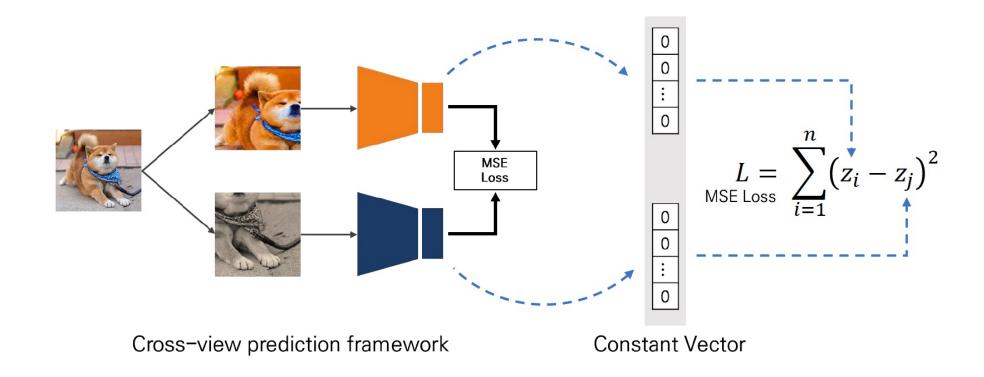
# Bootstrap Your Own Latent

A New Approach to Self-Supervised Learning

# Self-supervised learning

• Cross-view prediction framework에 기반



• Collapsed representation 방지→ negative pairs

### Contrastive loss

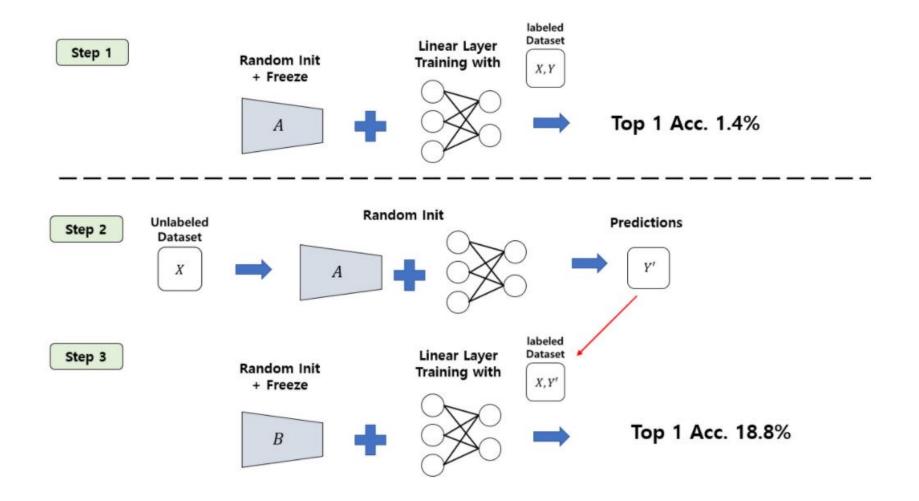
• collapased representation 방지

Cosine similarity (Positive pair) 
$$L_{i,j} = -\log \frac{\exp\left(\frac{sim(\boldsymbol{z_i}, \boldsymbol{z_j})}{\tau}\right)}{\sum_{k=1}^{N} [k \neq i]} \exp\left(\frac{sim(\boldsymbol{z_i}, \boldsymbol{z_k})}{\tau}\right)$$
 Cosine similarity (Negative pair)

# Contrastive Learning의 문제점

- Negative pairs 처리의 중요성
  - Large batch size(SimCLR), memory banks(MoCo) 그리고 customized mining strategies
- Data augmentation 선택의 중요성

## Motivation



### Architecture

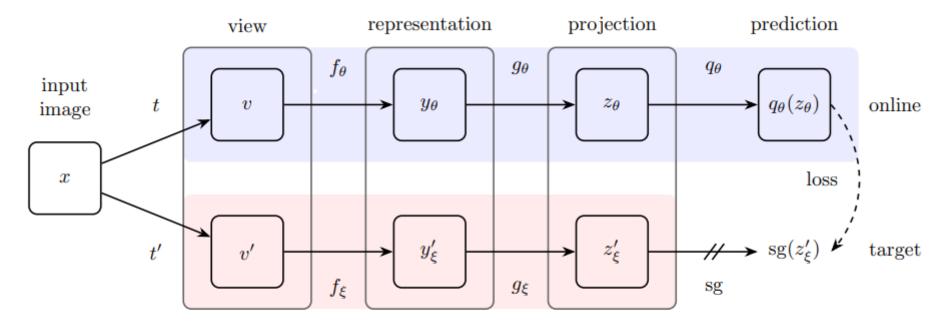


Figure 2: BYOL's architecture. BYOL minimizes a similarity loss between  $q_{\theta}(z_{\theta})$  and  $\operatorname{sg}(z'_{\xi})$ , where  $\theta$  are the trained weights,  $\xi$  are an exponential moving average of  $\theta$  and  $\operatorname{sg}$  means stop-gradient. At the end of training, everything but  $f_{\theta}$  is discarded, and  $y_{\theta}$  is used as the image representation.

 $\xi \leftarrow \tau \xi + (1 - \tau)\theta$ .

- Prediction
- slow-moving average

- encoding more information within online projection
- avoid collapsed representation

### Architecture

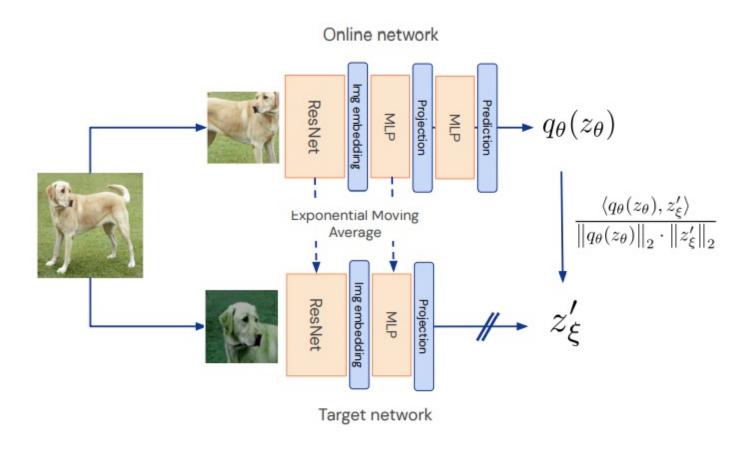


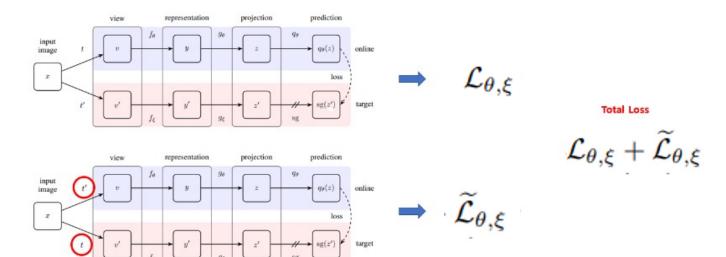
Figure 8: BYOL sketch summarizing the method by emphasizing the neural architecture.

### Loss function

$$\mathcal{L}_{\theta,\xi} \triangleq \left\| \overline{q_{\theta}}(z_{\theta}) - \overline{z}_{\xi}' \right\|_{2}^{2} = 2 - 2 \cdot \frac{\langle q_{\theta}(z_{\theta}), z_{\xi}' \rangle}{\left\| q_{\theta}(z_{\theta}) \right\|_{2} \cdot \left\| z_{\xi}' \right\|_{2}}$$

$$\mathcal{L}_{ heta,\xi}^{ exttt{BYOL}} = \mathcal{L}_{ heta,\xi} + \widetilde{\mathcal{L}}_{ heta,\xi}$$

$$\theta \leftarrow \text{optimizer}(\theta, \nabla_{\theta} \mathcal{L}_{\theta, \xi}^{\text{BYOL}}, \eta),$$
  
 $\xi \leftarrow \tau \xi + (1 - \tau)\theta,$ 



 $\overline{q_{\theta}}(z_{\theta}) \triangleq q_{\theta}(z_{\theta}) / \|q_{\theta}(z_{\theta})\|_{2}$ 

 $\overline{z}_{\xi}' \triangleq z_{\xi}' / \|z_{\xi}'\|_2$ 

### Algorithm

#### Algorithm 1: BYOL: Bootstrap Your Own Latent

```
Inputs:
      \mathcal{D}, \mathcal{T}, and \mathcal{T}'
                                          set of images and distributions of transformations
      \theta, f_{\theta}, g_{\theta}, and g_{\theta}
                                          initial online parameters, encoder, projector, and predictor
                                          initial target parameters, target encoder, and target projector
      \xi, f_{\xi}, g_{\xi}
      optimizer
                                          optimizer, updates online parameters using the loss gradient
      K and N
                                          total number of optimization steps and batch size
      \{\tau_k\}_{k=1}^K \text{ and } \{\eta_k\}_{k=1}^K
                                          target network update schedule and learning rate schedule
 1 for k=1 to K do
         \mathcal{B} \leftarrow \{x_i \sim \mathcal{D}\}_{i=1}^N
                                                                                                               // sample a batch of N images
       for x_i \in \mathcal{B} do
       t \sim \mathcal{T} and t' \sim \mathcal{T}'
                                                                                                             // sample image transformations
      z_1 \leftarrow g_{\theta}(f_{\theta}(t(x_i))) \text{ and } z_2 \leftarrow g_{\theta}(f_{\theta}(t'(x_i)))
                                                                                                                             // compute projections
          z'_1 \leftarrow g_{\xi}(f_{\xi}(t'(x_i))) and z'_2 \leftarrow g_{\xi}(f_{\xi}(t(x_i)))
                                                                                                                // compute target projections
             l_i \leftarrow -2 \cdot \left( \frac{\langle q_{\theta}(z_1), z_1' \rangle}{\|q_{\theta}(z_1)\|_2 \cdot \|z_1'\|_2} + \frac{\langle q_{\theta}(z_2), z_2' \rangle}{\|q_{\theta}(z_2)\|_2 \cdot \|z_2'\|_2} \right)
                                                                                                                       // compute the loss for x_i
 8
         end
        \delta\theta \leftarrow \frac{1}{N} \sum_{i=1}^{N} \partial_{\theta} l_{i}
                                                                                        // compute the total loss gradient w.r.t. \theta
         \theta \leftarrow \text{optimizer}(\theta, \delta\theta, \eta_k)
                                                                                                                    // update online parameters
         \xi \leftarrow \tau_k \xi + (1 - \tau_k)\theta
                                                                                                                    // update target parameters
12 end
    Output: encoder f_{\theta}
```

### Intuitions on BYOL's behavior

• Undesirable equilibria가 unstable 하다고 가정함

$$Var(X|Y,Z) \le Var(X|Y)$$

*X* : target projection

*Y* : current online projection

Z: additional variability on top of the online projection

$$\operatorname{Var}(z_{\xi}'|z_{\theta}) \leq \operatorname{Var}(z_{\xi}'|c)$$

# How BYOL prevents representation collapse?

- Bootstrap Your Own Latent: A New Approach to Self-Supervised Learning
  - ✓ Addition of a predictor to the online network
  - ✓ Use of a moving average of online parameters

- 새로운 가설 제시
- Understanding self-supervised and contrastive learning with "Bootstrap Your Own Latent"
  - ✓ Batch Normalization 때문
- BYOL works even without batch statistics
  - ✓ Batch Normalization 때문이님

# How BYOL prevents representation collapse?

### **Exploring Simple Siamese Representation Learning**

Xinlei Chen Kaiming He

Facebook AI Research (FAIR)

2020.11 arXiv

#### Understanding self-supervised Learning Dynamics without Contrastive Pairs

Yuandong Tian 1 Xinlei Chen 1 Surya Ganguli 12

#### Abstract

Contrastive approaches to self-supervised learning (SSL) learn representations by minimizing

#### Facebook Al Research

man et al., 2019) whereby the hidden representations of two augmented views of the same object (positive pairs) are brought closer together, while those of different ob-

2021.02 arXiv

# **Experiment Results**

Linear evaluation on ImageNet

| Method            | Top-1 | Top-5 |
|-------------------|-------|-------|
| Local Agg.        | 60.2  | -     |
| PIRL [35]         | 63.6  | -     |
| CPC v2 [32]       | 63.8  | 85.3  |
| CMC [11]          | 66.2  | 87.0  |
| SimCLR[8]         | 69.3  | 89.0  |
| MoCo v2 [37]      | 71.1  | -     |
| InfoMin Aug. [12] | 73.0  | 91.1  |
| BYOL (ours)       | 74.3  | 91.6  |

| Method      | Architecture             | Param. | Top-1 | Top-5 |
|-------------|--------------------------|--------|-------|-------|
| SimCLR [8]  | ResNet-50 (2×)           | 94M    | 74.2  | 92.0  |
| CMC [11]    | ResNet-50 (2 $\times$ )  | 94M    | 70.6  | 89.7  |
| BYOL (ours) | ResNet-50 (2 $\times$ )  | 94M    | 77.4  | 93.6  |
| CPC v2 [32] | ResNet-161               | 305M   | 71.5  | 90.1  |
| MoCo [9]    | ResNet-50 $(4\times)$    | 375M   | 68.6  | -     |
| SimCLR [8]  | ResNet-50 $(4\times)$    | 375M   | 76.5  | 93.2  |
| BYOL (ours) | ResNet-50 $(4\times)$    | 375M   | 78.6  | 94.2  |
| BYOL (ours) | ResNet-200 (2 $\times$ ) | 250M   | 79.6  | 94.8  |

(b) Other ResNet encoder architectures.

Table 1: Top-1 and top-5 accuracies (in %) under linear evaluation on ImageNet.

Semi-supervised training on ImageNet

| Method          | Top  | <b>5-1</b> | Top-5 |      |  |
|-----------------|------|------------|-------|------|--|
|                 | 1%   | 10%        | 1%    | 10%  |  |
| Supervised [77] | 25.4 | 56.4       | 48.4  | 80.4 |  |
| InstDisc        | -    | -          | 39.2  | 77.4 |  |
| PIRL [35]       | -    | -          | 57.2  | 83.8 |  |
| SimCLR [8]      | 48.3 | 65.6       | 75.5  | 87.8 |  |
| BYOL (ours)     | 53.2 | 68.8       | 78.4  | 89.0 |  |

| Method      | Architecture             | Param. | Top  | <b>)</b> -1 | Top  | o-5  |
|-------------|--------------------------|--------|------|-------------|------|------|
|             | W.M. L. & P. 2000        |        | 1%   | 10%         | 1%   | 10%  |
| CPC v2 [32] | ResNet-161               | 305M   | =    | -           | 77.9 | 91.2 |
| SimCLR [8]  | ResNet-50 $(2\times)$    | 94M    | 58.5 | 71.7        | 83.0 | 91.2 |
| BYOL (ours) | ResNet-50 (2 $\times$ )  | 94M    | 62.2 | 73.5        | 84.1 | 91.7 |
| SimCLR [8]  | ResNet-50 $(4\times)$    | 375M   | 63.0 | 74.4        | 85.8 | 92.6 |
| BYOL (ours) | ResNet-50 $(4\times)$    | 375M   | 69.1 | 75.7        | 87.9 | 92.5 |
| BYOL (ours) | ResNet-200 (2 $\times$ ) | 250M   | 71.2 | 77.7        | 89.5 | 93.7 |

Table 2: Semi-supervised training with a fraction of ImageNet labels.

<sup>(</sup>a) ResNet-50 encoder.

<sup>(</sup>a) ResNet-50 encoder.

<sup>(</sup>b) Other ResNet encoder architectures.

# **Experiment Results**

### • Transfer to other classification tasks

| Method             | Food101 | CIFAR10 | CIFAR100 | Birdsnap | SUN397 | Cars | Aircraft | VOC2007 | DTD  | Pets | Caltech-101 | Flowers |
|--------------------|---------|---------|----------|----------|--------|------|----------|---------|------|------|-------------|---------|
| Linear evaluation: |         |         |          |          |        |      |          |         |      |      |             |         |
| BYOL (ours)        | 75.3    | 91.3    | 78.4     | 57.2     | 62.2   | 67.8 | 60.6     | 82.5    | 75.5 | 90.4 | 94.2        | 96.1    |
| SimCLR (repro)     | 72.8    | 90.5    | 74.4     | 42.4     | 60.6   | 49.3 | 49.8     | 81.4    | 75.7 | 84.6 | 89.3        | 92.6    |
| SimCLR [8]         | 68.4    | 90.6    | 71.6     | 37.4     | 58.8   | 50.3 | 50.3     | 80.5    | 74.5 | 83.6 | 90.3        | 91.2    |
| Supervised-IN [8]  | 72.3    | 93.6    | 78.3     | 53.7     | 61.9   | 66.7 | 61.0     | 82.8    | 74.9 | 91.5 | 94.5        | 94.7    |
| Fine-tuned:        |         |         |          |          |        |      |          |         |      |      |             |         |
| BYOL (ours)        | 88.5    | 97.8    | 86.1     | 76.3     | 63.7   | 91.6 | 88.1     | 85.4    | 76.2 | 91.7 | 93.8        | 97.0    |
| SimCLR (repro)     | 87.5    | 97.4    | 85.3     | 75.0     | 63.9   | 91.4 | 87.6     | 84.5    | 75.4 | 89.4 | 91.7        | 96.6    |
| SimCLR [8]         | 88.2    | 97.7    | 85.9     | 75.9     | 63.5   | 91.3 | 88.1     | 84.1    | 73.2 | 89.2 | 92.1        | 97.0    |
| Supervised-IN [8]  | 88.3    | 97.5    | 86.4     | 75.8     | 64.3   | 92.1 | 86.0     | 85.0    | 74.6 | 92.1 | 93.3        | 97.6    |
| Random init [8]    | 86.9    | 95.9    | 80.2     | 76.1     | 53.6   | 91.4 | 85.9     | 67.3    | 64.8 | 81.5 | 72.6        | 92.0    |

Table 3: Transfer learning results from ImageNet (IN) with the standard ResNet-50 architecture.

#### Transfer to other vision tasks

| Method            | AP <sub>50</sub> | mIoU |                    |           | Higher better   | 1995          | Lower | r better |
|-------------------|------------------|------|--------------------|-----------|-----------------|---------------|-------|----------|
| Supervised-IN [9] | 74.4             | 74.4 | Method             | pct.<1.25 | $pct. < 1.25^2$ | $pct.<1.25^3$ | rms   | rel      |
| MoCo [9]          | 74.9             | 72.5 | Supervised-IN [83] | 81.1      | 95.3            | 98.8          | 0.573 | 0.127    |
| SimCLR (repro)    | 75.2             | 75.2 | SimCLR (repro)     | 83.3      | 96.5            | 99.1          | 0.557 | 0.134    |
| BYOL (ours)       | 77.5             | 76.3 | BYOL (ours)        | 84.6      | 96.7            | 99.1          | 0.541 | 0.129    |

<sup>(</sup>a) Transfer results in semantic segmentation and object detection.

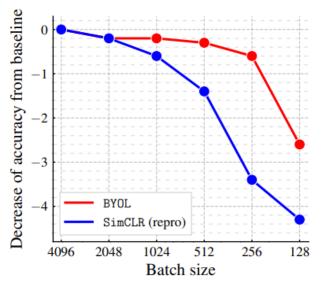
Table 4: Results on transferring BYOL's representation to other vision tasks.

<sup>(</sup>b) Transfer results on NYU v2 depth estimation.

# Ablation study

### Batch size

| Batch | Γ              | op-1           | Top-5          |                |  |
|-------|----------------|----------------|----------------|----------------|--|
| size  | BYOL (ours)    | SimCLR (repro) | BYOL (ours)    | SimCLR (repro) |  |
| 4096  | 72.5           | 67.9           | 90.8           | 88.5           |  |
| 2048  | 72.4           | 67.8           | 90.7           | 88.5           |  |
| 1024  | 72.2           | 67.4           | 90.7           | 88.1           |  |
| 512   | 72.2           | 66.5           | 90.8           | 87.6           |  |
| 256   | 71.8           | $64.3 \pm 2.1$ | 90.7           | $86.3 \pm 1.0$ |  |
| 128   | $69.6 \pm 0.5$ | 63.6           | 89.6           | 85.9           |  |
| 64    | $59.7{\pm}1.5$ | $59.2 \pm 2.9$ | $83.2 \pm 1.2$ | $83.0{\pm}1.9$ |  |

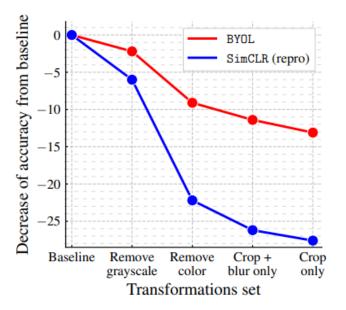


(a) Impact of batch size

# Ablation study

### • Image Augmentation

|  | Т              | Top-1          | Top-5          |                |  |
|--|----------------|----------------|----------------|----------------|--|
| Image augmentation                         | BYOL (ours)    | SimCLR (repro) | BYOL (ours)    | SimCLR (repro) |  |
| Baseline                                   | 72.5           | 67.9           | 90.8           | 88.5           |  |
| Remove flip                                | 71.9           | 67.3           | 90.6           | 88.2           |  |
| Remove blur                                | 71.2           | 65.2           | 90.3           | 86.6           |  |
| Remove color (jittering and grayscale)     | $63.4 \pm 0.7$ | 45.7           | $85.3 \pm 0.5$ | 70.6           |  |
| Remove color jittering                     | 71.8           | 63.7           | 90.7           | 85.9           |  |
| Remove grayscale                           | 70.3           | 61.9           | 89.8           | 84.1           |  |
| Remove blur in $\mathcal{T}'$              | 72.4           | 67.5           | 90.8           | 88.4           |  |
| Remove solarize in $\mathcal{T}'$          | 72.3           | 67.7           | 90.8           | 88.2           |  |
| Remove blur and solarize in $\mathcal{T}'$ | 72.2           | 67.4           | 90.8           | 88.1           |  |
| Symmetric blurring/solarization            | 72.5           | 68.1           | 90.8           | 88.4           |  |
| Crop only                                  | $59.4 \pm 0.3$ | $40.3 \pm 0.3$ | 82.4           | $64.8 \pm 0.4$ |  |
| Crop and flip only                         | $60.1 \pm 0.3$ | 40.2           | $83.0 \pm 0.3$ | 64.8           |  |
| Crop and color only                        | 70.7           | 64.2           | 90.0           | 86.2           |  |
| Crop and blur only                         | $61.1 \pm 0.3$ | 41.7           | 83.9           | 66.4           |  |



### 참고

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- https://hoya012.github.io/blog/byol/
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