Behavioral Modernity and the Cultural Transmission of Structured Information: The Semantic Axelrod Model

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Abstract Cultural transmission models are coming to the fore in explaining increases in the Paleolithic toolkit richness and diversity. Social learning models may drive diversity through relaxation of conformism, population growth, or the effects of extinction and recolonization in metapopulations. During the Paleolithic, however, technologies increase not only in terms of diversity but also in their complexity and interdependence. As Mesoudi and O'Brien (2008) have shown selection broadly favors social learning that is hierarchical and structured, rather than information which is piecemeal and independent. The addition of structured information acquisition potentially explains how the complexity of technology changes along with diversity. Here, we introduce a structured extension of the Axelrod model of cultural differentiation (1997). We examine the conditions under which structured suites of traits develop and differentiate in the model, which can represent the chains of prerequisites, background information, and local specializations characteristic of real technology traditions. Our results point to ways in which we can build more comprehensive explanations of the archaeological record of the Paleolithic as well as other cases of technological change.

1 Introduction

Although humans and our hominid ancestors have been cultural animals throughout our evolutionary history, an important change occurred in our lineage during the

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Middle and Upper Paleolithic. After many millennia with varied but relatively small toolkits, and material culture which was very similar across continental distances, our cultural repertoire began to explode. The artifactual toolkit became larger and its constituent tools and technologies more complex, the range of materials used to construct it was enriched, and our solutions to the problems of everyday life became regionalized and differentiated. Further, the economic basis of our lives began to broaden and also, in many areas, to become specialized (Bar-Yosef, 2002; d'Errico and Stringer, 2011; Straus, 2005) This transition has long been called the "Upper Paleolithic Revolution," or less humbly, even the "Human Revolution" by those who see such characteristics as marking out what it means to be uniquely human.

Careful examination of the Middle Paleolithic archaeological record, especially in Africa and the Near East, suggests that this change in behavior was not a sharp "revolution," and that much of the enriched material culture we later characterize as the "Upper Paleolithic" had precursors in many areas. These were patchy, both in space and time (Bouzouggar et al., 2007; d'Errico and Henshilwood, 2007; d'Errico and Stringer, 2011; Straus, 2005; McBrearty and Brooks, 2000; McBrearty, 2007). Nevertheless, even if our understanding of the time scale over which this enrichment occurred has lengthened and the spatial scope has widened, it remains a central puzzle in the evolution of our species. As a neutral term, we prefer "behavioral modernity," which emphasizes a pattern or phenomenon, not its timing, geography, or association with biology.

The most promising explanations of behavioral modernity are those which examine the nature of cultural variation and social learning itself, and factors which might lead to the evolution of more diverse populations. Several possibilities have already been explored. Shennan (2000; 2001) proposed that demography has a powerful effect on diversity within cultural transmission processes, and this seems to be supported by the reduced cultural diversity and loss of toolkit elements observed in Tasmania during a population bottleneck (Henrich, 2004). But a recent comparative study shows that population size itself bears no relationship with toolkit diversity (Collard et al., 2013). If simple transmission models do show a relation between diversity and population size, it is likely to be a second-order effect in the real world, dominated by or interacting with other factors.

The structure of bands or demes into larger regional metapopulations, however, is a first-order effect, given what we know about the strong effect that network topology has upon contagion or diffusion processes (e.g., Castellano et al., 2009; Smilkov and Kocarev, 2012). Premo (2012) has examined whether metapopulation dynamics, with local extinction and recolonization, might account for the retention and expansion of diversity, with promising results. Finally, the way social learning occurs within a population can radically change its quantitative results over time. Sharon (2009) suggested that Middle Paleolithic populations might have been characterized by strongly conformist learning, which changed over time, leading to a dramatic increase in cultural diversity.

A foundational event in human evolution like behavioral modernity is highly unlikely to have a single cause, especially if it evolved over many tens of millennia in a widely distributed population. Instead, we should expect a combination

of factors. Demography, and the metapopulation dynamics of individual demes or groups likely played roles, with the strength of such effects depending upon localized circumstances. The way in which individuals learn skills and information likely plays a central role. And we cannot forget the strategic dimension of social life: the evolution of cooperation and pro-social behaviors are probably implicated as well. Sterelny (2012, p.61) sums up the multi-factor view nicely:

... the cultural learning characteristic of the Upper Paleolithic transition and later periods of human culture—social transmission with both a large bandwidth and sufficient accuracy for incremental improvement—requires individual cognitive adaptations for cultural learning, highly structured learning environments, and population structures that both buffer existing resources effectively and support enough specialization to generate a supply of innovation.

We believe this is a promising approach. A useful next step is to construct formal models which incorporate some of these factors, and determine if their dynamics exhibit the kind of qualitative changes we see in the transition from archaic to behaviorally modern assemblages. Specifically, we need models which:

- Represent cultural traits as hierarchically structured, in order to study increases in complexity
- Have learning rules sensitive to the structure and relations of cultural traits
- Have mechanisms (such as homophily) which allow cultural differentiation endogeneous to the model

As the "learning environment" in such models is tuned from lower to higher fidelity, we would expect to see greater diversity, larger structured sets of traits persisting in the population, and greater differentiation of the population into "different" cultural configurations.

In this chapter, we introduce a simulation model which combines a hierarchical trait space capable of expressing the "semantics" of relationships between skills and information (Mesoudi and O'Brien, 2008), and a modified version of Robert Axelrod's (Axelrod, 1997) homophilic social learning model which allows us to examine these expectations. Models like this begin to move beyond diffusion dynamics, bringing the actual meaning and relations of traits into the modeling process. Hence we call these "semantic Axelrod" models, and believe that such models form a platform for formalizing the type of multi-factor hypotheses necessary to examine major transitions in human evolution, such as "behavioral modernity." After describing the model, we study its dynamics and provide an initial assessment of its suitability for studying the onset of behavioral modernity in the later Paleolithic.

2 The Semantic Axelrod Model for Trait Prerequisites

Much of our technical knowledge, whether of stone tool manufacture, throwing clay pots, or computer repair, is built from simple tasks, bits of background knowledge, and step-by-step procedures (Neff, 1992; Schiffer and Skibo, 1987). We organize

our knowledge and skills in many ways, but it is common to think of a complex process as a "script" or "recipe" (Schank and Abelson, 1977). Of course, experts in a task or field may not see it this way, having internalized such structures below the conscious level. Experts will often know more than one way to accomplish any given goal, and be able to repurpose and recombine methods and tools, as opposed to the simpler, more linear or tree-based recipes of the novice or student. (e.g., Bleed, 2008, 2002; Stout et al., 2002).

A detailed formal model of the relations between steps in a technological process, or the knowledge necessary to execute a technological recipe, will involve many kinds of relations. Some items will be related in time, as steps in a process. Others will be related by subsumption: arrowheads are a subclass of bifacial stone tools, and require many of the same production techniques as bifaces used in other projectiles. Still others will be related as sets of alternatives: choices of surface treatment for a given ceramic paste, given the firing regime selected, for example. Models of stone tool production often use sequences to represent the relations in a construction recipe, but models such as trees or more general graphs are possible and useful. Archaeologists have frequently organized the relations between these skills and production steps into "sequence models" or "chaîne opératoire," but many representations are possible, including trees (Bamforth and Finlay, 2008; Bleed, 2008; Ferguson, 2008; Högberg, 2008; Bleed, 2001, 2002; Schiffer and Skibo, 1987; Stout et al., 2002).

In this chapter, we focus not on the execution steps of a recipe, but the relations between skills and information as it is learned. In other words, we focus upon the *prerequisite* relationships that exist between cultural traits, since these govern the way in which social learning is constrained in the environment of learning and teaching. Some pieces of information or skills must be in place before a person can effectively learn or practice others. Examples from our own childhoods abound: one needed to understand addition and subtraction and multiplication before learning long division; in order to make soup, we need to understand how to simmer rather than boil, how to chop and slice, what ingredients might be combined, and so on. The fact that knowledge and skills build upon one another make prerequisite relations between cultural traits ubiquitous. Incorporating such relations into social learning models is thus a useful first step in understanding how the human cultural repertoire changed in the later Paleolithic. We represent prerequisite relations as trees in the graph-theoretic sense (Diestel, 2010), replacing the "flat" structure of "local/allele" models or the "dimension/mode" structure of classifications and some typologies (Dunnell, 1971).

Our model also requires a way of representing a changing learning environment, in ways that create higher fidelity and greater possibility for building cumulative knowledge. In real learning environments, there are many possibilities, but deliberate teaching, and apprentice learning are repeatedly seen across human groups as ways that naive individuals can reliably learn the complex skills and information needed for foraging, artifact production and maintenance, and navigating an increasingly rich social world. The point of structuring the learning environment with teaching and/or apprenticeship is to give the learner skilled models to imitate,

shortcut trial and error when acquiring a skill, provide a reference for needed information, and to guide individuals to put their information and skills together into appropriate sequences to accomplish an overall goal. Apprenticeship and formalized teaching provide a social learning "scaffold," helping to lower the amount of individual trial and error learning needed to master a body of material (Wimsatt and Griesemer, 2007; Wimsatt, 2007).

Within a standard discrete-time simulation model of a social learning process, we can model this type of learning environment with the following modifications:

- 1. Disallowing individuals the ability to copy traits for which they do not have necessary background or prerequisites.
- 2. Creating a probability that individuals, if disallowed a trait, may be able to learn one of its necessary prerequisites instead, leading to the possibility of full acquisition at some future time.

By changing the probability that individuals learn a missing prerequisite trait, we can "tune" the learning environment. Low probabilities might correspond, for example, to a learning environment where individuals can observe others executing a production step, but are given little or no instruction or guidance on what they need to know in order to successfully master it. High probabilities of learning prerequisites would correspond, on the other hand, to environments where individuals receive instruction, or work together with a more skilled individual who guides them toward learning the information and skills they lack. In the next section, we discuss the implementation of both points in more detail.

2.1 Representation of Traits And Their Prerequisites

In order to represent the "prerequisite" relations between a number of cultural traits, we organize the traits into trees¹, where nodes higher in the tree represent knowledge, skills, or concepts which are necessary for traits further down the tree. Let us consider the different skills and information necessary for the construction of a single artifact, say a dart thrown by an atlatl. An artisan will possess information about different raw materials, an understanding of what materials are suitable for specific purposes, skills and information concerning the knapping of different types of bifaces, methods of hafting bifaces into different kinds of shafts, and so on. Here, we are interested in the order in which people usually *learn*, rather than the order in which steps are executed. Thus, nodes closer to the top of a tree are "background" or necessary skills to nodes further down in a tree. For example, a basic understanding of the properties of stone would occur close to the root of a tree, while knowledge of

 $^{^{1}}$ A tree is a graph with no cycles or loops. That is, a tree is a connected graph on n vertices that possesses at most n-1 edges (Diestel, 2010). Furthermore, in this chapter we are concerned with *rooted* trees, in which one vertex is distinguished as the "origin" of the tree, giving rise to a hierarchical structure.

the differences between specific sources of lithic raw material would occupy nodes at the same level below the root.

In each simulation model, we begin with a trait or "design space" that incorporates several independent sets of prerequisite relations (O'Brien et al., 2010). This models the fact that some knowledge is always independent and can be learned in parallel, since it may relate to different aspects of a process. For example, one might learn about the sources of good lithic raw materials, independent of learning how to perform different percussion techniques. The overall design space of a simulation model is thus a forest², composed of several trees.

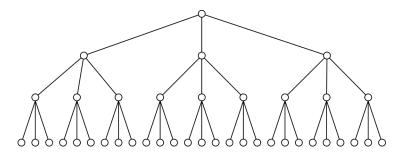


Fig. 1 A single trait tree, represented by a balanced tree with branching factor 3 and depth factor 3, order 40. In our model, nodes higher in the tree represent prerequisites for nodes lower down the tree. Each instance of the model will have several or many of these trees in the design space.

We employ balanced trees which have the same number of nodes at each level, to provide a simplified model of a design space with which to begin our exploration of this class of social learning model, although real design spaces are undoubtedly more complex in their geometry. Each tree in our model is specified by a branching factor r and depth h. As a result, each trait tree in the design space has $\sum_{i=0}^{h} r^i$ traits. The tree depicted in Figure 1 thus has 40 vertices, for example. In this chapter, we examine both small (4 trees) and larger (16 trees) design spaces, to see how learning may differ in problems involving design spaces of different size and complexity. We examine trees with combinations of branching and depth factors of 3 and 5. Thus, a design space with 4 trees with branching and depth factors of 3 (as in Figure 1) would have 160 traits, whereas a design space with 16 trees of branching and depth factors of 5 would have a total of 62,496 traits. Even the small design spaces we consider here create a large space for cultural change and differentiation, given the number of possible trees one can construct on even 40 vertices.

² A forest is a graph composed of multiple components, each of which is a tree.

³ We initially chose 6 as the limit on branching and depth factors, but found that we cannot calculate certain symmetric statistics, such as the size of the automorphism group, on trees that large using existing tools. Even a tree with r = 5, h = 6 has over 10^{1623} possible symmetries, and calculating that number takes over 100 seconds on a fast multi-core computer.

⁴ If we consider each trait to be unique and non-interchangeable, the number of unique trees with unique vertex labels is n^{n-2} by Cayley's theorem (Diestel, 2010). For example, for each trait tree of

Given the total "design space" represented by a forest of trait trees, each individual in our model is initialized with a small number of "initial" traits. Initial traits are chosen randomly but heavily weighted towards the roots of the trees to represent the fact that our knowledge starts out basic and sparse. In the next sections we describe the social learning model, modified from Robert Axelrod's original, by which each simulated population evolves within this tree-structured design space, and will return to the specifics of how an initial culture repertoire is chosen.

2.2 The Axelrod Model of Social Learning and Differentiation

Robert Axelrod (1997) formulated a model aimed at studying the conditions under which simple learning rules could lead to cultural differentiation, rather than a single fixed state (which is the result of simpler neutral or diffusion models). This makes it useful as a starting point for understanding phenomena such as behavioral modernity, in our view. Axelrod's model combines social learning, in the form of random copying, a spatial structure to interaction, in the form of localized copying of neighbors on a lattice, and the tendency to interact most strongly with those to whom we are already culturally similar (homophily). The model displays a rich and interesting set of behaviors, and has been extensively studied by social scientists and physicists (Castellano et al., 2009). First we review the basic model, and in the following section our modified algorithm.

2.2.1 Axelrod's Original Model

The original model locates N individuals on the nodes of a regular lattice or grid, but various network structures have also been studied. Each individual is endowed with F integer variables $(\sigma_1, \ldots, \sigma_F)$, that can each assume q values. In the original model, each variable is a "cultural feature" each of which can assume q "traits." In each step, a randomly chosen individual i and a random neighbor j are selected, and "interact" with probability equal to the overlap between their cultural repertoire. Overlap, in the basic model, is simply the fraction of features for which i and j possess the same trait value:

$$p(i,j) = \frac{1}{F} \sum_{f=1}^{F} \delta_{\sigma_f(i)\sigma_f(j)}$$
 (1)

where $\delta_{i,j}$ is Kronecker's delta function, taking the value 1 when its two arguments are equal and 0 otherwise. When individuals interact, the focal individual i

⁴⁰ vertices, there are roughly 10^{60} possible trees. Even if we consider traits to be interchangeable (e.g., we look at the abstract topology of trees rather than the details of individual traits), there are at least 10^{16} possible unlabelled rooted trees on 40 vertices (using Otter's (1948) approximation).

takes the trait value of its neighbor for one of the features where the two individuals differ.

Interaction has no effect when two individuals already possess identical cultural repertoires, and there is no probability of interaction if individuals have no traits in common. This eventually causes the model to reach an absorbing state where no further changes are possible. Instances of the model are initialized with a random distribution of traits among individuals, and left to update until the steady state is reached. The evolution of the population leads to two classes of absorbing states: (a) a "monocultural" state in which all individuals share the same set of variables, and (b) a "polycultural" state in which subpopulations exist which share the same set of variables within the group, and are completely different from their neighbors.

Which of the two results is reached, and the statistical character of "polycultural" states when they exist, depends mainly upon the number of traits possible q for each cultural feature. For small values of q, individuals share many traits with their neighbors, interactions are thus frequent, and one domain comprising a single set of traits will grow to become fixed within the entire population. In contrast, when the value of q is high, individuals start out sharing very few traits, with interactions that are correspondingly less frequent. Regions of uniform cultural variation do grow, but as they do, sets of individuals who share no traits at all (and thus do not interaction) grow as well, and often prevent any single regional culture from expanding to fix within the population.

Many variants of the basic Axelrod model have been studied, including the addition of "drift" via the introduction of copying error, situating agents on different types of complex networks, the addition of an external "field" to simulate the effects of mass media, and copying that obeys a "conformist" or majoritarian rule by selecting the most common trait among the neighbor set (Castellano et al., 2000; De Sanctis and Galla, 2009; Flache and Macy, 2006; Gonzalez-Avella et al., 2007b,a; González-Avella et al., 2005, 2006; Klemm et al., 2003a,b, 2005; Lanchier et al., 2010; Lanchier, 2012). In general, modifications of the basic model can reduce the tendency of the model to produce polycultural solutions, or change the time scale or location of the critical point.

2.2.2 Semantic Extensions to the Axelrod Model

We begin each simulation with N (100, 225, or 400) agents, arranged on a square grid. A design space is created, with some number of trait trees (4 or 16), with uniform branching factors and depth factors (3 or 5). An example of such a tree is shown in panel A of Figure 2. Initial traits (and their prerequisites) are chosen randomly across the configured number of trait trees, as follows. For each individual, we select a random number t between 1 and 4, and repeat the trait selection process t times for that individual. In each selection, we choose a random tree in the design space, and then select a depth in the tree for the trait, given by $d \sim \text{Poisson}(0.5)$. This biases trait selection towards the root of the tree, as one would expect in young or inexperienced individuals. We then walk d steps into the tree, making uniform

random selections for the children of each vertex. The path of vertices thus constructed is added to the individual's trait set, giving them an initial trait and its necessary prerequisites. One such initial trait is shown in Panel B of Figure 2. Given that individuals begin with a small number of initial traits (between 1 and 4, selected randomly), and their prerequisites, the initial trait endowment of an individual is between 1 and 4h, where h is the maximum depth of the design space (either 3 or 5 in the experiments reported here).⁵

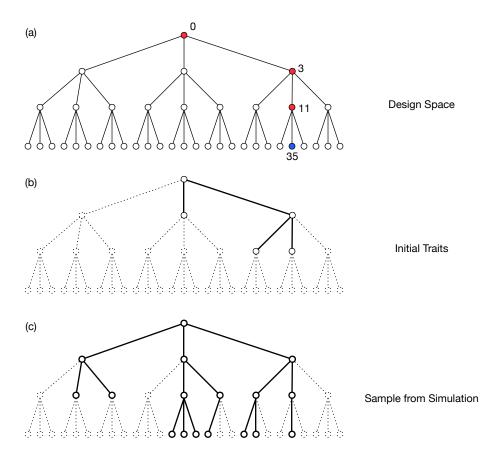


Fig. 2 Illustration of a design space composed of a single trait tree, along with a random initial trait chosen from the design space, and a final sample from a simulation run, showing the evolution of traits within the design space. Also shown in the top panel are the "prerequisites" for a cultural trait (35), as an example.

⁵ The median number of traits in samples taken after 6-10 million time steps is considerably higher–259 traits per cultural configuration or region. Thus, cultural repertoires grow significantly over time, as expected.

Once the population is initialized, the simulation runs a discrete approximation to a continuous-time model. In other words, only one agent changes at each elemental time step, as in the original Axelrod model and the Moran model of population genetics and its cultural version (Aoki et al., 2011; Moran et al., 1962; Moran, 1958). At each step, an agent (A) is chosen at random, and a random neighbor of A is then selected (agent B). Their probability of interaction is given by the overlap of trait sets, which is most simply calculated as the Jaccard overlap between the set of tree vertices each possesses, thus replacing Equation 1 with:

$$J(A,B) = \frac{|A \cup B| - |A \cap B|}{|A \cup B|} \tag{2}$$

If the agents end up interacting, agent A randomly selects a trait from B which it does not already possess. If agent A has the necessary prerequisites for the desired trait (T), it learns the trait immediately, adding T to its repertoire. If not, it cannot immediately learn T, but there is a learning rate parameter for the simulation run which governs the chance that agent A will instead learn one of T's prerequisites during this time step. Given a learning event, we again walk from the root of the tree down the path towards the trait T, finding the first trait in the path that Agent A does not already possess. For example, agent A might require the trait closest to T (e.g., trait 11 in Figure 2, if the original trait targeted was 35).

Additionally, at each time step, there is a probability that one individual, chosen randomly, will learn a new trait (and necessary prerequisites) that it does not already possess. This simulates the ongoing process of individual learning unconnected to social learning within the population, as well as the process of individual innovation. Because this functions much like "infinite-alleles mutation" in the classical Wright-Fisher neutral models (Ewens, 2004), or like noise terms in Axelrod, Ising, or Potts models (Castellano et al., 2009), we will refer to this as the "global innovation rate" in this chapter.

Each simulation run lasts 10 million steps, which is an average of 100,000 copying events per individual (in a population of 100).⁶ Samples are taken beginning at 6 million steps, and sampling at an interval of 1 million steps, and record the trait trees seen in the population. An example of such a sampled tree is shown in Panel C of Figure 2. For reference, the full algorithm for each copying step is given in the Appendix as Algorithm 8.1.

⁶ 100,000 was chosen as a compromise for running large batches of simulations in parallel. Some simulation runs, especially in small design spaces with very high prerequisite learning rates, can converge to a monocultural solution and quasi-stable equilibrium quite quickly; in the largest design spaces and low learning rates, convergence may never occur even though the process is well-mixed. However, the processes have reached a quasi-stable equilibrium, verified by examining samples at different times for secular trends in median and mean values, which were not found.

3 Measuring Cultural Diversity and the Results of Structured Learning

Each sample from a simulation run is composed of the distinct sets of trait trees possessed by individuals in the population, along with summary statistics. If a simulation run converges to a monocultural solution, the sample will have one set of trait trees, which are shared across the entire population. In other cases, there will be multiple cultural configurations or "regions," which might be unique to a single individual, or shared by some number of agents. Each region will be composed of some number of trait trees (typically, the number configured for the simulation run: 4 or 16, but perhaps a subset), and each trait tree will be the result of many agents learning traits and their prerequisites socially, and for runs with a non-zero mutation rate, by individual learning or innovation. Each culture region will thus have some number of traits, typically higher (often much higher) than the initial endowment given to the population.

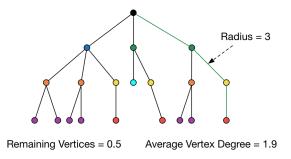


Fig. 3 An example set of traits at the conclusion of a simulation run, extracted from a simulation with branching factor 3 and depth factor 3, and a single trait tree as the trait space. The remaining density of vertices, mean vertex degree, and radius of the tree are noted. Vertex colors denote "structural equivalence" classes or "orbit structure," as measured by adjacency patterns, and is one measure of the symmetries present in the tree.

Samples contain descriptive statistics for the trait trees of each cultural region, as follows. The ratio of the number of traits in the sample to the full design space size (or "remaining density" of traits) is a measure of how diverse a cultural region is. The radius of a rooted tree is the number of edges in the path from root to the furthest edge. The average radius of trees in a sample (or its ratio to the depth of the design space) is a measure of how diverse and "deep" a cultural configuration is. Similarly, in the original design space, the branching factor describes how many children each node in the tree started with, so measuring the average vertex degree gives us a rough measure of how diverse the cultural configuration is (in terms of breadth). Each of these measures is illustrated in Figure 3 for an example tree selected from our data.

In addition to these simple numerical measures comparing final trees to the original design space, it is useful to measure something about the overall "shape" of

the trees themselves. One way of formalizing this notion is to examine the *symmetries* of the final trait trees. Examining Figure 3, if we ignore the exact identities of traits for the moment, it is apparent that there are repeating patterns. For example, the left-most branches each terminate in a pair of leaves. This pattern is repated on the second right-most branch. These types of repeating patterns are computationally expensive to search for in large sets of trees, but we can summarize them by considering trait trees as algebraic objects and examining their *automorphisms*.

An automorphism is a function which maps an object to itself, in such a way that the structure of the object is preserved (Rotman, 1995). Graph automorphisms map vertices in a graph to each other, preserving properties such as the adjacency pattern of edges. The six vertices which mark the repeating pattern of leaf-pairs in Figure 3 are an automorphism of the tree, and thus are symmetries we can measure. An overall measure of "how symmetrical" (or "how many interchangeable patterns") there are in a graph possesses given by the total number of automorphisms found, called the size of the automorphism group or |Aut(G)| (Godsil and Royle, 2001). A tree with no repeating patterns will thus have an automorphism group size of 1, indicating that the only symmetry is the entire tree itself. A balanced tree with branching and depth factors of 3, as depicted in Figure 1, has approximately 1.3×10^{10} automorphisms. The more repeating patterns there are in trait trees, the more automorphisms they will possess.

Because group sizes grow quickly and the accuracy of performing calculations with truly astronomical numbers is low, another possible measure of the symmetries present is to count the *classes* of equivalences into which vertices fall. The *orbits* of the automorphism group are the sets of vertices which are interchangable by some permutation that preserves structure. For example, the graph in Figure 1 has five orbits, with each vertex at a given level interchangable (in a structural sense). Similarly, the six leaf vertices that are part of pairs in Figure 3 are part of the same orbit; in this illustration, each orbit is given a different color to highlight their equivalence. For each cultural region found when sampling a simulation, we calculate the size of the automorphism group and the number and multiplicity (frequency) of orbits. For this analysis, we employ the *nauty* + *Traces* software by Brendan McKay and Adolfo Piperno (McKay and Piperno, 2014).

4 Experiments

For this chapter, we examined populations of size 100, 225 and 400, to begin to examine the effects of population size. For these populations, we examined design spaces that were small (4 trait trees) and large (16 trait trees). Within each size, we further examined combinations of branching factor and depth factor with values of 3 and 5, thus yielding 8 total sizes of design space (Table 1).

⁷ Nauty+Traces can be downloaded at http://pallini.di.uniromal.it/. We employed version 2.5r7 for this research.

Branching Factor	Depth Factor	Number of Trait Trees	Size of Design Space
3	3	4	160
5	3	4	624
3	5	4	1456
5	5	4	15624
3	3	16	640
5	3	16	2496
3	5	16	5824
5	5	16	62496

Table 1 Size of design space for different trait tree configurations

Further, we examined three levels of global mutation or innovation rate: zero, or no mutation, and 0.00005 and 0.0001. Such rates created a constant supply of new innovations, but several orders of magnitude less frequent than copying and prerequisite learning events. The full set of parameters are given in Table 2. For each combination of all of the above parameters, we performed 25 replications. With 5 samples per simulation run, this yielded 10,963,691 samples of cultural regions.

Simulation Parameter	Value or Values	
Population rate at which new traits arise by individual	0.0, 5e-05, 0.0001	
learning		
Maximum number of initial traits (not including their pre-	4	
requisites) each individual is endowed with		
Number of distinct trees of traits and prerequisites	4, 16	
Population sizes	100, 225, 400	
Replicate simulation runs at each parameter combination	25	
Maximum time after which a simulation is sampled and	10000000	
terminated		
Individual probability for learning a missing prerequisite	0.05, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8,	
	0.9	
Number of branches at each level of a trait tree	3, 5	
Depth of traits in each trait tree	3, 5	

Table 2 Parameter space for simulations described in this chapter

The simulations were designed to examine whether the combination of a hierarchical, tree-like representation of cultural traits, in combination with an Axelrod-like model which provides the possibility of cultural differentiation, is a good platform for exploring questions surrounding behavioral modernity. We are not experts concerning Paleolithic assemblages, so our efforts are exploratory, and an attempt to construct models which can be extended and applied to real data.

In analyzing the results of the semantic Axelrod model described here, we looked at the degree to which individuals converged in their cultural repertoire (as we might describe conditions in the early Paleolithic), or under what conditions did social learning lead to greater individual variation in repertoires? This is measured by the

number of cultural regions in samples of the population taken at quasi-stationary equilibrium.

Second, we looked at whether highly structured learning environments, represented here by higher probabilities of naive individuals gaining the prerequisites for the skills and information they encounter with peers, led to deeper and richer cultural repertoires. We also began examining whether there are invariant statistical properties of those repertoires, through the use of symmetry measures. The measures used are those described above: the tree radius (or depth), mean vertex degree, the fraction of remaining vertices, and the size of the automorphism group of sampled trait forests. Finally, we began to examine how the structured learning environment might interact with demography, by simulating the same parameters across two sizes of population.

5 Results

We begin by noting that compared to the original Axelrod model, or neutral and biased copying models, the dynamics of our semantic axelrod model are highly variable. A very wide range of outcomes is possible for each parameter combination, especially when the size of the design space is large. Some variables, such as the average vertex degree of sampled trait trees, strongly overlap across all learning rates and are not considered further in this chapter. Given the large amount of variability in the dynamics, larger numbers of replications would be useful, although this is computationally quite expensive at present. That said, several features of the data are strongly suggestive that hierarchical trait models have potential in modeling cumulative technological evolution, making the computational expense worthwhile.

5.1 Cultural Diversity

Variation among individuals is foundational to evolutionary processes, and is the raw material from which differentiation between regions and cultural groups is constructed. In the simulations reported here, we examine diversity within a single population, but we are planning a set of metapopulation experiments with the same model to address regional diversification. In Figure 4 we show the size spectrum of cultural regions (clusters of individuals with the same trait trees), for each combination of prerequisite learning rate and design space size. The variable plotted here is the number of cultural regions in a given sample. Thus, in a population of size 100, if there is 1 cultural solution, then all 100 individuals share it. If there are 100

⁸ The simulations reported here ran on a cluster of 6 compute-optimized "extra large" Linux instances on Amazon's EC2 computing cloud, for a total of 17 days of wall clock time and 2075 CPU hours. We plan further optimizations to the simulation code to make larger samples economically feasible.

cultural regions, then every individual had a unique configuration of cultural traits. In the lower left corner are the very lowest learning rates, in small design spaces. We believe this could correspond to the kind of learning environments and knowledge structures that characterizes human technology through much of the early and middle Paleolithic. In this corner, most cultural regions span the entire population (given the large spike on the left). In small design spaces, configurations become more diverse and smaller on average, as the learning rate increases. As it becomes highly probable that an individual will learn the prerequisites they need for an encountered skill or trait, the population fragments among different portions of the design space.

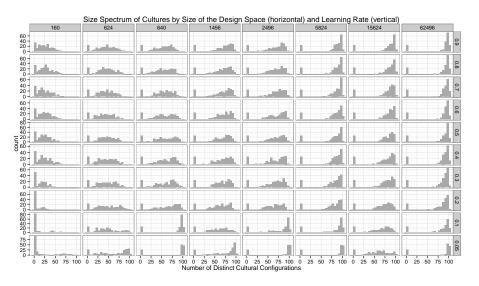


Fig. 4 The sizes of cultural configurations, by the size of the design space (horizontal), and prerequisite learning rate (vertical), for population size 100.

Two other effects are also visible in these data. First, the distributions become bimodal for most combinations of design space size and learning rate. The left hand peak corresponds to simulation runs which had no global innovation or mutation rate, and where only copying and prerequisite learning occurred. In such populations, cultural regions were uniformly large and populations quickly converged on a single set of traits. This highlights the importance of various "innovation" and "invention" processes in the creation and maintenance of cultural differentiation and diversity (Eerkens and Lipo, 2005; O'Brien and Shennan, 2010), and suggests that systematic change in innovation processes may be a crucial component of any multi-factor model for the evolution of behaviorial modernity. The second effect is the distinctive narrowing of the size distribution in the largest design spaces and highest learning rates. This is not an artifact of the length of time into the simulation run a sample was taken, since the distributions look identical for samples taken at 1

million step intervals. This is likely just the signature of a small population evolving in a very large design space. This effect warrants further study, since it may be a source for group divergence as information and technology accumulates in human societies.

5.2 Trait Richness and Knowledge Depth

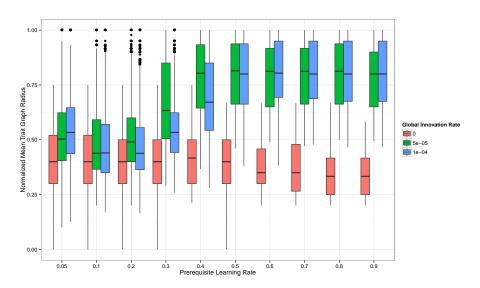


Fig. 5 Mean depth of trait sets, by prerequisite learning rate and global innovation rate, for population size 100.

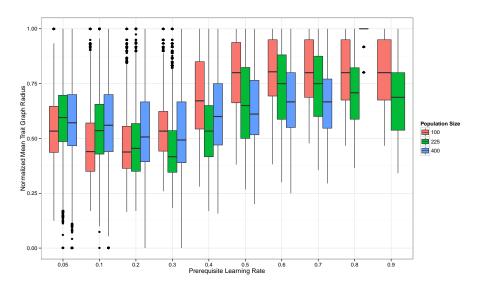
Cumulative evolution of technology is represented in our model by the population learning its way *down* the trees which compose the design space. Possession of traits deeper in the trees represents skills or information which is more specific, possessing more prerequisites. Thus, we expect that the depth (or "radius", see Figure 3) of trees would increase with the prerequisite learning rate, representing a learning environment which is structured to ensure such acquisition.

Figure 5 gives the *normalized* mean radius of cultural regions, broken out by the prerequisite learning rate along the horizontal axis, and each group of 3 boxplots displays the differing global innovation rates studied. Radii are normalized to the depth of their design space, to facilitate comparison. The results indicate that essentially two regimes exist: shorter trees, which do not grow much beyond their initialized size, and larger trees. The mean radius has an asymptote just above 0.75, achieved with the prerequisite learning rate is approximately 0.4 or higher. Further increases do not seem to matter. Additionally, the difference between the two global innova-

tion rates is small—what matters most in terms of qualitative behavior is the presence of global innovation outside the teaching or learning of prerequisites themselves.

5.3 Population Size

Earlier, we mentioned that population size does not seem to be a primary factor in explaining the measured diversity in cultural transmission models, except perhaps in bottleneck situations like the one Henrich analyzes in Tasmania (2004). Instead, population size may have an interaction effect with other factors, yielding smaller second-order effects. We examined the effect of population size in the research reported here, repeating the entire set of simulation runs for populations of 100, 225, and 400.9



 ${f Fig.~6}$ Mean depth of trait sets, by prerequisite learning rate and population sizes of 100, 225 and 400.

Figure 6 displays the relationship between mean radius (or depth) of the cultural traits in each cultural sample, as in Figure 5 above, but the boxplots are instead colored by population size. There is no qualitative difference in the dynamics as sample size grows, but the mean radius of trait sets does decline slightly, probably due to the slower velocity at which an innovation diffuses in the larger population. This is the kind of second-order effect which warrants continuing to include population

⁹ We should note that learning rates of 0.8 and 0.9 for population size 400 were cut short due to budget constraints, but this does not appear to affect the pattern in our dataset.

size in analyses of social learning models, we believe, even if it does not serve as a primary explanation or factor.

5.4 Trait Tree Symmetries

Finally, we examined the algebraic properties of the trait trees composing cultural regions, examining both the number of vertex equivalence classes (orbits) and the size of the automorphism group of the trait forests. We examined the raw metrics, and versions normalized by the size of the maximally symmetric forest with the same number of traits, branching factor, and depth factor. The latter proved difficult and led to serious overflow problems even with 64 bit arithmetic, so we focus here on the raw automorphism group size.

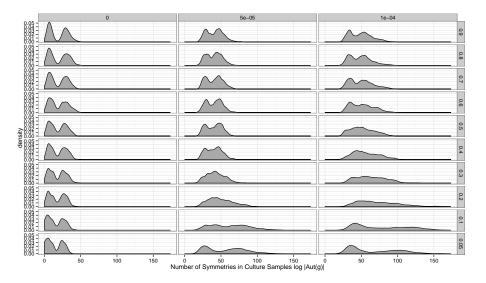


Fig. 7 Number of symmetries in trait tree samples, measured as the log of the order of the automorphism group of the trait graphs, broken down by prerequisite learning rate and global innovation rate.

The logarithm of the automorphism group size does hint at interesting structure (Figure 7). In the presence of mutation, the learning of prerequisites narrows the range of variability for the automorphism group size, and at higher learning rates renders the distribution multimodal. The modality arises because of the different combinations of branching factor and depth factor we employed for design spaces—i.e., some design spaces are "wide" and some are "narrow," while also being "shallow" or "deep." This gives rise to different modes in the measured symmetries, but

overall the reduction in variability in symmetry is the most important qualitative effect seen in our data.

We do not fully understand the "shapes" of cultural regions to which the model appears to converge, but it appears that there is a tendency for trait graphs to converge towards shapes which have moderate numbers of symmetries. This graph is on a logarithmic scale, so a peak at 50 along the horizontal axis correponds to a trait graph with approximately 5×10^{21} symmetries. This is a fairly small number, compared to the original design spaces, which have symmetries ranging from approximately 10^{41} to 10^{6496} . Thus, the geometry of cultural traits in our hierarchical design spaces are fairly asymmetric and represent small and very specific segments of the total design space.

Further analysis of trait graph "shapes" is needed to tell whether there are repeating patterns or graph "motifs" which characterize a social learning model in a graph-structured trait space. The results here are suggestive of such a phenomenon, but inconclusive given just the bulk algebraic properties of cultural regions, since the size of the automorphism group (or the number of orbits) tells only *how many* symmetries there are, not what types of symmetries exist. The next step in our analysis of shape is to pursue a geometric decomposition of the graph following Ben MacArthur and Rubén Sánchez-García's (2008) work on the symmetries of complex networks.

6 Discussion

The "semantic Axelrod" model described here specifically addresses social learning of knowledge with "prerequisite" structure, and a learning environment which is tunable from low to high fidelity. We introduced this model as a multifactor explanation for the transition in the structure of social learning that may have occurred in early *Homo sapiens* and which has often been called the "Upper Paleolithic Transition" or more neutrally, "behavioral modernity."

The model displays a characteristic increase in the cultural repertoires of individuals, as they learn in environments of higher fidelity. Individuals will tend to learn along "pathways" governed by the knowledge of their models, elders, and peers, instead of the "random cultural samples" they receive in a low-fidelity random copying process. At the individual level, an increase in higher fidelity learning within structured information environments both creates path-dependency in what is learned, and increases the chances for specialization among individuals. At the group and regional scale, we expect that a metapopulation version of this model will display regional-scale differences in cultural repertoires which become the seeds for the persistent regional differences which characterize the later Pleistocene and Holocene archaeological records.

The model described here only makes a start on modeling the additive and recombinative complexity of real technologies, but it does display accumulated depth of "knowledge" or "skills," as represented by the radius or depth of trait trees. In combination with realistic models of technology-such as the production sequences studied by experts on stone tools-we believe that empirically sufficient models of the evolution of specific technologies are possible and within reach.

Several areas suggest themselves for future research in "semantic" Axelrod models. Some we are pursuing, others remain open questions and we invite collaboration towards their solution.

- Regional scale cultural differentiation given a metapopulation embedding of the basic model.
- Additional trait relations (e.g., class subsumption, functional equivalencies).
- Realistic technology models for key artifact classes (e.g., bifaces, scrapers, pottery).
- Incorporation of trait fitness in order to study directional change.

We believe that models of the class studied here are a promising platform for studying the effects of social learning of realistic technologies that go beyond abstract, structureless "tokens." Studying the evolution of biological populations in detail eventually required a detailed model of genomics beyond the "bean-bag" locus/allele models of early theoretical population genetics (Mayr, 1959). Going beyond the early promise of abstract cultural transmission models and explaining real technological change will require a similar transition beyond "bean-bag" social learning models. We offer a small step in that direction.

7 Acknowledgements

The authors wish to thank Briggs Buchanan and Mark Collard for the invitation to participate in the symposium "Current Research in Evolutionary Archaeology," at the 79th Annual Meeting of the Society for American Archaeology in Austin, TX. A summary of this research was presented in that session. Madsen wishes to thank Frédéric Chapoton of the Institut Camille Jordan for answering a question about the maximal automorphism group of trees, and Ben MacArthur and Rubén J. Sánchez-García for sharing their geometric decomposition code.

8 Appendices

8.1 Algorithm Description

Algorithm 8.1 describes the "semantic" Axelrod model variant studied in this chapter. Within the algorithm, there are several functions which find traits with particular

 $^{^{10}}$ Although the controversy over "bean-bag" genetics is more complex than discussed here. See Ewens (2008) for a contemporary commentary.

properties. Some, like **GetTraitUniquetoFocal()**, are fairly simple set operations but were abbreviated to clarify the notation.

Algorithm 8.1

```
Require: innovrate is the population rate at which individuals randomly learn a trait
Require: learningrate is the probability of learning a missing prerequisite during a learning
 1: focal \leftarrow GetRandomAgent()
 2: neighbor \leftarrow GetRandomNeighbor(focal)
 3: if focal = neighbor \lor focal \cap neighbor = \emptyset \lor neighbor \subsetneq focal then
       exit { No interaction is possible, move on to next agent }
 5: end if
 6: prob \leftarrow (focal \cup neighbor - focal \cap neighbor)/focal \cup neighbor
 7: if RandomUniform() < prob then
        differing \leftarrow neighbor \setminus focal
        newtrait \leftarrow GetRandomChoice(differing)
10:
        if hasPrerequisiteForTrait(focal, newtrait) = True then
11:
           replace \leftarrow GetTraitUniquetoFocal(focal,neighbor)
12:
           focal \leftarrow focal \setminus replace
13:
           focal \leftarrow focal \cup newtrait
14:
        else
15:
           if RandomUniform() < learningrate then
16:
              prereq \leftarrow GetDeepestMissingPrerequisite(newtrait, focal)
17:
              focal \leftarrow focal \cup prereq
18:
           end if
        end if
19:
20: end if
21: if RandomUniform() < innovrate then
        focal3 \leftarrow GetRandomAgent()
23:
        innovation \leftarrow GetRandomTraitNotInFocal(focal3)
24:
        focal3 \leftarrow focal3 \cup innovation
25: end if
```

GetDeepestMissingPrerequisite() is a procedure which takes the trait set of an individual, and a trait for which the individual is known to be missing necessary prerequisites, and returns the "most basic" missing prerequisite for that trait (i.e., closest to the root). This is done by finding the path which connects the root and desired trait, and walking its vertices from the root downward, checking to see if each vertex is part of the individual's trait set. The first trait not found in the individual's repertoire is returned.

8.2 Availability of Software and Analysis Code

The software used in this chapter is available under an open-source license at Mark Madsen's GitHub repository https://github.com/mmadsen/axelrod-ct. Required libraries and software are listed in the source archive itself, and include

Python 2.7 and the open-source MongoDB database engine to store simulation output.

The codebase consists of a set of library modules which implement the shared and unique aspects of each model, unit tests to verify the basic functionality of the code, and scripts which execute each model. The **axelrod-ct** repository contains three models:

- An implementation of the original Axelrod model using the axelrod-ct libraries.
- A basic model with an "extensible" trait space but no relations between traits.
- A "semantic" Axelrod model with tree-structured trait space representing prerequisite relationships between traits.

Stepwise extension from the original Axelrod to the semantic models on the same code library allowed a degree of verification, which is difficult in a situation where there is no existing mathematical theory against which to compare the code implementation (Committee on Mathematical Foundations of Verification Validation and Uncertainty Quantification, National Research Council, 2012).

The analysis and final dataset reported here are available, along with the source of this paper and associated presentations, in an associated GitHub repository: https://github.com/mmadsen/madsenlipo2014. Statistical analyses of the final dataset were performed in R, employing Knitr to render the results directly in publication format, and thus reproducible by others (Xie, 2013).

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