

Foundations of General Relativity

The Principle of General Covariance (Invariance?) and Mach's Principle

Pooya Farokhi

July 13, 2021

A Brief History

General Covariance

General Covariance Versus General Invariance

Mach's Principle

A Brief History

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:
- 1905: The discovery of special relativity.

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:
- 1905: The discovery of special relativity.
- 1907: The discovery of equivalence principle.

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:
- 1905: The discovery of special relativity.
- 1907: The discovery of equivalence principle.
- 1912: Focusing on Ehrenfest paradox and understanding the role of non-Euclidean geometry in developing special relativity. Using the metric tensor for representing gravity. Extending the relativity principle to all transformations: general covariance. Starting to work closely with his mathematician friend Marcel Grossman.

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:
- 1905: The discovery of special relativity.
- 1907: The discovery of equivalence principle.
- 1912: Focusing on Ehrenfest paradox and understanding the role of non-Euclidean geometry in developing special relativity. Using the metric tensor for representing gravity. Extending the relativity principle to all transformations: general covariance. Starting to work closely with his mathematician friend Marcel Grossman.
- 1913: The "Entwurf" theory! Identifying the gravity as the curvature of spacetime. But the theory was not generally covariant.

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:
- 1905: The discovery of special relativity.
- 1907: The discovery of equivalence principle.
- 1912: Focusing on Ehrenfest paradox and understanding the role of non-Euclidean geometry in developing special relativity. Using the metric tensor for representing gravity. Extending the relativity principle to all transformations: general covariance. Starting to work closely with his mathematician friend Marcel Grossman.
- 1913: The "Entwurf" theory! Identifying the gravity as the curvature of spacetime. But the theory was not generally covariant.
- summer of 1913: Generally covariant theories contradict causality! Einstein had two arguments that he believed the lack of covariance was justified. One is the *hole argument* and the other was a conservation law he imposed.

A Brief History

- It is widely accepted that general covariance of Einstein's theory of general relativity is its distinguishing feature.
- The history of general covariance and Einstein's approach towards that is full of peaks and valleys:
- 1905: The discovery of special relativity.
- 1907: The discovery of equivalence principle.
- 1912: Focusing on Ehrenfest paradox and understanding the role of non-Euclidean geometry in developing special relativity. Using the metric tensor for representing gravity. Extending the relativity principle to all transformations: general covariance. Starting to work closely with his mathematician friend Marcel Grossman.
- 1913: The "Entwurf" theory! Identifying the gravity as the curvature of spacetime. But the theory was not generally covariant.
- summer of 1913: Generally covariant theories contradict causality! Einstein had two arguments that he believed the lack of covariance was justified. One is the *hole argument* and the other was a conservation law he imposed.
- summer and fall of 1915: The "Entwurf" theory yielded wrong predictions for the anomalous motion of the mercury. He therefore dropped the theory. Returning to the thinking of 1912 and 1913.

A Brief History

- In an exhausting and exhilarating month of his life, he sent the Prussian academy bulletins on his reformulated theory, one per week.

A Brief History

- In an exhausting and exhilarating month of his life, he sent the Prussian academy bulletins on his reformulated theory, one per week.
- **November 4, 1915**: Presenting the refined version of the theory.

A Brief History

- In an exhausting and exhilarating month of his life, he sent the Prussian academy bulletins on his reformulated theory, one per week.
- **November 4, 1915**: Presenting the refined version of the theory.
- **November 11, 1915**: Developing his previous paper.

A Brief History

- In an exhausting and exhilarating month of his life, he sent the Prussian academy bulletins on his reformulated theory, one per week.
- **November 4, 1915**: Presenting the refined version of the theory.
- **November 11, 1915**: Developing his previous paper.
- **November 18, 1915**: In sheer excitement, he worked out the correct precession rate of the perihelion of mercury!

A Brief History

- In an exhausting and exhilarating month of his life, he sent the Prussian academy bulletins on his reformulated theory, one per week.
- **November 4, 1915**: Presenting the refined version of the theory.
- **November 11, 1915**: Developing his previous paper.
- **November 18, 1915**: In sheer excitement, he worked out the correct precession rate of the perihelion of mercury!
- **November 25, 1915**: and finally... the correct field equation!

Ist in dem betrachteten Raume »Materie« vorhanden, so tritt deren Energietensor auf der rechten Seite von (2) bzw. (3) auf. Wir setzen

$$G_{im} = -\kappa \left(T_{im} - \frac{1}{2} g_{im} T \right), \quad (2a)$$

wobei

$$\sum_{i\pi} g^{i\pi} T_{i\pi} = \sum_{\pi} T^{\pi}_{\pi} = T \quad (5)$$

Figure 1: The appearance of field equations for the first time

General Covariance

General Covariance: The Hole Argument

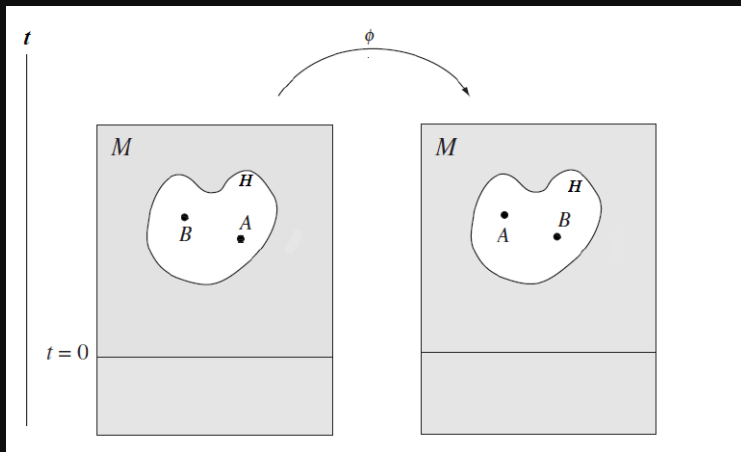


Figure 2: The hole argument

General Covariance: The Hole Argument

- In modern language, the hole argument is that in a generally covariant theory, if we send the metric tensor g to $g' = \phi^{-1*}g$ under a diffeomorphism that doesn't change the points outside of a hole, it still satisfies the equations of motion.

General Covariance: The Hole Argument

- In modern language, the hole argument is that in a generally covariant theory, if we send the metric tensor g to $g' = \phi^{-1*}g$ under a diffeomorphism that doesn't change the points outside of a hole, it still satisfies the equations of motion.
- But $g'|_p \neq g|_p$ in general!

General Covariance: The Hole Argument

- In modern language, the hole argument is that in a generally covariant theory, if we send the metric tensor g to $g' = \phi^{-1*}g$ under a diffeomorphism that doesn't change the points outside of a hole, it still satisfies the equations of motion.
- But $g'|_p \neq g|_p$ in general!
- Therefore the theory seems non-deterministic.

General Covariance: The Hole Argument

- In modern language, the hole argument is that in a generally covariant theory, if we send the metric tensor g to $g' = \phi^{-1*}g$ under a diffeomorphism that doesn't change the points outside of a hole, it still satisfies the equations of motion.
- But $g'|_p \neq g|_p$ in general!
- Therefore the theory seems non-deterministic.
- Without answering to this argument, Einstein ignored it in 1915 and arrived at the correct generally covariant field equations. What happened to this argument after all?

General Covariance: Point-Coincidence Argument

- Einstein presented the resolution of the hole argument one month after the discovery of theory.

General Covariance: Point-Coincidence Argument

- Einstein presented the resolution of the hole argument one month after the discovery of theory.
- *“All our space-time verifications invariably amount to a determination of **space-time coincidences**. If, for example, events consisted merely in the motion of material points, then ultimately nothing would be observable but the meetings of two or more of these points. Moreover, the results of our measurements are nothing but verifications of such meetings of the material points of our measuring instruments with other material points, coincidences between the hands of a clock and points on the clock dial, and observed point-events happening at the same place and the same time.” (Einstein, 1916)*

General Covariance: Point-Coincidence Argument

- Einstein presented the resolution of the hole argument one month after the discovery of theory.
- *“All our space-time verifications invariably amount to a determination of **space-time coincidences**. If, for example, events consisted merely in the motion of material points, then ultimately nothing would be observable but the meetings of two or more of these points. Moreover, the results of our measurements are nothing but verifications of such meetings of the material points of our measuring instruments with other material points, coincidences between the hands of a clock and points on the clock dial, and observed point-events happening at the same place and the same time.” (Einstein, 1916)*
- Thus the physical content of the theory is all about the coincidences between **events** and such transformations preserve them. Therefore the two diffeomorphically equivalent models we presented represent the **same physical content**.

General Covariance: Kretschmann's Objection

- In 1917, Erich Kretschmann published a paper arguing that any physical theory can be made generally covariant.

General Covariance: Kretschmann's Objection

- In 1917, Erich Kretschmann published a paper arguing that any physical theory can be made generally covariant.
- *“By means of a purely mathematical reformulation of the equations representing the theory, and with, at most, mathematical complications connected with that reformulation, any physical theory can be brought into agreement with any, arbitrary relativity postulate, even the most general one, and this without modifying any of its content that can be tested by observation.”* (Kretschmann, 1917)

General Covariance: Kretschmann's Objection

- In 1917, Erich Kretschmann published a paper arguing that any physical theory can be made generally covariant.
- *“By means of a purely mathematical reformulation of the equations representing the theory, and with, at most, mathematical complications connected with that reformulation, any physical theory can be brought into agreement with any, arbitrary relativity postulate, even the most general one, and this without modifying any of its content that can be tested by observation.”* (Kretschmann, 1917)
- Thus general covariance is physically vacuous.

General Covariance: Kretschmann's Objection

- In 1917, Erich Kretschmann published a paper arguing that any physical theory can be made generally covariant.
- *“By means of a purely mathematical reformulation of the equations representing the theory, and with, at most, mathematical complications connected with that reformulation, any physical theory can be brought into agreement with any, arbitrary relativity postulate, even the most general one, and this without modifying any of its content that can be tested by observation.”* (Kretschmann, 1917)
- Thus general covariance is physically vacuous.
- Einstein accepted. In 1918 he stated the principle of relativity:
Principle of Relativity: The laws of nature are only assertions of timespace coincidences; therefore they find their unique, natural expression in generally covariant equations.

General Covariance: Kretschmann's Objection

- In 1917, Erich Kretschmann published a paper arguing that any physical theory can be made generally covariant.
- *“By means of a purely mathematical reformulation of the equations representing the theory, and with, at most, mathematical complications connected with that reformulation, any physical theory can be brought into agreement with any, arbitrary relativity postulate, even the most general one, and this without modifying any of its content that can be tested by observation.”* (Kretschmann, 1917)
- Thus general covariance is physically vacuous.
- Einstein accepted. In 1918 he stated the principle of relativity:
Principle of Relativity: The laws of nature are only assertions of timespace coincidences; therefore they find their unique, natural expression in generally covariant equations.
- But he was more rebellious than that! He tried to find some physical meaning to general covariance.

Attempts to add Physical Content to General Covariance

- Einstein added the requirement for simplicity.

*“Of two theoretical systems compatible with experience, the one is to be preferred that is the **simpler and more transparent** from the standpoint of the absolute differential calculus. Let one bring Newtonian gravitational mechanics into the form of absolutely covariant equations (four-dimensional) and one will certainly be convinced that [this] principle excludes this theory, not theoretically, but practically!”*
(Einstein)

Attempts to add Physical Content to General Covariance

- Einstein added the requirement for simplicity.
*“Of two theoretical systems compatible with experience, the one is to be preferred that is the **simpler and more transparent** from the standpoint of the absolute differential calculus. Let one bring Newtonian gravitational mechanics into the form of absolutely covariant equations (four-dimensional) and one will certainly be convinced that [this] principle excludes this theory, not theoretically, but practically!”*
(Einstein)
- This suffers from 3 problems:

Attempts to add Physical Content to General Covariance

- Einstein added the requirement for simplicity.
*“Of two theoretical systems compatible with experience, the one is to be preferred that is the **simpler and more transparent** from the standpoint of the absolute differential calculus. Let one bring Newtonian gravitational mechanics into the form of absolutely covariant equations (four-dimensional) and one will certainly be convinced that [this] principle excludes this theory, not theoretically, but practically!”*
(Einstein)
- This suffers from 3 problems:
 - Lack of objective scheme

Attempts to add Physical Content to General Covariance

- Einstein added the requirement for simplicity.
*“Of two theoretical systems compatible with experience, the one is to be preferred that is the **simpler and more transparent** from the standpoint of the absolute differential calculus. Let one bring Newtonian gravitational mechanics into the form of absolutely covariant equations (four-dimensional) and one will certainly be convinced that [this] principle excludes this theory, not theoretically, but practically!”*
(Einstein)
- This suffers from 3 problems:
 - Lack of objective scheme
 - We must compare empirically equivalent theories. Drawing comparisons between general relativity and Newtonian mechanics is unwarranted.

Attempts to add Physical Content to General Covariance

- Einstein added the requirement for simplicity.
*“Of two theoretical systems compatible with experience, the one is to be preferred that is the **simpler and more transparent** from the standpoint of the absolute differential calculus. Let one bring Newtonian gravitational mechanics into the form of absolutely covariant equations (four-dimensional) and one will certainly be convinced that [this] principle excludes this theory, not theoretically, but practically!”*
(Einstein)
- This suffers from 3 problems:
 - Lack of objective scheme
 - We must compare empirically equivalent theories. Drawing comparisons between general relativity and Newtonian mechanics is unwarranted.
 - There is scant historical evidence to justify such a principle.

Attempts to add Physical Content to General Covariance

- Pauli, Fock, and later Trautman, Wald all emphasized that we can always find a generally covariant formulation of a theory if one is allowed to introduce new auxiliary quantities arbitrarily.

Attempts to add Physical Content to General Covariance

- Pauli, Fock, and later Trautman, Wald all emphasized that we can always find a generally covariant formulation of a theory if one is allowed to introduce new auxiliary quantities arbitrarily.
- For example, in Newton-Cartan theory which is the generally covariant formulation of Newtonian gravity, we add an absolute time one-form dt representing the absolute time.

Attempts to add Physical Content to General Covariance

- Pauli, Fock, and later Trautman, Wald all emphasized that we can always find a generally covariant formulation of a theory if one is allowed to introduce new auxiliary quantities arbitrarily.
- For example, in Newton-Cartan theory which is the generally covariant formulation of Newtonian gravity, we add an absolute time one-form dt representing the absolute time.
- But it's not a well articulated principle and it's not clear that in what sense these new structures are physically baseless.

Attempts to add Physical Content to General Covariance

- Is there really a principle of general relativity?

Attempts to add Physical Content to General Covariance

- Is there really a principle of general relativity?
- a relativity principle establishes a geometrical feature such as the uniformity of space, time, lack of privileged points, directions,

Attempts to add Physical Content to General Covariance

- Is there really a principle of general relativity?
- a relativity principle establishes a geometrical feature such as the uniformity of space, time, lack of privileged points, directions,
- Fock suggested the criterion for the relativity principle. Given the spacetime manifold and the metric (M, g_{ab}) , Fock's condition picks out the symmetry group of transformations such that $\phi^*g = g$.

Attempts to add Physical Content to General Covariance

- Is there really a principle of general relativity?
- a relativity principle establishes a geometrical feature such as the uniformity of space, time, lack of privileged points, directions,
- Fock suggested the criterion for the relativity principle. Given the spacetime manifold and the metric (M, g_{ab}) , Fock's condition picks out the symmetry group of transformations such that $\phi^*g = g$.
- Spacetime looks exactly the same from each of the frames related by such a symmetry transformation.

- Distinction between absolute objects and dynamical objects.

Anderson-Friedman Programme

- Distinction between absolute objects and dynamical objects.
- Considering the special relativity, a model for the theory can be the tuple $(M, \eta_{\mu\nu} | T_{\mu\nu})$.
The cut $|$ separates the background structures and the dynamical ones.

Anderson-Friedman Programme

- Distinction between absolute objects and dynamical objects.
- Considering the special relativity, a model for the theory can be the tuple $(M, \eta_{\mu\nu} | T_{\mu\nu})$. The cut $|$ separates the background structures and the dynamical ones.
- The group associated with the relativity principle of the theory is the symmetry group of everything to the left of this cut. In the case of special relativity, it is the Poincare group.

Anderson-Friedman Programme

- Distinction between absolute objects and dynamical objects.
- Considering the special relativity, a model for the theory can be the tuple $(M, \eta_{\mu\nu} | T_{\mu\nu})$. The cut $|$ separates the background structures and the dynamical ones.
- The group associated with the relativity principle of the theory is the symmetry group of everything to the left of this cut. In the case of special relativity, it is the Poincare group.
- a model for the theory of general relativity is $(M | g_{\mu\nu}, T_{\mu\nu})$. This time the metric is a dynamical field. This time the symmetry group of the background metric is the group of all diffeomorphism.

Anderson-Friedman Programme

- Distinction between absolute objects and dynamical objects.
- Considering the special relativity, a model for the theory can be the tuple $(M, \eta_{\mu\nu} | T_{\mu\nu})$. The cut $|$ separates the background structures and the dynamical ones.
- The group associated with the relativity principle of the theory is the symmetry group of everything to the left of this cut. In the case of special relativity, it is the Poincare group.
- a model for the theory of general relativity is $(M | g_{\mu\nu}, T_{\mu\nu})$. This time the metric is a dynamical field. This time the symmetry group of the background metric is the group of all diffeomorphism.
- Anderson divides all the geometrical structures of a theory into the absolute A_1, A_2, \dots and dynamical D_1, D_2, \dots objects. Thus a model can be written as $(M, A_1, A_2, \dots | D_1, D_2, \dots)$.

- The precise criteria for identifying the absolute objects...?

Anderson-Friedman Programme

- The precise criteria for identifying the absolute objects...?
- Intuitively, absolute objects are those which act but are not acted upon. Anderson gives a formal definition in which the absolute objects are picked out as those which are the same in all the models of the theory. Friedman (1973) identified the sense of sameness as diffeomorphic equivalence. Giulini (2005) wrote that any field which is either non-dynamical or whose solutions are all locally diffeomorphism equivalent is absolute structure.

Anderson-Friedman Programme

- The precise criteria for identifying the absolute objects...?
- Intuitively, absolute objects are those which act but are not acted upon. Anderson gives a formal definition in which the absolute objects are picked out as those which are the same in all the models of the theory. Friedman (1973) identified the sense of sameness as diffeomorphic equivalence. Giulini (2005) wrote that any field which is either non-dynamical or whose solutions are all locally diffeomorphism equivalent is absolute structure.
- But there are technical problems with these definitions and counterexamples.

Anderson-Friedman Programme

- The precise criteria for identifying the absolute objects...?
 - Intuitively, absolute objects are those which act but are not acted upon. Anderson gives a formal definition in which the absolute objects are picked out as those which are the same in all the models of the theory. Friedman (1973) identified the sense of sameness as diffeomorphic equivalence. Giulini (2005) wrote that any field which is either non-dynamical or whose solutions are all locally diffeomorphism equivalent is absolute structure.
 - But there are technical problems with these definitions and counterexamples.
-
- What truly distinguishes general relativity among all generally covariant formulations? This is still a problem.

General Covariance Versus General Invariance

General Covariance Versus General Invariance

- There is a variety of definitions of covariance and invariance.

General Covariance Versus General Invariance

- There is a variety of definitions of covariance and invariance.
- **General Covariance (Version 1)**: A formulation of a Theory is generally covariant iff the equations expressing its laws are written in a form that holds with respect to all members of a set of coordinate systems that are related by smooth but otherwise arbitrary transformations.

General Covariance Versus General Invariance

- There is a variety of definitions of covariance and invariance.
- **General Covariance (Version 1)**: A formulation of a Theory is generally covariant iff the equations expressing its laws are written in a form that holds with respect to all members of a set of coordinate systems that are related by smooth but otherwise arbitrary transformations.
- **General Covariance (Version 2)**: A theory is covariance under diffeomorphisms iff, if (M, O_1, O_2, \dots) is a solution of T , then so is $(M, d^*O_1, d^*O_2, \dots)$ for all $d \in \text{Diff}(M)$.

General Covariance Versus General Invariance

- There is a variety of definitions of covariance and invariance.
- **General Covariance (Version 1):** A formulation of a Theory is generally covariant iff the equations expressing its laws are written in a form that holds with respect to all members of a set of coordinate systems that are related by smooth but otherwise arbitrary transformations.
- **General Covariance (Version 2):** A theory is covariance under diffeomorphisms iff, if (M, O_1, O_2, \dots) is a solution of T , then so is $(M, d^*O_1, d^*O_2, \dots)$ for all $d \in \text{Diff}(M)$.
- **Diffeomorphism Invariance:** A theory T is diffeomorphism invariant iff, if (M, F, D) is a solution of T , then so is (M, F, d^*D) for all $d \in \text{Diff}(M)$. F stands for solution-independent fixed fields common to all kinematically possible models and D stands for the dynamical fields.

General Covariance Versus General Invariance

- There is a variety of definitions of covariance and invariance.
- **General Covariance (Version 1):** A formulation of a Theory is generally covariant iff the equations expressing its laws are written in a form that holds with respect to all members of a set of coordinate systems that are related by smooth but otherwise arbitrary transformations.
- **General Covariance (Version 2):** A theory is covariance under diffeomorphisms iff, if (M, O_1, O_2, \dots) is a solution of T , then so is $(M, d^*O_1, d^*O_2, \dots)$ for all $d \in \text{Diff}(M)$.
- **Diffeomorphism Invariance:** A theory T is diffeomorphism invariant iff, if (M, F, D) is a solution of T , then so is (M, F, d^*D) for all $d \in \text{Diff}(M)$. F stands for solution-independent fixed fields common to all kinematically possible models and D stands for the dynamical fields.
- More generally, one can define G – *invariance* for some $G \subseteq \text{Diff}(M)$. But note that if the symmetry group of the fixed fields of a theory is G , then the theory would be G – *invariant*. But it is not true the other way round!

General Covariance Versus General Invariance: Examples

- The standard formulation of special relativity is not generally covariant. But such a formulation exists:

$$g^{\alpha\beta}\nabla_{\alpha}\nabla_{\beta}\Phi = 0$$

$$R^{\alpha}_{\beta\gamma\sigma} = 0$$

General Covariance Versus General Invariance: Examples

- The standard formulation of special relativity is not generally covariant. But such a formulation exists:

$$g^{\alpha\beta}\nabla_\alpha\nabla_\beta\Phi=0$$

$$R^\alpha_{\beta\gamma\sigma}=0$$

- But it remains unclear whether this formulation is generally invariant. Some regard the second equation as a dynamical equation and do not count the metric as an absolute structure (Pooley). Some others don't. Friedman's criteria strongly suggests to take the metric as a background structure that the theory is not diffeomorphism invariant.

General Covariance Versus General Invariance: Examples

- Consider the ordinary non-relativistic diffusion equation for a \mathcal{R} -value field ϕ :

$$\frac{\partial}{\partial t}\phi = \kappa\Delta\phi$$

It can be made generally covariant under diffeomorphisms:

$$[n^\mu\nabla_\mu + \kappa(n^\mu n^\nu + g^{\mu\nu})\nabla_\mu\nabla_\nu]\phi = 0$$

General Covariance Versus General Invariance: Examples

- Consider the ordinary non-relativistic diffusion equation for a \mathcal{R} -value field ϕ :

$$\frac{\partial}{\partial t}\phi = \kappa\Delta\phi$$

It can be made generally covariant under diffeomorphisms:

$$[n^\mu\nabla_\mu + \kappa(n^\mu n^\nu + g^{\mu\nu})\nabla_\mu\nabla_\nu]\phi = 0$$

- This theory is generally covariant, but not generally invariant! The background structures are $(n^\mu, g^{\mu\nu})$ and the invariance group is $\mathcal{R} \times E(3)$.

General Covariance Versus General Invariance: Examples

- Consider the ordinary non-relativistic diffusion equation for a \mathcal{R} -value field ϕ :

$$\frac{\partial}{\partial t}\phi = \kappa\Delta\phi$$

It can be made generally covariant under diffeomorphisms:

$$[n^\mu\nabla_\mu + \kappa(n^\mu n^\nu + g^{\mu\nu})\nabla_\mu\nabla_\nu]\phi = 0$$

- This theory is generally covariant, but not generally invariant! The background structures are $(n^\mu, g^{\mu\nu})$ and the invariance group is $\mathcal{R} \times E(3)$.
- Again some equations can be suggested for n^μ and $g^{\mu\nu}$ that renders the state of diffeomorphism invariance uncertain.

Mach's Principle

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- before 1907: Einstein read Mach's works.

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- **before 1907**: Einstein read Mach's works.
- **1912**: Einstein formulated it as: "the entire inertia of a point mass is the effect of the presence of all other masses, deriving from a kind of interaction with the latter."

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- **before 1907**: Einstein read Mach's works.
- **1912**: Einstein formulated it as: "the entire inertia of a point mass is the effect of the presence of all other masses, deriving from a kind of interaction with the latter."
- **1913-1916**: Making contradictory statements regarding the status of Mach's principle. Einstein frequently equated Mach's idea with general covariance.

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- **before 1907**: Einstein read Mach's works.
- **1912**: Einstein formulated it as: "the entire inertia of a point mass is the effect of the presence of all other masses, deriving from a kind of interaction with the latter."
- **1913-1916**: Making contradictory statements regarding the status of Mach's principle. Einstein frequently equated Mach's idea with general covariance.
- **1916**: Einstein fully admitted that the theory is non-Machian. In his refined definition of Mach's principle, the metric tensor should be determined from matter alone. There should be no solution of the field equations for vacuum! He imposed some boundary conditions on the equations to make them Machian.

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- **before 1907**: Einstein read Mach's works.
- **1912**: Einstein formulated it as: "the entire inertia of a point mass is the effect of the presence of all other masses, deriving from a kind of interaction with the latter."
- **1913-1916**: Making contradictory statements regarding the status of Mach's principle. Einstein frequently equated Mach's idea with general covariance.
- **1916**: Einstein fully admitted that the theory is non-Machian. In his refined definition of Mach's principle, the metric tensor should be determined from matter alone. There should be no solution of the field equations for vacuum! He imposed some boundary conditions on the equations to make them Machian.
- **1917**: Boundary conditions failed. It was replaced by the demand of a closed universe.

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- **before 1907**: Einstein read Mach's works.
- **1912**: Einstein formulated it as: "the entire inertia of a point mass is the effect of the presence of all other masses, deriving from a kind of interaction with the latter."
- **1913-1916**: Making contradictory statements regarding the status of Mach's principle. Einstein frequently equated Mach's idea with general covariance.
- **1916**: Einstein fully admitted that the theory is non-Machian. In his refined definition of Mach's principle, the metric tensor should be determined from matter alone. There should be no solution of the field equations for vacuum! He imposed some boundary conditions on the equations to make them Machian.
- **1917**: Boundary conditions failed. It was replaced by the demand of a closed universe.
- **June 1918**: In 1917, de Sitter found a $T_{\mu\nu} = 0$ solution to the new field equations for a closed universe. Finally in June 1918, Einstein gave up and this marks the end of Einstein's advocacy of Mach's principle.

Mach's Principle

- Mach's principle is one of the most controversial topics that remained vague for decades!
- **before 1907**: Einstein read Mach's works.
- **1912**: Einstein formulated it as: "the entire inertia of a point mass is the effect of the presence of all other masses, deriving from a kind of interaction with the latter."
- **1913-1916**: Making contradictory statements regarding the status of Mach's principle. Einstein frequently equated Mach's idea with general covariance.
- **1916**: Einstein fully admitted that the theory is non-Machian. In his refined definition of Mach's principle, the metric tensor should be determined from matter alone. There should be no solution of the field equations for vacuum! He imposed some boundary conditions on the equations to make them Machian.
- **1917**: Boundary conditions failed. It was replaced by the demand of a closed universe.
- **June 1918**: In 1917, de Sitter found a $T_{\mu\nu} = 0$ solution to the new field equations for a closed universe. Finally in June 1918, Einstein gave up and this marks the end of Einstein's advocacy of Mach's principle.
- **after 1918**: He continued emphasising on Machian-seeming effects such as frame-dragging and also demanded that at least one model satisfies the principle rather than the whole theory. He gradually became discouraged with Mach's principle.

Mach's Principle

- What Mach really said?

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick." (Mach)*

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick."* (Mach)
- Physicsts and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick."* (Mach)
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick." (Mach)*
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.
- Reasons that he suggested a redescription:

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick." (Mach)*
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.
- Reasons that he suggested a redescription:
 - Mach was a committed empiricist and said that physics should not speculate about systems beyond our experience.

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick." (Mach)*
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.
- Reasons that he suggested a redescription:
 - Mach was a committed empiricist and said that physics should not speculate about systems beyond our experience.
 - In some places, he seems to urge a reformulation of the principles of mechanics.

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick."* (Mach)
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.
- Reasons that he suggested a redescription:
 - Mach was a committed empiricist and said that physics should not speculate about systems beyond our experience.
 - In some places, he seems to urge a reformulation of the principles of mechanics.
- Reasons that he suggested a new mechanics:

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick." (Mach)*
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.
- Reasons that he suggested a redescription:
 - Mach was a committed empiricist and said that physics should not speculate about systems beyond our experience.
 - In some places, he seems to urge a reformulation of the principles of mechanics.
- Reasons that he suggested a new mechanics:
 - In some other places he stated that he expected a new dynamical law.

Mach's Principle

- What Mach really said?
- Newton's bucket thought experiment.
- *"No one is competent to say how the experiment would turn out if the sides of the vessel increased in thickness and mass till they were ultimately several leagues thick." (Mach)*
- Physicists and even philosophers did not take Mach's ideas very seriously. Einstein made it really famous. It was him who used Mach's principle for the first time in 1918.
- The principle is never clearly stated. It remains unclear whether Mach suggested a new physical mechanism or a mere redescription.
- Reasons that he suggested a redescription:
 - Mach was a committed empiricist and said that physics should not speculate about systems beyond our experience.
 - In some places, he seems to urge a reformulation of the principles of mechanics.
- Reasons that he suggested a new mechanics:
 - In some other places he stated that he expected a new dynamical law.
 - Various people, including Einstein interpreted Mach's ideas as a demand for a new physics. But Mach never correct any one of them. Einstein and Mach exchanged several letters and Mach praised Einstein's revolutionary ideas!

Einstein's Understanding of Mach's Principle

- Einstein's 1912 expression of Mach's idea: The whole inertial of any material point is an effect of the presence of all other masses, depending on a kind of interaction of them.

Einstein's Understanding of Mach's Principle

- Einstein's 1912 expression of Mach's idea: The whole inertial of any material point is an effect of the presence of all other masses, depending on a kind of interaction of them.
- When Einstein made the move to tensor calculus, his expression of Mach's principle changed.

Einstein's Understanding of Mach's Principle

- Einstein's 1912 expression of Mach's idea: The whole inertial of any material point is an effect of the presence of all other masses, depending on a kind of interaction of them.
- When Einstein made the move to tensor calculus, his expression of Mach's principle changed.
- Einstein's 1918 expression of Mach's idea: The $g_{\mu\nu}$ - field must be conditioned and determined by the energy tensor [alone].

Einstein's Understanding of Mach's Principle

- **Einstein's 1912 expression of Mach's idea:** The whole inertial of any material point is an effect of the presence of all other masses, depending on a kind of interaction of them.
- When Einstein made the move to tensor calculus, his expression of Mach's principle changed.
- **Einstein's 1918 expression of Mach's idea:** The $g_{\mu\nu}$ - field must be conditioned and determined by the energy tensor [alone].
- **Einstein's letter to Felix Pirani (2 February 1954):** *"One shouldn't talk at all any longer of Mach's principle, in my opinion. It arose at a time when one thought that 'ponderable bodies' were the only physical reality and that in a theory all elements that are fully determined by them should be conscientiously avoided. I am quite aware of the fact that for a long time, I, too, was influenced by this fixed idea."*

Julian Barbour criticizes this view. Machianity should be stated independent from the underlying ontology.

Revival of Machian Programme

- In the 1980s, Mach's principle and relationalism gradually was revived by Julian Barbour and some other physicist.

Revival of Machian Programme

- In the 1980s, Mach's principle and relationalism gradually was revived by Julian Barbour and some other physicist.
- **The definition of Mach's Principle (2010):** a) direct observations or theoretical considerations suggest that the physical configuration space \mathcal{Q} of a closed dynamical system is to be obtained by a group quotienting of a larger configuration space. b) specification of initial point $q \in \mathcal{Q}$ together with a *direction* or *tangent vector* d at q defines a unique dynamical curve in \mathcal{Q} .

Revival of Machian Programme

- In the 1980s, Mach's principle and relationalism gradually was revived by Julian Barbour and some other physicist.
- **The definition of Mach's Principle (2010):** a) direct observations or theoretical considerations suggest that the physical configuration space \mathcal{Q} of a closed dynamical system is to be obtained by a group quotienting of a larger configuration space. b) specification of initial point $q \in \mathcal{Q}$ together with a *direction* or *tangent vector* d at q defines a unique dynamical curve in \mathcal{Q} .
- General relativity is a Machian theory with respect to the above definition. Its configuration space can be taken to be $Riem^3/Diff \times Conformal$. The manifestly Machian theory which is to some extent equivalent to general relativity is **shape dynamics**.

Revival of Machian Programme

- In the 1980s, Mach's principle and relationalism gradually was revived by Julian Barbour and some other physicist.
- **The definition of Mach's Principle (2010):** a) direct observations or theoretical considerations suggest that the physical configuration space \mathcal{Q} of a closed dynamical system is to be obtained by a group quotienting of a larger configuration space. b) specification of initial point $q \in \mathcal{Q}$ together with a *direction* or *tangent vector* d at q defines a unique dynamical curve in \mathcal{Q} .
- General relativity is a Machian theory with respect to the above definition. Its configuration space can be taken to be $Riem^3/Diff \times Conformal$. The manifestly Machian theory which is to some extent equivalent to general relativity is **shape dynamics**.
- Shape dynamics is a theory that describes the evolution of 3-dimensional conformally equivalent Riemannian metrics through time. It is equivalent to general relativity as a specific gauge fixing of ADM formalism called CMC gauge condition.

Revival of Machian Programme

- In the 1980s, Mach's principle and relationalism gradually was revived by Julian Barbour and some other physicist.
- **The definition of Mach's Principle (2010):** a) direct observations or theoretical considerations suggest that the physical configuration space \mathcal{Q} of a closed dynamical system is to be obtained by a group quotienting of a larger configuration space. b) specification of initial point $q \in \mathcal{Q}$ together with a *direction* or *tangent vector* d at q defines a unique dynamical curve in \mathcal{Q} .
- General relativity is a Machian theory with respect to the above definition. Its configuration space can be taken to be $Riem^3 / Diff \times Conformal$. The manifestly Machian theory which is to some extent equivalent to general relativity is **shape dynamics**.
- Shape dynamics is a theory that describes the evolution of 3-dimensional conformally equivalent Riemannian metrics through time. It is equivalent to general relativity as a specific gauge fixing of ADM formalism called CMC gauge condition.
- Although Mach's principle created many conceptual problems regarding the development of general relativity, today's Machian programme is very insightful and well-defined.

Thank You!

For the principle of general covariance refer to

- J. D. Norton (1993). General covariance and foundations of general relativity
- J. D. Norton (1995). Did Einstein Stumble? The debate over general covariance
- J. D. Norton (1999). The Hole Argument (plato.stanford.edu/entries/spacetime-holearg)
- D. Giulini (2006). Some remarks on the notions of general covariance and background independence (arxiv: 0603087v1)
- O. Pooley (2009). Substantive General Covariance: Another Decade of Dispute
- O. Pooley (2015). Background Independence, Diffeomorphism Invariance, and the Meaning of Coordinates

For Mach's principle refer to

- J. D. Norton (1995). Mach's Principle before Einstein, Published in *Mach's Principle: From Newton's Bucket to Quantum Gravity*
- C. Hoefer (1995). Einstein's Formulations of Mach's Principle, Published in *Mach's Principle: From Newton's Bucket to Quantum Gravity*
- J. B. Barbour (1995). General Relativity as a Perfectly Machian Theory, Published in *Mach's Principle: From Newton's Bucket to Quantum Gravity*
- J. B. Barbour (2010). The Definition of Mach's Principle (arxiv: 1007.3368v1)