 1]$ with the property that $W^{(k)}$ takes $\mathcal{H}_j^{(k)}$ into the j th copy of $L^2[0, 1]$, and $W^{(k)}|_{\mathcal{H}_j^{(k)}} = W_j^{(k)}$. Then let $\rho^{(k)}$ be the representation of $C^*(S(2^k))$ into $\mathcal{B}(L^2[0, 1])$ defined by

$$\rho^{(k)}(A) = (W^{(k)})^* \pi^{(k)}(\nu(A)) W^{(k)}.$$

We now show that $\rho^{(k)}|_{C^*(S(2^{k-1}))} = \rho^{(k-1)}$.

Now

$$\begin{aligned} \rho^{(k)}(U_+) &= (W^{(k)})^* \pi^{(k)}(\nu(U_+)) W^{(k)} \\ &= (W^{(k)})^* N(I, I, \dots, I, T_\phi) W^{(k)}, \end{aligned}$$

where $N(A_1, A_2, \dots, A_p)$ is the $p \times p$ matrix defined by

$$N(A_1, A_2, \dots, A_p)(x_1, x_2, \dots, x_p) = (A_p x_p, A_1 x_1, \dots, A_{p-1} x_{p-1}).$$

Using the facts

$$\begin{aligned} (1) \quad \phi(x)/2^k &= \phi(x/2^k + (2^k - 1)/2^k) \quad \text{for all } k \\ &\text{and} \quad 0 \leq x \leq 1, \end{aligned}$$

and

$$\begin{aligned} (2) \quad b_1^{(1)} &= 1, \quad b_2^{(1)} = 0. \\ b_{2^{k-j}}^{(k)} &= 2b_{2^{k-1-j}}^{(k-1)}, \quad 0 \leq j < 2^{k-1}, \\ b_{2^{k-j}}^{(k)} &= b_{2^{k-j}+2^{k-1}}^{(k-1)} + 1, \quad 2^{k-1} \leq j < 2^k - 1, \end{aligned}$$

we see that $\rho^{(k)}(U_+) = T_\phi$ for all $k \geq 1$. It is immediate that $\rho^{(k)}(P_1^{(k)}) = L^2[1 - 1/2^k, 1]$. The recursive relations (2) imply that

$$\begin{aligned} L^2 \left[b_1^{(k)}/2^k, \frac{b_1^{(k)} + 1}{2^k} \right] &+ L^2 \left[\frac{b_{1+2^{k-1}}^{(k)}}{2^k}, \frac{b_{1+2^{k-1}}^{(k)} + 1}{2^k} \right] \\ &= L^2 \left[\frac{b_1^{(k-1)}}{2^{k-1}}, \frac{b_1^{(k-1)} + 1}{2^{k-1}} \right]. \end{aligned}$$

So that we have

$$\begin{aligned}\rho^{(k)}(P_1^{(k-1)}) &= \rho^{(k)}(P_1^{(k)} + P_{1+2^{k-1}}^{(k)}) \\ &= L^2[1 - (1/2^{k-1}), 1] \\ &= \rho^{(k-1)}(P_1^{(k-1)}).\end{aligned}$$

Hence $\rho^{(k)} \mid C^*(S(2^k)) = \rho^{(k-1)}$. But then there is a representation

$$\theta: \mathcal{A}(2^k) \rightarrow \mathcal{B}(L^2[0, 1])$$

defined by $\theta(A) = \rho^{(k)}(A)$ if $A \in C^*(S(2^k))$. Under this representation we have that

$$\theta(P_j^{(k)}) = L^2(\phi^{-j+1}[1 - 1/2^k, 1])$$

for all $k \geq 1$, $1 \leq j \leq 2^k$, and

$$\theta(U_+) = T_\phi.$$

Note that since $\nu(\mathcal{U}(2^k))$ is simple, θ induces a $*$ -isomorphism of $\nu(\mathcal{U}(2^k))$ with $\theta(\mathcal{U}(2^k))$.

THEOREM 7. *The representation*

$$\theta: \mathcal{M}(2^k) \rightarrow \mathcal{B}(L^2[0, 1]) \text{ is irreducible.}$$

Proof. Let $A \in (\theta(\mathcal{M}(2^k)))'$. Then A commutes with

$$L^2[i/2^k, (i+1)/2^k]$$

for all $k \geq 1$, and $0 \leq i \leq 2^k - 1$. Hence A commutes with M_f for all $f \in L^\infty[0, 1]$, where M_f denotes the multiplication operator on $L^2[0, 1]$ associated with f . Since the algebra $\{M_f: f \in L^\infty[0, 1]\}$ is maximal abelian, we have that $A = M_g$ for some $g \in L^\infty[0, 1]$. But A also commutes with $\theta(E^{(1)}(1, 2)) = \theta(P_1^{(1)}U_+^*) = L^2[(1/2), 1] T_\phi^{-1}$, hence $g(x) = g(\phi(x))$ for almost every $x \in [0, (1/2)]$. Likewise A commutes with $\theta(E^{(2)}(2, 3)) = \theta(P_2^{(2)}U_+^*) = L^2[(1/4), (1/2)] T_\phi^{-1}$, and hence $g(x) = g(\phi(x))$ for a.e., $x \in [(1/2), 3/4]$. Also A commutes with $\theta(E^{(3)}(4, 5)) = L^2[1/8, (1/4)] T_\phi^{-1}$ and hence $g(x) = g(\phi(x))$ for a.e., $x \in [3/4, 7/8]$. Continuing in this manner we obtain that $g(x) = g(\phi(x))$ for a.e., $x \in [0, 1]$. But this easily implies that $g(x) = g(x + p/2^k)$ for a.e., $x \in [0, 1]$, all $k \geq 1$, $0 \leq p < 2^k$, where addition is modulo one. But it is well known (see [11, Lemma 13.2.1]) that this implies that g

is constant a.e. Hence A is a scalar and θ restricted to $\mathcal{M}(2^k)$ is irreducible.

COROLLARY 8. *We have that $\nu(\mathcal{M}(2^k))' \cap \nu(\mathcal{U}(2^k))$ consists of scalars. Equivalently if $A \in \mathcal{U}(2^k)$ is such that $AB - BA \in \mathcal{K}$ for all $B \in \mathcal{M}(2^k)$, then $A = \lambda I + C$ for some $C \in \mathcal{K}$.*

Proof. If $A \in \mathcal{U}(2^k)$ is such that $AB - BA \in \mathcal{K}$ for all $B \in \mathcal{M}(2^k)$, then $\theta(A) \in \theta(\mathcal{M}(2^k))'$. So $\theta(A) = \lambda I$ for some complex number λ by Theorem 7. Hence $A = \lambda I + C$ for some compact C .

As mentioned in the introduction, we are interested in relative commutants because of Dixmier's conjecture that the relative commutant of a simple C^* -algebra in a simple C^* -algebra is again simple. We are unable to determine if the relative commutant of $\nu(\mathcal{U}(2^k))$ in the Calkin algebra $\nu(\mathcal{B}(\mathcal{H}))$ is simple or not. However, we can exhibit an element of $\nu(\mathcal{B}(\mathcal{H}))$ which is not normal but which does commute with $\nu(\mathcal{U}(2^k))$. Let $B^{(k)}$ be the $2^k \times 2^k$ matrix such that for $k \geq 1$

$$B_{j,j+2^{k-1}}^{(k)} = (1/2^{k-1}) + ((j-1)/2^{k-2}) \quad \text{if } 1 \leq j \leq 2^{k-2},$$

and

$$B_{j,j+2^{k-1}}^{(k)} = B_{2^{k-1}-j+1, 2^k-j+1}^{(k)} \quad \text{if } 2^{k-2} + 1 \leq j \leq 2^{k-1},$$

and $B_{i,j}^{(k)} = 0$ for all other i, j . That is, $B_{1,2}^{(1)} = 1$; $B_{1,3}^{(2)} = 1/2 = B_{2,4}^{(2)}$; $B_{1,5}^{(3)} = 1/4$, $B_{2,6}^{(3)} = 3/4$, $B_{3,7}^{(3)} = 3/4$, $B_{4,8}^{(3)} = 1/4$; etc. Let $B = \sum_{k=1}^{\infty} \bigoplus B^{(k)}$. Then $B \in \mathcal{B}(\mathcal{H})$ and an easy calculation shows that $BU_+ - U_+B \in \mathcal{K}$ and that $BP_1^{(k)} - P_1^{(k)}B$ is finite rank for all $k \geq 1$. Hence $\nu(B)$ commutes with $\nu(S)$ for S any shift of period 2^k , $k \geq 1$. Hence $\nu(B) \in \nu(\mathcal{U}(2^k))'$. But $BB^* - B^*B$ is not compact, so $\nu(B)$ is not normal.

Remark. We note that the discussion of this section concretely exhibits an irreducible operator $T \in \mathcal{B}(L^2[0, 1])$ such that $C^*(T)$ is simple and contains no nonzero compact operator. The existence of such an operator was proven in [15], but the operator was not explicitly described. Let $S = \sum_{k=1}^{\infty} (1/2^{k-1}) S(2^k)$. Then by [3, Cor. 3.3] we have that $C^*(S) = \mathcal{U}(2^k)$ so that $C^*(\theta(S)) = \theta(\mathcal{U}(2^k))$ is a simple, irreducible C^* -algebra, which contains no nonzero compact operators. Using the above calculations, we see that

$$T = \theta(S) = T_{\phi} \left(I + \sum_{k=1}^{\infty} (1/2^k) M_{x_{[1-1/2^k, 1]}} \right)$$

is an operator with the asserted properties. Finally, we note that since $\theta(C^*(S))$ is irreducible and contains no nonzero compacts, the algebra $C^*(S)$ is not a GCR algebra [5, 9.1]. The fact that $C^*(S)$ is not GCR was proven by a different method in [3, Cor. 3.2].

4. A REPRESENTATION OF CAR

Let \mathcal{H} be a Hilbert space. A representation of the canonical anticommutation relations (CAR) over \mathcal{H} is a linear mapping $a: \mathcal{H} \rightarrow \mathcal{B}(\mathcal{H}')$, where \mathcal{H}' is a Hilbert space, such that

$$a(f)a(g) + a(g)a(f) = 0,$$

and

$$a(f)^*a(g) + a(g)a(f)^* = (g, f)I$$

for all $f, g \in \mathcal{H}$. The usual construction of a representation of CAR, called the Fock representation, first defines the operators $a(f)$ on a prehilbert space and then uses the automatic boundedness of the operators to extend them to bounded operators on a Hilbert space [12, 1.3]. We construct a representation of CAR over \mathcal{H} directly as bounded operators on l^2 . This representation will be unitarily equivalent to the Fock representation. If a is any representation of CAR over \mathcal{H} , then the C^* -algebra generated by $\{a(f): f \in \mathcal{H}\}$ is a UHF algebra of type (2^k) [12, Sect. 3.2]. In our context this implies that there must be a representation of CAR as elements of $\mathcal{M}(2^k)$. We construct such a representation.

Let a and b be two symbols. By the Morse recurrent sequence on the symbols a and b we mean the following sequence of a 's and b 's:

$$a \mid b \mid ba \mid baab \mid baababba \mid \cdots$$

“The rule here is that the block of terms between \mid is obtained from all that goes before by interchanging the a 's and b 's” [10, p. 198]. Let $\{f_n\}_{n=1}^\infty$ be an orthonormal basis for \mathcal{H} . Let V_n be the diagonal operator of period 2^n whose first 2^n terms are obtained as in the Morse recurrent sequence on the symbols 1 and -1 and define $P_j^{(k)}$ as in the introduction; that is, $P_j^{(k)}$ is the diagonal projection with weights $\alpha_m = 1$ if $m = j + l2^k$, $l \geq 0$ and $\alpha_m = 0$ otherwise. Let $V_0 = I$. Then define a on $\{f_n\}_{n=1}$ by

$$a(f_n) = V_{n-1} \left(\sum_{j=1}^{2^{n-1}} P_j^{(n)} \right) U_{+}^{*2^{n-1}}.$$

Some laborious calculations then show that

$$\begin{aligned} a(f_n) a(f_m) + a(f_m) a(f_n) &= 0, \\ a(f_n)^* a(f_m) + a(f_m) a(f_n)^* &= \delta_{n,m}. \end{aligned}$$

We then extend a by linearity to the prehilbert space of finite linear combinations of the f_n . The automatic boundedness of a representation of CAR then allows us to extend a to all of \mathcal{H} to obtain a representation of CAR over \mathcal{H} . In this representation $\mathcal{M}(2^k)$ is the C^* -algebra generated by the $a(f)$.

In this representation of CAR, the vector e_0 is a cyclic vector for the $a(f)$ and $a(f) e_0 = 0$ for all f . Hence by [12, Lemma 4.7] this representation of CAR is unitarily equivalent to the Fock representation. This representation makes more obvious some of the calculations in Størmer's proof [14] of the fact that the even CAR algebra is a UHF algebra.

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