
SQL ON DATA STREAMS

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STREAMS & DYNAMIC TABLES



SQL WAS NOT DESIGNED FOR STREAMS

- Table are bounded multisets.



Streams are infinite sequences.

- DBMS queries can access all data.



Streaming queries receive data over time.

- DBMS queries return a finite result.



Streaming queries continuously emit results and never complete.



DATABASE SYSTEMS RUN QUERIES ON STREAMS

- Materialized views (MV) are similar to regular views, but persisted to disk or memory
 - Used to speed-up analytical queries
 - MVs need to be updated when the base tables change
- MV maintenance is very similar to SQL on streams
 - Base table updates are a stream of DML statements
 - MV definition query is evaluated on that stream
 - MV is query result and continuously updated



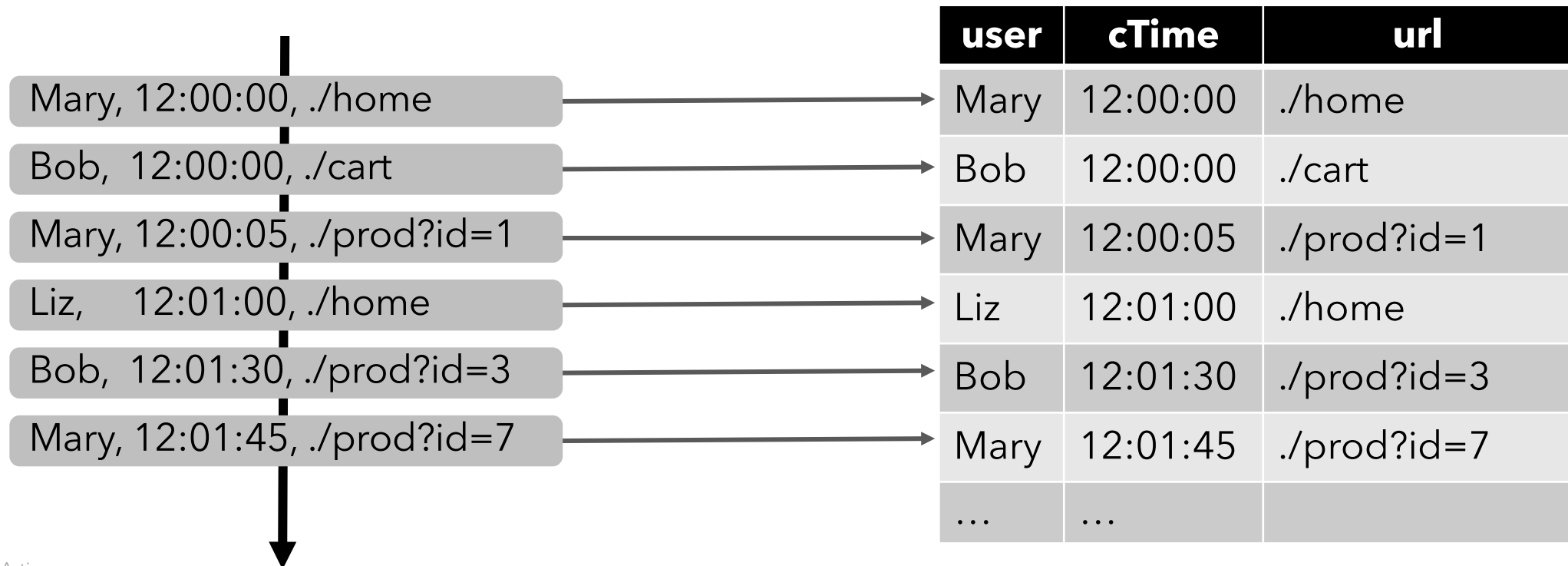
CONTINUOUS QUERIES IN FLINK

- Core concept is a “*Dynamic Table*”
 - Dynamic tables are changing over time
- Queries on dynamic tables
 - produce new dynamic tables (which are updated based on input)
 - do not terminate
- Stream ↔ Dynamic table conversions

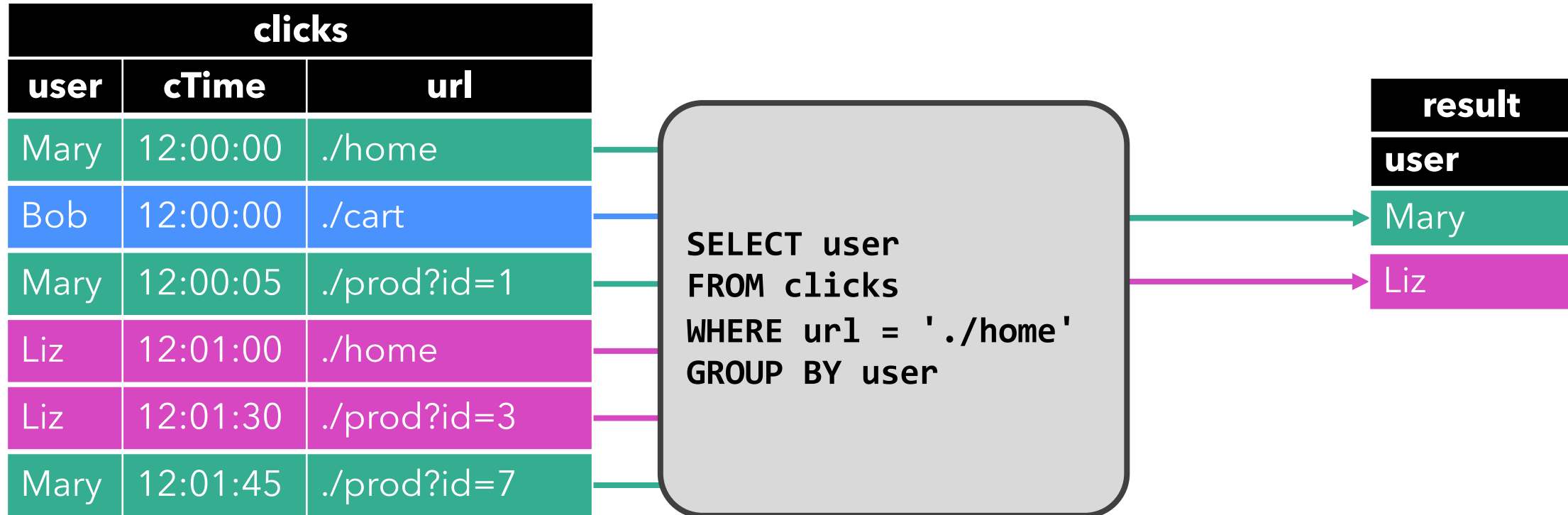


STREAM → DYNAMIC TABLE: APPEND

- Append mode
 - Stream records are appended to table
 - Table grows as more data arrives



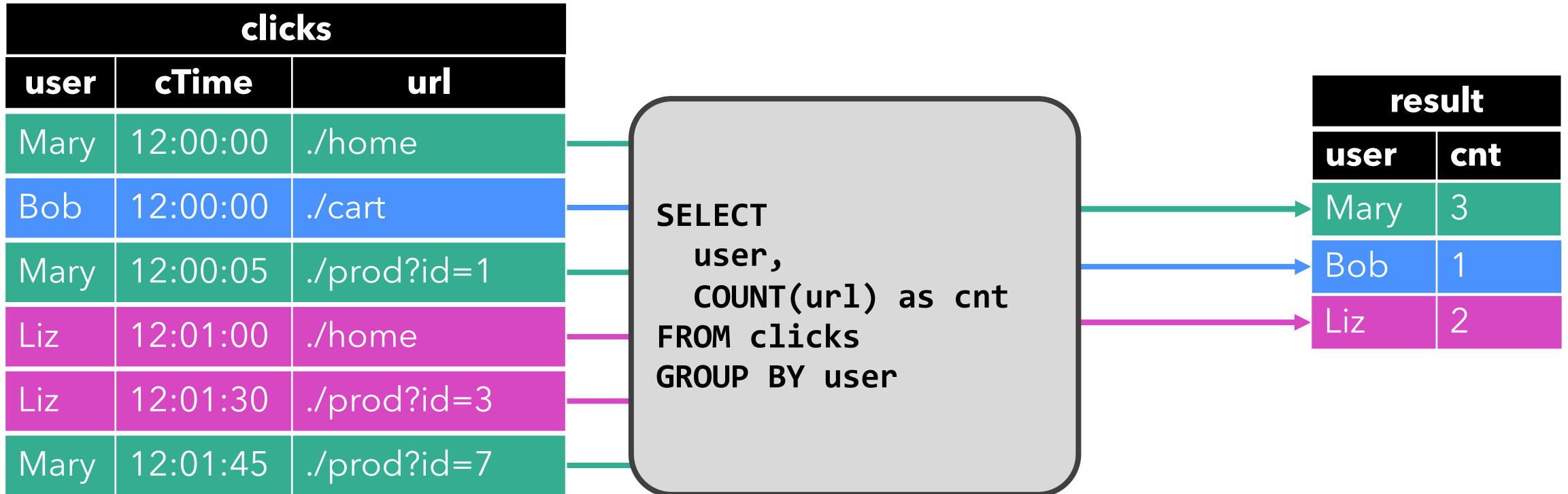
QUERYING A DYNAMIC TABLE



Rows of result table are appended.



QUERYING A DYNAMIC TABLE



Rows of result table are updated.

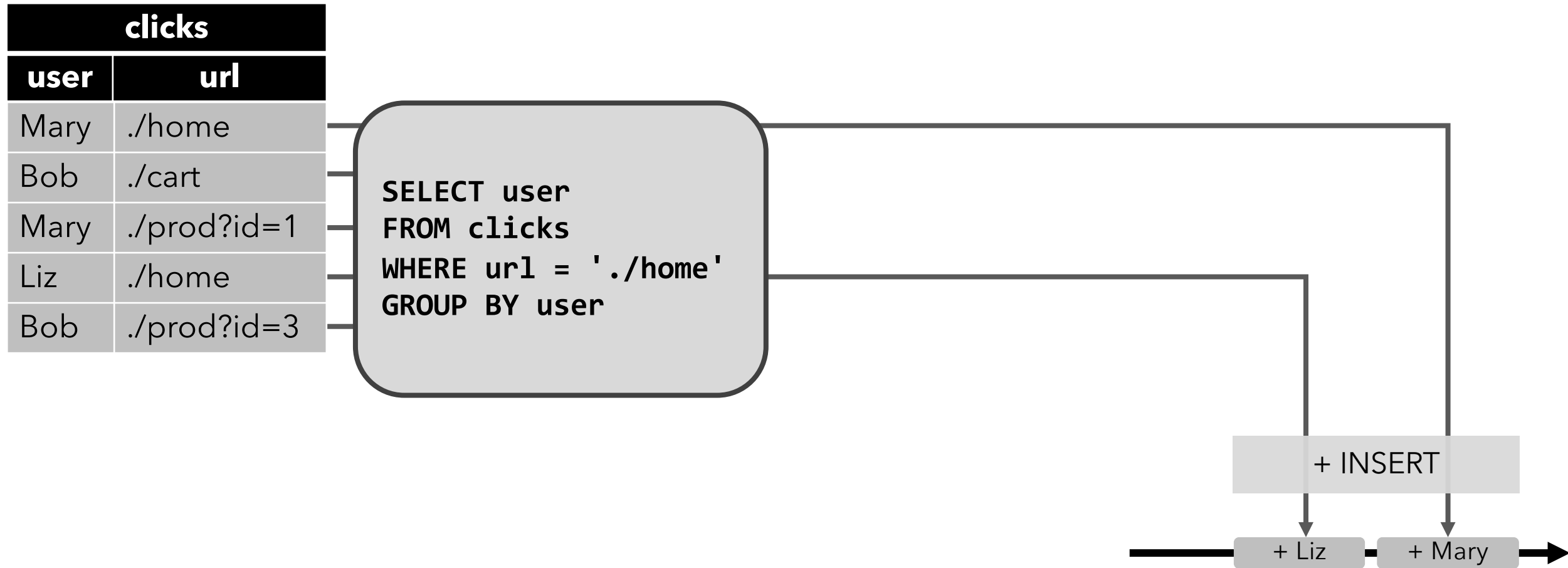


DYNAMIC TABLE → STREAM

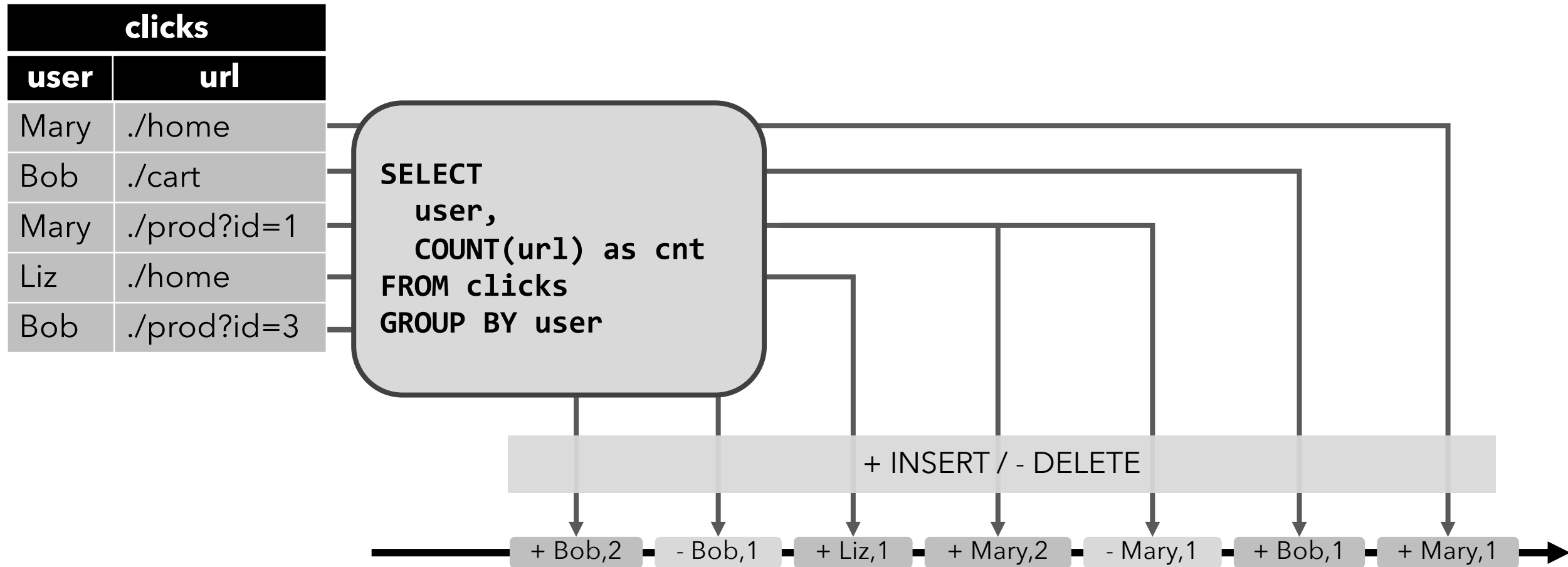
- Converting a dynamic table into a stream
 - Dynamic tables might update or delete existing rows
 - Updates must be encoded in outgoing stream



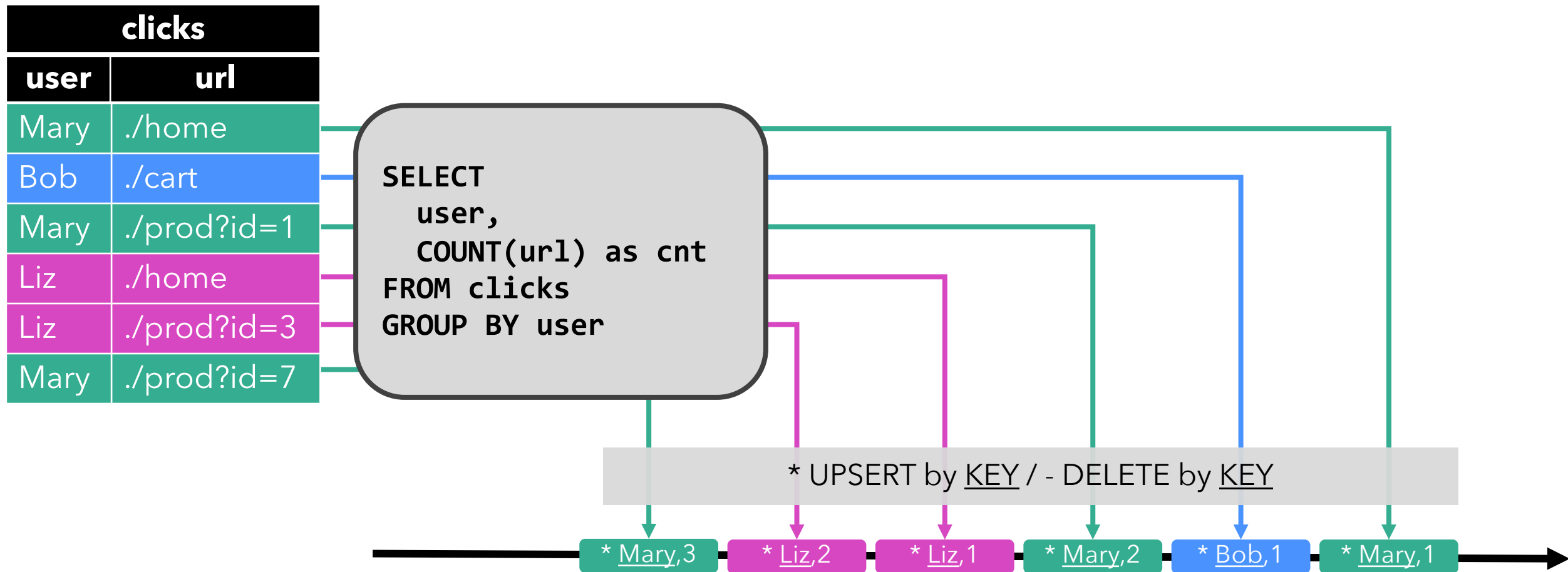
DYNAMIC TABLE → STREAM: APPEND-ONLY



DYNAMIC TABLE → STREAM: RETRACTION



DYNAMIC TABLE → STREAM: UPSERT



SUMMARY

- Streams are interpreted as changelog for a Table
 - Flink 1.6.0 supports append-only stream to table conversion
 - Upsert and Insert/Update/Delete conversions are on the roadmap
- SQL queries on dynamic tables yield another dynamic table
 - Input and query determines whether resulting dynamic table is append-only or updating
- Dynamic tables can be converted back into streams
 - Append-only, Upsert, or Retraction



EVENT & PROCESSING TIME



HOW IS TIME HANDLED IN FLINK SQL?

- Flink SQL supports Event and Processing Time
- Tables may include *Time Attributes*
 - Time Attributes provide access to event or processing time
 - Time Attributes are (mostly) treated as regular attributes
 - Time Attributes have special type extended from SQL TIMESTAMP
- Time Attributes are declared with the table schema



EVENT TIME ATTRIBUTE

- Event time attributes carry an actual timestamp
- Timestamps are extracted during table scan
 - Typically taken from an existing field
- Watermarks are generated based on the timestamps
 - Different strategies available
- Event time attributes can be used like a regular **TIMESTAMP**
 - Event time property (and watermark alignment) are lost when being modified



PROCESSING TIME ATTRIBUTE

- Processing time attributes are virtual and do not hold data
 - Local machine time is queried on attribute access
- A proc time attribute be used like a regular TIMESTAMP
 - Loses its processing time property when being modified



HOW ARE TIME ATTRIBUTES USED?

- Some operators require time attributes in certain clauses
 - GROUP BY windows
 - OVER windows
 - Time-windowed joins
- The clicks table is used for the following examples
 - cTime (clickTime) is an event time attribute.

user	cTime	url
Mary	12:00:00	./home
Bob	12:00:00	./cart
Mary	12:00:05	./prod?id=1
...



GROUP BY WINDOW AGGREGATION

- Compute number of clicks per hour and user

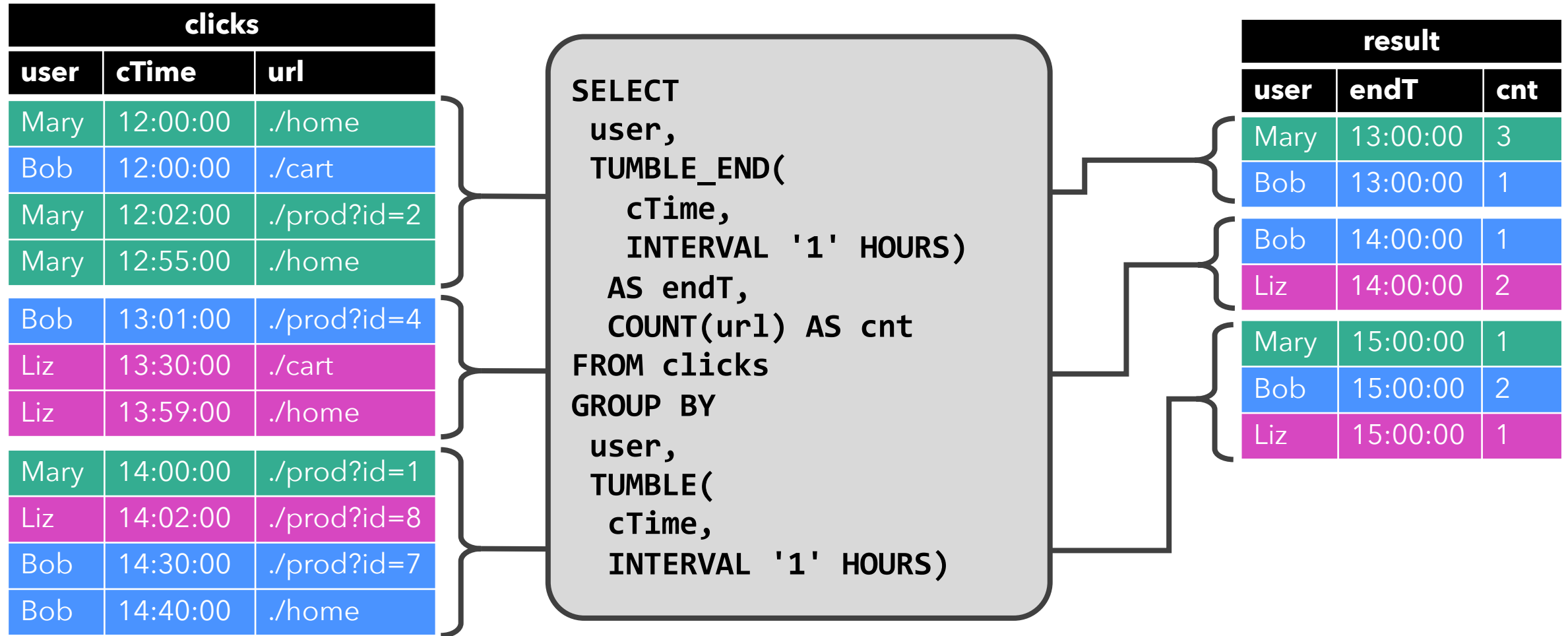
```
SELECT user,  
       TUMBLE_END(cTime, INTERVAL '1' HOURS) AS endT,  
       COUNT(url) AS cnt  
FROM clicks  
GROUP BY TUMBLE(cTime, INTERVAL '1' HOURS),  
       user
```

Time attribute

Time attribute



GROUP BY WINDOW AGGREGATION



Rows are appended to result table.



OVER WINDOW AGGREGATION

- Compute for each click
how often the URL was clicked in the previous 10 minutes

```
SELECT  
  user,  
  url,  
  COUNT(*) OVER (  
    PARTITION BY url  
    ORDER BY cTime  
    RANGE BETWEEN INTERVAL '10' MINUTE PRECEDING AND CURRENT ROW)  
FROM clicks
```



Time attribute



TIME-WINDOWED JOIN

- Find all product URLs that were clicked by a user less than 5 seconds after being served.
 - Assuming a table serves with an event time attribute sTime

```
SELECT url
FROM clicks c, serves s
WHERE s.url LIKE './prod%' AND
      s.url = c.url AND
      s.user = c.user AND
      c.cTime BETWEEN s.sTime AND s.sTime + INTERVAL '5' SECOND
```

url	sTime	user
./home	12:00:00	Mary
./cart	12:00:00	Liz
./prod?id=1	12:00:05	Bob
...

Time attributes



WHY ARE TIME ATTRIBUTES SPECIAL?

- Time attribute values are (quasi) monotonously increasing
- Operators know when rows are no longer needed
 - Watermarks (event time) or wall-clock time (processing time)
- Time-based operators automatically prune their state
 - Only hold the relevant “tail” of the stream is kept in state



TIME ATTRIBUTES AND NON-WINDOWED OPERATORS

- Non-windowed aggregations and joins do not forward time attributes
 - Window operators cannot be applied on their results!
- Non-windowed join does not preserve timestamp order
 - Any row in the state could be join with any new arriving row
 - Order of time attributes is not maintained
 - Non-windowed joins must not emit time attributes.
- Non-windowed aggregation does not forward time attributes
 - Time attributes are converted to regular `TIMESTAMP` attributes and lose their property
 - `SELECT cTime, COUNT(*) FROM clicks GROUP BY cTime`
 - `cTime` is regular `TIMESTAMP`
 - `SELECT user, MAX(cTime) AS cTime FROM clicks GROUP BY user`
 - `cTime` is regular `TIMESTAMP`



TIME ATTRIBUTES AND BATCH PROCESSING

- Porting SQL queries from streaming to batch or vice versa
- Queries on event time attributes
 - Batch table requires time attribute of type `TIMESTAMP`
- Queries on processing time attributes
 - Not supported by batch queries
 - What would be the semantics anyway?



QUERIES AND STATE



STATELESS AND STATEFUL OPERATORS

- Stateless operators
 - Filter
 - Projection
- Stateful streaming operators
 - Window Aggregation (GROUP BY, OVER)
 - Window Joins
- Stateful materializing operators
 - Aggregation
 - Joins



STATEFUL MATERIALIZING OPERATORS

- Materializing operators may never remove state

- The aggregation needs to maintain a count for every user forever.
 - Every user could click at any point in time

```
SELECT  
    user,  
    COUNT(url) as cnt  
FROM clicks  
GROUP BY user
```

- The aggregation state is continuously growing
 - Unless key domain is bounded
- Joins need to materialize all input rows in state



MANAGING STATE SIZE

- Query state might grow indefinitely
- Slowly growing state can be addressed by scaling the query
 - SELECT **user**, COUNT(*) FROM logins **GROUP BY user**;
- State can be automatically pruned
 - SELECT **session**, COUNT(*) FROM clicks **GROUP BY session**;
 - Rows and persisted results can be removed after an idle timeout



IDLE STATE CLEAN UP

- The query result is not updated when state is removed
- Query result remains consistent if removed state is not needed again
- Query result becomes inconsistent if query needs to access state that was removed!
- Trade the accuracy of the result for size of state

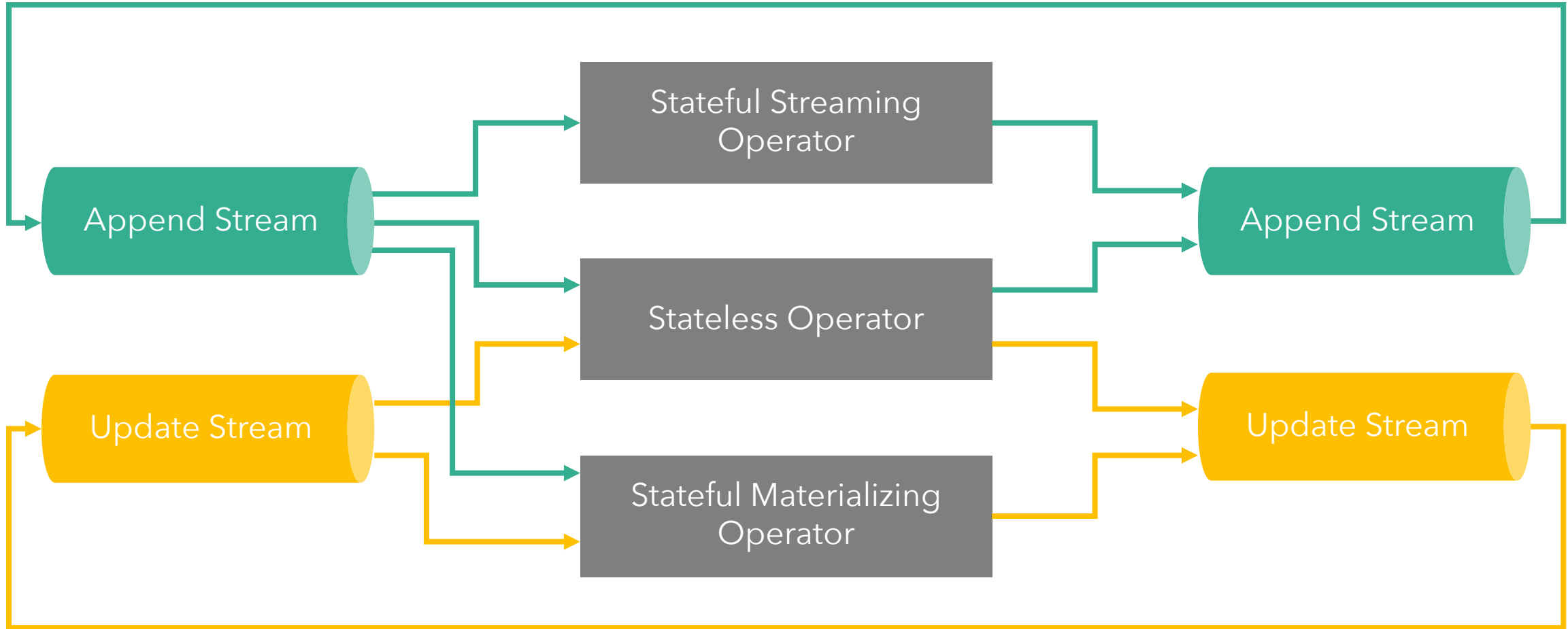


APPEND AND UPDATE INPUT AND OUTPUT

- Stateful materializing operators may produce updates
 - All required state is available
 - Previous input can be updated
 - Results can be updated
- Stateful streaming operators cannot handle updates
 - State is evicted based on time
 - Results cannot be updated because state might be gone



APPEND AND UPDATE INPUT AND OUTPUT



OTHER CONSTRAINTS?

- A change of an input table may only trigger a partial re-computation of the result table

```
SELECT user, RANK() OVER (ORDER BY lastLogin) FROM users;
```



SUMMARY



SUMMARY

- Query evaluation on Dynamic Tables
 - Stream -> Dynamic Table -> Stream conversions
 - append-only, update, retraction
- Event-time and processing-time in SQL
 - Time attributes & windowed operators
- Queries and State
 - State management and automatic clean up



HANDS ON



RUNNING QUERIES ON STREAMS

Continue with Session 4 "Running Queries on Streams"
in the SQL training wiki:

<https://github.com/dataArtisans/sql-training/wiki>

We are here to help!

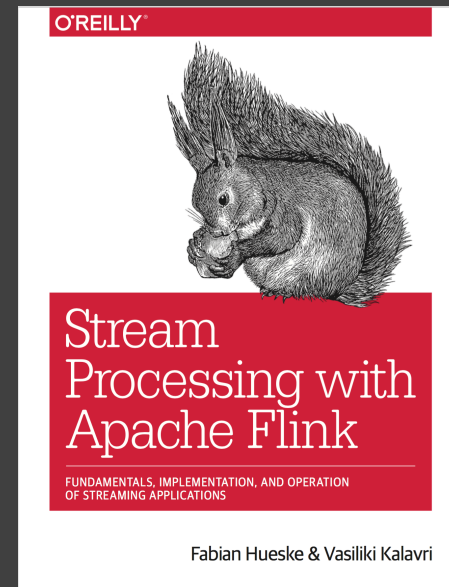


THANK YOU!

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